

# 1 Household representative sample strategy for COVID-19 large-scale population screening

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## 16 Abstract

17 In the advent of COVID-19 pandemic, testing is highly essential to be able to isolate, treat infected  
18 persons, and finally curb transmission of this infectious respiratory disease. Group testing has been  
19 used previously for various infectious diseases and recently reported for large-scale population  
20 testing of COVID-19. However, possible sample dilution as a result of large pool sizes has been  
21 reported, limiting testing methods' detection sensitivity. Moreover, the need to sample all  
22 individuals prior to pooling overburden the limited resources such as test kits. An alternative  
23 proposed strategy where test is performed on pooled samples from individuals representing  
24 different households is presented here. This strategy intends to improve group testing method  
25 through the reduction in the number of samples collected and pooled during large-scale population  
26 testing. Moreover, it introduces database system which enables continuous monitoring of the  
27 population's virus exposure for better decision making.

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29 **Keywords:** COVID-19, group testing, household, screening

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## 31 **1.0 Introduction**

32 The emergence of COVID-19 pandemic, caused by the virus severe acute respiratory syndrome  
33 coronavirus 2 (SARS-CoV-2) has devastated economies and lives worldwide [1]. Testing is  
34 paramount in order to identify and isolate infected people, and be able to curb transmission of this  
35 infectious disease. To date, large-scale population testing has not been carried out in most  
36 countries, particularly those in third world, mainly due to the shortage of test kits, financial and  
37 logistical challenges, which has limited the efforts to identify and isolate infected persons. In some  
38 situations, the test kits (e.g. polymerase chain reaction (PCR) reagents, swabs) are reserved for  
39 only frontline workers or those showing clinical symptoms, although, this virus can also be  
40 transmitted by asymptomatic carriers with recent data indicating that these group of individuals  
41 may be a major source of transmission (1, 2).

42 One way to overcome this limitation is the adoption of group testing, where samples are not  
43 individually tested but multiple samples from different people are combined and tests are then  
44 performed on these groups or pooled samples. Any group that tests negative are eliminated and no  
45 further individual tests is conducted whilst for groups that test positive, further tests are conducted  
46 to determine the infected samples (3). A recent report involving the pooling of up to 10 samples  
47 has been demonstrated for SARS-CoV-2 PCR (4). Moreover, group testing approach was recently  
48 utilized for large-scale population testing in Chinese cities of Wuhan and Mudanjiang (5, 6).  
49 However, in group testing, the large pool size has been reported to cause sample dilution,  
50 exceeding the sensitivity for the current SARS-CoV-2 testing methods (7). Furthermore, each  
51 individual is sampled prior to pooling, increasing the demand of test kits such as swabs. An  
52 alternative strategy is therefore proposed, where samples of representative individuals from  
53 different households are pooled and tested. Moreover, a database system is introduced to routinely  
54 monitor virus exposure within a population.

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## 56 **2.0 Proposed group testing strategy**

57 In order to conduct COVID-19 large-scale population screening, the people within a residential  
58 neighborhood in a city will be organized into groups, where each group will comprise the  
59 household (**Fig. I**). The unique identification of these households will be their postal addresses or  
60 the use of Global Positioning System (GPS).

61 The occupants in the house characterize the samples and only one representative sample (one  
62 person) will be collected for each household irrespective of the number of occupants in the house  
63 (**Fig. I**). It is expected that the occupants of the house must have stayed together for an extended  
64 period (e.g. 3 weeks to 1 month) to be considered as a group. The selection of the group's  
65 representative and the number of households to be put in a group will be done randomly. The  
66 example indicated in **Fig. I** comprise sixteen groups of households with their selected  
67 representatives. The samples of four selected household representatives are then collected and  
68 pooled for testing. If any pooled sample (labeled as A, B, C and D, **Fig. I**) tests negative, it can be  
69 concluded that all members in groups (house occupants) are negative and no individual tests will  
70 be required. Whereas, if any pooled sample tests positive, further tests will be performed on the  
71 samples of the selected household representatives to identify the infected sample and subsequently  
72 isolate and test all occupants in the household/group from which that individual represents. The  
73 idea borne from the fact that, occupants in a house hardly observe social distancing and therefore,  
74 interact with each other regularly. Hence, in case of an infected occupant, there is a likelihood of  
75 a cross-sectional virus transmission among the occupants. Therefore, the result from the  
76 representative sample indicate the virus exposure of the occupants of the house.

77 Overall, the results of the pooled samples (designated A to D, **Fig. I**) will provide virus exposure  
78 information of many individuals from different households within the residential neighborhood by  
79 using small pool sizes and relatively few sample collection. For instance, in this method, if each  
80 household or group (e.g. designated 1 – 4, **Fig. I**) has minimum of three occupants, then one pooled  
81 sample (e.g. labeled as A, **Fig. I**) gives virus exposure information of twelve people from different  
82 households as compared with traditional group testing, where samples of all twelve individuals  
83 will have been collected and pooled prior to testing, resulting in enormous required resources.

## 84 **2.1 Periodic rotational group testing**

85 Periodic rotational group testing can also be carried out in order to gather adequate data on  
86 COVID-19 infection for better decision making. Hence, the selected representative from each  
87 household for testing can be rotated randomly among the occupants in the house and the results  
88 computed in a database. This holistic approach is more effective because, if infection is missed  
89 among the occupants in Period 1 testing, it is likely to be detected in Period 2 testing. It is

90 recommended to conduct testing within 3 weeks or one month intervals, which correspond with  
91 the reported incubation period of the SARS-CoV-2 (8).

## 92 **2.2 Database build-up**

93 Prior to testing, the selected representatives will schedule an appointment with the testing center  
94 (hospitals, clinics or designated places) or health personnel (in case of home visit). During testing,  
95 the unique identifier (postal address or GPS) for each selected representative sample will be  
96 computed in a database for fast-tracking the testing and contact tracing process. For instance, in  
97 situation of infection, the information in the database can enable identification of people contacted  
98 by the infected person's group members, and thereby quickly trace, test and isolate infected  
99 individuals.

## 100 **3.0 Strategy potential and relevance**

101 The implementation of the proposed household representative sample strategy for group testing  
102 has the potential to reduce the pool size and required test kits/resources, leading to economical  
103 conduct of COVID-19 large-scale population screening for efficient control of the spread of  
104 SARS-CoV-2.

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## 106 **Acknowledgement**

107 Special thanks to Dr. Ambrose Okem (Wits health Consortium, South Africa) and Dr. Phanankosi  
108 Moyo (University of Pretoria, South Africa) for their valuable in-puts and suggestions.

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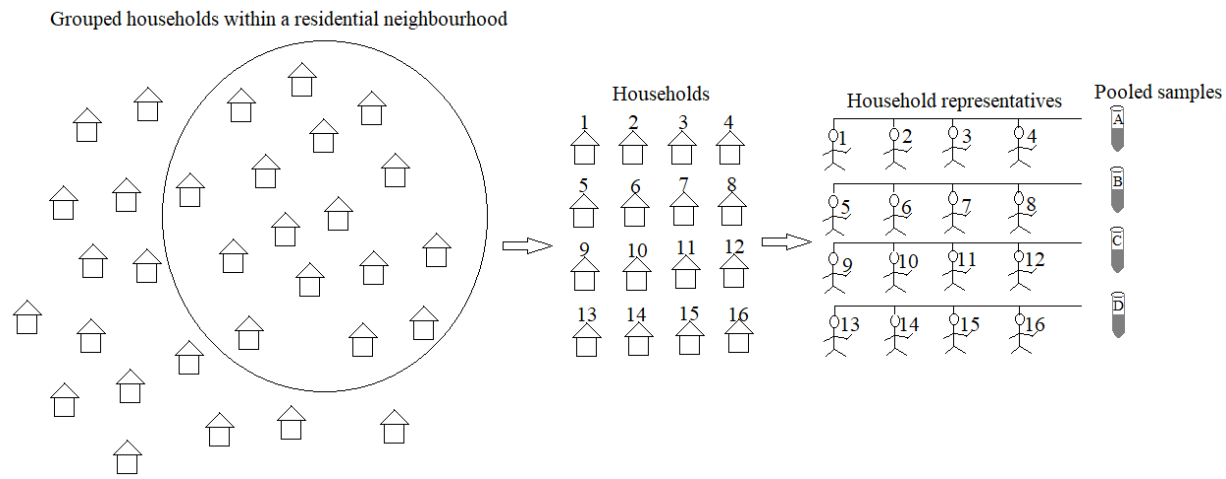
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149 **Fig. I:** Households within a residential neighborhood in a city divided into groups. The samples of  
 150 selected representatives per households are pooled for COVID-19 testing. Four samples of selected  
 151 representatives (1 – 16) are pooled for testing (A – D). The numbers (1 – 16) signifies the unique  
 152 identifiers (postal address or global positioning system) of the households, which is computed in  
 153 a database for continuous monitoring of virus exposure.

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