
Article

Ambient Intelligence Based on IoT for Assisting People with Alzheimer's Disease Through Context Histories

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Abstract: The new Internet of Things (IoT) applications are enabling the development of projects that help monitoring people with different diseases in their daily lives. Alzheimer's is a disease that affects neurological functions and needs support to maintain maximum independence and security of patients during this stage of life, as the cure and reversal of symptoms have not yet been discovered. The IoT-based monitoring system provides the caregivers' support in monitoring people with Alzheimer's Disease (AD). This paper presents an ontology-based computational model which receives physiological data from external IoT applications, allowing to identify of potentially dangerous behaviors for patients with AD. The main scientific contribution of this work is the specification of a model focusing on Alzheimer's disease using the analysis of Context Histories and Context Prediction, which considering the state of the art, it is the only one that uses analysis of Context Histories to perform predictions. The research also proposes a simulator to generate activities of the daily life of patients allowing the creation of datasets. These datasets were used to evaluate the contributions of the model and were generated according to the standardization of the ontology. The simulator generated 1025 scenarios applied to guide the predictions, which achieved average accuracy of 97.44%. The experiments also allowed the learning of 20 relevant lessons on technological, medical and methodological aspects of DCARE that are recorded in this article.

Keywords: Ambient Intelligence, Internet of Things, Context, Prediction, Context Histories, Alzheimer's Disease

1. Introduction

According to the World Health Organization (WHO) [71], about 50 million people around the world have Alzheimer's disease or another type of dementia. As reported by Pan American Health Organization (OPAS) [47], Alzheimer's is one of the top ten death-causing diseases in the world. Alzheimer's is one of the most prevalent diseases of dementia, which it is characterized by being a syndrome - usually of a chronic or progressive nature - which there is no cure or treatment, with deterioration of cognitive function (ability to process thoughts), what can be expected from normal aging. The impairment of cognitive function is usually followed and sometimes preceded by deterioration of emotional control, social behavior or motivation. Although dementia mainly affects elderly people, the demonstration of symptoms can begin to occur even before the aging stage, which influences the fact that there are almost 10 million new cases each year around the world [3]. The total number of people with dementia is expected to reach 82 million in 2030 and 152 million in 2050, and great part of this increase is

34 attributable to the growing number of people with dementia living in low and middle
35 income countries [6].

36 Dementia has a physical, psychological, social and economic impact, not only on
37 people with the disease, but also on their caregivers, families and society in general,
38 being one of the main causes of disability and dependency among the elderly worldwide
39 [71]. Caregivers who provide assistance to individuals with dementia often feel stressed,
40 frustrated with the amount of time needed and emotionally challenged. In addition,
41 cognitive function may progressively decrease over time in patients, in variations that
42 fluctuate throughout the day or over the long term, as the (neurological) system is
43 used [15]. These behaviors are difficult to be managed by caregivers and are positively
44 correlated with the caregiver's suffering [33]. They also contribute to increasing the
45 cost of care for patients and are the main reason for institutionalization [14,45]. In 2015,
46 society's total cost for dementia care was estimated at \$ 818 billion, equivalent to 1.1% of
47 the global Gross Domestic Product (GDP). The total cost as a proportion of GDP with a
48 variation of 0.2% in low and middle income countries to 1.4 % in high income countries
49 [71].

50 The fact that Alzheimer is a disease that is only detected after symptoms start to
51 appear, monitoring solutions emerge with the use of electronic devices to care for these
52 patients in the most diverse stages of the disease, therefore, technology presents itself as
53 a possibility of the palliative care support for patients. According to Burleson et al. [15],
54 recent research work on Information and Communication Technologies¹ (ICTs) for the
55 treatment of dementia, demonstrated how the successful incorporation of technology in
56 everyday practices implies a set of judgments and attitudes of value about the best way
57 to care for and make decisions for someone else.

58 The majority of caregivers who use technology (as help support) obtained benefits
59 for their patients from at least one Activity of Daily Living (ADL) in the past year (86%).
60 On average, they (caregivers) provide assistance in three of the six activities listed. The
61 ADLs that caregivers usually help with are: getting up and lying/sitting on beds and
62 chairs (73%), dressing (61%) and eating (52%). About one in three (caregivers) helps
63 in the bath (37%) or in the bathroom (34%), and 26% helps to deal with incontinence
64 [63]. WHO has developed a global action plan that proposes that by 2025 the global
65 production of research on dementia should double the number of scientific publications
66 and 50% of countries routinely collect data on the main indicators of dementia and that
67 75% of countries have support for dementia care providers [70]. The alarming prevalence
68 of Alzheimer's disease and the absence of any effective treatment made this disease an
69 important issue, highlighted as a priority by the nations of the G8 [66].

70 This work aims to propose a model for monitoring Alzheimer's patients, seeking to
71 synthesize the needs and characteristics that make up a better approach for its validation.
72 The main scientific contribution of this work is the specification of a computational model
73 to be the first to use the analysis on Context Histories [5,27,42] and Context Predictions
74 [23,53] focusing on Alzheimer's disease. The prototype was developed from the model
75 created. Subsequently, the tests were performed based on the datasets generated by the
76 DCARE Dataset Simulator tool, which was also developed in this work.

77 This article is organized into 8 sections. Section 2 presents the description of key
78 themes for understanding the proposed model. Section 3 discusses related works. In
79 Section 4 the DCARE model is explained in detail. Section 5 approaches the implementa-
80 tion aspects. Section 6 describes the evaluation and results. Section 7 encompasses the
81 conclusions and proposals for future work.

82 2. Background

83 This section presents two key themes for understanding the proposed model. Sec-
84 tion 2.1 describes the Ambient Intelligence (AmI) paradigm and its importance for the

¹ <https://en.unesco.org/themes/ict-education>

85 field of the study of this work. Besides, Section 2.2 introduces concepts of Alzheimer's
86 Disease (AD).

87 2.1. Ambient Intelligence and IoT

88 The exponential growth of the development of technologies such as mobile devices,
89 wearables and IoT devices enables the expansion of services to assist users in their daily
90 lives [44]. Besides, in addition to the quantity, there was an increase in intelligence and
91 integration among these computational elements [2,39].

92 The IoT's smartness has been possible on account of the massive adoption of
93 artificial intelligence, mainly Machine Learning (ML), Natural Language Processing
94 (NLP) and Computational Vision (CV) techniques. This kind of environment allows a
95 more natural and spontaneous human-computer interaction [54].

96 In terms of communication and integration of these complex and heterogeneous
97 computational artifacts, cloud computing's approach also has been evolving. For in-
98 stance, Edge and Fog computing contribute as architectural alternatives approach to
99 make feasible the ambient IoT vision [49].

100 IoT enables the integration of objects from the physical environment into the virtual.
101 It also promotes machine-to-machine communication over the internet that makes
102 possible the sharing of data and information to achieve specific goals. This is achieved by
103 integrating devices, sensors and systems [55], generating specialized intelligent services.

104 Smart environments [54] aim to seamlessly add computational power to the ambient
105 to broaden and support day-to-day activities (ADLs). Ambient Intelligence (AmI) is
106 another term to name a space that is designed to understand and adapt to the people's
107 presence, preferences and needs to free them from the manual and explicit control of
108 surroundings [30].

109 Intelligence services can be created based on AmI methods. In this sense, the envi-
110 ronment is capable to manage different data sources dynamically. The data fusion can
111 consider systems, IoT objects, people and others environment's entities to be processed
112 and derive the proper information to meet the user's needs [54].

113 The AmI model promotes smart services which provide characteristics as context-
114 awareness, adaptation, proactivity and others feature envisioned by Mark Weiser [69].
115 According to his vision about Ubiquitous Computing, technology becomes indistin-
116 guishable from everyday life by being present all the time and in various formats. It
117 aims to make the human-ambient interaction invisible or most natural for the people.

118 The evolution of ubiquitous computing provided applications in areas including
119 health [13,21,22,38,51,65], agriculture [31], commerce [12] and education [1,8,10,26,67]
120 among others. The application of ubiquitous computing [11,43] has led to the emergence
121 of research areas such as U-Learning [9], U-Commerce [29] and U-Health [40,48,64].

122 Although scientific advances have already been made towards U-Health [61], a
123 more specific model for ubiquitous healthcare in an Ambient Intelligent for people with
124 Alzheimer's Disease in their home environment, according to the situation-awareness
125 perspective [24,34] of their ADLs is still unknown.

126 2.2. Alzheimer's Disease

127 Alzheimer's is one of the most prevalent diseases of dementia, in which it stands
128 out for being a syndrome - usually of a chronic or progressive nature - that there is no
129 cure or treatment, in which there is a deterioration of cognitive function (that is, the
130 ability to process thought) beyond what can be expected from normal aging. It affects
131 memory, thinking, guidance, understanding, calculation, learning ability, language and
132 judgment, but consciousness is not affected. The impairment of cognitive function is
133 usually accompanied and sometimes preceded by deterioration in emotional control,
134 social behavior or motivation. Alzheimer's disease is the most common form of dementia
135 and can contribute to 60-70% of the total cases [7].

136 Individuals with Alzheimer's and other forms of dementia usually experience
137 a period of significant Behavioral and Psychological Symptoms of Dementia (BPSD)
138 [46]. According to Cohen-Mansfield (2008), BPSDs are generally divided into several
139 categories, physical and non-physical agitation or aggression and verbal agitation. Non-
140 physical behaviors include undressing, expressing, hiding things and leaving search
141 behavior. Physical aggressive behaviors include biting, hitting, kicking, pushing, scratch-
142 ing and unwanted sexual advances. There may also be verbal agitation, such as cursing,
143 shouting and repeated actions of attention.

144 BPSD behaviors are difficult to be managed by caregivers and are positively corre-
145 lated with the caregiver's suffering [60]. They also contribute to the increased cost of care
146 for people with dementia and are the main reason for institutionalization [45,46,66]. Be-
147 havioral problems are a safety concern for family members and professional caregivers,
148 as well as for other elderly people living in community settings. There are well-validated
149 non-pharmacological methods used to manage BPSD. These methods include redirec-
150 tion, music therapy, individual socialization, art therapy and animal-assisted therapy
151 [20].

152 A crucial issue about BPSD is the caregiver's recognition of triggers or events that
153 generally precede unwanted behavior [25,50]. Even if the triggers cannot be identified,
154 the simple recognition that a patient is agitated can be beneficial, as a caregiver can be
155 called in as needed. It should be noted that caregivers are generally not present at the
156 beginning of these triggers. Because Alzheimer's is a disease that is only detected after
157 symptoms start to appear, follow-up solutions appear with the use of devices to care for
158 these patients in the most diverse stages of the disease, therefore, technology presents
159 itself as a possibility in support palliative care for patients. According to Burleson et al.
160 [15], recent research work on Information and Communication Technologies (ICTs) for
161 the treatment of dementia, demonstrated how the successful incorporation of technology
162 in everyday practices implies a set of judgments and attitudes of value about the best
163 way to care and make decisions for someone else.

164 Over the years, the use of smart devices and wearable sensors has increased in
165 the health field. These sensors are used for a variety of applications, from security to
166 monitoring health measures, such as quality and quantity of sleep [28]. The majority
167 of caregivers who use technology (as support help) obtained benefits for their patients
168 from at least one Activity of Daily Living (ADL) in the past year (86%).

169 On average, the caregivers provide assistance in three of the six activities listed.
170 The ADLs that caregivers usually help with are: getting up and lying/sitting on beds
171 and chairs (73%), dressing (61%) and eating (52%). About one in three (caregivers) helps
172 in the bath (37%) or in the bathroom (34%), and 26% helps to deal with incontinence
173 [63]. WHO has developed a global plan of action that proposes that by 2025 the global
174 production of research on dementia has twice as many scientific publications, and that,
175 above all, that 50% of countries routinely collect data on the main indicators of dementia
176 and that 75% of countries have support for dementia care providers [70].

177 The alarming prevalence of Alzheimer's disease and the absence of any effective
178 treatment made this disease an relevant issue, highlighted as a priority by the G8 nations
179 [66]. It can be noticed, only in Brazil, that there are more than 30 million people over the
180 age of 60, according to the data from the Brazilian Institute of Geography and Statistics
181 (IBGE in portuguese). In 2013, almost 2 million people have dementias, with around 40
182 to 60% of them are of the Alzheimer's type [32].

183 Although dementia mainly affects elderly people, the demonstration of symptoms
184 can starts even before the aging stage, which influences the fact that there are almost
185 10 million new cases each year around the world [3]. There is often a lack of awareness
186 and understanding of dementia, resulting in stigmatization and barriers to diagnostics
187 and care. The impact of dementia on caregivers, the family and society in general can
188 be physical, psychological, social and economic [71]. It also is one of the main causes of
189 disability and dependency among the elderly worldwide.

Caregivers who provide assistance to individuals with dementia often feel stressed, frustrated with the amount of time needed and emotionally challenged. In addition, cognitive function may progressively decrease over time in patients, in variations that fluctuate throughout the day or over the long term, as the system is used (for example, weeks to months or years) [15]. These behaviors are difficult to be managed by caregivers and are positively correlated with the caregiver's suffering [33]. They also contribute to the increased cost of care for people with dementia and are the main reason for institutionalization [14,45].

In 2015, the society's total cost for dementia care was estimated at 818 billion dollars, equivalent to 1.1% of the global Gross Domestic Product (GDP). The total cost as a proportion of GDP with a variation of 0.2% in low-and-middle-income countries to 1.4% in high-income countries [71]. According to WHO [71], about 50 million people around the world are carriers of Alzheimer's disease or other dementia. According to PAHO [47], Alzheimer's is on the list among one of the top ten death-causing diseases in the world. The total number of people with dementia is expected to reach 82 million in 2030 and 152 million in 2050, and much of this increase is attributable to the growing number of people with dementia living in low-and-middle-income countries [6].

3. Related Works

The research to identify the state of the art in the theme follows the criteria described in the systematic review developed in the article by Machado e Barbosa [41] and it was conducted by listing three main perspectives: (1) monitoring of vital signs; (2) location monitoring; and (3) analysis of the data collected in the monitoring.

The works were selected through searches in the following databases: ACM Digital Library, IEEE Xplore Digital Library, Journal of Medical Internet Research (JMIR), PubMed Central, Science Direct and Springer Library. Among them, PubMed Central and JMIR stand out as literary bases in the area of health and natural sciences, while the other databases are a reference in computing.

The searches were based on terms synonymous with the subject of the work and its derivations, defined as textit ("Alzheimer" OR "Alzheimer's Disease" OR "Alzheimer Patients" OR "Alzheimer's Care") AND ("care" OR "detect" OR "track" OR "monitoring" OR "Assistive Technology" OR "Patient Monitoring" OR "device" OR "smartphone" OR "smartphones" OR "mobile application" OR "mobile" OR "mHealth" OR "app"). These groups of terms were interleaved with each other to increase the reach of the search. The choice of works was selected considering the following elements: Alzheimer's disease; vital signs monitoring features; and data analysis, resulting in better patient care.

The examination of the articles resulted in five papers that were selected as the basis for the research, which were analyzed as follows.

Thorpe et al. [62] presented a computational model that uses smartphones and smartwatches, to calculate a set of metrics for spatial, temporal and step-based mobility. This work has as main objective the measurement of the mobility of people who have dementia. The work presented by Wan et al. [68], consists of a monitoring system in the dementia treatment process, to support caregivers in meeting the specific needs of each person with the symptoms of cognitive loss and memory loss. Nesbitt et al. [46] approaches the monitoring of vital patient information via sensors and the objective is to monitor the location of individuals and measure physical and physiological changes, such as limb movements, vocalizations and heart rate that occur during the state of agitation. In order to explore an application of remote monitoring technologies, the work developed by Amato et al. [4] can detect the onset of crises in patients affected by Alzheimer's disease and aims to reduce the psychological burden suffered by caregivers. Lai Kwan et al. [36] presented in 2019 a work with the objective of detecting significant moments of people with dementia, making visible what is most significant to them and maintaining a sense of interpersonal connection between their caregivers and family members.

243 The differential of the proposed work in comparison with the related works is listed
244 according the criteria below:

- 245 • **Vital signs monitoring:** It performs vital signs data collection through a wearable,
246 which is used daily by the patient.
- 247 • **Monitoring by geolocation:** It performs collection of geolocation data, which is
248 used daily by the patient.
- 249 • **Data analysis:** It performs analysis on the collected data.
- 250 • **Result of the momentary data analysis:** It performs the analysis of the collected
251 data instantly, with no further analysis.
- 252 • **Danger alert:** It performs real-time alert triggering if the patient is committed to
253 any danger to his health or safety.
- 254 • **Action predictions:** Behavior predictions based on historical data.

255 All works presented include the data analysis criterion. The solutions presented
256 in the works of Thorpe et al. [62] and Wan et al. [68] aim to make specific reference
257 to monitoring the patient on his geolocation, identifying his position to control his
258 caregivers, not covering the rest of the criteria specified in the comparison with DCARE.
259 Another point to consider is that only the solution of the work of Thorpe et al. [62]
260 presents an approach to validate the vital aspects in order to legitimize the necessary
261 actions to guarantee the safety and health of the patient (danger alert), also not covering
262 the rest of the criteria specified in the comparison with the DCARE.

263 With regard to the work carried out by Nesbitt et al. [46] and Amato et al. [4], the
264 definitions demonstrate that although a set of sensors is also used, data analysis and later
265 presentation of results are performed, covering some of the criteria. The computational
266 models proposed in these works do not present in their architecture an approach to
267 process the various mental disorders and behaviors that a patient with Alzheimer's
268 disease can trigger, not covering one of the main criteria proposed in the DCARE model,
269 which is to ensure the information already processed is displayed immediately in real
270 time to the caregiver, and in case of danger an alert is sent.

271 In the computational model developed by Lai Kwan et al. [36] context sensitivity
272 is used to correlate the user's vital signs with the analysis of mental health, in order
273 to identify in which situations the user may have reached reactions of affective com-
274 mitment with their caregivers or family members. In this case, no type of evaluation
275 is sent to the caregiver, therefore, it is a model in which the information is analyzed
276 later and only used for the purpose of identifying peaks in mental health. Therefore,
277 the monitoring of vital data is not carried out, and the sending of information is not
278 performed immediately to the caregiver in case of danger to the patient's health, and
279 does not cover the requirements indicated in this sense.

280 Figure 1 shows the comparison between the related works analyzed and the pro-
281 posed work. The figure allows to observe that the models presented do not reach the
282 proposed objectives to complete the general aspects of monitoring vital data, GPS lo-
283 cation monitoring, analysis and results of momentary data, presentation of data to the
284 caregiver and warning of danger on the health of the immediate patient or in the model
285 of prediction to the caregiver. In addition, it is important to note that none of the works
286 studied considers making predictions about behaviors.

287 Therefore, Section 4 presents DCARE, a computational model that covers the pro-
288 posed objectives for general monitoring, data processing and sending alerts to the
289 caregiver in case of danger to the patient. DCARE uses a Context Histories framework,
290 which collects context information from the environment. Subsequently, this information
291 is processed, generating user context predictions, allowing to automatically detect future
292 actions, allowing the caregiver to have greater control and care for the patient. The main
293 scientific contribution of this work is the specification of a model for monitoring people
294 with Alzheimer's disease during Activities of Daily Living (ADLs), with the promotion
295 of access for a tool to health care and patient safety, in addition to contributing to the

Criteria	Thorpe et al., 2019	Wan et al., 2016	Nesbitt et al., 2018b	Amato et al., 2018	Lai Kwan et al., 2019	DCARE
Monitoring vital signs	No	No	Yes	Yes	Yes	Yes
Geolocation tracking	Yes	Yes	No	No	No	Yes
Result of momentary data analysis	Yes	Yes	No	No	No	Yes
Danger alert	Yes	No	No	No	No	Yes
Predictions actions	No	No	No	No	No	Yes

Figure 1. Comparison between related works.

296 development of a dataset simulator with ADL scenarios for patients with Alzheimer's
 297 disease.

298 4. The DCARE model

299 This section describes the proposed computational model for monitoring and caring
 300 for patients with Alzheimer's disease. The section is divided into three subsections.
 301 Section 4.1 details the architecture of the model and its components. Section 4.2 presents
 302 the context entities that make up the model, and finally, Section 4.3 approaches the details
 303 on Context Predictions.

304 4.1. Architecture

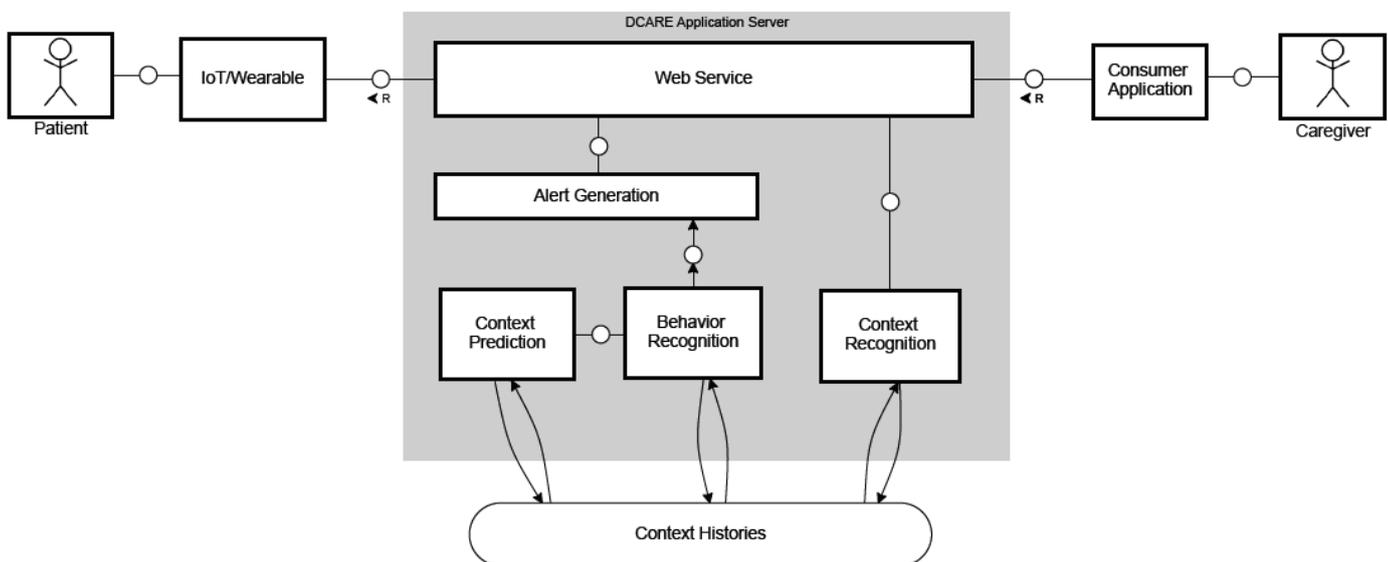


Figure 2. DCARE Architecture.

305 The DCARE architecture was designed based on the technical modeling TAM
 306 (Standard for Technical Architecture Modeling), created by the company SAP [56].
 307 Figure 2 presents architecture of the DCARE model composed of actors (Patient and
 308 Caregiver), accesses, block (DCARE Application Server) and components. The model
 309 components appear inside the DCARE Application Server block.

310 The architecture on the DCARE application server has five components and a
311 database. First, the architecture presents the communication between the model and the
312 data availability application, which is an external design application that provides the
313 GPS location information and the patient's heart rate signals collected from a wearable.
314 DCARE makes requests to the application of data availability through a API, this commu-
315 nication is carried out through the Web Service component, which has the responsibility
316 to perform the communication between external applications. After receiving the data,
317 the Context Recognition component performs data processing operations, identifying
318 contexts in a standardized way and storing them in the Context Histories component
319 (database).

320 The Behavior Recognition component presents processing methods based on the
321 use of Context Histories to identify patient behaviors related to the concept of Behavioral
322 and Psychological Symptoms of Dementia (BPSD). The analysis according to behavior,
323 is based on the criteria provided in the work of Nesbitt et al. [46], which it indicates that
324 individuals with Alzheimer's and other forms of dementia usually experience a period
325 of significant BPSD. According to Cohen-Mansfield [20], BPSDs are generally divided
326 into several categories, the most common are physical and non-physical agitation or
327 aggression, and verbal agitation. Non-physical behaviors include undressing, expressing,
328 hiding things and searching behavior. Physically aggressive behaviors include biting,
329 hitting, kicking, pushing, scratching and unwanted sexual advances. There may also
330 be verbal agitation, such as cursing, shouting and repeated actions of attention. These
331 behaviors are difficult to be managed by caregivers and are positively correlated with
332 the caregiver's suffering. According to the work of Nesbitt et al. [46], based on these
333 concepts, it is possible to relate the extracted data through a wearable with the most
334 common symptoms listed, listing routine activities or possible dangerous behaviors
335 for the health and safety of the patient. Thus, the analysis of the data that are made
336 available by the consumer application, allows a comparison with the patterns already
337 detected by the work of Nesbitt et al. [46], identifying what type of behavior the patient
338 is performing.

339 The Context Prediction component is developed based on the Machine Learning
340 concept, used to make predictions of future patients' behaviors. The objective of this
341 component is to assist the caregiver, with the analysis of the data making possible predict
342 behaviors that are dangerous to the health and safety of the patient.

343 At the end of the data processing, DCARE sends the results to the gateway Alert
344 Generation component. The Alert Generation component is built based on the mes-
345 sage queue architecture. Message queue is a type of software engineering compo-
346 nent used to communicate between processes or threads of the same process. Thus,
347 when the results of the analyzes carried out by the Behavior Recognition and Context
348 Prediction components are finalized, this information is sent to the message queue that
349 the caregiver's application is connected to. The consumer application, built externally,
350 which is used by the caregiver, performs the data request for DCARE via API made
351 available for access by the Web Service component. The consumer application receives
352 the data processed by DCARE, and then takes care of carrying out the alert action to the
353 caregiver.

354 4.2. Context Entities

355 Context sensitive architectures that use not only present contexts, but also contexts
356 about the past, need to store observed contexts for later use [27]. These works generally
357 have a well-defined domain representation through an ontology. Ontology represents
358 knowledge about low and high levels of contexts, presenting the entities involved, as
359 well as their relationship in a semantic way [58].

360 The Context Entities component of DCARE model approaches the use of the
361 concepts of the Autonomic Nervous System (ANS), which controls the physiological
362 processes of the human body (for example, cardiovascular, digestive and respiratory

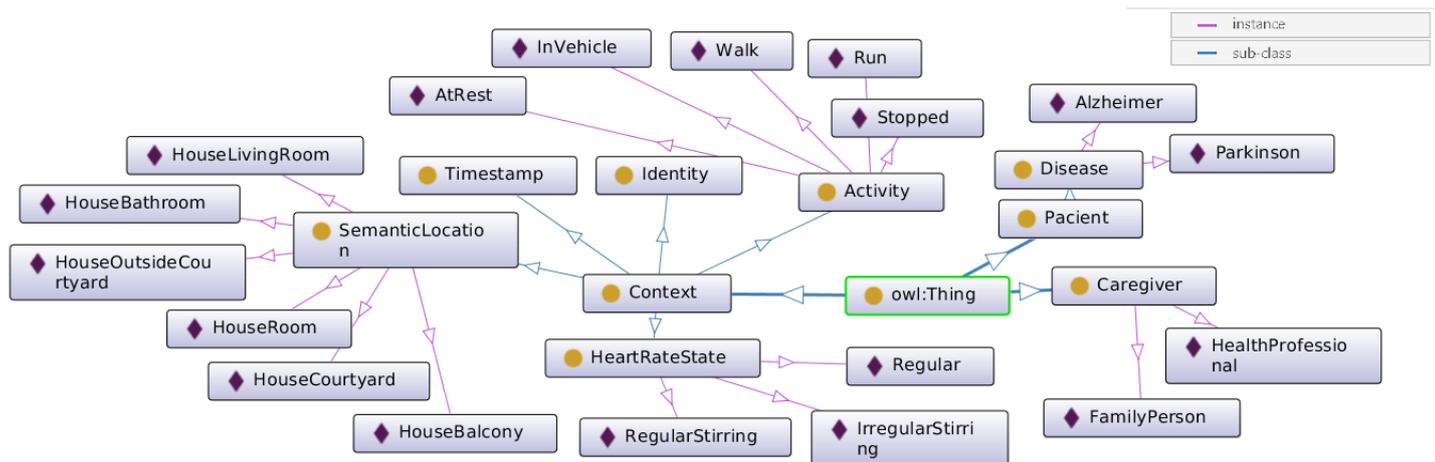


Figure 3. Context Ontology for Alzheimer's disease.

363 systems) and intervenes in involuntary responses to external stimuli [16,35]. Recently,
 364 the reach of emotions [19,57], in relation to this aspect has received attention. Physiological
 365 measures have been used to assess autonomic activity. The most common measures
 366 found in the psychophysiology literature are cardiovascular activity, skin electrical
 367 conductivity, breathing and muscle activity [35,59]. Heart Rate Variability (HRV), extracted
 368 from cardiovascular analysis (ECG - electrocardiogram), is a noninvasive physiological
 369 indicator of SNA functions that examines fluctuations in Heart Rate (HR). This fluctua-
 370 tion is represented by the time difference between two consecutive beats, also known as
 371 R-R intervals. HRV is a reliable marker of SNA activity [17,19] and a relevant marker of
 372 psychological well-being [18]. For these reasons, DCARE uses HRV as a key measure in
 373 the model.

374 Figure 3 shows the context data modeling used by DCARE, both in data acquisition
 375 and processing. The DCARE ontology is used to obtain and classify the context
 376 information of the project. The entity Caregiver represents the person responsible for
 377 providing assistance and monitoring the patient. The entity Patient has the patient's
 378 identification and the type of dementia of the patient. The reference and identification
 379 entities of the context history are Identity, which guarantees the unique identification
 380 by the user, and Timestamp, identifies the instant over time. The entities that make
 381 up the model for the processing of patients' behavior data are: Activity, HeartRate
 382 and SemanticLocation. The HeartRate entity is the representation of the variation
 383 of HRV signals, obtained by monitoring the heartbeat. The entity SemanticLocation
 384 represents the representative location of where the patient is at the moment, relating it to
 385 his geolocation. The entity Activity represents the type of movement that the patient
 386 is performing, according to HeartRate and SemanticLocation, and can illustrate four
 387 possible types of movements, which are: Stopped, Walk, Run and InVehicle. In addition,
 388 Activity also plays an essential role in how the user relates to the environment.

389 4.3. Context Prediction

390 DCARE provides the monitoring of people with Alzheimer's disease during their
 391 daily lives, monitoring the user and collecting daily information about GPS location and
 392 vital signs of the patient, which can help in more appropriate and personalized care
 393 for users, providing greater support for caregivers in the tasks of preserving the health
 394 and safety of the patient. The DCARE is based on a tool for analysis and processing of
 395 contexts using the concepts of Context Histories and Context Prediction, allowing to
 396 record data according to the selection of relevant contextual information.

397 The operation of DCARE is managed by two stages, each of which performs a
 398 specific set of tasks for the functioning of the modules. The stages are divided into:

399 (1) Data acquisition, processing/identification of contexts, and generation of Context
400 Histories; and (2) Identification of behavior, context predictions and alert generation.
401 The execution starts as soon as the data is made available by the external application that
402 collects the data. The first stage performs the collection of patient data and associates
403 it with the model's ontology, then stores the data in the database, that is, resulting
404 in the generation of Context Histories. The second stage, in the first step, performed
405 in the Behavior Recognition module, performs the identification of behaviors based
406 on the BPSD concept, performing the data identification processing, according to the
407 scenarios addressed and validated in the Section 5. Still in the second stage, in the second
408 step, performed in the Behavior Recognition module, the prediction of the patient's
409 behavior contexts is generated and the data is forwarded to the message queue. The
410 Context Prediction module is executed using the scikit-learn² library, which provides
411 functions that perform data analysis based on the concept of Machine Learning, and uses
412 as a basis for processing the stored data of Context Histories. Finishing the execution
413 flow, the consumer application has access to the final data generated by the model from
414 the message queue.

415 5. Implementation and Interviews

416 The implementation of a prototype following the specifications of the model was
417 carried out using the programming language Python, in order to allow its evaluation.
418 Thus, to develop the architecture of the project, an SQL database was used to
419 implement the Context Histories component, and the Alert Generation component
420 was made using the gateway tool RabbitMQ³, for the message queue. The imple-
421 mentation of Context Prediction component of DCARE model was develop using
422 the Machine Learning scikit-learn library, approaching specifically the module Cross
423 Decomposition/PLSRegression, to perform the model training. The implementation
424 of Context Recognition and Behavior Recognition components was based on the
425 knowledge database obtained through literature and interviews with specialists.

426 The interview technique was utilized to collect the information that was used in
427 the development of the model's scenarios to identify the different contexts that make up
428 the daily lives of Alzheimer's patients and their caregivers. This technique was chosen
429 taking into consideration the wide range of possible situations in which the patient
430 may find himself and seeking to enrich the work with scenarios that are not usually
431 highlighted in the academic literature. According to Ribeiro [52], the interview is used
432 whenever there is a need to obtain data that cannot be found in records and documentary
433 sources, which may be provided by certain people. Also according to Ribeiro [52],
434 the interview is the most pertinent technique when the researcher wants to obtain
435 information about his object, which allows to know about attitudes, feelings and values
436 underlying the behavior, which means that one can go beyond the descriptions of the
437 actions, incorporating new sources for the interpretation of the results by the interviewers
438 themselves. In order to detect the view of caregivers and health professionals about
439 their daily routine in different environments, some relevant scenarios were developed
440 based on the academic literature, where the interviewees were invited to comment and
441 contribute with information about the scenario in addition to proposing new scenarios
442 based on the objective of the work. The scenarios developed based on the literature that
443 make up the research items of the interviews are described in Table 1.

444 Table 2 shows the interviews that were carried out with the volunteers who fit
445 the caregiver positions, being a family member or health professional. The interviews
446 were conducted via video call by an application for smartphone, and were recorded and
447 saved for later analysis, according to the consent of the interviewees. The profile of the
448 interviewees is diverse, and is composed of caregivers who have experience between 1

² <https://scikit-learn.org>

³ <https://www.rabbitmq.com/>

Table 1: Scenarios developed based on the literature.

ID	Description	Heart Rate	Activity	Semantic Location	Duration in minutes	Start time/End time	It is necessary to generate a warning to the caregiver
CE1	The patient is experiencing a crisis of psychological dysfunction (BPSD symptoms - behavioral and psychological symptoms of dementia)	regularStirring / irregularStirring	stopped	houseBathroom / houseRoom / houseLivingRoom / houseKitchen / houseBalcony / houseCourtyard / houseOutsideCourtyard	1 minute	Between 00:00 AM and 11:59 PM	yes
CE2	The patient is on the run without monitoring (symptoms BPSD - behavioral and psychological symptoms of dementia)	regular / regularStirring	walk / run	houseBalcony / houseCourtyard / houseOutsideCourtyard	1 minute	between 00:00 AM and 11:59 PM	yes
CE3	The patient is experiencing a crisis of psychological dysfunction related to unknown environments (symptoms BPSD - behavioral and psychological symptoms of dementia)	regularStirring / irregularStirring	stopped / walk / inVehicle	houseCourtyard / houseOutside-Courtyard	1 minute	between 00:00 AM and 11:59 PM	yes
CE4	The patient is experiencing a respiratory/cardiac health problem	irregularStirring	stopped	houseBathroom / houseRoom / houseLivingRoom / houseKitchen / houseBalcony / houseCourtyard / houseOutsideCourtyard	1 minute	between 00:00 AM and 11:59 PM	yes
CE5	The patient is sleeping	atRest	stopped	houseRoom / houseLivingRoom	1 minute	between 00:00 AM and 11:59 PM	no
CE6	The patient is in a regular scenario	regular	stopped / walk / inVehicle	houseBathroom / houseRoom / houseLivingRoom / houseKitchen / houseBalcony / houseCourtyard / houseOutsideCourtyard	1 minute	between 08:01 AM and 11:59 PM	no

Table 2: Interviewee profiles.

Scenario ID	Professional and Academic Profile	Years of experience
CE1	Geriatric Caregiver; Senior Caregiver Course	17
CE1	Nursing technique; Nursing Technical Course	1
CE2 and CE4	Caregiver of Patients with Alzheimer's Disease; Nursing Technical Course	28
CE3	Family person	2
CE3	Family person	12

Table 3: Scenarios developed based on the interviews.

ID	Description	Heart Rate	Activity	Semantic Location	Duration in minutes	Start time/End time	It is necessary to generate a warning to the caregiver
CE1	The patient is experiencing a seizure (symptoms BPSD - behavioral and psychological symptoms of dementia)	irregularStirring	stopped / walk / run / inVehicle	houseBathroom / houseRoom / houseLivingRoom / houseKitchen / houseBalcony / houseCourtyard / houseOutsideCourtyard	1 minute and 30 seconds	between 00:00 AM and 11:59 PM	yes
CE2	The patient is in a fall scenario when trying to perform bathing or hygiene activities alone (symptoms BPSD - behavioral and psychological symptoms of dementia)	regularStirring / irregularStirring	stopped	houseBathroom	1 minute	between 00:00 AM and 23:59 PM	yes
CE3	The patient is in a scenario where he wakes up during the night and is without monitoring, with no sense of time and hours (symptoms BPSD - behavioral and psychological symptoms of dementia)	Normal / regularStirring / irregularStirring	stopped / walk / run	houseBathroom / houseRoom / houseLivingRoom / houseKitchen / houseBalcony / houseCourtyard	1 minute	night rest time, between 11:00 PM and 8:00 AM	yes
CE4	The patient is in a scenario where he has some type of infection in the body but does not show fever (symptoms BPSD - behavioral and psychological symptoms of dementia)	regularStirring / irregularStirring	stopped / walk / run / inVehicle	houseBathroom / houseRoom / houseLivingRoom / houseKitchen / houseBalcony / houseCourtyard / houseOutsideCourtyard	2 hours	between 00:00 AM and 11:59 PM	yes

449 and 28 years of age working in the care of people with Alzheimer's disease. With regard
 450 to occupation, 60% of the interviewees have a profession in the health area, caregiver of

451 the elderly and/or caregiver of patients with Alzheimer's disease, with the other 40% of
452 the interviewees being family members of the patients.

453 The interviews allowed to construct a synthesis of the data and identify common
454 behaviors performed by patients with Alzheimer's disease that can be identified as
455 dangerous to the patient's health and safety. As a result of the interviews, the scenarios
456 previously identified based on the literature were approved, and four more scenarios
457 were added for validation according to Table 3. Resulting in ten scenarios to be used by
458 the model.

459 6. Evaluation and Results

460 This section describes the evaluation and results of the model. The section is divided
461 into two subsections. Section 6.1 details the evaluation aspects. Section 6.2 describes
462 how model was evaluated, and finally, Section 6.3 presents the lessons learned.

463 6.1. Evaluation Aspects

464 The tests were performed with a dataset with 1025 samples generated by DCARE
465 Dataset Simulator. The samples were generated randomly, taking into account the
466 impartiality of the simulator in the choice.

467 The tests were carried out using scikit-learn library, approaching specifically the
468 module Model Selection/Cross Validation Predict. The evaluations, with already
469 trained model, were made to generate context predictions with ten splits, that is, ten
470 different ones executions with different cuts from the dataset. The generation of the
471 metrics from the tests were made using too scikit-learn library, approaching specifically
472 the modules Metrics/R2 Scores and Metrics/ Mean squared error.

473 Table 4 shows the average accuracy of the model in relation to context predictions
474 according to different sample quantities. The smallest amount of samples used (100)
475 allowed to identify the lowest average accuracy with 97.3%, and with the largest amount
476 of samples (1025) it is possible to identify that the average accuracy is high, reaching
477 97.5%.

Table 4: Accuracy tests of the DCARE model.

Number of samples	Average accuracy
100	97,3%
200	97,4%
400	97,5%
600	97,5%
1025	97,5%

478 From the different tests performed, changing the amount of samples used, it was
479 possible to identify that the general accuracy of the model is 97.44%. Based on the
480 simulations carried out on the data, it can be concluded that the model was effective, in
481 order to predict scenarios dangerous to the health or safety of the patient in a predictive
482 way.

483 A Confusion Matriz (Figure 4) allowed to further detail the results. In this way, it
484 is possible to identify results related to False Positives (15 cases), False Negatives (11
485 cases), True Positives (22 cases), and True Negatives, in which 978 cases were found.

		Detected	
		22	11
Real	15		
	978		

Figure 4. Confusion Matrix.

486 6.2. Model Evaluation

487 The evaluation was carried out using the acceptability test based on the data
 488 collected through the interviews described in Section 5. The records that represent
 489 instances of the scenarios used in the tests were generated with the DCARE Dataset
 490 Simulator tool. The application was built using the Python programming language
 491 as a base. The simulator takes into account each of the items specified in Section 4.2.
 492 Each simulation is generated according to the random choice of items in the structure.
 493 During the simulations, all items are replaced by a dictionary model, where each element
 494 receives an enumerator as an identifier.

495 The construction of the simulator was carried out according to the enumerated data
 496 identified with a dictionary model, presented in Table 5. For the generation of dataset,
 497 the scenarios identified in Section 5, were built with the structure:

- 498 • (1) id_cenario: enumerated identification of the scenario;
- 499 • (2) heart_rate_state: state of the heart rate for the duration specified in the context;
- 500 • (3) activity: behavior of the patient specified in the context duration;
- 501 • (4) semantic_location: significant patient's location according to the daily living
 502 space;
- 503 • (5) duration in minutes: duration of the context (in minutes);
- 504 • (6) timestamp: start and end date of the context;
- 505 • (7) necessary_alert_caregiver: if there is a need to send a danger alert to the care-
 506 giver.

Table 5: DCARE Dataset Simulator dictionary structure data.

Item Description	States of each item
State Heart Rate	atRest (1), regular (2), regularStirring (3), irregularStirring (4)
Activity	stopped (1), walk (2), run (3), inVehicle (4)
Semantic Location	houseBathroom (1), houseRoom (2), houseLivingRoom (3), houseKitchen (4), houseBalcony (5), houseCourtyard (6), houseOutside-Courtyard (7)
Caregiver Alert Required	false (0), true (1)

507 The scenarios used in the construction of the dataset were built according to the
 508 Table 6, and generated in the file format *csv*.

509 6.3. Lessons Learned and Limitations

510 This research focused on a solution that approaches smart environments [61] ori-
 511 ented to the healthcare of people with Alzheimer's Disease. During this study, many
 512 lessons were learned as a consequence of this applied project. Alongside the main activ-
 513 ities, some insights emerged resulting from technical experience of design, modeling,
 514 and development of software artifacts, besides the implementation of DCARE Simulator.
 515 Moreover, other ideas were documented reporting medical and social aspects learned
 516 while the relationship with patient's familiars and healthcare professionals happened.

517 Therefore, twenty learned lessons were organized into three groups, that is, techno-
 518 logical, methodological and medical. Table 7 summarizes these items, mapping these
 519 observations of this work.

520 In addition, this study is an initial validation of the viability of the clinical use of the
 521 DCARE model. Therefore, some limitations were identified during its execution. The
 522 first limitation was the reduced number of pairs of caregivers and patients who used the
 523 DCARE, and none of the patients were final users.

524 The second limitation was that the time using the prototype was not sufficient to
 525 collect enough insights to analyze both the engagement and effectiveness in DCARE
 526 usage in contrast to the traditional approach.

Table 6: Scenarios used to generate DCARE Dataset Simulator datasets.

ID	Description of the scenario	(1)	(2)	(3)	(4)	(5)	(6)	(7)
CN1	Psychological dysfunction crisis	1	3, 4	1	1, 2, 3, 4, 5, 6, 7	1		1
CN2	Escape without Monitoring	2	2, 3	2, 3	5, 6, 7	1		1
CN3	Psychological Dysfunction in an unknown environment	3	3, 4	1, 2, 4	7, 8	1		1
CN4	Respiratory Health Problem	4	4	1	1, 2, 3, 4, 5, 6, 7	1		1
CN5	Sleeping	5	1	1	2, 3	1		0
CN6	Normal Scenario	6	2	1, 2, 4	1, 2, 3, 4, 5, 6, 7	1	"08:01:00", "22:59:59"	0
CN7	Health crisis, seizure	7	4	1, 2, 3, 4	1, 2, 3, 4, 5, 6, 7	2		1
CN8	Fall in bathing/hygiene activities	8	3, 4	1	1	1		1
CN9	Awake during unaccompanied dawn	9	2, 3, 4	1, 2, 3	1, 2, 3, 4, 5, 6	1	"23:00:00", "08:00:59"	1
CN10	Infection, but without fever	10	3, 4	1, 2, 3, 4	1, 2, 3, 4, 5, 6, 7	120		1

Table 7: Lessons learned.

Item	Category	Description
1	Medical	People with Alzheimer's disease have peculiar characteristics that differ from other types of Dementia and Neurodegenerative diseases that need to be observed. Especially the BPSD events.
2	Medical	The architecture of the solution must address the various mental disorders and behaviors that a patient with Alzheimer's disease can manifest. It may be physical, mental or emotional, including loss of cognition and memory.
3	Medical	Vital signal analysis, mainly the heart rate variation and sweating, besides movement and location data are highly valued by experts.
4	Medical	The monitoring, follow-up and diagnostic are important. However, the predictive approach, in order to support caregivers and family members, is even more strategic as it can assist in the treatment and prevention of damage from the disorders manifested by the person with AD.
5	Medical	The holistic view of the context's history, encompassing not only isolated events, is of great value to the caregiver, doctors and family members, as it helps in monitoring and serves as a support for decision-making in terms of treatments, medications and other clinical interventions. Allowing even more detailed monitoring of disease progression.
6	Medical	The use of technologies for the monitoring and follow-up of patients with neurodegenerative diseases in a non-intrusive way in their ADLs is a more natural approach. It tends to generate more qualified results because the conditioning of tests in offices or clinics may not accurately represent the reality of the patient or there may be losses due to time lapses between the assessment and the BPSD events that are sporadic and random. Therefore, the detection or even more positively the prediction of BPSD events can contribute significantly to the increase in the quality of life of people with AD. As well as the storage and management of context's history can add new insights for caregivers and family members involved in daily patient's healthcare routines.
7	Technological	The alert notification proved to be a important resource and valued by the evaluators, as it expands the capacity for monitoring and assisting the patient, even remotely. It was praised both by family members and by specialists participating in this study. This feature is a promising resource that can positively assist the healthcare of people with Alzheimer's Disease.
8	Technological	The development of an app with an accessible interface is helpful for people with Alzheimer's Disease. There are few solutions for monitoring and predicting adverse events for these people.
9	Technological	The use of data related to vital signs, especially HR, proved to be fundamental for the analysis of BPSD events.
10	Technological	The use of unobtrusive wearables is more natural and accepted by patients. This approach enables health monitoring without overexposing privacy like some approaches that use video monitoring.
11	Technological	Ontology was an essential software artifact for modeling the simulated scenarios and standardizing attributes. Besides, it enables easy communication between those involved in the project and aligning the technical aspects of the application.
12	Technological	The application of machine learning algorithms using the Python language and the scikit learn library proved to be assertive and effective for the modeling and assessment of the experiments.
13	Technological	Smart environments that employ diverse sensors and wearables are a promising approach to aid in the monitoring and treatment of AD People.
14	Technological	The absence of standardized datasets was observed. DCARE Simulator allows the sharing of the generated dataset. It also could generate new customized datasets.
15	Technological	The generation of simulated scenarios contributes to experimentation, especially because the people are patients with special needs. Nowadays, it is a period of restriction due to the pandemic. Therefore, the DCARE simulator can help to overcome the limitations of assessments.
16	Technological	Simulator could have considered profile customization and profile balancing to avoid possible bias in the generated data set.
17	Technological	People's location is a key aspect in supporting the healthcare of AD people, mainly indoor. However, it appears that the precision in an indoor location still lacks greater accuracy and accessibility as occurs outdoors.
18	Methodological	The mixed approach of obtaining scenarios via literary review combined with the description of scenarios via interviews with specialists and family members of AD people was an assertive choice. It was essential to make the evaluation process more assertive and to qualify the generation of the scenarios generated by the simulator. This approach makes possible a great approximation of the reality of AD people, without exposing them to risks, nor to any resistance or inconvenience of eventual long term and continuous monitoring.
19	Methodological	The use of the Technical Architecture Modeling (TAM) modeling methodology, created by the SAP company, proved to be favorable in the standardization of DCARE architecture.
20	Methodological	The evaluation of usability, usefulness and technology acceptance by users would add even more value to the solution. It could shorten the distance between the application interface features to the real needs and preferences of AD people.

527 7. Conclusion and Future Works

528 This work presented in detail the model DCARE for monitoring people with
529 Alzheimer's disease, in a scenario of day-to-day care by their caregivers. Based on

530 studies of the works related to the theme, the opportunity to develop a model to offer
531 monitoring of patients with Alzheimer's disease was noted, enabling individual care
532 for each user, in which the analyzes performed result in the prediction and/or alert
533 possible dangers to the health and safety of the patient. The data collected based on the
534 Context Histories concept are analyzed and processed, resulting in the understanding
535 of behaviors or prediction of future behaviors of the patient, generating an alert to the
536 caregiver if it demonstrates any type of danger to the health or safety of the user, these
537 being the great differentials in relation to the current state of the art.

538 The construction of the scenarios that were used in the development of the model,
539 was carried out in the interviews with five volunteers, with profiles of health profession-
540 als and family members. The mass of data for carrying out the tests was generated by
541 DCARE Dataset Simulator. The results of the tests based on the predictions of contexts
542 showed that the developed model met the objective of the project, reaching 97.44% of
543 general rate of accuracy of prediction of scenarios. Based on the simulations performed
544 on the data, it can be concluded that the model was effective, in order to predictively
545 predict scenarios of danger to the health or safety of the patient, in a predictive way.

546 The main scientific contribution of this work is the specification of a model for
547 monitoring people with Alzheimer's disease during their daily lives, resulting in helping
548 their caregivers, interacting with technological resources, promoting access to a tool
549 for health care and patient safety, in addition to contributing to the development of a
550 datasets simulator with scenarios of daily activities of patients with Alzheimer's disease.

551 As a social contribution, we can highlight the development of a new resource for
552 use within the area of mental health care, collaborating with the development of an
553 application that can be widely used by caregivers.

554 Based on the studies of this work, new possibilities arise for the continuation
555 of future works. The use of the model for people with other types of progressive
556 neurodegenerative diseases is promising, especially due to the daily monitoring feature,
557 generating important information for caregivers about the individual health of each user.

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580 **Abbreviations**

581 The following abbreviations are used in this manuscript:

582

AD	Alzheimer's Disease
ADL	Activity of Daily Living
AmI	Ambient Intelligence
BPSD	Behavioral and Psychological Symptoms of Dementia
583 GDP	Gross Domestic Product
HRV	Heart Rate Variability
ICT	Information and Communication Technologies
IoT	Internet of Things
WHO	World Health Organization

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