Successful Implementation of Climate-Friendly, Nutritious and Acceptable School Meals in Practice: the OPTIMAT™ Intervention Study

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Abstract: Introducing children to sustainable and healthy school meals can promote a long-term dietary shift to lower climate impact and improve population health. The aim of the OPTIMAT study was to optimize meals for minimum deviation from the current food supply while reducing greenhouse gases and ensuring nutritional adequacy without increasing cost. Optimized menus were tested in four primary schools in Sweden and effects on daily food consumption and waste evaluated. Pupils received their usual menu plan for three weeks and then the isocaloric optimized menu plan for another three weeks. Nutritional recommendations for a school lunch and a maximum of 500 grams of CO2eq/meal were applied as constraints during linear programming. Pulses, Cereals, Meat and Eggs increased, while Fats and Oils, Dairy, Sauces and Seasonings decreased. The amount of ruminant meat was reduced in favor of other meat products. The new menu was 28% lower in greenhouse gas emissions and slightly less costly than the original. No significant changes in mean food consumption or plate waste were found in interrupted time series analysis between the two periods. This pragmatic approach for combining linear optimization with meal planning could accelerate sustainable development of the meal sector in Sweden and abroad.

Keywords: Children; diet; greenhouse gas emission; intervention; linear programming; optimization; sustainable development.

1. Introduction

Contemporary diets, being rich in animal products and low in fruits and vegetables, are among the leading causes of greenhouse gas emissions (GHGE) and the global burden of obesity and chronic diseases [1,2]. Hence, a dietary shift towards more plant-based foods holds substantial potential for considerable gains in both public health and environmental sustainability.

School meals have been identified as an underutilized opportunity to deal with health and sustainability as they can reach all children, and make up a considerable proportion of children's dietary intake over a long and critical period of growth [3]. Introducing children to environmentally sustainable and healthy school meals from an early age thus provides a unique chance to promote dietary change and improve children’s knowledge of sustainable development and healthy diets, which may persist throughout life [3].
In Sweden, roughly 196 million meals, at a cost of around 640 million Euro (EUR), are served in Swedish primary schools (years 0-9) every year [4]. Lunches are typically self-served, hot dishes including a salad buffet, bread, spread and milk or water. According to Swedish law, these lunches should cover 30% of children’s dietary needs [5]. A recent study of adolescents’ school meals showed that school lunches contribute substantially to the nutritional quality of pupils’ diets, providing on average almost half of total vegetable intake and two-thirds of fish intake [6]. However, the consumption of meat during school lunches is, accumulated over a week, also contributing to intakes exceeding the Swedish weekly population target for red and processed meat [7]. This is problematic from both a health and environmental sustainability perspective [8].

In general, Swedish school meals are of high quality [9] and a powerful way to facilitate more equal food intake among different groups in society, be it sex, socioeconomic or ethnicity [10]. However, our previous studies show that there is room for improvement when it comes to certain nutrients, how the school meal is integrated with teaching, and how schools deal with issues of environmental impact [9]. Today, many schools and municipalities in Sweden try to reduce the environmental impact by e.g. serving more vegetarian meals, or organic foods, or even calculating associated emissions of greenhouse gases. However, it is not always clear what aspect of environmental impact is being reduced, and the process is labour-intensive, requiring many iterations, as it is difficult to simultaneously balance requirements regarding nutritional adequacy, cost, and cultural acceptability (potential food waste).

Linear optimization is a suitable mathematical method for finding the best possible solution when trying to accommodate several different, occasionally competing, demands [11]. It constitutes a systematic approach whereby environmental and nutritional requirements as well as acceptability and cost can be taken into consideration simultaneously [12]. Optimization has been applied to model diets that are cost-effective, nutritionally adequate, and more climate friendly [12–18]. It has also been used to mathematically design nutritious and environmentally sustainable school meals [19–21]. Our research group has developed and tested a pragmatic approach for combining linear optimization with planning and serving of a new school lunch menu [22]. In this first ever intervention, no undesirable effects on food waste or consumption were observed. However, the study was performed in only one Swedish municipality, limiting its generalizability. The present study constitutes the second intervention trial using this approach, this time in a municipality known for its progressive climate work with public meals for several years. We thus aimed to introduce a GHGE-reduced, nutritionally adequate, and affordable four-week lunch menu plan optimized for minimum deviation from the baseline food supply as a means to maximize acceptability, and to evaluate the new menu’s impact on food waste and consumption in a different municipality. Our hypothesis was that school meals could be optimized to become even more climate friendly and nutritious, without negatively impacting acceptance approximated as the amount of food consumed and wasted, and without increasing the cost.

2. Materials and Methods

2.1 Study design and theory

The study employed a pre-post design using interrupted time series (ITS) analysis to investigate the effect of introducing an optimized lunch menu on daily food waste and consumption in schools [22]. Pupils in four primary schools followed their usual (baseline) menu plan during a period of four weeks and after a one week’s mid-term break, the optimized four-week menu plan was served daily during the intervention period.

The intervention builds on Social Cognitive Theory (SCT), which states that behaviour is determined by reciprocal interaction between personal factors and the social and physical environment [23]. In this project we mainly intervened in the physical environment of the children (the available menu), and no extra social activities were performed compared to usual practice. In addition to the information sent home to parents, brief information material was placed in the school canteen during the trial. No other intervention activities were performed compared to usual practice.
2.2 Recruitment of schools

During the fall of 2019, a meeting was held with the meal services administration (including management, administrators and meal planner) in the municipality of Uppsala, Sweden. School chefs from four primary schools (up to grade 9) with 360 to 660 pupils were also present at the meeting. These schools had been identified by the municipality’s meal manager as having the highest climate impact measured as GHGE in their school meals. The research team presented the project’s idea of implementing more climate friendly lunches in schools and findings from the previous intervention [22] in three large schools in a different municipality. Written agreements with all four participating schools concerning the intervention and tasks to be performed by the school and by the research team, respectively, were consequently agreed upon between the headmasters and the principle investigator (LSE). Schools were asked to inform parents about the project via their usual newsletter. They were also asked to place the information leaflet on each table in the school canteen and to set up a poster provided by the research team during the trial. These materials contained easy-to-read information about the project’s outline and its aim to make school lunches more climate friendly.

2.3 Preparation of the database and optimization

The municipality’s meal planner provided a four-week menu plan (including 2-3 dishes/day over a period of 20 weekdays) which had previously been served at the recruited schools to the research team. This menu was planned to meet 30% of the nutritional needs for a reference pupil aged 10-12 years over the four-week period [5]. A food list containing all foods and drinks (excluding spices) needed to produce the meals and the salad buffet was also provided. The list indicated the amount of each food item in kilograms (kg) of raw food used as well as the total cost of each item. For each food, an average price was calculated by dividing the total weight by the total cost. In total, 181 food items were part of this list (in Swedish, can be obtained from the authors). These items were consequently linked to the Swedish Food Agency’s food database [24], which provides the nutritional composition and also includes information on the edible proportions of foods. Each food was assigned a climate footprint, expressed as kg carbon dioxide equivalents per kg (kg CO2eq/kg) of food item by linking to Research Institutes of Sweden’s Climate database. This database contains more than 750 food items representing Swedish food consumption [25]. It builds on results from life cycle analyses [26,27] and provides CO2eq values from farm to final product at the food factory gate. This database is not open to the public and access was paid for by the research team. As described previously [22,28,29], all calculations of nutritional adequacy were based on the nutrient content of the edible proportions of prepared (cooked, boiled, oven baked etc.) foods, while the calculations for cost and CO2eq were done on the amount of raw food.

2.4 Linear programming

The baseline food list was optimized following a linear programming (LP) strategy described in detail elsewhere [22,29] and was implemented with the CBC (COIN-OR Branch and Cut Solver algorithm, part of the Excel® 2016 software add-in OpenSolver, V. 2.9.0 [30]. Briefly, LP aims to either minimize or maximize a linear objective function that is subjected to a set of linear constraints having to be met simultaneously. As described previously [22,29], we chose to minimize the total relative deviation (TRD) from the baseline food supply across all 181 food items in an attempt to make the new menus as acceptable as possible. All applied nutritional and other food constraints are shown in Table 1. The baseline menu’s average energy content (672 kilocalories per pupil and lunch) was held constant during the optimization (baseline menu plan available from authors in Swedish). Furthermore, nutritional recommendations for a school lunch [5] and a maximum limit of 500 grams of CO2eq/meal were applied as constraints over a 4-week period. The CO2eq constraint was set to match the World Wildlife Fund’s (WWF) target for school lunches [31] (max 500g CO2eq/lunch) which has been derived from data in the IPCC report [1]. The TRD was divided by the total number of food items included in the model to estimate the average relative deviation (ARD) of all foods. This value is a proxy for the optimized model’s overall similarity to the baseline menu plan.
We decided to constrain the change in food items by -75% to +100% from baseline levels as done previously [22]. Milk (not used for cooking) and crisp bread are traditionally openly available for consumption ad libitum at lunch. These items were constrained to equal 50% of their initial amount upon request from the municipality’s meal planner, who estimated that pupils did not consume more than half of the provided amount of these items anyway. Potatoes were also constrained to increase by a maximum of 50% since we knew from previous experience that a greater increase was judged to be practically unfeasible when planning the meals.

Before initiating the menu development, the optimized food supply was presented to the meal planner who was given the chance to make modifications if deemed necessary for practical reasons. In this case, the meal planner requested that three foods, (each reduced by 75% from baseline amounts by the algorithm) to be excluded entirely. These were portion-sized meat products (frozen meat patties and frozen hamburgers) and minced meat. The meal planner judged that the amounts of these food items were too low to be feasible in the new menu plan. Furthermore, the baseline weight of frozen meatballs (one of the most popular foods amongst pupils) was requested to be kept constant. A second linear optimization of the baseline food list was performed implementing these additional modifications to the model.

Foods were grouped into 14 categories to provide an illustration of the overall changes resulting from the optimization. The categorization built on the grouping of foods in the Climate database [25]: Beverages (without milk); Fats and oils; Seafood; Fruits and berries; Vegetables; Pulses; Potatoes and roots; Meat; Cereals; Dairy; Nuts and seeds; Seasoning and sauces; Sugar and sweets; and Eggs.

Materials not published in this paper can be provided by the authors upon reasonable request.

2.5 Meal planning and implementation

The municipality’s meal planner developed the new menu plan using the food list resulting from the final optimization (optimized menu plan available from authors in Swedish). The new menu plan was developed following the baseline menu plan as closely as possible in terms of type/composition and naming as this was thought to increase its acceptability. During this process, the meal planner requested and was granted to do an additional change to the optimized food list, which led to a reduction in the amount of blood pudding (a smooth sausage-like product made with pork blood) to baseline levels and instead increasing the amount of chicken sausage by 100% as this was thought to be more acceptable. The change resulted in negligible changes to the main parameters (i.e. GHGE, nutritional adequacy and cost) of the optimized food supply. The new menu plan was discussed with and approved by the school chefs.

2.6 Outcomes

Plate waste per pupil and consumption per pupil were the primary outcomes. These parameters were considered to reflect the pupils’ acceptability of the new menu. Prior to the intervention, detailed instructions on how to perform the measurements of food waste and consumption was provided to the chefs by the research team. The kitchen staff, instructed by the chefs at each school executed these measurements on the basis of a template for measuring food waste and school lunch consumption [32]. Four fractions were weighed using school kitchen scales during the baseline and intervention period: all food prepared in the kitchen (prepared food (kg)); the amount of prepared food that was not consumed and had to be discarded as it was unsuitable for re-use (serving waste (kg)); the amount that could be re-used (leftover food (kg)); and the amount of leftover that was discarded by the pupils into a bin (plate waste (kg)). The number of plates used by the pupils in the school restaurant was also recorded daily. The chefs reported all waste measurements on a weekly basis to the research team and were also asked to record details of any additional adjustments made to the new menu plan. These measurements were taken for the calculation of:

i) Plate waste per pupil (g), calculated per day by dividing the total plate waste by the total daily number of recorded plates.
ii) Food consumption per pupil (g), calculated by subtracting the sum of the total serving waste, total plate waste, and total leftover food from the total amount of prepared food and dividing that by the recorded total daily number of plates.

As a result of the accelerating Covid-19 pandemic in March 2020, school attendance rates dropped (although primary schools were not closed in Sweden) during the last week of the intervention, and, in several cases, waste measurements were not undertaken due to staff shortage. These circumstances resulted in a decision to only compare the three weeks preceding the one-week mid-term break to the three weeks following the break, thus excluding the first baseline week and the last intervention week from the calculations.

2.7 Statistical analyses

Interrupted time-series (ITS) [33] analysis was used for comparing daily plate waste per pupil (g) and daily consumption per pupil (g) between the two time sequences (baseline and intervention). We performed a level and slope change analysis [33]. The slope represents the trend of either increasing (positive) or decreasing (negative) amounts of food consumption or waste over the baseline and intervention periods. We thereby included a numerical variable representing the time elapsed (to adjust for the baseline trend), a categorical variable representing the period (to reveal potential mean differences between baseline and intervention periods) and consequently also added an interaction term between time and the period in the regression models (to reveal differences in slopes between the two time periods). Assumptions of normality, homoscedasticity, as well as absence of autocorrelation and partial autocorrelation were assessed through normal probability plots, residual plotting, and the Durbin-Watson test (25). All tests were two-sided and P values of <0.05 were assumed to indicate statistical significance. All statistical analyses were conducted in the software R (version 3.6.1) [34].

3. Results

3.1 Linear optimization and menu planning

Table 1 shows the GHGE, cost, and nutritional content of an average meal from the baseline food list, the optimized food list, and the final menu plan. The baseline food list contained 695g CO₂eq and cost 11.5 SEK (approx. 1.05 Euros) on average per meal. It met all dietary reference values used for planning school meals. The linear optimization provided an optimized food list containing 499g CO₂eq on average (28% less than baseline emissions) that also met all nutritional requirements and cost 3.1% less than the baseline food list. The ARD was 13.7% from baseline. After the modifications made by the meal planner (reducing the amount of blood pudding to baseline levels and instead increasing the amount of chicken sausage by 100%) reduced the energy content of an average lunch by 5 kcal compared to the optimized list (Table 1). The final menu plan contained 497g CO₂eq and the cost was 3.2% lower than at baseline. In both the baseline and the new menu plan, 30 of the 49 served dishes were entirely vegetarian (i.e. did not containing any red meat/fish/poultry).
Table 1. Constraints applied during all linear optimization procedures, the resulting greenhouse gas emissions (CO$_2$eq), cost, and nutrient content per meal in the baseline food list, optimized food list, and the applied (final) menu plan.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Baseline food list</th>
<th>Optimized food list</th>
<th>Final menu plan</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CO$_2$ eq. (g/lunch)</strong></td>
<td>693</td>
<td>499</td>
<td>497</td>
</tr>
<tr>
<td><strong>Cost (SEK/lunch)</strong></td>
<td>na</td>
<td>11.54</td>
<td>11.18</td>
</tr>
<tr>
<td><strong>Energy (kcal/lunch)</strong></td>
<td>672</td>
<td>47</td>
<td>50</td>
</tr>
<tr>
<td><strong>Carbohydrates (%E)</strong></td>
<td>45</td>
<td>33</td>
<td>29</td>
</tr>
<tr>
<td><strong>Fat (%E)</strong></td>
<td>22</td>
<td>16</td>
<td>17</td>
</tr>
<tr>
<td><strong>Protein (%E)</strong></td>
<td>10</td>
<td>na</td>
<td>17</td>
</tr>
<tr>
<td><strong>Fiber (%E)</strong></td>
<td>2</td>
<td>6</td>
<td>8</td>
</tr>
<tr>
<td><strong>Saturated fatty acids (%E)</strong></td>
<td>na</td>
<td>9</td>
<td>8</td>
</tr>
<tr>
<td><strong>Monounsaturated fatty acids (%E)</strong></td>
<td>10</td>
<td>14</td>
<td>12</td>
</tr>
<tr>
<td><strong>Polyunsaturated fatty acids (%E)</strong></td>
<td>5</td>
<td>7</td>
<td>6</td>
</tr>
<tr>
<td><strong>Vitamin A (µg)</strong></td>
<td>188</td>
<td>316</td>
<td>277</td>
</tr>
<tr>
<td><strong>Vitamin D (µg)</strong></td>
<td>3.1</td>
<td>4.5</td>
<td>3.1</td>
</tr>
<tr>
<td><strong>Vitamin E (mg)</strong></td>
<td>2.2</td>
<td>5.1</td>
<td>4.7</td>
</tr>
<tr>
<td><strong>Thiamine (mg)</strong></td>
<td>0.3</td>
<td>0.4</td>
<td>0.4</td>
</tr>
<tr>
<td><strong>Riboflavin (mg)</strong></td>
<td>0.4</td>
<td>0.6</td>
<td>0.5</td>
</tr>
<tr>
<td><strong>Vitamin C (mg)</strong></td>
<td>16.0</td>
<td>na</td>
<td>40.0</td>
</tr>
<tr>
<td><strong>Niacin (mg)</strong></td>
<td>4.6</td>
<td>5.0</td>
<td>5.2</td>
</tr>
<tr>
<td><strong>Vitamin B6 (mg)</strong></td>
<td>0.4</td>
<td>0.6</td>
<td>0.6</td>
</tr>
<tr>
<td><strong>Vitamin B12 (µg)</strong></td>
<td>0.6</td>
<td>1.9</td>
<td>1.4</td>
</tr>
<tr>
<td><strong>Folate (µg)</strong></td>
<td>62.7</td>
<td>139</td>
<td>152</td>
</tr>
<tr>
<td><strong>Phosphor (mg)</strong></td>
<td>199</td>
<td>585</td>
<td>555</td>
</tr>
<tr>
<td><strong>Iodine (µg)</strong></td>
<td>43.2</td>
<td>103</td>
<td>93.4</td>
</tr>
<tr>
<td><strong>Iron (mg)</strong></td>
<td>3.4</td>
<td>3.9</td>
<td>5.2</td>
</tr>
<tr>
<td><strong>Calcium (mg)</strong></td>
<td>282</td>
<td>419</td>
<td>321</td>
</tr>
<tr>
<td><strong>Potassium (mg)</strong></td>
<td>971</td>
<td>1154</td>
<td>1113</td>
</tr>
<tr>
<td><strong>Magnesium (mg)</strong></td>
<td>87.7</td>
<td>111</td>
<td>120</td>
</tr>
<tr>
<td><strong>Salt (g)</strong></td>
<td>na</td>
<td>2.4</td>
<td>2.3</td>
</tr>
<tr>
<td><strong>Selenium (µg)</strong></td>
<td>12.5</td>
<td>17.3</td>
<td>18.0</td>
</tr>
<tr>
<td><strong>Zink (mg)</strong></td>
<td>3.4</td>
<td>3.5</td>
<td>3.4</td>
</tr>
<tr>
<td><strong>Added sugars (E%)</strong></td>
<td>na</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td><strong>Milk</strong></td>
<td>-50%</td>
<td>-50%</td>
<td>-</td>
</tr>
<tr>
<td><strong>Crisp bread</strong></td>
<td>-50%</td>
<td>-50%</td>
<td>-</td>
</tr>
<tr>
<td><strong>Butter (used as spread)</strong></td>
<td>-50%</td>
<td>-50%</td>
<td>-</td>
</tr>
<tr>
<td><strong>Potatoes</strong></td>
<td>-75%</td>
<td>+50%</td>
<td>-</td>
</tr>
<tr>
<td><strong>Frozen hamburgers</strong></td>
<td>-100%</td>
<td>-100%</td>
<td>-</td>
</tr>
</tbody>
</table>
Table 1. The change of food group quantities.

<table>
<thead>
<tr>
<th>Food Group</th>
<th>Baseline</th>
<th>Target</th>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frozen meat patties</td>
<td>-100%</td>
<td>-100%</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Minced meat</td>
<td>-100%</td>
<td>-100%</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Meatballs</td>
<td>-0%</td>
<td>+0%</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>All other foods</td>
<td>-75%</td>
<td>+100%</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

- Frozen meat patties: Based on 30% of daily estimated energy requirement (EER) for an average pupil, 10-12 years, both sexes.
- Minced meat: Lower limit based on 30% of daily recommended intakes (RIs) for an average pupil, 10-12 years, both sexes.
- Meatballs: Upper limit based on 30% of daily recommended intake (RIs) for an average pupil, 10-12 years, both sexes.
- Relative deviation from baseline food supply.
- WWF target level of maximum 500g CO₂eq/meal.
- SEK = Swedish krona.
- na = not applied.

Figure 1 displays the food group quantities in the baseline food list and in the optimized (isocaloric) food list. Amounts of seven of the 14 food groups were not changed by the linear programming algorithm (Beverages (without milk), Seafood, Fruits and berries, Vegetables, Nuts and seeds, Potatoes and roots, and Sugars and sweets). Four of the food groups increased in weight per pupil per day (Pulses, +14.2g; Cereals, + 9.1g; Meat, +1.0g; Eggs, +2.9g), while three food groups were reduced in weight (Fats and oils, -6.4g; Dairy, -80.1g; Seasoning and sauces, -0.2g). In some food groups intra-food group substitutions occurred, meaning that some food items in each food group were reduced by the optimization while others were increased so much that each food groups’ total quantities were larger than their baseline values. For example, while the Meat category actually increased slightly overall, red meat was reduced by 5.1 grams, while the amounts of poultry meat and blood pudding increased by 6.1g in total.
Figure 1. Food group quantities (g/pupil/day) before (baseline food list; light blue columns) and after (optimized food list; dark blue columns) optimization. The grey parts indicate the amount that was reduced within a food group but replaced by other foods of the same group (i.e. intra food group substitutions); The yellow part indicates the amount within a food group that exceeds the baseline amount after optimization.

The primary outcomes of this study were the average daily food consumption per pupil and the average daily plate waste per pupil. No significant changes in the average daily plate waste per pupil or the daily consumption per pupil were seen in any of the schools between the baseline and the intervention period (Table 2).
Table 2. Difference in daily amount of plate waste per pupil (g) and daily consumption per pupil (g) between baseline and intervention periods with 95% confidence intervals (CI).

<table>
<thead>
<tr>
<th></th>
<th>Plate waste (g/pupil)</th>
<th>Consumption (g/pupil)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Baseline</td>
<td>Intervention</td>
</tr>
<tr>
<td></td>
<td>Parameter estimates</td>
<td>Parameter estimates</td>
</tr>
<tr>
<td></td>
<td>Mean 95% CI</td>
<td>Mean 95% CI</td>
</tr>
<tr>
<td>School 1</td>
<td>16 13-18</td>
<td>21 18-24</td>
</tr>
<tr>
<td>School 2</td>
<td>25 19-31</td>
<td>21 18-24</td>
</tr>
<tr>
<td>School 3</td>
<td>26 22-30</td>
<td>44 37-52</td>
</tr>
<tr>
<td>School 4</td>
<td>26 21-31</td>
<td>32 22-41</td>
</tr>
</tbody>
</table>

Bold text indicates statistically significant p-values (<0.05).

\( \beta_1 \): Beta coefficient for the level (mean) change (baseline vs. intervention), with baseline period as the reference category.

\( \beta_2 \): Beta coefficient for the slope (baseline vs. intervention), with baseline period as the reference category.

However, the slope changed between baseline and intervention period for the plate waste in School 3, where it increased \( (\beta_2=1.95, P=0.034) \) during the intervention period (Figure 2). Slope-differences between baseline and intervention were seen for the daily food consumption in School 1 \( (\beta_2=-13.58, P=0.002) \) and School 2 \( (\beta_2=-12.91, P=0.028) \) (Figure 3).
Figure 2. Scatterplots based on ITS analysis displaying the daily plate waste per pupil (g) in Schools 1-4. The blue colored graphs represent the daily amount of plate waste per pupil during the baseline period (measurement day 0-15), while the pink colored graphs represent the daily amount of plate waste per pupil during the intervention period (measurement day 16-30); *P<0.05 for slope change between baseline and intervention period based on the ITS analysis.
Figure 3. Scatterplots based on ITS analysis displaying the daily consumption per pupil (g) in Schools 1-4. The blue colored graphs represent the daily consumption per pupil during the baseline period (measurement day 0-15), while the pink colored graphs represent the daily consumption per pupil during the intervention period (measurement day 16-30); *$P<0.05$ for slope change between baseline and intervention period based on the ITS analysis.

4. Discussion

Main findings

In the study at hand, we were able to demonstrate that climate friendly and nutritionally adequate menu plans for Swedish schools can be designed through optimization and experienced meal planning. The associated GHGE per meal decreased by 28%, reaching the target level of 500g CO$_2$eq/meal, the optimized food pattern was fairly similar to baseline, comparably affordable, and, as neither plate waste nor consumption was negatively affected, acceptable to pupils. Our optimization strategy affected mainly animal-based foods such as ruminant meat and solid dairy foods which were reduced. This reduction was compensated by increased amounts of other less GHGE-intense animal products such as poultry meat and eggs, however, the major part of the substitution consisted of pulses and cereals. These findings are complementary to those of previous studies [14–16,36,37] where the optimization algorithm reduced meat and dairy products and increased plant-based foods to achieve nutritionally adequate, GHGE-reduced and acceptable solutions.

The findings in the present study support the results of a comparable study where environmental sustainability and nutritional quality were integrated to provide a new menu plan optimized for minimal deviation from baseline and nutrient content [22]. The information material
about the change in menu was displayed in the school restaurant during the study. But this did
apparently not deter the pupils since mean consumption and plate waste did not change significantly
from baseline (20–40g per day both during baseline and intervention), similar to our previous study
[22]. School number 3 was an exception in this regard where an increase in slope for plate-waste was
observed. This school included pupils with cognitive/intellectual disabilities who were reported by
the staff to be more averse to dietary change. This aspect of children with special needs should be
considered in future interventions. The amount of food consumed did not change significantly with
the new menu plan, although there were some slope changes for consumption in Schools 1 and 2.
These changes are difficult to interpret as the slopes of the regression lines to some extent could be
influenced by the order in which the meals were served over both periods. Slope changes are
probably a temporary phenomenon and would most likely even out over a longer time period, but
the important question is if they stabilize at the same level between the two periods. In order to study
this, much longer interventions will be required. Although the meal planner was asked to plan the
new menu as closely as possible to the baseline menu, this did not necessarily mean keeping the order
of the meals similar but rather just keeping the new dishes as similar as possible to the old ones in
terms of dish-type/composition and naming. Future studies should take this into consideration.

Uppsala municipality has been very progressive in its climate work with public meals for several
years, which explains the relatively low baseline GHGE values from school meals (average baseline
emissions of 693g CO₂eq/meal compared to 829g CO₂eq/meal in our previous study) and probably
also why intra-food group substitutions in the Meat-category (resulting in a total increase of meat
products) were observed in the optimized menu. This is similar to findings from Tunisia, where total
GHGE from diets were reduced mainly from redistributing animal products rather than on reducing
their total contribution to the diet [38]. In comparison, GHGE from school meals in the UK have been
estimated to 720g CO₂eq/meal, with meat and fish making the greatest contribution [39]. However,
it is difficult to make clear cut comparisons between school meals in different countries due to aspects
such as differences in portion size-estimations and variations in environmental impacts of food
production between countries [40]. Other evaluations of the effects of introducing more sustainable
school meals in practice are scarce. In Italy, optimization techniques have been applied to design a
nutritious and GHGE-minimized one-month lunch plan for primary school children [20]. The applied
approach is similar to ours as it reduced GHGE of the meals to between 389 and 553 grams of CO₂eq
/day while meeting dietary recommendations for energy, proteins, lipids, carbohydrates, fibers,
sugar, and sodium. Similarly, Spanish researchers reduced the GHG of a 4-week school meal menu
by 13–24% while meeting most nutrient requirements using optimization techniques [21]. However,
the acceptability of the optimized menus in these studies [20,21] remains unknown as none of them
were reported to have ever been tested in practice. In Finland, the implementation of a weekly
compulsory vegetarian day initially reduced school lunch participation, resulted in more plate waste
and a lower food consumption [41]. These findings stand in contrast to this and a previous
intervention [22] where the new menus neither significantly increased the plate waste nor reduced
the food consumed by pupils. Our methodological approach considered acceptability of the new
menu by minimizing the deviation from the baseline food supply. This was not done in the
aforementioned studies [20,21,41], although Benvenuti et al. considered aspects such as frequency
and composition of the meals, which to some extent parallels the contribution of the meal planner in
this study. Other researchers have also emphasized the importance of considering cultural
acceptability in the modelling of climate friendlier diets by minimizing the deviation from baseline
diets as a proxy for acceptability [14,17,29,37,42–44]. However, cultural acceptability of diets
should ideally be regarded as a more complex matter, including aspects such as knowledge and
preconceptions [45]. Using the baseline menu plan as a basis for the optimization could be
problematic though if the baseline menu is not acceptable in the first place. Then the optimized menu
will most probably not become more acceptable if the goal function in linear programming aims at
mimicking that. In future studies, researchers should include formative research that can help to
understand preferences and values of the intended consumers better prior to optimization.
We noted a relatively large day-to-day variation in plate waste and consumption in and between schools already at baseline despite the same menu being served, similarly to what we saw in the first intervention study [22]. This underlines that waste and consumption should be measured daily for higher precision of the outcomes. There are a number of possibilities to explain this variability like a varying water content of different meals, variations in appetite among children from day to day, children occasionally using several plates per meal, as well as measurement error at some stage of the procedure. This needs further investigation through observational studies in the school restaurant.

There is evidence to suggest that interventions to improve children’s diets in schools are more likely to be effective when they combine several components such as education and environmental changes simultaneously [46,47]. It would therefore be of great interest to repeat this study and include age-adjusted pedagogical materials like e.g. those produced by the WWF regarding healthy and sustainable eating [48]. One aim of such an approach could be to reduce food waste and change attitudes in the long term among both pupils and school staff.

Strengths and limitations

This study has several strengths when it comes to finding solutions how to balance environmental and health demands in meal planning and to show its practical applicability. The mathematical optimization approach tackles the challenge of identifying the optimal combination of foods to fulfil nutritional recommendations, reach environmental targets and cost while keeping the deviation from the baseline menu to a minimum. This approach offers the flexibility to modify the objective function and/or constraints so that the priorities and needs of different users can be accommodated. It is a more efficient method than using traditional food-substitution [49–51] or iterative methods [52] to identify the best food and beverage composition of a healthy and sustainable diet based on experience and intuition. These common sense heuristic approaches require multiple steps, and the chances are that the final solution is not always the optimal one [12] with some priorities unfulfilled. For example, the nutritional quality of French school meals was compromised when protein-rich dishes were reduced or when meat/fish-based dishes were replaced by vegetarian meals [53]. These findings support research showing that nutritional adequacy can be compromised when animal products in diets are replaced with plant-based foods without properly considering nutritional content [54]. The current OPTIMAT method does not include other environmental aspects of food choice than GHGE, mainly due to lack of data for other important environmental aspects like water use, biodiversity etc.

It was unfortunate that the data from the fourth week of the intervention could not be used due to the evolving corona pandemic with many children and kitchen staff staying at home. We decided to use data from only three plus three weeks. However, we do not believe that this has affected the results significantly. It widened our confidence intervals due to less data points, but the figures on waste and consumption show a very similar picture to our previous study [22]. Three weeks might not be long enough to observe significant acceptance of the modified menu plan in a longer perspective. On the other hand, acceptance of novel diets is known to increase with time since increased exposure is known to positively affect acceptance of new foods [55]. The study’s pre-post design, without a control group constitutes another limitation of the interpretation of results since the observed changes could also be a result of underlying secular trends in society. However, the study period was less than two months and therefore it is unlikely that secular trends such as increased food prices or seasonal variation had any impact on the results. Finally, measurements could potentially have been more precise if performed by the research team. On the other hand, weighing of food and waste is often done by kitchen staff, so this is not new to them. Furthermore, having the research team present during meal production could have led to other types of disturbances and irregularities and was therefore deemed less suitable.
The collection and clearance of data for the optimization in this study was done manually, applying Excel Open Solver as described in the method section. This involves several steps like collecting the purchasing lists of foods (i.e., all foods purchased for school meals in a given time period, including amounts and cost), coupling of the food items to the nutrition database and the database for food-specific GHGE, optimization, minor adjustments of the food list and finally creation of the new menu plan. We are currently considering automatizing this whole procedure in order to be able to offer the method on a large scale to meal providers. Based on our model of optimization, different acceptable dietary scenarios can be developed for specific populations with considerably lower GHGE than today. For example, despite Uppsala municipality’s previous efforts to reduce the climate impact of their meals, it was possible to reduce GHGE even more down to 500 g/meal [31]. This implies that even municipalities with knowledge, interest and aptitude to design climate-friendly menus could still benefit from an integrated approach such as Optimat. As data becomes available on other environmental impacts of specific food items like e.g., water use and biodiversity, these factors can also be integrated into the model.

5. Conclusions

We were able to reproduce our previous findings of unchanged consumption and plate waste of these environment-friendly school meals. This confirms the usefulness of our pragmatic approach for combining linear optimization with planning and serving of a new and more sustainable school lunches. This method could therefore be a valuable tool for public and private meal services and an important lever for future sustainable development of the food sector in Sweden and abroad.

6. Patents

OPTIMAT is a registered trademark at the European Union Intellectual Property Office.

Author Contributions: All authors contributed to the conceptualization of the research goal and aims. LSE conceived the idea for this study and acquired funding together with EP, AKL and AP. AP and PEC developed the optimization methodology and PEC conducted the data management, optimization, formal data analysis and visualizations for this study. LSE and PEC wrote the first draft of the paper, which was commented on by all authors and revised accordingly. All authors read and approved the final version of the manuscript.

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