

1 TITLE

2 DNA processing in the context of non-coding transcription

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ABSTRACT

RNA polymerase II (RNAPII) frequently transcribes non-protein coding DNA sequences in eukaryotic genomes into long non-coding RNA (lncRNA). Here, we focus on the impact of the act of lncRNA transcription on nearby functional DNA units. Distinct molecular mechanisms linked to the position of lncRNA relative to the coding gene illustrate how non-coding transcription controls gene expression. We review the biological significance of the act of lncRNA transcription on DNA processing, highlighting common themes, such as mediating cellular responses to environmental changes. This review presents the background in chromatin signaling to appreciate examples in different organisms where we can interpret functions of non-coding DNA through the act of RNAPII transcription.

41 MAIN TEXT

42 The act of non-coding transcription as a source of regulation 43 of nearby DNA elements

44 RNA polymerase II (RNAPII) transcription of non-coding DNA converts large parts of
45 eukaryotic genomes into non-coding RNAs (ncRNAs) [1,2]. Many annotated genes are
46 therefore in close proximity to non-coding transcription, raising the question of how this
47 affects gene expression [3,4]. RNAPII transcribed long non-coding RNAs (lncRNAs) are
48 distinguished from shorter ncRNAs based on their size (> 200 nt) [5]. lncRNA may regulate
49 other transcripts either in *cis* (near the site of production) or in *trans* (at a distant genomic
50 location) [6]. Thus far, we distinguish gene regulation through *cis*-acting lncRNA by two main
51 mechanisms: (i) the function of the resulting lncRNA molecule or (ii) the act of non-coding
52 transcription [7]. In general, lncRNAs are less abundant and less conserved at the sequence
53 level than messenger RNAs (mRNAs) [8]. Gene regulation by the act of lncRNA transcription
54 could reconcile where non-conserved lncRNA in equivalent positions near genes may have
55 similar effects on gene expression in distant species [7]. This review focuses on different
56 molecular mechanisms by which the act of ncRNA transcription affects **DNA processing**
57 (see Glossary) in *cis*. We divided ncRNAs according to their genomic location and
58 orientation concerning the target protein-coding genes to encapsulate common mechanistic
59 characteristics. Our review complements other excellent reviews on the functions exerted
60 by lncRNA molecules [9–11] and metazoan **enhancers** [12] by focusing on how the act of
61 non-coding transcription affects DNA biology.

62 Chromatin signaling during RNAPII transcription

63 In eukaryotes, histone proteins package DNA into chromatin with profound effects on
64 transcription. N-terminal tails of histone proteins are dynamically modified with post-
65 translational modifications (PTMs) during transcription [13,14]. Histone PTMs contribute to
66 the definition of RNAPII transcription stages, where different pre-RNA processing events
67 execute RNA synthesis [15]. Importantly, chromatin-modifying enzymes are recruited by
68 dynamic phosphorylation of RNAPII and contribute to transcription stage specification
69 [16,17].

70 Amongst histone PTMs, acetylation (ac) and methylation (me) marks are most thoroughly
71 characterized. Histone acetyltransferases catalyze the acetylation of histones including
72 histone 3 (H3) and histone 4 (H4) [18]. In *Saccharomyces cerevisiae*, RNAPII carboxy-
73 terminal domain-dependent signaling triggers the methylation at H3 lysine (K) 4 and 36 by
74 histone methyltransferases Set1 and Set2 [19–21]. Expressed genes display a
75 characteristic modification profile with H3/H4ac around the transcription start sites (TSSs),
76 H3K4 trimethylation (H3K4me3) around the first nucleosome, and H3K36me3 towards the
77 3'-end [22,23]. Additional histone PTMs reinforce characteristic distribution profiles across
78 genes [24]. The pattern of histone PTMs along genes shares many similarities between
79 species, for example human [25], *Drosophila* [26], yeasts [23,27] and *Arabidopsis* [17].
80 H3K36me3 characterizes RNAPII elongation in multiple organisms [28,29]. One important
81 function of H3K36me3 in budding yeast is the suppression of **intragenic transcription**
82 initiation [30–32]. Repression of intragenic initiation mediated by H3K36me3 is linked to the
83 Rpd3S histone deacetylase complex (HDAC) and local chromatin remodeling [14,32].
84 **Histone chaperones** such as FAcilitates Chromatin Transcription complex (FACT) and

85 Spt6 promote RNAPII elongation through displacement and re-assembly of nucleosomes
86 [33]. During active transcription, FACT and Spt6 function selectively on H2A/H2B and H3 to
87 maintain the dynamic chromatin state [33]. Histone chaperones suppress transcription
88 initiation within transcription units in several organisms, including yeast [34], humans [35]
89 and plants [36]. Generally, lncRNA transcription shares much of the chromatin-based
90 signaling with mRNA transcription [37]. Chromatin signaling associated with RNAPII
91 transcription provides a valuable model for effects of the act of non-coding transcription on
92 DNA biology. Scenarios where lncRNA transcription overlaps functional DNA elements
93 serve as paradigms to illustrate the effects by this model.

94 Tandem Transcriptional Interference

95 Transcriptional interference (TI) defines the direct suppressive effect of RNAPII transcription
96 in *cis* from one transcription unit overlaying a second transcription unit [38]. Transcription
97 from a non-coding transcript can trigger TI to regulate a protein-coding gene located
98 downstream in tandem [39]. In this section, we review common molecular mechanisms of
99 TI into downstream genes by defined non-coding transcription events upstream in tandem
100 (referred to as tTI). While we note tantalizing connections to the inhibition of downstream
101 TSSs by gene isoforms initiating further upstream, these interactions between transcripts
102 with coding potential fall outside the scope of this review [40–43].

103 *Interplay between chromatin-modifying complexes and transcription factors in tTI*

104 The local chromatin environment influences RNAPII transcription. Chromatin-based
105 signaling mechanisms during gene transcription appear central for tTI across eukaryotes
106 [17]. A prevalent tTI mechanism in yeast involves the deposition of transcription-coupled
107 H3K36me3 by non-coding transcription to repress downstream gene initiation [44–46]. For

instance, tTI by two lncRNAs, *IRT1* and *IRT2*, controls cell-type based regulation of *IME1* expression determining sexual differentiation in budding yeast [47]. *IRT1* tTI triggers Set2-dependent H3K36me3 and Set3-dependent HDAC activity to repress *IME1* initiation in haploids. *IRT2*, a second lncRNA upstream of *IRT1*, in turn represses *IRT1* by tTI to promote *IME1* expression [45]. Likewise, a toggle-switch mechanism between two lncRNA transcripts (*ICR1* and *PWR1*) influenced by competitive binding of **transcription factors**, regulates the budding yeast *FLO11* gene affecting cell adhesion and flocculation [48,49]. The act of *ICR1* transcription represses *FLO11* by tTI and the expression of antagonistic lncRNA *PWR1* alleviates the repression. In fission yeast, lncRNA transcription upstream of the *tgp1⁺* and *pho1⁺* metabolite transporter genes deposits H3K36me3 that recruits HDAC to repress RNAPII initiation [44]. FACT participates in tTI by mediating reduced transcription factor binding at the downstream gene promoter (Figure 1A). Together, these examples of tTI by upstream lncRNA illustrate how an interplay of RNAPII elongation-linked chromatin signaling represses initiation of downstream gene expression in various biological contexts.

Physical displacement and changes in chromatin structure

In addition to the recruitment of histone-modifying proteins to repress the downstream gene, the act of RNAPII transcription may physically prevent access to DNA elements (Figure 1B). Experiments such as **in vivo footprinting** [50,51] and nucleosome-scanning [52] suggest transcription increases nucleosome density over nucleosome depleted regions (NDRs) that otherwise characterize eukaryotic promoter regions. The displacement of transcription factors by chromatin-compaction of NDRs may represent an alternative mechanism for tTI. In *S. cerevisiae*, tTI from the upstream lncRNA *SRG1* regulates the *SER3* gene involved in serine biosynthesis [53]. In serine-rich media, FACT mediates repression by promoting nucleosome assembly at the *SER3* promoter and facilitates elongation of *SRG1*

independently of the Set2-mediated H3K36me3 [39]. The increased nucleosome density compacts chromatin and repels transcription factor binding to regulatory sequences in the *SER3* promoter [39]. More generally, the act of transcription from an upstream non-coding unit may trigger the displacement of transcription factors from binding to the downstream gene promoter. The zinc-responsive transcription of the *ZRR1* lncRNA displaces the transcriptional activator of *ADH1* to repress gene expression [50]. *ZRR1*-based tTI of *ADH1* links the expression of *ADH1* to the availability of zinc in the environment. In fission yeast, tTI mediates environmentally adjusted phosphate homeostasis. tTI displaces the transcription factor Pho7 by upstream lncRNA transcription events at the *pho1⁺*, *pho84⁺* and *tgp1⁺* loci [51]. Moreover, **compound transcripts** resulting from invading upstream transcription may contain the mRNA open reading frame (ORF). In such cases, failure to produce functional proteins may include elements of translational repression by upstream ORFs [54]. To summarize, we find support for two prominent mechanisms of tTI causing gene repression. A mechanism relying on (i) chromatin-based signaling and (ii) the repelling effects mediated by chromatin compaction. The mechanisms are not mutually exclusive and may act together in some contexts. Possibly, the function of FACT interlinks the two mechanisms by preventing transcription factor binding and promotion of nucleosome assembly [55]. However, this review distinguishes them to acknowledge the strong evidence for both mechanisms. These mechanisms underpin environment-induced gene regulation, arguing for roles of tTI in linking environmental sensing to gene expression.

Permissive effects of tandem lncRNA transcription on gene expression

While tTI mediates repression, the act of lncRNA transcription near gene promoters may also activate gene expression. In fission yeast, lncRNA transcription upstream of the *fbp1⁺* gene promotes expression by mediating an open configuration around the *fbp1⁺* TSS [56]

(Figure 1C). In addition, efficient transcriptional termination of upstream lncRNA prevents tTI (see Box 1) and stimulates gene expression. The budding yeast lncRNA *CUT60* strikingly illustrates the biological significance of this phenomenon. *CUT60* termination promotes expression of the *ATP16* gene, encoding a subunit of the mitochondrial ATP synthase complex. Inefficient termination of *CUT60* represses *ATP16* expression, resulting in mitochondrial genome loss [57]. *CUT60* termination may also contribute to NDR maintenance to facilitate initiation. In summary, even though the act of RNAPII transcription is often associated with gene repression, several examples support roles in activation. Future characterization of examples illustrating roles in gene activation may help to discriminate molecular features connected to gene activation and repression.

Gene regulation by the act of antisense transcription

Transcription of lncRNAs from the antisense strand of protein-coding genes characterizes antisense lncRNA transcription [58,59]. A correlation between genes changing expression in response to new environmental conditions and the presence of non-coding antisense transcription suggests roles of antisense lncRNA in gene regulation [60,61]. Many case studies support a mutual inhibitory effect of the sense and antisense transcript pairs [60]. In addition to antisense lncRNA transcription, a range of synonymous terminologies exist, for example, natural antisense transcripts (NATs) [62], antisense lncRNAs (aslncRNAs) [63] or antisense RNAs (asRNAs) [64]. In this section, we review how the act of antisense non-coding transcription affects the expression of the corresponding sense gene.

Transcriptional read-through to regulate gene expression

Antisense lncRNA transcription can result from distinct promoters, read-through RNAPII transcription of neighboring genes facing each other, or from divergent lncRNA transcription

179 associated with eukaryotic gene promoters [59,65]. Antisense lncRNA transcription is
180 abundant in gene-dense genomes like *S. cerevisiae* and associated with genes of varying
181 expression levels [60]. For example, the *SUR7* gene is regulated by the *SUT719* antisense
182 lncRNA transcript [60]. High expression levels of *SUR7* signals feedback repression by
183 triggering increased *SUT719* expression, which in turn represses *SUR7*. Likewise, read-
184 through transcription of the antisense lncRNA *RME2* into the 5' untranslated region (UTR)
185 of the *IME4* gene achieves cell-specific regulation of budding yeast meiosis [66]. *RME2*
186 transcription opposes RNAPII elongation of *IME4* in haploid cells, thus repressing full-length
187 *IME4* mRNA production. Nonsense-mediated RNA decay (NMD) targets antisense lncRNAs
188 for degradation in the cytoplasm [67]. In budding yeast, stabilization of antisense lncRNAs
189 in an NMD pathway mutant correlates with lower expression of genes, arguing for repressive
190 effects of antisense lncRNA [64]. In differentiated mouse embryonic stem cells, **genomic**
191 **imprinting** of the *Igf2r* gene is mediated by transcription of the antisense lncRNA *Airn* [68].
192 Repression of the paternal *Igf2r* allele by DNA methylation is alleviated by abolishing *Airn*
193 transcription through the removal of the *Airn* promoter sequence, supporting a connection
194 between antisense lncRNA expression and epigenetic regulation. Collectively, these
195 examples point to mutual inhibition of the antisense lncRNA generated from read-through
196 transcription and mRNA transcription (Figure 2A).

197 *Chromatin-based repression by antisense lncRNA*

198 Regulation of expression of sense gene expression by the corresponding antisense lncRNA
199 transcription often relies on chromatin-based mechanisms (Figure 2B). The budding yeast
200 *GAL10* locus represents a well-characterized example of this paradigm. Glucose-rich
201 environments induce the transcription of the *GAL10* lncRNA. The act of *GAL10* lncRNA
202 transcription deposits H3K36me3 and H3K4me2/3 to recruit Rpd3S and **attenuates**

203 expression of mRNA in the *GAL1-10* locus [69,70]. Reduced antisense lncRNA transcription
204 increases H3K9ac at the *GAL1* gene, coinciding with increased *GAL1* expression [71].
205 Similarly, transcription of an antisense lncRNA at the phosphate-repressed *PHO84* gene
206 induces Set1-dependent histone methylation that recruits HDACs to mediate repression of
207 *PHO84* [72]. Chromatin-based repression of *PHO84* by antisense lncRNA may limit the
208 threshold for gene activation to phosphate environments providing a sufficiently strong
209 stimulus [72]. In *Drosophila melanogaster*, the **nascent** antisense lncRNA *ANRIL* recruits
210 Polycomb repressive complex (PRC) to silence the nearby *Ink4b* gene by H3K27me3 [73].
211 Likewise, the mammalian *ATX7* locus harbors the antisense lncRNA *SCAANT1* whose
212 transcription mediates increased deposition of H3K27me3, a PRC-linked repressive
213 chromatin modification, to silence *ATX7* [74]. In *Arabidopsis*, cold-induced antisense
214 lncRNA *COOLAIR* transcription at the *FLOWERING LOCUS C (FLC)* gene triggers *FLC*
215 repression involving PRC and H3K27me3 [75,76]. Alternative *COOLAIR* co-transcriptional
216 pre-RNA processing through varying poly-adenylation [77] and **splicing** [78] alters the
217 efficiency of antisense mediated *FLC* repression. Single-cell analyses at the *FLC* locus
218 suggest mutually exclusive cellular expression states of sense and antisense lncRNA
219 transcription, arguing for mutual inhibition [79]. In yeast and human, genes with high natural
220 antisense lncRNA transcription are associated with low levels of H3K36me3 and H3K79me3
221 around the mRNA TSS [71]. These data indicate that transcription on both DNA strands of
222 a gene coincides with impaired establishment of co-transcriptional chromatin signals.
223 However, when antisense lncRNA transcription is induced, antisense transcription elevates
224 levels of H3K36me3 in +1/-1 nucleosomes and triggers chromatin compaction to repress
225 sense gene expression [80]. Here, antisense transcription appears to share some molecular

characteristics with tTI. In summary, the act of antisense lncRNA transcription affects chromatin signaling by diverse mechanisms to affect gene expression.

Emerging mechanisms of gene regulation by the act of antisense transcription

Antisense lncRNA transcription in budding yeast at the *CDC28* locus represents an example of regulation by gene looping that correlates positively with gene expression [81]. Antisense lncRNA transcription recruits the Hog1 protein kinase that facilitates binding of the gene looping factor Ssu72. Gene looping mediates the transfer of Hog1 alongside transcription factors to the 5'-UTR of the *CDC28* gene, promoting its expression (Figure 2C). The presence of antisense lncRNA transcription and mRNA poses a conundrum since biophysical considerations suggest that two RNAPII complexes transcribing towards each other should not be able to pass [82]. In *Arabidopsis*, the collision of RNAPII complexes corresponding to sense and antisense transcription of the same DNA locus represents a mechanism to regulate cold-tolerance [83]. Cold-induced read-through transcription of the antisense lncRNA *SVALKa* protrudes into the *CBF1* gene, which triggers the collision of RNAPII complexes. At *CBF1*, RNAPII collision results in premature termination of *CBF1* mRNA production in response to cold to uncouple fitness penalties associated with high-level *CBF1* expression (Figure 2D). In mice, antisense lncRNA transcription at the *Zeb2* gene (*Zeb2*-NAT) inhibits promoter-proximal splicing of *Zeb2* pre-mRNA. Interestingly, the partially spliced transcript promotes *Zeb2* protein expression through the inclusion of an internal ribosomal entry site required for the translation of mRNA in young mice [62]. Older mice display increased *Zeb2*-NAT and *Zeb2* protein expression compared to younger animals, arguing for antisense lncRNA-mediated effects on ageing [84]. RNAPII also navigates non-coding antisense transcription by RNA polymerase III (RNAPIII). In mammals, the first intron of the RNAPII-transcribed *Polr3e* gene, encoding an RNAPIII

250 complex subunit, contains an interspersed repeat region. Simultaneous transcription of this
251 region by RNAPIII and transcription of sense *Polr3e* gene by RNAPII leads to a roadblock
252 and reduction in expression of *Polr3e* [85]. Future studies will clarify the scope of gene
253 expression regulation by mechanisms such as gene looping, polymerase collision and
254 splicing inhibition by the act of antisense lncRNA transcription. In summary, the mechanistic
255 diversity of gene regulation by antisense lncRNA transcription suggests context-dependent
256 interpretation of effects and calls for nuanced approaches to resolve common principles.

257 **Emerging functions of non-coding transcription**

258 *Transcriptional control by intragenic ncRNAs*

259 Although intergenic elements such as gene promoters are key for gene expression
260 regulation, intragenic ncRNA transcription may superimpose transcriptional control. For
261 instance, nitrogen deprivation causes rapid induction of the *ASP3* gene in *S. cerevisiae*,
262 aided by an intragenic ncRNA transcribed in the same orientation as the mRNA [86]. Even
263 when *ASP3* mRNA is repressed, the ncRNA transcription persists and mediates high
264 residual levels of H3K4me3 at the *ASP3* promoter to facilitate rapid transcriptional
265 adaptation to changing nitrogen availability (Figure 3A). In mammals, transcription of
266 intragenic enhancer RNA may affect host gene expression [87,88]. We refer readers to
267 excellent recent reviews on enhancer function [12,89]. In brief, enhancer RNA transcription
268 can attenuate host gene expression by promoting premature termination of mRNA
269 transcription [87] (Figure 3B). Moreover, transcription of an intragenic antisense enhancer
270 can facilitate the expression of a shorter isoform of the host gene [88]. In summary, the act
271 of transcription of intragenic ncRNAs influences host gene expression. In this regard,
272 functions of mammalian intragenic enhancers can be interpreted through regulatory
273 mechanisms by the act of RNAPII transcription.

274 *Defective termination as a gene expression regulator*

275 An alternative transcriptional termination pathway in human cells, specific to most lncRNAs
276 that contain microRNAs (miRNAs), prevents read-through into downstream genes [90]. The
277 microprocessor complex responsible for recognizing and generating pre-miRNA is key in
278 this termination mechanism. Generally, non-coding transcription of RNAPII beyond the poly-
279 adenylation site is part of the canonical RNAPII transcription termination mechanism and
280 thus represents an integral part of gene expression [91]. Diverse environmental cellular
281 stresses in mammals and plants, besides virus infections (e.g. herpes simplex (HSV-1) or
282 influenza), interfere with RNAPII termination, leading to defective termination and
283 widespread generation of read-through non-coding transcription into downstream protein-
284 coding genes [92–96] (Figure 3C). The molecular mechanisms underlying read-through
285 transcription in HSV-1 infection point to a blockage of mammalian RNAPII termination by
286 preventing the mRNA 3'-cleavage [95]. Malignant reprogramming of cancer cells associates
287 with widespread read-through transcription [97], further supporting the correlation between
288 excessive non-coding read-through transcription and cellular stresses. Moreover,
289 acceleration of RNAPII transcription in *Arabidopsis* revealed extensive transcriptional read-
290 through linked to an autoimmune phenotype [98]. In conclusion, these data support links
291 between non-coding read-through transcription and cellular fitness. It remains to be
292 investigated whether widespread non-coding transcription events reflect cellular adaptations
293 to new environments, or whether they indicate a breakdown of cellular functions.

294 **Non-coding transcription and DNA processing**

295 The act of lncRNA transcription also impacts DNA replication and genome maintenance.
296 Autonomously replicating sequences (ARSs) instruct DNA replication in budding yeast. The

297 stable association of the origin recognition complex (ORC) and the pre-replication complex
298 at ARSs promote RNAPII pausing by a roadblock mechanism and mediate transcriptional
299 termination at the edges of replication origins [99,100] (Figure 4A). ARS invasion by lncRNA
300 transcription correlates with low DNA replication efficiency and late origin firing, affecting the
301 temporal program of replication [99,100]. Mechanistically, RNAPII transcription through
302 ARSs affects replication in part by chromatin-mediated effects. Akin to tTI, RNAPII
303 elongation mediates a Set2-dependent increase of H3K36me3, a decrease of histone
304 acetylation and an increase in nucleosome occupancy around the ARSs [100]. This
305 chromatin landscape correlates with lower efficiency of ORC binding and inefficient ARS
306 firing [100] (Figure 4B). Future studies should investigate if tTI-like effects on DNA
307 replication, as detected in *S. cerevisiae*, represent a phenomenon preserved through
308 evolution. In summary, the act of lncRNA transcription over ARSs inhibits DNA replication
309 and thus cell cycle progression.

310 In human cells, the act of lncRNA transcription is essential for the repair of double-strand
311 breaks (DSBs), a harmful type of DNA lesion [101]. Transcription occurs in the first steps of
312 the DNA damage response (DDR). DDR couples a series of mechanisms responsible for
313 the recognition, signaling and repair of DNA damage. Detection of the lesion by the MRE11–
314 RAD50–NBS1 (MRN) complex allows the formation of functional promoters, where RNAPII
315 bidirectionally synthesizes damage-induced lncRNAs (dilncRNAs) [101,102]. dilncRNAs are
316 processed into small ncRNAs that base-pair with nascent dilncRNA to trigger the formation
317 of DDR foci, necessary for DSB repair [101]. Application of inhibitors of RNAPII transcription
318 revealed the requirement of dilncRNA transcription for the formation of DDR foci, and that
319 dilncRNA promote liquid-liquid phase-separation to concentrate repair factors around DDR

320 foci [101,102] (Figure 4C). These examples support functional roles for the act of lncRNA
321 transcription in DNA biology beyond the regulation of gene expression.

322 Concluding remarks

323 Research focus is shifting from the discovery of lncRNAs to clarifying their functional roles
324 and molecular mechanisms of action. A general purpose of non-coding transcription remains
325 elusive and most insight stems from case studies. Given the extent of spurious RNAPII
326 transcription in genomes, it is attractive to reflect on functional implications of the act of
327 lncRNA transcription regardless of the DNA sequence. While most evidence connects the
328 act of lncRNA transcription to the regulation of gene expression, recent advances link this
329 mechanism to DNA processing more broadly. An increasing repertoire of molecular
330 techniques (see Box 2) promises to facilitate functional dissection of lncRNAs at their site of
331 production to resolve the scope of these mechanisms. While superficially similar, the
332 regulation of neighboring gene expression by the act of lncRNA transcription in *cis* may
333 involve a range of mechanisms distinct in molecular detail. An important aspect of future
334 studies will be the identification of molecular characteristics common to regulatory roles by
335 the act of lncRNA transcription. Such information would inform predictions on which and
336 how many non-coding DNA elements may function through the act of transcription (see
337 Outstanding Questions), perhaps bypassing the need for detailed experimental dissection
338 of lncRNA transcription events.

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Glossary

Attenuation: The regulatory mechanism operating by premature termination of transcription
to cause a reduction in gene expression.

Chromatin conformation: Spatial organization of chromatin in a nucleus.

Compound transcript: A fused transcript resulting from intervening transcription from an
upstream transcript into the downstream ORF.

640 **Cryptic transcript:** The uncharacterized RNA transcripts of RNAPII subjected to rapid
641 nuclear degradation.

642 **DNA processing:** All the molecular and biochemical events that act on DNA.

643 **Enhancer:** Short genomic regions that facilitate the binding of regulatory proteins to
644 increase the transcription of an associated gene. Enhancers can be located far away
645 upstream or downstream (intergenic) or on the same associated gene (intragenic).

646 **Genomic imprinting:** A biochemical process that marks the parental origin of epigenetic
647 information.

648 **Histone chaperone:** A protein complex that interacts with histone proteins and regulates
649 nucleosome assembly.

650 **Intragenic transcription:** Independent transcription occurring within the annotated region
651 of a gene producing intragenic RNAs.

652 ***In vivo* footprinting:** A technique to analyze the DNA-protein interactions in a cell at a given
653 time point.

654 **Nascent transcript:** The RNA transcript attached to RNA polymerase during the act of
655 transcription.

656 **Splicing:** A molecular process involving the spliceosome complex in the removal of
657 intragenic and non-coding sequences (introns) from primary RNA transcripts.

658 **Transcription factor:** Proteins involved in activating or repressing the transcription
659 machinery for gene expression.

660 BOX 1: RNAPII transcription termination and tTI

661 In eukaryotes, poly-(A) site (PAS) dependent transcription termination and 3'-end
662 processing of transcripts are tightly coupled [103]. Eukaryotic PAS-dependent RNAPII
663 termination is mechanistically linked to extensive non-coding transcription beyond the 3'-
664 cleavage site [91]. RNAPII reading beyond the 3'-cleavage site generates unstable RNA
665 targeted for co-transcriptional degradation linked to the termination process [103].
666 Nevertheless, the effects of termination-linked read-through RNAPII transcription in gene
667 regulation await clarification. In yeast and humans, the co-transcriptionally recruited multi-
668 protein Trf4p/Air2p/Mtr4p polyadenylation complex (TRAMP), mediates efficient turnover
669 and processing of a wide range of RNA transcripts. TRAMP interacts with and
670 polyadenylates the RNA substrate to mark it for degradation by the exosome complex [104–
671 107]. The interaction of TRAMP and nuclear exosome functions as an RNA surveillance
672 mechanism of the cell by eliminating the **cryptic transcripts** arising from pervasive
673 transcription [2,104].

674 In *S. cerevisiae*, RNAPII termination of small nuclear RNA and lncRNA depends on Nrd1,
675 Nab3, and Sen1 (NNS) proteins [108–110] that terminate specific short sequences in the
676 nascent RNA. It interacts with the cap-binding complex and recruits nuclear exosome for
677 rapid degradation of ncRNA [109–113]. Sequence level conservation of key players in the
678 NNS-dependent termination is limited. However, the Integrator complex appears to fulfil the
679 role of these termination pathways in mammals and plants [114,115].
680 Elucidation of the mechanisms specifying transcription termination pathways informs
681 experimental approaches to terminate lncRNA transcription. Targeted termination of the
682 upstream transcript is expected to alleviate the downstream gene from repression by tTI
683 mechanisms. In mammalian cells, insertion of a poly-(A) signal near the 5'-end of the lncRNA

may trigger lncRNA transcription termination [68,116]. In plants, insertion of a T-DNA cassette between non-coding and coding DNA also terminates interfering transcription [83]. However, these approaches disrupt the underlying DNA sequence elements. Using targeted roadblocks for transcription may offer an alternative strategy to trigger RNAPII termination without extensive DNA sequence modification. Catalytically dead Cas9 (dCas9) proteins targeted to a specific locus of interest may facilitate RNAPII termination of upstream transcription by acting as a roadblock [117]. However, CRISPR-dCas9-based approaches applied to selectively terminate transcription may have to be evaluated cautiously. dCas9 recruitment may result in the generation of new transcripts [118], and may act most efficiently near TSSs prior to the elongation phase [119]. Application of experimental approaches aiming to terminate RNAPII transcription promise targeted tests of gene repression by tTI.

BOX 2: Novel methods for RNA detection

We may still underestimate lncRNA transcription since the detection of unstable RNA molecules represents a formidable challenge. Transcriptome analyses in mutants of RNA degradation factors offer one strategy to address this issue. Genome-wide sequencing strategies capturing the 5'-end of RNA enable mapping of TSSs (i.e., TSS-seq, CAGE). Total RNA extract from cells deficient in nuclear exosome RNA degradation activity reveals TSSs of unstable (i.e. cryptic) transcripts [83,120,121]. To distinguish full-length transcripts and different isoforms, Transcript IsoForm sequencing (TIF-seq) circularizes cDNA of the whole RNA molecule and identifies the 5' and 3' ends [115,122].

The specific purification of nascent RNA attached to RNAPII from cells reveals the act of nascent transcription in genomes (NET-seq, mNET-seq, plaNET-seq) [123–125]. Since RNAPII-bound RNA is protected from RNA degradation pathways, nascent RNA mapping

707 helps to reveal RNAPII transcription at genomic locations without prior transcript
708 annotations, likely representing uncharacterized lncRNA.

709 Run-on sequencing methods reveal transcripts by allowing incorporation of labeled
710 nucleotides following purification of stalled transcription complexes (GRO-Seq, PRO-seq)
711 [126–128]. An advantage of continuing transcription *in vitro* is the ability to capture short
712 transcripts that are otherwise challenging to map. However, co-transcriptional RNA
713 degradation pathways may be co-purified. Additional refinements permit the selection of
714 capped transcripts, nucleotide resolution, paired-end sequencing, and reactivation of
715 paused polymerases (CoPRO) [129].

716 Metabolic pulse-labelling of cells by nucleotide base conversion approaches, such as TT-
717 seq, TimeLapse-seq and SLAM-seq, allow us to compare recently generated (i.e. labeled)
718 RNA to total RNA, the latter two even avoiding experimental RNA enrichment [130–132].
719 Base conversion rates provide information on RNA half-lives, and short labelling times
720 inform on newly synthesized RNA. In whole *Arabidopsis* plants, NEU-seq captures
721 transcriptome dynamics of nuclear and organellar RNA [133].

722 The combined information of several methods interrogates the presence of lncRNA
723 transcription comprehensively. nano-COP combines 4sU-labelled chromatin-associated
724 RNA for direct RNA nanopore long-read sequencing to analyze co-transcriptional
725 processing [134]. Long-read sequencing methods are continuously improved and offer
726 reduced bias by avoiding reverse transcription and PCR amplification that can limit short-
727 read sequencing. However, short-read sequencing methods will remain influential in the
728 near future to inform on RNAPII transcription.

729 **FIGURE LEGEND**

730 Figure 1. Tandem transcriptional interference (tTI) affects gene expression. Illustration of
731 the non-coding and coding gene is in red and blue respectively. (A) Productive elongation
732 from upstream non-coding transcription represses the transcription of the downstream
733 coding gene. In budding yeast, downstream gene repression often includes deposition of
734 elongation-specific chromatin marks by the histone methyltransferases Set1 and Set2.
735 Recruitment of elongation-promoting factors such as FACT maintains repression of RNAPII
736 initiation at the gene promoter by promoting productive elongation. (B) Physical
737 displacement of protein complexes by tTI from upstream non-coding transcription.
738 Elongating RNAPII from upstream non-coding transcription triggers the displacement of
739 transcription factors (TFs) from the downstream gene promoter. This mechanism causes
740 repression of the downstream coding gene by allowing productive elongation of the non-
741 coding transcript. (C) The act of transcription from the upstream non-coding unit maintains
742 an open chromatin state. This allows the translocation of RNAPII through the TSS of the
743 downstream coding gene and enables the binding of transcription machinery to activate the
744 downstream gene expression.

745 Figure 2. Antisense lncRNA transcription in the regulation of gene expression. Illustration of
746 the non-coding and coding gene is in red and blue respectively. (A) The on and off-model of
747 sense and antisense transcription. Transcription of antisense lncRNA extends into the
748 promoter of the coding gene and prevents its expression. However, with changes in the
749 environmental conditions, the induction of the coding gene suppresses the expression of
750 the antisense transcript. (B) Antisense lncRNA transcription mediates recruitment of histone
751 methyltransferase, the Set protein, to alter the histone methylation states. The change in

752 local chromatin state recruits repressive complexes like HDAC (at *GAL1* locus, *S.*
 753 *cerevisiae*), Rpd3S (at *PHO84* locus, *S. cerevisiae*), and PRC (in higher eukaryotes) to
 754 inhibit the expression of the coding gene. (C) Promotion of sense gene transcription by
 755 induction of looping at *CDC28* locus. Transcription of antisense lncRNA recruits the
 756 transcription factor Hog1 and the gene looping factor Ssu72. A gene loop transfers the
 757 transcription factor to the promoter and activates sense gene transcription. (D) Collision of
 758 RNAPII complexes transcribing the same DNA region in opposing directions. Collision may
 759 result in premature termination of the sense transcript or act as a roadblock and reduce gene
 760 expression.

761 Figure 3. Various mechanisms of gene regulation by lncRNA transcription. Illustration of the
 762 coding gene is in blue. (A) The act of transcription of the intragenic lncRNA (red) maintains
 763 high levels of H3K4me3 in the *ASP3* host gene promoter. The open **chromatin**
 764 **conformation** through continuous low-level lncRNA transcription promotes rapid induction
 765 of gene expression in nitrogen starvation. (B) The transcription of an intragenic enhancer
 766 (purple) can activate or attenuate host gene expression. Transcription of intragenic
 767 enhancers may result in prematurely terminated transcripts through a mechanism that bears
 768 similarity to transcriptional interference. The balance between RNAPII initiation and
 769 attenuation of gene expression mediated by enhancer transcription defines the level of fine-
 770 tuning. (C) Cellular stress and increased transcription speed trigger defective mRNA
 771 termination, resulting in 3'-extended transcripts. These transcripts may extend into
 772 neighbouring genes, potentially causing a widespread tTI.

773 Figure 4. The act of lncRNA transcription impacts DNA replication and repair. Illustration of
 774 the non-coding and coding gene is in red and blue respectively. (A) The binding of the origin
 775 recognition complex (ORC) to the autonomously replicating sequence (ARS, in yellow)

776 terminates transcription of adjacent coding or non-coding sequences. (B) Pervasive lncRNA
777 transcription through ARSs causes changes in chromatin, such as an increase of
778 H3K36me3 by Set2, increased nucleosome density and reduced levels of histone
779 acetylation around ARSs. These chromatin-mediated effects reduce ORC binding and the
780 efficiency of ARS firing. (C) After a DNA double-strand break (DSB) event, the MRE11–
781 RAD50–NBS1 (MRN) complex recognizes the lesion and recruits RNAPII. RNAPII
782 synthesizes divergent damage-induced long non-coding RNAs (dilncRNAs) from the DSBs.
783 Small ncRNAs base-pair with dilncRNAs to trigger the formation of DNA damage response
784 foci (blue bubble), stimulating the recruitment of factors through liquid-liquid phase
785 separation.

Figure 1

Gowthaman et al.

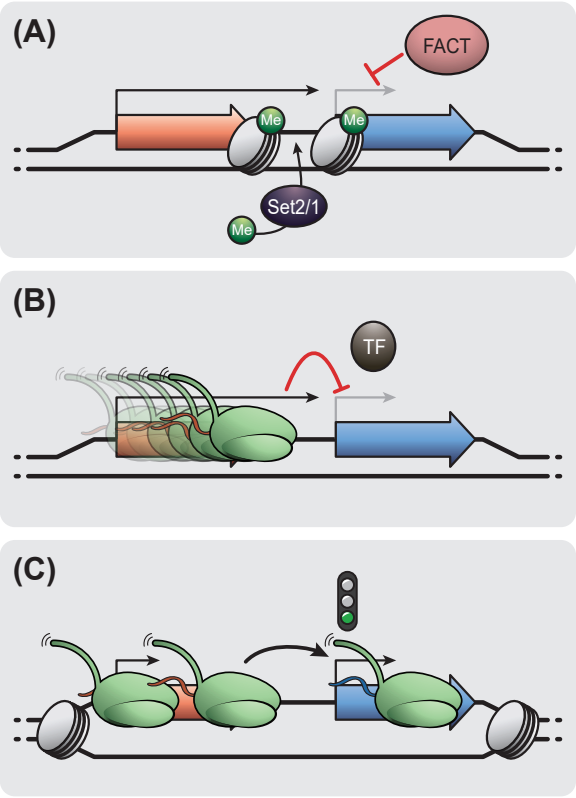


Figure 2

Gowthaman et al.

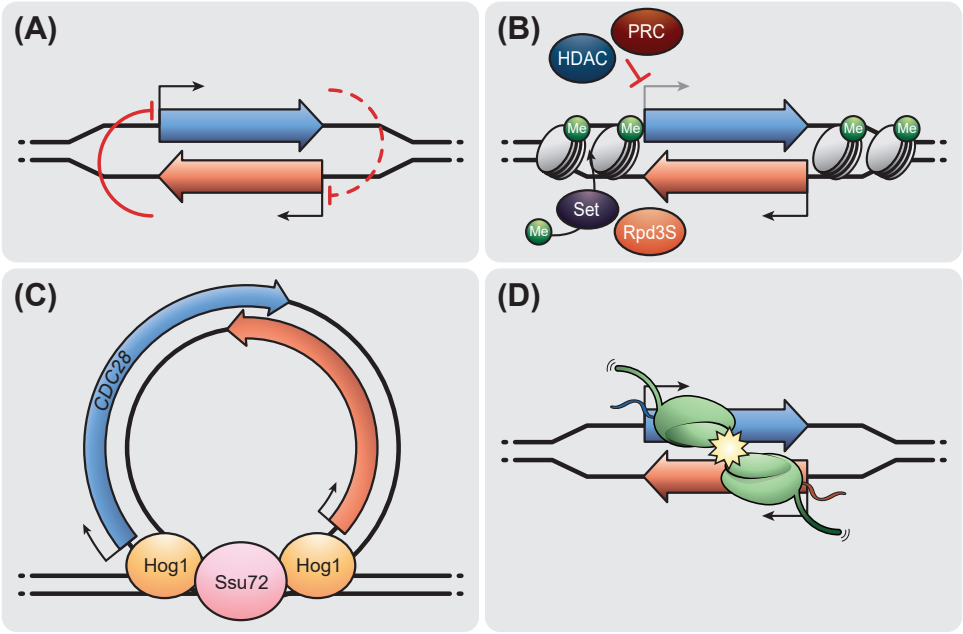


Figure 3

Gowthaman et al.

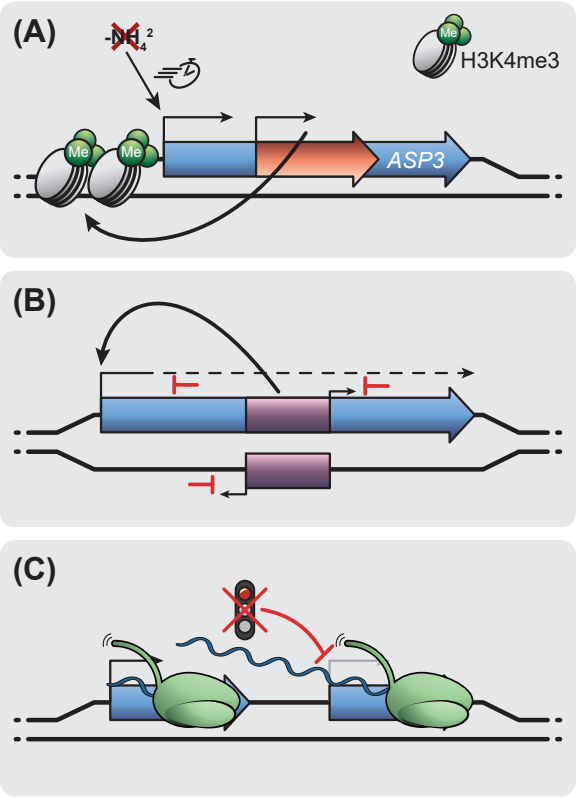


Figure 4

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