

Kinematic and Rock Mass Classification Assessment of Road Cut Slopes along with Karakoram Highway in Northern, Pakistan

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Abstract: Karakoram highway (K.K.H.) the only road link between two countries China and Pakistan. This road network is essential for two countries due to its strategic location and socioeconomic. The highway is more vulnerable due to landslide disasters, especially in rain and snow melting seasons, and different kinds of mass movement activities have occurred along K.K.H., such as rockfall, debris flow, and snow avalanche. The slope stability problems are widespread along with Karakorum (K.K.H.) between Besham city and the Dasu area because of the high seismic zone, rainfall, snow melting, and complex geology slope geometry, weak, and adverse discontinuities sets. The detailed fieldwork was done along the Karakorum highway to minimize the risk of slope stability and for planning purposes in Besham to Dasu area and selected nine road-cut slopes. However, in these nine selected roadcut slopes, three slopes were already failed, four slopes are partially stable, and two slopes were stable. Both kinematic and empirical approaches are applied on all these nine road cut slopes and their discontinuities. The kinematic result has shown that all kinds of mode failure such as Toppling, Planar, and Wedge failure mode occurred in these slopes. The RMRb result has shown that all discounters lie in between fair to good rock. Both discrete and continuous (S.M.R.) results show that all discontinuity sets lie between the unstable, partially stable, and stable conditions.

Keywords: Kinematic; Rock Mass Classification; R.M.R; S.M.R; Rock Slope Stability.

1.Introduction.

Rock-cut slope instability in mountain areas is a significant disaster that frequently happened and causes property damage, economic losses, repair cost, and human injuries and deaths. [1]. Human-made excavation in mountainous areas the stability of natural rock slopes, such as highway and railways situated in river valleys, maybe place under these slopes or maybe cut the toe, which may be harmful to stability. The regional tectonic setting is one of the variables that can affect the natural rock slopes. The safety factor can only be marginally more significant than unity if there is rapid uplift of landmass and subsequent water downriver and streams along with seismicity that break and displace the slope. These conditions occur in high seismic areas, such as the Himalayas, Central Asia, and Pacific Rim. [2]

Slope stability problems constitute a significant concern in this area due to high seismicity, high terrain, angle of slope, weathering, and human-made factors. Many techniques and methods to evaluate slope stability are famous in researchers and scientific communities, such as Limit equilibrium, numerical analysis, empirical approach, and kinematic analysis. Limit equilibrium is a traditional and well-established method. However, the Limit equilibrium method may not stress-strain the relationship of the soil. Still, it can assess the factor of safety without the knowledge of the initial condition[3]. The Numerical analysis method is more modern than traditional equilibrium methods. The Numerical method is frequently used in open pit mining and landslide studies, where attention is mostly based on slope change rather than on the relative magnitude of resistance and displacement. [2].

Kinematic analysis is a straightforward method for structurally controlled failure modes, such as toppling, planar, and wedge failure mode. This method determines the direction of discontinuities, the slope orientation, and the assumed possible friction angle along the discontinuity surface. [4] . Richard first described stereographic projection techniques for slope failure mode in the kinematic analysis[5]. Rock mass classification systems are an essential and widely used tool worldwide for designing a rock mass structure such as highways, tunnels, dams, underground power plants, and spillways. Rock mass classifications are universally adopted and famous systems in engineers around the world. A straightforward athenatic algorithm gives engineers quantitative data and guidelines to improve the rock mass inherent and structural parameters.[1] A variety of rock mass classification has developed to provide information on rock masses; for example, there are seven rock mass classification systems only in Japan. Every one built to meet a different set of requirements [6]. Some of the rock mass classification initially developed for underground excavation is used for slopes such as Q and R.M.R. systems or updating slopes. (e.g., R.M.S., S.M.R., SRMR.)[1]

The world's best-recognized and published rock mass classification systems for rock slopes are as follows (1) RQD., Rock Quality Designation.[7] (2) Q., Rock Tunneling Quality.[8] (3) R.M.R., rock mass rating.[9][10] (2) S.M.R., Slope mass rating[11] (3) CSMR, Chinese slope mass rating[12]. (4) GSI., Geological Strength Index.[13] [14](5)M-RMR modified rock mass rating[15] (6)SSPC, Slope probability classification[16]. (7) S.M.R. slope mass rating modified. [17]. In the above-discussed classification system, the S.M.R. classification system is unique and famous used throughout the world. However, it was obtained from basic RMR_b, but due to the lack of definition and an extreme range of correction factors, the R.M.R. system is complicated to use. However, both R.M.R. and S.M.R. classification are discrete functions determined by the variable values that control the parameters. [18].

The average rainfall is around 1000mm annually in the study area according to the world bank climate data from 1901-2016 [19], and the minimum average temperature is 4.71°C in January, and the maximum average temperature is 24.14°C in July. Fig 1. The intense rainfall from January to April and August to September in the study area also cause slope failure. The chosen study area is significant due to the highly active landslide zone identified in previous research [20]. However, in this field study, we identified that the study area is highly susceptible to landslide hazards along the Karakorum highway due to its rock mass characteristics. However, the other triggering factors also involved rock slope stability assessments in the study area, such as rainfall and seismicity, but our focus was on rocks mass characteristics. Therefore, we chose and focused on empirical assessment in this study.

This study aims to identify (1) Rock mass quality, (2) Potential mode of failure (3) Stability conditions of the selected rock slopes because the study area is highly susceptible to rock slope failure. Meanwhile, we used Kinematic and Empirical methods to assess highly fractured road cut slopes along the Karakorum highway.

We evaluate both discrete and continuous S.M.R. function to obtain better rock slope stability along the Karakorum highway. An open-source software SMRTool[21] was used for this analysis.

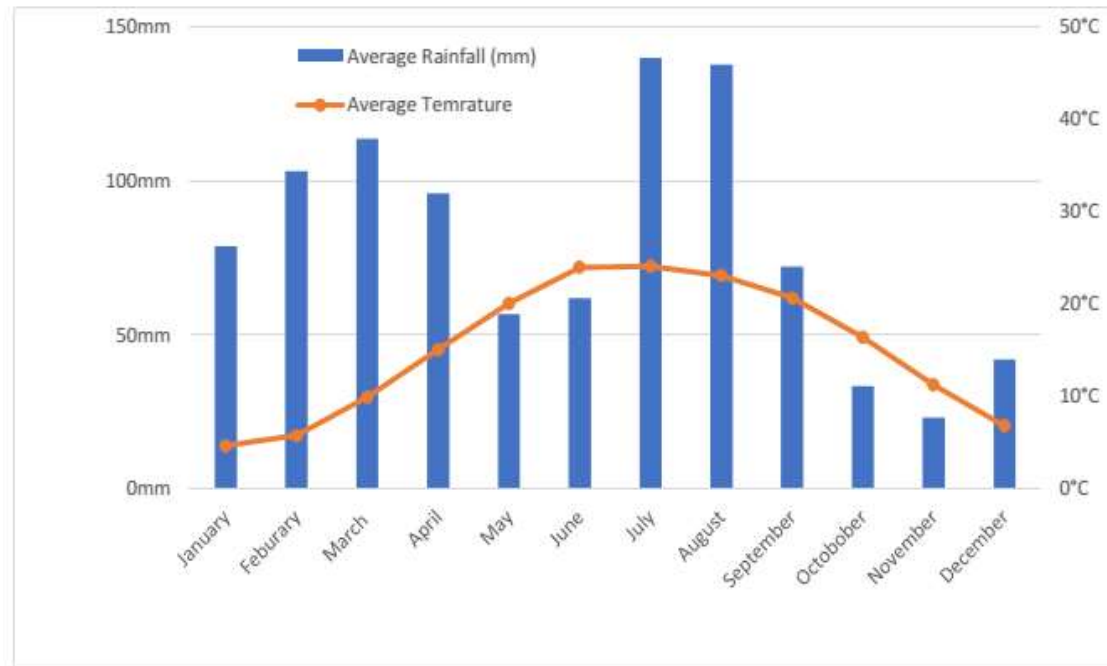


Figure.1 World bank climate data of Bisham city from 1991-2016.

2.Geology and tectonic framework of the study area

The study area's geology comprised the Besham group, Mansehra granite, and the Jijal complex (Fig.2). The Besham and Mansehra granite is part of the Indo Pakistan Plate. The Besham sequence is currently a tectonic gap due to the rapid uplift and deep erosion along the Indus canyon, where the Indian plate Archean to the Proterozoic crystalline basement is exposed [22]. This sequence was first described by [23] as a Besham group and named this after Besham small town on the Indus canyon. He further divided it into two formations: (1) Lower one Chail formation comprised of dominantly pelitic, slate phyllites, para-amphibolite, a different type of schists gneisses, subordinate bands of calcareous and psammitic rocks. (2) The Upper one Banna formation was dominantly calcareous.

The Mansehra granite occurs west of Hazara-Kashmir syntaxis as a vast pluton and continues up to the Indus river [24]. The small patches of granitic gneiss and porphyritic granite are well exposed along the Karakorum highway in the study area. Jijal complex is part of the Kohistan-Ladakh magmatic arc. Jijal complex comprises ultramafic and mafic rocks. The rocks of garnet gabbro, garnet pyroxenite, dunite, pyroxenite, and serpentinite in the Jijal complex are well exposed in the study area Fig.2. The Besham group shows traces of individual mineral having crushed and stained quartzes, while the Jijal complex becomes massive and sheared. It is highly deformed and tectonic to the Jijal-complex with the MMT in the North and Patan fault in the south.

The portion of K.K.H. from Bisham to Dasu also passthrough the IKNZ. The IKNZ is a highly active wedge-shaped 50-kilometer-wide structure with a shallow and mid-crustal region. The IKNZ is the most seismically active structure in the region, and this structure can generate significant events. It is mainly thrust fault parallel to the overall pattern of the MBT to the S.E. of Muzaffarabad with N.E. striking and N.E. dipping plane.[25].

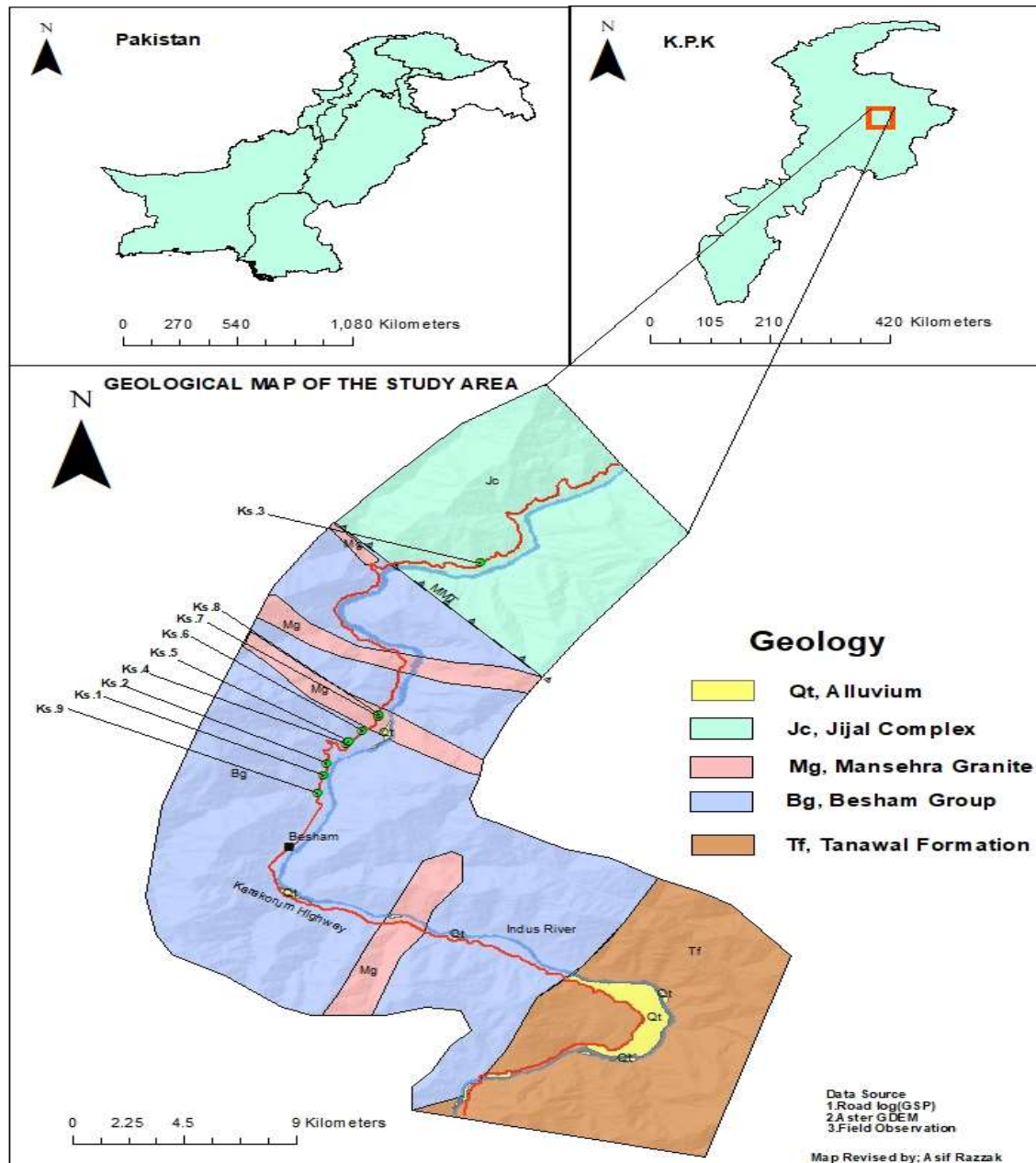


Figure 2. The map has shown the stratigraphy and slope location site of the study area, and this map revised from field and G.S.P., road log[26] (a) Tf, Tanawal formation (b) Bg, Besham group (c) Mc, Mansehra granite (d) Jc, Jijal complex (e) Qt, Alluvium

3. Field Investigation and Data Evaluation.

In both natural and engineering rock slopes, a geological structure such as fault, fold, and discontinuities play a critical role in both natural and engineering rock slope stability and behavior [27].

The effect of rock slope is also greatly affected by the rock structural geology, where the slope is excavated. Structural geology refers to rock breaks that occur naturally, such as bedding plane, joint, and fault, commonly called discontinuities. The rock slope related properties of discontinuities include orientation, roughness, and infilling, and persistence. The importance of discontinuities is that it's much more influential in the plane of weakness in the stronger intact rock, and failure appears to occur along the surface.[2]

We conduct detailed fieldwork for data collection along Karakorum highway northwest Khyber Pakhtunkhwa province K.P.K., Himalaya Pakistan. The total nine road cut slopes and his twenty-seven joint sets were scan lines mapped along the Karakoram highway based on their geological characteristics and instability conditions. Data collect from all these selected sites and give the proper name to every rock slope from ks.1 to ks.9.

The following data collected along the highway are as under:

- Lithological description of rock
- Slope height
- Slope face angle
- Orientation of Joints (dip and dip direction)
- Discontinuity Condition (persistence, aperture roughness, and weathering)
- Block Size
- U.C.S.

The following above mentioned data has been collected in the field, according to ISRM [28]. The three sets of discontinuities present in all these chosen slopes and data obtained from all three discontinuities are set individually in Table 1. Scan line mapping gives us detailed geotechnical information about the area for the incorporation of the methodology. The joint spacing data show four types of rock mass classes present in these slopes: massive, blocky/seamy, fracture, and shattered. The condition of discontinuity of rock mass surface shows that slightly whether to highly whether of rock surface present. The infilling data show that most joints have no filing except some joints filled by soil or weathered material.

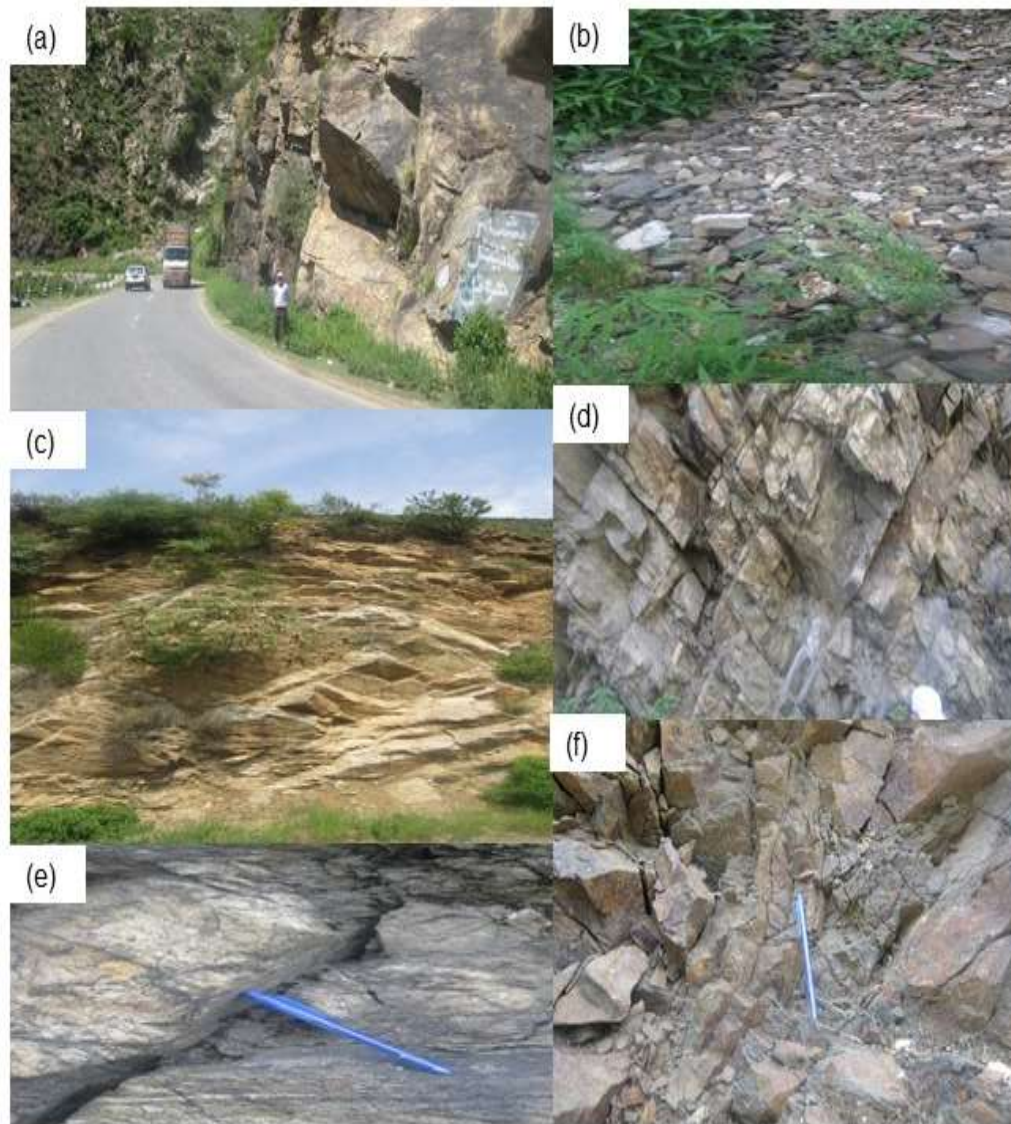


Figure.3 Field figures taken from the field: (a) show the massive to blocky discontinuities (b) show unstable material (c) show the toppling and wedge failure mode (d) show the high weather and fractured discontinuities (e) show the roughness and infilling of discontinuities (d) shows the highly whether and fractured discontinuities. The roughness data show that smooth, slightly rough to very rough discontinuities rock mass present.

The water condition of all these discontinuities shows that all the discontinuities surface was dry. The N-Type Shidmit hammer was used to determining the uniaxial compressional strength (U.C.S.) of the rocks. A total of 20 rebound values of Shidmit hammer was taken from each slope according to the [29] ISRM method. The following expression $4.52927 \exp(0.5609RL)$ by [30] was used for the estimate of uniaxial compressional strength (U.C.S.) of the rock

mass. The number of discontinuities (joints) volumetric J_v , an indirect method of rock quality designation (R.Q.D.) by [31], was used to obtain rock quality designation. The above-mentioned collected data applied to calculate RMR_b, S.M.R., and analysis for kinematic analysis.

Table.1 Detailed field investigation data

Slope Name	Slope height (m)	Slope face Dip/Dip Direction (°)	Discontinuity Sets	Orientation Dip/Dip Direction (°)	Spacing (mm/m)	Persistence (m)	Aperture (mm)	Infilling	Roughness	Weathering	Water Condition
Ks.1	12	60°/330	Ks.1-1	78°/219°	0.6-2m	10-20	1-2	Soil	Slightly	Slightly	Dry
			Ks.1-2	6°/030°	60-200	<1	0.1-1	-	Smooth	-	-
			Ks.1-3	55°/330°	200-600	3-10	0.1-1	-	Slightly	-	-
Ks.2	13	50°/205°	Ks.2-1	80°/200°	200-600	10-20	>5	Soil	Smooth	Moderately	Dry
			Ks.2-2	30°/240°	60-200	<1	>5	-	-	-	-
			Ks.2-3	50°/360°	60-200	1-3	1-5	-	-	-	-
Ks.3	8	50°/40°	Ks.3-1	35°/030°	200-600	1-3	0.1-1	Soil	Smooth	Moderately	Dry
			Ks.3-2	55°/220°	-	1-3	1-5	-	Slightly	-	-
			Ks.3-3	65°/150°	-	1-3	0.1-1	-	Smooth	-	-
Ks.4	12	40°/145°	Ks.4-1	40°/350°	200-600	10-20	0.1-1	Soil	Smooth	Highly	Dry
			Ks.4-2	90°/090°	>60	10-20	1-5	-	Slightly	Highly	-
			Ks.4-3	45°/150°	>60	1-3	1-5	-	Smooth	Highly	-
Ks.5	10	65°/120°	Ks.5-1	50°/090°	200-600	10-20	0.1-1	Soil	Slightly	Moderately	Dry
			Ks.5-2	70°/180°	-	3-10	-	-	-	-	-
			Ks.5-3	45°/335°	-	10-20	-	-	-	-	-
Ks.6	8	50°/140°	Ks.6-1	55°/145°	200-600	3-10	1-5	Soil	Slightly	Moderately	Dry
			Ks.6-2	60°/215°	-	1-3	-	-	-	-	-
			Ks.6-3	75°/330°	60-200	<6	-	-	-	-	-
Ks.7	9	55°/320°	Ks.7-1	30°/315°	60-200	>20	0.1-1	Soil	Smooth	Highly	Dry
			Ks.7-2	65°/200°	-	>20	1-5	-	-	-	-
			Ks.7-3	80°/305°	-	>20	1-5	-	-	-	-
Ks.8	18	70°/230°	Ks.8-1	45°/200°	60-200	1-3	1-5	Soil	Slightly	Highly	Dry
			Ks.8-2	55°/300°	-	>20	5	-	Very	-	-
			Ks.8-3	70°/090°	-	3-10	0.1-1	-	Slightly	-	-
Ks.9	10	50°/222°	Ks.9-1	15°/325°	200-600	>20	0.1-1	Soil	Slightly	Slightly	Dry
			Ks.9-2	75°/030°	60-200	1-3	0.1-1	-	-	-	-
			Ks.9-3	80°/220°	200-600	1-3	0.1-1	-	-	-	-

4.Methodology

The R.M.R. classification was first introduced by [32][9]. After that, this classification is widely used worldwide for assessing rock mass quality. The basic R.M.R. system by [9] comprised of five basic parameters; (1) Uniaxial Compressive Strength of rock, (2) Rock quality Designation (R.Q.D.), (3) Spacing of discontinuities, (4) Condition of discontinuities, (5) Groundwater condition. In this RMR_b classification system, the spacing of discontinuities further divided into (a) Persistence, (b) Aperture, (c) Roughness, (d) Infilling, and weathering. The sum of these five parameters from 0 to 100 ranges. R.M.R. clarification system was first widely used and adopted worldwide. **S.M.R.**

The S.M.R. classification system proposed by [11] calculated using four correction factors in RMR_b. These four factors are based on the relationship

among rock mass of discontinuities, slope face dip, dip direction, and excavations method.

$$\text{SMR} = \text{RMRb} + (F_1 \cdot F_2 \cdot F_3) + F_4 \quad (1)$$

Where

F_1 refers to the parallelism between slope face dip (α_s) and discontinuity dip direction (α_j). Its range lies in between 0.15, very favorable to 1.00, very unfavorable (Table 2); in case of planar and toppling failure, A is the angle between dip direction face and discontinuity dip direction. F_2 refers to the discontinuity dip angle for planar failure mode. For toppling failure, this parameter takes the range 1.00, and for planar failure, this parameter takes the range between 0.5 to 1.00 (Tables 1). F_3 refers to a relationship between β_s slope face dip and β_j joint dip. For planar and toppling failure, this parameter used the original Bienienwski adjustment factor range between 0 to -60 and referred to the probability of the joint slope face for planar failure. F_4 refers to the correction factor, which depends on the excavation method. (Table.2) The values are shown in Table 2 [33] suggests another possible continuous function for calculation F_1 and F_2 :

Table 2. F_1, F_2, F_3 , and F_4 (excavation method) Correction factors for joints [33].

Type of Failure			Very favorable	Favorable	Normal	Unfavorable	Very Unfavorable
P	A	$ \alpha_j, \alpha_s $	$>30^0$	$30-20^0$	$20-10^0$	$10-5^0$	$<5^0$
T		$ \alpha_j - \alpha_s - 180 $					
W		$ \alpha_i - \alpha_s $					
P/T/W	F_1		0.15	0.40	0.70	0.80	1.00
P/W	B	$ \beta_j $ or $ \beta_i $	$<20^0$	$20-30^0$	$35-35^0$	$35-45^0$	$>45^0$
P/W	F_2		0.15	0.40	0.70	0.85^0	1.00
T			1.00				
P	C	$\beta_j - \beta_s$	$>10^0$	$10-0^0$	0^0	$0-(-10^0)$	$<(-10^0)$
W		β_j, β_s					
T		$\beta_j - \beta_s$	$<110^0$	$110-120>$	>120	-	-
P/T/W	F_3		0	-6	-25	-50	-60
Excavation method	F_4	Natural Slope +15	Presplitting +10	Smooth blasting +8	Blasting or mechanical 0	Deficient blasting -8	

Note: P Planar failure mode; T: Toppling failure mode; W: wedge failure mode;

α_j : joint slope direction; α_s : slope dip direction; β_j : joint dip; β_s slope dip

$$F_1 = (1 - \sin|A|)^2 \quad (2)$$

A is the relation between the joints and the slope dip direction for toppling, planar failure, and wedge failure mode. A is the angle created between the two

joints intersection, the direction of plunge, and the slope dip direction. This function is credible for all valid values of A and given a more balanced value for F_1 than the original discrete function.

$F_2=\tan^2 B$ (3)

B compares to the joint dip (B_j) in degree for toppling and planar failure and (B_i) plunge of the intersection line's wedge failure. Its actual value for the range of B is lower than 45^0 . F_2 is set to 1 for a higher value. Therefore, this function does not apply to all B values, which are discrete functions. It is also unreasonable because it provides a value of F_2 that is lower than that suggested in the original function. The asymmetrical continuous correction factors of F_1 , F_2 , and F_3 proposed by [17] Table 3, which given most absolute difference compared to the original discrete function, are less than 7 points and no doubt reducing subjective perception when applying the score to values close to the boundaries of discrete classification intervals.

Table 3. Continuous function suggested by[17].

Parameter	Planar	Toppling
F_1	$F_1 = \frac{16}{25} - \frac{3}{500} \arctan \left(\frac{1}{10} (A) - 17 \right)$	
F_2	$F_2 = \frac{9}{16} + \frac{1}{195} \arctan \left(\frac{17}{100} B - 5 \right)$	$F_2 = 1$
F_3	$F_3 = -30 + \frac{1}{3} \arctan C$	$F_3 = -13 - \frac{1}{17} \arctan (C - 120)$

A correlation between joint and slope strikes for planar and toppling failure modes creates the angle between the two discontinuities. B is the joint dip, B_j and C is the joint and slope dip, for planar failure mode, C is the equivalent to $\beta_j-\beta_s$ for toppling failure mode $\beta_j-\beta_s$ for wedge failure mode $\beta_j-\beta_s$.

The F_4 factor depends on the slope's exaction method, and this system is not essential in the continuous system.

Table 4. S.M.R description by [11]

Class No.	S.M.R value	Description	Stability	Failure	Support
V	0-20	Very bad	Completely unstable	Big-Planar or soil-like	Re excavation
IV	21-40	Bad	Unstable	Planar or big wedge	Important/corrective
III	41-60	Fair	Partially stable	Some joint or many wedges	Systematic
II	61-80	Good	Stable	Some blocks	Occasional
I	81-100	Very good	Completely stable	None	None

5. Kinematic Analysis.

Markland's test was conducted based on kinematic analysis using Dip 6.0 software[34]. Kinematic analysis is used based on the internal friction angle of rock and orientation of joint sets to identify a different kind of failure mode in rock slopes, such as planar failure mode, wedge failure mode, and toppling failure mode.

5.1. Planar Failure mode.

Based on the Markland test described by [35], the kinematic assessment shows the probability of planar failure mode occurs in eight location sites out of nine sites. The slope site ks.1 has a very high potential for planar failure mode 31.25%, and slope ks.9 has shown no potential for planar failure mode. The slope site ks.3, which has already failed, and the probability of planar failure mode shown 21.05% (Fig.1. a and b). The slope ks.2 shows a 25% possibility of planar failure mode. The slope site ks.7 shows 33.33% of probability for planar failure mode, and this is the highest probability of failure in all slope sites.

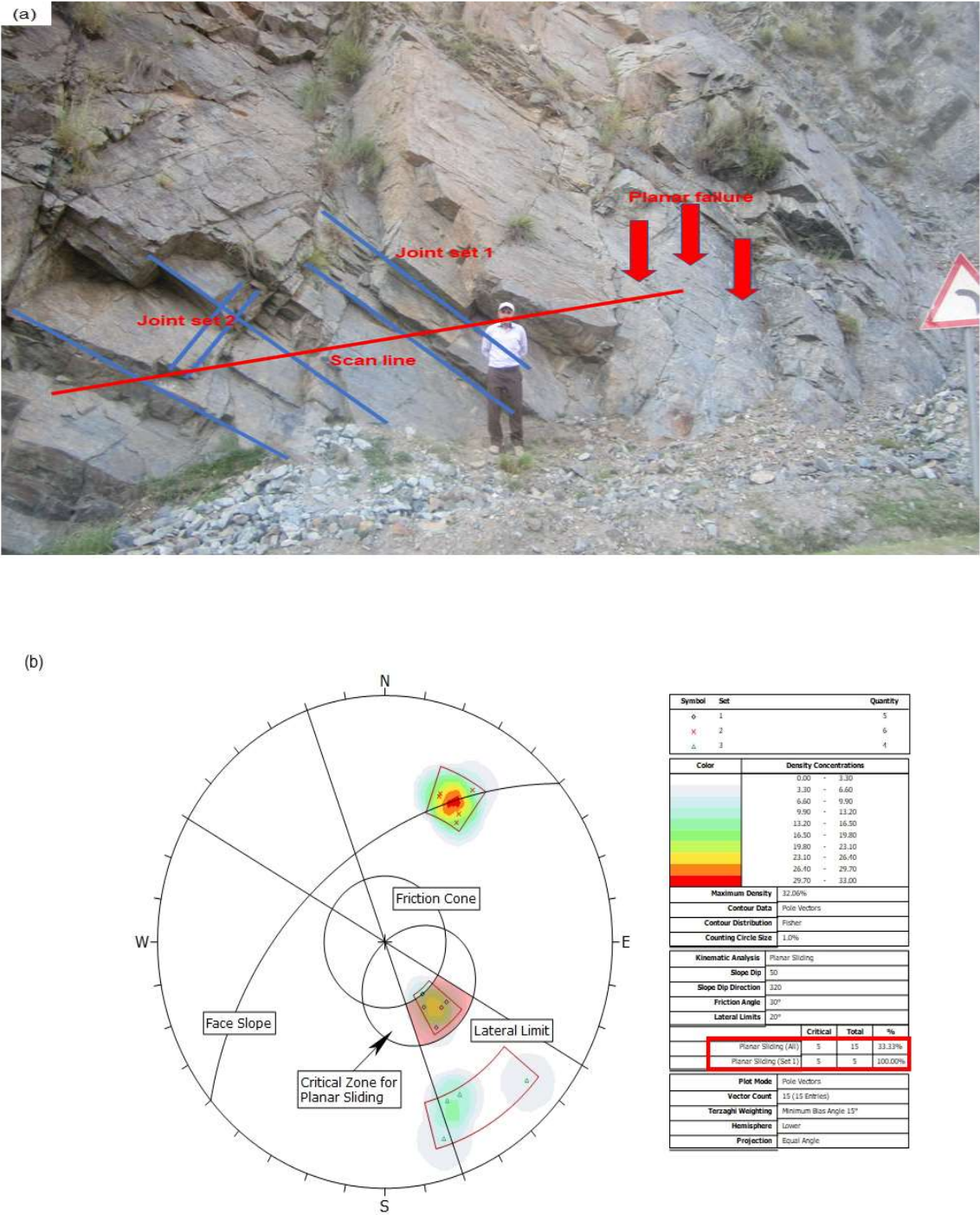


Figure. 4 (a) Slope site Ks.3 shown the scan line, joint sets, and toppling failure mode. (b) the kinematic assessment shows the planar failure mode in slope site Ks.3.

5.2. Toppling failure mode.

The kinematic analysis has shown that both flexural and block toppling failure mode occurs in slope site Ks.1, 6, 7, 8, and 9. The highest 12.50% flexural toppling mode occurs in slope sit Ks.8, and a minimum of 1.51% occurs in slope site no.8. The highest 29.41% block toppling failure mode occurs in slope site Ks.6, and the minimum 26.67 block toppling failure mode occurs in slope site Ks.9.

5.3. Wedge failure mode.

The kinematic analysis has shown that the wedge failure mode occurs in all nine slope sites. The highest probability influence of wedge failure mode occurs in slope location ks.5. 66.67% (Table. 4) and this slope location site were already unstable. The slope location ks.6 shows a 45.93% probability of wedge failure mode, the second-largest wedge failure mode probability in all locations.

The location site ks.9 has shown no any possibility of wedge failure mode. The lowest probability is shown in slope site ks.8, just 5.77% table.no.5

Table 5. Failure mode results based on Kinematic Analysis.

Slope name	Planar failure mode (%)	Wedge failure mode (%)	Toppling failure mode (%)	
			Flexural Toppling	Block Toppling
Ks.1	31.25	38.66	2.52	0.00
Ks.2	25.00	0.86	0.00	0.00
Ks.3	21.05	21.76	0.00	0.00
Ks.4	10.53	15.32	0.00	0.00
Ks.5	6.67	66.67	0.00	0.00
Ks.6	29.41	45.93	2.22	29.41
Ks.7	33.33	39.05	1.90	0.00
Ks.8	6.67	5.77	12.50	0.00
Ks.9	0.00	0.00	1.51	26.67

6.R.M.R. System.

All nine chosen road cut slope and discontinuity set assessment shown in (Table.3), the discontinuity set Ks.1-1 to Ks. 1-3 lie score between 66 to 65 representing Class "II," a good rock. The discontinuity set Ks.2-1 to Ks.2-3 lies score between 57 to 55, representing class "III" a fair rock. The discontinuity set Ks.3-1 to Ks.3-3 lies in-between scores 62 to 63, representing class "II," a good rock. The location name Ks.3 and its three joint sets lie between 62 and 63, representing class II, a good rock. All slope sites and their discontinuity set a classified from fair to good rock table.no.6.

Table 6. shows the complete results of the RMRb results.

Discontinuity Sets Involved	U.C.S. (MPa)	R.Q.D. %	Average Spacing(mm)	Conditions of discontinuity	Water Condition	Total RMR _b	Class	Discontinuity Description
Ks.1-1	7	17	15	1+1+3+2+5	15	66	II	Good rock
Ks.1-2	7	17	8	6+4+1+2+5	15	65	II	Good rock
Ks.1-3	7	17	10	2+4+3+2+5	15	65	II	Good rock
Ks.2-1	4	17	10	1+0+0+1+3	15	51	III	Fair rock
Ks.2-2	4	17	8	6+0+0+3+4	15	54	III	Fair rock
Ks.2-3	4	17	8	4+1+0+3+4	15	53	III	Fair rock
Ks.3-1	7	17	10	4+4+1+2+3	15	63	II	Good rock
Ks.3-2	7	17	10	4+1+3+2+3	15	62	II	Good rock
Ks.3-3	7	17	10	4+4+1+2+3	15	63	II	Good rock
Ks.4-1	4	17	10	1+4+1+2+1	15	55	III	Fair rock
Ks.4-2	4	17	5	1+1+3+2+1	15	49	III	Fair rock
Ks.4-3	4	17	5	4+4+1+2+1	15	53	III	Fair rock
Ks.5-1	7	17	10	1+4+2+3+3	15	59	III	Fair rock
Ks.5-2	7	17	10	2+4+2+3+3	15	60	III	Fair rock
Ks.5-3	7	17	10	1+4+2+3+3	15	59	III	Fair rock
Ks.6-1	12	17	10	2+1+2+3+3	15	65	II	Good rock
Ks.6-2	12	17	10	4+1+3+2+3	15	64	II	Good rock
Ks.6-3	12	17	10	6+1+3+2+3	15	67	II	Good rock
Ks.7-1	12	8	8	0+4+2+1+1	15	51	III	Fair rock
Ks.7-2	12	8	8	0+1+2+1+1	15	48	III	Fair rock
Ks.7-3	12	8	8	0+1+2+1+1	15	48	III	Fair rock
Ks.8-1	7	17	8	4+1+2+3+1	15	57	II	Good rock
Ks.8-2	7	17	8	0+0+0+6+1	15	54	II	Good rock
Ks.8-3	7	17	8	2+4+2+3+1	15	59	II	Good rock
Ks.9-1	4	17	10	0+4+2+3+5	15	60	III	Fair rock
Ks.9-2	4	17	8	4+4+2+3+5	15	62	II	Good rock
Ks.9-3	4	17	10	4+4+2+3+5	15	64	II	Good rock

7.S.M.R. System.

An open-source software S.M.R. toll

(<http://personal.us.es/en/ariquelme/smartool.html>) develop by [21] is used for accurate and automatic calculation. This software tool is suitable for both the engineer and geologist with the graphical representation of the geometry data used as the S.M.R. calculation input and directs them during the entire process.

A 12-meter-high structurally control road slope name Ks.1, is composed of slightly weathered gneiss rock and three joint sets present in this slope. All the essential geomechanically data that has been taking during fieldwork summarized in table no.6. The slope name Ks.1 and all three joint sets calculate according to the software author's instructions in the S.M.R. tool software. The joint set ks.1-1, both discrete by [33] and continuous by [17], has S.M.R. values 62 and 61 belong to class "II" its stability condition is stable. The potential failure is toppling. The joint set Ks.1-2 has S.M.R. values 63 and 62 and lies in class "II" and has stable stability conditions except for some blocks, and the

possible failure mode is wedge/planar. The joint set Ks.1-3 has calculated S.M.R. values 16 and 12, both discrete and continue.

The joint set Ks.1-3 lies in class "V" and has very bad stability condition or completely unstable except for some blocks, and the possible failure mode is big planar or wedge. According to the software description, the element of the wedge also calculates in all three joint sets. Therefore, we choose a proper name for all three joints sets for identification. The joint set Ks.1 Ks.1-3 element of wedge failure calculation shows that S.M.R. values 61 and 61, which lies in class II and has a good and normal description and stability condition show stable and partially stable, and the failure mode is a wedge. The joint set ks.1 Ks.2-3 element of wedge calculation shows that S.M.R. values are 57 and 51, which belong to Class II. The description shows normal also has partially stable stability conditions, and the failure mode is a wedge.

A 13 meter-high structurally controlled road cut slope name Ks.2, is composed of slightly weathered gneiss rock with an orthogonal joint set present in this slope. The joint discontinuity set Ks.2-1 calculated S.M.R. failure mode. The discontinuity set Ks.2-2, which has calculated S.M.R. values 55 to 44 and belongs to class "III," a normal description that is partially stable in its stability condition. The failure mode is the planar failure mode. The joint set Ks.2-3, which calculated S.M.R. value 53 and 52, and this discontinuity set belongs to the class "III," and partially stable its stability condition. The failure mode is the toppling failure mode.

Table 7. Both discrete function by [33] and continuous function by [17]calculated results from SMRTool software.

Slope Name	Discontinuity involved	sets	Type of failure mode	Auxiliary angle (°)			S.M.R. factor								S.M.R. values	
				A	B	C	Discrete function				Continues function				Discrete function	Continues function
							F1	F2	F3	F4	F1	F2	F3	F4		
Ks.1	Ks.1-1		Toppling	69	78	131	0.15	1	-25	0	0.16531	1	-254029	0	62	61
	Ks.1-2		Planar	60	6	-54	0.15	0.15	-60	0	0.17855	0.17329	-59.6464	0	63	63
	Ks.1-3		Planar	0	55	-5	1	1	-50	0	0.99721	0.95765	-56.23	0	15	
Ks.2	Ks.1 Ks.1-3		Wedge	35.34	49.36	-10.64	0.15	0.15	-60	0	0.27161	0.93978	-58.2103	0	56	55
	Ks.1 Ks.2-3		Wedge	86.21	5.39	-56.61	0.15	0.15	-60	0	0.14933	0.17151	-59.6503	0	63	63
	Ks.2-1		Planar	5	80	30	0.85	1	0	0	0.94117	0.99003	-0.6363	0	51	50
	Ks.2-2		Planar	30	30	-20	0.15	0.4	-60	0	0.59179	1	-59.0459	0	50	44
	Ks.2-3		Toppling	25	50	100	0.4	1	0	0	0.40804	0.29578	-0.55107	0	53	52
	Ks.2 Ks.1-2		Wedge	80.94	21.88	-28.12	0.15	0.4	-60	0	0.15333	0.29578	-29.3218	0	47	48
	Ks.2 Ks.1-3		Wedge	81.57	18.77	-31.23	0.15	0.15	-60	0	0.15282	0.24033	-59.3887	0	49	48
Ks.3	Ks.2 Ks.2-3		Wedge	83.66	20.87	-29.33	0.15	0.4	-60	0	0.15119	0.27816	-59.3446	0	49	50
	Ks.3-1		Planar	20	30	-5	0.2	0.7	-50	0	0.5358	0.78574	-56.21	0	49	39
	Ks.3-2		Toppling	10	55	95	0.7	1	0	0	0.84995	1	-0.47009	0	62	61
	Ks.3-3		Toppling	80	65	105	0.15	1	0	0	0.15411	1	-0.68772	0	63	62
	Ks.3 Ks.1-2		Wedge	86.71	4.68	54.68	No								100	100
	Ks.3 Ks.1-3		Wedge	33.66	26.86	-23.11	0.4	0.4	-60	0	0.43802	0.44224	-59.5493	0	53	51
Ks.4	Ks.3 Ks.2-3		Wedge	19.2	53.7	103.4	Non								100	100
	Ks.4-1		Planar	5	40	-5	0.85	0.85	-50	0	0.94117	0.87504	-56.23	0	18	8
	Ks.4-2		Toppling	20	40	85	0.4	1	0	0	0.5398	1	-0.37165	0	49	48
	Ks.4-3		Planar	60	90	45	0.15	1	0	0	0.17855	0.9956	-0.42434	0	53	52
	Ks.4 Ks.1-2		Wedge	82.5	10.29	-34.71	0.1	0.15	-60	0	0.15208	0.18865	-59.4499	0	47	47
	Ks.4 Ks.1-3		Wedge	30	34.5	-10.5	0.15	0.7	-60	0	0.32541	0.77204	-58.1855	0	46	38
Ks.5	Ks.4 Ks.2-3		Wedge	30	39.57	84.57	No								100	100
	Ks.5-1		Planar	30	50	-15	0.15	1	-60	0	0.32541	0.94227	-58.7286	0	50	40

Ks.6	Ks.5-2	Planar	60	70	5	0.15	1	-6	0	0.17855	0.98175	-3.77	0	59	59
	Ks.5-3	Toppling	35	45	110	0.15	1	-6	0	0.27433	1	-0.96866	0	58	58
	Ks.5 Ks.1-2	Wedge	6.65	47.55	-17.45	0.85	1	-60	0	0.91756	0.93189	-58.9067	0	8	8
	Ks.5 Ks.1-3	Wedge	89.31	30.26	95.26	No								100	100
	Ks.5 Ks.2-3	Wedge	30.36	17.52	82.52	No								100	100
	Ks.6-1	Planar	5	55	5	0.85	1	-6	0	0.94117	0.95765	-3.77	0	59	61
	Ks.6-2	Planar	75	60	10	0.15	1	-6	0	0.15869	0.96822	-1.9035	0	63	63
	Ks.6-3	Toppling	10	75	125	0.7	1	-25	0	0.84995	1	-24.2414	0	49	46
	Ks.6 Ks.1-2	Wedge	32.18	51.89	1.79	0.15	1	-6	0	0.30025	0.94851	-9.7301	0	63	61
	Ks.6 Ks.1-3	Wedge	81.38	5.15	44.85	0.15	0.15	-60	0	0.15297	0.17285	-59.5742	0	63	63
Ks.7	Ks.6 Ks.2-2	Wedge	60.63	51.07	101.0	No								100	10
	Ks.6 Ks.2-3	Wedge	60.63	51.07	101.0									100	100
	Ks.7-1	Planar	5	30	-25	0.85	0.4	-60	0	0.94117	0.59179	-59.2365	0	30	18
	Ks.7-2	Toppling	60	65	120	0.15	1	-25	0	0.17855	1	-13	0	44	45
	Ks.7-3	Planar	15	80	25	0.7	1	0	0	0.70786	0.99003	-0.76354	0	48	47
	Ks.7 Ks.1-2	Wedge	44.36	24.65	-30.35	0.15	0.4	-60	0	0.22912	0.36995	-59.3709	0	43	44
	Ks.7 Ks.1-3	Wedge	73.83	30.36	-48.64	0.15	0.15	-60	0	0.15984	0.17434	-59.6074	0	47	47
	Ks.7 Ks.2-3	Wedge	86.	60.21	-5.21	0.15	0.15	-60	0	0.14906	0.94962	-3.2532	0	63	63
	Ks.8-1	Planar	30	45	-25	0.15	0.85	-60	0	0.32541	0.91802	-59.2365	0	49	39
	Ks.8-2	Planar	70	55	-15	0.15	1	-60	0	0.16411	0.95768	-58.7286	0	45	44
Ks.8	Ks.8-3	Toppling	40	70	140	0.15	1	-25	0	0.24099	1	-25.4482	0	55	52
	Ks.8 Ks.1-2	Wedge	11.58	36.8	-33.2	0.7	0.85	-60	0	0.81075	0.82647	-59.4249	0	18	14
	Ks.8 Ks.1-3	Wedge	66.92	38.64	-31.36	0.15	0.85	-60	0	0.16797	0.8573	-59.3912	0	49	48
	Ks.8 Ks.2-3	Wedge	39.84	25.86	95.86	0.15	1	0	0	0.24187	1	-0.48173	0	54	53
	Ks.9-1	Toppling	77	15	65	0.15	1	0	0	0.15677	1	-0.29166	0	60	59
	Ks.9-2	Toppling	12	75	125	0.7	1	-25	0	0.79939	1	-24.2414	0	44	42
	Ks.9-3	Planar	2	80	30	1	1	0	0	0.97786	0.99003	-0.63638	0	64	63
	Ks.9 Ks.1-2	Wedge	81.84	14.03	-39.57	0.15	0.15	-60	0	0.1526	0.20828	-59.4692	0	58	58
	Ks.9 Ks.1-3	Wedge	85.42	24.33	-35.67	0.15	0.15	-60	0	0.14989	0.21023	-59.4647	0	58	58
	Ks.9 Ks.2-3	Wedge	84.03	21.42	-28.58	0.15	0.4	-60	0	0.15091	0.2874	-59.332	0	58	59

An eight meter-high structurally controlled slope name Ks.3, is comprised of moderately weathered ultramafic pyroxenite rocks with orthogonal joint sets. The joint set Ks.3-1 calculated S.M.R. values 49 to 39, which belong to class "III" normal condition in discrete function and class "IV" partially stable condition in continuous function. The failure mode belongs to toppling failure. The joint set Ks.3-2 calculated S.M.R. values 62 to 61, which belong to class "II" stable condition in both discrete and continuous function. The failure mode belongs to the toppling mode. The joint set Ks.3-3 calculated S.M.R. values 63 to 62, which belong to class "II" stable condition in both discrete and continuous function. The failure mode belongs to the toppling mode. The joint set Ks.3 Ks.1-2 calculated S.M.R. values has 100. Therefore, this joint is non-feasible. The joint set Ks.3 Ks.1-3 calculated S.M.R. values 53 to 51, which belong to class "III" partially stable condition in discrete and continuous function. The failure mode belongs to wedge failure. The joint set Ks.3 Ks.2-3 calculated S.M.R. value is 100, and this joint is non-feasible.

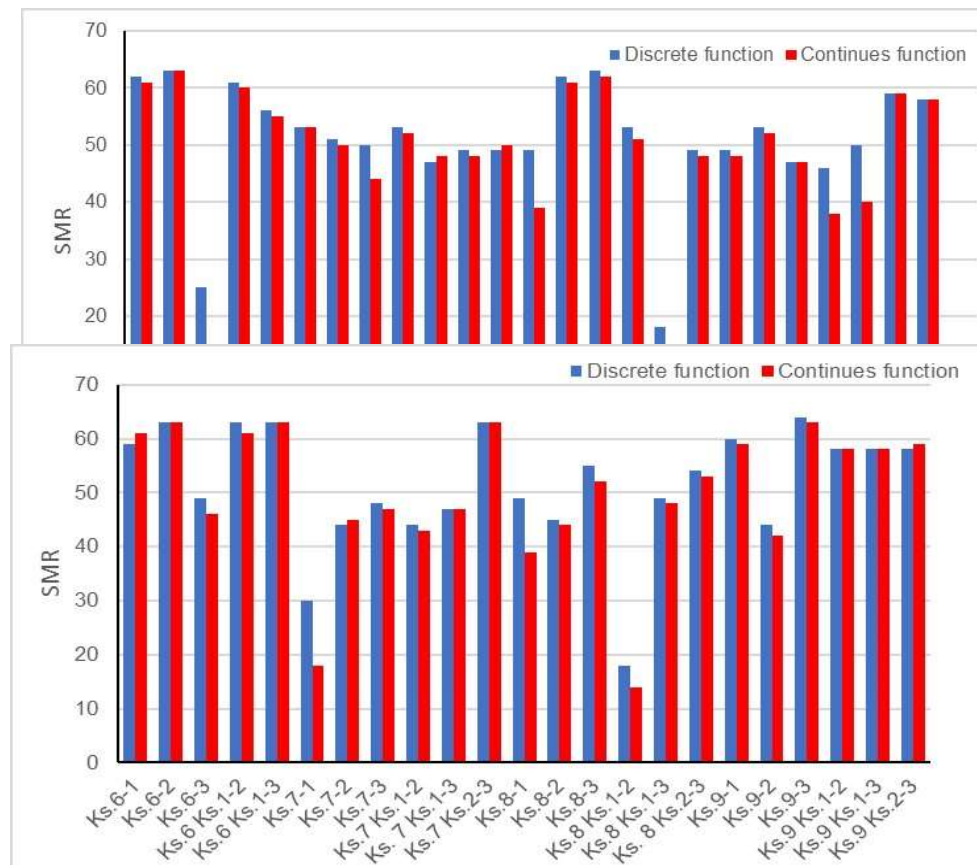


Figure 5. Both discrete function by Roman (1993a) and continuous function by Tomas et al. (2007) calculated results from SMRTTool software.

A 12-meter-high slope name Ks.4 comprised of highly weathered gneiss rock with orthogonal joint sets. The joint set Ks.1-1, both discrete and continuous calculated S.M.R. values, is 18 to 8, which belongs to class "V," completely

unstable its stability condition and failure mode big planar or soil. The joint set Ks.1-2, both discrete and continues calculated S.M.R. values are 49 to 48, which belong to class "III" partially stable its stability condition and the failure mode is Toppling failure. The joint set Ks.1-3, both discrete and continues S.M.R. values are 53 to 52, which belong to class "III" partially stable its stability condition and the failure mode is wedge/planar failure. The joint set Ks.4 Ks.1-2 discrete and continues calculated S.M.R. values are 47 to 47, which belongs to class "III" partially stable its stability condition and failure mode are wedge failure.

The joint set Ks.4 Ks.1-3 both discrete and continuous calculated S.M.R. values are 46 to 38, which belong to class "II" partially stable and class "IV" unstable its stability condition and the failure mode is wedge failure. The joint set Ks.4 Ks.2-3 both calculated S.M.R. values are 100. Therefore, this joint set is non-feasible Fig.5.

A 10-meter high structurally controlled slope name Ks.5 comprises moderately whether gneisses rock with orthogonal joint sets. The joint set Ks.5-1, both discrete and continuous calculated S.M.R. values are 50 to 40, which belong to class "III" partially stable and class "IV" unstable its stability condition and the failure mode is big planar/wedge failure fig.

The joint set Ks.5-2, both discrete and continuous calculated S.M.R. values are 59 to 59, which belong to the class "III," partially stable its stability condition, and the failure mode is a planar failure. The joint set Ks.5-3, both discrete and continuous calculated S.M.R. values are 58 to 58, which belong to class "III" partially stable its stability condition and the failure mode is Toppling failure. The joint set Ks.5 Ks.1-2 discrete and continues S.M.R. values are 8 to 8, which belongs to class "V" completely unstable its stability condition and failure mode are wedge failure. The joint set Ks.5 Ks.1-3 and Ks.5 Ks., 2-3, both discrete and continues S.M.R. values are 100. Therefore, these two joint sets are non-feasible.

An 8-meter high structurally controlled slope name Ks.6, comprises moderately whether gneisses rock with orthogonal joint sets. The joint set Ks.6-1 both discrete and continues calculated S.M.R. values are 59 to 61, which belong to class "III" partially stable its stability condition and class "II" stable its stability condition. The failure mode is a planar failure. The joint set Ks.6-2 discrete and continues calculated S.M.R. values are 63 to 63, which belongs to class "II" stable its stability condition, and the failure mode is a planar failure. The joint set Ks.6-3, both discrete and continuous calculated S.M.R. values are 49 to 46, which belong to class "III" partially stable its stability condition and the failure mode is toppling failure. The joint set Ks.6 Ks.1-2 discrete and continues S.M.R. values are 63 to 61, which belongs to class "II" stable its stability condition and failure mode are wedge failure. The joint set Ks.6 Ks.1-3 both discrete and continues S.M.R. values are 63 to 63 which belong to class "II" stable its stability condition

and the failure mode is wedge failure. The joint set Ks.6 Ks.2-3 both discrete and continues S.M.R. values are 100. Therefore, this joint set is non-feasible Fig.5.

Table no.8. Actual field observation compares both (S.M.R) discrete and continuous function assessment.

Slope Name	Discontinuity set Involved	Actual Observation	S.M.R. Continues function		S.M.R. Discrete function		Failure Mode
			Rating	Stability	Rating	Stability	
Ks.1	Ks.1-1	Stable	62(II)	Stable	61(II)	Stable	Toppling
	Ks.1-2	Stable	63(II)	Stable	63(II)	Stable	Planar
	Ks.1-3	P. Stable	16(V)	Unstable	12(V)	Unstable	Planar
Ks.2	Ks.2-1	P. Stable	51(III)	Stable	59(III)	Stable	Planar
	Ks.2-2	P. Stable	55(III)	P. Stable	44(III)	P. Stable	Planar
	Ks.2-3	P. Stable	53(III)	P. Stable	52(III)	P. Stable	Planar
Ks.3	Ks.3-1	Unstable	49(III)	P. Stable	39(IV)	P. Stable	Planar
	Ks.3-2	P. Stable	62(II)	Stable	61(II)	Stable	Toppling
	Ks.3-3	P. Stable	63(II)	Stable	62(II)	Stable	Toppling
Ks.4	Ks.4-1	P. Stable	18(V)	Unstable	8(V)	Unstable	Planar
	Ks.4-2	P. Stable	49(III)	Stable	48(III)	Stable	Toppling
	Ks.4-3	P. Stable	46(II)	P. Stable	38(IV)	Unstable	Planar
Ks.5	Ks.5-1	Unstable	50(III)	P. Stable	40(IV)	Unstable	Planar/Wedge
	Ks.5-2	Unstable	52(III)	P. Stable	59(III)	P. Stable	Toppling
	Ks.5-3	Unstable	58(III)	P. Stable	58(III)	P. Stable	Toppling
Ks.6	Ks.6-1	P. Stable	59(III)	P. Stable	61(III)	P. Stable	Planar
	Ks.6-2	P. Stable	63(III)	P. Stable	63(III)	P. Stable	Planar
	Ks.6-3	P. Stable	49(III)	P. Stable	46(III)	P. Stable	Toppling
Ks.7	Ks.7-1	Unstable	30(IV)	Unstable	10(V)	C. Unstable	Planar/Wedge
	Ks.7-2	Unstable	44(III)	P/Stable	45(III)	P. Stable	Planar
	Ks.7-3	Unstable	48(III)	P/Stable	47(III)	P. Stable	Planar
Ks.8	Ks.8-1	P. Stable	49(III)	P. Stable	39(IV)	P. Stable	Planar/Wedge
	Ks.8-2	P. Stable	18(IV)	C. Unstable	14(IV)	C. Unstable	Planar/Wedge
	Ks.8-3	Stable	55(III)	P. Stable	52(III)	P. Stable	Toppling
Ks.9	Ks.9-1	Stable	60(III)	P. Stable	59(III)	P. Stable	Toppling
	Ks.9-2	Stable	44(III)	P. Stable	42(III)	P. Stable	Planar
	Ks.9-3	Stable	58(III)	P. Stable	58(III)	P. Stable	Planar/Wedge

The above Table .8 compares the actual field condition of the selected joint sets with calculated S.M.R values, and the accuracy of the results is more than 90%, which is very satisfactory.

A 9-meter road cut slope name Ks.7 comprised of highly whether gneisses rock with orthogonal joint sets. The joint set Ks.7-1 both discrete and continues S.M.R. values are 30 to 10 which belong to class "IV" unstable its stability condition and class "V" completely unstable its stability condition and the failure mode is a wedge or planar failure. The calculated to 10 which belong to class "IV" unstable its stability condition and class "V" completely unstable its stability condition and the failure mode is a wedge or planar failure. The calculated S.M.R.

result of this discontinuity set very similar to field observation because this joint set is completely unstable fig. below.

The joint set Ks.7-2 discrete and continues S.M.R. values are 44 to 45, which belongs to class "III" partially stable its stability condition and the failure mode is Toppling failure. The joint set Ks.7-3, both discrete and continues S.M.R. values are 48 to 47, which belong to class "III" partially stable its stability condition and the failure mode is Planar failure. The joint set Ks.7 Ks.1-2 discrete and continues S.M.R. values are 44 to 43, which belongs to class "III" partially stable its stability condition and the failure mode is wedge failure. The joint set Ks.7 Ks.1-3 both discrete and continues calculated S.M.R. values are 46 to 46 which belong to class "III" partially stable its stability condition and the failure mode is wedge failure.

The joint set Ks.7 Ks.2-3 both discrete and continues calculated S.M.R. values are 47 to 47 which belong to class "III" partially stable its stability condition and the failure mode is wedge failure.

An 18-meter high structurally controlled road cut slope name Ks.8 comprises highly weathered gneisses rock with orthogonal joint sets. The joint set Ks.8-1 both discrete and continues calculated S.M.R. values are 49 to 39 which belong to class "III" partially stable its stability condition and class "IV" unstable its stability condition and the failure mode is a planar failure.

The joint set Ks.8-2 discrete and continues calculated S.M.R. values are 45 to 44, which belongs to class "III" partially stable its stability condition and failure mode are a planar failure. The joint set Ks.8-3, both discrete and continuous calculated S.M.R. values, is 55 to 52, which belongs to class "III" partially stable its stability condition, and the failure mode is toppling failure. The joint set Ks.8 Ks.1-2 discrete and continuous calculated S.M.R. values are 18 to 14, which belongs to class "V" completely unstable its stability condition and failure mode are wedge failure. The joint set Ks.8 Ks.1-3 both discrete and continues calculated S.M.R. values are 49 to 48 which belong to class "III" partially stable its stability condition and the failure mode is wedge failure.

The joint set Ks.8 ks.2-3, both discrete and continuous calculated S.M.R. value is 100. Therefore, this joint set is non-feasible.

A 10-meter structurally controlled road cut slope name Ks.9 comprises of slightly whether quartzite rock with orthogonal joint sets. The joint set Ks.9-1, both discrete and continuous calculated S.M.R. values are 60 to 59 which belong to class "III" partially stable its stability condition and the failure mode is Toppling failure. The joint set Ks.9-2 discrete and continues calculated S.M.R. values are 44 to 42, which belongs to class "III" partially stable its stability condition and failure is toppling failure.

The joint set Ks.9-3, both discrete and continuous calculated S.M.R. values are 64 to 63, which belong to class "II" stable its stability condition, and the failure mode is a planar failure. The joint set Ks.9 Ks.1-2 discrete and continuous calculated S.M.R. values are 58 to 58, which belongs to class "III" partially stable its stability condition and failure mode are wedge failure. The joint set Ks.9 Ks.1-3 both discrete and continuous calculated S.M.R. values are 58 to 58 which belong to class "III" partially stable its stability condition and the failure mode is planar/wedge failure. The joint set Ks.9 Ks.2-3 both discrete and continuous calculated S.M.R. values are 58 to 59 which belong to class "III" partially stable its stability condition and the failure mode is wedge failure

Discussion

This paper focused on the Rock Mass Classification system for rock slope stability assessment of highly jointed road cut slopes in northern Pakistan. Two Rock Mass Classification systems, such as (1) Rock Mass Rating (R.M.R.), (2) Slope Mass Rating (S.M.R.), both discrete and continuous, function applied in this study. Slope Mass Rating system (S.M.R.) is widely used worldwide for a preliminary study of rock stability in civil and mining engineering. The key benefit of using a rock mass classification system is that it is an easy and straightforward way to interpret rock mass value and summarize precedent practice[36]. Some limitation in rock mass classifications system, noted by [16] that rock mass classifications system considered variable related with the intact rock strength, geometry of slope, Spacing of discontinuity, block size and shear strength along discontinuities, including some of them are difficult or even impossible to calculate such as water pressure or have a minimal effect on slope stability. The chosen study area is significant due to the highly active landslide zone identified in previous research [20].

The kinematic assessment of the rock slope showed that all kinds of failure modes such as planar, toppling, and wedge failure occur in the study area. However, in this study, we identified that the study area is highly susceptible to rock slope failure along the Karakorum highway due to its rock mass characteristics, adverse discontinuity set, highly weathered rock mass and due to soil filling discontinuities. The calculated R.Q.D. data showed that values range from 78% to 90%, good quality rock accepts only one value which range is 25%, a poor-quality rock. The kinematic results show that all kinds of failure modes occur in these joints set, but the planar and wedge failure mode failure dominant in all the road-cut rock slopes. The (R.M.R.) system results showed that the chosen road cut slope occurred between a fair and good rock. However, the other triggering factors also involve rock slope stability assessments in the study area, such as rainfall and seismicity, but these triggering mechanisms are absent in the S.M.R. system. Both (S.M.R.) discrete and continuous results show that all discontinuities set lie between partially stable, stable unstable, similar to our field

observation. This study shows that (S.M.R.) continues function given fewer values, and with cross-check with field observation, these fewer values results are more reliable than discrete functions. In S.M.R, results also show the planar and wedge failure mode is dominant in all selected discontinuities.

Conclusion.

This study has shown that due to adverse discontinuity sets, weak, and whether rock surface and fair to poor rock quality, the study area is highly susceptible to rock slope failure. We highly recommended immediate slope support such as nets, spot bolting, systematic shotcrete, anchoring, and retaining wall along the Karakorum highway in the study area. The present study assessment gave some valuable information regarding rock slope stability in the study area and more research needs, especially regarding other factors such as rainfall and seismicity. These two factors were also involved in rock slope failures in the study area. The continuous (S.M.R.) system is highly recommended in the Himalayan rock slope assessment because its result is similar to actual field observation.

Lis of abbreviations

G.S.P.	Geological Survey of Pakistan
K.K.H.	Karakorum highway
S.M.R.	Slope mass rating
R.M.R.	Rock mass rating
MMT	Main mantle thrust
IKNZ	Indus Kohistan seismic zone

Conflict of Interest: The authors strongly confirm that there is no conflict of interest regarding our manuscript.

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