

1 Article

2 Evaluation of the Properties of an 3 Electro-Sinter-Forged Bearing Steel

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16 **Abstract:** In this study one of the most innovative sintering techniques up to date was evaluated:
17 Electro-Sinter-Forging (ESF). Despite it has been proved to be effective in densifying several
18 different metallic materials and composites, bearing steels such as 100Cr6 have never been
19 processed so far. Pre-alloyed Astaloy CrM powders have been ad-mixed with either graphite or
20 graphene and then processed by ESF to produce a 100Cr6 equivalent composition. Porosity has
21 been evaluated by optical microscopy and compared to that one of 100Cr6 commercial samples.
22 Mechanical properties such as hardness and transverse rupture strength were tested on samples
23 produced by employing different process parameters and then submitted to different treatments
24 (machining, heat treatment). The experimental characterization highlighted that porosity is the
25 factor mostly affecting mechanical resistance of the samples, correlating linearly to the transverse
26 rupture strength. Hardness on the other side does not correlate to the mechanical resistance
27 because process related cracking has a higher effect on the final properties. Promising results were
28 obtained that give room to the sinterability by ESF of materials difficult to sinter by conventional
29 press and sinter techniques.

30 **Keywords:** electro sinter forging; powder metallurgy; capacitor discharge sintering; 100Cr6

31

32 1. Introduction

33 The sintering of metal powders is traditionally a purely thermal process where a previously
34 pressed amount of powders called green, is densified in a furnace without applying further
35 pressure. In sintering processes such as hot isostatic pressing (HIP), on the contrary, loose powders
36 are densified by the concurrent effect of both high pressure and temperatures. Independently from
37 the kind of thermal sintering technique employed, they are all suitable for obtaining both simple or
38 complex shapes in a near final geometry, thus reducing mechanical work, material waste and costs
39 [1-4]. All thermal sintering processes are characterized by long sintering cycles, performed in
40 furnace, lasting several hours. Thermal sintering is commonly employed in the manufacture of gears
41 and automotive components of small dimensions (almost 70% of the total powder metallurgy
42 production [2-7]), electric contacts but also components for the aerospace sector such as turbine
43 blades and rotors.

44 In order to reduce sintering time and to promote the processing of innovative metallic alloys
45 and metal matrix composites (MMC), the so-called field assisted sintering techniques (FAST) have
46 been developed in twentieth century [8,9]. Such technologies are characterized by a reduced
47 processing time (from minutes down to milliseconds for FAST vs. hours for thermal sintering) that

48 allows retaining a very fine microstructure even at the nanoscale. Among the materials commonly
49 employed in the automotive sector and processed by powder metallurgy, there are no studies on the
50 sinterability of 100Cr6 bearing steel. The characteristics of such grade of steel make it poorly
51 sinterable, mainly due its very low compressibility: for this reason it would be very challenging to
52 reach high density by conventional sintering techniques.

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54 The 100Cr6 bearing steel (equivalent to the AISI 52100 grade) is characterized by high
55 compression and wear resistance both adhesive and abrasive, with little mechanical deformations
56 occurring even under high cyclic loading. From the heat treatment side, it is quenched in oil reaching
57 hardness as high as 64 HRC. Such mechanical properties make the use of this material spread in the
58 manufacture of wear resistant components such as eccentric gears, cylinders for small cold rolling
59 mills; furthermore, over 90% of ball and cylinder bearings are made of 100Cr6.

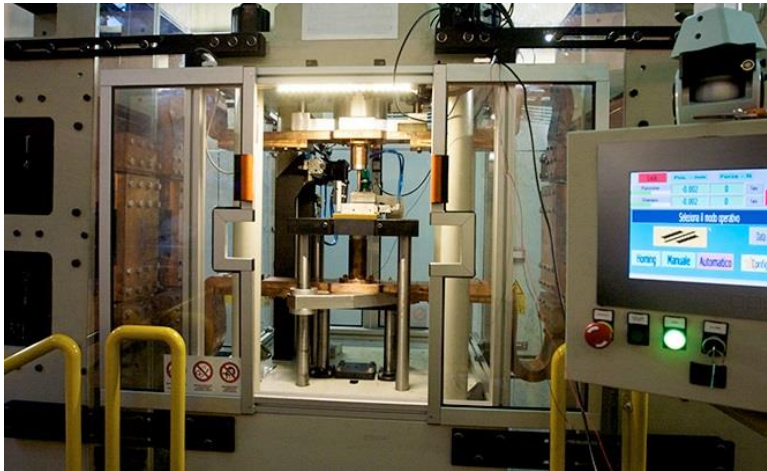
60 Its chemical composition is characterized by the presence of high carbon (about 1%) and
61 moderate chromium (1,5 %) that are responsible for the formation of chromium carbides. No rare or
62 costly elements are present in this grade of steel, such characteristics make the 100Cr6 the most
63 common grade employed in bearings production thanks also to a favorable balance between cost
64 and mechanical properties.

65 *1.1 Electro Sinter Forging (ESF)*

66 The recent Electro-Sinter-Forging (ESF) or e-forging technique has demonstrated to be
67 interesting and is gaining traction for precious alloys metals parts, cemented carbides tools, memory
68 shape alloys and steels [10-14]. The intrinsic advantages of ESF led to the emergence of novel
69 applications and uses: one machine is used for forming and sintering to near net shape in a very
70 rapid productive process employing less than 10 seconds per each part produced. The amount of
71 energy required is limited and this helps in reducing costs and pollutants, finally if compared to
72 conventional casting [15] or cutting techniques [16], ESF has low wear of tooling and a generally
73 higher precision of parts produced. The technical and manufacturing advantages of these techniques
74 combine with the possibility of creating innovative materials such as metal composites and diamond
75 composites with novel, high performance, properties and extremely high densities.
76 Electro-Sinter-Forging (ESF) is simple: a mechanical pulse is superimposed to an electrical one in a
77 die that is previously loaded with the powders.

78 A capacitor bank originates the electrical pulse at high voltage than a transformer raises the
79 current and lowers the voltage. The electro-magnetic discharge is synchronized to the mechanical
80 impulse so that energy is transferred just after reaching a set level of pressure, this guarantees an
81 homogeneous flow of current through the powders. The second role of the mechanical pressure is
82 compensating the powder shrinkage during sintering, for this reason mechanical pressure is raised
83 when the electro-magnetic energy is transferred through the powders. After holding in pressure
84 from a few milliseconds to, usually 1-3 s the powders while consolidating, the upper plunger is
85 automatically drawn out of the die and the lower plunger is moved to the upper part of the die in
86 order to extract the sintered piece from the die assembly.

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89 **Figure 1.** Photo of an Electro-sinter-forging system.

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91 In this study a steel with a 100Cr6 equivalent composition has been sintered by ESF in order to
92 prove the efficacy of this peculiar capacitive discharge sintering (CDS) technology in processing a
93 bearing steel grade that normally is not processed via conventional thermal sintering techniques.

94 2. Materials and Methods

95 Prealloyed Astaloy CrM water atomized powders by Hoganas have been used as raw material
96 for the study [17]. The chemical composition of the starting powders is given in Table 1.

97 **Table 1.** Chemical composition of the Astaloy CrM powders.

C	Cr	Mo	Fe
< 0,01	3,00	0,50	Bal.

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99 The total Oxygen content reported by the powder supplier is 0,2%, such indication is important for
100 powders made by water atomization because it can give a fruitful insight on sinterability. ESF is a
101 sintering process activated by electric energy the higher the oxidation levels of the powders the
102 higher the risk that the current flow is hindered. The Cr content in the powders is higher with
103 respect to conventional casting/forged 100Cr6, this can contribute to the formation of a higher
104 content of Cr based carbides that can confer higher wear resistance (especially abrasive wear
105 resistance) to the sintered material.

106 For the analysis carried out in this study, rectangular shaped samples were produced by ESF (20 X
107 10 X 4 mm) employing the process parameters and the post processing reported in Table 2. These
108 samples have than been compared to cylindrical shaped commercial samples (10 mm diameter and 4
109 mm thick) in terms of porosity, microstructure and mechanical properties. Due to the low carbon
110 content of the Astaloy CrM powders, carbon was added either as graphite or graphene to the
111 powders in order to reach a fraction between 0,95 and 1% wt. comparable to 100Cr6. A turbula
112 mixer, with small amounts of heptane was used to incorporate graphene with the Astaloy CrM
113 powders while the graphite-containing samples were supplied as a pre-mix by the supplier.

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121 Table 2. Process parameters and post – sintering treatments employed in this study.

Sample	Powder	SEI [kJ/g]	P _{start} [MPa]	P _{max} [MPa]	Finishing operations	Heat treatment
Y	100Cr6 commercial	-	-	-	Face milled	Yes
Z	100Cr6 commercial	-	-	-	Face milled	No
B	Astalooy CrM + graphite (0,97% C)	2,1	20	220	-	No
C	Astalooy CrM + graphite (0,97% C)	2,1	20	220	Grinded (0,05 mm)	No
D	Astalooy CrM + graphite (0,97% C)	2,1	20	220	Grinded (0,05 mm)	Yes, after grinding
E	Astalooy CrM + graphene (0,97% C)	1,9	20	235	-	Yes
F	Astalooy CrM + graphene (0,97% C)	2,2	20	281	-	Yes
G	Astalooy CrM + graphene (0,97% C)	2,2	20	279	-	No

122

123 After sintering, metallographic preparation was done by grinding with SiC based abrasive papers
 124 (from 200 to 2400 grit) and then polishing with cloths soaked with diamond based suspensions (from
 125 3 down to 1 μm). Both directions, parallel and perpendicular to the loading axis of the ESF machine
 126 were analyzed. Light optical micrograph have been obtained through a Leica MEF4M and porosity
 127 was analysed by image analysis through the software Qwin. Both micro and macro hardness were
 128 performed to evaluate the influence of porosity on the microstructure. Vickers micro hardenss was
 129 tested through a Leica VMHT with 200 and 500 gf load while macro hardness was tested on an
 130 EMCOTest M4U 025 adopting the HRN test method. Measured values were then converted by the
 131 machine itself to the HRC scale. Macro hardness was measured on unmounted samples in order to
 132 prevent the risk of the mounting resin to affect the measurement. By adopting this micro/macro
 133 hardness testing approach, the effect of porosity on microstructure could be separated. Mechanical
 134 properties were tested with three point bending test, as commonly done for PM materials.
 135 Transverse rupture strengths were measured on samples 20 X 10 X 4 mm with 15 mm distance
 136 between the constraints, a 20 N pre-load and a 0,08 mm/min elongation rate.

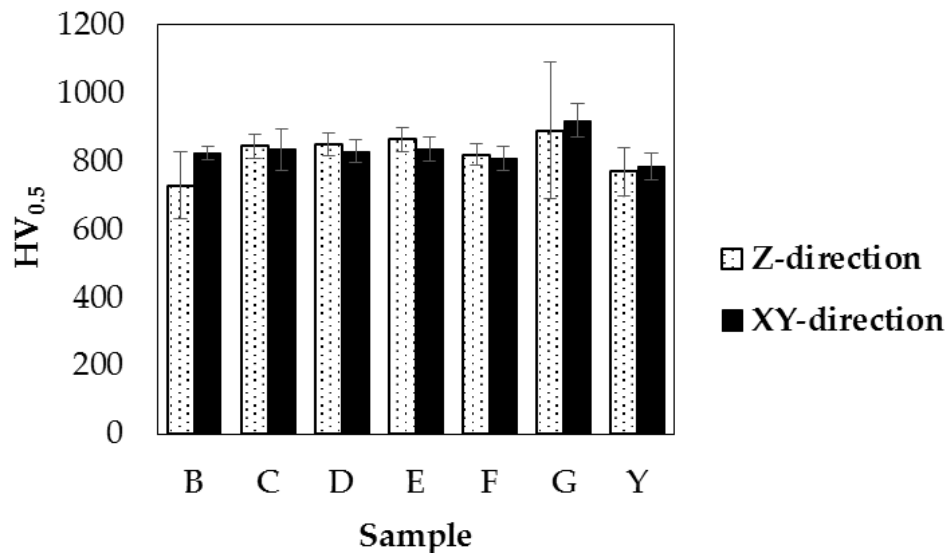
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138 3. Results and discussion

139 3.1 Microhardness and Macrohardness

140 Microhardness testing was performed at ambient temperature and the results for the tested
 141 samples are reported in Figure 2. The distinction between Z and XY directions is made referring to
 142 the pressing direction in the ESF machine, being Z the pressing direction and XY the plane
 143 perpendicular to it.

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Figure 2. Bar chart reporting the microhardness values of the different samples measured perpendicularly and parallel to the pressing direction.

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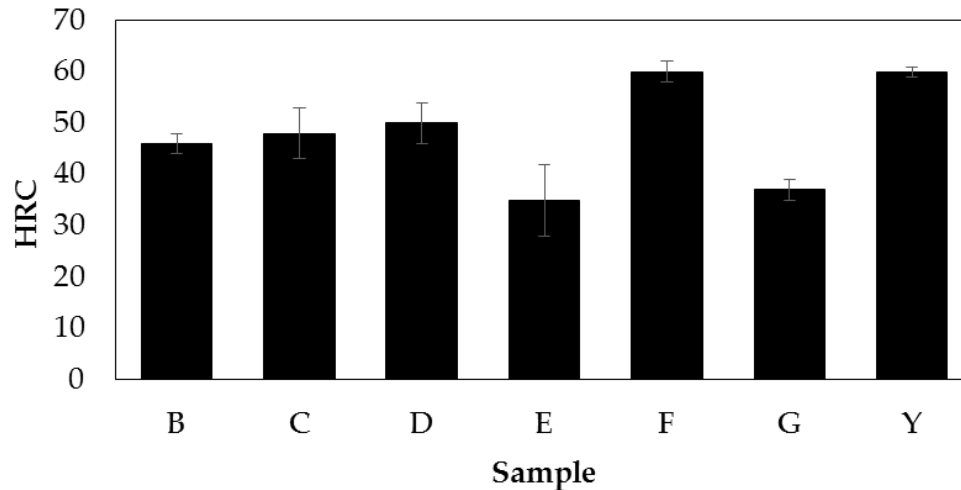
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The measured microhardness values of the ESFed samples are higher on an average value with respect to the commercial ones (Y sample in Figure 2) except for sample B. The difference among the samples is confirmed by the ANOVA general linear model ($P = 0,000$; $F = 6,65$) for $\alpha = 95\%$ confidence level. Furthermore, by comparing samples B, C and D having the same sintering parameters it is possible to conclude that the heat treatment after ESF does not influence the values of hardness while grinding increases the average microhardness probably due to the removal of the outermost layer, where residual pores concentrate more [18].

By comparing samples B and C, that differ only for the grinding, applied on sample C, a significant increase in the microhardness measured along the pressing direction on the grinded sample can be noticed. Samples G and F, with addition of graphene show lower microhardness only for the heat treated sample despite sintering conditions were comparable to those applied on samples B to D and higher values for the as-sintered sample. Despite the values of microhardness slightly differ for all samples depending on the direction analyzed, it is just for sample B that this difference is significant from a statistical point of view. From this analysis a first assessment can be drawn: the use of graphene does not seem to carry important benefits, especially in a cost/benefit prospective. Its cost is 30 times higher than graphite but the mechanical properties delivered are only blandly superior.

Macroscopic properties are shown by the HRC measurements reported in **Figure 3**. Due to pores at the surface, samples E and G are significantly different. Such porosities cause a drop in the measured hardness while they are not affecting Vickers microhardness. Sample F containing graphene and heat treated reaches the maximum average macro hardness, whereas sample E which also contains graphene and was heat treated (but not optimized in the ESF process parameters) contains a higher porosity which is responsible for a lower macro hardness.



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Figure 3. Bar chart representing the macroscopic hardness of the samples analysed.

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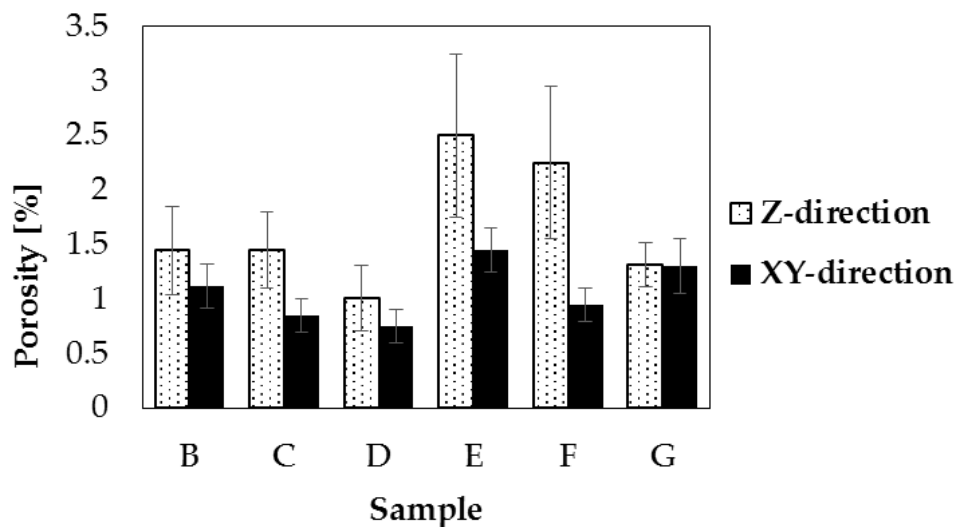
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Porosity was measured from image analysis and the results for the different direction analysed are reported in **Figure 4**. Commercial samples of 100Cr6 from casting/forging were not included in the results because their porosity was null. Porosity measured in the pressing direction (z direction) is higher than porosity in the XY, this behavior can be attributed to the deformation direction during pressing.



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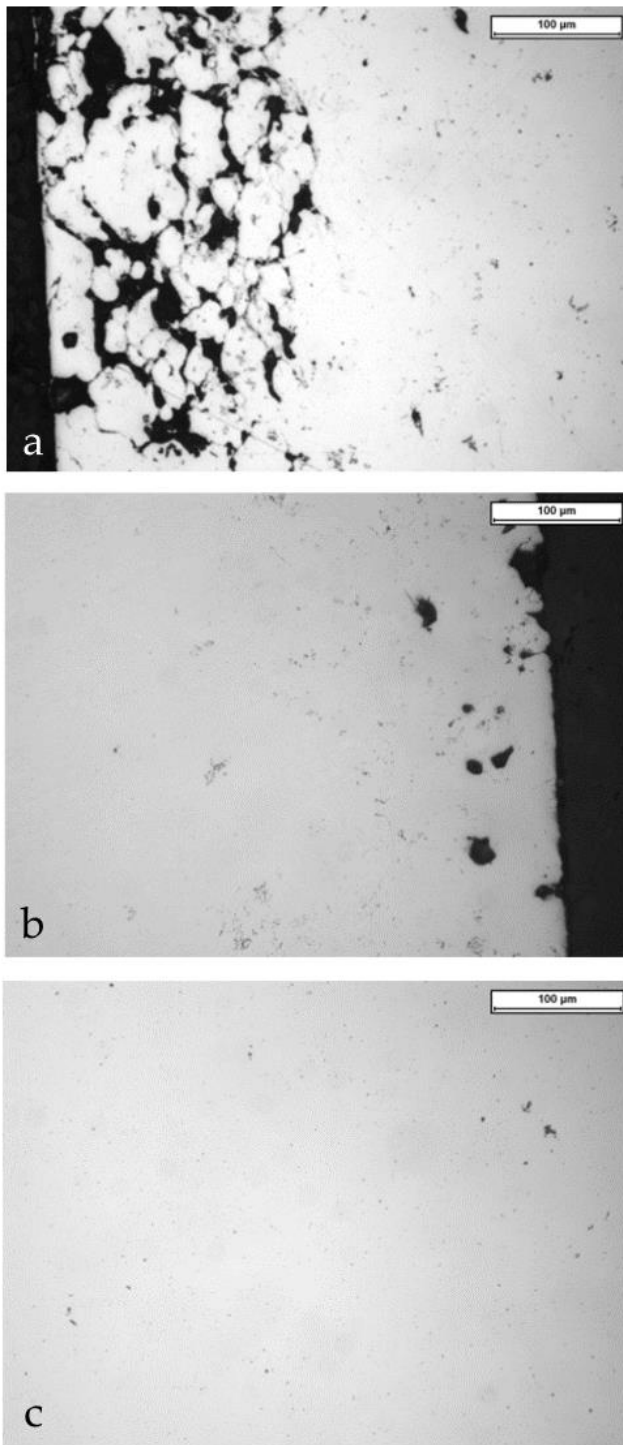
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Figure 4. Bar chart representing the results of porosity measured on ESF samples.

The evaluation of porosity was done after polishing the samples progressively and analyzing porosity over different layers of the sample to measure a volumic average. Porosity roughly represents the fraction of void volume over total volume. Pore structures like pore size, morphology and distribution of porosity within the pressed part present critical items in the load bearing sections, which mean the main controlling mechanism of the mechanical properties result [20-23]

A significant aspect to point out is that graphene containing samples have a higher porosity, this evidence is another clue to consider if a choice between graphite and graphene as carbonaceous element has to be made. The micrographs of **Figure 5a** reveals that towards the edge of the sample, along the Z direction, a relevant degree of porosity of approximately 50 – 100 μm is detectable in sample E.



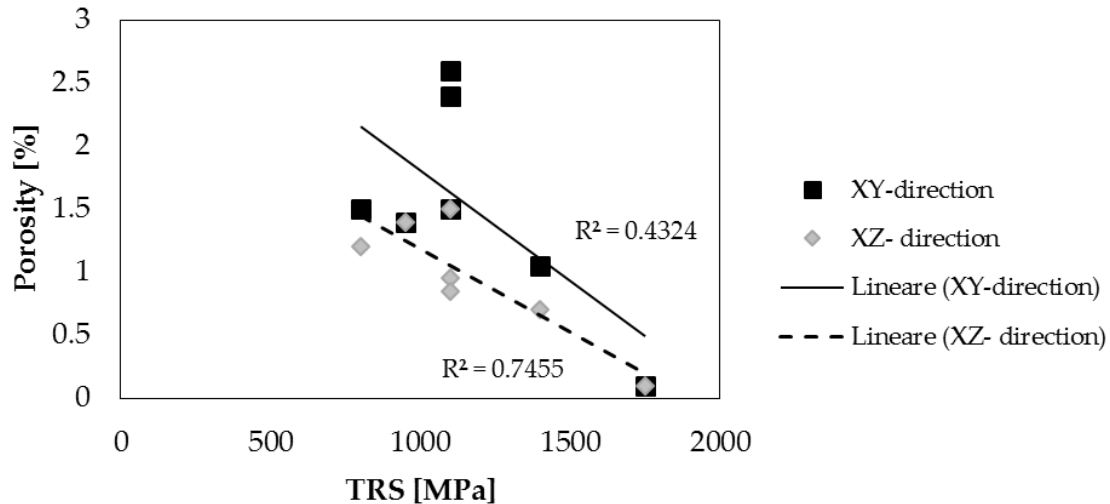
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194 **Figure 5.** Optical micrograph of samples obtained through ESF. a) edge of sample E along
195 direction Z, b) edge of sample B along direction Z, c) core of sample F.

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197 Figure 5a explains the high level of porosity measured. Similar considerations can be made for
198 sample F, whose edge is similar to that of E. The core of sample F, on the other hand is fully dense
199 (Figure 5c), confirming that materials sintered via ESF can suffer from porosity in the edge but not at
200 the core.

201 Currently, process parameters need to be further fine tuned because excessive porosity could lead to
202 early failure of the sintered component although a grinding of 100 μm is be enough to remove the
203 porous layer.

204 A correlation was verified between TRS and porosity (**Figure 6**), it seems that the porosity in the
205 direction perpendicular to the plungers (XZ-plane) has a relevant effect on TRS. Porosity measured

206 along the XZ – plane is much more significant than porosity measured along the XY plane as
 207 justified by the R-squared value of 0,7455. From this observation it can be said that an increasing
 208 level of porosity negatively affects mechanical properties and from a provisional point of view it is
 209 possible to predetermine with a certain accuracy the TRS of an ESFed Astaloy CrM sample, based on
 210 a linear relation.
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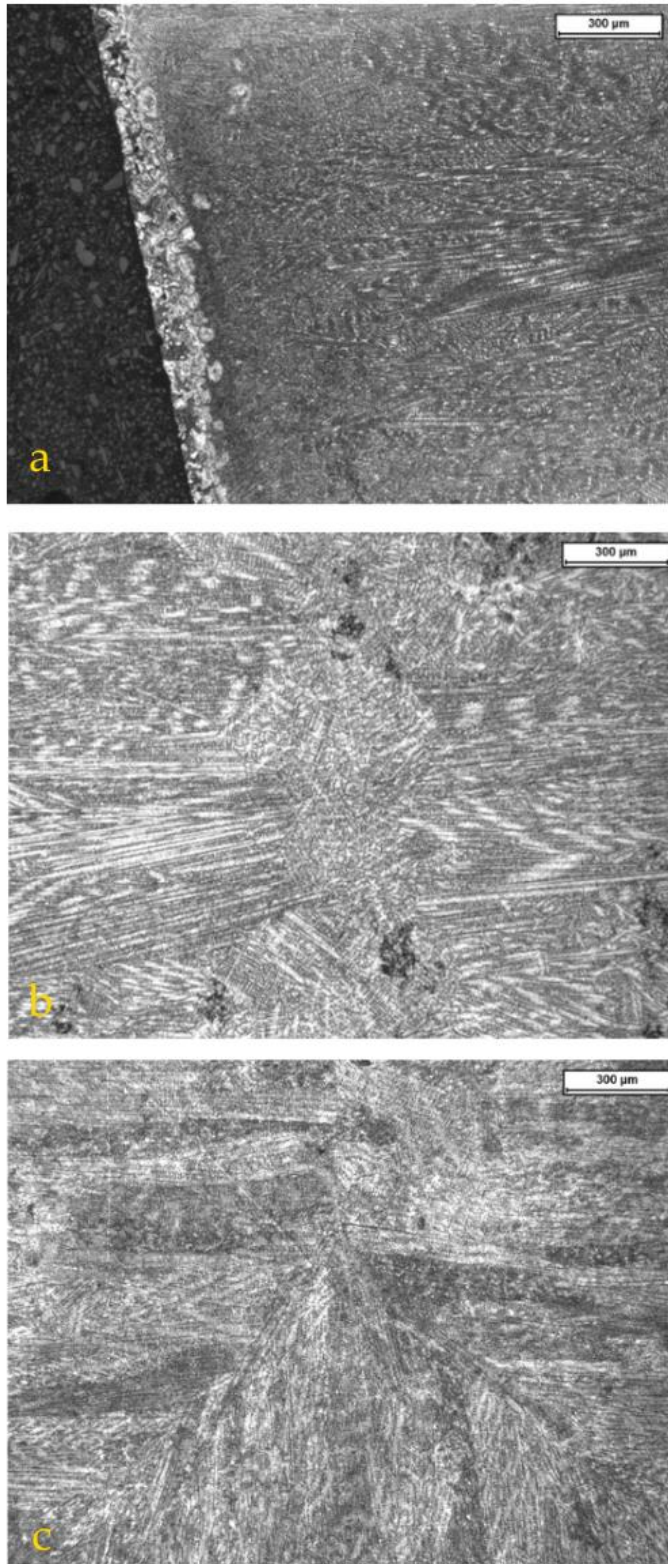


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 213 **Figure 6.** Optical micrograph of samples obtained through ESF. a) edge of sample E along
 214 direction Z, b) edge of sample B along direction Z, c) core of sample F.
 215

216 Chemical etching with Nital 2 evidenced the microstructure of the samples, such microstructures
 217 represent the traces of the thermal history that the loose compact of powders have undergone during
 218 electro sinter forging. Samples B and G, whose microstructure are reported in **Figure 7**, were molten
 219 in the core but not at the surface. If the whole compact of powders had molten during ESF, the high
 220 impulsive forces applied by the plungers would have squeezed the liquid out of the die thus
 221 damaging the tooling. The choice of the process parameters (P_{max} , P_{start} and SEI) is a crucial part of
 222 ESF to prevent undesired failures and compromission of the dies. On one side, too low values of the
 223 process parameters are not effective in densifying the compact of powders while too high
 224 parameters (especially SEI) can melt the whole compact of powders with the risk of welding the
 225 material under process and the die.

226 The surface layer (approx. 150 μm) is mainly ferritic (light coloured) having a certain degree of
 227 retained pores (**Figure 7a**). Such observation is not intuitive if we consider the composition of the
 228 Astaloy CrM + graphite. The microstructure of a steel with 1% carbon would mainly be made of
 229 martensite or pearlite and no isolate ferrite should be present, but in this case the temperature at the
 230 edge of the ESFed sample does not reach the limit for diffusion and alloying to occur. The
 231 temperature reached at the interface between plungers and powders is lower with respect to that
 232 reached at the core of the sample thus the mix of Astaloy CrM and graphite does not alloy
 233 completely, with graphite and powders that stay separate leading to a higher degree of surface
 234 porosity.

235 Moving towards the core of the sample it is possible to observe a dendritic like microstructure, with
 236 dendrites developing towards the direction where heat is dissipated. Such microstructure is caused
 237 by the high amount of heat that locally melts the internal part of the loose powders contained in the
 238 die and then is dissipated towards the plungers and the die itself. The core is melted instantaneously
 239 and rapidly solidified again.
 240



241
242 **Figure 7.** Optical micrograph of the microstructure of samples obtained through ESF on the XZ
243 plane. a) edge of sample B, b) core of sample B, c) core of sample G.
244

245 5. Conclusions

246 In this experimental work an innovative electrically assisted fast sintering technique named ESF
247 was used to densify a steel grade with a composition close to that of AISI 51200, commonly known
248 as 100Cr6. For the first time it was possible to sinter this material that conventionally is obtained by

249 casting/forging only. Such result can be disruptive considering the very fast production rate of ESF,
250 With this technique small components can be successfully produced with near net shape.
251 With particular reference to the experimental data presented, the following points represent the
252 main achievement reported towards this work:

- 253
- 254 • Astaloy CrM powders were successfully mixed and then alloyed with graphite or graphene to
255 obtain samples with the same carbon content of 100Cr6 bearing steel. Both graphite and
256 graphene are effective in raising the carbon content in the starting powders but based on the
257 compromise between cost and performance of the materials, it is reasonable to suppose that
258 graphite can be a much better and affordable solution.
 - 259
 - 260 • Typical microstructures obtained by ESF were observed in the sintered samples, presenting a
261 core – rim microstructure distinctive of ESFed materials. By properly tuning the process
262 parameters a fully dense material is obtainable but a cautious evaluation has to be done in order
263 to densify the material without damaging the machine. Surface finishing the sintered samples
264 by grinding has to be taken into account in order to remove the porosity that concentrates in the
265 outermost layers of material.
 - 266
 - 267 • High values of hardness, compatible with a quenched material were observed after ESF. Heat
268 treating the material was not effective to further increase its hardness.
 - 269
 - 270 • A linear correlation between porosity on the XZ plane and TRS was found for the tested
271 samples. With porosity negatively affecting the mechanical properties.
 - 272

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275 validation, J.B., M.A.G.; formal analysis, F.S.G.; resources, A.F. and M.A.G; funding acquisition, J.B.”.

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