

1 Article

# 2 Successful Implementation of Climate-Friendly, 3 Nutritious and Acceptable School Meals in Practice: 4 the OPTIMAT™ Intervention Study

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17 **Abstract:** Introducing children to sustainable and healthy school meals can promote a long-term  
18 dietary shift to lower climate impact and improve population health. The aim of the OPTIMAT  
19 study was to optimize meals for minimum deviation from the current food supply while reducing  
20 greenhouse gases and ensuring nutritional adequacy without increasing cost. Optimized menus  
21 were tested in four primary schools in Sweden and effects on daily food consumption and waste  
22 evaluated. Pupils received their usual menu plan for three weeks and then the isocaloric optimized  
23 menu plan for another three weeks. Nutritional recommendations for a school lunch and a  
24 maximum of 500 grams of CO<sub>2</sub>eq/meal were applied as constraints during linear programming.  
25 Pulses, Cereals, Meat and Eggs increased, while Fats and Oils, Dairy, Sauces and Seasonings  
26 decreased. The amount of ruminant meat was reduced in favor of other meat products. The new  
27 menu was 28% lower in greenhouse gas emissions and slightly less costly than the original. No  
28 significant changes in mean food consumption or plate waste were found in interrupted time series  
29 analysis between the two periods. This pragmatic approach for combining linear optimization with  
30 meal planning could accelerate sustainable development of the meal sector in Sweden and abroad.

31 **Keywords:** Children; diet; greenhouse gas emission; intervention; linear programming;  
32 optimization; sustainable development.

33

## 34 1. Introduction

35 Contemporary diets, being rich in animal products and low in fruits and vegetables, are among  
36 the leading causes of greenhouse gas emissions (GHGE) and the global burden of obesity and chronic  
37 diseases [1,2]. Hence, a dietary shift towards more plant-based foods holds substantial potential for  
38 considerable gains in both public health and environmental sustainability.

39 School meals have been identified as an underutilized opportunity to deal with health and  
40 sustainability as they can reach all children, and make up a considerable proportion of children's  
41 dietary intake over a long and critical period of growth [3]. Introducing children to environmentally  
42 sustainable and healthy school meals from an early age thus provides a unique chance to promote  
43 dietary change and improve children's knowledge of sustainable development and healthy diets,  
44 which may persist throughout life [3].

45 In Sweden, roughly 196 million meals, at a cost of around 640 million Euro (EUR), are served in  
46 Swedish primary schools (years 0-9) every year [4]. Lunches are typically self-served, hot dishes  
47 including a salad buffet, bread, spread and milk or water. According to Swedish law, these lunches  
48 should cover 30% of children's dietary needs [5]. A recent study of adolescents' school meals showed  
49 that school lunches contribute substantially to the nutritional quality of pupils' diets, providing on  
50 average almost half of total vegetable intake and two-thirds of fish intake [6]. However, the  
51 consumption of meat during school lunches is, accumulated over a week, also contributing to intakes  
52 exceeding the Swedish weekly population target for red and processed meat [7]. This is problematic  
53 from both a health and environmental sustainability perspective [8].

54 In general, Swedish school meals are of high quality [9] and a powerful way to facilitate more  
55 equal food intake among different groups in society, be it sex, socioeconomic or ethnicity [10].  
56 However, our previous studies show that there is room for improvement when it comes to certain  
57 nutrients, how the school meal is integrated with teaching, and how schools deal with issues of  
58 environmental impact [9]. Today, many schools and municipalities in Sweden try to reduce the  
59 environmental impact by e.g. serving more vegetarian meals, or organic foods, or even calculating  
60 associated emissions of greenhouse gases. However, it is not always clear what aspect of  
61 environmental impact is being reduced, and the process is labour-intensive, requiring many  
62 iterations, as it is difficult to simultaneously balance requirements regarding nutritional adequacy,  
63 cost, and cultural acceptability (potential food waste).

64 Linear optimization is a suitable mathematical method for finding the best possible solution  
65 when trying to accommodate several different, occasionally competing, demands [11]. It constitutes  
66 a systematic approach whereby environmental and nutritional requirements as well as acceptability  
67 and cost can be taken into consideration simultaneously [12]. Optimization has been applied to model  
68 diets that are cost-effective, nutritionally adequate, and more climate friendly [12–18]. It has also been  
69 used to mathematically design nutritious and environmentally sustainable school meals [19–21]. Our  
70 research group has developed and tested a pragmatic approach for combining linear optimization  
71 with planning and serving of a new school lunch menu [22]. In this first ever intervention, no  
72 undesirable effects on food waste or consumption were observed. However, the study was performed  
73 in only one Swedish municipality, limiting its generalizability. The present study constitutes the  
74 second intervention trial using this approach, this time in a municipality known for its progressive  
75 climate work with public meals for several years. We thus aimed to introduce a GHGE-reduced,  
76 nutritionally adequate, and affordable four-week lunch menu plan optimized for minimum deviation  
77 from the baseline food supply as a means to maximize acceptability, and to evaluate the new menu's  
78 impact on food waste and consumption in a different municipality. Our hypothesis was that school  
79 meals could be optimized to become even more climate friendly and nutritious, without negatively  
80 impacting acceptance approximated as the amount of food consumed and wasted, and without  
81 increasing the cost.

## 82 **2. Materials and Methods**

### 83 *2.1 Study design and theory*

84 The study employed a pre-post design using interrupted time series (ITS) analysis to investigate  
85 the effect of introducing an optimized lunch menu on daily food waste and consumption in schools  
86 [22]. Pupils in four primary schools followed their usual (baseline) menu plan during a period of four  
87 weeks and after a one week's mid-term break, the optimized four-week menu plan was served daily  
88 during the intervention period.

89 The intervention builds on Social Cognitive Theory (SCT), which states that behaviour is  
90 determined by reciprocal interaction between personal factors and the social and physical  
91 environment [23]. In this project we mainly intervened in the physical environment of the children  
92 (the available menu), and no extra social activities were performed compared to usual practice. In  
93 addition to the information sent home to parents, brief information material was placed in the school  
94 canteen during the trial. No other intervention activities were performed compared to usual practice.

## 95 2.2 Recruitment of schools

96 During the fall of 2019, a meeting was held with the meal services administration (including  
97 management, administrators and meal planner) in the municipality of Uppsala, Sweden. School chefs  
98 from four primary schools (up to grade 9) with 360 to 660 pupils were also present at the meeting.  
99 These schools had been identified by the municipality's meal manager as having the highest climate  
100 impact measured as GHGE in their school meals. The research team presented the project's idea of  
101 implementing more climate friendly lunches in schools and findings from the previous intervention  
102 [22] in three large schools in a different municipality. Written agreements with all four participating  
103 schools concerning the intervention and tasks to be performed by the school and by the research  
104 team, respectively, were consequently agreed upon between the headmasters and the principle  
105 investigator (LSE). Schools were asked to inform parents about the project via their usual news letter.  
106 They were also asked to place the information leaflet on each table in the school canteen and to set  
107 up a poster provided by the research team during the trial. These materials contained easy-to-read  
108 information about the project's outline and its aim to make school lunches more climate friendly.

## 109 2.3 Preparation of the database and optimization

110 The municipality's meal planner provided a four-week menu plan (including 2-3 dishes/day  
111 over a period of 20 weekdays) which had previously been served at the recruited schools to the  
112 research team. This menu was planned to meet 30% of the nutritional needs for a reference pupil  
113 aged 10-12 years over the four-week period [5]. A food list containing all foods and drinks (excluding  
114 spices) needed to produce the meals and the salad buffet was also provided. The list indicated the  
115 amount of each food item in kilograms (kg) of raw food used as well as the total cost of each item.  
116 For each food, an average price was calculated by dividing the total weight by the total cost. In total,  
117 181 food items were part of this list (in Swedish, can be obtained from the authors). These items were  
118 consequently linked to the Swedish Food Agency's food database [24], which provides the nutritional  
119 composition and also includes information on the edible proportions of foods. Each food was  
120 assigned a climate footprint, expressed as kg carbon dioxide equivalents per kg (kg CO<sub>2</sub>eq/kg) of  
121 food item by linking to Research Institutes of Sweden's Climate database. The database contains more  
122 than 750 food items representing Swedish food consumption [25]. It builds on results from life cycle  
123 analyses [26,27] and provides CO<sub>2</sub>eq values from farm to final product at the food factory gate. This  
124 database is not open to the public and access was paid for by the research team. As described  
125 previously [22,28,29], all calculations of nutritional adequacy were based on the nutrient content of  
126 the edible proportions of prepared (cooked, boiled, oven baked etc.) foods, while the calculations for  
127 cost and CO<sub>2</sub>eq were done on the amount of raw food.

## 128 2.4 Linear programming

129 The baseline food list was optimized following a linear programming (LP) strategy described in  
130 detail elsewhere [22,29] and was implemented with the CBC (COIN-OR Branch and Cut Solver  
131 algorithm, part of the Excel® 2016 software add-in OpenSolver, V. 2.9.0 [30]). Briefly, LP aims to either  
132 minimize or maximize a linear objective function that is subjected to a set of linear constraints having  
133 to be met simultaneously. As described previously [22,29], we chose to minimize the total relative  
134 deviation (TRD) from the baseline food supply across all 181 food items in an attempt to make the  
135 new menus as acceptable as possible. All applied nutritional and other food constraints are shown in  
136 Table 1. The baseline menu's average energy content (672 kilocalories per pupil and lunch) was held  
137 constant during the optimization (baseline menu plan available from authors in Swedish).  
138 Furthermore, nutritional recommendations for a school lunch [5] and a maximum limit of 500 grams  
139 of CO<sub>2</sub>eq/meal were applied as constraints over a 4-week period. The CO<sub>2</sub>eq constraint was set to  
140 match the World Wildlife Fund's (WWF) target for school lunches [31] (max 500g CO<sub>2</sub>eq/lunch)  
141 which has been derived from data in the IPCC report [1]. The TRD was divided by the total number  
142 of food items included in the model to estimate the average relative deviation (ARD) of all foods.  
143 This value is a proxy for the optimized model's overall similarity to the baseline menu plan.

144 We decided to constrain the change in food items by -75% to +100% from baseline levels as done  
145 previously [22]. Milk (not used for cooking) and crisp bread are traditionally openly available for  
146 consumption ad libitum at lunch. These items were constrained to equal 50% of their initial amount  
147 upon request from the municipality's meal planner, who estimated that pupils did not consume more  
148 than half of the provided amount of these items anyway. Potatoes were also constrained to increase  
149 by a maximum of 50% since we knew from previous experience that a greater increase was judged to  
150 be practically unfeasible when planning the meals.

151 Before initiating the menu development, the optimized food supply was presented to the meal  
152 planner who was given the chance to make modifications if deemed necessary for practical reasons.  
153 In this case, the meal planner requested that three foods, (each reduced by 75% from baseline amounts  
154 by the algorithm) to be excluded entirely. These were portion-sized meat products (frozen meat  
155 patties and frozen hamburgers) and minced meat. The meal planner judged that the amounts of these  
156 food items were too low to be feasible in the new menu plan. Furthermore, the baseline weight of  
157 frozen meatballs (one of the most popular foods amongst pupils) was requested to be kept constant.  
158 A second linear optimization of the baseline food list was performed implementing these additional  
159 modifications to the model.

160 Foods were grouped into 14 categories to provide an illustration of the overall changes resulting  
161 from the optimization. The categorization built on the grouping of foods in the Climate database [25]:  
162 Beverages (without milk); Fats and oils; Seafood; Fruits and berries; Vegetables; Pulses; Potatoes and  
163 roots; Meat; Cereals; Dairy; Nuts and seeds; Seasoning and sauces; Sugar and sweets; and Eggs.  
164 Materials not published in this paper can be provided by the authors upon reasonable request.

## 165 *2.5 Meal planning and implementation*

166 The municipality's meal planner developed the new menu plan using the food list resulting from  
167 the final optimization (optimized menu plan available from authors in Swedish). The new menu plan  
168 was developed following the baseline menu plan as closely as possible in terms of type/composition  
169 and naming as this was thought to increase its acceptability. During this process, the meal planner  
170 requested and was granted to do an additional change to the optimized food list, which led to a  
171 reduction in the amount of blood pudding (a smooth sausage-like product made with pork blood) to  
172 baseline levels and instead increasing the amount of chicken sausage by 100% as this was thought to  
173 be more acceptable. The change resulted in negligible changes to the main parameters (i.e. GHGE,  
174 nutritional adequacy and cost) of the optimized food supply. The new menu plan was discussed with  
175 and approved by the school chefs.

## 176 *2.6 Outcomes*

177 Plate waste per pupil and consumption per pupil were the primary outcomes. These parameters  
178 were considered to reflect the pupils' acceptability of the new menu. Prior to the intervention,  
179 detailed instructions on how to perform the measurements of food waste and consumption was  
180 provided to the chefs by the research team. The kitchen staff, instructed by the chefs at each school  
181 executed these measurements on the basis of a template for measuring food waste and school lunch  
182 consumption [32]. Four fractions were weighed using school kitchen scales during the baseline and  
183 intervention period: all food prepared in the kitchen (prepared food (kg)); the amount of prepared  
184 food that was not consumed and had to be discarded as it was unsuitable for re-use (serving waste  
185 (kg)); the amount that could be re-used (leftover food (kg)); and the amount of leftover that was  
186 discarded by the pupils into a bin (plate waste (kg)). The number of plates used by the pupils in the  
187 school restaurant was also recorded daily. The chefs reported all waste measurements on a weekly  
188 basis to the research team and were also asked to record details of any additional adjustments made  
189 to the new menu plan. These measurements were taken for the calculation of:

- 190  
191 i) Plate waste per pupil (g), calculated per day by dividing the total plate waste by  
192 the total daily number of recorded plates.

193 ii) Food consumption per pupil (g), calculated by subtracting the sum of the total  
194 serving waste, total plate waste, and total leftover food from the total amount  
195 of prepared food and dividing that by the recorded total daily number of plates.

196 As a result of the accelerating Covid-19 pandemic in March 2020, school attendance rates dropped  
197 (although primary schools were not closed in Sweden) during the last week of the intervention, and, in  
198 several cases, waste measurements were not undertaken due to staff shortage. These circumstances  
199 resulted in a decision to only compare the three weeks preceding the one-week mid-term break to the  
200 three weeks following the break, thus excluding the first baseline week and the last intervention week  
201 from the calculations.

## 202 2.7 Statistical analyses

203 Interrupted time-series (ITS) [33] analysis was used for comparing daily plate waste per pupil  
204 (g) and daily consumption per pupil (g) between the two time sequences (baseline and  
205 intervention). We performed a level and slope change analysis [33]. The slope represents the trend of  
206 either increasing (positive) or decreasing (negative) amounts of food consumption or waste over the  
207 baseline and intervention periods. We thereby included a numerical variable representing the time  
208 elapsed (to adjust for the baseline trend), a categorical variable representing the period (to reveal  
209 potential mean differences between baseline and intervention periods) and consequently also added  
210 an interaction term between time and the period in the regression models (to reveal differences in  
211 slopes between the two time periods). Assumptions of normality, homoscedasticity, as well as  
212 absence of autocorrelation and partial autocorrelation were assessed through normal probability  
213 plots, residual plotting, and the Durbin-Watson test (25). All tests were two-sided and P values of  
214  $<0.05$  were assumed to indicate statistical significance. All statistical analyses were conducted in the  
215 software R (version 3.6.1) [34].

## 216 3. Results

### 217 3.1 Linear optimization and menu planning

218 Table 1 shows the GHGE, cost, and nutritional content of an average meal from the baseline food  
219 list, the optimized food list, and the final menu plan. The baseline food list contained 693g CO<sub>2</sub>eq and  
220 cost 11.5 SEK (approx. 1.05 Euros) on average per meal. It met all dietary reference values used for  
221 planning school meals. The linear optimization provided an optimized food list containing 499g  
222 CO<sub>2</sub>eq on average (28% less than baseline emissions) that also met all nutritional requirements and  
223 cost 3.1% less than the baseline food list. The ARD was 13.7% from baseline. After the modifications  
224 made by the meal planner (reducing the amount of blood pudding to baseline levels and instead  
225 increasing the amount of chicken sausage by 100%) reduced the energy content of an average lunch  
226 by 5 kcal compared to the optimized list (Table 1). The final menu plan contained 497g CO<sub>2</sub>eq and  
227 the cost was 3.2% lower than at baseline. In both the baseline and the new menu plan, 30 of the 49  
228 served dishes were entirely vegetarian (i.e. did not containing any red meat/fish/poultry).  
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230 **Table 1.** Constraints applied during all linear optimization procedures, the resulting greenhouse gas  
 231 emissions (CO<sub>2</sub>eq), cost, and nutrient content per meal in the baseline food list, optimized food list, and  
 232 the applied (final) menu plan.

Parameter	Constraints		Results		
	Lower limit	Upper limit	Baseline food list	Optimized food list	Final menu plan
CO <sub>2</sub> eq. (g/lunch)	na	499*	693	499	497
Cost (SEK/lunch)	na	na	11.54	11.18	11.17
Energy (kcal/lunch) <sup>a</sup>	672	672	672	672	667
Carbohydrates (%E)	45	60	47	50	50
Fat (%E)	22	40	33	29	28
Protein (%E)	10	na	16	17	17
Fiber (%E)	2	na	6	8	8
Saturated fatty acids (%E)	na	10	9	8	8
Monounsaturated fatty acids (%E)	10	20	14	12	12
Polyunsaturated fatty acids (%E)	5	10	7	6	6
Vitamin A (µg) <sup>b</sup>	188	na	316	277	277
Vitamin D (µg) <sup>b</sup>	3.1	na	4.5	3.1	3.1
Vitamin E (mg) <sup>b</sup>	2.2	na	5.1	4.7	4.7
Thiamine (mg) <sup>b</sup>	0.3	na	0.4	0.4	0.4
Riboflavin (mg) <sup>b</sup>	0.4	na	0.6	0.5	0.5
Vitamin C (mg) <sup>b</sup>	16.0	na	39.6	40.0	40.0
Niacin (mg) <sup>b</sup>	4.6	na	5.0	5.2	5.3
Vitamin B6 (mg) <sup>b</sup>	0.4	na	0.6	0.6	0.6
Vitamin B12 (µg) <sup>b</sup>	0.6	na	1.9	1.4	1.4
Folate (µg) <sup>b</sup>	62.7	na	139	152	152
Phosphor (mg) <sup>b</sup>	199	na	585	555	555
Iodine (µg) <sup>b</sup>	43.2	na	103	93.4	94.2
Iron (mg) <sup>b</sup>	3.4	na	3.9	5.2	4.6
Calcium (mg) <sup>b</sup>	282	na	419	321	322
Potassium (mg) <sup>b</sup>	971	na	1154	1113	1111
Magnesium (mg) <sup>b</sup>	87.7	na	111	120	120
Salt (g) <sup>c</sup>	na	3.6	2.4	2.3	2.3
Selenium (µg) <sup>b</sup>	12.5	na	17.3	18.0	18.0
Zink (mg) <sup>b</sup>	3.4	na	3.5	3.4	3.4
Added sugars (E%) <sup>c,d</sup>	na	5	2	1	1
Milk <sup>e</sup>	-50%	-50%	-	-	-
Crisp bread <sup>e</sup>	-50%	-50%	-	-	-
Butter (used as spread) <sup>e</sup>	-50%	-50%	-	-	-
Potaotes <sup>e</sup>	-75%	+50%	-	-	-
Frozen hamburgers <sup>e</sup>	-100%	-100%	-	-	-

Frozen meat patties <sup>e</sup>	-100%	-100%	-	-	-
Minced meat <sup>e</sup>	-100%	-100%	-	-	-
Meatballs <sup>e</sup>	-0%	+0%	-	-	-
All other foods <sup>e</sup>	-75%	+100%	-	-	-

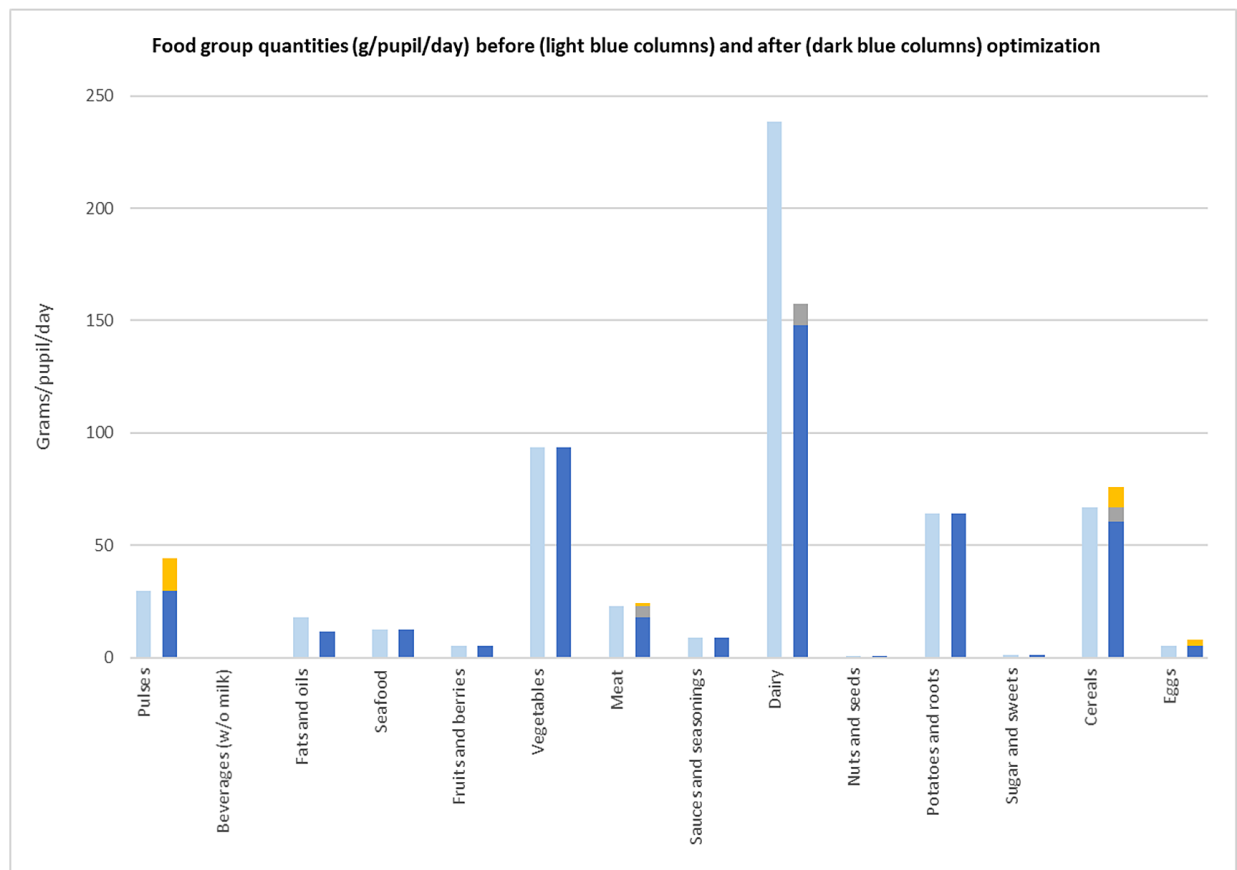
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234 <sup>a</sup>Based on 30% of daily estimated energy requirement (EER) for an average pupil, 10-12 years, both sexes.235 <sup>b</sup>Lower limit based on 30% of daily recommended intakes (RIs) for an average pupil, 10-12 years, both sexes.236 <sup>c</sup>Upper limit based on 30% of daily recommended intake (RIs) for an average pupil, 10-12 years, both sexes.237 <sup>d</sup>Estimated according to Wanselius et al. [35].238 <sup>e</sup>Relative deviation from baseline food supply.239 \* WWF target level of maximum 500g CO<sub>2</sub>e/meal.

240 SEK = Swedish krona.

241 na = not applied

242 Figure 1 displays the food group quantities in the baseline food list and in the optimized  
 243 (isocaloric) food list. Amounts of seven of the 14 food groups were not changed by the linear  
 244 programming algorithm (Beverages (without milk), Seafood, Fruits and berries, Vegetables, Nuts and  
 245 seeds, Potatoes and roots, and Sugars and sweets). Four of the food groups increased in weight per  
 246 pupil per day (Pulses, +14.2g; Cereals, +9.1g; Meat, +1.0g; Eggs, +2.9g), while three food groups were  
 247 reduced in weight (Fats and oils, -6.4g; Dairy, -80.1g; Seasoning and sauces, -0.2g). In some food  
 248 groups intra-food group substitutions occurred, meaning that some food items in each food group  
 249 were reduced by the optimization while others were increased so much that each food groups' total  
 250 quantities were larger than their baseline values. For example, while the Meat category actually  
 251 increased slightly overall, red meat was reduced by 5.1 grams, while the amounts of poultry meat  
 252 and blood pudding increased by 6.1g in total.



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**Figure 1.** Food group quantities (g/pupil/day) before (baseline food list; light blue columns) and after (optimized food list; dark blue columns) optimization. The grey parts indicate the amount that was reduced within a food group but replaced by other foods of the same group (i.e. intra food group substitutions); The yellow part indicates the amount within a food group that exceeds the baseline amount after optimization. .

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The primary outcomes of this study were the average daily food consumption per pupil and the average daily plate waste per pupil. No significant changes in the average daily plate waste per pupil or the daily consumption per pupil were seen in any of the schools between the baseline and the intervention period (Table 2).



264 **Table 2.** Difference in daily amount of plate waste per pupil (g) and daily consumption per pupil (g) between  
 265 baseline and intervention periods with 95% confidence intervals (CI).

	Plate waste (g/pupil)								Consumption (g/pupil)							
	Baseline		Intervention		Parameter estimates				Baseline		Intervention		Parameter estimates			
	Mean	95% CI	Mean	95% CI	$\beta_1$	$P$	$\beta_2$	$P$	Mean	95% CI	Mean	95% CI	$\beta_1$	$P$	$\beta_2$	$P$
School1	16	13-18	21	18-24	8.19	0.04	-0.43	0.331	277	246-308	251	221-281	-22.97	0.582	-13.58	<b>0.002</b>
School2	25	19-31	21	18-24	8.66	0.192	1.01	0.181	232	186-278	267	232-303	-49.33	0.350	-12.91	<b>0.028</b>
School3	26	22-30	44	37-52	10.81	0.191	1.95	<b>0.034</b>	344	311-377	355	314-395	18.39	0.714	-3.14	0.593
School4	26	21-31	32	22-41	10.40	0.302	-1.33	0.251	197	167-228	176	133-218	-25.15	0.617	2.27	0.701

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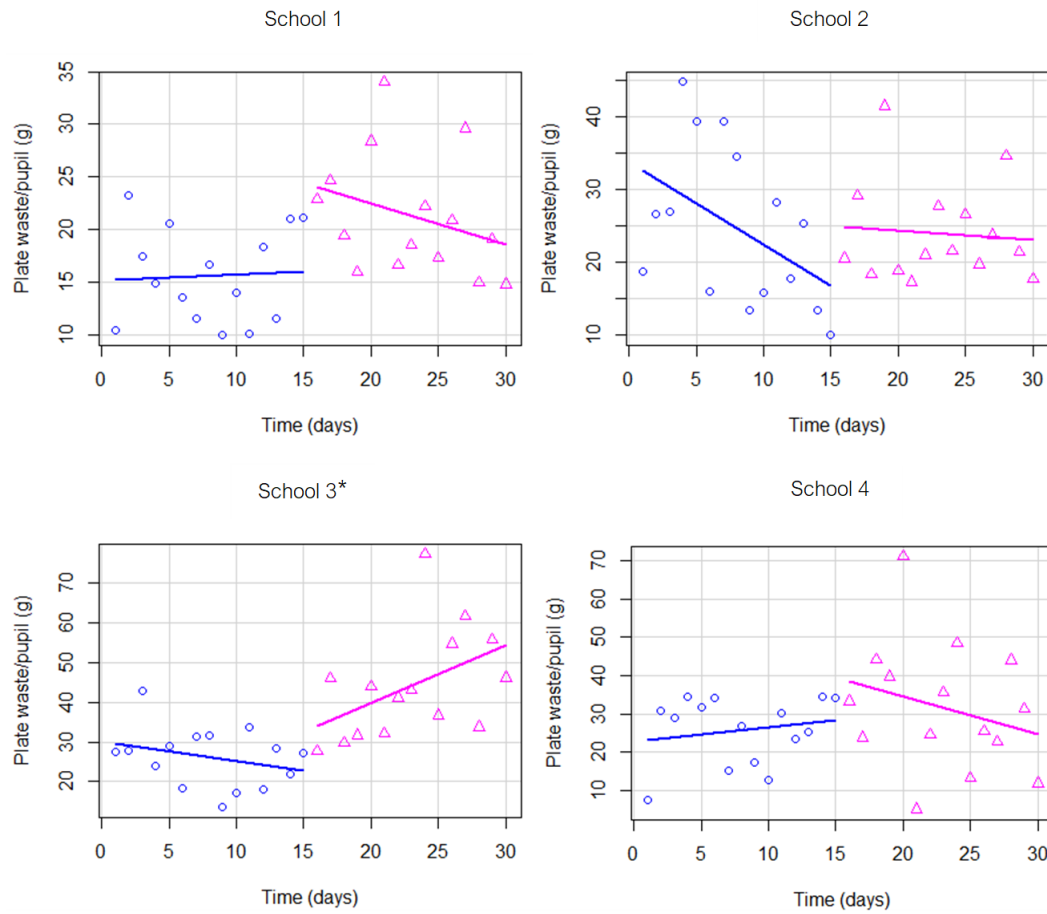
267 Bold text indicates statistically significant p-values (<0.05).

268  $\beta_1$ . Beta coefficient for the level (mean) change (baseline vs. intervention), with baseline period as the reference  
 269 category.

270  $\beta_2$ . Beta coefficient for the slope (baseline vs. intervention), with baseline period as the reference category.

271 However, the slope changed between baseline and intervention period for the plate waste in  
 272 School 3, where it increased ( $\beta_2=1.95, P=0.034$ ) during the intervention period (Figure 2). Slope-  
 273 differences between baseline and intervention were seen for the daily food consumption in School 1  
 274 ( $\beta_2=-13.58, P=0.002$ ) and School 2 ( $\beta_2=-12.91, P=0.028$ ) (Figure 3).

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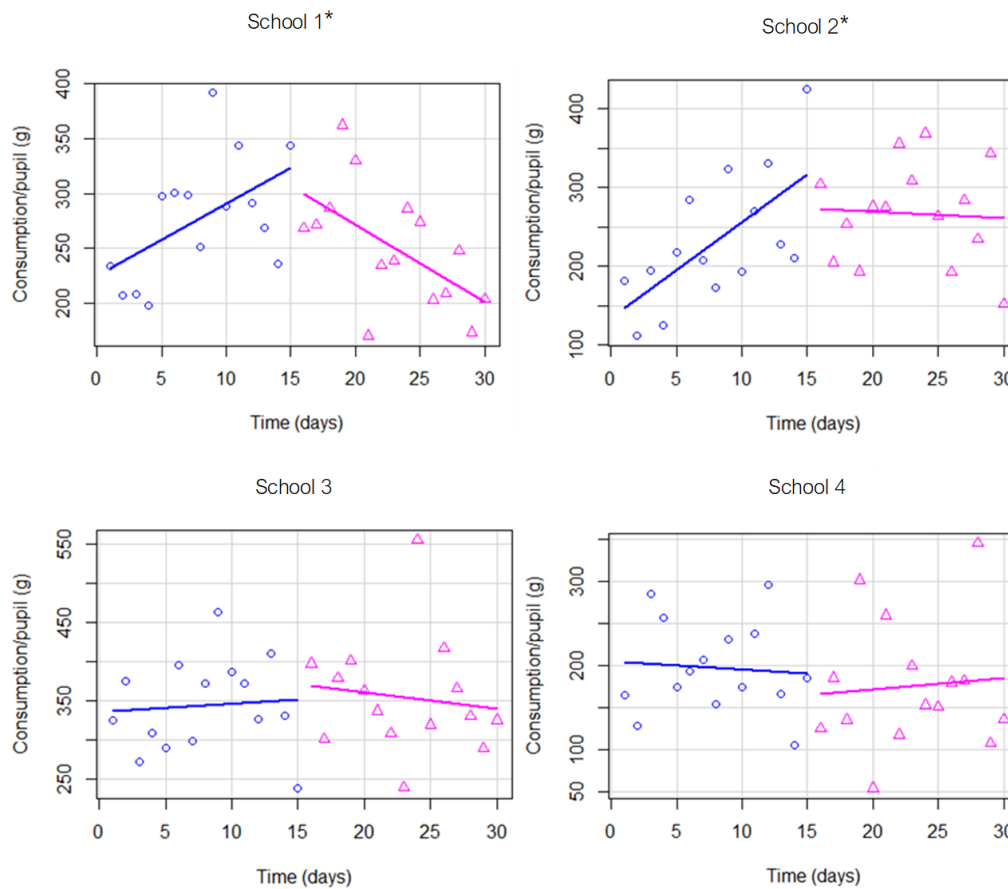
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**Figure 2.** Scatterplots based on ITS analysis displaying the daily plate waste per pupil (g) in Schools 1-4. The blue colored graphs represent the daily amount of plate waste per pupil during the baseline period (measurement day 0-15), while the pink colored graphs represent the daily amount of plate waste per pupil during the intervention period (measurement day 16-30); \* $P < 0.05$  for slope change between baseline and intervention period based on the ITS analysis. .

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284 **Figure 3.** Scatterplots based on ITS analysis displaying the daily consumption per pupil (g) in Schools  
 285 1-4. The blue colored graphs represent the daily consumption per pupil during the baseline period  
 286 (measurement day 0-15), while the pink colored graphs represent the daily consumption per pupil  
 287 during the intervention period (measurement day 16-30); \* $P < 0.05$  for slope change between baseline  
 288 and intervention period based on the ITS analysis. .

#### 289 4. Discussion

##### 290 *Main findings*

291 In the study at hand, we were able to demonstrate that climate friendly and nutritionally  
 292 adequate menu plans for Swedish schools can be designed through optimization and experienced  
 293 meal planning. The associated GHGE per meal decreased by 28%, reaching the target level of 500g  
 294 CO<sub>2</sub>eq/meal, the optimized food pattern was fairly similar to baseline, comparably affordable, and,  
 295 as neither plate waste nor consumption was negatively affected, acceptable to pupils. Our  
 296 optimization strategy affected mainly animal-based foods such as ruminant meat and solid dairy  
 297 foods which were reduced. This reduction was compensated by increased amounts of other less  
 298 GHGE-intense animal products such as poultry meat and eggs, however, the major part of the  
 299 substitution consisted of pulses and cereals. These findings are complementary to those of previous  
 300 studies [14–16,36,37] where the optimization algorithm reduced meat and dairy products and  
 301 increased plant-based foods to achieve nutritionally adequate, GHGE-reduced and acceptable  
 302 solutions.

303 The findings in the present study support the results of a comparable study where  
 304 environmental sustainability and nutritional quality were integrated to provide a new menu plan  
 305 optimized for minimal deviation from baseline and nutrient content [22]. The information material

306 about the change in menu was displayed in the school restaurant during the study. But this did  
307 apparently not deter the pupils since mean consumption and plate waste did not change significantly  
308 from baseline (20-40g per day both during baseline and intervention), similar to our previous study  
309 [22]. School number 3 was an exception in this regard where an increase in slope for plate-waste was  
310 observed. This school included pupils with cognitive/intellectual disabilities who were reported by  
311 the staff to be more averse to dietary change. This aspect of children with special needs should be  
312 considered in future interventions. The amount of food consumed did not change significantly with  
313 the new menu plan, although there were some slope changes for consumption in Schools 1 and 2.  
314 These changes are difficult to interpret as the slopes of the regression lines to some extent could be  
315 influenced by the order in which the meals were served over both periods. Slope changes are  
316 probably a temporary phenomenon and would most likely even out over a longer time period, but  
317 the important question is if they stabilize at the same level between the two periods. In order to study  
318 this, much longer interventions will be required. Although the meal planner was asked to plan the  
319 new menu as closely as possible to the baseline menu, this did not necessarily mean keeping the order  
320 of the meals similar but rather just keeping the new dishes as similar as possible to the old ones in  
321 terms of dish-type/composition and naming. Future studies should take this into consideration.

322 Uppsala municipality has been very progressive in its climate work with public meals for several  
323 years, which explains the relatively low baseline GHGE values from school meals (average baseline  
324 emissions of 693g CO<sub>2</sub>eq/meal compared to 829g CO<sub>2</sub>eq/meal in our previous study) and probably  
325 also why intra-food group substitutions in the Meat-category (resulting in a total increase of meat  
326 products) were observed in the optimized menu. This is similar to findings from Tunisia, where total  
327 GHGE from diets were reduced mainly from redistributing animal products rather than on reducing  
328 their total contribution to the diet [38]. In comparison, GHGE from school meals in the UK have been  
329 estimated to 720g CO<sub>2</sub>eq/meal, with meat and fish making the greatest contribution [39]. However,  
330 it is difficult to make clear cut comparisons between school meals in different countries due to aspects  
331 such as differences in portion size-estimations and variations in environmental impacts of food  
332 production between countries [40]. Other evaluations of the effects of introducing more sustainable  
333 school meals in practice are scarce. In Italy, optimization techniques have been applied to design a  
334 nutritious and GHGE-minimized one-month lunch plan for primary school children [20]. The applied  
335 approach is similar to ours as it reduced GHGE of the meals to between 389 and 553 grams of CO<sub>2</sub>eq  
336 /day while meeting dietary recommendations for energy, proteins, lipids, carbohydrates, fibers,  
337 sugar, and sodium. Similarly, Spanish researchers reduced the GHGH of a 4-week school meal menu  
338 by 13-24% while meeting most nutrient requirements using optimization techniques [21]. However,  
339 the acceptability of the optimized menus in these studies [20,21] remains unknown as none of them  
340 were reported to have ever been tested in practice. In Finland, the implementation of a weekly  
341 compulsory vegetarian day initially reduced school lunch participation, resulted in more plate waste  
342 and a lower food consumption [41]. These findings stand in contrast to this and a previous  
343 intervention [22] where the new menus neither significantly increased the plate waste nor reduced  
344 the food consumed by pupils. Our methodological approach considered acceptability of the new  
345 menu by minimizing the deviation from the baseline food supply. This was not done in the  
346 aforementioned studies [20,21,41], although Benvenuti et al. considered aspects such as frequency  
347 and composition of the meals, which to some extent parallels the contribution of the meal planner in  
348 this study. Other researchers have also emphasized the importance of considering cultural  
349 acceptability in the modelling of climate friendlier diets by minimizing the deviation from baseline  
350 diets as a proxy for acceptability [14,17,29,37,42-44]. However, cultural acceptability of diets  
351 should ideally be regarded as a more complex matter, including aspects such as knowledge and  
352 preconceptions [45]. Using the baseline menu plan as a basis for the optimization could be  
353 problematic though if the baseline menu is not acceptable in the first place. Then the optimized menu  
354 will most probably not become more acceptable if the goal function in linear programming aims at  
355 mimicking that. In future studies, researchers should include formative research that can help to  
356 understand preferences and values of the intended consumers better prior to optimization.

357 We noted a relatively large day-to-day variation in plate waste and consumption in and between  
358 schools already at baseline despite the same menu being served, similarly to what we saw in the first  
359 intervention study [22]. This underlines that waste and consumption should be measured daily for  
360 higher precision of the outcomes. There are a number of possibilities to explain this variability like a  
361 varying water content of different meals, variations in appetite among children from day to day,  
362 children occasionally using several plates per meal, as well as measurement error at some stage of  
363 the procedure. This needs further investigation through observational studies in the school  
364 restaurant.

365 There is evidence to suggest that interventions to improve children's diets in schools are more  
366 likely to be effective when they combine several components such as education and environmental  
367 changes simultaneously [46,47]. It would therefore be of great interest to repeat this study and include  
368 age-adjusted pedagogical materials like e.g. those produced by the WWF regarding healthy and  
369 sustainable eating [48]. One aim of such an approach could be to reduce food waste and change  
370 attitudes in the long term among both pupils and school staff.

### 371 *Strengths and limitations*

372 This study has several strengths when it comes to finding solutions how to balance  
373 environmental and health demands in meal planning and to show its practical applicability. The  
374 mathematical optimization approach tackles the challenge of identifying the optimal combination of  
375 foods to fulfil nutritional recommendations, reach environmental targets and cost while keeping the  
376 deviation from the baseline menu to a minimum. This approach offers the flexibility to modify the  
377 objective function and/or constraints so that the priorities and needs of different users can be  
378 accommodated. It is a more efficient method than using traditional food-substitution [49–51] or  
379 iterative methods [52] to identify the best food and beverage composition of a healthy and sustainable  
380 diet based on experience and intuition. These common sense heuristic approaches require multiple  
381 steps, and the chances are that the final solution is not always the optimal one [12] with some  
382 priorities unfulfilled. For example, the nutritional quality of French school meals was compromised  
383 when protein-rich dishes were reduced or when meat-/fish-based dishes were replaced by vegetarian  
384 meals [53]. These findings support research showing that nutritional adequacy can be compromised  
385 when animal products in diets are replaced with plant-based foods without properly considering  
386 nutritional content [54]. The current OPTIMAT method does not include other environmental aspects  
387 of food choice than GHGE, mainly due to lack of data for other important environmental aspects like  
388 water use, biodiversity etc.

389 It was unfortunate that the data from the fourth week of the intervention could not be used due  
390 to the evolving corona pandemic with many children and kitchen staff staying at home. We decided  
391 to use data from only three plus three weeks. However, we do not believe that this has affected the  
392 results significantly. It widened our confidence intervals due to less data points, but the figures on  
393 waste and consumption show a very similar picture to our previous study [22]. Three weeks might  
394 not be long enough to observe significant acceptance of the modified menu plan in a longer  
395 perspective. On the other hand, acceptance of novel diets is known to increase with time since  
396 increased exposure is known to positively affect acceptance of new foods [55]. The study's pre-post  
397 design, without a control group constitutes another limitation of the interpretation of results since  
398 the observed changes could also be a result of underlying secular trends in society. However, the  
399 study period was less than two months and therefore it is unlikely that secular trends such as  
400 increased food prices or seasonal variation had any impact on the results. Finally, measurements  
401 could potentially have been more precise if performed by the research team. On the other hand,  
402 weighing of food and waste is often done by kitchen staff, so this is not new to them. Furthermore,  
403 having the research team present during meal production could have led to other types of  
404 disturbances and irregularities and was therefore deemed less suitable.

405

#### 406 *Future developments of the method*

407 The collection and clearance of data for the optimization in this study was done manually,  
408 applying Excel Open Solver as described in the method section. This involves several steps like  
409 collecting the purchasing lists of foods (i.e. all foods purchased for school meals in a given time  
410 period, including amounts and cost), coupling of the food items to the nutrition database and the  
411 database for food-specific GHGE, optimization, minor adjustments of the food list and finally  
412 creation of the new menu plan. We are currently considering automatizing this whole procedure in  
413 order to be able to offer the method on a large scale to meal providers. Based on our model of  
414 optimization, different acceptable dietary scenarios can be developed for specific populations with  
415 considerably lower GHGE than today. For example, despite Uppsala municipality's previous efforts  
416 to reduce the climate impact of their meals, it was possible to reduce GHGE even more down to 500  
417 g/meal [31]. This implies that even municipalities with knowledge, interest and aptitude to design  
418 climate-friendly menus could still benefit from an integrated approach such as Optimat. As data  
419 becomes available on other environmental impacts of specific food items like e.g. water use and  
420 biodiversity, these factors can also be integrated into the model.

#### 421 **5. Conclusions**

422 We were able to reproduce our previous findings of unchanged consumption and plate waste  
423 of these environment-friendly school meals. This confirms the usefulness of our pragmatic approach  
424 for combining linear optimization with planning and serving of a new and more sustainable school  
425 lunches. This method could therefore be a valuable tool for public and private meal services and an  
426 important lever for future sustainable development of the food sector in Sweden and abroad.

#### 427 **6. Patents**

428 OPTIMAT is a registered trademark at the European Union Intellectual Property Office.

429 **Author Contributions:** All authors contributed to the conceptualization of the research goal and aims. LSE  
430 conceived the idea for this study and acquired funding together with EP, AKL and AP. AP and PEC developed  
431 the optimization methodology and PEC conducted the data management, optimization, formal data analysis  
432 and visualizations for this study. LSE and PEC wrote the first draft of the paper, which was commented on by  
433 all authors and revised accordingly. All authors read and approved the final version of the manuscript.

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