

SARS-CoV-2 and Covid-19 Immunopathogenesis

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19 Abstract

20 The coronavirus disease 2019 (COVID-19) is now a global pandemic caused by the new severe acute
21 respiratory syndrome coronavirus 2 (SARS-CoV-2). Unlike other known coronaviruses, such as the
22 Middle East respiratory syndrome coronavirus (MERS-CoV), SARS-CoV-2 reveals new clinical,
23 immunological, and pathologic features. The lymphocyte depletion, macrophage and neutrophil
24 hyperactivation, cytokine dysregulation, thrombophilia, delayed antiviral response, and immune
25 exhaustion are key immunological findings linked to the clinical progression of this disease.
26 Understanding and identifying the underlying immunological basis of COVID-19 is crucial to
27 designing effective therapies. Here, we provide an overview of immunopathogenesis driven by SARS-
28 CoV-2 after its interactions with the immune system.

29 1 Introduction

30 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is the causal agent of coronavirus
31 disease 2019 (COVID-19). The virus was first isolated from the bronchoalveolar fluid collected from
32 a 41-year-old man admitted to the Central Hospital of Wuhan (China) with SARS. Molecular analysis
33 showed a new RNA virus strain of the Coronaviridae family, closely related to a SARS-like
34 coronavirus previously described in bats (1). The infection was associated with a seafood and trading
35 market of wild animals in Wuhan, China. The SARS-CoV-2 infection spreads by human-to-human
36 transmission, primarily via respiratory droplets from sneezes and coughs. Indirect contact with
37 contaminated surfaces is also a source of infection. Virus RNA is also found in human stools and
38 semen (2; 3; 4; 5). Additionally, other infection routes, such as the fecal-oral transmission route, may

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39 be possible. Since December 2019, COVID-19 has rapidly become a worldwide emergency and has
40 affected more than 100,000 patients globally, leading the World Health Organization (WHO) to
41 recognize the outbreak as a global pandemic on March 11, 2020 (6).

42 Clinical diagnosis of COVID-19 is mainly based on epidemiological history, manifestations, and some
43 auxiliary examinations (7). The clinical characteristics of COVID-19 include fever, coughing, sputum
44 production, headaches, myalgia or fatigue, vomiting, and diarrhea (7; 8; 9). The majority of
45 symptomatic patients that clinically present COVID-19 present a mild disease (80%),
46 lymphocytopenia, and an elevation of C-reactive protein (60.7%) (9). In some cases, increased
47 prothrombin time as well as increased levels of D-dimer (59.6%), lactate dehydrogenase (41%), and
48 creatine kinase are present (13%). Among these symptomatic patients, 14% experience severe
49 pneumonia, progressive hypoxemia, and dyspnea, along with a pulmonary infiltration of 50% or more.
50 Approximately 30% of hospitalized patients require respiratory support in an intensive care unit
51 (ICU) (7). The mortality rate of patients that require invasive ventilation is approximately 80% (10).
52 Radiological findings have shown bilateral involvement with patchy ground-glass opacities and patchy
53 consolidation. Peripheral distribution and lower zone dominance have also been observed (11; 12; 13).
54 Long-term pulmonary fibrosis and respiratory functional impairment are also concerns for COVID-19
55 patients (14).

56 Cumulative evidence has indicated the importance of person-to-person transmission efficiency from
57 asymptomatic patients, making epidemic control challenging (15; 16). Asymptomatic or minimally
58 symptomatic individuals can contaminate their surroundings (17) and are diagnosed by reverse
59 transcription-polymerase chain reaction (RT-PCR) tests only. The estimated rate of asymptomatic
60 individuals is approximately 20.8%. Abnormal radiological findings confined to a single lung have
61 been observed in 66.7% of patients with COVID-19 and in both lungs for 33.7% of patients with
62 COVID-19 (18). Although asymptomatic individuals have a similar viral load to symptomatic patients,
63 they show a distinct immunological profile (18).

64 Although the pathophysiological features of COVID-19 have not yet been fully understood, these
65 clinical and laboratory characteristics are a result of the host-pathogen interactions with the immune
66 system and body tissues (19). This severe illness is not only triggered by the viral load; an excessive
67 inflammatory response to SARS-CoV-2 plays a major role in disease severity and likelihood of
68 death (20; 21). A large number of pro-inflammatory cytokines and chemokines produced by immune
69 cells have been documented for the inflammatory phase (22; 23). The development of new treatments
70 for SARS-CoV-2 and a deep clinical understanding of COVID-19 could be achieved by gaining further
71 knowledge of the immunopathogenesis. Therefore, this review focused on the critical immunological
72 features and plausible inflammatory pathways of COVID-19.

73 **2 Major autopsy and pathological findings**

74 The pathological features of COVID-19 greatly resemble those observed in SARS and Middle East
75 respiratory syndrome coronavirus (MERS-CoV) infection (24). SARS-CoV-2 has multiorgan viral
76 tropism beyond the respiratory tract, including the kidneys, liver, heart, and brain (25). The initial
77 examination of lung specimens from the early phases of COVID-19 reveals some important
78 histological changes: (1) interstitial edema and proteinaceous and fibrin exudate; (2)
79 reactive pneumocyte hyperplasia with patchy inflammatory cellular infiltration, corresponding to the
80 ground-glass radiology findings; (3) thickening of alveolar walls and septa due to fibroblastic
81 proliferation and type II pneumocyte hyperplasia, consistent with early diffuse alveolar damage
82 patterns; and (4) abundant macrophage infiltration (26). Later stages have shown bilateral diffuse

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83 alveolar damage and fibromyxoid exudates (27). Liver examinations have shown moderate
84 microvesicular steatosis and mild lobular and portal activity as well as mild myocarditis with interstitial
85 mononuclear inflammatory infiltrates. Complete autopsies, including postmortem computed
86 tomography and histopathologic analysis, have revealed a high incidence of thromboembolic events,
87 including pulmonary embolism and deep vein thrombosis (28). Histologic and lung microvascular
88 examinations have revealed endothelialitis, angiogenesis, and microthrombosis (29). Histologic
89 hypoxic-ischemic changes of the brains of patients with COVID-19 have also been observed (30; 31)

90 **3 General molecular features of SARS-CoV-2**

91 Overall, 39 species in 27 subgenera, five genera, and two subfamilies have been identified as belonging
92 to the family Coronaviridae (32). There are seven human coronaviruses, with SARS-CoV, MERS-
93 CoV, and SARS-CoV-2 being the human pathogenic species. These three viruses cause SARS but,
94 surprisingly, the CoV responsible for COVID-19 is the least pathogenic of the three (33). Like other
95 CoVs, SARS-CoV-2 is a spherical positive-sense RNA virus that projects spikes, giving it the
96 appearance of a solar corona. Its envelope (E protein) holds the helically symmetrical nucleocapsids
97 and its 26 to 32 kb length genome, making it similar to the other CoVs, which are known for their large
98 genome for RNA viruses (34). In spite of the 79% similarity of the SARS-CoV-2 genome sequence
99 with SARS-CoV (35), questions regarding what makes the novel coronavirus different in terms of its
100 spread needed to be investigated.

101 Since SARS-CoV-2 belongs to the same family, Coronaviridae of the order Nidovirales, as SARS-
102 CoV and MERS-Cov, they have several similarities. The novel coronavirus has the capacity to cause
103 massive tissue damage by an uncontrolled response of the innate immune system and an impaired
104 adaptive response, affecting the body locally and systemically (36).

105 All the coronaviruses have common organization and expression of their genome, in which 16
106 nonstructural proteins (nsp1 through nsp16), encoded by open reading frame (ORF) 1a/b at the 5' ends,
107 are followed by the structural proteins S, envelope (E), membrane (M), and nucleocapsid (N), which
108 are encoded by other ORFs at the 3' ends (37). The non-structural section is responsible for virus
109 replication, including RNA-dependent RNA polymerase, proteases, and helicase (38). The M protein
110 is responsible for maintaining the shape of the virion (39). The E protein has a multifunctional role in
111 the pathogenesis, assembly, and release of the virus and is related to the virulence (40). The N protein
112 is bound to the nucleic acid material of the virus (41). The SARS-CoV N protein acts as an antagonist
113 to the type I interferon (IFN) pathway by regulating its signaling and synthesis (42). Alongside multiple
114 characteristics that make SARS-CoV-2 distinct one of the other seven coronaviruses, there are 12 extra
115 nucleotides in one of the cleavage sites forming a new sequence that is similar to a canonical furin-like
116 cleavage site, promoting a higher spreading capacity compared to the other beta coronaviruses (33).

117 Several studies have elucidated why the primary targets are the airway and alveolar epithelial cells and
118 vascular endothelial cells. By expressing the angiotensin-converting enzyme 2 (ACE2), and the higher
119 affinity to the receptor of SARS-CoV-2 compared to SARS-CoV, the infection continually presents in
120 different ways. Between these coronaviruses, SARS-CoV and SARS-CoV-2 utilize the host cell ACE2
121 receptor, MERS-CoV binds to dipeptidyl-peptidase 4 to enter human cells.

122 The mechanism used by SARS-COV-2 to infect host cells has been determined. Its entry is mediated
123 by the transmembrane spike (S) glycoprotein (43), which comprises two functional subunits; S1 and
124 S2, respectively responsible for binding to the host cell receptor (ACE2) as it is a receptor-binding
125 domain (RBD), and for the fusion of viral and cellular membranes by being a fusion peptide (FP) (43;

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126 44). Normally, CoVs use particular domains within the S1 to recognize a variety of attachment and
127 entry receptors, depending on the viral species; however, SARS-CoV and several SARS-related
128 coronaviruses (SARS-CoV) attach via their S domain B (Sb) (43; 45). Both subunits act together to
129 stabilize and activate the protein for membrane fusion via extensive irreversible conformational
130 changes (46).

131 Following receptor binding, the virus enters the host cell cytosol via the acid-dependent proteolytic
132 cleavage of the S protein by a cathepsin, TMPRSS2, or another protease, followed by fusion of the
133 viral and cellular membranes (47). Furthermore, the cathepsin TMPRSS2 is highly expressed in the
134 lungs and kidneys, but only in low to moderate levels in the heart and blood vessels, which suggests
135 there could be another mechanism of injury for the latter organ systems (48).

136 **4 ACE2 receptor and SARS-CoV-2**

137 The ACE2 was discovered in 2000 by two different groups of scientists (49). This enzyme is a zinc
138 metalloproteinase and key regulator of the renin-angiotensin system (RAS). It has, in its catalytic
139 domain, 42% identical residues compared to endothelial ACE (50; 51). ACE2 cleaves only one amino
140 acid from angiotensin I (ANG) to form angiotensin (1-9), which is then converted to Angiotensin II by
141 ACE (52). There is another important action of ACE2, which is metabolized ANG II to form peptide
142 ANG (1-7), a vasodepressor responsible for decreasing the vasopressor peptide ANG II levels in the
143 circulatory system and tissues. Additionally, the activity and action of ACE2 are not affected by ACE
144 inhibitors, further distinguishing ACE2 from the classic ACE (53). Therefore, the pathophysiology of
145 ACE2 needs to be explored when it comes to understanding cell infection and the influence of COVID-
146 19 on the body.

147 The immunopathogenesis of COVID-19 is related to the ACE2 receptor in the following ways: (1) it
148 affects many tissues as it is widely distributed and expressed at higher levels in at-risk groups with
149 comorbidities, (2) the use of the receptor can cause downregulation that influences RAS homeostasis,
150 (3) the binding between the virus and ACE2 modulates tumor necrosis factor (TNF)- α -converting
151 enzyme (TACE) activity and (4) ACE2 tissue distribution (54; 55; 56; 57; 49).

152 **4.1 Tissue distribution and expression according to comorbidities**

153 ACE2 is expressed on the apical surface of type II alveolar cells of the lung, esophagus epithelial cells,
154 enterocytes from the ileum and colon, cholangiocytes, myocardial cells, endothelium of the coronary
155 and intrarenal vessels, kidney proximal tubule cells, bladder urothelial cells, and tongue
156 epithelium (55). ACE2 is also found in central nervous system cells, indicating that SARS-CoV-2
157 affects neuronal cells after brain invasion via the olfactory epithelium (58). This tissue distribution
158 explains the wide multiorgan virus tropism of SARS-CoV-2 (59).

159 Older patients with comorbidities, such as systemic hypertension, diabetes mellitus (DM), obesity, and
160 respiratory diseases, as well as smokers and those with chronic obstructive pulmonary disease
161 (COPD) (56; 57; 49), have a greater risk of developing the severe form of the disease. There is also a
162 correlation between ACE-2 and a poor prognosis in pregnant women.

163 The expression of ACE2 is substantially increased in patients with type 1 or type 2 diabetes who are
164 treated with ACE inhibitors and angiotensin II type I receptor blockers (56). Most studies have shown
165 that DM is associated with more severe cases of COVID-19 and other viral infections, acute respiratory
166 distress syndrome (ARDS), and increased mortality. These patients are more likely to be older than
167 those without type II DM. Diabetes has a notable immune effect on the body, especially on the innate

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168 immune response, the first line of defense against viruses, and it has been associated with exaggerated
169 pro-inflammatory cytokine responses (notably interleukin (IL)-1, IL-6, and TNF- α)(60).

170 Systemic hypertension is associated with a severe form of COVID-19. A study enrolled 1,099 patients,
171 of whom 173 had a severe disease with comorbidities, such as hypertension (23.7%) and coronary heart
172 disease (5.8%) (9). Another study showed that among 140 patients who were COVID-19-positive, 30%
173 had hypertension (61). Another study enrolled 78 patients with mild to moderate heart failure and found
174 that the myocardium of these patients that had dilated or those who had ischemic cardiomyopathy had
175 significantly increased expression, at mRNA and protein levels, of ACE and ACE2 compared to the
176 control group (62).

177 Obesity is another risk factor that can lead to severe complications in SARS-CoV-2 infections (57).
178 The ACE2 expression is higher in adipose tissue than in lung tissue (63). Individuals with obesity have
179 more adipose tissue, so have an increased number of ACE2-expressing cells and, consequently, a
180 higher predisposition to more severe infections by SARS-CoV-2 (64).

181 Most of the severe cases of COVID-19 have been described in those over the age of 55 years with
182 significant comorbidities, such as COPD. ACE-2 expression in the human small airway epithelium was
183 significantly increased in those with COPD compared to non-smokers, but not in healthy smokers (49).
184 According to the Center for Disease Control and Prevention (CDC), 63.1% of adults over the age of
185 60 have hypertension, 38% over 65 have chronic kidney disease, and 26.8% over 65 have diabetes.
186 Therefore, older individuals with these comorbidities may have an elevated risk and a more severe
187 course of infection of SARS-CoV-2 (65).

188 For pregnant women during the COVID-19 pandemic, there are not, at present, enough data to define
189 the risks of infection to both the mother and baby's development. One argument that theorizes the
190 danger of SARS-CoV-2 during pregnancy is the significant expression of ACE2 in the human placenta,
191 kidney, and uterus (66; 67), which suggests the possibility of pregnancy complications during the viral
192 infection. Recently, the placenta of a COVID-19-positive and symptomatic woman, complicated by
193 severe preeclampsia and placental abruption, was sampled for molecular immunohistochemical assays
194 and electron microscopy. This analysis revealed that SARS-CoV-2 was localized on the
195 syncytiotrophoblast cells at the maternal-fetal interface of the placenta as well as a dense macrophage
196 infiltrate, without the typical vasculopathy associated with preeclampsia (68).

197 There has not yet been a substantial number of studies regarding rheumatic diseases and their
198 relationship with high-risk infection by SARS-CoV-2. Therefore, a better understanding of the
199 implications of COVID-19 in patients with immune-mediated inflammatory disease and the effects of
200 anti-cytokines and other immunosuppressive therapies is urgently needed to guide clinicians in the care
201 of patients with psoriasis, rheumatoid arthritis, psoriatic arthritis, inflammatory bowel disease, and
202 related conditions.

203 **4.2 Downregulation of ACE2**

204 ACE2 is probably functionally removed from the external site of the membrane after virus entry (69).
205 This is why SARS-CoV infection reduces ACE2 expression in lung cells, because the loss of
206 pulmonary ACE2 function is associated with acute virus-induced lung injury (70). ACE2 regulates the
207 RAS system, thus, the reduction after viral infection may result in system dysfunction and influence
208 blood pressure regulation and fluid balance (71).

209 **4.3 The effect on TACE activity**

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210 ACE2 is constitutively shed by TACE to release enzymatically active soluble ACE2 (sACE2) (72).
211 The spike protein in SARS-CoV that binds to ACE2 has the ability to modulate the TACE activity
212 through its cytoplasmic domain (54). Inflammatory cytokines, such as IL1beta and TNF, and SARS-
213 CoV can increase ACE2 shedding. Additionally, SARS-CoV S protein-induced ACE2 shedding has
214 been found to be closely coupled with TNF- α production in cell culture conditions (73). Curiously,
215 other CoVs do not share these same properties (74). Previous studies suggest that sACE2 may be
216 directly involved in the inflammatory responses of SARS-CoV, and possibly SARS-CoV-2.

217 5 Viral infection, recognition, and escape mechanisms

218 Infection by SARS-CoV-2 begins with the binding of the ACE2 receptor to protein S, which comprises
219 two subunits; S1 and S2. The S1 subunit consists of an amino terminal domain and a RBD (75; 76;
220 77). The S2 subunit acts as a membrane fusion subunit (78). Endocytosis is triggered by binding the
221 RBD domain to the host cell's ACE2 receptor, exposing the SARS-CoV-2 virion to endosomal
222 proteases (79). In addition, to properly process the SARS-CoV-2 spike protein and facilitate host cell
223 entry, the cellular serine protease TMPRSS2 must be expressed in the host cell (80). After fusion,
224 TMPRSS2 cleaves ACE2 and activates protein S, leading to conformational changes, allowing the virus
225 to enter the cells (41). On the other hand, the presence of a furin-like cleavage site in protein S, similar
226 to MERS-CoV and human CoV OC43, increases the infectivity of SARS-CoV-2 compared to SARS-
227 CoV (81).

228 Within the endosome, the S1 and S2 subunits carry out processes that culminate in the fusion of the
229 viral membrane and the release of its content into the host cytoplasm. The S1 subunit is cleaved,
230 exposing the fusion peptide, which inserts itself into the host membrane. The S2 region folds over itself
231 to bring together the HR1 and HR2 regions, which merge to participate in the viral fusion process (82;
232 83; 84; 85). The viral entry is coupled with TNF- α production (86). In contrast, using a variety of
233 pattern recognition receptors (PRRs), alveolar epithelial cells and alveolar macrophages detect the
234 pathogen-associated molecular patterns (PAMPs), such as viral RNA, and damage-associated
235 molecular patterns (DAMPs), including ATP, DNA, and ASC oligomers, initiating the innate immune
236 response (Figure 1).

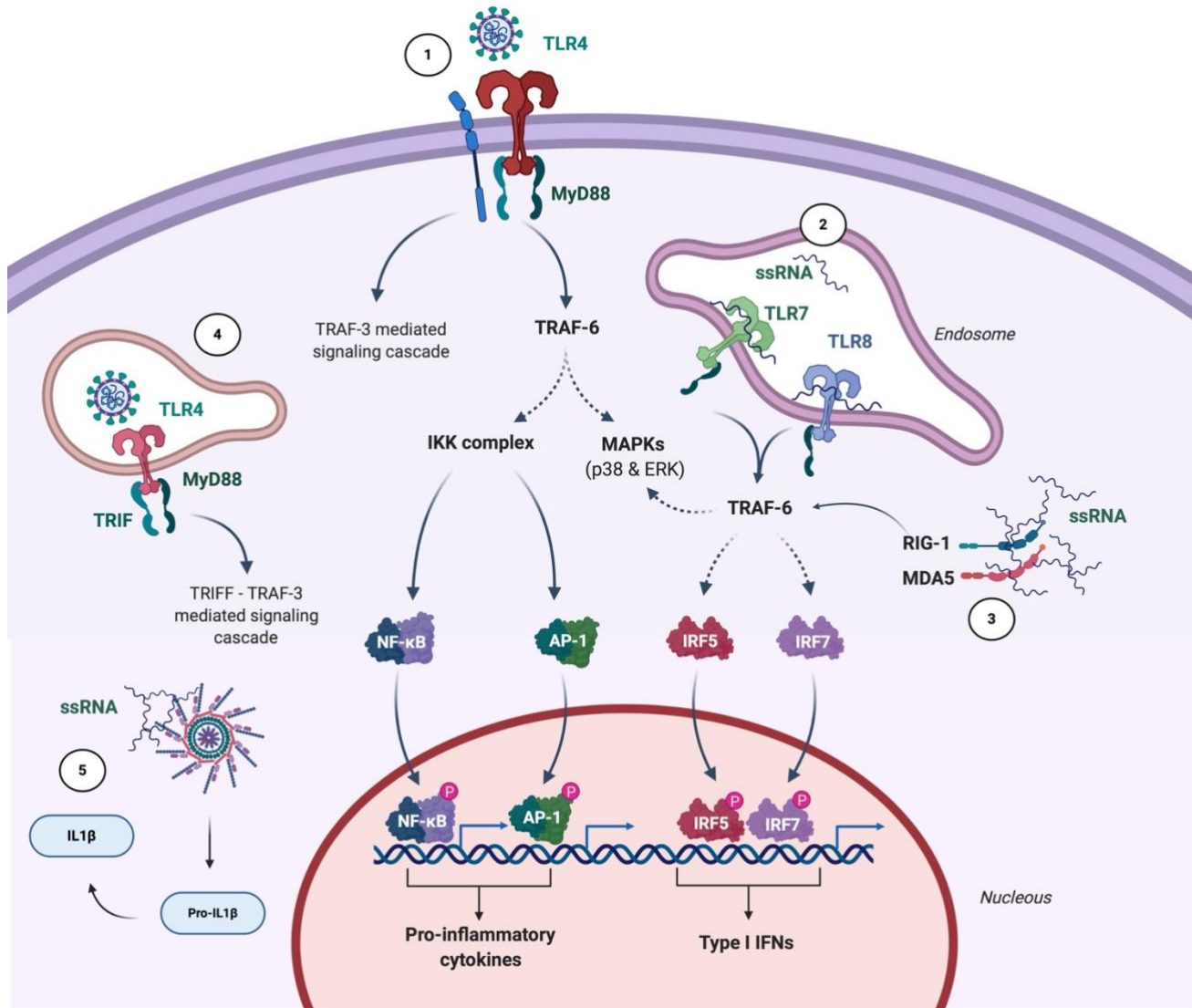
237 The PRRs that integrate the recognition systems of PAMPs and DAMPs across the cell surface are toll-
238 like receptors (TLR) 1, 4, and 6, which activate the signaling pathway, leading to the activation of NF-
239 κ B and IRF3/IRF7, and the subsequent expression of pro-inflammatory cytokines and type I
240 IFNs, acting on the depletion of viral infections (87; 88; 89; 90; 91). Curiously, previous studies have
241 shown strong binding of protein S of SARS-CoV-2 with TLR4, and a lower binding intensity with
242 TLRs 1 and 6 (92).

243 In the intracellular environment, viral recognition occurs through PRRs present in the endosome,
244 cytosolic plasma, and inflammasome. The PAMPs are recognized by the endosomal RNA receptors
245 TLR7 and TLR8 and the cytosolic RNA sensor, RIG-I/MDA5, the signaling cascade of the recognition
246 results in the activation of NF- κ B and IRF3 transcriptional activity, leading to the expression of IFN
247 and pro-inflammatory cytokines (93; 94; 95). The pathway for NF- κ B activation is transforming
248 growth factor- β -activated kinase 1 (TAK1). Upon activation, TAK1 activates the downstream kinase
249 IKK, thereby mediating I κ B α phosphorylation and NF- κ B activation (89; 96). The pathways for the
250 expression of type I IFNs and IFN-inducible genes involve the recruitment of TRAF proteins,
251 particularly TRAF3, to TRIF, and subsequent activation of TANK-binding kinase 1 (TBK1) and IKK ϵ
252 through TRAF3 ubiquitination and the ubiquitin-dependent recruitment of TBK1 and IKK ϵ . Upon
253 activation of TBK1 and IKK ϵ , transcription factor IRF3 is phosphorylated, followed by dimerization

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254 of IRF3, the transcriptional induction of type I IFN occurs (89; 96). The type I IFN via IFNAR activates
 255 the JAK-STAT pathway, and the JAK1 and TYK2 kinases phosphorylate STAT1 and STAT2.
 256 STAT1/2 form a complex with IRF9 and initiate the transcription of IFN-stimulated genes (93).

257



258

259 **Figure 1. SARS-CoV-2 Recognition by pattern recognition receptor and transcription factors pathway.** 1) molecular
 260 modeling shows that SARS-CoV-2 proteins have affinity to TLR-4 (membrane and endosomal), suggesting that virus can
 261 be recognized by this receptor. The SARS-CoV-2 ssRNA is mainly recognized by 2) endosomal TLR-7 and 8, 3) the
 262 cytoplasmatic receptors RIG-1 and MDA5. Downstream signaling pathways of these receptors activates NF-KB, AP1 and
 263 IRFs transcription factors. 4) Single strain RNA is also recognized by NLRP3-Inflammasome leading to IL1 and IL18
 264 production.

265

266 Inflammasomes are multiprotein complexes that oligomerize through stimuli with ASC (the speckled
 267 protein associated with apoptosis of the adapter molecule that contains a CARD) and recruit pro-
 268 caspase-1 to cleave cytokine precursors pro-IL-1 β and pro-IL-18 in mature IL-1 β and IL-18. The Nod-
 269 like receptor family, pyrin domain-containing 3 (NLRP3) is the receptor related to viral RNA

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270 recognition, such as SARS-CoV and SARS-CoV-2 (97; 98). The activation of the NLRP3
271 inflammasome usually occurs in two stages; the first through the transcriptional expression of the NF-
272 κ B triggered by the aforementioned PRRs. The second through various PAMPs, DAMPs, and cell
273 events, such as viral RNAs, ATP, K⁺ efflux, Ca²⁺ signaling, reactive oxygen species (ROS), oxidized
274 mitochondrial DNA, lysosomal proteases, and viroporins (89; 96; 99; 97; 98).

275 As mentioned, activation of NF- κ B triggers the transcription of additional pro-inflammatory cytokines,
276 chemokines, and inflammatory mediators. The main cytokines induced and related to SARS-CoV-2
277 infection are IL-2, IL-6, IL-7, TNF, and precursor versions of IL-1 β and IL-18 (100). IL-2 is produced
278 by CD4⁺ and CD8⁺ T cells, dendritic cells, and natural killers (NK), promoting proliferation and
279 differentiation of NK cells and T helper cells, development of regulatory T (Treg) cells as a B cell
280 growth factor, and stimulating antibody (Ab) synthesis (101). IL-7 is a homeostatic cytokine involved
281 in the maturation of T cells and NKs, the development of naïve and memory B and T cells, and is found
282 mainly in T cells, B cell progenitors, and macrophages (101).

283 IL-1 β is found in hematopoietic cells, such as monocytes, macrophages, such as microglia or Kupffer
284 cells, and dendritic cells, and is activated through the inflammasome, initiating or improving the pro-
285 inflammatory response (101; 102). Recent trials have associated epithelial and endothelial IL-1 β with
286 cardiovascular disease (103). IL-18, in collaboration with IL-12, promotes Th1 and Th2 responses and
287 has a role in autoimmune diseases, such myocardial infarction and metabolic syndromes (101).

288 TNF is produced by T cells, NK cells, macrophages, and monocytes. The principal receptor is TNFR1
289 (55 kD) and TNFR2 (75 kD) and the binding of TNF- α and TNF- β with it triggers inflammatory
290 reactions (101). TNF- α can provoke blood clotting, leading to disseminated intravascular coagulation
291 (102).

292 IL-6 can promote the differentiation of CD4⁺ T cells via IL-21 production into Th17 effector cells.
293 The increase of IL-6 levels in septic patients is correlated with gravity and organ failure (102).
294 Additionally, IL-6 also suppresses Major Histocompatibility Complex (MHC) class II expression in
295 dendritic cells via STAT3 activation (104).

296 According to previous studies, SARS-CoV-2 has a high inflammatory characteristic associated with
297 macrophages and neutrophils, mainly due to the release of pro-inflammatory cytokines (105). The
298 maintenance of this inflammatory state, especially in the presence of pro-inflammatory cytokines, is
299 related to tissue damage in multi-organs, septic shock, and circulatory failure (106; 44).

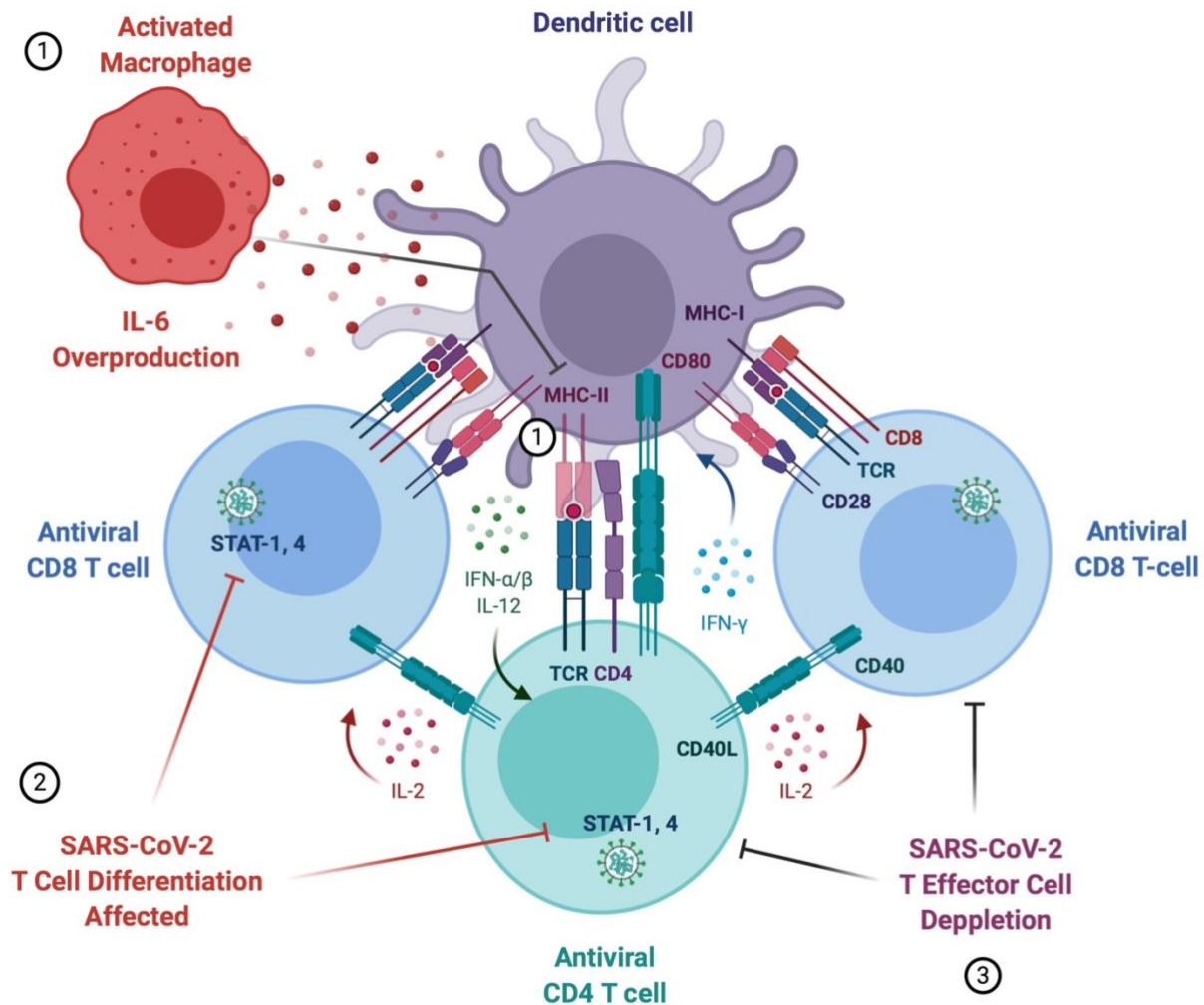
300 **5.1 Antigen-presenting cells (APCs) and the virus**

301 SARS-CoV and SARS-CoV-2 target pneumocytes (both types I and II) and alveolar
302 macrophages (107). After the infection of the host cell, the virus has its antigen presented to the APCs
303 through the human leukocyte antigen (HLA) or by inducing the death and injury of infected cells and
304 tissues as part of the virus replicative cycle (105).

305 The details of the antigen presentation in SARS-CoV-2 remain uncertain. However, in SARS-CoV,
306 macrophage and dendritic cells present SARS epitope by MHC II to recruit CD4⁺ helper cells (Th1)
307 and the virus-infected epithelial cells present the SARS epitope by MHC I to recruit CD8⁺ cytotoxic
308 T cells (108). The antigen presentation of coronaviruses via MHC I (HLA-A2) leads to the release of
309 IL-12, driving Th1 cell differentiation (Figure 2) (109).

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310 APCs have PRRs, recognize PAMPs, and induce a signaling cascade to produce immune system cell
 311 effectors. Each PRR induces a different response to subsequent protein activation. The TLR-4 might
 312 recognize the protein spike, which will trigger the activation of NF- κ B transcription factor and the
 313 pathogen-activated protein kinase (MAPK) pathway to induce proinflammatory proteins. The
 314 activation of TLR-3 and TLR could identify the RNA or dsRNA genome of CoV, conducting the
 315 recruitment of TRIF adapter protein. The TRIF activates the IRF3 and NF- κ B transcription factors to
 316 induce IFN- α and TNF- β (41). In SARS-CoV, the expression of M protein suppresses TNF α -induced
 317 NF- κ B activation (110).



318

319 **Figure 2. Antigen-presentation cells (APC) and CD4+, CD8+ T cell interaction during SARS-CoV-2 infection.**
 320 Hypothetical antigen presentation to T CD4+ lymphocytes via Class II MHC and cross-presentation to CD8+ T
 321 lymphocytes via Class I MHC. 1) Excessive IL-6 secretion downregulates HLA-DR expression. 2) SARS-CoV-2 promotes
 322 both CD4 and CD8 cells depletion and differentiation.

323

324 In a previous case study with three RT-PCR assays positive for SARS-CoV-2, two patients had normal
 325 chest radiographs and one presented bilateral, patchy, ill-defined lung infiltrates. The posmRNA of

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326 genes in the MHC class II, such as HLA-DRB1, HLA-DMA, and HLA-DMB, and T cell activation
327 pathways, such as IL23A and CD74, were reduced in terms of abundance in the patient with altered
328 chest radiographs (111).

329 High levels of IL-6 were identified in patients with more severe COVID-19 (112). IL-6 inhibited HLA
330 D-related (HLA-DR) expression (104) and the low expression of HLA-DR on CD14 monocytes is
331 characteristic of sepsis-induced immunoparalysis (113). In patients with pneumonia caused by SARS-
332 CoV-2 when the number of molecules of HLA-DR on CD14 monocytes decrease, severe respiratory
333 failure proceeds, which leads to the idea that high levels of IL-6 mediate the low expression of HLA-
334 DR on CD14 monocytes of patients with severe COVID-19, affecting the antigen presentation to T
335 CD4+ naive cells (114). Interestingly, the IL-6 inhibition with tocilizumab restores the expression of
336 HLA-DR expression and antigen presentation, partially rescuing SARS-CoV-2- associated immune
337 dysregulation (115).

338 **5.2 Viral escape mechanisms**

339 SARS-CoV-2 has different methods of exhausting the immune system, some of which are similar to
340 the methods of SARS-CoV and MERS-CoV, which can provide important information on the evasion
341 pathway of SARS-CoV-2 (116). Both viruses can form a double vesicle outside of the cell that prevents
342 cytosolic PRR recognition to dsRNA (41). Protein M (ORF 4a, ORF 4b, and ORF 5) of MERS-CoV
343 acts as a IFN antagonist (117). The M protein (structural protein of membrane) is present in SARS-
344 CoV-2 so that the virus can inhibit the IFN pathway (105). MERS-CoV can also escape from the
345 immune system by changing the antigen presentation using epigenetic modulation (118), and in the
346 antigen presentation via MHC I/II, it was downregulated when MERS-CoV infected the macrophages
347 or dendritic cells (119). In addition, SARS-CoV contains eight proteins that decrease IFN production;
348 nps 1, nsp 7, nsp 14, nps 15, nps 16, papain-like protease (PLP), ORF 3b, and ORF 6. The nps 14 and
349 nps 16 work together to build an RNA cap similar to that of the host and modify this to evade the
350 immune system. The nps 1 (nonstructural proteins) have three functions; inactivation of the
351 translational machinery, degradation of mRNAs of the cell, and inhibition of phosphorylation of
352 STAT1. PLP blocks the phosphorylation of IRL3, nps 7, and 15 mechanisms, which are still unclear
353 but recognized as possible inhibitors. ORF 3b inhibits IFN- β production mediated by RIG-I and
354 MAVS, but not that which is mediated by TNF- α , and ORF 6 blocks nuclear translocation of the
355 transcription factor STAT1 that interrupts the IFN pathway (120; 121). SARS-CoV-2 presents the
356 capacity to produce nps 1-16 and the same ORF 6, but not ORF 3b (122), thus, this mechanism of
357 exhaustion and the similarity of SARS-CoV-2, SARS-CoV, and MERS can assist in the development
358 of treatment against SARS-CoV-2. Collectively, SARS-CoV-2 induces delayed INF and Th1
359 responses. The delayed IFN response may also account for the shift of Th1 to Th17 lymphocytes,
360 leading to tissue accumulation of neutrophils and an increase in the neutrophil:lymphocyte ratio in
361 blood (123).

362 **6 Lymphocyte and cell depletion during COVID-19**

363 Lymphocytes constitute protection for an organism by being part of the adaptive immunity and forming
364 a humoral immune response by B lymphocytes or cell mediation by T lymphocytes. Normally, from
365 the identification of the viral epitope by the APC, the T lymphocytes are activated via the TCR, and
366 proliferates and differentiates into Th1 cells, becoming effector T cells acting on cell-mediated
367 immunity for intracellular pathogens. B lymphocytes, on the other hand, will activate through the APC
368 and become Ab-producing cells, resulting in humoral immunity. Both immunities appear around the

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369 third day of infection and act to eliminate the antigen. SARS-CoV-2 appears to dump cellular and
370 humoral responses depleting lymphocytes.

371 The new infection caused by SARS-CoV-2 reaches this lymphocyte barrier, generating
372 lymphocytopenia through several defense mechanisms. Lymphocytopenia is a common marker
373 presented by patients with COVID-19. A previous study indicated the presence of positive regulation
374 in the mechanisms of autophagy, apoptosis, and p53 in peripheral blood mononuclear cells (PBMCs),
375 mostly lymphocytes and a smaller number of monocytes, resulting in lymphocyte depletion (124). In
376 terms of comparison, the MERS produced by MERS-CoV is capable of generating apoptosis of
377 primary T lymphocytes through the extrinsic and intrinsic pathways, but it cannot replicate within the
378 lymphocytes. SARS-CoV-2 is believed to have a similar mechanism that results in lymphocytopenia.

379 SARS-CoV-2 infection of lymphocytes is more competent compared to SARS-CoV. Protein S can
380 considerably increase infection even in cells with low expression of hACE2 (125). However,
381 lymphocytic infection is not limited to a single mechanism, as it was discovered that the new virus can
382 infect T cells through the endocytosis pathway mediated by receptors, such as HR1, or through the
383 fusion of membranes through the spike protein and its subunits. The S1 subunit assists in binding to
384 the receptor and the S2 subunit facilitates membrane fusion.

385 Studies involving flow cytometry to evaluate cell phenotypes in patients with COVID-19 have resulted
386 in markedly reduced total T cell number, B cell, and NK cell cellularity, more intensely in severe cases.
387 Increases of naive T helper cells and decreases in memory T helper cells, both in patients with severe
388 forms of the disease, and reduction of CD4+ T helper cells and CD8+ cytotoxic T cells in all infected
389 individuals, were also demonstrated. In addition, there was an increase of naive T helper cells and a
390 decrease in memory T helper cells. Concerning Tregs, an increase was identified in patients with a
391 mild form of the disease; however, another study attested to a decrease of these cells in all patients
392 with COVID-19, more intensely in severe patients (126; 127; 19). Moreover, the percentage of naive
393 T helper cells (CD3+CD4+CD45RA+) increased and memory T helper cells (CD3+CD4+CD45RO+)
394 decreased in severe cases, compared to less severe cases (128).

395 Postmortem examinations of human spleens and lymph nodes collected from six patients with COVID-
396 19 showed distinct features of lymphocytopenia in SARS-CoV-2 infection (129). The
397 histopathological examination of hilar lymph nodes from different patients showed architectural
398 destruction caused by the viral infection to lymph follicles and paracortical areas, and a number of
399 necrotic and apoptotic cells, leading to a significant reduction in total lymphocytes (both T and B cells).
400 SARS-CoV-2 does not seem to infect B and T cells directly, but induces apoptosis since findings in
401 the in situ TUNEL staining showed high apoptotic activity in lymphocytes from infected spleen and
402 lymph nodes. The exact mechanisms that lead to lymphocyte apoptosis remain unknown, but it is
403 believed to be due to the persistence of viral antigens in the lymphocytic tissue, which activates induced
404 cell death through the Fas/FasL signaling pathway. Lastly, lymphocyte apoptosis can also be stimulated
405 by proinflammatory cytokines, such as the macrophage-released IL-6 (129).

406 Intriguingly, it has been reported that seven patients with confirmed COVID-19, of which five had
407 common variable immune deficiencies (CVIDs), resulting in a lack of B lymphocytes, and two had
408 agammaglobulinemia, resulting in dysfunctional B lymphocytes (130). In patients with
409 agammaglobulinemia, only mild symptoms were observed. The patients with CVID presented a severe
410 form of the disease, requiring multiple pharmacological treatments and mechanical ventilation. This
411 led to speculation on the role of B cells in determining lung inflammatory disorders with need for
412 further confirmation with the acquisition of new data and future studies (130). Moreover, A study with

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413 204 patients with confirmed COVID-19 showed that the number of B cells (CD19+) was significantly
414 lower in the severe group compared to the non-severe group, and significantly higher levels of IgG and
415 complement C3 and lower levels of IgM were reported in severe patients. However, there was no
416 notable decrease in B cells between patients who improved and those who died in the group with severe
417 cases of COVID-19 (131).

418 Transcriptomic analysis of both bronchoalveolar lavage fluid and PBMCs from patients with COVID-
419 19 revealed that p53-related genes are overexpressed (132). Some viruses, such as the Epstein-Barr
420 virus, cytomegalovirus, and human immunodeficiency virus (HIV) use the p53 pathway to arrest cycle
421 cells to favor viral replication (133). The mechanism of p53-induced apoptosis is largely unknown.
422 However, for cells infected with RNA viruses, the cellular stress responses mediated by p53 can trigger
423 apoptosis and senescence (134).

424 The overall effect of cellular depletion in COVID-19 is the dumping of innate and adaptive immunity
425 favoring viral replication, tissue dissemination, and persistence. An interesting drug approach would
426 be T cell rescue and control of the cytokine release syndrome using IL-6, IL-10, and their receptors as
427 targets of the drug (135).

428 **7 Cytokine storm and inflammation**

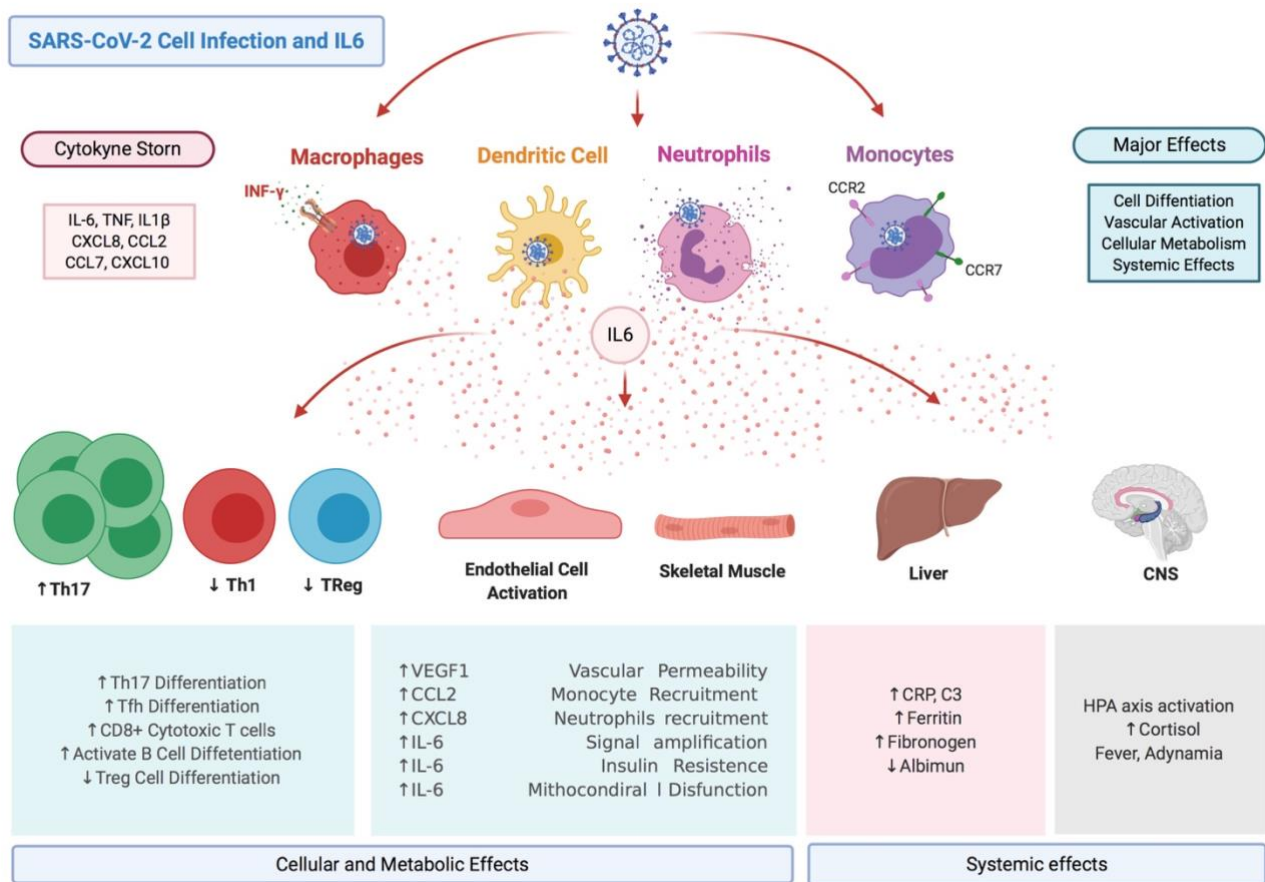
429 SARS-CoV-2 exhausts the immune system inhibiting the IFN pathway and causing lymphopenia (41;
430 136). Most patients with severe COVID-19 exhibit substantially elevated serum levels of pro-
431 inflammatory cytokines, including IL-6, IL-1 β , TNF, IL-2, IL-17, G-CSF, GM-CSF, and the
432 chemokines CXCL8, CXCL10, CCL2, CCL3, and CCL7 (137; 138). These chemokines are attractive
433 for neutrophils and monocytes at inflamed tissues, amplifying tissue damage. CCL7 and CXCL10
434 overproduction is linked to disease severity and fatal outcomes (138). IL-6 is significantly increased in
435 these patients and continues to increase over time, mostly derived from lung-accumulated macrophages
436 and neutrophils, being relatively more elevated in non-survivors than survivors (71; 139; 140). This
437 abnormal cytokine and chemokine production leads to a cytokine storm profile with uncontrolled
438 inflammation (Figure 3 and Figure 4), accounting for ARDS, sepsis and shock, and multiple organ
439 failure due to tissue damage (141; 36; 112; 105).

440 For SARS-CoV-2 infection, shortly after the destruction of the pneumocystis, a large amount of
441 PAMPs and DAMPs are identified by macrophages through the PRRs (44), which act as early
442 activators of the inflammatory responses. This activation generates, locally, a large release of IL-6,
443 IFN γ , CCL2, and CXCL10 (141; 44) proinflammatory cytokines and chemokines found during acute
444 viral infections (142). Therefore, this type of signaling is responsible for mediating the Th1 response,
445 which culminates in the recruitment of monocytes and lymphocytes to the active site of inflammation
446 (44) and is key to the activation of the specific immune response. However, patients suffering from
447 COVID-19 are committed by lymphopenia, mainly from CD4+ and CD8+ T cells (136; 36; 116; 143),
448 B cells, and NK cells (126). This can lead to a low anti-viral capacity by the immune system, helping
449 the persistence of high viremia titers along with the continued extinction of macrophages and their
450 cytokines. Additionally, the combination of high IL-6 and lower delayed INF production could shift
451 Th1 into a Th17 response, thereby reducing the anti-viral activity efficiency and enhancing tissue
452 damage by neutrophil and macrophage accumulation (144). In a recruitment and activation positive
453 loop, macrophages and neutrophils contribute, in turn, to the cytokine storm and hyperinflammatory
454 state (100).

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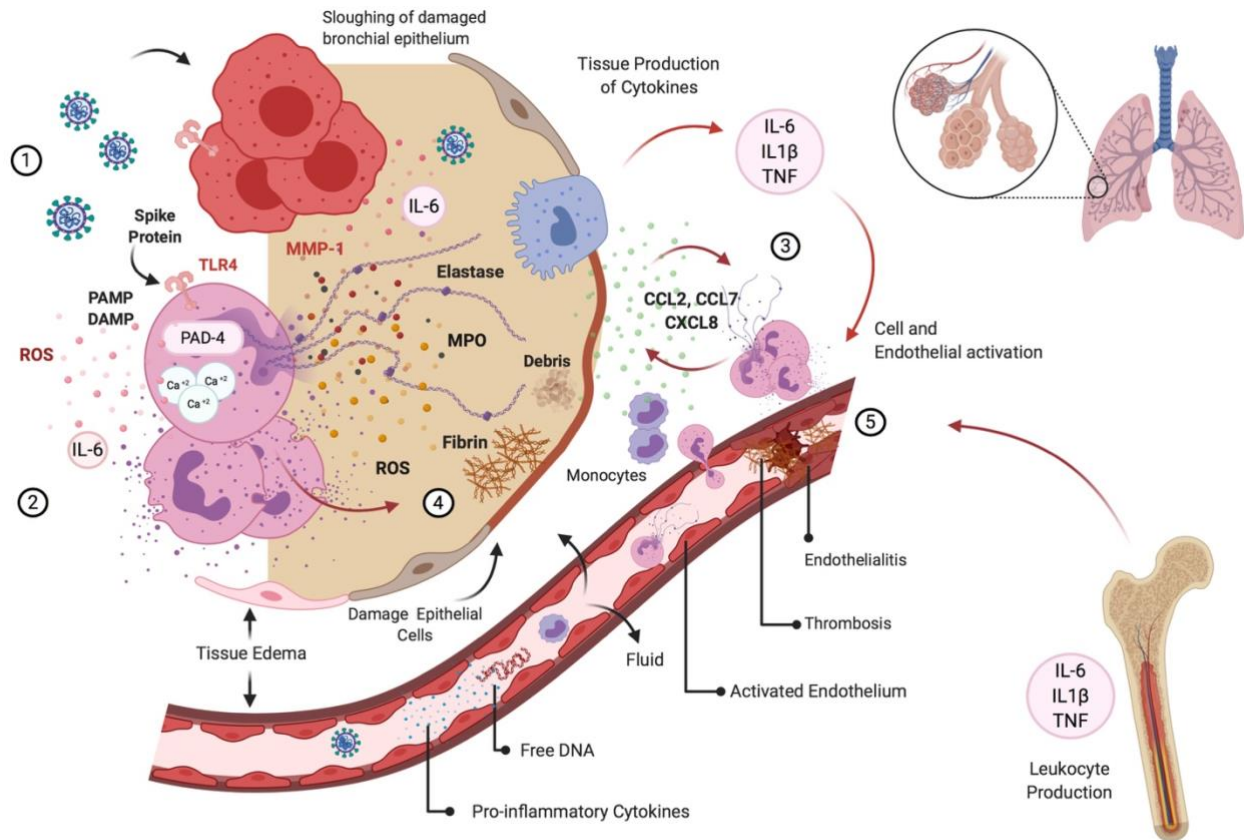
455 Patients with milder COVID-19 had a higher number of Treg cells, along with low serum
 456 concentrations of IL-6 (126), possibly organizing regulated and more effective immunological
 457 responses. On the other hand, patients with severe COVID-19 had high titers of proinflammatory
 458 cytokines, as well as high viral titers (126). This situation, together with the low levels of anti-
 459 inflammatory cytokines, decreased the levels of T and B cells (143), along with causing a late Th1
 460 response (145) and dysregulated macrophage response (100) as the main causes for an exacerbated
 461 amount of cytokines, contributing to inflammatory deregulation, leading to morbidity and mortality of
 462 serious cases of SARS.

463



464

465 **Figure 3. Cytokine Storm during SARS-CoV-2 Infection.** Pro-inflammatory cytokines and chemokines produced by
 466 immune cells are mainly TNF, IL-1 β , IL-6 with delayed interferon production. The major clinical and laboratory findings
 467 are related to IL6 overproduction.



468

469 **Figure 4. Tissue Damage by macrophages and neutrophils during ARDS of SARS-CoV-Infection.** SARS-CoV-2 can
 470 activate 1) macrophages, 2) neutrophils with NETs formation and secondary secretion of reactive species of oxygen,
 471 proteases, pro-inflammatory cytokines, and chemokines. 3) The cytokine storm is a consequence of a state of continuous
 472 cell recruitment and activation. 4) Cell debris, protein-rich alveolar fluid, and hyaline membrane formation are tissue
 473 hallmarks of acute distress respiratory syndrome of Covid-19. 5) Endothelial activation, fluid leakage, and immune cell
 474 tissue homing are consequences of both inflammatory products and SARS-CoV-2. NETs formation is directly engaged in
 475 thrombophilia.

476

477 **8 Immune T cell exhaustion during COVID-19 infection**

478 More than two decades ago, dysfunctional but persistent CD8+ T cells were described during chronic
 479 lymphocytic choriomeningitis virus infection (146). In the following years, T cell exhaustion has been
 480 evident in humans with chronic infections, such as HIV, hepatitis C virus, and cancer (147). This
 481 process of T cell exhaustion is a result of the persistence of antigen stimulation and inflammation in
 482 these pathological conditions (148).

483 Exhausted T cells demonstrate a loss in the effector function, modified metabolism, high and sustained
 484 expression of inhibitory receptors, epigenetic and transcriptional profiles as well as the absence of a
 485 clear module of quiescence, a transition that memory T cells usually undergo in typical circumstances
 486 (149; 150; 151). Although exhausted T cells are not effective in eliminating tumors or pathogens, they
 487 have crucial functions, despite suboptimal conditions, in controlling tumor progression and pathogen
 488 replication (148). Exhaustion is not limited to CD8+ T cells, this response can also happen in a variety
 489 of immune cells, such as CD4+ T cell, natural killer cells and B cells (151).

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490 Naive T cells are activated and differentiated into effector T cells in one or two weeks during acute
491 infections or vaccinations (148). In the ensuing antigen clearance and resolution of the inflammation,
492 most activated T cells die, but a small percentage (5-10%) endures and develop into memory T cells
493 (152). Memory T cells downregulate the program of effector cells and develop into a type of stem cell
494 with a characteristic antigen-independent self-renewal, essentially through the influence of IL-7 and
495 IL-15, and can reactivate effector functions promptly in a secondary infection. It is important to
496 understand that, for an effective memory T cell to occur, it is crucial that the memory T cell
497 differentiation happens in the absence of ongoing antigen stimulation and high levels of persisting
498 inflammation after the effector phase (148).

499 In the context of the COVID-19 pandemic, it is important to highlight that one of the signs of
500 exhaustion is the overexpression of receptor NKG2A (NK group 2 member A) on NK cells and CD8+
501 T cells. This receptor is responsible for the regulation of cytotoxicity and inhibition of cytokine
502 production by both cellular types (153). In a recent study analyzing peripheral blood samples of healthy
503 controls and patients with mild and severe infections of SARS-CoV-2, the NKG2A receptor was
504 upregulated in NK cells and cytotoxic lymphocytes (CTLs) in these patients, which is associated with
505 reduced capacity to synthesize functional markers, such as CD107a, IFN- γ , IL-2, granzyme B, and
506 TNF- α . However, the NKG2A+ CTL levels decreased as the infected patients revived. These findings
507 suggest not only the correlation between the expression of NKG2A and CTL functional exhaustion but
508 also establish this receptor as an indicator of efficient control of the disease (154).

509 Other functional exhaustion markers are the receptors programmed cell death protein 1 (PD-1) and T-
510 cell Ig- and mucin-domain-containing molecule-3 (Tim-3). The former is involved in limiting immune-
511 mediated damage during infection (155), and the latter can suppress IFN- γ production on the cells in
512 which it is expressed (156). According to the findings of cellular functional exhaustion, another study
513 measuring T cell exhaustion markers from the blood samples of 14 COVID-19 cases showed infected
514 patients had higher percentages of CD8+ and CD4+ T cells positive for PD-1. In addition, it was
515 detected in three patients of the study a progressive increase of levels of PD-1+ and Tim-3+ on CD8+
516 T cells following the disease course through worsening symptoms. In the same study, the severe group
517 of patients had some particularities, including lower frequency of multifunctional (positive for at least
518 two cytokines) CD4+ T cells, high levels of non-functional (IFN- γ -TNF- α -IL-2-) CD4+ T cells, and a
519 decreased frequency of non-exhausted (PD-1-CTLA-4-TIGIT-) CD8+ T cells compared to the healthy
520 and mild groups. These outcomes propose that this exhaustion is capable of damaging the cellular
521 immune response in SARS-CoV-2, making individuals susceptible to the severe form of COVID-
522 19 (157).

523 These exhausted T cells are a unique immune cell phenotype and are the targets of an assortment of
524 immunotherapies, e.g., by targeting PD-1, and could eventually become pivotal in a myriad of clinical
525 opportunities (151). Furthermore, many studies have established that T cell exhaustion can be
526 reversible in cancer or chronic viral infections, for example, by blocking the PD-1 pathway with an
527 important clinical response (158; 156). These findings suggest a variety of clinical opportunities in the
528 use of immunotherapy to reverse T cell exhaustion, which could be an alternative for the treatment of
529 COVID-19 (148; 154).

530 9 Antibody response to SARS-CoV-2 in patients with COVID-19

531 When it comes to Ab testing in patients with the new SARS-CoV-2 onset, one of the main concerns is
532 how the levels of total Abs, IgG, and IgM behave through the course of the disease. The analysis of
533 173 patients' serum demonstrated that the rate of seroconversion is high, showing total anti-SARS-

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534 CoV of 93.1%, IgM of 82.7%, and IgG of 64.7%. These changes were observed on day 11, 12, and 14,
535 respectively. In the course of the first two weeks, Ab levels and seroconversion rates increased fast, as
536 the cumulative seropositive rate hit 50% on day 11 and 100% by the day 39 of disease onset (159).
537 However, another study found that 100% of patients were positive to virus-specific IgG around 17-19
538 days after symptom onset. Interestingly, for IgM, the peak took more days to occur and was a little
539 lower, reaching 94.1% by days 20-22. No association between plateau IgG levels and the clinical
540 characteristics of the patients was found. A small number of patients initially presented as seronegative;
541 however, by the end of the third week all patients achieved seroconversion of IgG or IgM (160).

542 Regarding Ab levels at the late stage of the infection and their association with virus clearance, it was
543 shown, in agreement with data presented previously, that IgG was first detected within an average of
544 15 days. The IgM begins to decline and reaches lower levels by week five, and almost disappears by
545 week seven, whereas IgG persists beyond seven weeks (161). Most importantly, it has also been found
546 that SARS-CoV-2 can coexist with specific Abs for 36-50 days, which leads to a new question: how
547 can the virus circulate in the presence of these for such a long time? Additionally, it enhances the
548 importance of innate and adaptative immunity in the resolution of COVID-19 (162).

549 Severe cases of COVID-19 are more frequently found in patients with high levels of IgG (51.8%)
550 compared to those with lower levels (32.3%). With these findings, it is proposed that the Ab response
551 might be linked to secondary organ damage, other than the antiviral activity (163). Additionally, the
552 association between IgG levels and the severity of symptoms, a positive correlation with Ab titers for
553 two weeks after onset, was found. It is suggested that high Ab levels alone might be a risk factor,
554 separate to other known risk factors, such as the presence of comorbidities, being elderly, and being
555 male (159). The combined detection of specific IgM and IgG against viral nucleotides (N-IgM, N-IgG)
556 and spike proteins (S-IgM, S-IgG) can be used as an efficient method of early detection of SARS-CoV-
557 2 infection since the seropositive rate of these four Abs combined reaches 75% after the first week. By
558 the third week, the seropositive rates of N-IgG and S-IgG hits 100% (164). Thereafter, the most
559 sensitive and earliest serological marker is total Abs, levels of which begin to increase from the second
560 week of symptom onset (165).

561 The impact of these specific Abs in predicting a patient's prognosis is another point debated in the
562 same study. After analyzing blood samples from 38 patients (both ICU and non-ICU patients),
563 differences were observed in the IgM to IgG class-switch between these two groups that might reflect
564 distinct clinical outcomes. The ICU group presented an elevated production of N-IgM and N-IgG, but
565 lower levels of S-IgG compared to non-ICU patients. High N-IgG levels are believed to indicate more
566 severe illness than S-IgG levels, and, thus, a worse prognosis. On the other hand, non-ICU patients
567 switched from IgM to IgG more quickly and showed a positive correlation between the increase of S-
568 IgG and decrease of C-Reactive Protein (CRP) (a protein that marks systemic inflammation) (164).

569 The neutralizing Ab (NAb) response, especially spike binding Ab levels (targeting RBD, and subunits
570 S1 and S2) has been evaluated through the plasma analysis of 175 recovered patients of SARS-CoV-
571 2. Interestingly, around 30% of these patients generated a very low level of NAb titles, with one third
572 of them being below the limit of detection and not developing NAbs afterward. Elderly patients, on the
573 other hand, had higher levels of NAbs and these were negatively correlated with lymphocyte counts
574 and positively correlated with blood CRP levels (166).

575 Although the RT-PCR test has been used as a standard method to diagnose SARS-CoV-2 infection
576 globally, the reports of false-negative cases are afflicting and epidemiologically threatening. A Chinese
577 study analyzed 610 patients diagnosed with COVID-19 by the recommended protocol. In the first test,

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578 at least 63.0% (384) of the patients returned negative PCR results and 57 were dubiously positive
579 (9.3%). In addition, 18 patients had a positive result after two consecutive negative results, showing an
580 oscillating pattern (167). Therefore, the search for a better understanding of more specific methods of
581 diagnosis has grown. Therefore, the measurement of virus-specific total Abs, as well as IgG and IgM,
582 represents an effective supplementary method for COVID-19 diagnosis, since it also shows an intimate
583 relationship to the clinical course and prognosis (163; 159; 168). A recent study used a colloidal gold-
584 based immunochromatographic (ICG) strip targeting viral IgM or IgG Abs in confirmed patients, as
585 well as those with negative RT-PCR. In accordance with the data presented earlier, it was found that
586 positive rates of both IgM and IgG increase with the development of the disease. The ICG showed that
587 the IgM positive rate went from 11.1% to 78.6% and 74.2% considered the early (1-7 days after onset),
588 intermediate (8-14 days), and late (over 15 days) stages, respectively. The IgG positive results were
589 3.6% in early, 57.1% in intermediate, and 96.8% in late stages. Therefore, combining both IgM and
590 IgG rates has been proven to enhance the sensitivity of the ICG assay (168). Although sensitive
591 detection methods have been developed, some concerns regarding the validation of the majority of
592 available testes have increased, especially considering the false-negative tests (169). The inaccuracy
593 of non-validated and low-quality SARS-CoV-2 detection methods have two main consequences (1)
594 the false-positive that labels persons erroneously as having COVID-19 with unnecessary quarantine
595 and (2) the false-negative that is especially harmful due to misidentification of infected persons.
596 Therefore, validation should be addressed for the available and developing tests as a way to improve
597 public health measures of epidemic control.

598 9.1 Does SARS-CoV-2 induce immunity?

599 One of the biggest questions surrounding SARS-CoV-2 is whether the immune system develops long-
600 lasting immune responses after infection. As it has only been a few months since the spread of the
601 virus, there is not currently sufficient evidence to answer this question, and further studies are needed.
602 One of the main concerns is that other CoVs, such as SARS-CoV, showed a relatively low extent of
603 immune response after infection (170). The levels of IgG and neutralizing Abs began to decrease after
604 16 months of disease onset, with IgG being undetectable for 25.8% and 16.1% of individuals at 36
605 months. Most patients (86%) persisted with Abs against MERS-CoV, including neutralizing Abs, after
606 34 months of infection (171). Mathematical modeling performed to understand the dynamics of SARS-
607 CoV-2 through a post-pandemic period predicted a short duration of immunity (172). Therefore, the
608 immune protection against these viruses may wane over time and immune protection after re-exposure
609 is uncertain.

610 Remarkably, patients who recently recovered from the infection displayed serum neutralizing activities
611 in a pseudotype entry assay, indicating mounted IgG and IgM responses to SARS-CoV-2 proteins,
612 especially nucleocapsid protein and RBD of S protein, suggesting that the IgG amounts could be
613 sustained for at least two weeks after discharge. Additionally, this suggests that most patients post-
614 discharge have serum-neutralizing SARS-CoV-2 infections (173). It has also been shown that IgG
615 specific to SARS-CoV-2 trimeric spike protein titers raised over the first three weeks from symptom
616 onset, and fell during the second month after symptom onset but remained detectable (174).

617 RBD-specific monoclonal Abs (mAbs) derived from single B cells of eight SARS-CoV-2-infected
618 patients showed potent neutralizing activity against pseudoviruses and lived SARS-CoV-2. It has also
619 been demonstrated that none of the SARS-CoV-2 Abs nor the infected plasma cross-reacted with RBDs
620 from either SARS-CoV or MERS-CoV, suggesting that the Ab response to RBDs is viral and species-
621 specific (175). Over 1,100 isolated S-protein specific-memory B cells derived from seven COVID-19
622 convalescent donors showed that even though the frequency of S-protein specific-memory B cells is

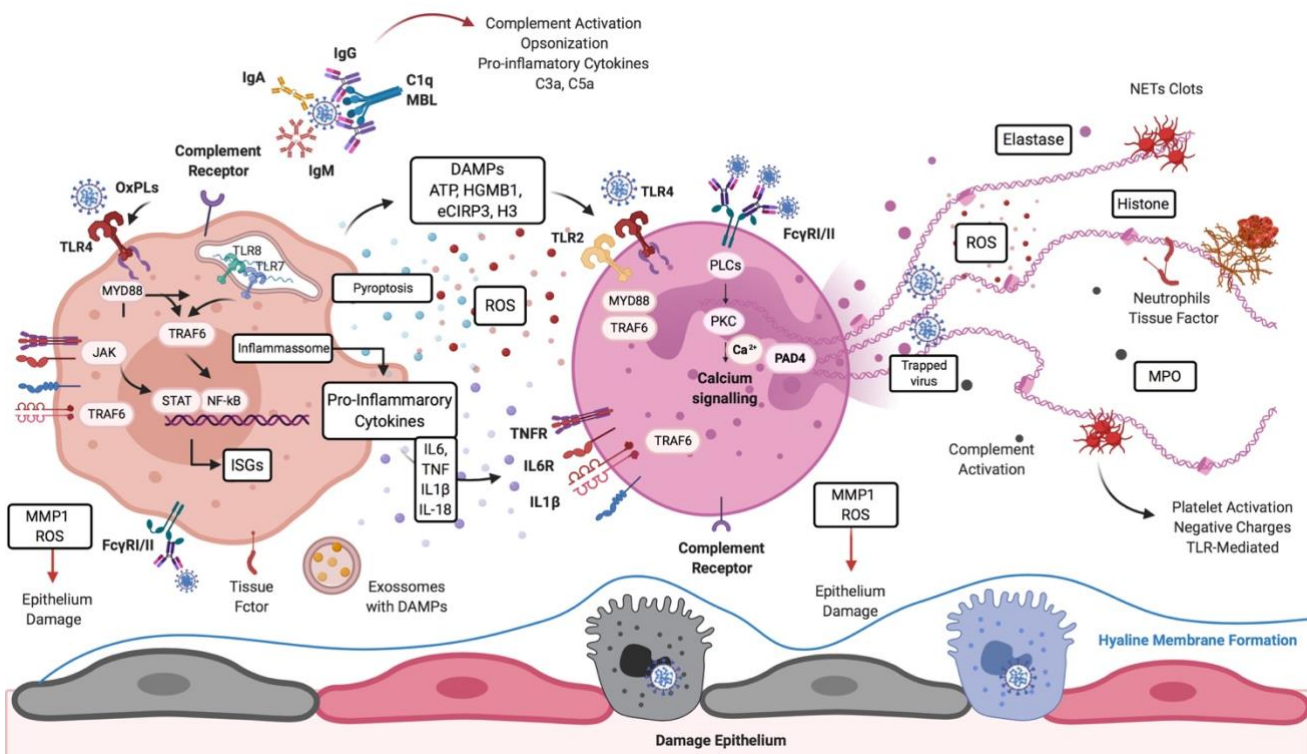
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623 highly variable among donors, SARS-CoV-2-specific mAbs can be successfully isolated from most of
 624 them. Additionally, 17 of the mAbs were able to effectively neutralize SARS-CoV-2 with high potency
 625 when tested in vitro (176).

626 A recent study from China has demonstrated the lack of reinfection in rhesus monkeys after being
 627 submitted to a rechallenge infection of SARS-CoV-2 28 days post-initial challenge. The levels of Abs
 628 against SARS-CoV-2 were significantly higher 14 days post-rechallenge compared to 28 days after the
 629 initial infection. Additionally, there were no significant pathological findings that could be attached to
 630 possible reinfection. Although the results of this study were promising, there is a lack of evidence that
 631 the effects of a rechallenge would remain the same after an interval longer than six months (177).
 632 Moreover, emerging data comparing asymptomatic and symptomatic patients adjusting for individual
 633 characteristics are showing that IgG levels and neutralizing Abs start to decrease 2-3 months after the
 634 infection begins. These data indicate the risks of an “immune passport”, relaxing public health
 635 measures, such as social distancing and widespread immune tests, and high-risk groups’ isolation (18).

636 10 Thromboembolism, cell activation, and tissue damage

637 Beyond the immunological clues discussed in this paper for COVID-19, the underlying tissue damage
 638 effector mechanisms rely on (Figure 5): (1) SARS-CoV-2 target cell invasion, (2) depletion of ACE2
 639 protein from the cell surface (71), (3) endothelial activation and thrombophilia leading to vascular
 640 occlusion and hypoxic-ischemic injuries, (4) immune cell-derived products, such as ROS, MPO, and
 641 elastase, from activated neutrophils and macrophages (178), (5) direct tissue damage by cytokine
 642 overproduction, and (6) complement activation microvascular injury (179).



643

644 **Figure 5. Macrophages and Neutrophils activation pathways in Covid-19.** Multiple theoretical
 645 pathways of macrophages and neutrophils activation after SARS-CoV-2 recognition.

646

647 **10.1 Macrophage and systemic inflammation**

648 Patients with severe COVID-19 pneumonia present features shared with other conditions of hyper-
649 inflammation, such as macrophage activation syndrome (MAS), which is characterized by activation
650 and expansion of T lymphocytes and hemophagocytic macrophages, and shows increased levels of
651 numerous proinflammatory cytokines, high levels of C-reactive protein, increasing levels of serum D-
652 dimers, cytopenias involving other cell lines, hyperferritinemia, liver dysfunction, coagulopathy,
653 decreasing serum fibrinogen, and increasing triglyceride levels (180). These findings have also been
654 found in severe cases of SARS-CoV-2, mainly cytokine storms with high levels of IL-2, IL-7, IL-10,
655 GSCF, CXCL10, CCL2, CCL3, TNF- α , and IL-6 (23; 181), and T cell depletion in patients with
656 SARS-CoV-2.

657 The role of SARS-CoV-2 in the lymphopenia and suppression of IFN production, with the high
658 production of other pro-inflammatory cytokines, mainly IL6, and the presence of macrophages with
659 high inflammatory and chemokine production capability (182), all create a constant state of systemic
660 inflammation that activates more macrophages, causing hemophagocytosis, leading to multi-organ
661 dysfunction and poor outcomes. Other features of MAS are not usually present in patients with
662 COVID-19, such as hepatomegaly and splenomegaly, which may indicate a higher state of
663 inflammation in the lungs.

664 An autopsy series correlating clinical and laboratory findings studied the reticuloendothelial organs
665 (spleen, liver, and multiple pulmonary hilar/mediastinal lymph nodes) of four patients who died of
666 COVID-19. Three cases had histological evidence of hemophagocytosis within pulmonary
667 hilar/mediastinal lymph nodes, and one case showed hemophagocytosis in the spleen, but none showed
668 hemophagocytosis in the liver or bone marrow. It was also found that lymphophagocytosis was the
669 predominant form of hemophagocytosis. The clinical and laboratory data of one patient showed
670 diagnostic features of hemophagocytic lymphohistiocytosis (HLH) with an H-score of 217, while a
671 second patient was likely HLH with a partial H-score of 145 due to missing triglyceride levels (183).

672 **10.2 Neutrophils and SARS-CoV-2-induced neutrophil-derived extracellular trap (NET) 673 formation**

674 The increased number of neutrophils is related to the severity of the respiratory syndrome and adverse
675 outcomes in COVID-19 (9). NET formation is a key factor in tissue damage and organ failure during
676 sepsis (184). NETs are networks of fibers composed of nuclear chromatin, nuclear histones, and
677 granular anti-antimicrobial proteins. The NETs are triggered by activated PRR or chemokines,
678 followed by ROS production and calcium mobilization (185). During NET formation, large amounts
679 of ROS, myeloperoxidase, and elastase were released, with the aim to trap and kill pathogens (186).
680 However, cumulative evidence has shown that NETs are largely involved in disease progression and
681 pathogenesis (187; 188). Moreover, NETosis is linked to cytokine overproduction (21; 100),
682 microthrombosis (186), acute lung injury (ALI), and ARDS (187; 189). Serum samples from COVID-
683 19 patients reveal elevated levels of cell-free DNA, and NETs formation-specific markers, such as
684 myeloperoxidase-DNA (MPO-DNA) and citrullinated histone H3 (Cit-H3) (190), demonstrated that
685 the NETs were increased in plasma, tracheal aspirate, and lung tissue from patients with COVID-
686 19 (178). SARS-CoV-2 induces the NET formation in a PAD-4-dependent manner and promotes injury
687 to the epithelial lung cells in vitro. Additionally, the inhibitory agents of NET synthesis or
688 fragmentation, such as CI-Amidine (PAD-4 Inhibitor), can halt the spontaneous NET formation by
689 neutrophils from COVID-19 patients (178). It is interesting to note that NET formation and cell-free
690 DNA are linked to anti-DNA Ab circulation and autoimmunity (191). Therefore, SARS-CoV-2-
691 triggered autoimmunity in susceptible individuals should be investigated. Further cohort studies are

692 necessary to clarify the role of circulating NETs as a predictive biomarker and to what extent NETs
693 should be explored as a therapeutic target (192; 186).

694 **10.3 COVID-19 and hyperferritinemia**

695 The severe form of COVID-19 is believed to be a part of the “hyperferritinemia syndrome” group
696 since it presents features of high serum ferritin and systemic hyper-inflammation. The other four
697 entities comprehended under this term include MAS, adult-onset Still’s disease (AOSD), catastrophic
698 anti-phospholipid syndrome (CAPS), and septic shock. Since these conditions share a common
699 pathogenic background, COVID-19 patients could benefit from a similar therapeutic approach,
700 including anti-inflammatory and immunomodulatory agents (193). In a study from China conducted
701 with 21 severe COVID-19 patients, tocilizumab presented itself as an effective treatment, improving
702 symptoms, and repressing clinical deterioration (194).

703 In order to understand the mechanisms of serum ferritin secretion and its relationship with
704 inflammation, an experiment analyzing mouse serum ferritin secretion concluded that serum ferritin is
705 not only a result of a cellular leak, but also actively secreted by macrophages through a nonclassical
706 secretion process involving secretory lysosomes. After lysosomal processing, ferritin can be further
707 degraded or secreted by cells that have a lysosomal secretory pathway, such as cells from the
708 hematopoietic lineage (including macrophages) or renal tubular cells. Genetic findings with
709 macrophages and the iron regulatory protein 2 (IRP2), and the fact that splenectomy caused decreased
710 serum ferritin concentrations in mice, supported that macrophages contribute significantly to serum
711 ferritin. Further evidence includes demonstrations that primary cultures of bone marrow-derived
712 macrophages secrete ferritin into their culture medium. The results obtained seem to explain that serum
713 ferritin is elevated in inflammation when increased hepcidin levels inhibit iron recycling from
714 macrophages (195). In AOSD, high ferritin serum levels and findings of ferritin expression in the
715 lymph node B area have been described, suggesting that macrophage activation might be related to
716 hyperferritinemia (196).

717 A study aiming to evaluate clinical characteristics of infection markers in severe and very severe
718 patients with COVID-19 showed that both groups exhibited increased serum ferritin levels, but the
719 serum ferritin in the very severe COVID-19 group was significantly higher (1006.16 ng/ml) than that
720 of the severe COVID-19 group (291.13 ng/ml). This increase in ferritin levels might be related to severe
721 secondary bacterial infection in COVID-19 and a poor prognosis (197).

722 **10.4 Role of HGMB-1 and TF in thrombotic events**

723 High mobility group box 1 protein (HMGB-1) is an endogenous DAMP protein that can induce
724 inflammation. HMGB-1 can be produced and released by damaged or dying cells and is involved in
725 the innate immunity system. A study from 2015 sought to investigate platelet-derived HMGB1 with
726 transgenic mice with platelet specific HMBG-1 ablation. It was reinforced that platelets store and
727 express HMGB1 on their surfaces after activation and mediate platelet aggregation and thrombosis. It
728 was also shown that the prothrombotic effect was mediated via platelet TLR-4 (198).

729 HMGB1-driven inflammation leads to intimal hyperplasia in arterial injury. It was identified that
730 HMGB1 and TLR4 regulate cell migration, monocyte trafficking, and inflammatory mediator and
731 growth factor production (199). High levels of HGMB1 in systemic circulation can lead to the
732 development of DIC. Studies on the prothrombotic effect of this molecule show an intimate
733 relationship with tissue factor (TF). The expression of TF on monocytes is increased by HGMB1
734 stimulation (200), as well as in vascular endothelial cells and macrophages. HMBG1 can induce TF

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735 expression through TLR4, TLR2, and RAGE. The activation of transcription factors was also
736 described (201).

737 Considering the high number of reported cases on thrombosis related to COVID-19, a possible
738 pathological mechanism is an association with HMGB1. A similar hypothesis was proposed for SARS-
739 CoV in 2004. In this case, HMGB1 was related to lung injury and its release from endothelial alveolar
740 damaged cells and innate immunity cells (such as macrophages and monocytes) (202). Due to its
741 relationship to various SARS-CoV-2 symptoms and mortality, scientists point to HMGB1 as a
742 therapeutic target for COVID-19 (203; 204).

743 **10.5 The role of the ACE2 receptor at the endothelium and in thrombosis**

744 ACE2 is widely expressed in the endothelium of blood vessels. This enzyme, along with Ang-(1-7),
745 are able to inhibit early atherosclerotic lesion formation by protecting the physiological endothelium
746 function and inhibiting the inflammatory response (205). Ang-(1-7) acts as an endogenous ligand for
747 the G protein-coupled Mas receptor, which is highly expressed in the cardiovascular system. Moreover,
748 the ACE2/Ang1-7/Mas pathway has anti-proliferative, anti-inflammatory, and anti-oxidative stress
749 properties (206; 207; 208; 209; 210; 211).

750 On the other hand, the high expression of ACE2 by the endothelium contributes to infection by SARS-
751 CoV-2. Therefore, it is possible that this infection leads to microvascular inflammation, microvascular
752 dysfunction, and the release of pro-inflammatory cytokines, especially the cytokine storm already
753 mentioned in this article. In this context, a series of cardiovascular complications, such as myocardial
754 infarction, coagulation activation, and thromboembolic events, are possible, as already noted in the
755 case reports of patients with COVID-19 (212; 213; 23; 7; 214; 215; 216).

756 Analyzing the current evidence, it can be hypothesized that the infection of SARS-CoV-2 might have
757 an effect on the endothelium, leading to thrombosis by blocking the anti-inflammatory effects of ACE2.
758 Virus-induced changes to the access to binding sites in the receptor as well as the inflammatory reaction
759 may have a role in endothelium dysfunction. However, further studies are necessary to clarify this topic.

760 **10.6 Thrombosis and tissue oxygen delivery**

761 The storm of proinflammatory cytokines from the SARS-CoV-2 infection contributes to plaque
762 rupture, inducing procoagulant factors and hemodynamic changes, elevating the D-dimer levels and
763 the prothrombotic state can lead to, as with other CoVs, vascular endothelial damage, causing
764 disseminated intravascular coagulation (DIC), such as an increase of the risk of intracranial
765 hemorrhage, leading to both arterial and venous thromboembolism and ischemia (217; 218; 219; 220).
766 Being well-established, the association between SARS-CoV-2 infections and the risk of developing
767 thrombosis is more specific to severe COVID-19 cases. The inflammation and injury of the
768 myocardium predisposes thrombogenesis and also the risk of stroke (219).

769 The coagulation cascade is activated by an inflammatory response through polyphosphates, derived
770 from microorganisms. These polyphosphates activate platelets, mast cells, and coagulation factor 12,
771 intensifying the intrinsic coagulation response (221). In addition, other pathways contribute to the
772 positive regulation of coagulation (222). Although extracellular NETs are present in thrombi, the
773 individual NET components of cell-free DNA and histones activate the contact pathway and enhance
774 other prothrombotic pathways, resulting in thrombin generation (223).

775 The International Society of Thrombosis and Haemostasis (ISTH) has developed and validated sepsis-
776 induced coagulopathy (SIC), a type that is less severe and occurs earlier in patients than DIC (224).
777 However, during SARS-CoV-2 infection, SIC can progress to DIC, and the reasons are still unclear.
778 As discussed, the development of coagulation test abnormalities seen in SARS-CoV-2-infected
779 patients is likely a result of the profound inflammatory response (217). In patients with sepsis-induced
780 coagulopathy, the importance of evolution from adaptive hemostasis to pathologically-induced DIC
781 with multiorgan failure continues to be evaluated.

782 **10.7 Pathophysiology of thrombosis related to COVID-19**

783 Studies have shown that SARS-CoV-2 induces a coagulopathy, namely DIC, with a high risk of venous
784 thromboembolism (225). The mechanisms of DIC are based on inflammatory tissue factor (dependent
785 coagulation cytokine, inefficient control of anticoagulant pathways, and plasminogen activator
786 inhibitor 1)-mediated suppression of fibrinolysis that causes endothelial dysfunction and microvascular
787 thrombosis (226). Patients in severe or fatal cases of COVID-19 present thrombocytopenia, elevated
788 D-dimer levels, and prothrombin time prolongation, which suggests hyperfibrinolysis action (227).
789 Plasmin has a fibrinolytic function and an important role in enhancing the virulence and pathogenicity
790 of viruses containing furin in the envelope proteins. Plasmin activates the S protein of SARS-CoV and
791 is also present in SARS-CoV-2, suggesting that the mechanism is similar (228; 229). Plasmin is
792 elevated in patients with comorbidities, such as DM I or II, cardiovascular disease, hypertension, and
793 cancer, which strengthens the link between elevated plasmin levels and worse outcomes (230).

794 During COVID-19 infection, changes in coagulability patterns may also be altered because patients
795 affected by critical stages of the disease have manifestations compatible with sepsis (231). Sepsis and
796 the systemic inflammatory response syndrome are major causes of DIC (232). This correlation exists
797 because the severe inflammatory state secondary to infection leads to homeostatic disharmony (232).
798 The DIC results from the activation of endothelial cells and monocytes by the cytokines released during
799 tissue injury, cytokine storm, and together with the expression of tissue factor, Willebrand factor (233),
800 factor VII, and fibrinogen (232). Another line of thought is that the origin of DIC in COVID-19 patients
801 is based on a decrease in urokinase-type plasminogen activator (u-PA), plasmin rescue for SARS-CoV-
802 2 protein S cleavage (inefficient control of anticoagulant pathway), and increases in plasminogen
803 activator inhibitor 1 (PAI-1) and $\alpha 2$ antiplasmin ($\alpha 2$ -AP) (234). Additionally, the cytokine storm and
804 CID seem to maintain a feedback relationship; some cytokines present in the cytokine storm increase
805 tissue factor expression storm (TNF, IL-1 β , IL-6, CXCL8, IFN- γ , and the chemokine MCP-1) this
806 favors hyperfibrinolysis, and in the same way, thrombin can further augment inflammation via
807 proteinase-activated-receptors (235; 236; 237). Circulating microvesicles found in septic patients can
808 also contribute to the state of hypercoagulability in patients affected with COVID-19 (232).

809 Therefore, disseminated intravascular coagulation causes venous thromboembolism, acute pulmonary
810 embolism, deep-vein thrombosis, ischemic stroke, myocardial infarction, and systemic arterial
811 embolism. Many studies seek the treatment of COVID-19 by focusing on the coagulation pathway;
812 however, a general treatment has not been created yet, showing the complexity of this infection, and
813 that more research must be done (238).

814 **11 Conflict of Interest**

815 The authors declare no conflict of interest

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