Review

Measuring and monitoring skeletal muscle mass and dysfunction after stroke

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Abstract: Muscle mass at admission is important to survive stroke, and stroke-induced sarcopenia is a serious problem because of its poor prognosis. Muscle mass measurement and monitoring are essential for appropriate rehabilitation and nutrition management. Several methods are used to assess skeletal muscle mass in stroke, such as computed tomography (CT), ultrasonography, bioelectrical impedance analysis, dual-energy X-ray absorptiometry, biomarkers, and anthropometrics. In stroke, a head CT is used to estimate muscle mass by measuring the temporal muscle. However, it is mostly retrospectively conducted due to radiation exposure. After stroke, limb muscle atrophy and diaphragm dysfunction are observed using ultrasound. However, ultrasound requires an understanding of the methods and skill. A bioelectrical impedance analysis can be used to assess muscle mass in patients after a stroke unless they have dynamic fluid changes. Dual-energy X-ray absorptiometry is used for follow-up after hospital discharge. Urinary titin N-fragment and serum C-terminal agrin fragment reflect muscle atrophy after stroke. Anthropometrics may be useful with limited resources. We summarized the features of each measurement and proved the recent evidence to properly measure and monitor skeletal muscle mass after stroke.

Keywords: stroke; sarcopenia; computed tomography; ultrasound; bioelectrical impedance analysis; muscle; temporal muscle; rectus femoris muscle; diaphragm; calf circumference

1. Introduction

Stroke is the second leading cause of death worldwide [1]. Annually, 17 million people suffer from stroke and 6 million people die. In this decade, the mortality ratio decreased due to advancements in stroke treatment [2]. However, many patients suffer from the prolonged physical dysfunctions after hospital discharge. A previous study reported that only 29% return to work during the first year after stroke onset [3].

To survive a stroke, muscle mass plays an important role. Since most strokes occur in patients over 65 years old, sarcopenia is prevalent at the stroke onset [4]. At the stroke onset, low muscularity is associated with poor clinical outcomes [5]. Furthermore, after the stroke onset, muscle atrophy, called “stroke-induced sarcopenia,” is associated with
worse clinical outcomes, such as mortality and physical dysfunction [6]. Stroke-induced sarcopenia is observed in 42% of stroke survivors [7], and muscle loss is observed in the affected and unaffected limbs [8].

Measuring and monitoring muscle mass to provide proper nutrition and rehabilitation to patients who are at the greatest risk of muscle atrophy are important. Several methods are used to assess skeletal muscle mass after stroke, including computed tomography (CT), ultrasonography, bioelectrical impedance analysis (BIA), dual-energy X-ray absorptiometry (DEXA), biomarkers, and anthropometrics. We summarized each measurement method and their applications in patients with stroke.

2. Computed tomography (CT)

2.1. Methodology

CT can accurately measure muscle mass because it can distinguish muscle and other tissues. Thus, CT is often used as the gold standard of muscle mass estimation. In a study using a cadaver, the measurement of lower limb muscle mass using CT was consistent with the measurement of lower limb muscle mass and the actual size of the cadaver using magnetic resonance imaging (MRI) [9]. However, due to radiation exposure and transfer of patients to the examination room, muscle mass assessment using CT is often retrospectively conducted in patients with stroke.

Several software can be used in measuring muscle mass, and no significant difference in the measurement was observed between software ($r = 0.979–1.000; p < 0.001$) [10]. ImageJ (National Institutes of Health, Maryland, USA) is a frequently used free software [11]. This software needs manual tracing to measure muscle mass in a 2-dimensional plane. The problem of manual tracing is the difficulty to distinguish fat tissues. One method for discriminating muscle from fat is using CT-derived skeletal muscle density, in which the muscle has the ranges from −29 to 150 Hounsfield unit.

Muscle mass measurement is conducted at the middle level of the third lumbar vertebra because the measurement at this landmark correlated with lean body mass on DEXA ($r = 0.86–0.94; p < 0.001$) [12]. However, in stroke, taking CT images of the trunk is unusual. Thus, head CT is used to evaluate muscle mass in patients with stroke. Several studies have used the temporal or paravertebral muscle area at cervical vertebra levels (Figure 1). Temporal muscle thickness and area can be measured at the orbital roof level [13-15], 5 mm above the level [16, 17], or the thickest portion of the temporal muscle [18]. Thickness is measured at the maximum point in the plane, and area is measured manually [16] or automatically [18]. Sylvian fissure is used to confirm anteroposterior orientation. There is a correlation between temporal muscle thickness and area ($r = 0.38–0.40$) [18]. Temporal muscle thickness was correlated with whole muscle mass at the third lumbar vertebra in patients with brain metastases ($r = 0.733; p < 0.001$) [13] and the psoas muscle area ($r = 0.57; p < 0.001$) in patients with trauma [18]. Furthermore, temporal muscle thickness is correlated with hand grip strength ($r = 0.649–746; p < 0.001$) [15]. Alternatively, the paravertebral and sternocleidomastoid muscle cross-sectional areas at the third cervical vertebra were correlated with whole muscle mass at third lumbar vertebra ($r = 0.785$) in patients with head and neck cancer [19]. These muscle mass measurements are conducted bilaterally considering the affected or unaffected side. In addition, a qualitative assessment of muscle mass is conducted using CT-derived skeletal muscle density and lower density means fatty degeneration [20].
2.2. Applications in stroke

In patients with stroke, temporal muscle thickness at admission was independently associated with sarcopenia, assessed using a questionnaire ($\beta = -0.138; p = 0.02$) [14]. In another study, temporal muscle thickness was associated with a poor neurological prognosis at three months, defined as Glasgow Outcome Scale scores of 1–3, in neurocritically ill patients, including those with stroke (adjusted odds ratio (OR), 1.27; 95% confidence interval (CI), 1.028–1.576) [21]. The temporal muscle area was evaluated in patients with subarachnoid hemorrhage, and on admission, it was independently associated with modified Rankin Scale scores six months after aneurysm treatment, and the cutoff value of temporal muscle area was <200 mm$^2$[16]. Because temporal muscle mass affects physical functions at hospital discharge in patients after aneurysm treatment, it can be a good indication for surgical intervention in aneurysm treatment [17].

One study has investigated the paravertebral muscle cross-sectional area at the transverse process level of the first cervical vertebra. The muscle atrophy in the first cervical vertebra was associated with a poor neurological outcome, defined as Glasgow Outcome Scale scores of 1–3 at three months (adjusted OR, 1.36; 95% CI, 1.054–1.761) [21]. The validation of the paravertebral muscle mass at the first cervical vertebra needs further study.

Another study has assessed the paravertebral muscle area at the third lumbar vertebral, and they found that a decreased cross-sectional area was associated with functional impairments in patients with stroke [22].

3. Limb ultrasonography

3.1 Methodology

Ultrasound can distinguish muscle from other tissues, but it requires skill and experience to properly measure the muscle mass. Ultrasound is available at the bedside. Thus, it is a promising tool for monitoring muscle mass after stroke.

After stroke, muscle mass assessments using ultrasound have been conducted in the rectus femoris, tibialis anterior [23], medial gastrocnemius muscle [24], and foot [25] and hand muscles [26], among others. Among them, the rectus femoris muscle is a well-established landmark of muscle mass assessment using ultrasound. There are several measurement points in rectus femoris muscle measurements using ultrasound (Figure 2): (1) at the midway between the anterior superior iliac spine and proximal end of the patella [27, 28], (2) two-thirds the distance from the anterior superior iliac spine to the proximal end of the patella, and (3) at 10–15 cm above the upper border of patella [29]. These measurement points have good correlations. In a study, the correlation coefficients were 0.87 and 0.88 at the midway and 15 cm above the upper border of patella [30], whereas in another study, the correlation coefficients were 0.74–0.76 and 0.81–0.83 at the midway and two-
thirds points [31]. Considering probes, linear probes are used for measurements. However, linear probes with a short width cannot capture the rectus femoris muscle in one image and two images need to be combined to measure the cross-sectional area using the landmark of the central aponeurosis [32, 33]. In a study, a convex probe was validated, but it is not usually used in muscle mass assessment due to insufficient visualization [32]. Fluid accumulates in subcutaneous tissue, and muscle mass measurement using ultrasound did not correlate with fluid change [27]. Because compression strength affects the measurements [34], generous amounts of contact gel need to be used to avoid compression of the muscles by the probe. The transducer was placed perpendicular to the long axis of the limbs because the angle of the probe affects the measurements [35]. A mark needs to be drawn on the skin for longitudinal measurements in the same location. Measurements should be conducted in a flat position with the legs passively extended because the measurements in the rectus femoris cross-sectional area increases at the bed angles of 0° (3.33 cm²), 20° (3.47 cm²), 30° (3.58 cm²), and 60° (3.70 cm²) [29]. Several studies in critical illness have reported that the cross-sectional muscle area correlates with muscle function, but thickness does not correlate with muscle function [36, 37]. Thus, the measurement of the cross-sectional area may be desirable after stroke, although most studies on stroke have measured muscle thickness. Muscle thickness often includes not only the rectus femoris but also the vastus intermedius muscle from the superficial fascia of the rectus femoris muscle to the femur. Intraobserver and interobserver correlation coefficients were 0.74–0.99 and 0.76–0.99, respectively, for thickness and 0.98–0.99 and 0.98–0.99, respectively, for cross-sectional area [27, 30, 31, 38, 39]. In a qualitative analysis, an increased echo-intensity represents muscle mass loss and fibrous muscle changes [40]. In critical illness, an increased echo-intensity is correlated with rectus femoris muscle atrophy and impaired physical functions [41].

Figure 2. Rectus femoris muscle evaluation using ultrasound. A. Measurement position at the femur. B. An ultrasound image of a rectus femoris cross-sectional area and thickness from the superficial fascia of the rectus femoris muscle to the femur.

3.2. Applications in stroke

Ultrasound measurements at the anterior thigh are reliable after stroke [38]. After stroke, robust muscle changes occur in the quadriceps femoris muscles compared with other muscles [42]. In a study, ultrasound assessments showed that quadriceps muscle thickness decreased by 20.8% in the affected limb and 15.3% in the unaffected limb within two weeks of the acute phase [28]. In patients with acute aneurysmal subarachnoid hemorrhage, quadriceps muscle thickness decreased by 11.0% in 2 weeks, and the extent of atrophy was correlated with the Hunt and Hess grade (r = −0.72; p = 0.001) and the modified Rankin Scale score at 90 days (r = −0.78; p = 0.0002) [43]. In a 3-year follow-up of 20 stroke survivors, quadriceps muscle thickness still decreased by 10.3% in the affected limb.
and 17.0% in the unaffected limb from the baseline [44]. The echo-intensity of the muscles in these patients increased by 20.0% in the affected limb and 24.9% in the unaffected limbs. In addition to echo-intensity, muscle stiffness, measured using elastography, increases in qualitative muscle changes after stroke [45]. Ultrasound measurements of rectus femoris muscle cross-sectional area can be used to assess the effects of an interventional study. Studies have used the rectus femoris cross-sectional area to assess the effect of strength training [46] or anabolic steroid treatment [47] and confirmed their positive effects.

4. Ultrasound in diaphragm

4.1 Methodology

Diaphragm muscle mass can be measured using ultrasound. Ultrasound evaluation of diaphragm thickness is reliable because it is correlated with cadaveric diaphragm thickness (r = 0.93) [48]. Diaphragm thickness is measured using linear probes with B mode (desirably high resolution ≥ 10 MHz). The patient position should be the spine position with the bed reclined at a 30° angle. The probe needs to be placed perpendicular to the right chest wall at the eighth to tenth intercostal spaces in the anteroaxillary and midaxillary lines. This position helps identify the costophrenic sinus. The probe is moved 0.5–2.0 cm caudally below the costophrenic sinus to measure the diaphragm in parallel without rib shadow. This position is called the “zone of apposition.” In the zone of apposition, the diaphragm has a three-layered structure. Although the right diaphragm is measurable in 95% of attempts, the left diaphragm is often difficult to be clearly observed through the spleen window partly due to the interference of the stomach [49]. Unless patients have hemilateral diaphragm paresis, right and left diaphragm functions are not different [49]. The hypoechoic muscular layer of the diaphragm is bordered by the echogenic layers of the peritoneum and diaphragmatic pleura. Diaphragm thickness at end expiration is measured three times with the median value used for the evaluation. In healthy volunteers, the average of diaphragm thickness at end expiration was 0.19 ± 0.04 cm (95% CI, 0.17–0.20 cm) for men and 0.14 ± 0.03 cm (95% CI, 0.13–0.15 cm) for women [50]. Intraobserver and interobserver correlation coefficients were 0.88–0.98 and 0.97–0.99, respectively, in thickness measurements [51].

Diaphragm functions are assessed using two methods (Figure 3). Using a linear probe, the thickening fraction can be calculated as follows: [thickness at inspiration – thickness at expiration]/[thickness at expiration] × 100. The average thickening fraction in normally breathing healthy volunteers was 15%–30% [52]. The thickening fraction depends on the patients’ breathing [53]. The thickening fraction is used to assess diaphragm functions. Another method for assessing diaphragm functions is measuring diaphragm excursion. Diaphragm excursion is evaluated at the midclavicular line in the subcostal area using a convex or sector probe (2–5 Hz) where the liver is used as sound windows for the right diaphragm. In the M mode, diaphragm excursion is measured as the distance from the lower to the upper borders of diaphragm movement. The normal range is >1 cm, and diaphragm excursion values <1 cm are often used to denote diaphragm dysfunction. In addition, diaphragm excursion is used to calculate diaphragm contraction velocity—diaphragm excursion divided by the inspiratory time—and the excursion-time index—the product of diaphragm excursion and inspiratory time. These parameters using diaphragm excursion reflect the expansion of the rib cage. Thus, it is influenced by other rib cage respiratory muscles. Intraobserver and interobserver correlation coefficients were 0.95–0.99 and 0.58–0.99, respectively, in excursion measurements [51]. Diaphragm dysfunction can be clearly distinguished when patients are asked to force-fully breathe instead of normal breathing [54].

4.2 Applications in stroke

Diaphragm thickness is thinner on the affected side than that on the unaffected side at end expiration [55], and diaphragm dysfunction was observed in 51.7% of affected limbs and 1.7% of unaffected limbs [54]. Diaphragm dysfunction was not only observed in patients with hemiparesis but also observed in 24% of patients without hemiparesis [56]. In the paresis side, the diaphragm response was abolished or markedly delayed on diaphragm electromyogram [57]. Decreased diaphragm excursion causes silent aspiration after stroke [58]. Since rehabilitation interventions are effective for respiratory muscle weakness after stroke, assessing the diaphragm functions is important [59]. Although the left hemidiaphragm is not routinely assessed for diaphragm function, examining bilateral hemidiaphragm functions after stroke is better. Especially, diaphragm dysfunction needs to be evaluated in patients with middle cerebral artery occlusion because the occurrence rate of diaphragm dysfunction was 62.5% among them [54].

5. Bioelectrical impedance analysis (BIA)

5.1 Methodology

BIA indirectly evaluates body composition from the impedance caused by electrical currents (Figure 4). Multifrequency BIAs using different electrical currents are more accurate than single-frequency BIAs because multifrequency BIAs can distinguish intracellular and extracellular water. Muscle mass is assessed using skeletal muscle mass or lean body mass, which is divided by the height square as skeletal or lean body mass index, respectively. In patients with fluid overload, muscle mass measurement using BIA needs careful interpretation. Kim et al. have reported that skeletal muscle mass measured using BIA was correlated with CT (p < 0.001), but BIA overestimated muscle mass (mean difference, 3.35 kg) particularly in an edematous patient [60]. Alternatively, Nakanishi et al. have reported that muscle mass monitoring using BIA differed from ultrasound measurements and BIA measurement was correlated with fluid balance (r = 0.37–0.51; p < 0.01) [27]. Unlike critically ill patients, patients with stroke are not often edematous. Thus, BIA is often used after stroke unless patients are under dynamic fluid changes, such as those with ruptured subarachnoid hemorrhage.

BIA does not require a high degree of technical skills. It should be measured with the patients on a flat position because postural changes affect the measurements. Indeed, Kushner et al. have reported that a postural change from standing to lying immediately increased impedance by 3% [61]. The upper and lower limbs should be detached from the trunk. Numerous products are available from different companies including Inbody (Seoul, South Korea), Tanita (Tokyo, Japan) [62, 63], and Bioscan (Malton International, Essex, England). Inbody is often used after stroke [5]. Edema can be distinguished using extracellular water (ECW) divided by total body water (TBW). The normal range of ECW/TBW is 0.36–0.39. ECW/TBW ≥ 0.40 indicates an edematous state. Measurements using BIAs are conducted on the entire body or lateral side of the body (segmental).
without differences in results [64]. In segmental measurements, no difference in the results was observed between affected and unaffected limb measurements after stroke [65]. The algorithm for calculating muscle mass differs among devices, and the measurement results are not comparable between different devices [66].

Figure 4. Body composition measured using bioelectrical impedance analysis.

5.2 Applications in stroke

In BIA, sarcopenia is defined as <7.0 kg/m² in men and <5.7 kg/m² in women according to the Asian Working Group for Sarcopenia [67]. Abe et al. have used BIA to evaluate sarcopenia in 107 patients after acute ischemic stroke [5]. They found that sarcopenia in the definition was independently associated with an impaired walking function at discharge (OR, 4.02; 95% CI, 1.38–11.7; p = 0.001). Likewise, Ohyama et al. have shown that sarcopenia in the definition was associated with poor outcomes with modified Rankin Scale scores of 3–6 (OR, 2.58; 95% CI, 1.07–6.24; p < 0.05) and prolonged hospital stay (p < 0.01) in 164 patients with acute ischemic stroke [68]. In BIA, phase angle is an important parameter, which is composed of tissue resistance and reactance, and represents muscle cellular integrity. Irisawa et al. have reported that a high phase angle is associated with a motor score of the Functional Independence Measure at four weeks (OR, 3.32) in patients with stroke [69].

Lean body mass gradually decreases in both the affected and unaffected limbs after stroke [70]. At the time of rehabilitation, stroke-induced sarcopenia was observed in 53.5% of patients [71] and sarcopenic obesity was observed in 28% of patients [72]. Even with rehabilitation, muscle mass decreased by approximately 65% at hospital discharge and increased by approximately 30% 12 weeks after hospital discharge without returning to the admission level [62]. Nagano et al. have investigated changes in skeletal muscle index in 272 patients with stroke and found that the changes in skeletal muscle index were significantly associated with a motor score (β = 0.175; p = 0.003) and motor gain score (β = 0.247, p = 0.003) of the Functional Independence Measure at hospital discharge [73]. Nutritional intervention with rehabilitation may prevent the muscle atrophy because the skeletal mass index, measured using BIA, increased in the eight-week intervention of leucine-enriched amino acid supplementation and low-intensity resistance training [74].

In stroke survivors, fat mass increases due to muscle degeneration and BIA can be used to monitor fat mass [75]. BIA measurement of fat mass correlates with DEXA [76]. In an interventional study, progressive resistance and balance training reduced fat mass and improved walking capacity one year after stroke [77].

6. Dual energy X-ray absorptiometry (DEXA)

6.1 Methodology

DEXA uses two X-rays and measures the absorption in different tissues and indirectly measures the body composition. It is performed with the patient in the supine
position in the examination room. Radiation exposure in DEXA is limited to one-tenth of that of a chest X-ray [78]. DEXA can measure lean body mass, which has a strong association with muscle mass measurement using CT [79].

6.2 Applications in stroke

The use of DEXA is reported in the subacute or chronic phase after stroke. In the subacute phase after stroke, muscle mass decreased by 6.1% and 1.8% in the affected and unaffected sides, respectively [80]. In a study, lean body mass decreased by 3%–4% over six months after stroke [81]. In another study, muscle atrophy remained in the affected side one year after stroke, but muscle mass was regained at the unaffected side [82]. One year after stroke, lower lean body mass was associated with impaired physical function, defined as Barthel Index of <60 points (OR, 137.9; 95% CI, 2.04–9324.7; p = 0.02) [83]. Moreover, the decreased lean body mass was associated with decreased bone mineral density ($r^2 = 0.371$; $p < 0.001$) [84].

7. Biomarkers

Two biomarkers are used to assess muscle mass in patients with stroke. Urinary titin N-fragment is an important biomarker in muscle atrophy [85]. Ishihara et al. have investigated the use of a urinary titin N-fragment in stroke [53]. In their study, urinary titin N-fragment increased within 2 h after the onset of stroke and they suggested that brain–muscle cross-talk occurs immediately after stroke. Moreover, the increased urinary titin N-fragment was associated with the modified Rankin Scale score ($r = 0.55$; $p < 0.01$), National Institutes of Health Stroke Scale score ($r = 0.72$, $p < 0.01$), and Barthel Index ($r = −0.59$; $p < 0.01$) at hospital discharge, and urinary titin N-fragment on day 2 predicted the functional outcome at hospital discharge (OR, 1.11; 95% CI, 1.01–1.28) in multivariate analysis after adjusting for the stroke severity. Alternatively, Scherbakov et al. have investigated the use of a C-terminal agrin fragment as a biomarker of muscle atrophy in stroke and found that the C-terminal agrin fragment increased in the subacute phase after acute stroke [86]. Moreover, the increased C-terminal agrin fragment was associated with increased lean body mass and improved handgrip strength of the affected arm. Further studies are required to find candidate biomarkers after stroke.

8. Anthropometrics

8.1. Midupper arm circumference and triceps skinfold thickness

Midupper arm circumference and triceps skinfold thickness have been used for decades to assess nutritional status after stroke (Figure 5) [87]. Arm muscle circumference is calculated from the following formula: midupper arm circumference $− (0.314 \times$ triceps skinfold thickness). Midupper arm circumference measurements are correlated with body mass index ($r = 0.87$) after stroke [88]. The midupper arm circumference measurement at the unaffected side has a superior diagnostic capacity for predicting malnutrition than triceps skinfold thickness and arm muscle circumference, and the area under the curve of midupper arm circumference, triceps skinfold thickness, and arm muscle circumference was 0.825, 0.764, and 0.745, respectively, for men and 0.843, 0.796, and 0.742, respectively, for women [89].
8.2. Calf circumference

Calf circumference is a reliable indicator for assessing muscle mass because it is correlated with medial gastrocnemius muscle thickness measured using ultrasound ($r = 0.432; p < 0.001$) [90]. The calf circumference is measured at the maximum point with the patients seated or in the recumbent position at a 90° angle of the knee. In stroke, the cutoff values were 33 cm for men (sensitivity 75% and specificity 100%) and 32 cm for women (sensitivity 80% and specificity 100%) to assess sarcopenia [91]. In another study, the cutoff values were 34 cm for men (sensitivity 85% and specificity 66%) and 33 cm for women (sensitivity 91% and specificity 28%) [92]. After stroke, calf circumference was a more suitable method for assessing malnutrition than arm circumference, triceps skinfold thickness, and arm muscle circumference [89].

8. Conclusions

Muscle mass is important after stroke, and muscle atrophy occurs in the affected and unaffected limbs. Muscle mass measurement and monitoring can be conducted using CT, ultrasonography, BIA, DEXA, biomarkers, and anthropometrics. A clear understanding of these methods is important to properly use these methods for better rehabilitation and nutrition management in patients with stroke.

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