Amoxicillin in water: insights into relative reactivity, byproduct formation, and toxicological interactions during chlorination

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ABSTRACT

In recent years, many studies have highlighted the consistent finding of amoxicillin in waters destined for wastewater treatment plants, in addition to superficial waters of rivers and lakes in both Europe and North America. In this paper, the amoxicillin degradation pathway was investigated by simulating the chlorination process normally used in a wastewater treatment plant to reduce similar emerging pollutants at three different pH values. The structures of 16 isolated degradation byproducts (DPs), one of which was isolated for the first time, were separated on a C-18 column via a gradient HPLC method. Then, combining mass spectrometry (MALDI-MS/TOF) and nuclear magnetic resonance, we compared commercial standards and justified a proposed formation mechanism beginning from the parent drug. Microbial growth inhibition bioassays with Escherichia coli, Klebsiella pneumoniae, and Staphylococcus aureus were performed to determine the potential loss of antibacterial activity in isolated degradation byproducts. An increase of antibacterial activity in the DPs was observed compared to the parent compound.

Keywords: amoxicillin; chlorination; hypochlorite; degradation by-products; water treatment; acute toxicity test; Daphnia magna

1. Introduction

The presence of pharmaceutical compounds in natural water bodies, even at low concentrations, raises health concerns. Pharmaceutical substances, used to prevent and fight diseases, are produced in order to guarantee their maximum effectiveness and, at the same time, ensure their resistance to inactivation until they perform their intended functions. Thus, these compounds can be excreted through feces and urine in the form of metabolite mixtures and their unchanged product, which flow into wastewater treatment plants (WWTPs). The recent widespread detection of these compounds in such environments [1-6] have led to their designation as emerging contaminants as they are still unregulated. The environmental persistence and the high biological activity that characterizes them make these substances harmful even at low concentrations. These contaminants can, in fact, cause alterations to the endocrine system [7] and an increase in microbial resistance to drugs [8-11], they can also be adsorbed by plants [12] and bioaccumulated [13] in the food chain. Additional risks are associated with biodiversity loss [7], infertility, and cancer [14-15]. One of the categories of drugs on which the attention of the scientific community is most focused is that of antibiotics present in the aquatic environment and in foods, which have the possibility of inducing the formation of antibiotic-resistant bacteria and the health risks that may derive from them [16-17].

Amoxicillin (AMO) is among the most prescribed antibiotics for human use in Italy [18] and other European countries [19], which is a drug synthesized in large amounts and used in aquaculture farms to cope with the most common fish diseases. In Italy, it is estimated that more than 210 tons are used annually, of which 86 ± 8% [20] are excreted in parental form with a theoretical
environmental load estimated at around 190 tons/year. Risk assessment studies for aquatic species and humans are under development, but despite the small amount of ecotoxicological data, some studies found it possible to establish that compounds such as AMO in surface waters at non-negligible risk levels for aquatic organisms [21-22].

AMO has been detected at µg/L concentrations in the influent and effluent of WWTP and surface water [23], while its levels in pharmaceutical industry effluents may reach mg/L concentrations [24]. Although the treatment processes used in the plants shows high AMO removals, at the same time they have the disadvantage of increasing effluent toxicity and producing its transformation compounds, which may be more toxic than the product from which they derive [25]. As a consequence, WWTP effluents and the practice of reusing sewage sludge in agriculture to recover nitrogen compounds useful for soil fertilization can contribute to its introduction into water bodies and its diffusion in the terrestrial environment of the degradation byproducts (DPs) of the drug [26-29]. Humans can be exposed to DPs through the consumption of aquatic organisms, agricultural products, or drinking water.

In this paper, the DP of AMO was investigated under the same conditions as the chlorination process normally used in a WWTPs to reduce similar emerging pollutants [30-31] at three different pH values and carrying out two different experiments, one at concentrations comparable to those at which AMO is present and one at least 100 times higher in order to isolate and identify the different DPs. The structures of 16 isolated DPs, one of which was isolated for the first time, were determined by combining mass spectrometry (MALDI-MS/TOF) and nuclear magnetic resonance (NMR) data. They were then justified by a proposed formation mechanism. Microbial growth inhibition bioassays with Escherichia coli (ATCC 25922), Klebsiella pneumoniae (ATCC 20081), and Staphylococcus aureus (ATCC 6538) were performed to determine the changes in AMO antibacterial activity. E. coli, K. pneumoniae, and S. aureus were used as indicator microorganisms in the antimicrobial assays since these bacteria are important human pathogens with high stability against antibiotics.

2. Materials and Methods

2.1. Drug and reagents

Amoxicillin (99.5%) was purchased from Sigma-Aldrich (Milan, Italy). All other chemicals and solvents were purchased from Fluka (Saint-Quentin Fallavier, France) and were of HPLC grade and used as received. For the antimicrobial assessment, tryptic soy broth (TSB, Difco, Becton-Dickenson Labs) was used. All the chemicals were of analytical grade and supplied by Sigma-Aldrich. Double distilled water (Microtech) was used to prepare the dilution water and treatments. The microbial growth was measured with automatic plate reader (Synergy HTX, BioTek Instruments, Winooski, VT, USA).

2.2. Chlorination Reaction

2.2.1. Apparatus and equipment

Column chromatography (CC) was carried out with Kieselgel 60 (230–400 mesh, Merck, Darmstadt, Germany). HPLC was performed on a Shimadzu LC-8A system using a Shimadzu SPD-10A VP UV-VIS detector (Shimadzu, Milan, Italy). Semipreparative HPLC was performed using an RP Gemini C18-110A preparative column (10 µm particle size, 250 mm × 21.2 mm i.d., Phenomenex, Bologna, Italy) with a flow rate of 7.0 mL min⁻¹. The ¹H- and ¹³C NMR spectra were recorded with an NMR spectrometer operated at 400 MHz and at 25 °C (Bruker DRX, Bruker Avance) and referenced in ppm to the residual solvent signals (CDCl₃, at δH 7.27 and δC 77.0). The proton-detected heteronuclear correlated correlations were measured using a gradient heteronuclear single-quantum coherence (HSQC) experiment, optimized for ¹JHC = 155 Hz, and a gradient heteronuclear multiple bond coherence (HMBC) experiment, optimized for ¹JHC = 8 Hz. The MALDI-TOF mass spectrometric
analyses were performed on a Voyager-De Pro MALDI mass spectrometer (PerSeptive Biosystems, Framingham, MA, USA). The UV/Vis spectra were recorded with a Perkin Elmer Lambda 7 spectrophotometer. The IR spectra were recorded with a Jasco FT/IR-430 instrument equipped with a single reflection ATR accessory.

2.2.2. Chlorination Experiments

A 10⁻⁵ M AMO solution was treated for 10 min with 10% hypochlorite (molar ratio AMO/HClO 1:1 concentration, spectroscopically determined λₐₘₙₙ 292 nm, ε = 350 dm³/mol cm) at room temperature [32], simulating the conditions used in a typical WWTP. The experiment was repeated at pH = 3 in a common H₃PO₄/KH₂PO₄ (20 mM) buffer, and at pH = 8. The presence of AMO was quantified using a Lambda 12 UV-Vis spectrophotometer (Perkin Elmer, USA). Absorbance peaks were determined at 230 nm. The absorbance values were converted into concentration using a calibration curve prepared from standard solutions with known AMO concentrations. In this latter case, the pH of the solution, measured and recorded continuously by a pH-meter, increased immediately from the initial pH of 8.0 to 10.5, and the pH remained at this value during the reaction. An aliquot of the solution was taken every 5 min, quenched by sodium thiosulphate excess, filtered, dried by lyophilization, and dissolved in a saturated sodium bicarbonate solution before being extracted with ethyl acetate. The course of the reaction was monitored by HPLC. The main degradation byproducts (DP₄ and DP₆ – DP₁₀ for the ethyl acetate fraction and DP₁ – DP₃, DP₅ and DP₁₁ – DP₁₆ for the aqueous fraction; Scheme 1 and Figure 3) were identified by comparing their retention times with those of commercially available standard compounds or isolated by performing preparative experiments with an AMO solution at a concentration higher than 10⁻³ M treated with 5% hypochlorite at room temperature for 5 min. The degradation byproducts obtained were isolated via column chromatography and HPLC and completely characterized using NMR and MS analysis. DP₁ – DP₁₆ were isolated in relative percent of 1.01, 0.89, 2.25, 2.02, 1.56, 1.36, 2.21, 2.05, 3.01, 2.24, 1.25, 1.11, 1.23, 1.45, 2.25, and 0.23, respectively. The proposed mechanism of their formation from AMO is shown in Figure 5. DP₁₆, isolated for the first time, was determined from combining mass spectrometry (MS) and nuclear magnetic resonance (NMR) data.

2.2.3. Chlorination Procedure and Product Isolation

Amoxicillin (1 g, 2.74 mmol), dissolved in milliQ water (2 L), was treated for 5 min with 5% hypochlorite (molar ratio AMO/HClO 1:2; concentration spectroscopically determined at a λₐₘₙₙ of 292 nm, ε = 350 dm³/mol cm) at room temperature [33]. The pH of the solution increased immediately from the initial pH of 8.0 to 10.5, and the pH remained at this value during the reaction. After 5 min, the solution was quenched with an excess of thiosulphate with respect to NaOCl, dried by lyophilization, and the residue was dissolved in a saturated Na₂CO₃ solution and extracted with ethyl acetate (EA). The EA fraction (351 mg) was separated with the silica gel CC using a gradient of methylene chloride/methanol (100:0 to 10:90, v/v) to yield 15 fractions. The EA5 fraction (25 mg), eluted with methylene chloride/methanol (90:10, v/v), was analyzed via HPLC using a Supelcosil LC-18 column, 25 cm × 4.6 mm I.D., 5 µm particles. The solvent system was a gradient of acetonitrile/tetrahydrofuran/water (A, 30:10:60, v/v/v) and acetonitrile/water (B, 60:40, v/v), starting with 0% B for 1 min and installing a gradient to obtain 100% B over 20 min, at a solvent flow rate of 1.5 mL/min. The column effluent was monitored at 360 nm. Identification of DP₆ and DP₄ was achieved by comparison with standard compounds. The fraction EA7 (33 mg), eluted with methylene chloride/methanol (75:25, v/v), was analyzed via HPLC using a Supelcosil LC-8 column, 15 cm × 4.6 mm I.D., 5 µm particles. The solvent system used was a gradient of acetic acid/methanol (A, 1:99, v/v) and acetic acid/water (B, 1:99, v/v), starting with 65% B for 1 min and installing a gradient to obtain 100% A over 25 min and returning to 65% B for 5 min at a solvent flow rate of 1.5 mL/min. The column effluent was monitored at 280 nm. Identification of DP₇ and DP₈ was achieved by comparison with standard compounds. The fraction EA8 (29 mg), eluted with methylene chloride/methanol (70:30, v/v) was dried, dissolved in an appropriate volume of methylene chloride (100 µL), and analyzed.
using a gas chromatograph with a flame ionization detector (Shimadzu 2010 series, Milano, Italy). The gas chromatograph was equipped with an Equity™-5 capillary column (30 m × 0.25 mm I.D. × 0.25 µm film thickness). The following parameters were set during the experiments: detector temperature, 340 °C; carrier gas, helium (25 cm/sec); injected samples, 1.0 µL, introduced into the injector using an AOC-20i auto sampler (Shimadzu, Milano, Italy) heated to 225 °C with a split ratio of 100:1. The initial temperature was 40 °C with a 2 min hold, followed by a 8 °C/min ramp to 300 °C with a 2 min hold. Identification of DP9 and DP10 was achieved by comparison with standard compounds.

The aqueous fraction (W, 959 mg) was dried by lyophilization, re-dissolved in methanol, and separated with the silica gel CC using a gradient of ethyl acetate/methanol (100:0 to 0:100, v/v) to yield 27 fractions. The fraction W8 (39 mg), eluted with ethyl acetate/methanol (70:30, v/v), was analyzed via HPLC using a Discovery RP-Amide C16 column, 15 cm ×4.6 mm I.D., 5.0 µm particles. The solvent system used was a mixture of 0.1% TFA in acetonitrile/water (25:75), at a solvent flow rate of 1.0 mL/min. The column effluent was monitored at 254 nm. The identification of DP1 – DP3 and DP5 was achieved by comparison with a standard compound. The W13 fraction (78 mg), eluted with ethyl acetate/methanol (60:40, v/v) was dried, dissolved in an appropriate volume of water/ethanol (50:50, v/v), and analyzed using a Shimadzu 2010 series GC FID (Shimadzu, Milano, Italy). The gas chromatograph was equipped with a 80/120 Carbopack™ B AW/6.6% PEG 20M (2 m × 2 mm I.D., glass). The following parameters were set during the experiments: carrier gas, nitrogen; injected samples, 1.0 µL, introduced into the injector using an AOC-20i auto sampler (Shimadzu, Milano, Italy). The initial temperature was 80 °C with a 2 min hold, followed by a 4 °C/min ramp to 200 C with a 2 min hold. The identification of DP11, DP14, and DP15 was achieved by comparison with a standard compound. The fraction W15 (131 mg), eluted with ethyl acetate/methanol (50:50, v/v), was analyzed via HPLC using an ODS (2) column (15 cm × 4.6 mm I.D.). The solvent system was a mixture of acetic acid, tetrahydrofuran, methanol, and water (1/2/10/87, v/v/v/v) at a solvent flow rate of 1.0 mL/min. The column effluent was monitored at 264 nm. The identification of compound DP13 was achieved by comparison with a standard compound. The fraction W22 (23 mg), eluted with methanol was analyzed via HPLC with an ECD detector, using a RP-18 column (25 cm × 4.6 mm I.D.). The solvent system used was a mixture of 25% hexadecyltrimethylammonium chloride, KH₂PO₄, water, and methanol (1:7.5:500:500, v/w/v/v) at a solvent flow rate of 1.5 mL/min. The identification of DP12 was achieved by comparison with a standard compound [34]. The structures of all the degradation byproducts are shown in Figure 3.

2.3. Spectral data

DP1: (R)-2-Amino-2-(4-hydroxyphenyl)acetic acid. White powder. NMR spectra were in accordance with those reported in the literature [35].

DP2: 2-(4-Hydroxyphenyl)-2-iminoacetic acid. White powder. NMR spectra are in accordance with those reported in the literature [36].

DP3: 2-(4-Hydroxyphenyl)-2-oxoacetic acid. White powder. NMR spectra conform to those recorded for the commercially available standard.

DP4: 4-Hydroxybenzamide. White powder. NMR spectra are in accordance with those reported in the literature [37].

DP5: 4-Hydroxybenzoic acid. White powder. NMR spectra conform to those recorded for the commercially available standard.

DP6: 4-Hydroxybenzaldehyde. White oil. NMR spectra conform to those recorded for the commercially available standard.

DP7: Hydroquinone. White powder. NMR spectra conform to those recorded for the commercially available standard.

DP8: Phenol. White powder. NMR spectra conform to those recorded for the commercially available standard.
DP9: 2-Chlorophenol. White powder. NMR spectra are in accordance with those reported in the literature [38].

DP10: 4-Chlorophenol. White powder. NMR spectra are in accordance with those reported in the literature [39].

DP11: 3-Methylbutanoic acid. White powder. NMR spectra are in accordance with those reported in the literature [40].

DP12: Oxalic acid. White powder. NMR spectra conform to those recorded for the commercially available standard.

DP13: (2E,4E)-Hexa-2,4-dienedioic acid. White powder. NMR spectra conform to those recorded for the commercially available standard.

DP14: 2-Aminoacetic acid. White powder. NMR spectra conform to those recorded for the commercially available standard.

DP15: Acetic acid. White liquid. NMR spectra conform to those recorded for the commercially available standard.

DP16: 3-Chloro-5-hydroxy-4-[(4-hydroxybenzoyl)oxy]benzoic acid. White powder. $^1$H-NMR (400 MHz, CDCl$_3$): $\delta$ 8.13 (d, J = 8.0 Hz, 2H, H-2, H-6), 7.46 (d, J = 7.6 Hz, 1H, H-6'), 7.16 (d, J = 7.6 Hz, 1H, H-5'), 6.89 (d, J = 8.0 Hz, 2H, H-3, H-5). $^{13}$C-NMR (100 MHz, CDCl$_3$): $\delta$ 128.64 (C-1), 131.61 (C-1 and C-6), 115.74 (C-3 and C-5), 154.83 (C-4), 160.62 (C-7), 157.66 (C-1'), 141.4 (C-2'), 128.64 (C-3'), 154.83 (C-4'), 125.69 (C-5'), 125.08 (C-6'). ESI-MS (positive ions): m/z calculated for C$_{13}$H$_8$Cl$_2$O$_4$ m/z 297.98 [M]$^+$; found 300.08 [M + H]$^+$, 261.52 [M - HCl]$^+$. 

2.4. Measurement of antibiotic activity

Microbial growth inhibition tests were performed on AMO and samples isolated from NaClO experiments at initial concentrations of 5 mg/L and used Escherichia coli (ATCC 25922), Klebsiella pneumoniae (ATCC 20081), and Staphylococcus aureus (ATCC 6538) as reference strains. A preculture of bacteria was grown in TBS overnight at 37 °C, and then diluted with the same medium for a concentration of 103 cell/mL. Bacteria were inoculated into 96 wells with samples, and incubated at 37° C for 24h. The growth of bacteria was evaluated by the degree of turbidity of the culture measuring the absorbance at 600 nm.

Negative and positive controls were included in each test. Negative tests were carried out on TBS containing 0.001% of DMSO (used with the aim of dissolving AMO) per liter of solution.
3. Results and Discussion

3.1. Chlorination Experiments

The AMO chlorination experiments were performed by mimicking the conditions of a typical WWTP, in which a $10^{-5}$ M solution of the drug was treated for 10 min with 10% hypochlorite (AMO/hypochlorite molar ratio of 1:1; concn.) at room temperature [41-43] for different pH values (Figures 1 and 2).

**Figure 1.** Time-conversion plot for the reaction of AMO with one equivalent NaOCl at buffered pH = 3.0 (a) and pH = 7 (b). ●: AMO consumption by reaction with NaOCl. ○: Disappearance of AMO in the absence of NaOCl.

The measurements of the AMO concentration as a function of time at the two different buffered pH values show how degradation was greater at pH = 7, with a percentage of about 80% after just 20-25 min of treatment. When pH = 3, it was just under with the same time. Regardless of the pH value, the AMO concentration remains practically constant in the absence of NaClO, with a degradation percentage of no more than 5-7% at the higher pH.

It is interesting to note that the presence of AMO practically disappeared after 15 min when it was in contact with hypochlorite. Thus, it remained almost constant in its absence. Hypochlorite, on the other hand, decomposed faster than AMO degraded, reducing by more than 95% after just 10 minutes, which indicates how all the active species presented in the solution contributed to drug degradation.

**Figure 2.** Time-conversion plot for the reaction of AMO with one equivalent NaOCl at pH = 9.0 (a). ■: NaOCl consumption in the presence of AMO. ●: AMO consumption by reaction with NaOCl. □: Disappearance of NaOCl in the absence of AMO. ○: Disappearance of AMO in the absence of NaOCl. AMO disappearance by NaOCl at pH = 9 after 10 min of reaction (b). AMO disappearance by NaOCl at pH basic no-buffered after a 5 min reaction.

The measurements of the quantity of non-degraded AMO clearly shows how the percentage of degradation rapidly increased to 60% with a NaOCl/AMO ratio of 2, which was almost total with a NaOCl/AMO ratio = 0.75. The data reported in the literature for other emerging micropollutants generally observed longer reaction times, even in the order of hours. Oxidant concentrations even doubled that of the pollutant to ensure the complete mineralization of the latter, which was not infrequently after a double or triple treatment [40-43].
Structure elucidation of degradation byproducts DP1 – DP16

AMO chlorination produced degradation byproducts DP1 – DP16 (Figure 3) were isolated by chromatographic processes and were identified on the basis of their physical features (Scheme 1).

![Scheme 1](image)

In AMO treatment with an unbuffered pH value, the changes of the drug were monitored with HPLC. Its main degradation byproducts (DP1 – DP16, Figure 3) were identified by comparing their retention times with those of the standard compounds and by employing NMR and MS analyses. The concentrations of DP1 – DP16 were at a maximum after 5 min and ranged in the range of 3.01 to 0.89%.

The first three DPs (DP1 – DP3) were C6C2 skeletal compounds obtained from the hydrolysis of the amide bond of the phenylethanoic acid residue and the subsequent oxidation of the alkyl chain. The DP4 – DP6 had a C6C1 skeleton and, thus, it was easy to hypothesize that they were products derived from the decarboxylation of the previous three. Moreover, the DP7 – DP10 had a C6C1 skeleton with an oxidized or chlorinated aromatic ring. DP11 – DP15 products were di- or mono-carboxylic acids, which we final oxidation products. A separate discussion should be had for DP16, which is a phenylbenzoic ester chlorinated on the alcoholic part and clearly obtained from the esterification of two oxidation byproducts. The plausible mechanism of the DPs formation from AMO is shown in Figure 5.

The reaction could start by a single-electron transfer from the lone electron pair of the amino group to HClO, which formed the corresponding radical cation and chloride. This aminyl radical cation (I1) could undergo a β-lactam cycle when two fragments, I2 and I3, formed. The first one formed via hydrolysis and gave DP11. The I3 fragment first hydrolyzed the ketene function to a carboxylic function, giving the intermediate I4 that led to DP1 and DP14. The second one oxidized to DP12 and CO2. From the product DP1, it was possible to obtain DP2 – DP3 with a C6C2 skeleton, DP4 – DP6 with a C6C1 skeleton, and DP7 – DP10 with a C6C5 skeleton through a series of oxidations, decarboxylations, and chlorinations. Finally, DP13 and DP15 was obtained by opening the aromatic ring. A slightly different argument to justify DP16, the synthesis of which could come from the chlorination and subsequent oxidation of intermediate I8, in turn was obtainable from the esterification of two DP5 molecules.
3.2. Antibiotic activity data

Figure 4 shows the antimicrobial activity of AMO and its DPs against *S. aureus*. Partial activity was developed at 5 mg/L AMO, when the inhibition did not exceed 28% for the parent compound.
Figure 5. Plausible mechanism for the formation of DP1 – DP16.

It was evident that 56% of DPs showed residual activity to S. aureus and this was more pronounced for DP6, where activity was exclusively due to oxidation byproducts with 74% of antibiotic activity. DP1, DP8, and DP9 showed decreased antibiotic activity. Only DP13, DP14, and DP16 revealed to have no antibiotic effects.
Similar tests with *E. coli* and *K. pneumoniae* revealed that both bacteria were resistant at 5 mg/L AMO, with no significant antimicrobial activity (data not shown). The related DPs appeared to have no antibiotic and/or toxic effect against *E. coli* and *K. pneumoniae* (data not shown).

According to Dimitrakopoulou [44], *E. coli* and *K. pneumoniae* revealed a resistance up to 25 mg/L AMO, even if the suggested ranges for MIC determinations are 0.25-128 mg/L for Enterobacteriaceae (i.e., *E. coli* and *K. pneumoniae*) [45].

4. Conclusions

This paper investigated the fate of AMO by following the degradation treatment via chlorination. The reaction was carried out by simulating the conditions of a typical WWTP using excess sodium hypochlorite, at 3 different pH values. After the chlorination treatment, chromatographic techniques were used to isolate 16 degradation byproducts, which were fully characterized using MS and NMR analyses and comparing with parental samples. AMO underwent almost complete mineralization—95-96% at pH 9, almost 80% at pH 7, and just under 70% at pH 3 after only few minutes of treatment. We hypothesized a possible mechanism for the degradation of AMO and its degradation byproducts. The antibiotic activity of AMO depended on the test bacteria in question. With regard to *E. coli* and *K. pneumoniae*, no antimicrobial activity occurred regardless of how low AMO concentrations were, or how low transformation byproducts were. Conversely, *S. aureus* was less resistant to AMO and this effect remained partially or totally in its reaction byproducts.

Author Contributions

G.L. performed the chlorination experiments; M.G., A.S., G.L. and L.S. performed the acute and chronic toxicity tests; A.Z., L.P. and G.D.F. designed the research study and wrote the paper.

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Conflicts of Interest

The authors have no conflict of interest to declare.

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