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A Polynomial Algorithm for Sequencing Jobs with Release Dates and Delivery Times on Uniform Machines

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Abstract: We consider the problem of scheduling n jobs with identical processing times and given release as well as delivery times on m uniform machines. The goal is to minimize the makespan, i.e., the maximum full completion time of any job. This problem is well-known to have an open complexity status even if the number of jobs is fixed. We present a polynomial-time algorithm for the problem which is based on the earlier introduced algorithmic framework *blesscmore* (“branch less and cut more”). We extend the analysis of the so-called behavior alternatives developed earlier for the version of the problem with identical parallel machines and show how the earlier used technique for identical machines can be extended to the uniform machine environment if a special condition on the job parameters is imposed. The time complexity of the proposed algorithm is $O(\gamma m^2 n \log n)$, where γ can be either n or the maximum job delivery time q_{\max} . This complexity can even be reduced further by using a smaller number $\kappa < n$ in the estimation describing the number of jobs of particular types. However, this number κ becomes only known when the algorithm has terminated.

Keywords: scheduling; uniform machines; release time; delivery time; time complexity; algorithm

1. Introduction

In this paper, we consider a basic optimization problem of scheduling jobs with release and delivery times on uniform machines with the objective to minimize the makespan. More precisely, n jobs from the set $J = \{1, 2, \dots, n\}$ are to be processed by m parallel *uniform machines* (or *processors*) from the set $M = \{1, 2, \dots, m\}$. Job $j \in J$ is available from its *release time* r_j , it needs a continuous (integer) *processing time* p , which is the time that it needs on a *slowest* machine. We assume that the machines in the set M are ordered by their speeds, the fastest machines first, i.e., $s_1 \geq s_2 \geq \dots \geq s_m$ are the corresponding machine speeds, s_i being the speed of machine i . Without loss of generality, we assume that $s_m = 1$, and the processing time of job j on machine i is an integer p/s_i . Job j has one more parameter, the *delivery time* q_j , an integer number which represents the amount of additional time units which are necessary for the *full* completion of job j after it completes on the machine. So notice that the delivery of job j consumes no machine time (the delivery is accomplished by an independent agent).

Now we define a *feasible schedule* S as a function that assigns to each job j a starting time t_j^S and a machine i from the set M such that for any job j , we have $t_j^S \geq r_j$ and $t_j^S \geq t_k^S + p/s_i$ holds for any job k scheduled before job j on the same machine. Note that the first inequality requires that a job cannot start its processing before before the given release time, and the second one describes the constraint that each machine can process only one job at any time. The *completion time* $c_i(S)$ of job j in the schedule S is the time moment when the processing of job i is complete on the machine i to which it is assigned in the schedule S , i.e., $c_j^S = t_j^S + p/s_i$, and the *full completion time* of job j in the schedule S is $C_j^S = c_j^S + q_j$ (the full completion time of job j takes into account the delivery time of

that job, whereas the completion time of job j does not depend on its delivery time). The goal is to determine an *optimal schedule* S being feasible and minimizing the maximum full job completion time of any job

$$C_{\max}(S) = \max_j C_j$$

29 or the *makespan*.

30 The studied multiprocessor optimization problem, described below, is commonly
31 abbreviated as $Q|p_j=p, r_j, q_j|C_{\max}$ (its version with identical parallel machines is abbrevi-
32 ated as $P|p_j=p, r_j, q_j|C_{\max}$, the first field specifies the machine environment, the second
33 one the job parameters, and the third one the objective function).

34 It is well-known that there is an equivalent (perhaps more traditional) formulation
35 of the above described problem, in which instead of the delivery time q_j , every job j has
36 its due-date d_j . The *lateness* of job j in the schedule S is $L_j^S = c_j^S - d_j$. Then the objective
37 becomes to minimize the maximum job lateness L_{\max} , i.e., find a feasible schedule S_{OPT}
38 in which the maximum job lateness is not more than in any other feasible schedule, i.e.,
39 S_{OPT} is an *optimal* schedule. The equivalence is easily established by associating with
40 each job delivery time a corresponding due-date, and vice-versa, see e.g., Bratley et al.
41 [1]). The version of the problem with due-dates with identical and uniform machine
42 environments are commonly abbreviated as $P|r_j, d_j|L_{\max}$ and $Q|r_j, d_j|L_{\max}$, respectively.

43 For the problem considered, each machine from a group of parallel uniform ma-
44 chines is characterized by its own speed, independent from a particular job that can
45 be assigned to it, unlike a machine from a group of unrelated machines whose speed
46 is job-dependent. Because of the uniform speed characteristic, scheduling problems
47 with uniform machines are essentially easier than scheduling problems with unrelated
48 machines, whereas scheduling problems with identical machines are easier than those
49 with uniform ones.

The general problem of scheduling jobs with release and delivery times on uniform
machines is well-known to be strongly NP-hard as already the single-machine version
is strongly NP-hard. However, if all jobs have equal processing times, the problem
can be polynomially solved on identical machines. The version on uniform machines
 $Q|p_j=p, r_j, q_j|C_{\max}$ is a long-standing open problem even in case the number of machines
 m is fixed. In this paper, we present a polynomial-time algorithm for the uniform
machine environment which finds an optimal solution to the problem if for any pair of
jobs i and j with $q_i > q_j$ and $r_j > r_i$, we have

$$q_i - q_j \geq r_j - r_i \quad (1)$$

50 The proposed algorithm relies on the *blescmore* (“branch less, cut more”) framework
51 for the identical machine case $P|p_j=p, r_j, q_j|C_{\max}$ from [2] (the *blescmore* algorithmic
52 concept was formally introduced later in [3]). A *blescmore* algorithm generates a
53 solution tree similar to a branch-and-bound algorithm, however, the branching and
54 cutting criteria are based on a direct analysis of some structural properties of the problem
55 under consideration without using lower bounds. The algorithmic framework, on
56 which the *blescmore* algorithm that we describe here is based, takes an advantage of
57 some nice structural properties of specially created schedules which are analyzed in
58 terms of the so-called behavior alternatives from [2]. The framework resulted in an
59 $O(q_{\max}mn \log n + O(m\kappa n))$ time algorithm with q_{\max} being the maximum delivery time
60 of a job and $\kappa < n$ being a parameter which becomes known only after the algorithm has
61 terminated. Each schedule is easily created by a well-known greedy algorithm commonly
62 referred to as Largest Delivery Time heuristic (LDT-heuristic for short): iteratively, among
63 all released jobs, it schedules one with the largest delivery time. The algorithm from [2]
64 carries out the enumeration of LDT-schedules (ones created by the LDT-heuristic) - it is
65 known that there is an optimal LDT-schedule. Based on the established properties, the

66 set of LDT-schedules is reduced to a subset of polynomial size which yields a polynomial
 67 time overall performance. Although the LDT-heuristic applied to a problem instance
 68 with uniform machines does not provide the desirable properties, it can be modified
 69 to a similar method that takes into account the uniform speed characteristic. While
 70 scheduling identical machines, the minimum completion time of each next selected
 71 job is always achieved on the machine next to the one to which the previous job was
 72 assigned. With uniform machines, this is not necessarily the case, for example, the next
 73 machine can be much slower than the current one. Hence, the time moment at which
 74 the job will complete on each of the machines needs to be additionally determined and
 75 then this job can be assigned to a machine on which the above minimum is reached. In
 76 this paper, we use an adaptation of the LDT-heuristic, which will be referred to as the
 77 LDTC-heuristic, and a schedule created by the later heuristic will be referred to as an
 78 LDTC-schedule. Instead of enumerating the LDT-schedules (as in [2]), the algorithm
 79 proposed here enumerates LDTC-schedules. Some properties for the identical machine
 80 environment which do not immediately hold for uniform machines are reformulated
 81 in terms of uniform machines, which allows to maintain the basic framework from [2]
 82 which, as suggested earlier, turned out to be sufficiently flexible.

83 Similarly as there exists an optimal LDT-schedule for the identical machine envi-
 84 ronment, there exists an optimal LDTC-schedule for the uniform machine environment.
 85 The complete enumeration of the LDTC-schedules is avoided by the generalization
 86 of nice properties of LDT-schedules to LDTC-schedules for uniform machines. These
 87 properties are obtained via the analysis of the so-called behavior alternatives from [2]
 88 that are generalized for uniform machines. The algorithm presented in this paper in the
 89 worst case requires $O(\gamma m^2 n \log n)$ steps with γ being any of the number n of jobs or the
 90 maximum delivery time q_{\max} of a job. In fact, n can be replaced by a smaller magnitude
 91 κ , the number of special types of jobs; this is the same parameter κ as for the algorithm
 92 from [2] which becomes known only when the algorithm halts. The running time of the
 93 proposed algorithm is worse than that of the one from [2], in part, because of the cost of
 94 the LDTC-heuristic which is repeatedly used during the solution process.

95 The remainder of this paper is as follows. In Section 2, we give a brief literature
 96 review. Section 3 presents some necessary preliminaries. Then the basic algorithmic
 97 framework is given in Section 4. Section 5 discusses the performance analysis of the
 98 developed blessingmore algorithm. Section 6 contains a final discussion and concluding
 99 remarks.

100 2. Literature Review

101 If the job processing times are arbitrary, then the problem is known to be strongly
 102 NP-hard, even if there is only a single machine $1|r_j, d_j|L_{\max}$ [4]. McMahon & Florian
 103 [5] gave an efficient branch and bound algorithm and later Carlier [6] has adopted it
 104 for the version with jobs delivery times $1|r_j, q_j|C_{\max}$ (a solution to the latter version can
 105 immediately be used for the calculation of lower bounds for a more general job shop
 106 scheduling problem). For the single machine case, Baptiste gave an $O(n^7)$ algorithm
 107 for the problem $1|r_j, p_j=p|\sum T_j$ [7] and also an algorithm of the same complexity for the
 108 problem $1|r_j, p_j=p|\sum w_j U_j$ [8] of minimizing the weighted number of late jobs. Chrobak
 109 et al. [9] have derived an algorithm of improved complexity $O(n^5)$ for the case of unit
 110 weights, i.e., for the problem $1|r_j, p_j=p|\sum U_j$. Later, Vakhania [10] gave an $O(n^2 \log n)$
 111 blessingmore algorithm for this problem. Note that for the problem $1|r_j, p_j, pmtn|\sum U_j$
 112 with arbitrary processing times and allowed preemptions, Vakhania [11] derived an
 113 $O(n^3 \log n)$ blessingmore algorithm.

114 One may consider a slight relaxation of problems $1|r_j, d_j|L_{\max}$, $P|r_j, d_j|L_{\max}$ and
 115 $Q|r_j, d_j|L_{\max}$ in which one looks for a schedule in which no job completes after its due-
 116 date. Such a feasibility setting with a single machine was considered by Garey et al.
 117 [12]. They have proposed an $O(n^2 \log n)$ algorithm which has further been improved
 118 to an $O(n \log n)$ one by using a very sophisticated data structure. This paper uses the

Table 1: Overview of solution approaches for related problems with equal processing times

problem	approach	reference
$1 r_j, p_j=p \sum T_j$	dynamic programming $O(n^7)$	Baptiste [7]
$1 r_j, p_j=p \sum w_j U_j$	dynamic programming $O(n^7)$	Baptiste [8]
$1 r_j, p_j=p \sum U_j$	blesscmore algorithm $O(n^2 \log n)$	Vakhania [10]
$P r_j, p_j=p \sum w_j C_j$	linear programming	Brucker & Kravchenko [20]
$P r_j, p_j=p \sum T_j$	linear programming	Brucker & Kravchenko [21]
$P r_j, p_j=p \sum C_j$	blesscmore algorithm $O(n^3 \log n)$, behavior alternatives	Vakhania [3]
$Q r_j, p_j=p, pmtn \sum C_j$	linear programming	Kravchenko & Werner [16]

119 concept of a so-called forbidden region describing an interval in which it is forbidden to
 120 start any job in a feasible schedule. Later Simons and Warmuth [13] have constructed an
 121 $O(n^2 m)$ time algorithm for the feasibility setting with the identical machine environment
 122 also using the concept of forbidden regions. (It can be mentioned that the minimization
 123 version of the problem can be solved by applying an algorithm for the feasibility problem
 124 by repeatedly increasing the due-dates of all jobs until a feasible schedule with the
 125 modified due dates is found. Using binary search makes such a reduction procedure
 126 more efficient and reduces the reduction cost to $O(\log(np/m))$.)

127 Dessousky et al. [14] considered scheduling problems on uniform machines with
 128 simultaneously released jobs (i.e., with $r_j = 0$ for every job j) and with different objective
 129 criteria. They proposed fast polynomial-time algorithms for these problems, in particular,
 130 for the criterion L_{\max} . In fact, the LDTC-heuristic is an adaptation of an optimal solution
 131 method that the authors in [14] constructed for the criterion L_{\max} .

132 For a uniform machine environment with allowed preemptions ($pmtn$), the problem
 133 $Q|r_j, pmtn|C_{\max}$ is polynomially solvable even for arbitrary processing times [15], while
 134 a polynomial algorithm for the problem $Q|r_j, p_j=p, pmtn| \sum C_j$ with minimizing total
 135 weighted completion time in the case of equal processing times has been given in [16].
 136 The case of unrelated machines is very hard. A polynomial algorithm exists for the prob-
 137 lem $R|r_j, pmtn|L_{\max}$ with allowed preemptions and minimizing maximum lateness, even
 138 for the case of arbitrary processing times [17]. If preemptions are forbidden, Vakhania et
 139 al. [18] gave a polynomial algorithm for the case of minimizing the makespan when only
 140 two processing times p and $2p$ are possible (i.e., for the problem $R|p \in \{p, 2p\}|C_{\max}$.
 141 Note that the case of two arbitrary processing times p and q is known to be NP-hard
 142 [19]. For the special case of identical parallel machines, there exist several works for the
 143 same setting as considered in this paper but for more complicated objective functions
 144 regarding the complexity status. In particular, the problems $P|r_j, p_j=p| \sum w_j C_j$ of mini-
 145 mizing the weighted sum of completion times [20] and $P|r_j, p_j=p| \sum T_j$ of minimizing
 146 total tardiness [21] can be polynomially solved by a reduction to a linear programming
 147 problem. In [3], Vakhania presented an $O(n^3 \log n)$ blesscmore algorithm for the problem
 148 $P|r_j, p_j=p| \sum U_j$ of minimizing the number of late jobs. His blesscmore algorithm uses
 149 a solution tree, where the branching and cutting criteria are based on the analysis of
 150 behavior alternatives. Moreover, the problem $P|r_j, p_j=p| \sum f_j(C_j)$ can also be poly-
 151 nomially solved for the case that f_j is an arbitrary non-decreasing function such that the
 152 difference $f_i - f_j$ is monotonic for any indices i and j [22]. The authors also applied
 153 a linear programming approach. It can also be mentioned that a detailed survey on
 154 parallel machine scheduling problems with equal processing times has been given in
 155 [23].

156 3. Preliminaries

157 This section contains some useful properties, necessary terminology and concepts,
 158 some of which were introduced in [2] for identical machines.

159 **LDTC-heuristic.** We first describe the LDTC-heuristic, an adaptation of the LDT-
 160 heuristic for uniform machines. As earlier briefly noted, unlike an LDT-schedule, an
 161 LDTC-schedule is not defined by a mere permutation of the given n jobs since the
 162 machine to which the next selected job is assigned depends on the machine speed.
 163 Starting from the minimal job release time, the current scheduling time is iteratively set
 164 as the minimum release time among all yet unscheduled jobs. Iteratively, among all jobs
 165 released by the current scheduling time, the LDTC-heuristic determines one with the
 166 largest delivery time (a most *urgent* one) and schedules it on the machine on which the
 167 earliest possible completion time of this job is attained (ties can be broken by selecting
 168 the machine with the minimum index):

169 Note that in an LDTC-schedule S , a machine will contain an idle-time interval (a
 170 *gap*) if and only if there is no unscheduled job released by the current scheduling time.
 171 The running time of the modified heuristic is the same as that of the LDT-heuristic with
 172 an additional factor of m due to the machine selection at each iteration (which is not
 173 required for the uniform machine environment), which results in the time complexity
 174 $O(mn \log n)$.

175 **Example.** We shall illustrate the basic notions and the algorithm described here on
 176 a small problem instance with 10 jobs and 2 uniform machines with $s_1 = 2$ and $s_2 = 1$.
 177 The processing time of all jobs (on machine 2) is 20. The rest of the parameters of these
 178 jobs are defined as follows:

179 $r_1 = r_2 = 0, r_3 = r_4 = 1, r_5 = r_6 = r_7 = 23$ and $r_8 = r_9 = r_{10} = 45$.

180 $q_1 = q_2 = 0, q_3 = q_4 = 51, q_5 = q_6 = q_7 = 75$ and $q_8 = q_9 = q_{10} = 54$. The
 181 LDTC-schedule obtained by the LDTC-heuristic for the problem instance of the above
 182 example is depicted in Fig. 1. In general, we denote the LDTC-schedule obtained by the
 183 LDTC-heuristic for the initially given instance of problem $Q|p_j=p, r_j, q_j|C_{\max}$ by σ (as we
 184 will see in the following subsection, we may generate alternative LDTC-schedules by
 185 iteratively modifying the originally given problem instance).

186 The next property of an LDTC-schedule easily follows from the definition of the
 187 LDTC-heuristic and the equality of the job processing times (job j is said to have the
 188 ordinal number i in schedule S if it is the i th scheduled job in that schedule S):

189 **Property 1.** *If in an LDTC-schedule S , job j is scheduled after job i , i.e., the ordinal number of*
 190 *job j in S is larger than that of job i , then $c_j^S \geq c_i^S$.*

191 Next, we give another easily seen important property of an LDTC-schedule S on
 192 which the proposed method essentially relies. Let A be a set of, say k jobs, all of which
 193 being released by time moment t , and let π be any permutation of k jobs all of them
 194 being also released by time t (recall that all the jobs have equal length). Let, further,
 195 $S(A)$ be a partial LDTC-schedule constructed for the jobs in the set A , and let $S(\pi)$ be
 196 a list schedule constructed for the permutation π (it includes the jobs according to the
 197 order in permutation π , leaves no avoidable gap and assigns each job to the machine
 198 on which it will complete sooner breaking ties again by selecting the machine with the
 199 minimum index). The following property, roughly, states that both above schedules are
 200 indistinguishable if the delivery times of the jobs are ignored:

201 **Property 2.** *The completion time of every machine in both schedules $S(A)$ and $S(\pi)$ is the same.*
 202 *Moreover, the i th scheduled job in the schedule $S(A)$ starts and completes at the same time as the*
 203 *i th scheduled job on the corresponding machine in the schedule $S(\pi)$.*

204 The above property also holds for a group of identical machines and is helpful
 205 for the generalization of the earlier results for identical machines from [2] to uniform
 206 machines. Roughly, ignoring the job release times, the property states that two list
 207 schedules constructed for two different permutations with the same number of jobs have
 208 the same structure. Although the starting and completion times of the jobs scheduled
 209 in the same position on the corresponding machine are the same in both schedules, the

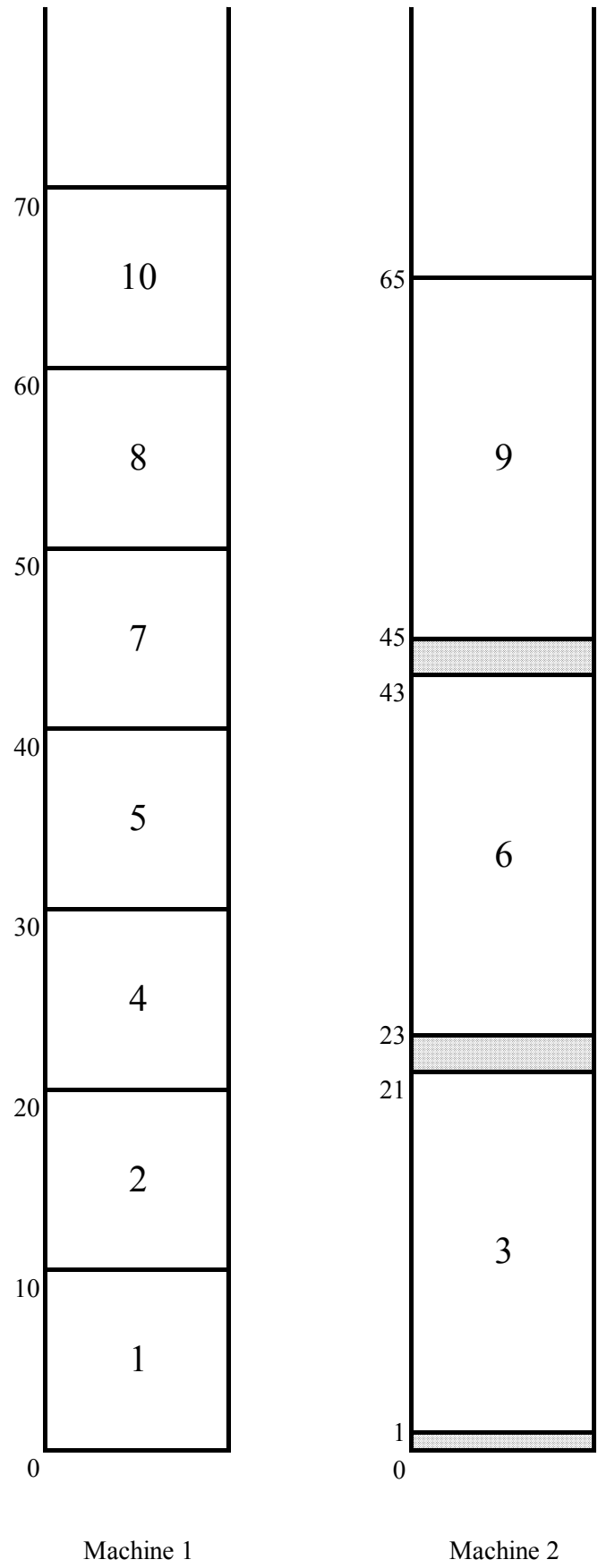


Figure 1. Initial LDTC schedule σ

210 full completion times will not necessarily be the same (this obviously depends on the
211 delivery times of these jobs).

212 **A block. A consecutive independent part in a schedule is commonly referred to**
213 **as a block in the scheduling literature. We define a block in an LDTC-schedule S as**
214 **the largest fragment (a consecutive sequence of jobs) of that schedule such that for**
215 **each two successively scheduled jobs i and j , job j starts no later than job i finishes**
216 **(jobs i and j can be scheduled on the same or different machines according to the**
217 **LDTC-heuristic). It follows that there is a single block that starts schedule S and there**
218 **is also a single block that finishes this schedule. If these blocks coincide, then there**
219 **is a single block in the schedule S , otherwise, each next block is “separated” from the**
220 **previous one with gaps on each of the machines. Here a zero length gap between jobs i**
221 **and j will be distinguished in case job j is scheduled at time $r_j = c_i^S$ on the same machine**
222 **as job i (it immediately succeeds job i on this machine). It is easily observed that the**
223 **schedule given in Fig. 1 consists of a single block (note that the order in which the jobs**
224 **are included in this schedule coincides with the enumeration of these jobs).**

225 A block B (with at least two elements) possesses the following property that will
226 be used later. Suppose the i th scheduled job j (not the last scheduled job of the block)
227 is removed from that block and the LDTC-heuristic is applied to the remaining jobs of
228 the block. As a consequence, in the resulting (partial) schedule, the processing interval
229 of the job scheduled as the i th one overlaps with the processing interval of job j in the
230 block B earlier.

231 Some additional definitions are required to specify how the proposed algorithm
232 creates and evaluates the feasible schedules. At any stage of the execution of the algo-
233 rithm, independently whether a new LDTC-schedule will be generated or not, depends
234 on specific properties of the LDTC-schedules already generated by that stage. The
235 definitions below are helpful for the determination of these properties.

An overflow job. In an LDTC-schedule S , let o be a job realizing the maximum full
completion time of a job, i.e.,

$$C_o(S) = C_{\max}(S), \quad (2)$$

236 and let $B(S)$ be the *critical block* in the schedule S , i.e., the block containing the earliest
237 scheduled job o satisfying Equation (2). The *overflow job* $o(S)$ in the schedule S is the last
238 scheduled job in the block $B(S)$ satisfying Condition (2), i.e., one with the maximum
239 $C_o(S)$. It can be easily verified that in the schedule in Fig. 1, job 7 is the overflow job
240 with $C_{\max} = C_7 = 50 + 75 = 125$ (the full completion time of the latest completed job 10
241 is $70 + 54 = 124$).

242 **A kernel.** Next, we define an important component in an LDTC-schedule S defined
243 as its fragment containing the overflow job $o = o(S)$ such that the jobs scheduled before
244 job o in the block $B(S)$ have a delivery time not smaller than q_o (we will write o instead
245 of $o(S)$ when this causes no confusion). This sub-fragment of the block $B(S)$ is called its
246 *kernel* and is denoted by $K(S)$. The kernel in the schedule in Fig. 1 is the fragment of that
247 schedule constituted by the jobs 5, 6 and 7.

248 Intuitively, on the one hand, the kernel $K(S)$ is a critical part in a schedule S , and
249 on the other hand, it is relatively easy to arrange the kernel jobs optimally. In fact, we
250 will explore different LDTC-schedules identifying the kernel in each of these schedules.
251 We will also relate this kernel to the kernels of the earlier generated LDTC-schedules.
252 We need to introduce a few more definitions.

253 **An emerging job.** Suppose that a job j of kernel $K(S)$ is *pushed* by a non-kernel job
254 e scheduled before that job in the block $B(S)$, that is, the LDTC-heuristic would schedule
255 job j earlier if job e was forced to be scheduled after job j . If $q_e < q_o$, then job e is called a
256 *regular emerging job* in the schedule S , and the latest scheduled (regular) emerging job
257 (the one closest to job o) is called the *delaying emerging job*. The emerging jobs in the
258 schedule in Fig. 1 are jobs 1, 2, 3 and 4, and job 4 is the delaying emerging job (in general,
259 there may exist a non-kernel non-emerging job scheduled before the kernel $K(S)$ in the
260 block $B(S)$).

261 The following optimality condition can be established already in the initial LDTC-
262 schedule σ .

263 **Lemma 1.** *If the initial LDTC-schedule σ contains a kernel K such that no job of that kernel is*
264 *pushed by an emerging job, then this schedule is optimal.*

265 **Proof.** Using an interchange argument, we show that no reordering of the jobs of the
266 kernel K can be beneficial. First, we note that the first job j of kernel K must be scheduled
267 on machine 1 since otherwise, it would have been pushed by the corresponding job
268 scheduled on that machine. But this job cannot exist since, by the condition of the lemma,
269 it cannot be pushed by an emerging job (and if it is not an emerging job, it should have
270 been a part of kernel K). Since machine 1 will finish job j at least as early as any other
271 machine, the full completion of job j cannot be reduced.

272 Let i and j be two successively scheduled jobs from the kernel K , α and β be the
273 machines to which jobs i and j are assigned, respectively, in the schedule σ . Without loss
274 of generality, assume that $\alpha < \beta$ as otherwise it is easy to see that interchanging jobs i
275 and j cannot give any benefit. We let σ' be the schedule obtained from schedule σ by
276 interchanging jobs i and j .

Assume first that jobs i and j are among the first m (or less) scheduled jobs from
the kernel K . By the condition of the lemma, both jobs start at their release time in the
schedule σ . We show that interchanging jobs i and j cannot be beneficial by establishing that

$$\max\{C_i^\sigma, C_j^\sigma\} \leq \max\{C_i^{\sigma'}, C_j^{\sigma'}\}.$$

Since $C_i^\sigma < C_j^\sigma$, $\max\{C_i^\sigma, C_j^\sigma\} = C_j^\sigma$, hence we need to show that $\max\{C_i^{\sigma'}, C_j^{\sigma'}\} \geq C_j^\sigma$.
Since $C_j^{\sigma'} \leq C_j^\sigma$, it will suffice to show that

$$C_i^{\sigma'} \geq C_j^\sigma. \quad (3)$$

We have

$$C_i^{\sigma'} = r_i + p/s_\beta + q_i$$

and

$$C_j^\sigma = r_j + p/s_\beta + q_j.$$

277 However, by Condition (1), $q_i - q_j \geq q_j - r_i$, which establishes Inequality (3).

278 Suppose now that jobs i and j are not among the first m scheduled jobs of the kernel
279 K . If by the current scheduling time both jobs are released, then by a similar interchange
280 argument Inequality (3) can easily be established (without using Condition (1)).

It remains to consider the case when job j is released within the execution interval
of job i , hence $t_j^\sigma = r_j$. We have

$$C_i^{\sigma'} - C_j^\sigma = q_i - q_j + t_i^{\sigma'} - t_j^\sigma = (q_i - q_j) - (r_j - t_i^{\sigma'}).$$

281 Again, by Condition (1), $q_i - q_j \geq r_j - r_i \geq r_j - t_j^{\sigma'}$ and Inequality (3) again holds.

282 Applying repeatedly the above interchange argument to all pairs of jobs from
283 the kernel K , we obtain that no rearrangement of the jobs of kernel K may result in
284 a maximum full job completion time less than that of the overflow job $o(\sigma)$, i.e, the
285 schedule σ is optimal. \square

286 3.1. Constructing Alternative LDTC-Schedules

287 Due to Lemma 1, from here on, it is assumed that the condition in this lemma is
288 not satisfied, i.e., there exists an emerging job e in the schedule S (note that $e \in B(S)$ as
289 otherwise job e may not push a job of the kernel $K(S)$). Since job e is pushing a job of
290 kernel $K(S)$, the removal of this job may potentially decrease the starting and hence the

291 full completion time of the overflow job $o(S)$. At the same time, note again that by the
 292 definition of a block, the omission of a job not from the block $B(S)$ may not affect the
 293 starting time of any job from the block $B(S)$. That is why we restrict here our attention
 294 to the jobs of the block $B(S)$. (Here we only mention that later we will also apply an
 295 alternative notion of a passive emerging job, and then the notion “emerging job” is used
 296 either for a regular or a passive emerging job; until then we use “emerging job” for a
 297 “regular emerging job”.)

298 Clearly, no emerging job can actually be removed as the resultant schedule would
 299 be infeasible. Instead, to restart the jobs in the kernel $K(S)$ earlier, an emerging job e is
 300 *applied* to this kernel, i.e., it is forced to be rescheduled after all jobs of the kernel $K(S)$
 301 whereas any job, scheduled after the kernel $K(S)$ in the schedule S is maintained to be
 302 scheduled after that kernel. The LDTC-heuristic is newly applied with the restriction
 303 that the scheduling of job e and all jobs, scheduled after kernel $K(S)$ in schedule S is
 304 forbidden until all jobs of kernel $K(S)$ are scheduled. The resultant LDTC-schedule is
 305 denoted by S_e (the so-called *complementary schedule* or a *C-schedule*) (Such a schedule
 306 generation technique was originally suggested by McMahon and Florian [5] for the
 307 single-machine setting.)

308 By Lemma 1, the kernel $K(S)$, a fragment of the LDTC-schedule S considered as
 309 an independent LDTC-schedule is optimal if it possesses no emerging job. Otherwise,
 310 the jobs of the kernel $K(S)$ are pushed by the corresponding emerging jobs. Some of
 311 these emerging jobs can be scheduled after the kernel $K(S)$ in an optimal complete
 312 schedule S_{OPT} . Such a rescheduling is achieved by the creation of the corresponding
 313 C-schedules (as we will see in Lemma 2, it will suffice to consider only C-schedules, i.e.,
 314 S_{OPT} is a C-schedule). In Fig. 2 a complementary schedule σ_4 is depicted in which the
 315 delaying emerging job 4 is rescheduled after all jobs of kernel $K(\sigma)$ (were σ is the initial
 316 LDTC-schedule of Fig. 1).

317 The application of an emerging job has two “opposite” effects. On the positive side,
 318 since the number of jobs scheduled before the kernel $K(S)$ in the schedule S_e is one less
 319 than that in the schedule S , the overflow job $o(S)$ in the schedule S_e will be completed
 320 earlier than it was completed in the schedule S ; likewise, the completion time of that
 321 job which is scheduled as the latest one of the kernel $K(S)$ in the schedule S_e will be
 322 smaller than the completion time of the job $o(S)$ in the schedule S . Hence, the application
 323 of an emerging job gives a potential to improve schedule S . On the negative side, it
 324 creates a new gap within the former execution interval of job e or at a later time moment
 325 before kernel $K(S)$ (see Lemma 1 in [2] for a proof for the case of identical machines, the
 326 uniform machine case can be proved similarly). Such a gap may enforce a right-shift
 327 (delay) of the jobs included after job e in the schedule S_e (for example, a new gap [20, 23]
 328 that arises on machine 1 in the C-schedule σ_4 in Fig. 2 enforces a right-shift of jobs 8
 329 and 10 included behind job 4). So roughly, the C-schedule S_e favors the kernel $K(S)$ but
 330 creates a potential conflict for later scheduled jobs (jobs 8 and 10 in the above example).

331 4. The Basic Algorithmic Framework

332 In this section, we give the basic skeleton of the algorithm in this paper and prove
 333 its correctness. The schedule S_{OPT} is characterized by a proper processing order of the
 334 emerging jobs scheduled in between the kernels. Starting with the initial LDTC-schedule
 335 σ , an emerging job in the current LDTC-schedule is applied and a new C-schedule is
 336 created; in this schedule the kernel is again determined. The same operation is iteratively
 337 repeated as long as the established optimality conditions are not satisfied. As we will
 338 show later, it will suffice to enumerate all C-schedules to find an optimal solution to the
 339 problem.

340 We associate a complete feasible C-schedule with each node in a *solution tree* T ,
 341 the initial LDTC-schedule being associated with the root. Aiming to avoid a brutal
 342 enumeration of all C-schedules, we carry out a deeper study of the structure of the
 343 problem and some additional useful properties of LDTC-schedules. In fact, our solution

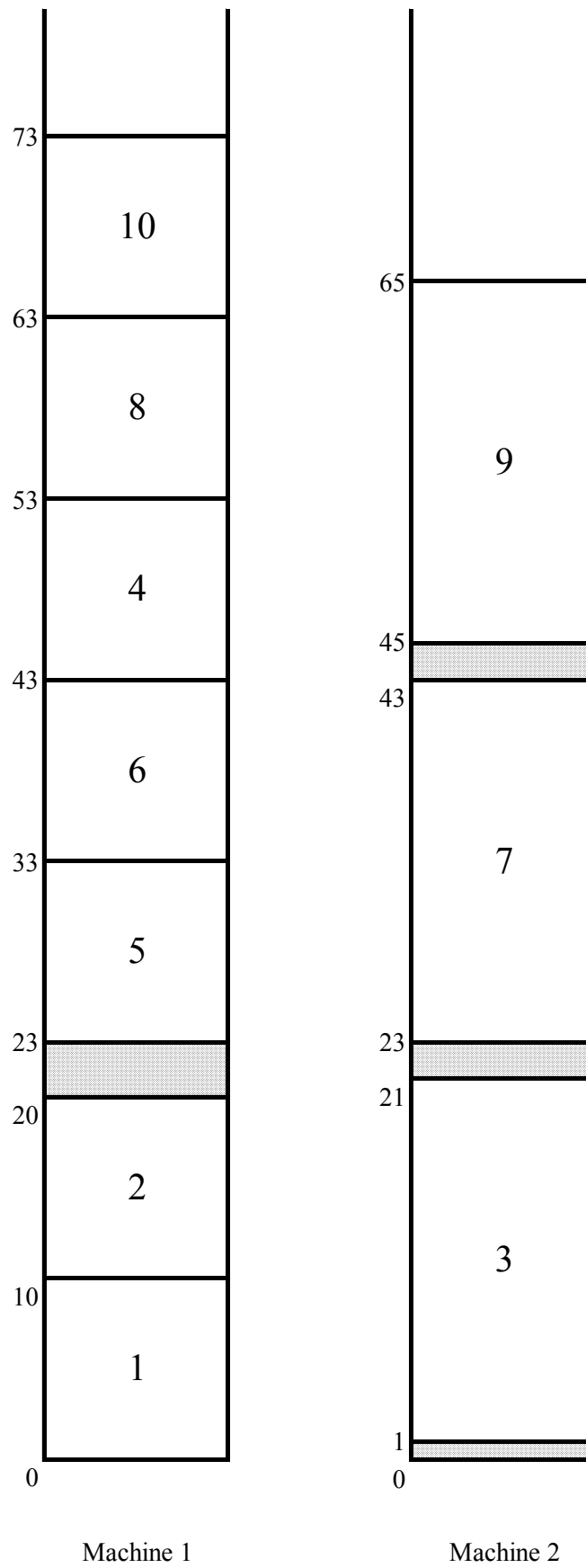


Figure 2. The C-schedule σ_4

344 tree T consists of a single chain of C-schedules. We will refer to a node of the tree as a *stage*
 345 (since each node represents a particular stage in the algorithm with the corresponding
 346 LDTC-schedule). We let $T_h = (S^0, \dots, S^h)$ be the sequence of C-schedules generated
 347 by stage h . Thus, S^0 is the initial LDTC-schedule, and the schedule S^h of stage $h > 0$,
 348 the immediate successor of schedule S^{h-1} , is obtained by one of the extension rules as
 349 described below.

350 In the schedule S^h , the overflow job $o(S^h)$, the delaying emerging job l and the
 351 kernel $K(S^h)$ are determined. Using the *normal extension rule*, we let $S^{h+1} := S_l^h$, where l
 352 is the delaying emerging job in the schedule S^h (we may observe that the schedule σ_4
 353 in Fig. 2 is obtained from the schedule σ by the normal extension rule). Alternatively,
 354 the schedule S^{h+1} is constructed from the schedule S^h by the *emergency extension rule* as
 355 described in the following subsection.

356 4.1. Types of Emerging Jobs and the Extended Behavior Alternatives

357 **A marched emerging job.** An emerging job may be in different possible states. It
 358 is useful to distinguish these states and treat them accordingly. Suppose that e is an
 359 emerging job in the schedule S^g and it is applied by stage h , $h > g$ (in a predecessor-
 360 schedule of schedule S^h). Then job e is called *marched* in the schedule S^h if $e \in B(S^h)$ (job
 361 4 is marched in the schedule σ_4 in Fig. 2). Intuitively, the existence of a marched job in
 362 the schedule S^h indicates an “interference” of the kernel $K(S^h)$ with an earlier arranged
 363 part of the schedule preceding that kernel. In our example, it is easy to see that the
 364 kernel $K(\sigma_4)$ consists of jobs 8, 9 and 10, with $o(\sigma_4) = 10$ and with $C_{10} = 73 + 54 = 127$.
 365 Here the marched job 4 has “provoked” the rise of the new kernel, where “the earlier
 366 arranged part” includes the kernel $K(\sigma)$ of the initial LDTC-schedule σ in Fig. 1.

367 **A stuck emerging job.** Suppose that job e is marched in the schedule S^h and
 368 $E(S^h) = \emptyset$, where $B(S^h)$ is a non-primary block. Then job e is called *stuck* in the schedule
 369 S^h if either it is scheduled before job $o(S^h)$ or $e = o(S^h)$ (observe that any job stuck in the
 370 schedule S^h belongs to the kernel $K(S^h)$). In Fig. 3, the C-schedule $\sigma_{4,4}$ obtained from
 371 the C-schedule σ_4 by the application of the delaying emerging job 4 is depicted. Job 4
 372 becomes the overflow job in the schedule $\sigma_{4,4}$ (with $C_4(\sigma_{4,4}) = 75 + 51 = 126$) and hence,
 373 it is stuck in this schedule.

374 **Block evolution in the solution tree T .** Although $E(S^h) = \emptyset$, since $B(S)$ is a non-
 375 primary block, a “potential” regular emerging job might be “hidden” in some block
 376 preceding block $B(S^h)$, in the schedule S^h . In general, a block in the schedule S^h can be a
 377 part of a larger block from the schedule S^g for some $g < h$. Recall that the application of
 378 an emerging job e in a C-schedule S yields the raise of a new gap in the C-schedule S_e .
 379 As it can be straightforwardly seen, this can lead to a separation or to a *splitting* of the
 380 critical block $B(S)$ into two (or possibly even more) new blocks. Likewise, since job e
 381 may push the following jobs in the schedule S_e , because of the forced right-shift of these
 382 jobs, two or more blocks may *merge* forming a bigger block consisting of the jobs from
 383 the former blocks.

384 We will refer to blocks from two different C-schedules as *congruent* if both of them
 385 are formed by the same set of jobs. A block in a C-schedule, which is congruent to a
 386 block from the initial LDTC-schedule, will be referred to as a *primary* block. Observe that
 387 a non-primary block may arise because of either block splitting or/and block merging
 388 and that all blocks in the initial LDTC-schedule are primary.

389 If the block B is arisen as a result of an application of an emerging job e , then this
 390 block is said to be a non-primary block *of* job e , and the latter job is said to be the *splitting*
 391 job of B . Note that, since the application of an emerging job does not necessarily lead to
 392 a splitting of a block, a non-primary block of job e may contain some other emerging
 393 jobs which have already been applied (recall that before each application of an emerging
 394 job, the current release time of this job has to be increased accordingly; e.g., if job e is
 395 applied ι times, its release time is modified ι times).

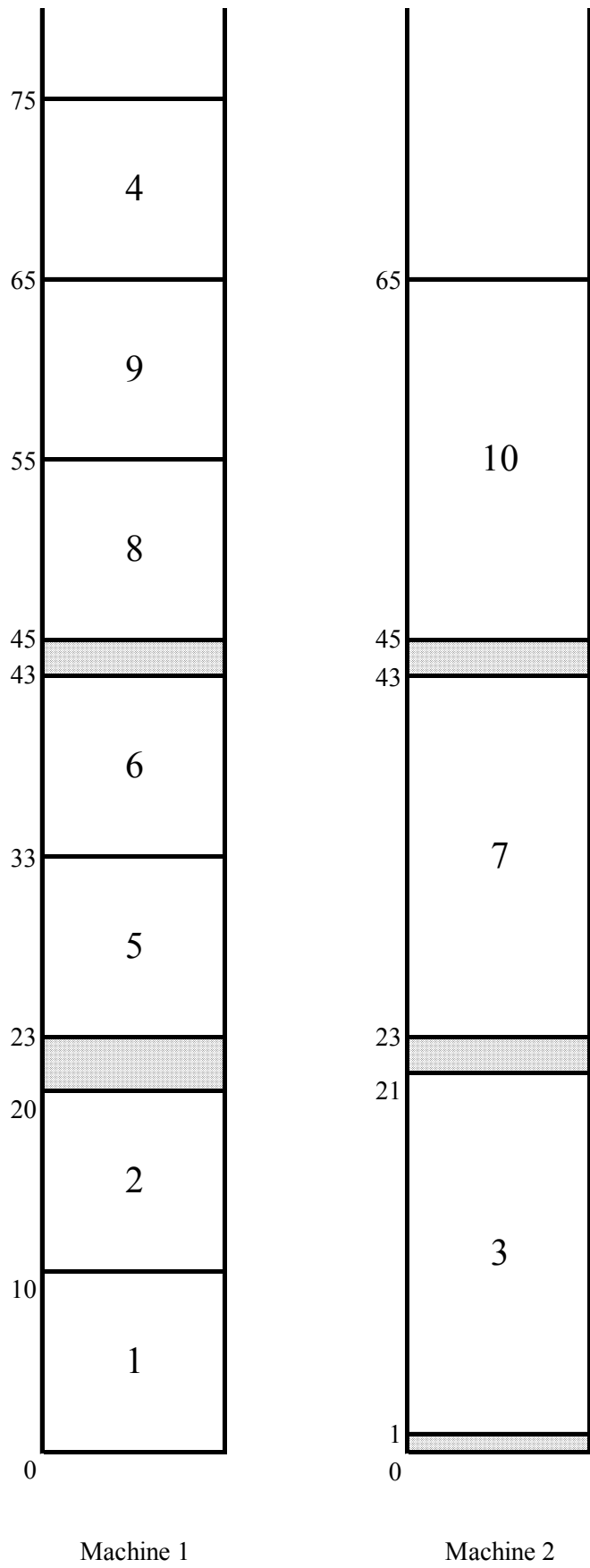


Figure 3. The C-schedule $\sigma_{4,4}$

396 In the following, we call the blocks which arose after the splitting of one particular
 397 block as the *direct descendants* of this block (the latter block is called the *direct predecessor*
 398 of the former ones). Moreover, if the block $B \in S$ is the direct predecessor of the blocks
 399 B_1, \dots, B_k , then the inclusion $B \subseteq B_1 \cup \dots \cup B_k$ holds, but not the opposite one (due of a
 400 possible merging of blocks).

401 Recurrently, a *descendant* of a block is its direct descendant, since any descendant of
 402 a descendant of a block is obviously also a descendant of the latter block. Moreover, if a
 403 block is a descendant of another block, then the latter one is called a *predecessor* of the
 404 former block. Subsequently, we call two or more blocks *relative* if they possess at least
 405 one common predecessor block.

406 Returning to our example, the primary block from the schedule σ in Fig. 1 is
 407 split into its two direct descendant blocks with the jobs 1, 2, 3 and 5, 6, 7, 4, 8, 9, 10,
 408 respectively, in the schedule σ_4 in Fig.2. The splitting job is the marched job 4. The first
 409 block in the schedule σ_4 is congruent to the first block in the schedule $\sigma_{4,4}$ in Fig. 3. The
 410 second block in the schedule σ_4 is further split into two blocks in the schedule $\sigma_{4,4}$, and
 411 the splitting job is again job 4. The third block in the schedule $\sigma_{4,4}$ (consisting of the jobs
 412 8, 9, 10 and 4 is a descendant of the primary block in the schedule σ .

413 **A passive emerging job.** A *passive emerging job* e in the schedule S^h is a ("hidden")
 414 regular emerging job from the schedule S^g , i.e., job e belongs to a block from the schedule
 415 S^g , relative to block $B(S^h)$ (preceding this block), such that $q_e < q_{o(S^h)}$. For example, in
 416 the schedule σ_4 in Fig. 2, the passive emerging jobs are 1, 2 and 3, and in the schedule
 417 $\sigma_{4,4}$ in Fig. 3, the passive emerging jobs are 1 and 2.

418 **Extended behavior alternatives.** Now we define two extended behavior alterna-
 419 tives which, together with the five basic behavior alternatives were introduced earlier in
 420 [2] (Section 2.3). Suppose that there exists no regular emerging job in the C-schedule S
 421 (i.e., the block $B(S)$ starts with the kernel $K(S)$), and there is no stuck job in this schedule.
 422 Then we say that an *exhaustive instance of alternative (a)* occurs in the schedule S which we
 423 abbreviate by EIA(a). If now there exists a stuck job in the schedule S , then an *extended*
 424 *instance of alternative (b)* with this job in the schedule S (abbreviated EIA(b)) is said to
 425 occur (there may exist more than one job stuck in the schedule S^h). We easily observe
 426 that in the schedule $\sigma_{4,4}$ in Fig. 3, an EIA(b) with job 4 arises.

427 The first above behavior alternative immediately yields an optimal solution, and
 428 the second one indicates that some rearrangement of the already applied emerging
 429 jobs might be required. The first and the second behavior alternatives, respectively, are
 430 treated in the following lemma and in the next subsection, respectively.

431 **Lemma 2.** *A C-schedule S^h is optimal if an EIA(a) in it occurs.*

432 **Proof.** By the condition, there exists no stuck job in the schedule S^h . This implies that
 433 none of the jobs of the kernel $K(S^h)$ can be scheduled at some earlier time moment
 434 without causing a forced delay of a more urgent job from this kernel, and the lemma can
 435 easily be proved by an interchange argument. \square

436 4.2. Emergency Extension Rule

437 Throughout this subsection, assume that there arises an EIA(b) with job $e \in B(S^h)$
 438 in the schedule S^h (this job is stuck in that schedule) and there exists a passive emerging
 439 job in schedule S^h . We let e be the latest applied job stuck in the schedule S^h , and let l
 440 be the latest scheduled passive emerging job in that schedule. By the definition of job
 441 l , there is a schedule S^g , a predecessor of schedule S^h in the solution tree T such that
 442 $e \in B(S^g)$ and $l \in B(S^g)$. Although jobs l and e belong to different blocks in the schedule
 443 S^h , the corresponding blocks can be merged by reverting the application(s) of job e . This
 444 can clearly be accomplished by restoring the corresponding earlier release time of job
 445 e (recall that the release time of an emerging job is increased each time it is applied).

446 Once these blocks are merged, job l becomes a regular emerging job and hence, it can be
447 applied.

448 Denote by r the release time of an emerging job e before it is applied to a kernel
449 K . Then we say that job e is *revised* (for the kernel K) if it is sequenced back before
450 the kernel K , this means that we reassign the value r to its release time and apply the
451 LDTC-heuristic.

452 In more detail, let B be a block relative to $B(S^h)$ in the schedule S^h containing job
453 l . Now B and $B(S^h)$ are different blocks and in addition, there also might exist a chain
454 B_1, \dots, B_{k-1} of succeeding (relevant) blocks between the two blocks B and $B(S^h)$ in this
455 schedule S^h . Let $B_0 = B$ and $B_k = B(S^h)$. First, the blocks B_{k-1} and B_k are merged by
456 reverting the application of the corresponding emerging job. Then the resulting block
457 is similarly merged with block B_{k-2} , and so on. In general, to merge the block B' with
458 its successive (relative) block B'' , the corresponding release time of one of the currently
459 applied jobs scheduled in block B'' (which are scheduled between the jobs of the two
460 blocks B' and B'' before the merging is applied) is restored, i.e., this job is *revised*.

461 According to our definition, the revision of the splitting job of the two blocks B' and
462 B'' will lead to a merging of these two blocks. Note also that the revision of any other
463 applied emerging job, which is scheduled in the block B'' , will also lead to this effect.
464 Among all such jobs with the largest delivery time, the latest scheduled one in block B''
465 will be referred to as the *active splitting* job for the blocks B' and B'' .

466 The blocks B_{k-1} and B_k are merged by the revision of the active splitting job of these
467 two blocks which is scheduled in block B_k . In a similar way, the active splitting job of the
468 block B_{k-2} is revised in order to merge the block B_{k-2} with the block obtained earlier,
469 and so on, this process continues until all blocks from the chain $B_0, B_1, \dots, B_{k-1}, B_k$ are
470 merged.

471 Observe that the active splitting job in the schedule $\sigma_{4,4}$ in Fig. 3 is job 4. Its revision
472 yields the merging of the three blocks from this schedule into a single primary block of
473 the schedule σ of Fig. 1.

474 We denote the resultant merged block by $\mathcal{B}(l)$ (this block, ending with the jobs from
475 block $B(S^h)$ can, in general, be non-primary), and we will refer to the above described
476 procedure as the *chain of revisions* for the passive emerging job l . Note that chain of
477 revisions is accomplished only if, besides a passive emerging job l , there exists a stuck
478 job e . Also observe that although this procedure somewhat resembles the traditional
479 backtracking, it is still different as it keeps untouched the “intermediate” applications
480 that could have been earlier carried out between the reverted applications.

481 Let $Rev_{l,e}(S^h)$ be the C-schedule, obtained from schedule S^h by the chain of revisions
482 for job l (here e is the corresponding stuck job). Observe that job l changes its status
483 from a passive to a regular emerging job in this schedule, i.e., it is *activated* in C-schedule
484 $Rev_{l,e}(S^h)$. The emergency extension rule applies job l in the schedule $Rev_{l,e}(S^h)$ to the
485 kernel $K(Rev_{l,e}(S^h))$, setting $S^{h+1} := (Rev_{l,e}(S^h))_l$.

486 In the schedule $\sigma_{4,4}$ in Fig. 3, we have $l = 2$ and $e = 4$; the C-schedule $Rev_{2,4}(\sigma_{4,4})_2$
487 is represented in Fig. 4 (which turns out to be an optimal schedule for the problem
488 instance of our example).

489 4.3. The Description of the Algorithm and its Correctness

490 We give the following Algorithm 1 and prove its correctness.

491 Algorithm 1: Blessmore Algorithm

492 **Step 1:** Set $h := 0$ and $S^h := \sigma$.

493 **Step 2:** If the condition of Lemma 1 holds, then return the schedule σ and stop.

494 **Step 3:** Set $h := h + 1$.

495 **Step 4:** { *iterative stopping rules* } If in the schedule S^h : either (i) there exists no regular
496 emerging job and no job from block $B(S^h)$ is stuck, or (ii) there is neither regular nor

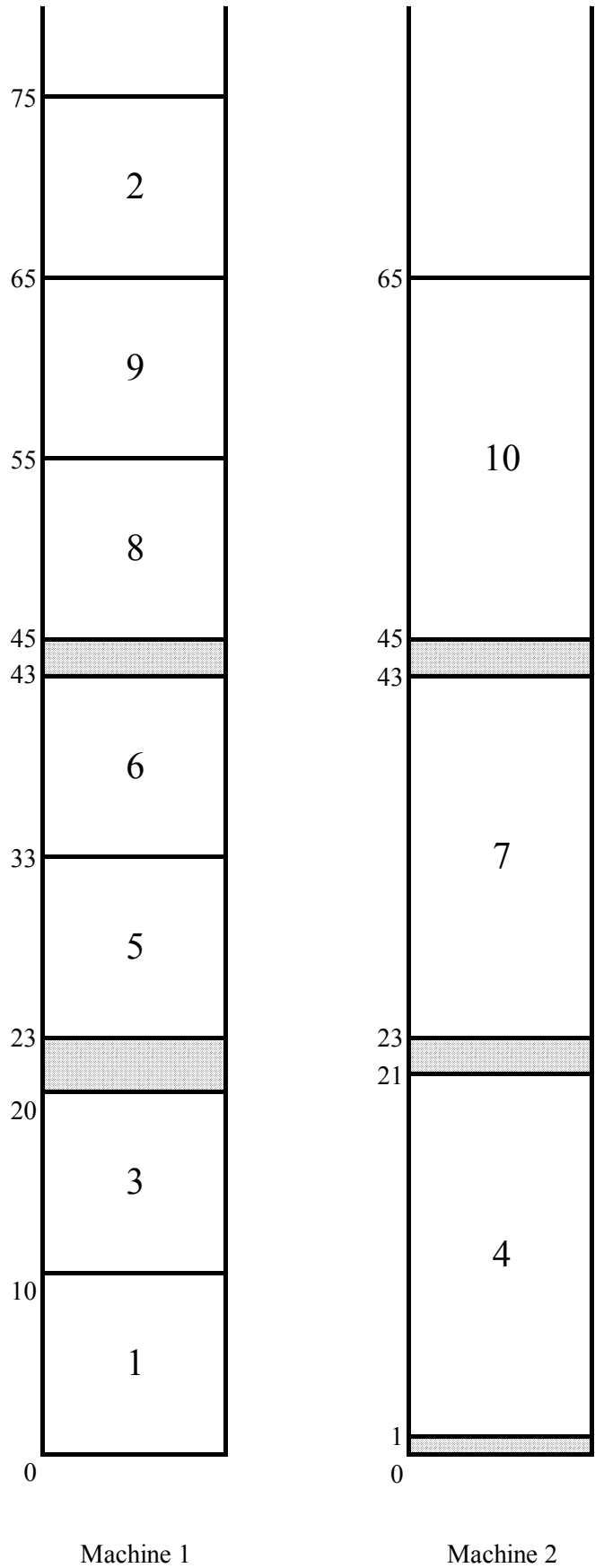


Figure 4. An optimal C-schedule $Rev_{2,4}(\sigma_{4,4})_2$

497 passive emerging job (there may exist a stuck job in block $B(S^h)$), or (iii) there occurs an
 498 EIA(a), then return a schedule from the tree T with the minimum makespan and stop;
 499 **Step 5:** { *normal extension rule* }: if in the schedule S^h , there occurs no EIA(b), then
 500 $S^{h+1} := S_l^h$, where l is the regular delaying emerging job else
 501 { *emergency extension rule* } if in the schedule S^h there occurs an EIA(b), then $S^{h+1} :=$
 502 $Rev_{l,e}(S^h)_l$, where l is the latest scheduled passive emerging job and e is the latest
 503 applied stuck job in schedule S^h .
 504 **Step 6:** goto Step 3.

505 We give the final illustration of the algorithm for our example. At Step 1, we have
 506 $S^0 = \sigma$ (Fig. 1). Since the condition at Step 2 is not satisfied, $h := 1$ and the normal
 507 extension rule is used to generate the C-schedule $S^1 := \sigma_4$ in Fig. 2. Then similarly, the
 508 normal extension rule is used to generate the C-schedule $S^2 = \sigma_{4,4}$ in Fig. 3. Since in
 509 the latter schedule S^2 an EIA(b) with job 4 occurs, this job is revised and an alternative
 510 C-schedule $S^3 := Rev_{2,4}(\sigma_{4,4})_2$ after the chain of revisions for the passive emerging
 511 job 2 is created. The kernel $K(S^3)$ of this schedule consists of jobs 8, 9 and 10 (as that
 512 of schedule S^1) with $o(S^3) = 10$ and $C_{10}(S^3) = 65 + 54 = 119$. This is the optimal
 513 makespan: There is neither a regular emerging job nor a stuck job in the C-schedule S^3 .
 514 Hence, the stopping rule (i) applies, and the algorithm stops with an optimal solution.

515 Now we prove the following theorem.

516 **Theorem 1.** For some stage h , the C-schedule S^h is an optimal schedule S_{OPT} .

517 **Proof.** Suppose that the optimality condition in Lemma 1 is not satisfied for the schedule
 518 $S^0 = \sigma$, and let us consider C-schedule S^h of an iteration $h > 1$. Assume schedule S^h
 519 is not optimal, and assume first that there is no stuck job in schedule S^h . Then in any
 520 feasible schedule S with a better makespan, the number of jobs scheduled before the
 521 kernel $K(S^h)$ in block $B(S^h)$ must be one less than in the latter schedule as otherwise
 522 due to Property 2 and the fact that there is no stuck job in schedule S^h , a job from the
 523 kernel $K(S^h)$ cannot have a smaller full completion time in the schedule S' than job $o(S^h)$
 524 in the schedule S^h (as the jobs of the kernel $K(S^h)$ are already included in an optimal
 525 sequence, see Lemma 1). Thus some job $l \in B(S^h)$ included before the kernel $K(S^h)$ in
 526 the schedule S^h must be scheduled after that kernel in the schedule S . We claim that
 527 job l is to be a regular emerging job. Indeed, if it is not, then $q_l \geq q_o$, $o = o(S^h)$ or/and
 528 $l \notin B(S^h)$. If $q_l \geq q_o$, then due to inequality $t_l^{(S^h)_l} \geq t_o^{S^h}$ and Proposition 2, $|(S^h)_l| \geq |S^h|$.
 529 Hence, the makespan of any feasible schedule in which job l is scheduled after the kernel
 530 $K(S^h)$ cannot be less than that of schedule S^h . Suppose now $l \notin B(S^h)$. If l is not a
 531 passive emerging job then obviously the above reasoning applies again. Suppose l is
 532 a passive emerging job, and suppose first there is no marched job in the block $B(S^h)$.
 533 Then since the block $B(S^h)$ starts with the kernel $K(S^h)$ (there exists no regular emerging
 534 job schedule S^h), the full completion time of the overflow job is a lower bound on the
 535 optimum schedule makespan (this can be seen similarly to Lemma 1). Obviously, the
 536 same reasoning applies in case there are marched jobs in the block $B(S^h)$ but none of
 537 them is stuck in schedule S^h .

538 The above proves the validity of the stopping rule (i) from Algorithm 1. Suppose
 539 now there is neither regular nor passive emerging job and there is a stuck job in schedule
 540 S^h . Clearly, the full completion time of the overflow job $o \in B(S^h)$ cannot be decreased
 541 unless such stuck job $e \in B(S^h)$ is revised. Note that the corresponding C-schedule
 542 coincides with an earlier generated C-schedule S^g , for some $g < h$ from the solution
 543 tree T . Furthermore, in any feasible schedule having the makespan less than that of
 544 the schedule S^h , another job l with $q_l < q_o$ is to be applied instead of job e . Moreover,
 545 job l should belong to a block, relative to the block $B(S^h)$ as otherwise the time interval
 546 released by the removal of that job from its current execution interval may not yield a

547 right-shift of any job from the block $B(S^h)$. It follows that job l is a passive emerging job.
 548 This proves the stopping rule (ii). The stopping rule (iii) follows from Lemma 2.

549 It remains to show that the search in the space of the C-schedules is correctly
 550 organized. There are two extension rules. The normal extension rule is used at stage h if
 551 there exists a regular emerging job in the schedule S^h . In this case, the delaying emerging
 552 job l is applied, i.e., $S^{h+1} := S_l^h$. Consider an alternative feasible schedule S_j^h , where
 553 j is another emerging job (above we have shown that only emerging jobs need to be
 554 considered). It is easy to see that the left-shift of the kernel jobs in the schedule S_j^h cannot
 555 be more than that in the schedule S_l^h , and the forced right-shift for the jobs scheduled
 556 after job j in the schedule S_j^h cannot be less than that of the jobs scheduled after job l in the
 557 schedule S_l^h (recall that $p_j = p_l$). Hence, the schedule S_j^h is dominated by the schedule S_l^h
 558 unless job l gets stuck at a later stage $h' > h$. In the latter case, the emergency extension
 559 rule revises first job l . In the resultant C-schedule, the passive delaying job converts
 560 into a regular delaying emerging job. Then the emergency extension rule applies this
 561 (converted) regular regular delaying emerging job. We complete the proof by repeatedly
 562 applying the above reasoning for the normal extension rule. \square

563 5. Performance Analysis

564 It is not difficult to see that the direct application of Algorithm 1 of the previous
 565 section may yield the generation of some redundant C-schedules: the jobs from the
 566 same kernel K including the overflow job o may be forced to be right-shifted after they
 567 are already “arranged” (i.e., the corresponding emerging job(s) are already applied to
 568 that kernel) due to the arrangement accomplished for the kernel K' preceding kernel
 569 K . As a result (because of the application of an emerging job for the kernel K'), one or
 570 more redundant C-schedules in which a job from the kernel K repeatedly becomes an
 571 overflow job might be created. Such an unnecessary rearrangement of the portion of a
 572 C-schedule between the kernels K' and K is avoided by restricting the number of jobs
 573 that are allowed to be scheduled in that portion. This number becomes well-defined
 574 after the first disturbance of this portion caused by the application of an emerging job
 575 for the kernel K' . This issue was studied in detail for the case of identical machines in
 576 [2] (see Section 4.1). It can be readily verified that the basic estimations for the case of
 577 identical machines similarly hold for uniform machines. In particular, the number of
 578 the enumerated C-schedules remains the same for uniform machines. A complete time
 579 complexity analysis requires a number of additional concepts and definitions from [2]
 580 and would basically repeat the arguments for identical machines.

581 Recall that we use a different schedule generation mechanism for identical and
 582 uniform machines: the LDT-heuristic applied for the schedule generation in the identical
 583 machine environment is replaced by the LDTC-heuristic for the uniform machine envi-
 584 ronment. LDT-schedules possess a number of nice properties used in the algorithm from
 585 [2]. LDTC-schedules also possess such necessary useful properties (Properties 1 and 2)
 586 that allowed us to use the basic framework from [2]. While generating an LDT-schedule
 587 for identical machines, every next job is scheduled on the next available machine (the
 588 next to the last machine m being machine 1) and the starting and completion time of each
 589 next scheduled job is not smaller than that of all previously scheduled ones. In some
 590 sense, the generalization of these properties are Properties 1 and 2, which still assure
 591 that the structural pattern of the generated schedules is kept, and it does not depend
 592 on which particular jobs are being scheduled in a particular time interval (note that this
 593 would not be the case for an unrelated machine environment). This allowed us to adopt
 594 the blessingmore framework from [2] for the uniform machine environment (for instance,
 595 intuitively, while restricting the number of the scheduled jobs between two successive
 596 kernels, no matter which particular jobs are being scheduled between these kernels).

597 Another “redundancy issue” occurs when a series of emerging jobs are successively
 598 applied to the same kernel K without reaching the desired result, i.e., the applied emerg-
 599 ing jobs become new overflow jobs in the corresponding C-schedules (see Section 4.2 in

600 [2]). In Lemma 7 from the latter reference, it is shown that this yields an additional factor
 601 of p in the running time of the algorithm. This result also holds for the uniform machine
 602 environment. The magnitude p remains valid for the uniform machine environment
 603 as the difference between the completion times of two successively scheduled jobs on
 604 the same machine cannot be more than p (recall that p is the processing time of any job
 605 on the slowest machine m). The desired result follows since the delivery time of each
 606 emerging job next applied to kernel K is strictly less than that of the previously applied
 607 one (see the proof of Lemma 7 in [2]).

608 The above results yield the same bound $O(\gamma m)$ on the number of the enumerated
 609 C-schedules as in [2]), where γ can be either n or q_{\max} (see Lemma 8 from Section 4.3 and
 610 Theorem 2 from Section 6.1, in [2]). In fact, γ is the total number of the applied emerging
 611 jobs, a magnitude, that can be essentially smaller than n . This yields the overall cost
 612 $O(\gamma m^2 n \log n)$ due to the cost $O(mn \log n)$ of the LDTC-heuristic (instead of $O(n \log n)$
 613 for the LDT-heuristic). A further refinement of the overall time complexity accomplished
 614 in [2] is not possible for the uniform machine environment. In the algorithm from
 615 [2], while generating every next C-schedule, instead of applying the LDT-heuristic to
 616 the whole set of jobs, it is only applied to the jobs from a small part of the current C-
 617 schedule, the so-called critical segment (a specially determined part of the latter schedule
 618 containing its kernel), and the remaining jobs are scheduled in linear time just by right-
 619 shifting the jobs following the critical segment by the required amount of time units
 620 (conserving their current processing order). This is not possible for uniform machines as
 621 such an obtained schedule will not necessarily remain an LDTC-schedule, i.e., a linear
 622 time rescheduling will not provide the desired structure.

623 6. Discussion and Concluding Remarks

624 We showed that the earlier developed technique for scheduling identical machines
 625 can be extended to the uniform machine environment if Condition (1) on the job param-
 626 eters is satisfied, thus making a step towards the settlement of the complexity status of
 627 this long-standing open problem. In particular, the imposed condition reflects potential
 628 conflicts that arise in the uniform machine environment but do not arise in the identical
 629 machine environment. It is a challenging question whether the removal of Condition
 630 (1) results in an NP-hard problem or if it can still be solved in polynomial time, at
 631 least, for a fixed number of machines. Although the LDTC-heuristic would not give the
 632 desired results if Condition (1) is not satisfied, it might still be possible to develop a more
 633 intelligent heuristic that can successfully be combined with the blesscmore framework
 634 and the analysis of the behavior alternatives from [2]. This approach may have some
 635 limitations though. As we have mentioned earlier, it is unlikely that it can be applied
 636 to the unrelated machine environment, mainly because the structural pattern of the
 637 generated schedules will depend on, which particular jobs are scheduled in a particular
 638 time interval on each machine from a group of unrelated machines, which makes the
 639 analysis of the behavior alternatives much more complicated. At the same time, the
 640 approach might be extensible to shop scheduling problems. It is a challenging question
 641 whether it can be extended to the case where there are two allowable job processing
 642 times (this turned out to be possible for the single-machine environment, see the blessc-
 643 more algorithm in [24]) and for a much more general setting with mutually divisible job
 644 processing times for identical and uniform machine environments (this turned out to be
 645 also possible for the single-machine environment – a maximal polynomially solvable
 646 special case of (a strongly NP-hard) problem $1|r_j, d_j|L_{\max}$ with mutually divisible job
 647 processing times was dealt with recently in [25]). Finally, we note that the algorithm
 648 presented here can also be used as an approximate one for non-equal job processing
 649 times, as it is often the case in practical applications.

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