

1 Case Report

2 Pan-Echinocandin-Resistant *Candida glabrata* 3 Bloodstream Infection Complicating COVID-19: A 4 Fatal Case Report

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16 **Abstract:** Coinfections with bacteria or fungi may be a frequent complication of COVID-19,
17 although coinfections with *Candida* species in COVID-19 patients remain rare. We report the 53-
18 day clinical course of a complicated type-2 diabetes patient diagnosed with COVID-19, who
19 developed bloodstream infections initially due to methicillin-resistant *Staphylococcus aureus*,
20 secondly to multidrug-resistant Gram-negative bacteria, and lastly to a possibly fatal *Candida*
21 *glabrata*. Development of *FKS*-associated pan-echinocandin resistance in the *C. glabrata* isolated
22 from the patient after 13 days of caspofungin treatment aggravated the situation. The patient died
23 of septic shock shortly before the prospect of receiving potentially effective antifungal therapy.
24 This case emphasizes the importance of early diagnosis and monitoring for antimicrobial drug-
25 resistant coinfections to reduce their unfavorable outcomes in COVID-19 patients.

26 **Keywords:** SARS-CoV-2; coinfection; diabetes; bloodstream infection; *Candida glabrata*;
27 echinocandin resistance; *FKS* mutation

28

29 1. Introduction

30 Since the beginning of the respiratory tract infection epidemic in China [1] caused by the 2019
31 severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), known as coronavirus disease 2019
32 (COVID-19), a substantial number of COVID-19 associated deaths have been reported worldwide
33 [2]. While sepsis may be a fatal complication of COVID-19 [3], coinfection (also named
34 superinfection) with bacteria or fungi may occur, albeit confined to the respiratory tract [4,5]. In two
35 independent studies from Chinese hospitals, 27 (96.4%) of 28 [6] and 11 (16%) of 68 [7] COVID-19
36 patients who died had secondary infections. This is consistent with a failed homeostasis between
37 innate and adaptive responses [8] or a pronounced immune suppression [9], which is partly
38 dependent on the loss of lymphocytes, following SARS-CoV-2 infection [10]. Diabetes is the most
39 common comorbidity in COVID-19, with its late complications (e.g., ischemic heart disease)
40 contributing to further increase COVID-19 severity [11]. Additionally, diabetes increases not only
41 the risk of infections [11] but also of infection-related deaths [12]. In this context, diabetes seems to
42 alter the intestinal barrier function allowing gut microbiota members (e.g., *Enterobacterales* or
43 *Candida* species) to reach the bloodstream and, then, to spread systemically [13]. We describe the
44 case of a COVID-19 patient with complicated type-2 diabetes who developed bloodstream infection

45 due to a *Candida glabrata* isolate that acquired pan-echinocandin resistance after 13 days of
46 caspofungin treatment. The patient died of septic shock in the intensive care unit (ICU), shortly
47 before the prospect of receiving potentially effective antifungal therapy.

48 2. Case Report and Results

49 A 79-year-old male presented to the emergency department with cough and dyspnea,
50 following a suspected COVID-19 diagnosis because of his previous contact with a SARS-CoV-2
51 positive patient in a rehabilitation facility. Two days prior to admission (defined as day 1), he had
52 been suffering from fever (38.0°C). His 6-year medical history was significant for poorly controlled
53 type 2 diabetes, ischemic heart disease, and a stadium IV peripheral artery disease treated with
54 lower extremity revascularization, which culminated into left leg amputation in 2019. On physical
55 examination, the amputated leg stump displayed necrotic and ulcerative lesions, whereas the
56 patient was afebrile and negative for abnormal lung sounds and had a 98% blood oxygenation. His
57 leucocytes ($\times 10^9/L$) were normal (4.7; normal range 4.0–10.0) whereas serum creatinine (mg/dL)
58 (1.3; normal range 0.7–1.2), C-reactive protein (CRP, mg/L) (37.8; normal range 0.0–5.0), and
59 interleukin 6 (IL6, ng/L) (13.6; normal range 0.0–4.4) were altered. The patient's chest X-ray and
60 computed tomography findings were consistent with pneumonia, and positive SARS-CoV-2 RNA
61 detection results (C_T 30.3; E gene [14]) on nasal/pharyngeal swabs obtained in the emergency
62 department allowed to confirm COVID-19 diagnosis [15]. Subsequent nasal/pharyngeal swabs
63 taken from the patient at different times from admission will test positive for SARS-CoV-2 RNA.

64 The patient was transferred to the COVID-19 care unit where he started on antiviral therapy
65 (that will be continued for next five days) with darunavir/ritonavir (800/100 mg q24h) combined
66 with hydroxychloroquine (200 mg q12h), which was our national policy at that time. On days 4 and
67 5, the patient's clinical conditions worsened, and his serum creatinine, CRP, and leukocytes
68 increased to 3.5 mg/dL, 155.4 mg/L, or $6.9 \times 10^9/L$, respectively. The patient developed fever
69 (38.2°C), productive cough, and his blood oxygenation decreased to 92% demanding oxygen
70 administration through a Venturi mask (fraction of inspired oxygen, 24%). Due to highly suspected
71 bacterial superinfection, he received empirical treatment with piperacillin/tazobactam (2.25 g q6h).

72 On day 8, the patient was still febrile (38.5°C), his serum creatinine (3.9 mg/dL), CRP (177.2
73 mg/L), and leukocytes ($9.4 \times 10^9/L$) increased further, and blood cultures from day 5 grew a
74 methicillin-resistant *Staphylococcus aureus* organism. Consequently, piperacillin/tazobactam was
75 discontinued and teicoplanin (200 mg q24h) was started. He apparently improved and subsequent
76 blood cultures, a transthoracic echocardiogram, and ultrasound studies to evaluate deep vein
77 thrombosis were all negative. On day 25, teicoplanin was discontinued. The next day, both
78 orthopedic and vascular surgeons who evaluated the patient decided for a new, more proximal
79 amputation of his left leg. On day 27, the patient became febrile (38.5°C). His leukocytes increased
80 to $10.8 \times 10^9/L$ and infection indexes, including procalcitonin (PCT; normal range, 0.0–0.5 ng/mL),
81 were elevated (CRP, 275 mg/L; PCT, 1.65 ng/mL). While his kidney injury seemed to recover (serum
82 creatinine, 1.5 mg/dL), the patient became stably anemic (hemoglobin, g/dL; 7.4; normal range 13.0–
83 17.0) requiring regular blood transfusions (until two days before death). On day 28, blood cultures
84 from day 27 grew *Morganella morganii* (found to be resistant to cephalosporins and
85 piperacillin/tazobactam but susceptible to carbapenems), which prompted initiation of antibiotic
86 therapy with ertapenem (1 g q24h). Concomitantly, cultures from a progressively enlarging ulcer on
87 the patient's leg stump revealed growth of *Proteus mirabilis*, *Klebsiella pneumoniae*, and *Escherichia coli*
88 (all found to be susceptible to carbapenems).

89 On day 35, the patient again became febrile (38.2°C) but CRP decreased (177.2 mg/L) and
90 leukocytes remained unvaried ($9.3 \times 10^9/L$). Blood cultures yielded a yeast organism, later identified
91 as *C. glabrata* using a previously described matrix-assisted laser desorption/ionization time-of-flight
92 (MALDI-TOF) mass spectrometry based method [16]. The isolate (defined as isolate 1) was

93 susceptible to anidulafungin, micafungin, and caspofungin with MICs of 0.03, 0.03, and 0.06 µg/ml
94 (SensititreYeastOne® method; Thermo Fisher Scientific, Cleveland, OH, USA), according to the
95 Clinical and Laboratory Standards (CLSI) clinical breakpoints [17]. On day 37, the patient started to
96 take caspofungin (70 mg loading dose, day 1; 50 mg q24h, subsequent days). Blood cultures from
97 day 39 were negative. After 13 days of antifungal therapy, the patient became again febrile (38.3°C)
98 and his blood parameters (creatinine, 2.71 mg/dL; leukocytes, $12.48 \times 10^9/L$) or infection indexes
99 (CRP, 278.4 mg/L; PCT, 20.58 ng/ml) were abnormal. On day 49, blood cultures were positive for
100 *Acinetobacter baumannii* (found to be only susceptible to colistin) and again for *C. glabrata*. While
101 ertapenem was discontinued and colistin (2.25 mUI q12h) was started, the patient continued to
102 receive caspofungin. Shortly after (day 51), antifungal susceptibility testing was repeated on two
103 morphologically different *C. glabrata* isolates that grew from blood cultures. One of the isolates
104 (defined isolate 2) revealed increased MICs of anidulafungin, micafungin, and caspofungin,
105 indicating resistance to all echinocandins (as discussed below).

106 On day 52, the patient underwent surgery for previously planned left leg re-amputation.
107 Unfortunately, the same day of surgery and before the patient could eventually benefit from
108 antifungal therapy change (i.e., amphotericin B instead of caspofungin) based on available
109 antifungal susceptibility results, his clinical conditions worsened. The patient was immediately
110 transferred to the ICU due to refractory septic shock, as identified by the receipt of vasopressor
111 therapy and the elevated lactate (mEq/L) level (4.2; normal range 0.0–2.0) despite adequate fluid
112 resuscitation. On day 53, the patient died.

113 Table 1 summarizes the results of both antifungal susceptibility testing and *FKS2* gene
114 sequencing for *C. glabrata* isolates 1 and 2. Only for echinocandin antifungal agents, MIC values
115 obtained with the SensititreYeastOne® method were confirmed by the CLSI M27-A3 reference
116 method [17]. As noted, except for all three echinocandins, the antifungal susceptibility profile of
117 isolate 2 did not change compared to that of isolate 1. According to the echinocandin-resistant
118 breakpoint values established by the CLSI [18], isolate 2 showed resistance to anidulafungin (MIC, 2
119 mg/L), caspofungin (MIC, 8 mg/L) and micafungin (MIC, 8 mg/L). Conversely, isolate 1 had
120 echinocandin MICs (anidulafungin and micafungin, 0.03 mg/L; caspofungin, 0.06 mg/L) below the
121 CLSI echinocandin-resistant breakpoint values [18]. Interestingly, both the isolates showed an
122 intermediate susceptibility to fluconazole (MIC, 8 mg/L) and, according to the epidemiological
123 cutoff values established by the CLSI [19], a wild-type susceptibility to the amphotericin B and the
124 other azole (itraconazole, posaconazole, and voriconazole) antifungal agents tested. Sequence
125 analysis of the *FKS1*/*FKS2* genes allowed us to identify T1976A (hot spot 1) and A3997T (hot spot 2)
126 mutations in the *FKS2* gene that resulted in an F659Y or I1333F amino acid change, respectively,
127 with the former being already known [20–22] and the latter probably responsible for the observed
128 echinocandin resistance. Furthermore, MALDI-TOF MS-based analysis of profiles from

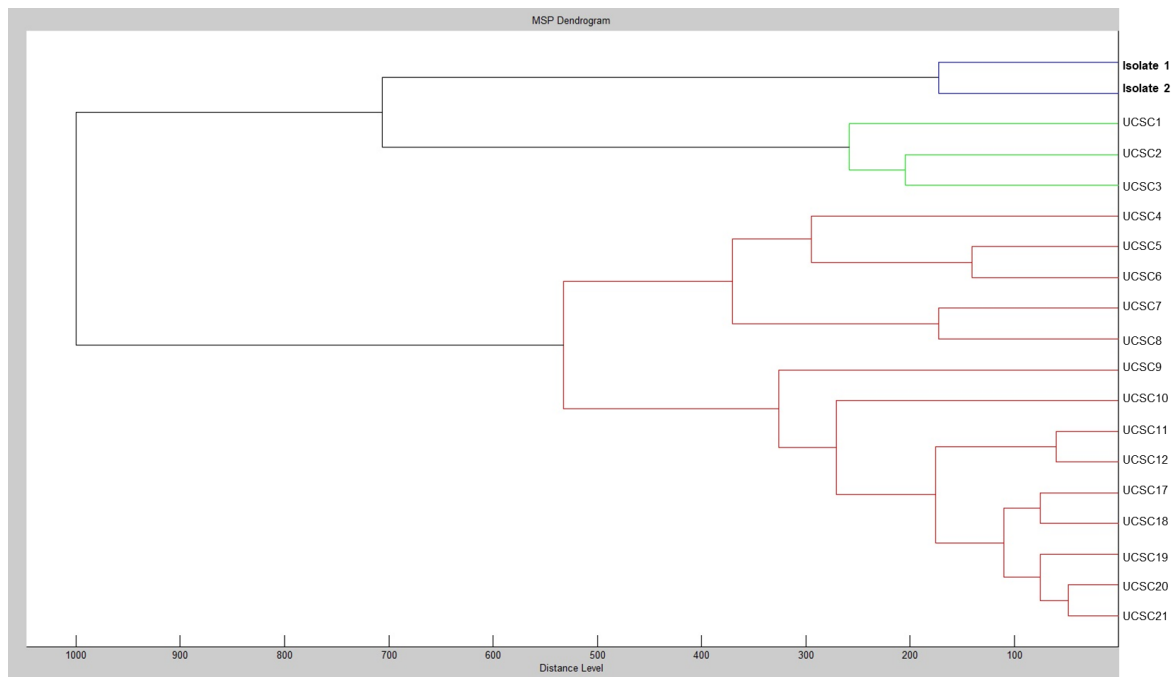
129 **Table 1.** Antifungal susceptibility testing and *FKS2* gene sequencing results of two sequential candidemia isolates.

Species	Isolate	MIC (mg/L) for polyene antifungal class	MIC (mg/L) for echinocandin antifungal class				MIC (mg/L) for azole antifungal class				<i>FKS2</i> gene hot spots 1 and 2	
		AMB	AFG	CAS	MFG	FLZ	ITC	POS	VRC	Nucleotide change	Amino acid change	
<i>C. glabrata</i>	Isolate 1	0.5	0.03	0.06	0.03	8	0.5	1	0.25	Wild type	Wild type	
<i>C. glabrata</i>	Isolate 2	0.5	2	8	8	8	0.5	1	0.25	T1976A A3997T C4002T	F659Y I1333F A1334A (wild type)	

130 Abbreviations: MIC, minimum inhibitory concentration; AMB, amphotericin B; AFG, anidulafungin; CAS, caspofungin; MFG, micafungin; FLZ, fluconazole; ITC,
131 itraconazole; POS, posaconazole; VRC, voriconazole.

132 Antifungal-resistant breakpoint values established by the CLSI for *C. glabrata* are ≥ 0.5 mg/L for anidulafungin and caspofungin, ≥ 0.25 mg/L for micafungin, and ≥ 64 mg/L
133 for fluconazole. Because no resistance breakpoints were available for other listed antifungal agents, we used epidemiological cutoff values (ECVs) established by the CLSI
134 for *C. glabrata*, according to which non-wild-type MIC values ($>ECVs$) of amphotericin B, itraconazole, posaconazole, and voriconazole are >2 mg/L, >4 mg/L, >1 mg/L, and
135 >0.25 mg/L, respectively.

136 *C. glabrata* isolates 1 and 2 allowed to compare with each other and with profiles from clinical
 137 collection isolates (*C. glabrata* UCSC1–12, UCSC17–21). As shown in Figure 1, the dendrogram
 138 resulting from the MALDI-TOF MS cluster analysis strongly suggested identity for *C. glabrata*
 139 isolates 1 and 2.

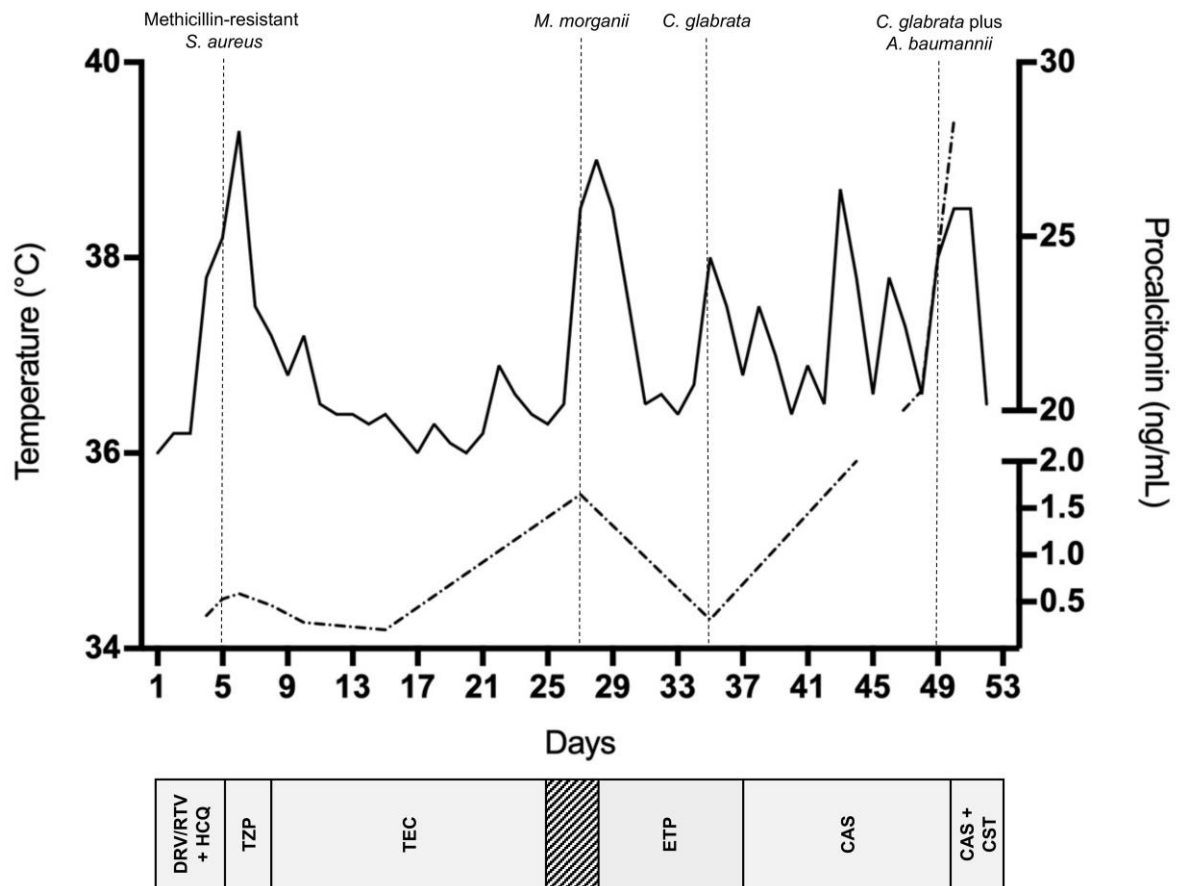


140

141 **Figure 1.** Cluster analysis of MALDI-TOF mass spectra obtained for 19 *C. glabrata* isolates, including
 142 the patients' isolates 1 and 2. Shown is a dendrogram in which the distance between isolates is
 143 indicated as relative units. Zero means complete similarity and 1000 means complete dissimilarity.
 144 An arbitrary distance level of 500 was chosen to assess clustering among isolates.

145 3. Discussion

146 This case illustrates the 53-day clinical course of a COVID-19 patient with persistent SARS-
 147 CoV-2 infection (repeated nasal/pharyngeal swabs tested positive for SARS-CoV-2 RNA) who
 148 needed protracted hospitalization, probably attributed to his major comorbidity (diabetes with its
 149 vascular complications). The patient met the clinical (fever, cough, and dyspnea), laboratory (high
 150 CRP), and imaging (unilateral pneumonia) features recently recognized as COVID-19 hallmarks
 151 [10]. Yet, this case emphasizes the current uncertainty about the clinical disease evolution, partly
 152 linked to the presence of risk factors for either admission to the ICU or fatal outcome of hospitalized
 153 patients [10]. In our patient, a succession of bloodstream infections, initially due to methicillin-
 154 resistant *S. aureus*, secondly to multidrug-resistant Gram-negative bacteria, and lastly to a possibly
 155 fatal echinocandin-resistant *C. glabrata* outlined the COVID-19 associated clinical course (Figure 2).



156

157 **Figure 2.** Timeline of major microbiological events during the patient's clinical course and relative
 158 antimicrobial treatments. Fever (dashed line) or procalcitonin (solid line) patterns are shown.
 159 DRV/RTV, darunavir/ritonavir; HCQ, hydroxychloroquine; TZP; piperacillin/tazobactam; TEC,
 160 teicoplanin; ETP, ertapenem; CAS, caspofungin; CST, colistin.

161 Therefore, at least three relevant causes might have contributed to determine fatal illness in the
 162 present case. First, COVID-19, which has significantly been associated with complications and
 163 deaths. A recent systematic review and meta-analysis [10] reported a case fatality rate of 13.9% (95%
 164 CI, 6.2 to 21.5%) in seven studies, a ICU requirement rate of 20.3% (95% CI, 10.0 to 30.6%) in six
 165 studies, an acute kidney injury rate of 7.9% (95% CI, 1.8 to 14.0%) in four studies, and a shock rate
 166 of 6.2% (95% CI, 3.1 to 9.3%) in three studies. Second, the diabetes, which remains a major
 167 comorbidity for severe COVID-19. It was the second (after hypertension) or third (after
 168 hypertension and cardiovascular disease) most prevalent underlying disease (11.9% [95% CI, 9.1–
 169 14.6%], and 9.7% [95% CI, 7.2–12.2%]) in two large, independent meta-analysis studies [10,23].
 170 Furthermore, while chronic disease, such as diabetes, may increase the risk of COVID-19 severity
 171 [23] and mortality [1], type-2 diabetes individuals with poorly controlled blood glucose are likely to
 172 die at a higher rate than those with better-controlled blood glucose [24]. Third, superinfection,
 173 which represents a new albeit scarcely studied condition in COVID-19 [5], particularly for invasive
 174 fungal infections [25]. The peculiar pathophysiology of either diabetes [11] or COVID-19 [26] may

175 account for the occurrence of bacterial and fungal coinfections in our as in other cases [3,27]. The
176 diabetes-induced immune dysregulation may exacerbate the virus-activated hyper-inflammatory
177 “cytokine storm”, which in turn leads to complications (e.g., acute respiratory distress syndrome,
178 shock, multiorgan failure, and death) seen in severe COVID-19 phases [10]. However, diabetes (and
179 other comorbidity) and COVID-19, as risk factors for bacterial or fungal infection, are in an
180 undiscernible balance, particularly during the ICU stay [25].

181 In our patient’s disease phase upon his admission to the hospital, COVID-19 together with
182 diabetes might have created a milieu that favored microorganisms (e.g., *C. glabrata*, the last in
183 temporal sequence), including those resistant to antimicrobial agents, to thrive (likely in the
184 gastrointestinal tract) and, hence, reach the bloodstream [28]. Immunosuppression and mucosal
185 barrier disruption are, among others, well-recognized factors for isolation of *C. glabrata* from patient
186 blood cultures [29] and, to some extent, bloodstream isolates are *in vitro* resistant to echinocandins
187 [20,22,30]. This poses a great challenge for patient management [31] because echinocandins
188 represent the first line of treatment in cases of invasive *C. glabrata* infections, including candidemia
189 [32], due to the intrinsic low *C. glabrata* level of susceptibility to azoles (which was not the case of
190 our patient’s isolates) [18].

191 Ultimately, appearance of echinocandin resistance in our patient’s *C. glabrata* isolate
192 aggravated the feared adverse prognosis of candidemia [33]. Consistent with previous case reports
193 [21,34,35], we provided the evidence of an *in vivo* development of FKS-associated echinocandin
194 resistance during the patient’s treatment with caspofungin. In two reports [21,34], echinocandin-
195 resistant isolates were recovered from blood cultures of patients who had recurrent or persistent *C.*
196 *glabrata* infections, thus implying micafungin treatments for 86 days in one case [21] and 30 days in
197 the other case [34]. In another report [35], echinocandin resistance emerged within 8 days of the
198 patient’s treatment with micafungin, and surprisingly the patient had not previous or prolonged
199 echinocandin exposure [36] but only uncontrolled diabetes as a potential risk factor for
200 microbiological failure. Abdominal cavity and mucosal surfaces are reservoirs for *Candida* species
201 and a potential source for antifungal resistance due to uneven drug penetration [37,38]. Considering
202 the high *C. glabrata* propensity to acquire *in vitro* resistance following echinocandin exposure [39], it
203 is possible that underlying gastrointestinal disorder or dysbiosis had acted as selectors of FKS
204 mutant *C. glabrata* subpopulations in our as in other [35] case patients. Notably, a study assessing
205 the emergence of *in vitro* resistance for the three echinocandins showed that 82 of 247 *C. glabrata*
206 breakthrough isolates (i.e., bloodstream isolates exposed to each echinocandin agent) harbored FKS
207 hot spot mutations, of which 6 in *FKS1* and 76 in *FKS2* [40]. Of the three echinocandins, caspofungin
208 seemed to be the most sensitive indicator of FKS mutations, whereas only four breakthrough
209 isolates did not develop an FKS hot spot mutation despite showing >4-fold increases in
210 echinocandin MICs relative to the parental isolates [40].

211 Although non-FKS-mediated echinocandin resistance has been reported [41,42], phenotypic
212 resistance (MICs above CLSI breakpoints) to all three echinocandins is uniquely attributable to the
213 presence of mutations in hot spots of both *FKS1* and its paralog *FKS2* [43], which results in
214 attenuated echinocandin activity [44]. As recommended by the current Infectious Diseases Society
215 of America (IDSA) guidelines [32], we performed echinocandin susceptibility testing on the *C.*
216 *glabrata* isolates causing candidemia in our patient. Thus, we documented that isolate 2
217 (“breakthrough” isolate), compared to isolate 1 (“parental” isolate), had increased MIC values to
218 anidulafungin, caspofungin, and micafungin, and all values were higher than CLSI resistance
219 breakpoints [18]. As specifically shown for *C. glabrata* and echinocandins [45], the automated blood
220 culture systems currently used to detect bloodstream infections allow to reliably recover isolate
221 populations composed of echinocandin-resistant and echinocandin-susceptible cells. However, in
222 cases with a low proportion of resistant cells, picking up single colonies to perform standard
223 antifungal susceptibility testing may result in missed detection of echinocandin resistance [45]. In
224 our case, taking advantage of morphologically different *C. glabrata* colonies from the patient’s blood

225 culture that yielded isolate 2, we were able to detect echinocandin resistance testing more than one
226 colony. Consistent with recent studies [21,22], we found that isolate 2 harbored the *FKS2* HS1
227 F659Y. In a two-year antifungal resistance surveillance study [22], eight (15.7%) of 51 *C. glabrata*
228 isolates with *FKS* HS alterations harbored the *FKS2* HS1 F659S/V/Y [20,46], which was the second
229 found after the *FKS2* HS1 S663P (16 isolates). It is noteworthy that mutations at positions S663 and
230 F659 tended to be associated with breakthrough infections in patients receiving echinocandin
231 therapy [20,47]. In our case, MIC results (later confirmed by *FKS* mutation results) were promptly
232 available to clinicians but, given the critical patient's condition, the ensuing change of antifungal
233 therapy was unsuccessful.

234 In conclusion, this case highlights that bacterial and fungal coinfections, including those
235 associated with antimicrobial resistance, in COVID-19 may be a further challenge for both clinicians
236 and microbiologists. In waiting for epidemiological studies to evaluate their frequency and impact,
237 it is imperative to be vigilant for these coinfections being complicating the outcome of COVID-19.
238

239 **Author Contributions:** Conceptualization, B.P., R.T., A.V., and M.F.; formal analysis, M.S.; investigation, R.T.,
240 A.V., and P.M.L.; resources, M.S.; data curation, G.D.A., E.D.C., and G.V.; writing—original draft preparation,
241 B.P. and M.F.; writing—review and editing, R.T., A.V., G.D.A., and E.D.C.; supervision, M.S. and M.F. All
242 authors have read and agreed to the published version of the manuscript.

243 **Funding:** This study received no external funding.

244 **Acknowledgments:** We wish to thank Franziska Lohmeyer for her English language assistance.

245 **Conflicts of interest:** The authors declare no conflict of interest.

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- 288 [eng.pdf?sequence=1&isAllowed=y](https://apps.who.int/iris/bitstream/handle/10665/331501/WHO-COVID-19-laboratory-2020.5-eng.pdf?sequence=1&isAllowed=y) (accessed on 26 July 2020).
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