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Iminopyridine Ni(II) catalysts affording oily hyperbranched oligoethylenes and/or crystalline polyethylenes depending on the reaction conditions: possible role of in situ catalyst structure modifications

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Abstract: Nickel-based ethylene polymerization catalysts have unique features, being able to produce macromolecules with a variable content of branches, resulting in polymers ranging from semicrystalline plastics to elastomers to hyperbranched amorphous waxes and oils. In addition to Brookhart’s α-diimine catalysts, iminopyridine Ni(II) complexes are among the most investigated systems. We report that Ni(II) complexes bearing aryliminopyridine ligands with bulky substituents both at the imino moiety and in the 6-position of pyridine afford either hyperbranched low molecular weight polyethylene oils or prevalently linear crystalline polyethylenes or both depending on the ligand structure and the reaction conditions. The formation of multiple active species in situ is suggested by analysis of the post-polymerization catalyst residues, showing the partial reduction of the imino function. Some related arylaminopyridine Ni(II) complexes were also synthesized and tested, showing a peculiar behavior, i.e. the number of branches of the produced polyethylenes increases while ethylene pressure increases.

Keywords: olefin polymerization; nickel; catalysts.

1. Introduction

Following the breakthrough discoveries of Ni(II) α-diimine catalysts by Brookhart et al.[1,2], a huge number of studies from both academia and industry focused on the development of new late-transition metal olefin polymerization catalysts over the last two decades. Among the large variety of ligand structural variations, the arylimino-pyridyl moiety was one of the most investigated, after the independent successful studies of penta-coordinate 2,6-bis(arylimino)pyridyl Fe(II) and Co(II) complexes by the groups of Brookhart [3] and Gibson [4]. In addition to catalysts supported by such tridentate [N,N,N] ligands, a large number of complexes of bidentate [N,N] iminopyridine ligands with divalent metals like Fe(II), Co(II), and especially Ni(II) and Pd(II) have been synthesized and tested in the polymerization of ethylene [5, 6] and in the copolymerization of ethylene with polar vinyl monomers, such as acrylates [7, 8]. Simple modification of the steric and electronic features of the (imino)pyridine ligands and their usually easy preparation allowed a fine tuning of the polymerization/oligomerization performance of their metal complexes. E.g., limiting the discussion to Ni(II) complexes, early studies by Laine et al. [9] reported complexes bearing 2,6-alkyl-phenyl substituents on the imino moiety, producing nearly linear or moderately methyl branched low molecular weight polyethylenes (see Scheme 1, A). Introduction of a methyl substituent in the 6-position of pyridine resulted in the production of a more linear polyethylene with slightly higher molecular weight and lower activity [10]. A related ligand modification introducing a 2,6-dialkylphenyl substituent in the 6-position of pyridine resulted in prevailing...
ethylene dimerization to 1-butene with minor amounts of C6, C8 and higher oligomers [11]. A large number of complexes with increasing steric bulk on the arylimino moiety of the ligand, e.g. o-benzhydryl, dibenzhydryl or dibenzocycloheptyl substituents but no substituents on the pyridine moiety, have been synthesized and tested by the group of Sun [12-16], resulting in thermally stable and highly active catalysts yielding low molecular weight moderately branched polyethylenes (Scheme 1, B). Incorporation of very bulky 8-arylnaphthyl substituents on the imino moiety [17], blocking only one of the two coordination sites at the metal center, resulted in catalysts producing moderately branched polyethylenes with increased molecular weight (∼10^4 g mol⁻¹) (Scheme 1, C).

![Scheme 1. Some relevant previously reported iminopyridine Ni(II) catalysts.](image)

In a previous paper [18] we reported the production of hyperbranched low molecular weight polyethylene oils by the iminopyridine complex 1 (see Scheme 2) activated by AlEt₂Cl. Both the typical degree of branching (= 100 branches per 1000 carbons) and the molecular weight of the produced samples (= 1000 Da) were poorly sensitive to the reaction conditions. However, during that study, we noticed that when the polymerization was performed at high monomer pressure and low temperature (e.g. 45 atm and 20 °C), traces of solid polymer were obtained together with the low molecular weight polyethylene oils. We have now investigated complex 1 and some related Ni(II) complexes in ethylene polymerization also at sub-ambient temperature and high pressures, resulting in the production of solid polymers, in some cases consisting of fractions with different crystallinities, either as the only product or together with a methanol-soluble oily fraction. The possible role of the in situ formation of multiple active species owing to ligand structure modifications has been evaluated by analysis of the catalyst residues after the reaction and by the synthesis and testing of the corresponding aminopyridine Ni(II) complexes. For the latter catalysts, we have found a dependence of the branching degree on the ethylene pressure which is opposite to that usually found, i.e. the number of branches increases at increasing pressure.

2. Experimental Section

2.1 General Conditions.

All procedures sensitive to air or moisture were performed under a nitrogen atmosphere using standard Schlenk techniques. Glassware used were dried in an oven at 120 °C overnight and exposed three times to vacuum–nitrogen cycles. Solvents were dried by refluxing over either CaH₂ for dichloromethane or metallic sodium for toluene and o-dichlorobenzene and then distilled under nitrogen. Deuterated solvents were purchased from Aldrich and stored in the glovebox over 3 Å molecular sieves before use. All other reagents were purchased from Aldrich and used as received. Ethylene was purchased from SON and used without further purification.

2.2 Characterization Methods
2.2.1 Nuclear magnetic resonance spectroscopy (NMR)

The NMR spectra were recorded on a Bruker Advance 400 and a Bruker 600 MHz Ascend 3 HD spectrometers.

$^1$H NMR spectra are referenced using the residual solvent peak at δ 7.26 for CDCl$_3$ and δ: 6.93, 7.19 for C$_6$D$_4$Cl$_2$. $^{13}$C NMR spectra are referenced using the residual solvent peak at δ 77.16 for CDCl$_3$ and δ: 127.19, 130.04, 132.39 for C$_6$D$_4$Cl$_2$.

2.2.2 Size-exclusion chromatography (SEC)

The molecular weights (M$_n$ and M$_w$) and the molecular mass distribution (M$_w$/M$_n$) of the oily hyperbranched oligoethylene samples were measured by Size-exclusion chromatography (SEC) at 30 °C, using THF as solvent, an eluent flow rate of 1 mL/min, and narrow polystyrene standards as reference. The measurements were performed on a Waters 1525 binary system equipped with a Waters 2414 RI detector using four Styragel columns (range 1 000–1 000 000 Å). Molecular weights (M$_n$ and M$_w$) and polydispersities (M$_w$/M$_n$) of crystalline polyethylene samples were determined by High-temperature size exclusion chromatography (HT-SEC) using Waters GPC-V200 RI detector at 135 °C using 1,2-dichlorobenzene as solvent and Styragel columns (range 10$^7$–10$^3$).

2.2.3 Differential Scanning Calorimetry (DSC)

Melting points (T$_m$) and crystallization points (T$_c$) of the polymer samples were measured by DSC using aluminum pans and a DSC 2920 TA Instruments apparatus, calibrated with indium. Measurements were performed under nitrogen flow with a heating rate of 10 °C min$^{-1}$ in the range of −100 to +200 °C. DSC data were processed with TA Universal Analysis v2.3 software and are reported for the second heating cycle.

2.3 Ligands and complexes synthesis

Ligands L1-L6 and complexes 1-4 were synthesized as described in our previous papers [18, 19, 20]. Complexes 5-6 were synthesized following a procedure previously reported for similar complexes [21].

2.3.1 Synthesis of complex 5

To a solution of L5 (0.48 mmol, 0.180 g) in dry dichloromethane, [(DME)NiBr$_2$] (0.43 mmol, 0.133 g) was added. The reaction mixture was stirred at room temperature for 16 h. The solvent was removed under vacuum and the residues were washed with dry hexane and dried to obtain a green solid (0.241 g, 95% yield).

2.3.1 Synthesis of complex 6

To a solution of L6 (0.39 mmol, 0.150 g) in dry dichloromethane, [(DME)NiBr$_2$] (0.35 mmol, 0.108 g) was added. The reaction mixture was stirred at room temperature for 24 h. The solvent was removed under vacuum and the residues were washed with dry hexane and dried to obtain a green solid (0.196 g, 93% yield).

2.4 General procedure for ethylene polymerization
Ethylene polymerizations were carried out in a stainless steel autoclave equipped with a magnetic stirrer. The reactor was first dried overnight at 120°C in an oven, cooled under vacuum, then pressurized with ethylene and vented 3 times. The reactor was thermostated at defined temperatures, charged with toluene, the catalyst and AlEt₂Cl as cocatalyst and then pressurized at the prescribed ethylene pressure. The mixture was stirred for defined time under constant ethylene pressure. Then the mixture was vented and poured into acidified methanol. The resulting solid (when present) was filtered. The solution was treated with hexane and water, then the organic layer was dried over MgSO₄, filtered and the volatiles were distilled off in a rotavapor. The resulting waxy or oily residues were dried in vacuo overnight at 80 °C.

3. Results

As mentioned in the Introduction, we have previously reported that complex 1 (see Scheme 2) promote ethylene polymerization after activation with AlEt₂Cl affording low molecular weight (Mₙ ~ 10³ g/mol) polyethylene oils under a variety of reaction conditions (T = 20 ÷ 50 °C; ethylene pressure 1 ÷ 50 atm) [18].

We have now tested complex 1 after activation with 200 equiv of AlEt₂Cl under 50 atm of monomer pressure at 0 °C (see run 1 of Table 1): under these conditions, a solid polymer was obtained as the prevailing product, in addition to the usual oily fraction recovered from the reaction solution (see the Experimental section for details). ¹H and ¹³C NMR analysis of the solid and the liquid fractions (see Figures S1-S4) showed that the latter is a hyperbranched polyethylene similar to those previously reported [18], while the former is a moderately branched polyethylene containing prevalently methyl branches. DSC analysis of the solid polymer showed two melting endotherms, a broad one around 90 °C and a sharp one at 125 °C; similarly, two crystallization exotherms were observed (at 113 and 79 °C) when cooling the sample (see Figure S5). Changing the conditions of the DSC analysis (e. g. using different heating or cooling rates) did not affect the results. SEC analysis of the solid polymer indicated that the MW was one order of magnitude higher than that of the oily product and a rather broad polydispersity (D = 3.1).

The solid polymer sample was thus fractioned using boiling heptane in a Kumagawa extractor, resulting in a soluble (75 %) and an insoluble (25 %) fraction: the latter was analyzed by ¹H and ¹³C NMR, indicating a substantially linear polyethylene (methyl branches < 1%) with no detectable unsaturated end groups (see Figures S6, S7); accordingly, DSC analysis showed only a melting endotherm at 130 °C (Figure S8). The heptane-soluble fraction was analyzed similarly, resulting a more branched, lower melting polyethylene (Figure S9).

Subsequently, we have tested several related complexes bearing different substituents at the pyridino and at the imino moieties (complexes 2-4, see Scheme 2).

![Scheme 2. Iminopyridine Ni complexes 1 – 4.](image-url)
Complexes 2-4 were initially tested in the homopolymerization of ethylene after activation with AlEt₂Cl (200 equiv) at 40 °C and 6 atm monomer pressure (see Table 1, runs 2-4): under these reaction conditions, all the complexes afforded hyperbranched low molecular weight polyethylene oils, soluble in methanol, similar to those produced by complex 1 [18]. Characterization of the polymer samples by 1H and 13C NMR analyses is reported in the Supplementary Material. As observed for 1, multigram-quantities of low molecular weight polyethylene oils were obtained under higher catalyst and monomer concentrations and for longer reaction times, suggesting that the catalysts are stable under these conditions (see runs 5-8). Complexes 2, bearing a ketimino ligand, afforded polyethylenes having higher molecular weights (see runs 2, 5, 6 of Table 1) with respect to that produced by the previously reported corresponding aldimino complex 1 [18].

### Table 1. Ethylene polymerizations by complexes 1-4

<table>
<thead>
<tr>
<th>Run</th>
<th>Complex</th>
<th>T (°C)</th>
<th>P(ethylene) (atm)</th>
<th>time (h)</th>
<th>Yield (g)</th>
<th>Mₘ (kDa)</th>
<th>% branches</th>
</tr>
</thead>
<tbody>
<tr>
<td>1c</td>
<td>1</td>
<td>0</td>
<td>50</td>
<td>4</td>
<td>1.00d</td>
<td>0.9</td>
<td>6.2</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>2.80e</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2c</td>
<td>2</td>
<td>40</td>
<td>6</td>
<td>4</td>
<td>0.96d</td>
<td>2.0</td>
<td>7.4</td>
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<td></td>
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<td>0.73</td>
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<tr>
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<td>8.9</td>
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<td>40</td>
<td>10</td>
<td>4</td>
<td>4.15d</td>
<td>0.30</td>
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<tr>
<td>7c</td>
<td>3</td>
<td>40</td>
<td>10</td>
<td>4</td>
<td>5.21d</td>
<td>0.58</td>
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<tr>
<td>8c</td>
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<td>9c</td>
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<td>4</td>
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a Determined from the ratio between total resonance integral and unsaturated end group intensity in the 1H NMR spectra [22]. b Determined from 1H NMR spectra [22]. c Polymerization conditions: Ni catalyst = 10 µmol (dissolved in 2 ml of o-dichlorobenzene), AlEt₂Cl co-catalyst = 2 mmol, solvent = 50 ml toluene. d Low-molecular weight polyethylene oil recovered from the reaction mixture. e Solid polyethylene precipitated in methanol. f Polymerization conditions: Ni catalyst = 10 µmol (dissolved in 2 ml of dichloromethane), AlEt₂Cl co-catalyst = 2 mmol, solvent = 20 ml toluene.

Complex 2 was then tested under 50 atm of monomer pressure at 0 °C (see run 9 of Table 1): in this case only a solid polymer was produced, while no oily product was recovered from the reaction mixture. NMR analysis indicated a moderately branched polyethylene (5% branches, see figures S12, S13), while DSC showed very broad melting endotherms centered around 32 and 71 °C and very broad crystallization exotherms centered around 57 and 24 °C (see figure S14).

The production of fractions of macromolecules with different structures and molecular weights suggest that different active species can be generated in situ by activation with AlEt₂Cl. With the aim to shed some light on the latter hypothesis, two polymerization runs were carried out using complexes 2 and 3 activated by AlEt₂Cl at 0 °C under 1 atm of ethylene for 1 h (see Scheme 3). The reactions were quenched with acidified methanol, and water was added; the mixtures were extracted with dichloromethane and, after solvent removal, the crude product was filtered through a
silica column and eluted with hexane and then dichloromethane. The product isolated from the filtrate was analyzed by $^1$H NMR, showing the presence of both the imine ligands and the corresponding amines deriving by imine reduction. Interestingly, ligand modification after the polymerization run was more relevant for the aldimine complex 3 than for the ketimine complex 2, since the fraction of produced amine was 11% for the former and only 5% for the latter. Moreover, performing the same experiment at higher temperature (40 °C) using the ketimine complex 2 resulted in the formation of 22% of amine (see Figures S15, S16).

Scheme 3. Investigation of the post-polymerization catalyst fate.

Following the above findings, we decided to synthesize the authentic amine Ni complexes 5 and 6 (see Scheme 4) having ligand structures corresponding to the imine complexes 1 and 2, respectively. The complexes were obtained in good yields by allowing to react the amine ligands and dimethoxyethane nickel dibromide in CH$_2$Cl$_2$ at room temperature for 16 h (see the Experimental section).


Pyridilamino complex 5, after activation with AlEt$_3$Cl, were tested in the polymerization of ethylene at 0 °C under 50 atm of monomer, resulting in the exclusive production of low molecular weight highly branched polyethylene oils (see run 10 of Table 2). In contrast, the corresponding pyridylimino complex 1 under similar conditions (although using higher dilution, see the Experimental Section) produced a mixture of solid polyethylene and an oily fraction, with significantly higher yield (cf. run 1 of Table 1). Pyridylamino complex 6 under the same conditions also afforded low molecular weight highly branched polyethylene oils, although in very low amount (see run 11 of Table 2). Complexes 5 and 6 were also tested at T = 40 °C under either 10 or 50 atm of ethylene pressure: in all cases low yields of hyperbranched polyethylene oils were obtained. Interestingly, for both catalysts the branching degree was higher at 50 atm than at 10 atm (cf. run 12...
vs run 13 and run 14 vs run 15), at variance with all the literature results reported for Ni(II) catalysts affording branched polyethylenes via a “chain walking” mechanism [2].

Table 2. Ethylene polymerization by aminopyridine complexes 5 and 6

<table>
<thead>
<tr>
<th>Run</th>
<th>complex</th>
<th>Temperature (°C)</th>
<th>P (atm)</th>
<th>Yield (g)</th>
<th>M&lt;sub&gt;n&lt;/sub&gt;&lt;sup&gt;b&lt;/sup&gt; (kDa)</th>
<th>% branches&lt;sup&gt;c&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>5</td>
<td>0</td>
<td>50</td>
<td>0.11</td>
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<td>20.4</td>
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<tr>
<td>11</td>
<td>6</td>
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<td>50</td>
<td>0.02</td>
<td>4.9</td>
<td>8.8</td>
</tr>
<tr>
<td>12</td>
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<td>13.3</td>
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<td>13</td>
<td>5</td>
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<td>14</td>
<td>6</td>
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<td>12.4</td>
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<tr>
<td>15</td>
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<td>40</td>
<td>50</td>
<td>0.10</td>
<td>4.6</td>
<td>21.9</td>
</tr>
</tbody>
</table>

<sup>a</sup>Polymerization conditions: Ni catalyst = 10 µmol, AlEt<sub>2</sub>Cl = 2 mmol, solvent = 20 ml toluene, time = 4 h.  
<sup>b</sup>Determined from ratio between total resonance integral and unsaturated end group intensity in the<sup>1</sup>H NMR spectra [22].  
<sup>c</sup>Determined from <sup>1</sup>H NMR spectra [22].

4. Discussion

It is well known that Ni(II) catalysts operate via a “chain-walking” mechanism of polymerization, involving a number of β-hydride eliminations and reinsertions with opposite regiochemistry: in this way, a variable content of branches of different lengths are introduced in the polymer chain [2]. As established in the literature, the degree of branching depends on temperature, monomer pressure and catalyst structure: higher branching is favored by higher polymerization temperature, lower monomer pressure and larger steric bulk in the axial positions of the square-planar coordination sphere [1, 2, 23-27]. So, very different products can be obtained from polymerization of ethylene only, ranging from substantially linear and crystalline materials to elastomers to hyperbranched polyethylene waxes and oils [1, 2, 23-28].

However, the above framework cannot explain the concomitant production of the different types of macromolecules that we have observed in the above reported polymerization runs by our Ni(II) catalysts bearing aryliminopyridine ligands with bulky substituents both at the imino moiety and in the 6-position of pyridine. On the other hand, there are many literature examples pointing to the easy modification in situ of olefin polymerization catalysts based on imino complexes activated by aluminum alkyls [29-32], resulting in the reduction of the imino functionality. So, it is reasonable to hypothesize that multiple active species are generated under the examined conditions.

As a matter of fact, our experiments analyzing the fate of the iminopyridine Ni(II) catalysts after the polymerization run showed the partial transformation of the original ligands in the corresponding aminopyridines. This finding supports the multi-site nature of our catalyst systems, at least under certain conditions.

Looking for some further evidence on the matter, we have synthesized and tested two aminopyridine Ni(II) complexes (5 and 6) having structures similar to the iminopyridine complexes 2 and 3. While aminopyridine Ni(II) complexes have been previously reported as efficient ethylene polymerization catalysts [33, 34], only traces of uncharacterized oligomers were obtained using aminopyridine complexes having aryl groups in the 6-position of the pyridine moiety, similar to 5 and 6 [34].
Our polymerization results have shown that, under similar conditions (T= 0 °C, P = 50 atm), the aminopyridine complexes produce only low amounts of hyperbranched polyethylene oils, while the iminopyridine analogs afford mixtures of different types of macromolecules (see above), again suggesting the possible modification of the imino functionality. Interestingly, for the aminopyridine complexes more branched polyethylenes are produced at higher monomer pressure, at variance with the usual behavior of Ni(II) catalysts. The latter could be an interesting feature, since one of the main limitations of Brookhart's Ni(II) catalysts for practical applications in the field of elastomeric materials obtained by ethylene feed only (without the need of comonomers such as propene or 1-hexene) is the fact that the polymers obtained under the high pressures required by the industrial processes are substantially linear. Further investigation in this direction is in progress in our laboratories.

Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1, Figure S1: 1H-NMR spectrum of an oily hyperbranched oligoethylene sample, Figure S2: 13C-NMR spectrum of an oily hyperbranched oligoethylene sample, Figure S3: 1H-NMR spectrum of a crystalline polyethylene sample, Figure S4: 13C-NMR spectrum of a crystalline polyethylene sample, Figure S5: DSC thermogram of a crystalline polyethylene sample, Figure S6: 1H-NMR spectrum of the heptane-insoluble part of a crystalline polymer sample, Figure S7: 13C-NMR spectrum of the heptane-insoluble part of a crystalline polymer sample, Figure S8: DSC thermogram of the heptane-insoluble fraction of a crystalline polymer sample, Figure S9: DSC thermogram of the heptane-soluble fraction of a crystalline polymer sample, Figure S10: 1H-NMR spectrum of a typical low MW oily polyethylene sample, Figure S11: 13C-NMR spectrum of a typical low MW oily polyethylene sample, Figure S12: 1H-NMR spectrum of a crystalline polyethylene sample, Figure S13: 13C-NMR spectrum of a crystalline polyethylene sample, Figure S14: DSC thermogram of a crystalline polyethylene sample, Figure S15: 1H-NMR spectra of the products of reaction between complex 3 and AlEt2Cl, Figure S16: 1H-NMR spectra of the products of reaction between complex 2 and AlEt2Cl.

Author Contributions: Conceptualization, C.P; methodology, C.P and I. D.; investigation, Z.S and I.D.; data curation, Z.S. and I.D.; writing—original draft preparation, C.P and I.D.; writing—review and editing, C.P.; supervision, C.P.; project administration, C.P.; funding acquisition, C.P. All authors have read and agreed to the published version of the manuscript.

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References


