

1 Article

2 New Developments in Understanding Harper-Dorn, 3 Five- power Law Creep and Power-law Breakdown

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8 **Abstract:** This paper discusses recent developments in creep, over a wide range of temperature, that
9 may change our understanding of creep. The five-power law creep exponent (3.5 to 7) has never
10 been explained in fundamental terms. The best the scientific community has done is to develop a
11 natural three power-law creep equation that falls short of rationalizing the higher stress exponents
12 that are typically five. This inability has persisted for many decades. Computational work
13 examining the stress-dependence of the climb rate of edge dislocations we may rationalize the
14 phenomenological creep equations. Harper-Dorn creep “discovered” over 60 years ago has been
15 immersed in controversy. Some investigators have insisted that a stress exponent of one is
16 reasonable. Others believe that the observation of a stress exponent of one is a consequence of
17 dislocation network frustration. Others believe the stress exponent is artificial due to the inclusion
18 of restoration mechanisms such as dynamic recrystallization or grain growth that is not of any
19 consequence in the five power-law regime. Also, the experiments in the Harper-Dorn regime, which
20 accumulate strain very slowly (sometimes over a year) may not have attained a true steady state.
21 New theories suggest that absence or presence of Harper-Dorn may be a consequence of the initial
22 dislocation density. Novel experimental work suggests that power-law breakdown may be a
23 consequence of a supersaturation of vacancies which increase self-diffusion.

24 **Keywords:** Creep; Five power-law; Harper-Dorn; Power-law breakdown

25 Introduction

26 This paper will review recent work that appears to allow a better understanding of the basis of
27 elevated temperature creep in single phase ceramics, minerals, metals and class M (pure metal
28 behavior) alloys. This paper does not directly address multiphase materials such as super alloys and
29 dispersion-strengthened alloys. Elevated temperature creep can be described by examination of
30 Figure 1 for high purity aluminum. This data was compiled by Blum [1,2] and represents trends in
31 steady-state creep behavior that are widely accepted. This plot describes steady-state creep where
32 hardening processes are believed to be exclusively balanced by dynamic recovery. Figure 1 shows
33 three regions of steady-state creep behavior ranging from:

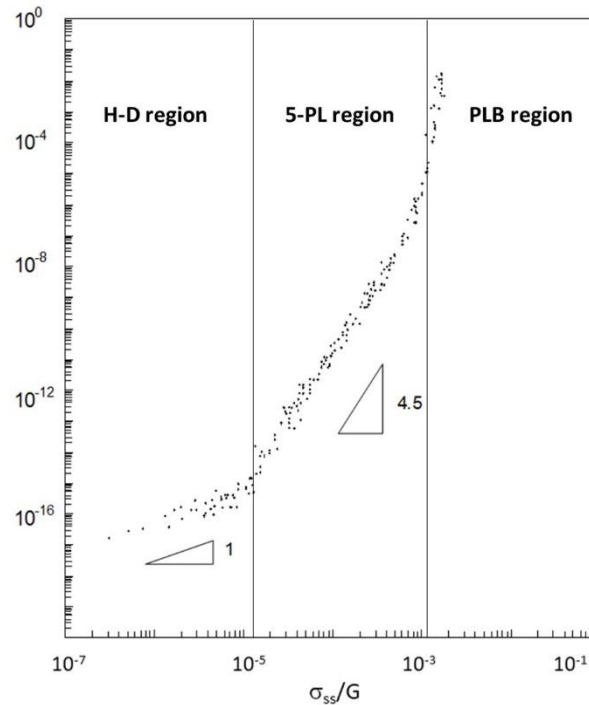
- 34 1.) temperatures near the melting point with a slope of 1 (stress exponent of 1), (HD).
- 35 2.) temperatures above approximately $0.6T_m$ where the constant slopes for crystalline materials vary
36 from 3.5-7 (5-PL).
- 37 3.) Below about $0.6T_m$ the stress exponent is no longer constant and power-law- breakdown is
38 observed (PLB) This is sometime referred to as intermediate temperature creep that extends to
39 roughly $0.3 T_m$.

40 *****The point here is that each of these regions have been poorly understood in the past. More
41 recent work appears to offer clarification of the creep mechanisms for each of these regions. Each
42 section will be described separately.

43

44 General Creep Plasticity Considerations and Five Power-Law Creep

45 Before, however, each section is described, there are some general features that Harper-Dorn
 46 and five power-law creep (and perhaps PLB) have in common. First, there are three microstructural
 47 dislocation features that are often evident in the three creep regimes. These are the Frank-network
 48 dislocation density, subgrains that may or may not be present and the misorientation across
 49 boundaries (related to the spacing of the dislocations in the subgrain boundaries). Work by the author
 50 [4,5] showed that the rate-controlling process for plasticity in



51 **Figure 1.** The compensated steady-state strain-rate versus the modulus-compensated steady-state
 52 stress for 99.999 pure Al, based on [1,2].

53 These regimes involved the Frank networks. Creep in both regimes can be described without
 54 consideration of the presence of subgrains. Several other investigators, Evans and Knowles [6], Ardell
 55 [9], Northwood et al. [8] Lagneborg et al. [10], Burton [41] and many others also considered Frank
 56 networks the microstructural feature associated with the rate-controlling process for elevated-
 57 temperature creep. The author further described a generalized equation based on the network model
 58 of Evans and Knowles [6]. This will turn out to be relevant to both the Harper-Dorn regime and the
 59 five power-law regime and possibly the power-law breakdown (PLB). The author wrote a detailed
 60 derivation of the network model [11]. The derivation is lengthy and only the highlights will be
 61 presented here:

62 First the climb velocity, v_c , must be calculated and the original expression comes from Weertman
 63 [12]. v_c is determined from the vacancy concentration gradient influenced by the fact that the
 64 formation energy for a vacancy is altered by the climb (applied) stress.

$$v_c = \frac{2\pi D_L}{\Omega} \left[\frac{\Omega \sigma}{kT} \right] \ln(R_0/b) \quad (1)$$

65 where Ω is the atomic volume, D_L is the lattice self-diffusion coefficient, and R_0 is the diffusion
 66 distance. The activation energy for both five-power-law creep (5PL) and low stress creep [so-called
 67 Harper-Dorn (HD) creep] is that for lattice self-diffusion suggesting that in both regimes, edge
 68 dislocation climb is rate-controlling (in PLB, the activation energy appears to decrease from that of
 69 the 5-PL and HD regimes [44,57]). Note in particular that the stress exponent = 1 in Eq. (1).

70 Weertman suggests that the approximate average dislocation velocity is:

$$v' \sim v_c x_g / x_c \quad (2)$$

71 where x_c is the climb distance and x_g is the glide distance. Also,

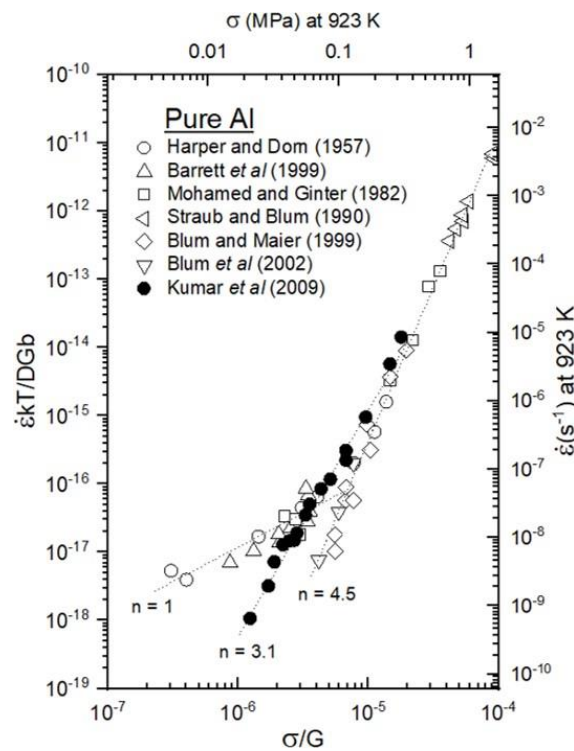
$$\dot{\epsilon}_{SS} = \rho_m b v' \quad (3)$$

72 where ρ_m is the mobile dislocation density. It has been phenomenologically observed that
73 frequently in the five-power regime,

$$\rho = (\sigma_{ss}/Gb)^p \quad (4)$$

74 This is not the Taylor equation although it is frequently claimed such since many believe that p
75 is about 0.5. Actually, the proper strengthening equation is:

$$\sigma_y|_{\dot{\epsilon}, T} = \sigma_0' + \alpha M G b (\rho)^{1/2} \quad (5)$$



76

77 **Figure 3.** A comparative presentation of the main studies on pure Al at very low stresses and at
78 temperatures close of $0.99 T_m$.

79 where σ_0 is the strengthening effect of solutes/impurities, Peierls stress, etc. and α is a constant
80 and varies from 0.2 to 0.4 among metals. It should be pointed out that even in high purity Al (99.999%
81 pure), σ_0 can be a significant (e.g., over half) contributor to elevated temperature strength. That is, the
82 strength at a fixed temperature and strain rate is the sum of an athermal (dislocation hardening) and
83 the thermally activated term σ_0' .

84 Weertman suggested that the total dislocation density is equal to the mobile dislocation density
85 (probably a bit unrealistic) which leads to:

$$\dot{\epsilon}_{SS} = K D_{sd}/b^2 G \Omega / kT (x_g/x_c) (\sigma/G)^3 \quad (6)$$

86 which is often referred to as the "natural three power law" equation. *The problem is that the
87 stress exponents in the "five-power law regime" are 3.5-7 and typically five. The exponent for high
88 purity aluminum in Figure 2 is about 4.5. The creep plasticity community has not been able to derive
89 an equation for five-power law creep and this has been a deficiency for a very long time. The author
90 also showed that in both Cu and Al, long-range internal stresses are not evident and the only the
91 applied stress is relevant [22].

92 The So-called Harper-Dorn Regime

93 Blum's plot in Figure 1 also suggests that at very low stresses (often in association with high
94 temperatures) a new creep regime has been reported (over 60 years ago) that was termed Harper-
95 Dorn (HD) creep [13] where:

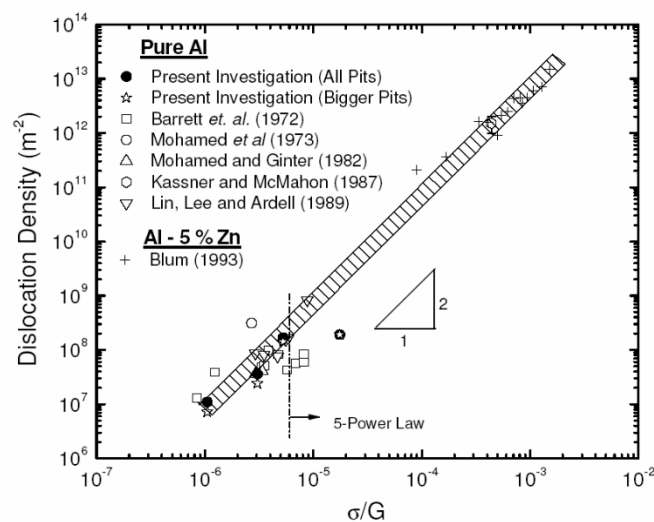
$$\dot{\epsilon}_{ss} = A_{HD} \left(\frac{D_{sd} Gb}{kT} \right) \left(\frac{\sigma}{G} \right)^1 \quad (7)$$

96 where A_{HD} is a constant and the other terms have their usual meanings. Many investigators
97 observed that unlike the higher stress five-power law regime, the steady-state dislocation density is
98 constant in the so-called Harper-Dorn regime. The power of one has been observed in a variety of
99 materials including Al [5,6,7,37], Ti [36], Fe [34], Co [38], Zr [35], and Sn [37]. It has also been
100 suggested to be observed in ceramics such as CaO [28], UO_2 , [29] MgO [30,31], NaCl [32,33] and also
101 ice [39]. In the case of Al and Pb [37], a failure to observe Harper-Dorn occurred, and five power-law
102 was observed instead at stresses associated Harper-Dorn observations. The early low-stress
103 experiments, primarily of metals, indicated that Harper-Dorn includes:

- 104 1. activation energy about equal to lattice self-diffusion,
- 105 2. grain-size independence with grain boundary shearing,
- 106 3. steady-state stress exponent of one,
- 107 4. dislocation density that appears independent of stress, and
- 108 5. a primary creep stage.

109 Items 2 and 5 were considered important as these preclude Nabarro-Herring diffusional creep
110 [3] (which may not exist). There have been several theories to justify Eq. 7 [14–18] and all, basically,
111 suggest that since ρ is constant, only dislocation climb affects the stress exponent with a value of one
112 (Eq. 1). Interestingly, more recent work by the author and others [20,21,25] indicates that at least
113 under some conditions, the stress exponent is larger than one. The author's work suggest that this is
114 a consequence of a non-constant dislocation density. In fact, for Al single crystals deformed in the so-
115 called Harper-Dorn regime, the exponent "p" is roughly two giving rise to a stress exponent of about
116 three. It is possible that in some cases at high (Harper-Dorn) temperatures and/or low stresses, the
117 network dislocation density is not constant as widely presumed.

118 The author speculated [23,25] that variation in the stress-exponent behavior in the Harper-Dorn
119 regime might be explained by the value of the starting dislocation density in the material (just before
120 the application of a stress) and the observation that even after annealing single crystals for a year, the
121 dislocation density remains a constant; it reaches a "frustration" value (ρ_f). Further annealing cannot
122 decrease below the value of ρ_f even after annealing at very high temperatures for over a year in the
123 three materials examined, LiF, NaCl and Al, all single crystals.



125 **Figure 4.** The stress dependence of the dislocation density in Al is measured by etch-pits at lower
126 stresses and transmission electron microscopy (TEM) at higher stresses. The dislocation density does
127 not always remain constant in the low-stress (HD) regime. The dislocation density increases with
128 stress and it is consistent with the projected values based on 5-power-law trends.

129 and Al single crystals. The frustration dislocation density is fairly low and initial values of ρ
130 just before applying the stress at elevated may be *higher* than the frustration value as illustrated in
131 Figure 3. But also, as the author discovered [20] for Al, the initial dislocation density can be *lower* than
132 ρ_f . Nes and Nost [24] discovered that very slow cooling of Al from the melt can produce dislocation
133 densities that are especially low, significantly below the frustration dislocation density. (In contrast,
134 Figure 5, shows that the initial dislocation density is relatively high for LiF.) Nes and Nost [24] also
135 found a stress-dependency of the dislocation density in the Harper-Dorn regime; dislocation density
136 measurements using x-ray topography showed that ρ was dependent on stress by $\sigma^{-1.3}$. Thus, in two
137 cases [20,24], it appears that if the starting dislocation density is below ρ_f , then Harper-Dorn creep
138 may not be observed and that (regular or normal) five-power law creep is observed instead. Blum et
139 al., also observed five-power law creep in Al, but did not determine the initial dislocation density.
140 Additional experiments were performed by McNee and coworkers [40] on 99.999 pure Al. These
141 investigators, consistent with Blum et al. and the author's work, observed the extension of five-
142 power-law creep into Harper-Dorn regime.

143 Most recently, Singh et al. [25] were the first to discover that low stress/high-temperature creep
144 in LiF is consistent with Harper-Dorn creep (i.e., Eq. 7). Work on Pb by Mohammed et al. [11] also
145 found that this metal does not evince Harper-Dorn creep. Dislocation densities were not measured.
146 Fig 4. Plots the dislocation density of Al deformed to steady state both within the five-power law and
147 the Harper Dorn regime. Clearly *all* of the dislocation data does not reflect a constant value, despite
148 *individual* studies in isolation having been suggested by the investigators to have a constant value.
149 The Harper-Dorn creep region dislocation data continuously decreases consistent with the decreases
150 in the five power-law regime.

151 Mohammed et al. [11,42] reported that the microstructure of the 99.9995 Al includes wavy grain
152 boundaries, an inhomogeneous dislocation density distribution as determined by etch pits, small new
153 grains forming at the specimen surface and large dislocation density gradients across grain
154 boundaries. Well-defined subgrains were not observed. However, they found that the microstructure
155 of the deformed 99.99 Al consists of a well-defined array of subgrains and exhibits five power law
156 behavior. These observations led Mohammed et al. to conclude that the restoration mechanism taking
157 place during so-called "Harper-Dorn creep" includes discontinuous dynamic recrystallization (DRX)
158 in addition to dynamic recovery, at least in Al. This restoration mechanism could give rise to the
159 periodic accelerations observed in the creep curve. It was suggested that it is difficult to accurately
160 determine the stress exponent due to the appearance of periodic accelerations in the creep curves.
161 However, Mohammed et al. [42] claimed that $n = 1$ exponents are only obtained if creep curves up to
162 small strains (1–2%) are analyzed, as was typically the case in the past. Mohamed et al. estimated
163 stress exponents of about 2.5 at larger strains for high purity polycrystalline DRX specimens of Al.
164 Also, Singh et al. [23] in 99.999% pure LiF single crystals observed a power-1.5 behavior [57] in the
165 HD regime. The exponent was independent of strain and the dislocation density is constant for a
166 fixed stress for a period of over one year.

167 It is important to utilize single crystals in HD experiments as grain boundary migration can be
168 an independent restoration mechanism [48]. Care must also be taken to ensure that discontinuous
169 dynamic recrystallization is not occurring in Al as DRX may occur even at ambient temperature albeit
170 at relatively large strains. [45,46]. It is possible that Mohammed et al. observed grain growth as an
171 additional restoration mechanism rather than DRX [43]. Kumar et al. [20] never observed DRX nor
172 subgrains in Al single crystals deformed in the HD regime and observed a stress exponent slightly
173 over 3. Power-one is legitimate in some cases even in the absence of restoration mechanisms that are
174 not significant in five power-law creep where steady-state is a balance between *dynamic recovery* and
175 hardening. It should be pointed out that McNee et al. [40] also tested Al of both 99.999 and
176 99.99% purity and did not observe Harper-Dorn behavior with either purity. It should be mentioned

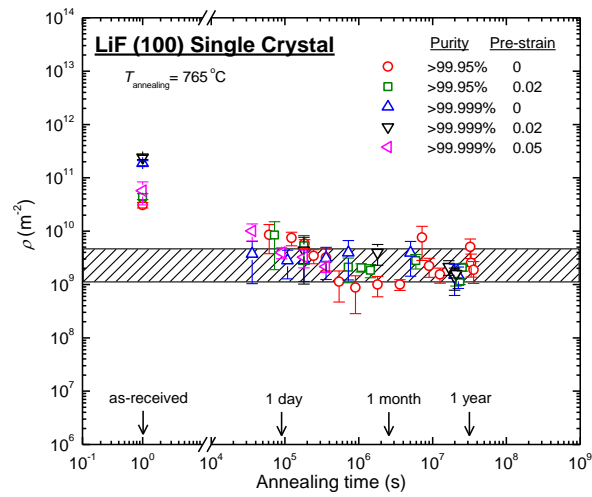
177 that Blum et al. [21] did not confirm Harper-Dorn creep in 99.99% pure oligo-crystal aluminum.
178 Rather they observed normal five-power law creep extend to very low stresses within the HD regime
179 [21]. The starting dislocation density was not measured. According to Blum et al., one explanation
180 for others not observing stress exponents of one (Harper-Dorn creep) is that there was insufficient
181 strains to reach steady-state.

182 Figure 3 demonstrates that whenever the starting dislocation density is at or greater than ρ_f , then
183 substantial hardening may not be observed as the dislocation density *reduces* to a frustration value
184 (ρ_f) and a low (e.g., 1-2) stress exponent is observed. The as-grown material will recover some of the
185 dislocation density before the application of the stress once the as-received material equilibrates to
186 the test temperature. However, if the dislocation density is low then hardening can occur and
187 (normal) five-power law behavior is observed. The basis for dislocation network frustration is
188 unclear. Certainly, further decreases from ρ_f is thermodynamically favored. Ardell [9] proposed that
189 coarsening of the network requires that Frank's rule is satisfied at the nodes; the sum of the Burgers
190 vectors must always sum to zero. Ardell suggested that frustration occurs when further coarsening
191 is impossible when Frank's rule can no longer be satisfied.

192 The LiF data is illustrated in Figure 6. It can be noted that the plot suggests a stress exponent of
193 about 1.6, as mentioned earlier, in the HD regime (which is greater than the value of one in Eq. 7).
194 Interestingly, other materials such as Cu and CaO [28] deformed in the HD regime also have values
195 closer to 2 than 1. Recent work by Momprou et al. and Kabir et al. [26,27] suggested that the climb
196 velocity dependence on the stress has a stress exponent *greater* than 1 based on computational
197 modeling of the climb process. Thus, there may be inaccuracy in Eq. 1. The stress exponent of 1 in Eq.
198 7 is a consequence of the effect of the applied stress on the dislocation climb-rate based on the analytic
199 projection by Weertman. The deviation of the stress exponent may imply that the stress exponent for
200 five power-law creep and Harper-Dorn creep (constant dislocation density with changes in stress)
201 could be both higher than 3 (Figure 1) and 1, respectively. It should be mentioned that Harper and
202 Dorn did not use the applied stress in obtain a stress exponent of one for polycrystalline Al. Rather
203 they subtracted a surface tension stress from the applied stress. The extrapolation of the stress to zero
204 strain-rate results in a positive stress. Other investigation did not observe this. Had Harper and Dorn
205 not subtracted this stress, a stress exponent of three would have been observed. Overall, it appears
206 that Harper-Dorn (stress exponents of one) is not commonly observed in Al as widely believed.

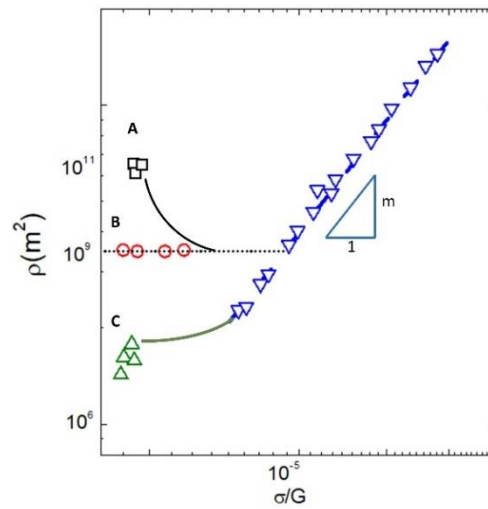
207 Power law Breakdown

208 There have been various explanations for PLB [50–54]: (1) it has been proposed that there is a
209 change in the rate-controlling mechanism of steady-state plastic flow from dislocation climb-control
210 in 5-PL to glide-control in PLB. However, this proposition is sometimes based on the presence of
211 internal stress which does not appear to be reasonable as LRIS appears to be low in both the PL and
212 PLB regimes [22]; (2) only recently has vacancy supersaturation from plasticity been experimentally
213 verified in the PLB regime. The levels of supersaturation appear sufficient to create higher creep-rates
214 and, thus, PLB. The excess vacancies would decrease the activation energy for diffusion, as observed
215 [44,57]; (3) the changes in the diffusion coefficient from D_{sd} to D_p with large strain plasticity within
216 the PLB regime may independently contribute to the observation of PLB [57]. Another point must be
217 made and that is references [55,56] experimentally verify vacancy supersaturation at ambient
218 temperature; other, somewhat higher temperatures, within PLB were not checked. Of course, higher
219 temperature x-ray diffraction experiments are more difficult than those at ambient temperature.
220 Perhaps future experiments could be performed at other temperatures within PLB to fully verify the
221 coincidence between the onset of PLB and vacancy supersaturation.



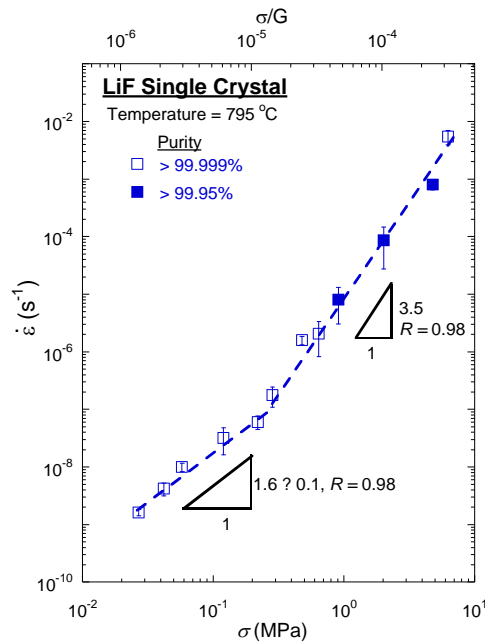
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Figure 5. Variation of the network dislocation density, as measured using etch-pit method, as a function of static annealing time.



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Figure 6. Schematic representation for the observed dislocation density behavior in the low-stress regime creep based on the initial dislocation densities.



228

229

Figure 7. Variation of the steady-state strain rate as function of the true stress in LiF.

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233 **Conflicts of Interest:** No.

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