Communication

# Trends of publications (1910-2020) on climate change/global warming/climate emergency versus general climate research

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Abstract: This article presents and discusses analytical data on the scientific publication record from 1910 to 2020 on two topics: "climate" and "climate change/global warming/climate emergency". The goal is to visualize how the publication record on these two topics has evolved over time, from different classification perspectives (year, country, source and organization). Three hypotheses are tested using data collected from Web of Science and various graphical representations of the data. It is found that research output related to the Earth's contemporary changing climate overtook that of general climate research in 2011, and the publication ratio has been expanding in the last decade. There are significant differences in the publication countries and sources between the two topics, and conversely less significant differences in terms of organizations publishing these works. Differentiation factors that affect the level of research output and engagement on the climate challenge include: island versus landlocked nations, specialized versus general scientific journals, academic versus institutional organizations. The future of the publication records is discussed, such as the emergence of new terms to refer to the climate challenge, such as "climate emergency".

**Keywords:** human influence on climate; natural control of climate; climatic and non-climatic effects on living organisms; improving climate monitoring; climate variability; climate models; CO<sub>2</sub>.

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https://doi.org/10.3390/xxxxx

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#### 1. Introduction

Climate Change, Global Warming and more recently Climate Emergency (CC/GW/CE) have been, in the past decade and more, terms synonymous with the greatest sustainability challenge of the  $21^{\rm st}$  century [1-4]. In this article, we explore the publication trends since these terms appeared in the journal records, in the early part of the  $20^{\rm th}$  century, to test the following hypotheses:

It is possible to substantially distinguish the scientist literature that pertains to the study of the aforementioned climate challenge (or solutions for mitigating it) from studies that address gaining better understanding of the Earth's climate itself, using topical keyword searches.

The scientific literature has become so enriched in works addressing the climate challenge, that it surpassed climate research in terms of number of publications sometime in the late part of the  $20^{th}$  century.

The scientific literature that pertains to the climate challenge is at least partly distinct from that on climate research, in terms of venue of publication, country of origin of studies, and organizations that have conducted these works.

## 2. Methodology

Web of Science (WoS) was used to search the scientific literature and collect the relevant publication data for analysis. The search was conducted on October 20th, 2020, and all data were collected within a short time that day, to obtain a snapshot of the publication record. The search used a timespan of 1900 to 2020 and all indexes within the Web of

Science Core Collection, namely: SCI-EXPANDED (1900-2020), SSCI (1900-2020), A&HCI (1975-2020), CPCI-S (1990-2020), CPCI-SSH (1990-2020) and ESCI (2015-2020). The two search strings used were: (i) TOPIC: ("climate change" OR "global warming" OR "climate emergency"); and (ii) TOPIC: ("climate" NOT ("climate change" OR "global warming" OR "climate emergency")). The former search string was used to collect papers related to research on the climate challenge (CC/GW/CE), and the latter search string was used to collect papers related to general research on the Earth's climate (CL). That is, these search strings tested Hypothesis 1. The searchers were further refined to document type 'Article'. These searches yield 219,830 on the CC/GW/CE topic, and 231,016 papers on the CL topic. The use of the NOR logical operator in the CL search string ensures that the two records are unique; that is, there are no repeating papers.

To verify if the search string used for CC/GW/CE research may have missed a substantial portion of research papers that did not use the three searched keywords, a third search was conducted using the following search string: TOPIC: ((("greenhouse gas\*" OR "GHG\*") NOT ("climate" OR "climate change" OR "global warming" OR "climate emergency"))). This search yielded 28,833 articles. This represents 11.59% of articles obtained with the combined search strings. In the Discussion and Conclusions section the omission of these articles from the data analysis is explained.

The search results were at first analyzed using the Analyze Results feature of WoS. In the analysis page, it is possible to download tab-delimited text files containing a set of publication data according to the WoS category selected. Data files were obtained for the following four categories: publication years; organizations-enhanced; source titles; countries/regions. The data from these text files were then imported into Microsoft Excel for further processing and analysis. These data and analyses enabled testing Hypotheses 2 and 3. More details on the data handling procedure is provided in the Data Analysis section.

One additional procedure used was to recover keywords from the search records. This was done using the Export feature of WoS on the search results page to generate Excel files containing various attributes of each paper, including the keywords. Keywords were compiled from the top 100 cited papers from both topics (CC/GW/CE and CL), as of November 4<sup>th</sup> 2020. These keywords were used to generate word clouds using the software Wordle [5].

#### 3. Publication Record Highlights

From 1910 to 1970, the publications record for CC/GW/CE shows only eight entries, with 1971 being the first year with multiple (three) records. In fact, a line can be drawn at 1970 with the publication of Berton's paper titled "Carbon dioxide and it role in climate change" [6]. This is the first of the papers on record to specifically address contemporary anthropogenic climate change. It does not mean that only in 1970 the role of humans on climate change was understood; such hypothesis dates to decades earlier. But it may be one of the first papers to consistently use the term "climate change" to describe the observed phenomena (increasing atmospheric concentration of greenhouse gases and increasing global surface temperatures, as discussed in the paper). Another evidence of this shift in terminology is that two of the three 1971 papers, those by Frisken [7] and Kopec [8], address the pressing climate challenge. This is in contrast with the first paper on this record, the 1910 Nature article by Lockyer titled "Does the Indian climate change?" [9], which discusses short term observations of changing frequency of monsoons to conclude that the climate on the sub-continent varies from year to year, with both short- and longterm trends, but the influence of humans on these trends in not addressed, in fact the opposite (the natural control of climate) is inferred. Notably, both Frisken [7] and Kopec [8] highlight that by the early 1970's it is well accepted that humans can have significant effect on the climate by air emissions, but that at that time (when CO<sub>2</sub> atmospheric concentration have just surpassed 320 ppm [6]), the role of nature was still deemed stronger than the role of humans.

One way to contrast the publication record of CC/GW/CE versus that of CL is to compare their most cited works. Table 1 presents the five most cited journal articles from each record, including the category data for each that later are analyzed in bulk for the full record. Briefly reviewing these ten papers (i.e. reading the paper's aims and conclusions) helps to understand if the two data records contain the required topical selection of CC/GW/CE versus CL. Eight of the ten papers are correctly classified, one is unusually misclassified, and one is an outlier, as follows.

**Table 1.** Five most cited journal articles from the two records (CC/GW/CE and CL).

CC/GW/CE Record									
Reference	Year	Source	Country <sup>1</sup>	Organization <sup>1</sup>	Citations <sup>2</sup>				
[10]	2012	Biogeosciences	USA	Woods Hole	17,735				
		Diogeosciences		Res. Ctr.					
[11]	2003	J. Geophys.	UK	Met. Off.	5,887				
		ResAtmos.							
[12]	2003	Nature	USA	Univ. Texas	5,833				
[12]	2006	Meteorol. Z.	Austria	Univ. Vet.	4,086				
[13]		Wieteoror, Z.		Med. Vienna					
[14]	2004	Nature	UK	Univ. Leeds	4,086				
CL Record									
Reference	Year	Source	Country <sup>1</sup>	Organization <sup>1</sup>	Citations <sup>2</sup>				
[15]	1996	Bull. Amer.	USA	NATL CTR	20,347				
		Meteorol, Soc.		ENVIRONM					
		Meteoror. 30c.		PREDICT					
[16]	2005	Int. J. Clima-	USA	<b>Univ</b> Calif	12,060				
		tol.		Berkeley					
[17]	2012	Bull. Amer. Meteorol. Soc.	USA	Lawrence Liv-	7,521				
				ermore Natl					
				Lab					
[18]	2006	Ecol. Model.	USA	AT&T Labs	7,426				
[10]		LCOI. MOUEI.		Res					
[19]	2000	Science	USA	Stanford Univ	6,846				

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Berner et al. [10] looked at the increasing risk of forest fires in boreal areas of Russia due to higher temperatures and drier air. They also concluded that fires diminish CO2 sequestration into the boreal sinks. Rayner et al. [11] present sea ice and sea surface temperature and nighttime marine air temperature data sets, starting from 1871. That is, the study covers parameters pertinent for climate change research, and the contemporary post-industrial revolution period attributed to anthropogenic climate change. Parmesan and Yohe [12] showed that climate change effects on living systems can be discerned from non-climatic effects by looking for systematic trends over diverse species and geographic regions. Kottek et al. [13] provide a climate classification map update valid for the second half of the 20th century, which was updated from the original 1961 Wladimir Köppen map. One motivation for this update was that climate changes have occurred and thus up-todate global temperature and precipitation data sets were required to update the geographical distribution of the various climate zones (equatorial, arid, warm temperate, snow, and polar, and the various sub-classifications). Thomas et al. [14] showed how climate change leads to species-level extinction. They concluded that 18% to 35% of species will be committed to extinction by 2050 because of climate change, in part because of habit loss due to changes in biome. All of these five highly cited papers are thus correctly classified under the CC/GW/CE topic.

<sup>&</sup>lt;sup>2</sup> WoS Core citations as of November 4<sup>th</sup> 2020.

Kalnay et al. [15] investigated how improvements to climate monitoring can avoid misinterpretation of climate variations that are not a result of climate change. The study is not concerned about studying climate change directly, even if the advances can benefit climate change research. Hijmans et al. [16] developed a method for very high resolution interpolation of temperature and precipitation climate data, which can be used to generate accurate climate surfaces (i.e. continuous grids). This advance can help improve analysis of climate change, since more accurate values are obtained, though this was not the main aim of the study. An example was provided on how for Madagascar, the newly interpolated data set does not how direct evidence of climate change between 1930 and 1990. Another example stated that insufficiently dense station network can lead to erroneous climate change conclusions. Phillips et al. [18] present a model of the distribution of biological species due to geographic distribution, including climatic variables and conditions. The model was posed as being able to predict movement of species due to climate change, such as invasive species, but this was not the study's main aim. These are the three out of five highly cited papers correctly classified under CL.

Tenenbaum et al. [19] is the outlier. This paper does have relevance for CL research, as it pertains to development of nonlinear algorithms to find trends in complex and large data sets, such as climate data sets, and is certainly not about CC/GW/CE. So while correctly classified, due to the use of the word "climate" in the abstract, the paper's topic is largely mathematical rather than about natural or engineering sciences. Taylor et al. [17] is the paper that was unusually misclassified. This article does not have an abstract registered in WoS, and the article's single keyword registered in WoS is "climate" (the article itself does not have a keywords list). The article is in fact about CC/GW/CE research, thus the unusually incomplete record for this article caused it to be misclassified. These two papers highlight that the CL record is less robust than the CC/GW/CE record, particularly because of the CL record's less specific search string. While a weakness, the more analytical data processing presented in the Data Analysis section will show that this record is still useful for contrasting against the CC/GW/CE to yield data-set level (as opposed to paper-by-paper) trends and conclusions.

Figures 1 and 2 present the word clouds generated for the keywords extracted from the top 100 most cited papers in each record. In contrast to the aforementioned analysis of the top 5 most cited papers in each record, which showed significant differences in the two records, the word clouds are qualitatively less precise. It is understandable that research on CC/GW/CE will use many similar keywords to more general research on the Earth's climate, thus several terms are similarly enlarged on both clouds. For example, model, variability, temperature, precipitation and circulation some of the main words on both clouds. In fact, the vast majority of words from Figure 2 also appear in Figure 1, even if in different size. Climate-change and CO<sub>2</sub> are the two terms in Figure 1 that are particularly distinct from Figure 2, which is expected given that these are key topics of CC/GW/CE research. The conclusion from word clouds is that they are visually interesting, but are not ideal tools to evaluate two unique but topically similar publication records. As aforementioned, the Data Analysis section presents more deeply analytical comparisons between the two records, from which clearer trends can be seen.

Figure 1. Word cloud of keywords from top 100 most cited papers on CC/GW/CE research.



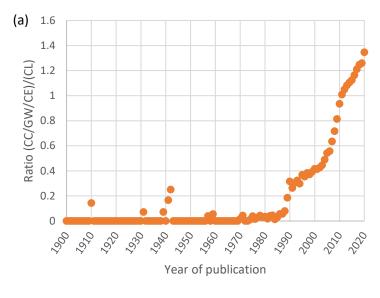
Figure 2. Word cloud of keywords from top 100 most cited papers on CL research.

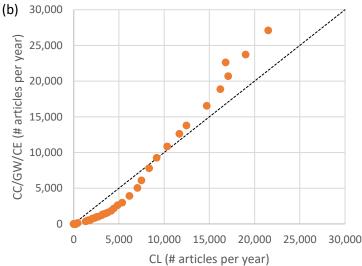
## 4. Data Analysis

This section is sub-divided into the four categories of data collection and analysis of the publication records: (i) year of publication; (ii) country (corresponding author's) of publication; (iii) source (i.e. journal) of publication; and (iv) organization (corresponding author's) of publication.

#### 4.1. Year of Publication

Figure 3 presents the data analysis for year of publication, ranging from 1910 to 2020. The number of articles published per year in the two publication records (CC/GW/CE and CL) were compiled from WoS. For each year, a ratio of the number of articles in the CC/GW/CE record over the number of articles in the CL record was calculated. This ratio is plotted as a function of time on Figure 3a. The purpose of this ratio is to help visualize when the scientific record became more enriched in CC/GW/CE versus general CL research; that is, when the ratio surpasses a value of 1. This occurred in 2011, and the ratio has since increased to 1.260 in 2019 (full year record) and then to 1.346 in 2020 (record up to October 20th). Notably, before 1989, the ratio was consistently smaller than 0.1, meaning that CC/GW/CE research was scarce for much of the 20th century. The exceptions in 1910, 1939 and 1941 are due to the very small number of CL publications on record for those decades. From 1989 onwards, the ratio increases nearly every year (in fact, it increases 26 out of 31 times, and every year since 2001).





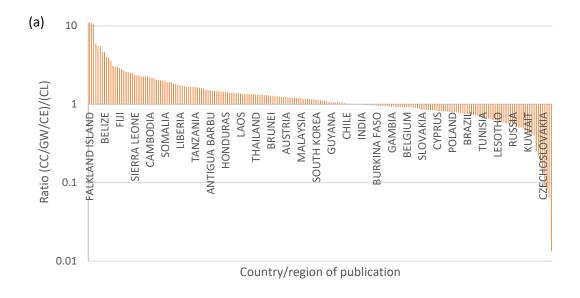
**Figure 3.** Data for CC/GW/CE and CL records for year of publication: (a) Publication ratio ((CC/GW/CE)/(CL)) as a function of time (years); (b) Number of publications per year in the CC/GW/CE record versus those in the CL record (dashed line illustrates the 1:1 mark).

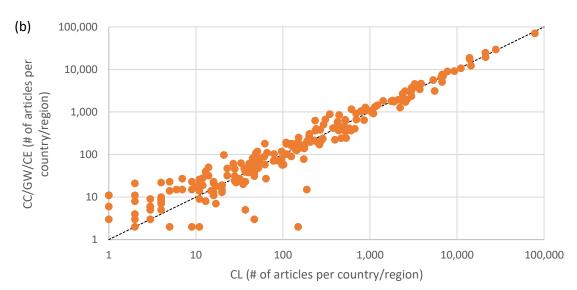
The number of publications in both records rose by orders of magnitude over the last several decades, and Figure 3b helps to visualize this climb. The CL record crossed 100 publications in a year in 1975, versus 1990 for the CC/GW/CE record. The CL record also crossed 1,000 publications is a year first, in 1991, followed by the CC/GW/CE record in 1998. Then both records breached 10,000 articles in a year in 2012. This coincides almost exactly with the 2011 threshold, when the CC/GW/CE record overtook the CL in number of publications per year. Points on Figure 3b above the dashed line indicate the records from the last decade, while those below the dashed line correspond to the pre-2011 record.

# 4.2. Country/Region of Publication

Figure 4 presents the data analysis for country (or region) of publication, for the full records ranging from 1910 to 2020. The number of articles published per country/region in the two publication records (CC/GW/CE and CL), from 1910 to 2020, were compiled from WoS. For each country/region, a ratio of the number of articles in the CC/GW/CE record over the number of articles in the CL record was calculated. This ratio is plotted for each country/region on Figure 3a, ordered from largest to smallest ratio. Countries/regions with a ratio greater than one have been more engaged in CC/GW/CE research, while

those with ratio smaller than 1 have been more engaged in CL research. The number of countries and regions for which a ratio was calculated in 215. An additional 32 countries of regions did not have a ratio calculated, either due to no CC/GW/CE or CL articles on record (this occurs for small states such as Equatorial Guinea and Turks and Caicos, among others, or for states that no longer exist such as the German Democratic Republic and Rhodesia). Figure 3a shows that slightly more than half of countries/regions have a ratio greater than 1, showing that the climate challenge has become the dominant scientific topic in many places around the world. It is notable that the majority of countries/regions with ratio greater than 2 are island states, such as Tuvalu and Cook Islands (ratio = 3.00), Marshall Islands and Palau (ratio = 5.50), and Kiribati and Falkland Islands (ratio = 11.00). This highlights that small island states are at most risk of the catastrophic effects of climate change, particularly rising sea levels [20-24]. In contrast, countries and regions with ratio lower than 0.50 tend to be those of lower gross domestic product, those in arid regions of the world, or those landlocked nations, such as Albania, Djibouti, Algeria and Turkmenistan. Other countries of interest to view ratios for are those with long publication history (USA (0.90), England (1.16), France (0.85), Germany (0.91)) and the emerging/fast growing economies (China (1.05), India (0.99), Brazil (0.75), South Africa (1.24)). The average ratio of these eight countries is very close to 1 (0.98), showing that such countries contribute with diverse research. On a case-by-case basis it may be possible to claim which countries are more engaged in the climate challenge, but this has to also take into account the fact that rich amount of older literature from some countries, when CL research was dominant, may be holding back their ratio, but that it does not mean that currently these countries are just as engaged as others in CC/GW/CE research.





**Figure 4.** Data for CC/GW/CE and CL records for country/region of publication: (a) Publication ratio ((CC/GW/CE)/(CL)) for 215 countries/regions, ordered from highest to lowest ratio (axis labels are provided for a selection of states); (b) Number of publications per country/region in the CC/GW/CE record versus those in the CL record (dashed line illustrates the 1:1 mark).

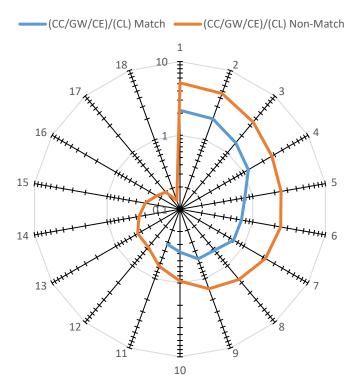
Figure 4b provides a different view of the country/region publication records. By plotting the number of CC/GW/CE publications for each country/region versus the number of CL publications in the same country/region, it is possible to see a focusing effect about the 1:1 dashed line. Countries that have published more, have more diverse body of literature, and tend towards the 1:1 line (the USA is the highest point). Countries that have published less are more likely to be more engaged in recent research, and thus have more CC/GW/CE articles than CL articles. Notable outliers with more than 10 CL publications (i.e. farthest from the 1:1 line and with robust body of literature) are Fiji (2.90 ratio) and Monaco (4.67 ratio), both above the line. The outliers below the line are no longer existing states such as the USSR, Czechoslovakia, and the Federal Republic of Germany, which explains their low number of CC/GW/CE publications.

# 4.3. Source of Publication

Table 2 and Figure 5 present the data analysis for source (i.e. journals indexed in WoS) of publication, for a part of the records, ranging from 1910 to 2020. The sources analyzed are the top 20 venues of publications from each record in terms of number of publications in each source. The top 20 were chosen to make the analysis manageable from a reporting and graphing perspective. The top 20 of the CC/GW/CE record represents 18.49% of all articles in this record, and the top 20 of the CL record represents a very similar 18.78% of that record. It is deemed that observations and trends made from the top 20 will be valid as a proxy for the trends of the full record.

**Table 2.** Top 20 sources of articles from the two records (CC/GW/CE and CL). Number of articles in each source, and percentage of total number of articles in the full record. Bolded entries are the top 20 of each record.

CC/GW/CE Sources	<u>Articles</u>	% of 219,830	<u>CL Sources</u>	<u>Articles</u>	% of 231,016
ATMOSPHERIC CHEMISTRY AND PHYSICS	709	0.003	ATMOSPHERIC CHEMISTRY AND PHYSICS	2359	1.021
BUILDING AND ENVIRONMENT	239	0.001	BUILDING AND ENVIRONMENT	1036	0.448
CLIMATE DYNAMICS	1698	0.772	CLIMATE DYNAMICS	3268	1.415
CLIMATIC CHANGE	3188	1.450	CLIMATIC CHANGE	915	0.004
EARTH AND PLANETARY SCIENCE LETTERS	547	0.002	EARTH AND PLANETARY SCIENCE LETTERS	1169	0.506
ENERGY AND BUILDINGS	319	0.001	ENERGY AND BUILDINGS	1590	0.688
ENERGY POLICY	1510	0.687	ENERGY POLICY	623	0.003
ENVIRONMENTAL RESEARCH LETTERS	1769	0.805	ENVIRONMENTAL RESEARCH LETTERS	811	0.004
FOREST ECOLOGY AND MANAGEMENT	1317	0.599	FOREST ECOLOGY AND MANAGEMENT	938	0.004
GEOPHYSICAL RESEARCH LETTERS	2585	1.176	GEOPHYSICAL RESEARCH LETTERS	4842	2.096
GLOBAL CHANGE BIOLOGY	3266	1.486	GLOBAL CHANGE BIOLOGY	634	0.003
INTERNATIONAL JOURNAL OF CLIMATOLOGY	1636	0.744	INTERNATIONAL JOURNAL OF CLIMATOLOGY	2329	1.008
JOURNAL OF CLEANER PRODUCTION	2204	1.003	JOURNAL OF CLEANER PRODUCTION	474	0.002
JOURNAL OF CLIMATE	2019	0.918	JOURNAL OF CLIMATE	5279	2.285
JOURNAL OF GEOPHYSICAL RESEARCH ATMOSPHERES	1668	0.759	JOURNAL OF GEOPHYSICAL RESEARCH ATMOSPHERES	5234	2.266
JOURNAL OF GEOPHYSICAL RESEARCH OCEANS	TH OCEANS 420		JOURNAL OF GEOPHYSICAL RESEARCH OCEANS		0.497
JOURNAL OF HYDROLOGY	DROLOGY 1637		JOURNAL OF HYDROLOGY	1395	0.604
JOURNAL OF THE ATMOSPHERIC SCIENCES	146	0.001	JOURNAL OF THE ATMOSPHERIC SCIENCES	1093	0.473
PALAEOGEOGRAPHY PALAEOCLIMATOLOGY PALAEOECOL-			PALAEOGEOGRAPHY PALAEOCLIMATOLOGY PALAEOECOL-		
OGY	1017	0.005	OGY	2220	0.961
PLOS ONE	3375	1.535	PLOS ONE	1679	0.727
PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES			PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF		
OF THE UNITED STATES OF AMERICA	1510	0.687	THE UNITED STATES OF AMERICA	862	0.004
QUATERNARY SCIENCE REVIEWS	TERNARY SCIENCE REVIEWS 1167 0.531 QUATERNARY INTERNAT		QUATERNARY INTERNATIONAL	1692	0.732
QUATERNARY SCIENCE REVIEWS	1167	0.005	QUATERNARY SCIENCE REVIEWS	1761	0.762
REMOTE SENSING	1051	0.005	REMOTE SENSING	1117	0.484
SCIENCE OF THE TOTAL ENVIRONMENT		1.411	SCIENCE OF THE TOTAL ENVIRONMENT	1419	0.614
SCIENTIFIC REPORTS	1982	0.902	SCIENTIFIC REPORTS		0.574
SUSTAINABILITY	2309	1.050	SUSTAINABILITY		0.004
THEORETICAL AND APPLIED CLIMATOLOGY	1113	0.506	THEORETICAL AND APPLIED CLIMATOLOGY		0.618
WATER	1598	0.727	WATER	650	0.003



**Figure 5.** Publication ratio ((CC/GW/CE)/(CL)) for 29 journals, categorized as 'match' or 'non-match' based on appearance or not in both top 20 lists, ordered from highest to lowest ratio (one curve contains 18 entries and the other 11).

Table 2 presents the number of articles from each record that appear in these journals. A total of 29 journals appear in Table 2, organized in alphabetic order, since there is partial overlap of the top 20 from each record; in fact, there 11 journals that are common to both top 20's (these are referred to as 'match' journals), and 9 journals in each top 20 that is not in the other top 20 (these are referred to as 'non-match' journals). The top journal in the CC/GW/CE record is PLOS One, with 3375 articles representing 1.535% of the full record. The top journal in the CL record is the Journal of Climate, with 5279 articles representing 2.285% of the full record. Both of these journals are in both top 20 lists, with PLOS One having a stronger record of CC/GW/CE articles as given by a ratio (as previously calculated to compare records) of 2.01, while the Journal of Climate has a stronger record of general CL research, with a 0.38 ratio. The 'match' journal with the highest ratio (2.19) is Science of the Total Environment, while the Journal of Geophysical Research Atmospheres has the lowest ratio of 0.32. The range of ratios is larger for 'non-match' journals. Here the highest ratio is 5.15 for Global Change Biology, and the smallest ratio is 0.13 for Journal of the Atmospheric Sciences. These ratios agree with the perception that can be taken about each of these journals. Journals like PLOS One, Science of the Total Environment and Global Change Biology appeal to more applied areas of research, including the applied sciences and engineering, and have wider aims and scopes, while journals such as the Journal of Climate, Journal of Geophysical Research Atmosphere and Journal of the Atmospheric Sciences appeal to more fundamental and specialized research.

Figure 5 helps to highlight the different scopes of the 29 journals listed in Table 2. Here, the ratios for each journal are plotted and categorized according to 'match' or 'non-match' journals. The spider plot shows data arranged from largest to smallest ratio for each category (i.e. each line). Had there been no significant difference in the distribution of the articles across the various journals, both of these lines would be very close to the value of 1. It is clear that the 'non-match' line deviates the most from 1, with nearly every value much higher or much lower than 1. Even the 'match' line deviates significantly from

1. The ratio value closest to 1 from both categories is that of the journal Remote Sensing (0.94). This is not surprising as remote sensing is a climate monitoring technique that can be used both for general climate research as well as to track changes in the climate due to anthropogenic effects [25-27]. What can be concluded from this analysis is that journals and authors are able to distinguish the research topics sufficiently to steer more CC/GW/CE research to certain journals and more general CL research to other journals. This helps to confirm that these two topics are distinct in practice.

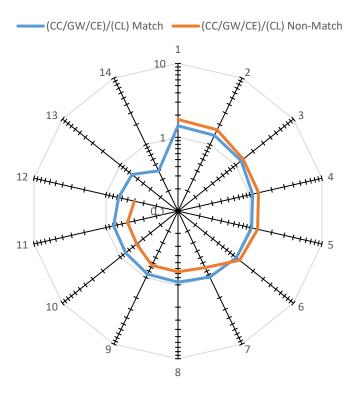
## 4.4. Organization of Publication

Table 3 and Figure 6 present the data analysis for organization (i.e. universities, research institutes, and other research-intensive organizations, associated with the corresponding author's primary affiliation) of publication, for a part of the records, ranging from 1910 to 2020. As with sources, the organizations analyzed are the top 20 from each record, and identical data analysis procedure was used here. The top 20 of the CC/GW/CE record represents 36.92% of all articles in this record, and the top 20 of the CL record represents a slightly higher 39.07% of that record. A total of 26 organizations appear in Table 3, signifying that there are 14 common organizations within the top 20 (i.e. 'match' organizations), and 6 'non-match' organizations. Here, the top three organizations are the same on both records, with the Chinese Academy of Sciences (China) being slightly more engaged in CC/GW/CE research, and the University of California System (USA) and the Centre National de la Recherche Scientifique (France) being slightly more productive in general CL research; though their ratios are very close to 1 (1.03, 0.98 and 0.81, respectively). In fact, the ratios of these organizations are much closer to 1, on average, than those of the journals. The highest ratio among the 26 organizations is 1.74 for the United States Forest Service, and the lowest ratio is 0.40 for two NASA organizations (the main NASA organization, and the Goddard Space Flight Centre). This suggests that academic organizations may have more varied research, and hence ratios closer to 1, while governmental organizations may be more focused on a particular line of research, and thus rations more different than 1. Though such conclusion would require analysis of a large set of organizations, and is complicated by some countries have organizations that have a dual academic and institutional role.

**Table 3.** Top 20 organizations of articles from the two records (CC/GW/CE and CL). Number of articles from each organization, and percentage of total number of articles in the full record. Bolded entries are the top 20 of each record.

CC/GW/CE Organizations	<u>Articles</u>	% of 219,830	CL Organizations	<u>Articles</u>	% of 231,016
CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE CNRS	7079	3.220	CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE CNRS	8770	3.796
CHINESE ACADEMY OF SCIENCES	12132	5.519	CHINESE ACADEMY OF SCIENCES	11770	5.095
COLUMBIA UNIVERSITY	2132	0.970	COLUMBIA UNIVERSITY	3011	1.303
COMMONWEALTH SCIENTIFIC INDUSTRIAL RESEARCH ORGANISA-			COMMONWEALTH SCIENTIFIC INDUSTRIAL RESEARCH OR-		
TION CSIRO	3195	1.453	GANISATION CSIRO	2499	1.082
			CONSEJO SUPERIOR DE INVESTIGACIONES CIENTIFICAS		
CONSEJO SUPERIOR DE INVESTIGACIONES CIENTIFICAS CSIC	3028	1.377	CSIC	2862	1.239
HELMHOLTZ ASSOCIATION	4221	1.920	HELMHOLTZ ASSOCIATION	5382	2.330
INRAE	2600	1.183	INRAE	1972	0.854
INSTITUT DE RECHERCHE POUR LE DEVELOPPEMENT IRD	2770	1.260	INSTITUT DE RECHERCHE POUR LE DEVELOPPEMENT IRD	3021	1.308
MAX PLANCK SOCIETY	1814	0.825	MAX PLANCK SOCIETY	2731	1.182
NASA GODDARD SPACE FLIGHT CENTER	1282	0.583	NASA GODDARD SPACE FLIGHT CENTER	3173	1.373
NATIONAL AERONAUTICS SPACE ADMINISTRATION NASA	2286	1.040	NATIONAL AERONAUTICS SPACE ADMINISTRATION NASA	5674	2.456
NATIONAL CENTER ATMOSPHERIC RESEARCH NCAR USA	1816	0.826	NATIONAL CENTER ATMOSPHERIC RESEARCH NCAR USA	3626	1.570
NATIONAL OCEANIC ATMOSPHERIC ADMIN NOAA USA	3358	1.528	NATIONAL OCEANIC ATMOSPHERIC ADMIN NOAA USA	5373	2.326
RUSSIAN ACADEMY OF SCIENCES	1910	0.869	RUSSIAN ACADEMY OF SCIENCES	3676	1.591
STATE UNIVERSITY SYSTEM OF FLORIDA	3154	1.435	STATE UNIVERSITY SYSTEM OF FLORIDA	2892	1.252
UNITED STATES DEPARTMENT OF AGRICULTURE USDA	4149	1.887	UNITED STATES DEPARTMENT OF AGRICULTURE USDA	3013	1.304
UNITED STATES DEPARTMENT OF ENERGY DOE	3130	1.424	UNITED STATES DEPARTMENT OF ENERGY DOE	3522	1.525
UNITED STATES DEPARTMENT OF THE INTERIOR	3951	1.797	UNITED STATES DEPARTMENT OF THE INTERIOR	2764	1.196
UNITED STATES FOREST SERVICE	2389	1.087	UNITED STATES FOREST SERVICE	1371	0.593
UNITED STATES GEOLOGICAL SURVEY	3413	1.553	UNITED STATES GEOLOGICAL SURVEY	2532	1.096
UNIVERSITY OF CALIFORNIA SYSTEM	8654	3.937	UNIVERSITY OF CALIFORNIA SYSTEM	8838	3.826
UNIVERSITY OF CHINESE ACADEMY OF SCIENCES CAS	4108	1.869	UNIVERSITY OF CHINESE ACADEMY OF SCIENCES CAS	3309	1.432
UNIVERSITY OF COLORADO BOULDER		0.991	UNIVERSITY OF COLORADO BOULDER	3337	1.444
UNIVERSITY OF COLORADO SYSTEM	2335	1.062	UNIVERSITY OF COLORADO SYSTEM	3507	1.518
UNIVERSITY OF LONDON		1.258	UNIVERSITY OF LONDON	2358	1.021
WAGENINGEN UNIVERSITY RESEARCH		1.114	WAGENINGEN UNIVERSITY RESEARCH	1467	0.635

Figure 6, in contrast to Figure 5, makes it clear that organizational information is not enough to place a research as belonging to one record or another. Both the 'match' and 'non-match' lines deviated by small extents away from the ratio of 1 level, with the 'non-match' line deviating more, as would be expected. For comparison with the earlier case, the ratio value closest to 1 from both categories is that of the University of California System (0.98). Notable about this organization is that it consists of nine campuses offering comprehensive education, with varying levels of research excellence (e.g. excellent (Berkeley), very good (Davis), less highly ranked (Riverside), emerging (Merced) [28]). This can explain the diversity of research output, covering both CC/GW/CE and CL topics. Of course, this diversity of topic is an average since 1900, and it is possible that in recent years the research in many organizations has shifted towards the climate challenge, as the year and country trends presented earlier suggested.



**Figure 6.** Publication ratio ((CC/GW/CE)/(CL)) for 26 organizations, categorized as 'match' or 'non-match' based on appearance or not in both top 20 lists, ordered from highest to lowest ratio (one curve contains 14 entries and the other 12).

## 5. Discussion and Conclusions

Here we can re-visit the three hypotheses and reach conclusions about their claims. With regards to hypothesis 1, it was possible to distinguish the scientist literature linked to CC/GW/CE research from that pertaining to CL research using the two search strings tested. This was verified by reviewing the scope of a number of highly cited papers in both records, and is further supported by the trends seen with regards to years of publication, country/region of publication, and source of publication. That is, in these three categories, the publication records showed significant numerical and graphical differences, and these could at times be explained rationally, with basis on data interpretation. Evidence was found that the two publication records contained some misinterpreted publications and outliers, but it is deemed that the consistency of trends observed signify that these issues are minor and acceptable given the simplicity of the publication record assemblage method. A third search string, related to the topic of greenhouse gases, was also tested, but its data did not become part of this study's analysis. The reason for this is that such search string finds many articles that discuss the emissions of greenhouse gases (e.g. from flue gas stacks [29] or from livestock farming [30]) and technologies to control or mitigate these emissions (e.g. via carbon sequestration [31] or green energy [32]). As such, these articles fall outside the scope of the two topical records of interest here. This is further evidence that the two search strings used are effective in reaching their intended goal.

With hypothesis 1 confirmed, it is also possible to confirm hypothesis 2. The publication year data clearly shows that the scientific literature has become enriched in CC/GW/CE works in relation to CL works. This is despite both of these records experiencing massive growth over the decades (from under 100 articles per year in the first half of the 20th century to over 10,000 articles per year in recent years). It is clear that the more pressing the climate challenge becomes, and its effects actually witnessed [33], the more research is being undertaken to forecast the avoidable or unavoidable impacts [34,35]. It is difficult to foresee for how much longer the publication ratio devised in this study will

continue to rise, globally or country-by-country, as climate research will become increasingly important in the framework of a sustainable society, so it will be worth revisiting this in a decade or more. Perhaps by then another keyword could be added to complement CC/GW/CE. As of December 27<sup>th</sup> 2020, 84.85% of this record is retrieved using only CC and excluding (via the NOR operator) the other two search terms; this compares to only 9.20% of the record that only contains GW, and a mere 0.019% of the record that only contains CE. A simple search for CE yields 99 articles, 86 of which published since 2019, and the oldest from 2011 [36] being the most cited to date. This shows that this popular term (in the greater public sphere) is not yet commonly used scientifically; will it eventually be?

Hypothesis 3 was partly confirmed. The data and its interpretation show that the two publications records have distinct differences in terms of size (i.e. number of publications) when it comes to the originating country/region and venue (journal) of publication. Yet the two records are nearly indistinguishable when the criteria used is the organization responsible for producing the work. As was explained, research organizations have broad research interests, and it is understandable that the same departments and research groups that perform CC/GW/CE research also tend to perform CL research. Of course, this would not be the case at the researcher level, since expertise for these two topics of research is sufficiently different. WoS allows data analysis at the researcher (i.e. corresponding author level). However, in addition to the number of entries being very large (there are over 100,000 corresponding authors listed in the most recent CC/GW/CE and CL records), there is ambiguity with common author names (i.e. same last name and same first letter of first name), making any possible analysis less accurate. Such analysis would thus require close scrutiny at the article level.

Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1, Years CC-GW-CE.txt: raw data file with publications per year data, Years CL.txt: raw data file with publications per year data, Countries CC-GW-CE.txt: raw data file with publications per country/region data, Countries CL.txt: raw data file with publications per country/region data, Sources CC-GW-CE.txt: raw data file with publications per source data, Organizations CC-GW-CE.txt: raw data file with publications per organization data, Organizations CL.txt: raw data file with publications per organization data, top100\_CCGWCE.xls: raw data file with publication data for top 100 papers, top100\_CL.xls: raw data file with publication data for top 100 papers.

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