

## Article

# Novel candidates for palladium alloys – enhanced strength and ductility - generalized stacking fault energy calculations

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**Abstract:** Generalized stacking fault energies of palladium alloys were calculated using the density functional theory. The stacking fault energy of palladium alloys is correlated with the valence electron of the transition metal element. The twinning tendency is also modified by the presence of an alloying element in the plane of deformation. The obtained results suggest that Pd–transition metal alloys with elements such as Cr, Mo, W, Mn, Re are expected to exhibit high work hardening rate due to the tendency to emit of the partial dislocations and mechanical twins, which results in increased strength and ductility.

**Keywords:** palladium; palladium alloys; generalized stacking fault energy; twinnability; stacking fault energy; ab initio calculations

## 1. Introduction

Noble metals are an interesting group of materials due to their specific properties, i.e. conductivity, chemical and thermal stability, which are widely used in functional applications [1]. Mechanical properties of noble metals have also been recently investigated for stacking fault energy (SFE), partial dislocation emission (PDE) and mechanical twinning (MT) [2–4]. Metals with low SFE exhibit high density of stacking faults (SFs) and twins, which results in high ductility and strength [5]. The MT of metals with high SFE can significantly improve the mechanical properties, but it is observed only in ultrafine materials [6]. However, twinnability can be facilitated by an alloying element that decreases SFE [7,8].

Moreover, Tadmor and Bernstein showed that the deformation twinning tendency in a face-centered cubic (fcc) lattice depends on the unstable stacking fault energy (USFE) and the unstable twinning energy (UTE) [9]. The USFE and the UTE are the maximum energies reached during formation of stacking fault and twin, respectively, which can only be estimated by atomic-scale calculations. Tadmor's and Bernstein's method is based on the estimation of the generalized stacking fault energy (GSFE) for specific alloys. GSFE has been computed for a number of fcc metals and their alloys, including Al [7], Cu [10], Ni [11]. Experimental examination confirmed that GSFE calculations predicted correctly the lower SFE and twinning occurrence. Calculations provided that even in the case of Al (SFE=162 mJ/m<sup>2</sup>), some alloys have promising twinnability properties [7,12], and then the twins were observed in Al alloys by transmission electron microscopy (i.e. in Al-Li [13], Al-Mg [14], Al-Mg-Si [15]).

Palladium exhibits unique chemical and mechanical properties [16]. PDE and MT are not observed in this material when in a coarse grained form due to high SFE [6].

However, Kurmanaeva et al. observed that adding Ag to the Pd matrix leads to formation of SFs and twins; strength and ductility are also improved [17]. Many other elements can have a similar effect on Pd properties as Ag, but systematic GSFE studies of Pd alloys have not been performed yet.

In our paper the GSFE of 23 Pd-transition metal alloys have been calculated. SFE, USFE, UTE and twinning energy (TE) were analyzed in terms of PDE and MT. The most

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promising Pd alloys from the MT point of view were selected. Our calculations predict that Pd alloys containing elements such as Cr, Mo, W, Mn, and Re can have increased strength and ductility than Pd-Ag.

## 2. Methods

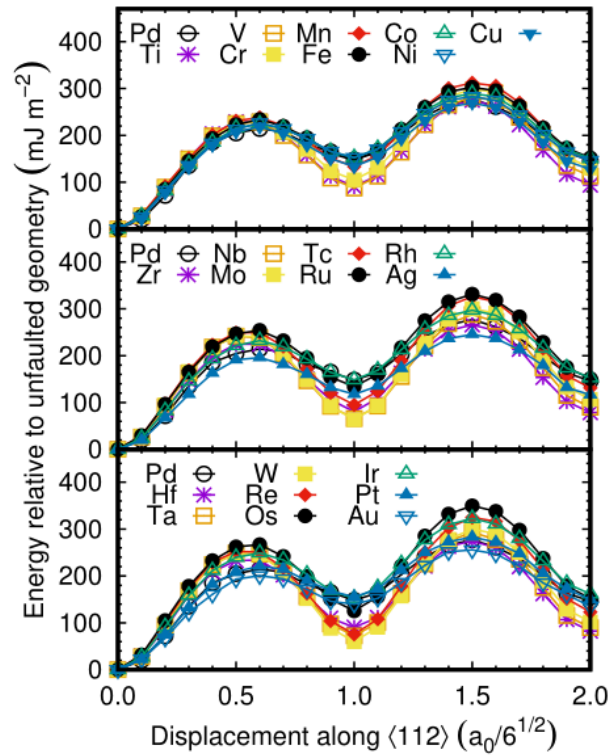
The calculations were based on the density functional theory (DFT) implemented in the Vienna Ab-initio Simulation Package (VASP). GSFE calculations were performed using projector augmented wave potentials and generalised gradient approximation, with electron exchange-correlation described by Perdew-Burke-Ernzerhof (PBE) parameterization [18–21]. The electronic occupancy of 0.2 eV Fermi smearing and plane-wave cut-off energy of 400 eV were used. The Monkhorst-Pack scheme was used to sample the Brillouin zone with an  $8 \times 8 \times 1$  k-point mesh. Ion relaxation was terminated when the energy convergence was greater than  $10^{-4}$  eV. GSFEs were calculated using a periodic supercell of twelve (111) planes with a  $2 \times 2$  slab geometry giving 48 atoms in the system. The energies were calculated for the configurations obtained by displacing one part of the crystal on the remaining part towards  $\langle 112 \rangle$  on the (111) plane. GSFE was calculated by displacing a part of the model in two operations. In the first process, half of the crystal was displaced (1-6 layers of the supercell). In the second step, the second part of the crystal was shifted in the opposite direction (8-12 layers of the supercell) (see Figure 1 in Ref. [7]). GSFE calculations were performed for Pd and systems with one Pd atom in the slip plane replaced with an alloying element. Taking into account the size of the supercell, the concentration of the alloying elements was approximately 2%at. The vacuum space (6 Å) and relaxation of the position of atoms perpendicular to the free surface were applied. The shape and volume of the cells have been kept fixed during relaxation.

## 3. Results and discussion

GSFE of Pd and their selected alloys are shown in Figure 1. Each line represents Pd or one Pd alloy deformed on (111) plane along the  $\langle 112 \rangle$  direction. First maximum on the plot is the unstable stacking fault energy (USFE); this is the energy barrier on the path to stacking fault formation. First minimum is stacking fault energy (SFE). Second maximum is the unstable twinning energy (UTE); this is the energy barrier to twin formation. Second minimum is the twin energy (TE) and TE is located at 2.0 displacement unit. The USFE, SFE, UTE and TE values for Pd and Pd alloys are included in the Appendix A in comparison with the available experimental and computational results (see Table A.1 and Table A.2).

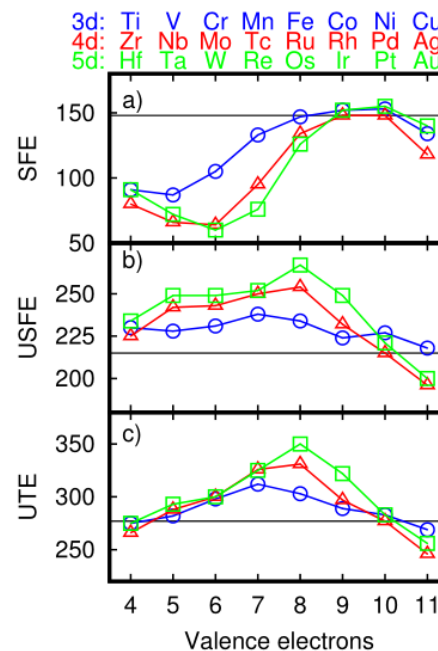
The SFE, USFE and UTE are shown in Figure 2 as a function of the valence electrons (VEs) of the alloying element. Palladium SFE is equal  $148 \text{ mJ/m}^2$  (i.e. SFE of Cu is  $45 \text{ mJ/m}^2$  [10], SFE of Al is  $162 \text{ mJ/m}^2$  [12]). SFE values for Pd alloys showed characteristic trend with a minimum for 5-6 VEs (3d: V, 4d: Mo, 5d: W). The SFE value increases slightly below the pure Pd value for 9-10 VEs metals (3d: Co, Ni; 4d: Rh; 5d: Ir, Pt) and decreases for the filled d-band elements (Cu, Ag, Au).

USFE (Figure 2b) exhibits higher values for almost all the alloying elements considered, except Ag and Au. 3d (Ti-Cu) alloying elements change the USFE slightly. Elements 4d and 5d follow a very similar trend, namely increasing to 8 VEs (Ru, Os) and then decreasing below the value of pure Pd ( $215 \text{ mJ/m}^2$ ) for Ag and Au ( $196 \text{ mJ/m}^2$  and  $200 \text{ mJ/m}^2$ , respectively).



**Figure 1.** The generalised stacking fault energy (GSFE) of Pd and Pd-transition metal alloys. 3d-, 4d- and 5d-shell electron elements are presented from top to bottom.

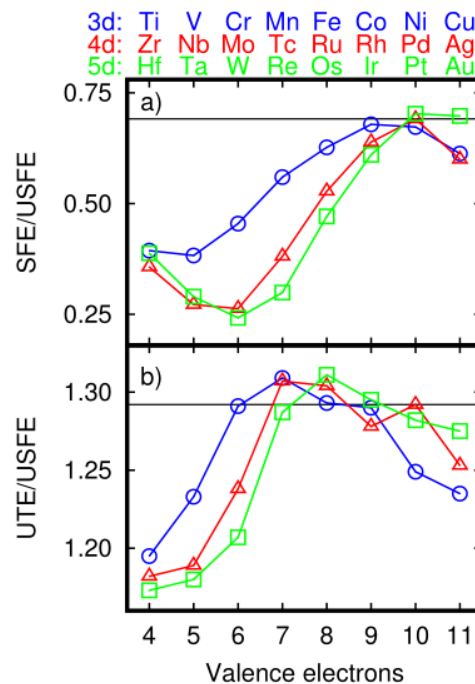
The UTE value trend reaches its maximum for: 7 VEs in 3d; 8 VEs in 4d and 5d, respectively. As shown in Figure 2c), the UTE values change similarly for 3d, 4d and 5d alloying metals as a VEs function, except for 8-9 VEs metals, where the difference is visible. The similar trend has been observed in Ni-transition metals alloys. The maximum of SFE is located for 10 VEs metals as for Pd alloys. The minimum of SFE in Ni alloys is almost located at the same position (3d: V, 4d: Mo, 5d: Re) [22,23].



**Figure 2.** Energy trends: a) stacking fault energy (SFE), b) unstable stacking fault energy (USFE), c) unstable twinning energy (UTE) in Pd transition metals alloys as a function of valence electrons (VEs) in the alloy element. Black tiny lines are the analyzed Pd value (SFE = 148 mJ/m², USFE = 215 mJ/m², UTE = 277 mJ/m²). SFE has a lower value for 5 VEs metals in period 3d; 6 VEs in periods 4d

and 5d. USFE and UTE both reach maximum at 8 VEs, then the energies drop. All energies are in  $\text{mJ}/\text{m}^2$ .

The deformation mechanism and twinning tendency are expected to depend on the values of SFE/USFE and UTE/USFE [9], which was confirmed [12,24]. The criteria have been developed by Rice [25] and Tadmor et al [26] based on the concept of Peierls. Analysis of the nucleation of dislocation from the crack tip leads to understanding that depth of SF and TE compared to USFE and UTE is crucial for the preferred deformation mechanism, respectively. When the analyzed alloying element causes these parameters to be lower than in pure metal (a comparable state), the PDE and MT are facilitated [10]. As can be seen from SFE/USFE ratio, the formation of SF is facilitated by alloying elements, which decrease the SFE and increase the USFE compared to Pd (the reference value of pure Pd is marked by black tiny lines in Figure 2 and Figure 3). From Figure 2a and Figure 2b, we see that these elements are at the beginning of each period. Figure 3 illustrates the ratio of SFE/USFE and UTE/USFE as a function of VEs. The curvature of the SFE/USFE ratio is similar to that of the SFE (see Figure 2a). The minimum is located for 5 VEs (V) and 6 VEs (Mo, W), then the SFE/USFE ratio increases to a value close to Pd for 10 VEs (Ni, Pt). In the case of metals with a full d-electron shell (Cu, Ag, Au) the value drops again. Note that several elements bring the SFE/USFE even below 0.3 (Nb, Ta, Mo, W, Re). To the best of the authors' knowledge, these alloys have not been tested yet for the deformation mechanism. However with V-Pd alloys, SFs during deformation are widely observed [27]. This is an important experimental study that supports the results of our calculations. Pd with added V has the lowest SFE in all 3d transition metal impurities. The calculated SFE/USFE value of the Pd-V alloys is 0.383. SF emission should be easier with alloying metals with a lower SFE/USFE ratio than with Pd-V alloy (i.e. Pd-Mo, Pd-W).



**Figure 3.** a) Ratio of the stacking fault energy (SFE) to the unstable stacking fault energy (USFE) in Pd transition metal alloys. The thin black line represents the SFE/USFE value for Pd (0.691). The lowest SFE/USFE value means that the alloying element facilitates the partial dislocation emission (PDE). The analyzed value has a specific shape. Zr and Hf greatly increase the value and inhibit PDE. The lowest is for metals with seven valence electrons (VEs), which are the most promising transition metals elements from the PDE point of view. As VEs increases, SFE/USFE increases to the Pd value. b) A measure of twinnability is the ratio of the unstable twinning energy (UTE) to the unstable stacking fault energy (USFE). Increasing UTE/USFE reduces twinning. The tiny black line is the UTE/USFE value for Pd (1.292). The results show a significant trend in twinnability, similar to PDE.

The lower UTE/USFE ratio increases the twinnability. The UTE/USFE ratio for Pd alloys is equal 1.292 (the value is marked with the black line in Figure 3b). As can be seen in Figure 3b, the deformation twinning is facilitated by impurity elements with 4-6 VEs (except Cr); 11 VEs and Ni (10 VEs). The remaining elements have no significant influence on the twinnability of Pd, as the UTE/USFE ratio is close to pure palladium ratio. Compared to the SFE/USFE ratio, we can see that elements with 7-8 VEs improve SF formation. By combining the SFE/USFE and UTE/USFE ratios, limited number of impurities can be selected as an interesting candidate from the point of the effect of MT on improving strength and ductility.

Measurements of the twinnability of the Pd alloys proposed in our work confirm the results of experimental tests of Pd-Ag alloys. The addition of silver to the Pd matrix leads a reduction of the average grain size from 240 nm in pure Pd to 144 nm in Pd-40% Ag alloy processed by high pressure torsion; strength and ductility of Pd-Ag alloys improved by 60% and almost 200%, respectively. The addition of Ag leads to enhanced dislocation storage and strain hardening capacity due to lower stacking fault energy [17]. Calculations also reveal that Ag improves PDE and MT. The computational results of SFE/USFE and UTE/USFE ratio of the Pd-Ag alloy have a beneficial tendency to promote ductility and strength, which is confirmed by experimental studies.

From the group of Pd alloys, only the Pd-Ag alloy has been investigated for strength and ductility. For this reason, we cannot compare our results with any other alloys. On the other hand, Ti-Pd alloys are widely studied due to their high martensitic transformation temperature (MTT) [28]. The increase of the Ti concentration in Pd reduces the MTT which may be due to the facilitation of crystal plane slip. However, the martensitic transformation occurs between different structures to fcc, but the influence of impurities on material properties is generally independent of the crystal structure. The SFE/USFE and UTE/USFE values of the Pd-Ti alloys reveal that Ti significantly improves the Pd plane slip in the fcc structure, which is confirmed by the available experimental studies.

Other results indicate that the addition of copper to the Pd matrix causes a decrease in SFE [29], which is in agreement with our calculations. Unfortunately, there is no information about the mechanical properties of the Pd-Cu alloys.

Similar calculations have been performed for Cu, where thirty Cu alloys were examined from the point of view of SF and twins formations [10]. The Cu-GSFE calculations showed that 6-7 VEs TMs elements have a significant effect on the SFE/USFE ratio, but twinnability is only slightly modified by impurity. Pd has a potentially greater ability to control the PDE by adding an alloying element, comparing to Cu.

#### 4. Conclusions

Pd alloys have not been extensively studied for deformation mechanism. Only the Pd-Ag alloy has been investigated for ductility and strength to the best of the author's knowledge. Our research confirmed that the GSFE calculations provide important materials' properties such as USFE, UTE, which are not available for experimental measurements.

The main results of the GSFE calculations for Pd alloys are summarized in the following points:

- based on GSFE calculations and available experimental studies on Pd transition metal alloys, several promising candidates for alloys with improved strength and ductility were selected;
- the most promising elements in terms of PDE and MT are those with 5-6 VEs;
- Pd-X (X= Cr, Mo, W, Mn, Re) binary alloys can exhibit extraordinary enhancement of PDE and MT;
- experimental results of Pd-Ag alloys confirmed our GSFE findings that Ag decreased SFE/USFE and UTE/USFE resulting in improved MT and strength;
- based on Pd-Ag alloys, selected Pd alloys may exhibit requested mechanical properties.

Based on GSFE calculations compared with available experimental results, a new class of Pd alloys was proposed. Experimental verification may deliver interesting insight to Pd alloys properties.

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**Conflicts of Interest:** The author declares no conflict of interest.

## Appendix A

Table A.1. Calculated values of unstable stacking fault energy (USFE), stacking fault energy (SFE), unstable twinning energy (UTE) and twinning energy (TE) for Pd. The available results of experimental research (Exp.) and other calculations (Calc.) are presented. All energies are in mJ/m<sup>2</sup>.

	USFE	SFE	UTE	TE	SFE/USFE	USFE/UTE
Pd	215	148	277	146	0.691	1.288
Calc.	202[30]	134[30]	261[30]	63 [30]	0.663[30]	1.292[30]
	260[29]	143[29]	361[31]	97[34]	0.550[29]	1.259[31]
	287[31]	168 [31]			0.585[31]	
	374[32]	220[32]			0.588[32]	
		225[33]				
Exp.		180[35,36]		106[33]		
		179[17]				
		96[2]				
		190[37][38]				

Table A.2. Calculated values of unstable stacking fault energy (USFE), stacking fault energy (SFE) and unstable twinning energy (UTE) for Pd and Pd-transition metal alloys. The available results of experimental research and other calculations are presented. All energies are in mJ/m<sup>2</sup>.

3d:	Ti	V	Cr	Mn	Fe	Co	Ni	Cu
USFE	230	228	231	238	234	224	227	218
SFE	91	87	105	133	147	152	153	134
								~150[36]
UT	275	282	298	312	303	289	283	269
TE	94	113	131	147	152	151	146	127
SFE/USFE	0.394	0.383	0.455	0.560	0.627	0.679	0.673	0.613
UTE/USFE	1.195	1.233	1.291	1.309	1.293	1.290	1.248	1.235
4d:	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag
USFE	225	242	243	250	254	232	215	196
SFE	80	66	64	95	134	149	148	118
								81[2]
								118[17]
								~120[36]
								125[38]
UTE	266	288	300	326	331	297	277	246
TE	79	90	107	131	151	153	146	116
SFE/USFE	0.357	0.272	0.263	0.381	0.528	0.639	0.691	0.600
UTE/USFE	1.182	1.189	1.238	1.307	1.304	1.278	1.292	1.253



5d:	Hf	Ta	W	Re	Os	Ir	Pt	Au
USFE	234	249	249	252	267	249	221	200 ~210[4]
SFE	91	72	60	76	126	152	155	140 ~150[4]
UT	275	293	300	325	350	322	283	256 ~280[4]
TE	83	90	102	123	152	161	149	135
SFE/USFE	0.388	0.290	0.242	0.299	0.471	0.610	0.703	0.698
UTE/USFE	1.173	1.180	1.207	1.287	1.311	1.295	1.282	1.275

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