

1 Article

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# Development of a Pressure Stable Inline Droplet 3 Generator with Live Droplet Size Measurement

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6 Karlsruhe Institute of Technology (KIT); [felix.preiss@kit.edu](mailto:felix.preiss@kit.edu)7 <sup>\*\*</sup> formerly Schuchmann8 \* Correspondence: [felix.preiss@kit.edu](mailto:felix.preiss@kit.edu)9 **Abstract:** For the research on droplet deformation and breakup in scaled high-pressure  
10 homogenizing units a pressure stable inline droplet generator was developed. It consists of an  
11 optically accessible flow channel with a combination of stainless steel and glass capillaries and a 3D  
12 printed orifice. The droplet size is determined online by live image analysis. The influence of the  
13 orifice diameter, the mass flow of the continuous phase and the mass flow of the disperse phase on  
14 the droplet diameter was investigated. Furthermore, the droplet detachment mechanisms were  
15 identified. Droplet diameters with small diameter fluctuation between 175  $\mu\text{m}$  and 500  $\mu\text{m}$  could be  
16 realized, which allows a precise adjustment of the Ca and We Number in the subsequent scaled high  
17 pressure homogenizer disruption unit. The determined influence of geometry and process  
18 parameters on the resulting droplet size and droplet detachment mechanism agreed well with  
19 literature on microfluidics. Furthermore, droplet trajectories in an exemplary scaled high-pressure  
20 homogenizer disruption unit are presented which show that the droplets can be reinjected on a  
21 trajectory close to the center axis or close to the wall, which should result in different stresses on the  
22 droplets.23 **Keywords:** droplet breakup; microfluidic droplet generation; live image analysis; orifice; high  
24 pressure homogenization

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## 1. Introduction

27 The research on high-pressure homogenizers started in the late 19<sup>th</sup> century with the first patent  
28 of a high-pressure homogenizer [1]. During the process, an emulsion is pumped through an extreme  
29 constriction with a pressure of several hundred bar, which results in an acceleration of the flow and  
30 exposes the droplets in the emulsion to elongational strain and shear stresses [2,3]. These deform the  
31 droplets and subsequently cause their breakup [4] into smaller droplets with a diameter of less than  
32 one micrometer [5]. Although the principle of high-pressure homogenizers did not change since the  
33 first patent, droplet breakup mechanisms are still under investigation to this day [6–17].34 Deformation and breakup of droplets in defined stationary flows is well investigated, starting  
35 with Taylor's work [18] in pure shear and elongation flow. Taylor found a dimensionless number  
36 that describes the droplet deformation and breakup behavior in laminar flow, which was later  
37 described as the Capillary Number  $Ca$  [19].

$$Ca = \frac{\eta_c \cdot u}{\sigma} \quad (1)$$

38  $Ca$  represents the ratio of the viscous drag force versus the interfacial tension, which acts across the  
39 interface of the two immiscible fluids. Here,  $\eta_c$  is the dynamic viscosity of the continuous phase,  $u$   
40 is the characteristic velocity and  $\sigma$  is the interfacial tension.41 Furthermore, Rayleigh [20] and Tomotika [21] investigated the breakup of long thin threads. An  
42 overview of possible breakup mechanisms can be found in [2]. They include end-pinchig, binary  
43 breakup, Rayleigh-Plateau-instabilities and tip streaming.

44 These findings from defined stationary flows cannot be directly transferred to droplet breakup  
 45 during high-pressure homogenization, as the flow field in the disruption unit of the high-pressure  
 46 homogenizer is complex with fast changing stresses from shear stress and elongational strain to  
 47 turbulent stresses acting on the droplets during their passage through the disruption unit [6,22–24].  
 48 This led to several authors focusing on the visualization of droplets in this specific processing unit.  
 49 Amongst them, Innings and Trägårdh [7] built the first optically accessible high-pressure  
 50 homogenizer disruption unit with a capillary in front of the smallest cross section to introduce the  
 51 disperse phase. They injected either a pre-emulsion with a wide droplet sizes distribution (DSD)  
 52 (5–50  $\mu\text{m}$ ) or the pure oil disperse phase through the capillary. When injecting oil, large droplets with  
 53 the diameter of the smallest cross section were produced. Increasing the flow velocity lead to an  
 54 unstable droplet production which resulted in wide DSD. Kelemen et al. [9] and Bisten et al. [6] used  
 55 a pre-emulsion with a wide DSD which was filled in a pipe coil and subsequently pumped through  
 56 an optically accessible HPH orifice.

57 Using an originally scaled setup allows for only partial investigation of the process. High  
 58 pressures (up to 1000 bar) and high velocities (up to 200 m/s) limit the optical accessibility of the  
 59 processing unit. Scaling of the process is a promising approach to increase the resolution and reduce  
 60 pressure and velocity at the same time [25]. Consequently, the droplets in the raw emulsion have to  
 61 be scaled, too. No stable raw emulsions can be produced, however, if the droplet diameter is bigger  
 62 than about 100  $\mu\text{m}$  as the droplets are creaming rapidly. This would make it impossible to pump  
 63 them from a pressure vessel the way Innings and Trägårdh [7], Kelemen et al. [9], and Bisten et al. [6]  
 64 did, leading to the necessity of an inline production of pre-emulsion droplets. Inning et al. [8] used a  
 65 capillary in the inlet of the disruption unit of a scaled high-pressure homogenizer (HPH) valve. Small  
 66 flow fluctuations allowed for the produced droplets' size to range from 0.2 to 1.5 mm. Kolb et al. [26]  
 67 carried out their experiments with a not further specified droplet injection in their low pressure scaled  
 68 HPH orifice which produced droplets with a size range of 2–3 mm. Budde et al. [27] injected single  
 69 droplets using a capillary with an attached syringe in their scaled setup in which the trajectory of the  
 70 droplet could be altered by moving the capillary in and out.

71 In contrast to the above mentioned droplet production methods with either wide DSD or only  
 72 single droplet production, a large number of monodisperse droplets can be continuously produced  
 73 in microfluidic devices with co-flowing, cross-flowing or elongation dominated streams [28]. These  
 74 devices can be produced of several materials like PDMS, polyurethane-based polymers, silicone or  
 75 glass, which all have their advantages and disadvantages [29]. Nevertheless, probably most of them  
 76 can only be operated at pressures close to ambient pressure.

77 During the droplet production, the local fluid stress, which is deforming the emerging droplet,  
 78 is working against the capillary pressure, which maintains the spherical shape [28]. The Capillary  
 79 Number  $Ca$  is therefore also used to characterize the droplet breakup from the tip of the capillary in  
 80 microfluidic devices. Furthermore, the Weber Number  $We$  can be used to characterize the droplet  
 81 production if the capillary pressure and inertia stresses are dominating over the viscous stresses  
 82 [28,30].

$$We = \frac{\rho \cdot d \cdot u^2}{\sigma} \quad (2)$$

$$We = Ca \cdot Re \quad (3)$$

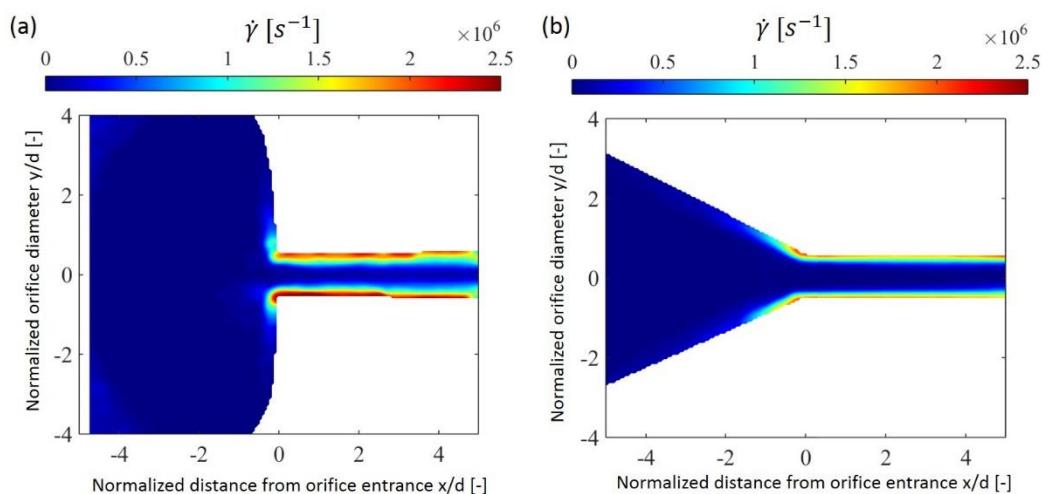
83 The Weber number is linked to the Capillary and Reynolds number:

$$We = Ca \cdot Re \quad (4)$$

$$\text{with } Re = \frac{\rho_c u L}{\eta_c} \quad (5)$$

84  
 85 Where  $u$  is the velocity in the orifice,  $d$  the orifice diameter,  $\rho_c$  the density of the continuous phase  
 86 and  $\eta_c$  the dynamic viscosity of the continuous phase

87 There are two droplet breakup mechanisms known for droplet generation in microfluidic devices,  
 88 namely dripping and jetting. During the dripping regime on the one hand, the disperse phase is  
 89 injected at low flow rates whereby the produced droplets drip from the tip of the capillary [30]. In a  
 90 first phase, the droplets grow and remain spherical, while in the following separation phase, the  
 91 forces acting on the droplet are increasing, and a neck is formed. Subsequently, the droplet is  
 92 detached from the tip. On the other hand, if the disperse phase is injected at higher flow rates, a thin  
 93 jet is formed at the tip which may breakup due to Rayleigh-Plateau instabilities [31,32]. Both regimes  
 94 are capable to produce droplets with a minimal droplet diameter variance [31,33]. The droplet  
 95 generation frequency in such microfluidic devices can range from 1 to 50 Hz [33], which increases the  
 96 probability to take a snapshot of droplets in the orifice.



97

98 **Figure 1.** Shear stresses  $\dot{\gamma}$  in an optically accessible orifice with a conical (a) and sharp-edge (b) inlet  
 99 geometry. The Figure was taken from Bisten et al. [6].

100 Droplets are exposed to fast changing stresses depending on their trajectory during their passage  
 101 through the disruption unit of a high-pressure homogenizer. Figure 1 illustrates that droplets are  
 102 exposed to higher shear forces on a trajectory close the wall compared to droplets which flow on the  
 103 center axis. The stresses on the droplets are increasing rapidly when entering the disruption unit.  
 104 Fast-changing stresses do not allow the droplets to reach the equilibrium state of deformation.  
 105 Subsequent droplet breakup will thus also be influenced. Knowledge cannot be derived directly from  
 106 former investigations under stationary conditions and constant stresses. For the investigation on the  
 107 influence of the  $Ca$  and  $We$  Number on droplet deformation, it is also necessary to alter the droplet  
 108 size by use of monodisperse emulsions. Furthermore, it was aimed at sending the pre-emulsion  
 109 droplets through the orifice on selected trajectories to be able to investigate the influence of  
 110 homogenization-typical stress histories on droplet deformation and break up. We followed the  
 111 principal idea of Innings et al. [8], but placed the droplet generator in a side stream to avoid flow  
 112 fluctuations in the inlet area. Also, a live droplet diameter measurement was implemented in the  
 113 droplet generator. The target of the developed experimental setup was to produce monodisperse  
 114 droplets within the size range of 200-400  $\mu\text{m}$ .

115 In this paper constructive details and parameters with which droplet size and trajectory can be  
 116 influenced are reported.

## 117 2. Materials and Methods

### 118 2.1. Materials

119 The continuous phase consisted of 58.823 wt.-% glycerol (purity 99.5%, SuboLab GmbH, Pfintzal  
 120 – Söllingen, Germany) and 40.877 wt.-% demineralized water, to which 0.2 wt.-% potassium sorbate  
 121 (VWR International GmbH, Darmstadt, Germany) and 0.1 wt.-% citric acid (Carl Roth GmbH + Co.

122 KG, Karlsruhe, Germany) were added. Newtonian flow behavior was determined in the shear rate  
 123 range of 0.2-600 s<sup>-1</sup> while a dynamic viscosity of  $\eta_c = 0.00942 \text{ Pa} \cdot \text{s}$  was measured with a rotational  
 124 rheometer (Anton Paar Physica MCR 301, Graz, Austria) at a temperature of 20 °C. A density of  $\rho_c =$   
 125  $1148.55 \text{ kg/m}^3$  at a temperature of 20 °C was measured with the density determination set DIS 11  
 126 (DCAT11, dataphysics, Filderstadt, Germany).

127 The disperse phase consisted of a middle-chain triglyceride Miglyol 812® (IOI Oleo GmbH,  
 128 Witten, Germany). The dynamic viscosity of the disperse phase was measured with a rotational  
 129 rheometer (Anton Paar Physica MCR 301, Graz, Austria) at a temperature of 20 °C to  $\eta_d =$   
 130  $0.02947 \text{ Pa} \cdot \text{s}$ . Newtonian flow behavior was determined within the shear rate range applied (0,1 –  
 131 1000 s<sup>-1</sup>). The oil had a density of  $\rho_d = 920 \text{ kg/m}^3$  according to the supplier's data sheet. The  
 132 interfacial tension was measured with a Wilhelmy plate (DCAT11, dataphysics, Filderstadt,  
 133 Germany). An equilibrium interfacial tension of  $\gamma = 15.5 \text{ mN/m}$  was found after a measuring time  
 134 of 2 h at a temperature of 20 °C. 0.012 wt.-% of the fluorescence color Nile red (9-(diethyl-  
 135 amino)benzo[a]phenoxazin-5(5H)-one, Sigma-Aldrich Chemie GmbH, St. Louis, USA) was added to  
 136 the MCT oil when performing droplet visualization experiments. The color did not result in  
 137 significant material property changes. The material properties are summarized in Table 1.

138 **Table 1.** Overview of all material properties.

$\rho_c$ / kg/m <sup>3</sup>	1148.55
$\rho_d$ / kg/m <sup>3</sup>	920
$\gamma$ / mN/m	15.5
$\eta_c$ / Pa · s	0.00942
$\eta_d$ / Pa · s	0.02947

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140 **2.2 Experimental Setup**

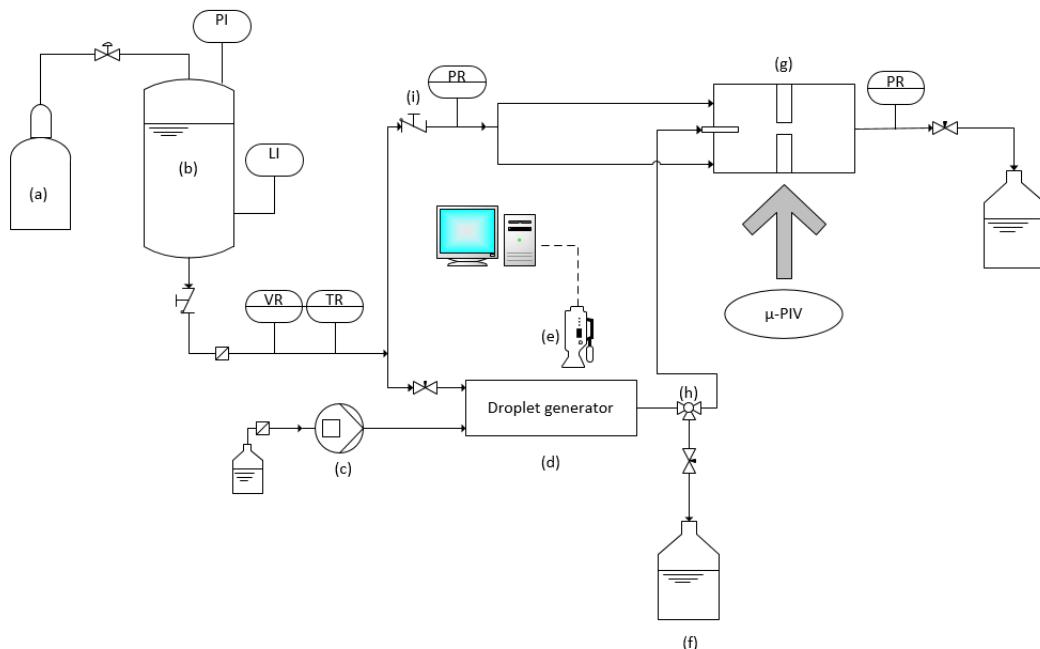
141 The experimental setup of the droplet generator is presented in Figure 2. The pressure vessel (*b*),  
 142 which contained the continuous phase, was pressurized by a pressurized nitrogen gas cylinder (*a*) to  
 143 pump the fluid through the setup. The pressure vessel ensured a pulsation free flow. The volume  
 144 flow and the temperature of the continuous phase were recorded continuously during the  
 145 experiments. A volume flow sensor (VSI 0,2/16 EPO 12V-32W15/4, VSE Volumentechnik GmbH,  
 146 Neuenrade, Germany) was used to determine the volume flow and consequently the mass flow of the  
 147 continuous water-glycerol phase ( $\dot{m}_{cont}$ ) during the experiments. The disperse oil phase was  
 148 pumped with a displacement pump (TECHLAB Minipumpe, TECHLAB GmbH, Braunschweig,  
 149 Germany) through the capillary in the droplet generator. The mass flow of the disperse phase ( $\dot{m}_{disp}$ )  
 150 was determined based on the revolutions per minute of the pump according to a prior calibration.  
 151 An industrial camera with a 2/3" CMOS-sensor with a resolution of 2448 × 2048 px (Baumer VCXG  
 152 51-M, Friedberg, Germany) was used to capture pictures with a framerate of 25 fps. The camera was  
 153 combined with a 4x zoom objective (Qioptiq Makro CCD, Stemmer Imaging AG, Puchheim,  
 154 Germany), resulting in a spatial resolution of 0.8 μm/pixel. A high-power LED was used as backlight.  
 155 The exposure time of the pictures was set to 15 ms to get sharp pictures of the fast-moving droplets  
 156 and 100 images were taken during one experiment, if all process conditions were in steady state. The  
 157 valve (*i*) was closed and the three-way valve was set to the collecting vessel when characterizing the  
 158 droplet generation and resulting droplet size. The volume flow was regulated with a needle valve  
 159 downstream the droplet generator. The produced emulsion can be reintroduced in front of a scaled  
 160 high-pressure homogenizer orifice with a steel capillary (Techlab GmbH, Braunschweig, Germany),  
 161 which had an outer diameter of 3.18 mm and an inner diameter of 2 mm, if the valve (*i*) is open. The  
 162 capillary can either be positioned on the center axis of the inlet channel or close to the wall of the  
 163 channel. The inlet channel had a height and width of 10 mm, respectively. The orifice of the scaled  
 164 disruption unit had a diameter of 1 mm with a prior conical inlet (cf. Figure 10 (c)). Scaling strategy

165 of the scaled high-pressure homogenizer disruption unit with the droplet positioning capillary will  
 166 also be published in this specific journal edition [citation to be added when available]. The droplets  
 167 have to enter separated from each other in front of the orifice to avoid influencing each other.  
 168 Furthermore, the disperse phase fraction has to be kept low to avoid an influence of the disperse  
 169 droplets on the flow. The reinjected droplets have to be accelerated to the speed of the surrounding  
 170 continuous phase before entering the disruption unit to achieve an unaffected stress history in the  
 171 disruption unit.

172 The colored droplets were visualized in the orifice with a high-speed camera setup of a micro  
 173 particle image velocimetry ( $\mu$ -PIV) measurement system, as described in detail in [6]. A ND:YAG laser  
 174 (Dual-Power 30-15 of Dantec Dynamics, Skovlunde, Denmark), with a pulse energy of 30 mJ, was  
 175 used to illuminate the images of the high-speed double frame camera (FlowSense 4M Camera Kit,  
 176 Dantec Dynamics, Skovlunde, Denmark). Double frame images were taken with a frequency of  
 177 7.4 Hz, a 12 bit resolution, and a sensor area of 2048 x 2048 pixels. The laser pulse duration of a few  
 178 nanoseconds prevented motion blur of the fast-moving droplets. The high-speed camera was  
 179 attached to a microscope (Dantec HiPerformance Microscope, Skovlunde, Denmark) which resulted in  
 180 magnification of 1.75. A spatial resolution of 6  $\mu$ m/px was achieved with this setup. The snapshots were  
 181 superimposed after image processing, as described in [9]. Detected objects with less than 20 pixels were  
 182 removed for noise reduction during the post processing in MATLAB 2019b (Mathworks, Nantucket,  
 183 USA).

184 As the double frame high-speed camera allowed only snapshots with a frequency of 7.4 Hz,  
 185 droplets had to be produced continuously. For this, a droplet generator with co-flowing streams was  
 186 developed and will now be described in detail.

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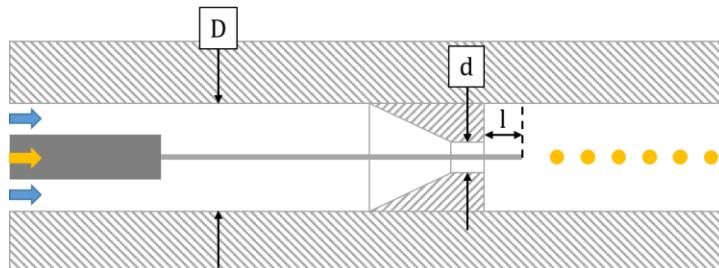
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190 **Figure 2.** Experimental setup with pressurized gas cylinder (a), pressure vessel (b), displacement  
 191 pump (c), droplet generator (d), camera with back lighting (e), collecting vessel (f), optically accessible  
 192 orifice with positioning capillary (g), three-way valve (h) and shut-off valve (i).

193 The droplet generator consists of a flow channel, depicted in Figure 3. It is milled in a stainless-  
 194 steel block. The front and back side were covered with acrylic glass (Poly(methyl methacrylate))  
 195 plates for optical accessibility. The rectangular channel had a width of 4 mm and height  $D$  of 7.4 mm.  
 196 The oil phase was pumped (Figure 2, (c)) through a fused silica capillary with an outer diameter of  
 197 150  $\mu$ m (Chromatographie Handel Müller GmbH, Fridolfing, Germany) that was glued in a steel

198 capillary with an outer diameter of 1/16" (Chromatographie Handel Müller GmbH, Fridolfing, Germany). The fused silica capillary was used for special calibration of the camera. The orifices with a conical inlet and a circular hole with a diameter  $d$  ranging from 0.5 to 2 mm were 3D printed from resin. The distance  $l$  from the capillary to the outlet of the orifice could be altered by moving the steel capillary. The droplet generator was tested up to a pressure of 4 MPa.

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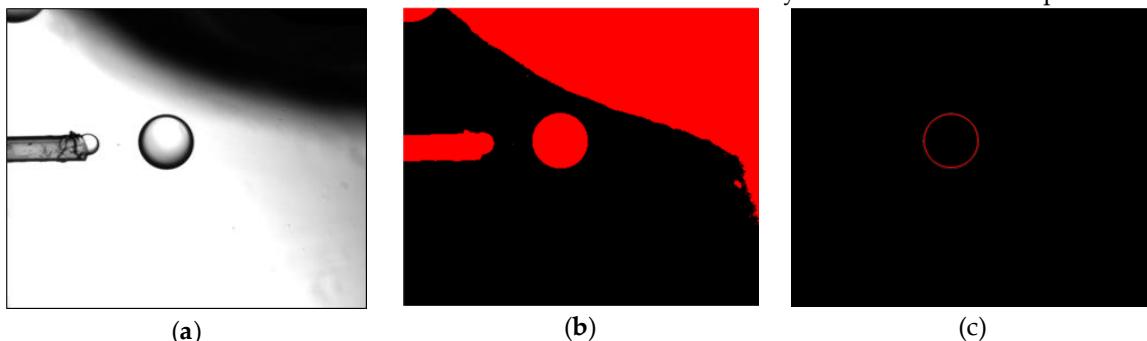
204 **Figure 3.** Schematic representation of the droplet generator with steel and fused silica capillary, orifice  
205 with diameter  $d$  and flow channel with height  $D$ . The capillary position is represented by the  
206 parameter  $l$ .

### 207 2.3 Image processing

208 The images shot of the droplets in the droplet generator flow channel were continuously  
209 transferred to a computer where they were written in a buffer of a self-developed program in  
210 Labview 2019 (National Instruments, Austin, USA). The brightness and contrast were adjusted in a  
211 next step, followed by the transformation into a binary image. Subsequently, possible holes were  
212 filled and circles in the diameter range of 130 to 800  $\mu\text{m}$  were identified to avoid the detection of small  
213 satellite droplets or air bubbles. This procedure is shown exemplary in Figure 4. Following that, the  
214 detected droplet sizes were stored, and an average droplet diameter was calculated from all evaluable  
215 droplets  $N$  within the 100 images.

216 The software OriginPro 2019 (OriginLab Corp., Northampton, MA, USA) was used for the  
217 statistical analysis, calculation of averages and standard deviations. Between 4 and 27 evaluable  
218 droplets were found in the set of 100 images. The focus plane was adjusted before every experiment  
219 to eliminate out of focus droplets. Each process parameter combination was addressed once.

220 All data underwent a multifactorial analysis of variance (ANOVA) and a Sheffé test as post-hoc  
221 test. Deviations in measurement values were considered statistically relevant at a level of  $p \leq 0.05$ .



222 **Figure 4.** Example for the image processing procedure with a raw image (a), binary image with filled  
223 holes (b) and detected circles in the binary image (c).

## 224 3. Results

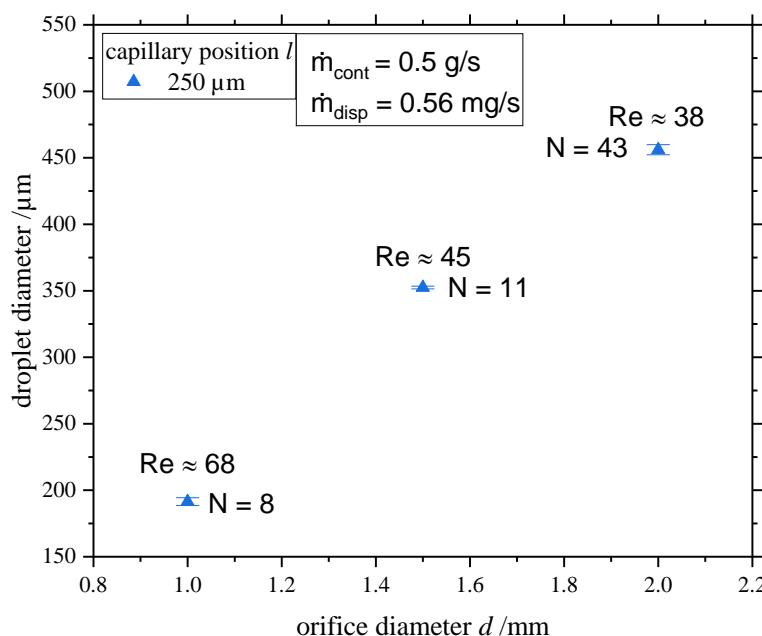
### 225 3.1 Influence of the capillary's geometry and position

226 According to literature, the diameter of the emerging droplets is influenced by the forces acting  
227 on the droplet. The droplet diameter can be reduced by increasing the shear forces on the droplet  
228 which results in an earlier development of a neck and an earlier subsequent droplet detachment

229 [30,33]. It is therefore hypothesized that the droplet diameter decreases if the orifice diameter is  
 230 reduced, as the flow surrounding the capillary tip is accelerated. The acceleration of the flow  
 231 surrounding the capillary tip is characterized by the Reynolds Number, see Eq. (4).  
 232 The parameters chosen resulted in  $38 < Re < 68$  depending on the orifice diameter. A laminar jet is  
 233 expected.

234 Droplet sizes were comparable to values found in literature (see Chapter 1 [7,26,27]). As  
 235 expected, the resulting droplet diameters are decreasing with decreasing orifice diameter for all  
 236 capillary positions in the range  $1 \text{ mm} \leq d \leq 2 \text{ mm}$ . The orifice with a diameter of 2 mm resulted in  
 237 a droplet diameter of about 500  $\mu\text{m}$ , while the droplet diameter decreased to a value of about 225  $\mu\text{m}$   
 238 by halving the orifice diameter to 1 mm.

239



240

241 **Figure 5.** Influence of the orifice diameter on the droplet diameter. The Reynolds Number  $Re$  in the  
 242 orifice is given at the measurement points.  $N$  represents the number of droplets that were found in  
 243 the set of 100 images.

### 244 3.2 Influence of continuous and disperse phase mass flow

245 First, the droplet diameter in microfluidic devices can be altered by increasing or decreasing the  
 246 volume flow of the inner or outer phase. Increasing the volume flow of the outer phase causes bigger  
 247 forces acting on the emerging droplets which results in smaller droplets. Decreasing the volume flow  
 248 of the outer phase results in larger droplets, respectively [33,34]. Concluding from this, we expect the  
 249 droplet diameter to decrease with increasing mass flow of the continuous phase in the droplet  
 250 generator.

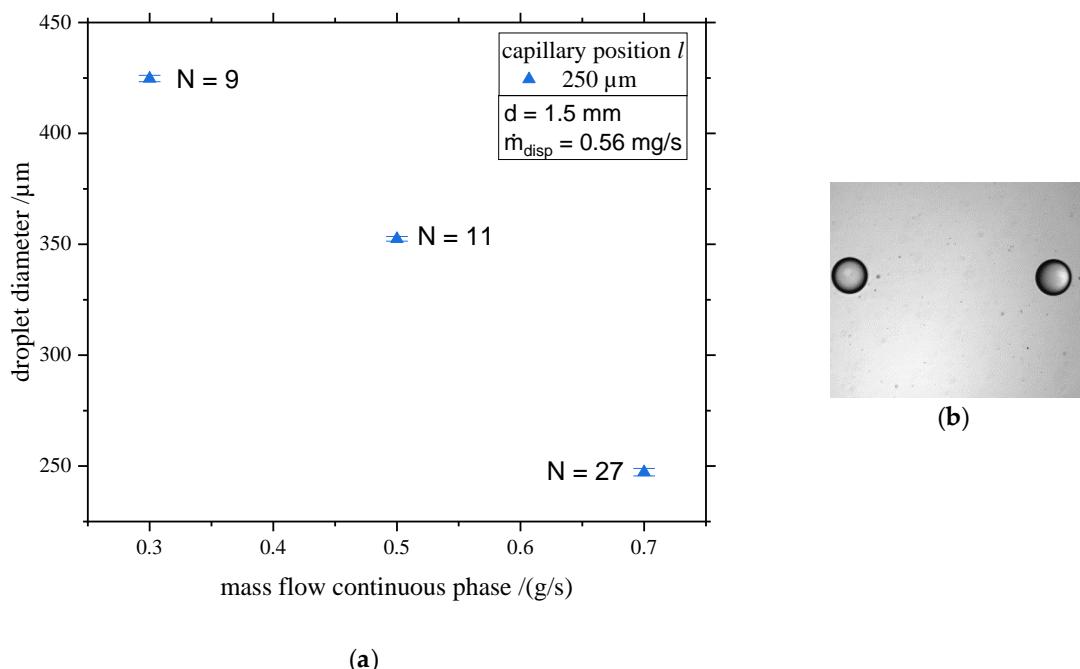
251 Second, it is described in literature that the droplet diameter in co-flowing microfluidic devices  
 252 increases if the volume flow of the inner phase is increased, as the necking and breakup process  
 253 becomes dominant over the accumulation of the disperse phase in the developing droplet for  
 254 determining the formation time [33,35]. It is therefore expected that the droplet diameter increases if  
 255 the mass flow of the disperse phase in the capillary of the droplet generator is increased.

256 Figure 6 (a) illustrates the influence of the mass flow of the continuous phase on the droplet  
 257 diameter. The orifice in the droplet generator had a diameter of 1.5 mm and the tip of the capillary  
 258 was positioned in a distance of  $l = 250 \mu\text{m}$  to the exit of the orifice. The mass flow of the disperse

259 oil phase was set to a constant value of 0.56 mg/s. The produced droplets had a diameter of 450  $\mu\text{m}$   
 260 at a mass flow of 0.3 g/s, decreasing reciprocal when increasing the mass flow. A droplet diameter of  
 261 250  $\mu\text{m}$  was achieved at a mass flow of 0.7 g/s. Furthermore, it can be noticed that the number of  
 262 detected droplets  $N$  within the set of 100 images is increasing with growing mass flow of the  
 263 continuous phase as the droplet production frequency is increasing. Figure 6 (b) illustrates that  
 264 produced droplet have very small droplet diameter variation.

265 In conclusion, the hypothesis on the influence of the mass flow of the continuous phase can be  
 266 confirmed. Duxenneuner [34] and Cramer et al. [33] have shown similar behavior when using a  
 267 water-in-oil-emulsion. Cramer et al. [33] have also presented that the droplet formation time and  
 268 consequently the droplet production frequency grows with increasing mass flow of the continuous  
 269 phase.

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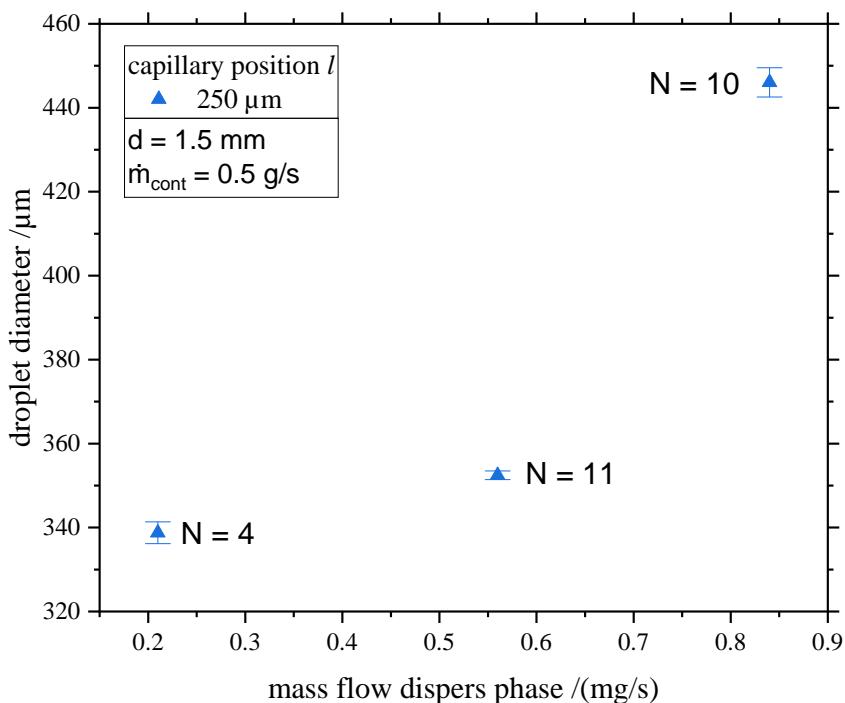


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272 **Figure 6.** (a) Droplet diameter as a function of the mass flow of the continuous phase. The diameter  
 273 of the orifice was 1.5 mm and the tip of the capillary was positioned in a distance of 250  $\mu\text{m}$  from the  
 274 orifice exit. The mass flow of the disperse phase was set constant to  $\dot{m}_{\text{disp}} = 0.56$  mg/s.  $N$  represents  
 275 the number of droplets that were found in the set of 100 images. (b) Exemplary raw image of two  
 276 droplets with equal diameter.

277 The influence of the mass flow of the disperse phase on the droplet diameter is presented in  
 278 Figure 7. In the example shown here, the orifice in the droplet generator had a diameter of 1.5 mm  
 279 and the tip of the capillary was positioned in distance of  $l = 250$   $\mu\text{m}$  to the exit of the orifice. The  
 280 mass flow of the continuous phase was constant at 0.5 g/s. A mass flow of  $\dot{m}_{\text{disp}} = 0.21$  mg/s,  
 281 resulted in droplets with a diameter of 338  $\mu\text{m}$ . When increasing the mass flow to  $\dot{m}_{\text{disp}} = 0.56$  mg/s,  
 282 droplets with a diameter of 352  $\mu\text{m}$  were produced. Further increasing the mass flow to  
 283  $\dot{m}_{\text{disp}} = 0.84$  mg/s lead to an exponential growth of the droplet diameter to 446  $\mu\text{m}$ .

284 Concluding, the hypotheses on the influence of the mass flow rate of the disperse phase flow  
 285 can be confirmed. Monodisperse droplets of target sizes could be produced.



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**Figure 7.** Droplet diameter as a function of the mass flow of the disperse phase. The diameter of the orifice was 1.5 mm and the tip of the capillary was positioned in a distance of 250  $\mu\text{m}$  from the orifice exit. The mass flow of the continuous phase was set constant to  $\dot{m}_{\text{cont}} = 0.5 \text{ g/s}$ .  $N$  represents the number of droplets that were found in the set of 100 images.

291

Droplets in microfluidic devices can either be produced in the dripping or in the jetting regime. At low flow rates, the interfacial tension forms droplets at the tip. At higher flow rates, the inertia forces exceed the interfacial tension and a jet is formed which subsequently breaks up due to Rayleigh-Plateau-Instabilities. The transition can either be driven by the flow of the continuous or the disperse phase [30]. According to Utada et al. [36], the transition from dripping to jetting should take place when the sum of the Weber Number and the Capillary number is about 1, while dripping is found for  $We + Ca < 1$ .

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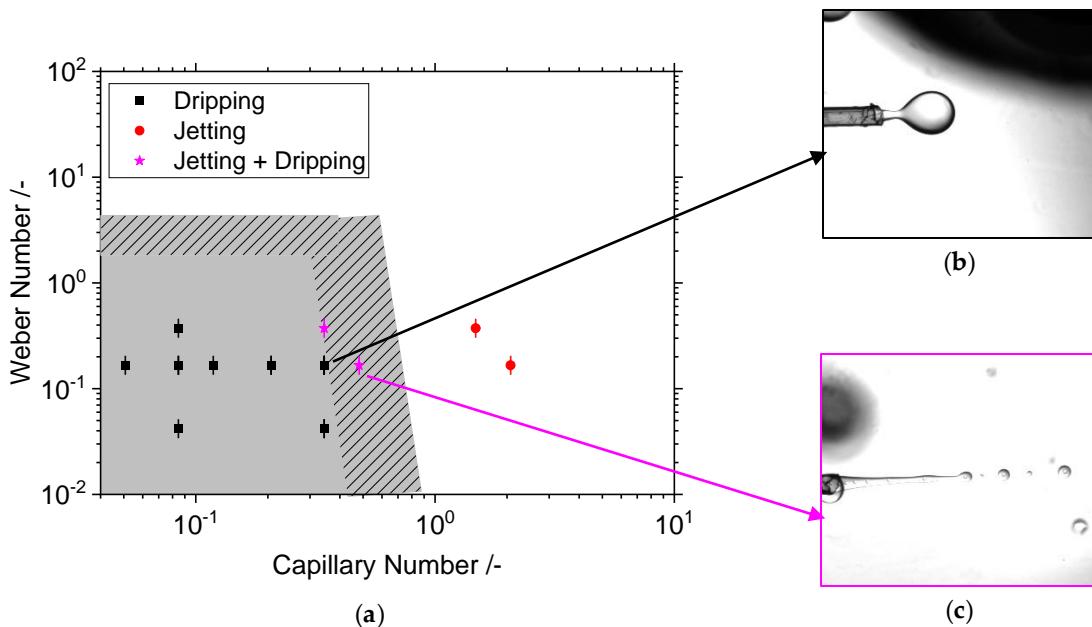
Figure 8 presents the influence of the Capillary Number  $Ca$  of the outer flow as well as the influence of the Weber Number  $We$  of the inner flow on the droplet detachment behavior. The results indicate that dripping is found for  $Ca < 0.4$ . What stands out in this figure is that there are process conditions in which sometimes dripping, and sometimes jetting was present. This mixed behavior was detected for  $0.3 < Ca < 0.6$  and  $0.2 < We < 0.4$ , whereas jetting was observed for  $Ca > 1$ . An exemplary picture of the jetting regime is shown in Figure 8 (c).

304

The findings agree well with [30]. The grey crosshatched area in Figure 8 illustrated the transition regime proposed by Utada et al. [30]. Process conditions that should lead to dripping are colored in grey. An exemplary picture of droplet generation in the dripping regime is shown in Figure 8 (b). Cramer et al. [33] have also shown that the transition conditions depend on whether the velocity of the continuous phase is increased in order to get the transition from dripping to jetting, or whether inversely the velocity of the continuous phase is decreased to get the transition from jetting to dripping. As the velocity of the continuous and the disperse phase were not altered within a standardized procedure, this may have caused the blurred transition point from dripping to jetting in this investigation. The transient character of the emerging jet may also have caused a spontaneous change of the droplet detachment behavior. Process conditions close to the transition point should be

313

314 avoided, as more satellite droplets are produced [33], which are unwanted in this application. The  
 315 satellite droplets are shown in Figure 8 (c).  
 316

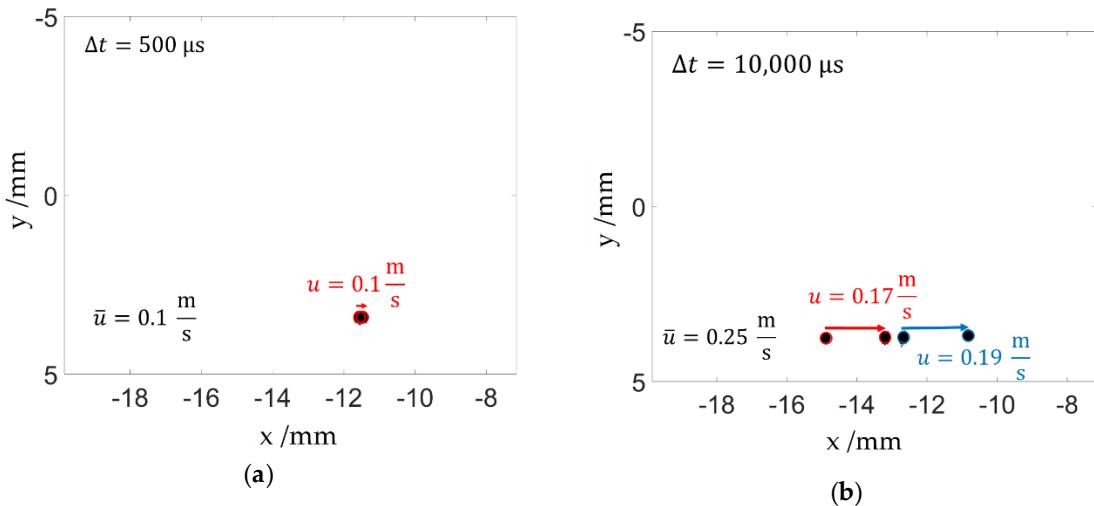


317

318 **Figure 8.** (a) Dripping and jetting droplet detachment mechanisms depending on the Capillary and  
 319 the Weber Number. The grey crosshatched area illustrates the process conditions where both  
 320 mechanisms can be present [30]. The grey colored area represents the process conditions where only  
 321 dripping is expected according to [30]. (b) Raw picture of dripping regime at  $Ca = 0.344$  and  
 322  $We = 0.166$ . (c) Raw picture of jetting regime at  $Ca = 0.482$  and  $We = 0.166$ .

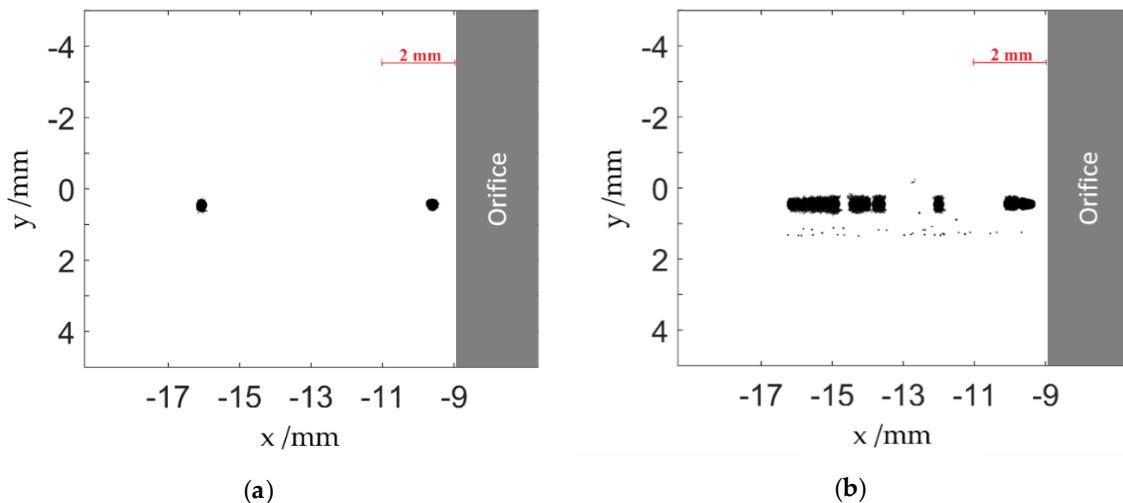
### 323 3.3 Rejection of the produced emulsion in front of a scaled disruption unit

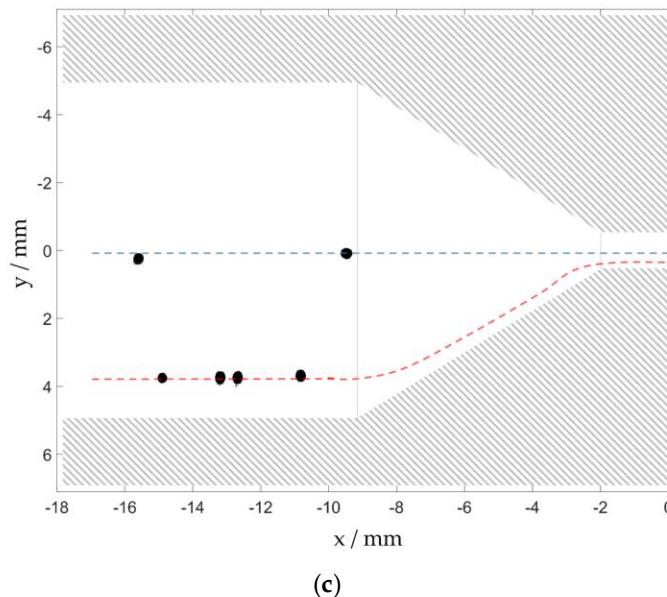
324 The produced droplets were reintroduced in front of the high-pressure disruption unit (Figure  
 325 2, (g)) equipped with an orifice-type constriction. The droplets were analyzed using the  $\mu$ -PIV double  
 326 frame camera according to the procedure described in Chapter 2.2. The droplets leave the capillary  
 327 separated from each other, which prevents the drops from affecting each other, see Figure 10 (a). The  
 328 velocity of the injected droplets can be estimated from the displacement between the double pictures.  
 329 Figure 9 shows the displacement of droplets at an average velocity of the surrounding fluid of  
 330  $\bar{u} = 0.1 \frac{\text{m}}{\text{s}}$  on the droplet trajectory (a) and at velocity  $\bar{u} = 0.25 \frac{\text{m}}{\text{s}}$ . When estimating the velocity  
 331 from the displacement of the droplets between the double frame pictures and comparing it with the  
 332 velocity field which was measured with a  $\mu$ -PIV, it can be determined that the droplets accelerated  
 333 to the velocity of the surrounding flow of the continuous phase if the surrounding continuous phase  
 334 flows with a velocity of  $\bar{u} = 0.1 \frac{\text{m}}{\text{s}}$  (Figure 9, (a)). When comparing the droplet velocity at an average  
 335 velocity of the continuous phase of  $\bar{u} = 0.25 \frac{\text{m}}{\text{s}}$  in Figure 9 (b), it can be noticed that the droplets  
 336 only reach about 70% of the velocity of the surrounding continuous phase before entering the conical  
 337 inlet of the disruption unit. Possibly, the capillary which is used to reinject the pre-emulsion in front  
 338 of the disruption unit influenced the flow at higher velocities of the continuous phase. That may cause  
 339 lower stresses on the droplets if they do not completely accelerate to the velocity of the surrounding  
 340 fluid in the conical inlet of the disruption unit.



**Figure 9.** (a) Superimposition of a double frame picture which was taken with delay of  $\Delta t = 500 \mu\text{s}$ . The average velocity on the trajectory of the droplets was  $\bar{u} = 0.1 \frac{\text{m}}{\text{s}}$ . (b) Superimposition of a double frame picture which was taken with delay of  $\Delta t = 10,000 \mu\text{s}$ . The average velocity on the trajectory of the droplets was  $\bar{u} = 0.25 \frac{\text{m}}{\text{s}}$ . The x-axis shows the distance from the orifice exit (cf. Figure 10(c)).

Figure 10 (b) shows the superimposition of 27 snapshots. It illustrates that the droplets follow the trajectory with a very small variance. The blurry edges of the droplets were caused by a reflection of the emitted light on the PMMA cover plate. The small variance in droplet diameter is probably caused by droplets being not perfectly in the focus plane, as droplets which are off focus appear larger than they are. The droplets all follow a trajectory close to the centerline. No variance in the droplet trajectory was visible. We can therefore assume that all droplets will be exposed to an equal stress history when passing the orifice. In Figure 10 (b) droplets being significantly smaller surround the larger, injected droplets. These are most probably satellite droplets. As they can easily be distinguished from the bigger droplets by the evaluation algorithm, they do not interfere with our target of being able to follow deformation and breakup of the bigger droplets downstream. Figure 10 (c) shows a superimposition of droplets which were injected on the center line of the flow channel, and droplets which were injected close to the wall. The dashed lines represent the expected droplet trajectories when passing through the non-optically accessible conical inlet of the scaled HPH disruption unit. Droplets which flow close to the wall on the red dashed trajectory are expected to be more deformed compared to droplets which flow on the center axis as the shear stress and elongation strain is higher closer to the wall in a conical inlet [6].





(c)

362 **Figure 10.** (a) Snapshot of droplets with a diameter of about 220  $\mu\text{m}$  in front of the orifice, which was  
 363 positioned on the centerline of the flow with a capillary. The flow is from left to right. (b)  
 364 Superimposition of 27 snapshots. (c) Superimposition of images where droplets were injected on the  
 365 centerline and close to the wall. The dashed lines represent the expected trajectory of the droplets in  
 366 the scaled high-pressure homogenizer orifice. The x-axis always shows the distance from the orifice  
 367 exit.

368 **4. Discussion**

369 In this study an external pressure stable droplet generator was developed that allows to produce  
 370 a pre-emulsion with a tight droplet size distribution. The produced droplets could be reinjected  
 371 separately from each other in front of a scaled high-pressure homogenizer disruption unit. It was  
 372 possible to control the trajectory these droplets followed when approaching the disruption unit.  
 373 Droplets on the centerline trajectory will then be exposed to stress histories totally different to those  
 374 on a trajectory close to the wall.

375 This generator was operated in accordance to microfluidic droplet generating systems. It could  
 376 be demonstrated that droplets in the dripping and the jetting regime could be produced. The process  
 377 parameters, with which the droplet size can be adjusted, were identified and compared with known  
 378 knowledge from the literature of microfluidic droplet generation.

379 Flow conditions to produce an oil-in-water emulsion with monodisperse droplets in the  
 380 diameter range of 200  $\mu\text{m}$  to 500  $\mu\text{m}$  were identified. For size control, a live droplet diameter analysis  
 381 was established. The determined standard deviation of the droplet diameters was in the range of  
 382 2  $\mu\text{m}$ , which is equal to a deviation of 2.5 pixels in the raw image. The droplet diameter can be  
 383 decreased by accelerating the continuous phase through an increase of the mass flow or a reduction  
 384 of the orifice diameter, which agrees well with previous studies.

385 The following limits of the experimental setup proposed were detected:

- 386 • Orifice diameter  $d \geq 1$  mm: Due to production inaccuracies, no even jet was formed if the  
 387 orifice diameter was smaller than 1 mm, resulting in larger droplets.
- 388 • Limit of the disperse phase fraction: The disperse phase fraction is connected to the flow  
 389 conditions in the droplet generator, resulting in decreasing disperse phase fractions when  
 390 increasing the total mass flow over the optically accessible disruption unit. This limitation can  
 391 be solved by numbering up the capillary tips.
- 392 • Droplet velocity does not reach the velocity of the surrounding fluid: The droplets do not  
 393 completely accelerate to the velocity of the continuous phase at higher velocities in the inlet  
 394 channel of the disruption unit. This may result in lower stresses on the droplets. It is expected,

395 however, that this influence is moderate as the fluid is accelerated by a factor of 100 in the  
396 disruption unit which should eliminate the velocity deviation of the droplets.

397 Despite its limitations, the study presents a pressure stable droplet generator that allows  
398 introducing monodisperse droplets in a range of 200  $\mu\text{m}$  to 500  $\mu\text{m}$  on defined trajectories of a high-  
399 pressure homogenizing unit. The droplet size can be controlled by altering the flow conditions, which  
400 allows a precise adjustment of the *We* Number of the droplet breakup in the optically accessible  
401 orifice. The produced droplets can be reintroduced in front of a scaled orifice with a small trajectory  
402 variation. This setup will be used in future research to investigate the influence of the droplet size  
403 and stress history on its deformation and breakup.

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