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3 Projects in Northeast India

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32 **ABSTRACT**

33

34 Participation by local communities in wildlife conservation projects have long been
35 advocated since it is socially just and is effective to reach conservation and development
36 goals. Socio-economic variables that drive participation and impact of participation have
37 been studied, but the contextual process that stir up local community participation remains
38 understudied. In this paper, we studied factors facilitating community participation in three
39 wildlife conservation projects in Northeast India. Through ethnographic fieldwork at these
40 sites we identified conservation actors and examined interactions between them.

41 We found common modes of participation at these sites and these were related to gaining
42 material incentives, providing labour, attending consultative workshops. Levels of interaction
43 and coercion were found to be different in three sites. Three critical factors that drive
44 participation were: (1) trigger, (2) negotiation and (3) sustenance. Trigger factors kickstart
45 participation through establishment of a crisis narrative and facilitation by external actors.
46 Negotiation factors emerge from day-to-day interaction between local community and
47 external actors and involve effective entry stage activities, income opportunity, mediating
48 voices within the community and intra-community dynamics. Sustenance factors affect the
49 long term participation by community in the conservation project and involve
50 tangible/intangible results, capability development of locals, funding and availability to
51 critical information.

52 In our paper we argue that investment of time and fund to understand the stakeholders and
53 their concept of participation, periodic feedback sessions, capacity development of locals for
54 self-mobilization, innovative information dissemination and securing long term funding are
55 necessary for effective local community participation.

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57 Keywords: Participation; Wildlife; Community-Based Conservation; India; Interaction;
58 Northeast India

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69 **Declaration**

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79 study objectives and consent clauses and they provided written and/or oral consent to
80 participate.

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82 oral consent to the authors to publish the findings of the study. Both the authors have given
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85 recorded interviews and transcripts. The data will not be publicly available as it contains
86 sensitive information about lives of human respondents. However, the data is available with
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89 Ambika Aiyadurai designed the study and Sayan Banerjee performed data collection, analysis
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92 All authors read and approved the final manuscript.

93 1. INTRODUCTION

94 Participation of citizens in development studies has long been researched and debated.
95 People's participation is seen as democratic and people's views and engagement in projects
96 are considered crucial for its success. Active participation of citizens was sought in
97 'alternative development' paradigms¹ but the concept became malleable enough to signify
98 almost anything that involved people's engagement in any level and degree (Cornwall 2008).
99 Participation as product and process was first described by Arnstein (1969) as 'a ladder of
100 citizen participation' where participation and empowerment were seen as a continuum from
101 passive participation or non-participation in the bottom to active engagement or citizens
102 obtaining 'full managerial power' at the top. Such approach assumes that full participation of
103 local people is preferred since it is more transformative for the community. Pretty (1995) on a
104 similar normative tone provided the practitioners' perspective. Representation of
105 communities, therefore became just another stakeholder in the project without giving them
106 power of decision-making and was termed as 'manipulative', 'passive' and 'consultative'
107 participation. It is followed by functional participation where communities provide time and
108 labour to mobilize themselves and thereby control every decision. Other classifications
109 include 'communication', 'consultation' and 'participation' on the basis of information flow
110 between actors (Rowe and Frewer 2000). Based on objectives of the project, Michener (1998)
111 suggested planner-centred versus people-centred participation. However, apparent
112 distinctive boundaries of these typologies are often blurred as it is a product of engagement
113 among actors with different perceptions of participation (Cornwal 2008).

114 The role of local communities in wildlife conservation is increasingly becoming
115 crucial in conservation projects. History of people's participation in conservation has
116 experienced a paradigm shift from exclusionary 'Fortress' approach (Brockington 2002) to
117 inclusive community-based conservation (Rodgers et al. 2003). Involving local people in
118 conservation is favoured as it is seen as legitimate, fair and just (Brockington 2002, 2004)
119 and also efficient and cost-effective (Mehta and Heinen 2001; Badola et al. 2012). Studies in
120 Asia and Africa show that engagement between external and internal actors and the degree of
121 participation of various groups create differential perception and attitude towards the impact

¹ This development paradigm counters the growth-based development. It comprises concepts of bottom-up approach to development, human development, people-centered development, participatory development.

122 of the conservation programs (see Songorwa 1999; Lepp and Holland 2006; Badola et al.
123 2012) but the process of local community participation in state-driven or community-driven
124 or co-managed conservation process has not been examined in detail. In this paper, we aim to
125 shed light on the people's participation in wildlife conservation projects in India.

126 Level of community participation in natural resource management in India differs
127 according to various regulatory regimes and land tenure system (Krishnan et al. 2012). Co-
128 management systems² were criticised as 'recentralizing while decentralizing' as a top-down
129 approach with a paternalistic attitude towards local communities who were often seen as
130 passive beneficiaries rather than equal partners. Idea of participation as paying lip-service
131 under the rhetoric of 'participatory management' undermines the people's knowledge and
132 their rights to govern natural resources leading to further dispossession of marginalised
133 groups (Lele 2000; Baviskar 2003).

134 Decentralized institutions and autonomous community efforts have often been
135 considered as a better model for community mobilization to conserve natural resources
136 (Pathak 2009) but the scale of ecological impacts is questionable (Bajracharya et al. 2005;
137 Shahabuddin and Rao 2010). Though seen to be effective in some cases, degree of
138 participation in natural resource management programs was found to be influenced by
139 economic factors (income, land, livestock), social factors (gender, age, education, caste,
140 norms), benefits from resources (firewood, fodder) and access to influential people (Agrawal
141 and Gupta 2005; Agarwal 2001). Most of the wildlife conservation projects in India are
142 largely implemented and managed by biologists, with little or no training in social sciences.
143 In Northeast India, wildlife conservation projects are often implemented without engaging in
144 the socio-political and historical realities of how communities work. Conservation workers
145 often have little or no appreciation of the local people's concept of nature conservation or
146 even local ways of conservation (Aiyadurai 2016). Northeast India, with its rich biodiversity
147 has attracted a large number of NGOs and conservation organisations who have reached out
148 to the local communities to engage as partners in wildlife conservation projects. Various
149 models of conservation exist in Northeast India ranging from state driven protected areas³

² In India, Joint Forest Management and Eco-Development Projects are managed jointly by forest department and local community.

³ For example, Pakke Tiger Reserve (Arunachal Pradesh); Kaziranga National Park (Assam); Intanki National Park (Nagaland).

150 (PAs) to community conserved areas⁴ (CCA). Different actors are also involved in these
151 projects such as Government agencies, NGOs, media, researchers and the local community.

152 In this paper, we examined three wildlife conservation projects in Northeast India to
153 understand the factors driving community participation. We analyzed the notion of
154 participation as a process, rather than a product. The aim is to understand why communities
155 participated and instead of exploring the socio-economic and political measurable indicators
156 of participation (as done in Agrawal and Gupta 2005) we studied the contextual process
157 which result from the everyday-interaction between different stakeholders which ultimately
158 enhance or hamper participation. Through our understanding of participation as a process we
159 also aim to present how conservation practitioners could improve their understanding of local
160 community participation.

161 **2. METHODOLOGY**

162 For our study of the conservation projects, three sites in Northeast India were selected
163 purposively, based on the reports that these projects have a substantial degree of community
164 participation with central theme of wildlife conservation. The details of these three projects
165 are provided in Table 1. Fieldwork was carried out in 2017 -2018 at the selected field sites in
166 the states of Assam, Arunachal Pradesh and Nagaland (Figure 1). Community-based
167 conservation projects at Nagaland and Arunachal Pradesh were concentrated in one single
168 village at each location, Pangti and Singchung, respectively. We selected both the villages for
169 our research. The conservation project at Goalpara, Assam was carried out over several
170 villages within the landscape. For our study, selection of villages in Goalpara was based on
171 the high frequency of elephant presence and damage due to Human Elephant Conflict and
172 community's interest to collaborate with the NGO in planning and implementation of the
173 strategies.

174 [Insert Table 1 here]

175 [Insert Fig. 1 here]

176 We used semi-structured interviews, key informant interviews and observation as our
177 approach. A total of 32 semi-structured interviews of individuals from local community and

⁴ For example, Thembang-Bapu Community Conserved Area (Arunachal Pradesh); Sendenyu Community Biodiversity Reserve (Nagaland).

178 9 key informant interviews were carried out across these three sites. Questionnaire for the
179 local community respondents consisted of questions related to their perspective towards the
180 conservation project in the area, their nature of participation in the project, their perspective
181 on costs and benefits related to the project and their willingness to continue participating in
182 the project. Questions for the key informants were slightly different and consisted of queries
183 related to their to-date experience with the conservation project and community participation
184 and their perspective on future of the conservation project. The local community members
185 were chosen through snowball sampling where the respondents themselves indicate the
186 researcher to people who could be potential respondents. Key informants were chosen
187 through purposive sampling, since we purposefully chosen these people due to their specific
188 knowledge about the project and the landscape. Interviews were conducted in Bengali,
189 Assamese and English languages, with the help of an interpreter as and when required. Each
190 interview lasted for 40-50 minutes. With due permission from the respondents, all the
191 interviews were audio recorded and later the interviews were transcribed. The narratives were
192 coded and further analysed to identify the themes concerning to our objectives.

193 We observed nature of community participation in different community-based
194 conservation activities, knowledge dissemination forums and workshops conducted by the
195 different conservation actors. These observations provided valuable information on
196 community's perspective related to participation. We observed these activities as a third party
197 and we were neither member of the community nor member of the other external actors.
198 Thus, data was collected by being 'partially participant observer' (Bryman 2012: 443).

199 **3. CASE STUDIES**

200 **3.1 Elephant Conservation Project in Assam**

201 Human–elephant conflict in Gaolpara district of Assam is a serious issue. From 2006–
202 2008, 1.24 km² of crop area worth 14,364 GBP and 362 number of properties worth 14,973
203 GBP was damaged and 7 persons were killed (Wilson et al. 2015). The area has a mixed
204 population of Assamese and Bengali community and tribal Garo and Rabha community. The
205 landscape is a mosaic of villages, farmland, rubber plantation, Sal (*Shorea robusta*) –
206 dominated reserve forests and wetlands (locally called *beel*). Historically elephants were
207 absent from this area, but according to respondents herds started coming from Garo hills in
208 neighbouring state of Meghalaya from 1993.

209 Assam Hathi⁵ Project (AHP) was initiated here in 2003–04 by two collaborating
210 conservation agencies one regional and another international⁶. The project activities mainly
211 aimed at building crop damage protection measures to reduce frequency of damage from
212 elephants and to promote sustainable livelihoods through supplementary incomes (AHP
213 2016). The regional NGO consulted with local community, village elders, administration, and
214 forest department. For the mitigation strategies, low–tech and low–cost techniques such as
215 spotlights, chilli–based deterrents were deployed and solar fencing was erected. For the
216 fencing, materials were allocated by the project but its construction and maintenance was
217 carried out entirely by the communities. Such community involvement was solicited so that
218 people donot get an impression that fencing was a free gift. Some funds were raised from
219 participating households for future maintenance. Village committees were constituted for
220 fence protection and to engage households in a rotation basis. The fencing was however not a
221 barrier for elephants’ movements as it protected only the homestead and not the crop fields.
222 Among these, spotlights and solar fencing were preferred due to their perceived effectiveness
223 against elephants.

224 3.2 Bird–Based Tourism in Arunachal Pradesh

225 Forests surrounding Eaglenest Wildlife Sanctuary in West Kameng district of
226 Arunachal Pradesh came into prominence in world of conservation when a tiny colourful bird
227 was first described from this place in 2006. The bird was named Bugun Liocichla (*Liocichla*
228 *bugunoram*) after the local tribe, Bugun in order to convey their efforts to conserve
229 biodiversity. Singchung village near the sanctuary where the study was conducted is
230 inhabited by local Bugun tribe⁷. The discovery of this bird created a stir in the bird–watching
231 community and it became a mascot for bird–based tourism in the following years. With the
232 help of an eminent bird–watcher and conservationist, a local Bugun leader started the ‘high–
233 value knowledge–based niche’ eco–tourism programme which is running successfully with
234 increasingly more domestic and foreign birders arriving at this place. From the outside it may

⁵ Hathi in Assamese and Hindi mean elephant.

⁶ Chester Zoo–North of England Zoological Society, London and Ecosystems–India, Guwahati. later this project received grant under Darwin Initiative in 2007.

⁷ Buguns are Buddhist and their major occupations are daily wage labour, farming and livestock rearing. The village is also inhabited by migrant Nepalis who do not have land entitlement but are living for long.

235 seem that Buguns were employed in this business, but most of the staffs were from other
236 communities such as Nepali and Monpa and many were from different parts of the state.

237 The 'real' community involvement in conservation started when Buguns earmarked
238 17 km² of their community land as Community Reserve (under Wildlife Protection Act,
239 1972) in 2017 and named it Singchung Bugun Community Reserve (SBCR). The process was
240 facilitated and negotiated by the present Divisional Forest Officer and researchers working in
241 the area. In 2018, the Singchung Bugun Community Reserve was conferred with prestigious
242 India Biodiversity Award for institution-based biodiversity conservation.

243 **3.3 Amur Falcon Conservation Project, Pangti, Nagaland**

244 The widely known Amur falcon conservation project unfolded in Pangti village in
245 Wokha district of Nagaland⁸ since 2012. Amur falcon (*Falco amurensis*) is a migratory
246 raptor, which roosts in Pangti in large number every year (October– November) during their
247 4000 km trans-equatorial migration (Bildstein 2006). A sensational documentary prepared by
248 a conservation advocacy group on falcon hunting was broadcasted in 2012 which
249 documented hunting these falcons. The graphic images drew attention from the conservation
250 community and the stories were reported in leading ornithology magazines, online and print
251 media (Fischer 2012; The Siberian Times 2012). Amidst national and international outcry,
252 Nagaland State Government came under pressure and Wokha District Administration and
253 Nagaland Forest Department implemented the 'No-hunting' order asking the village
254 councils⁹ to take immediate action otherwise their development assistance would be curtailed.

255 The community-led Amur Falcon Roosting Area Union (AFRAU) was set up to keep
256 a check on the birds and the members patrol the area during the season. In 2016, a monolith
257 was unveiled near the Amur Falcon roosting site to commemorate the Amur Falcon
258 movement in Pangti. Presently the conservation story is deemed as successful as zero–
259 hunting of falcons has been recorded since 2013. Pangti village council has been conferred
260 with many regional and national awards for successful conservation.

⁸ Pangti is the largest village for the Indigenous Lotha tribe (one of the 16 major tribes in Nagaland). Lothas are Christians and their main livelihood is shifting cultivation and fishing.

⁹ Village councils in Nagaland are empowered under special provision in Indian constitution to administer development and frame rules in the concerned villages.

261 4. RESULTS

262 We discussed how community was integrated into the conservation process at the
263 three sites in Northeast India. Ten factors were identified that affected the process of
264 participation of local people. These factors were not mutually exclusive of each other and did
265 not linearly follow each other in the process. These factors also overlapped and even
266 affecting each other. We further grouped these ten factors into three broad categories: trigger
267 factors, negotiation factors and sustenance factors (See Table 2). Trigger factors are
268 responsible for kick-starting the projects and the participation of local people in the
269 conservation project. Negotiation factors were induced by day-to-day interaction between
270 local community and external actors and also intra-community dynamics. Sustenance factors
271 affect the long term existence of community participation in the conservation project. In the
272 following sections, the three categories are discussed.

273 [Insert Table 2 here]

274 4.1 Trigger factors

275 4.1.1 *Crisis narrative*: All the conservation projects were preceded by a crisis
276 narrative. In Goalpara, severe damage of assets and loss of human life due to elephants; in
277 Singchung, conservation of the newly discovered bird and in Pangti, international shame
278 brought to the village due to mass-scale hunting triggered the local community to participate
279 to overcome the crisis. Even though these projects operate at different levels, the crisis has
280 not been averted. Elephants still damage property and kill humans; Eaglenest and adjoining
281 forest face anthropogenic pressure and shame could return if Pangti goes back to hunting. So
282 a crisis narrative is necessary as a trigger for a conservation projects and community
283 participation. In a Garo¹⁰ tribal village in Goalpara, elephants' presence and damage was
284 significantly reduced and the community became complacent thinking the crisis was over.
285 They stopped maintaining the fence resulting in more damage. In 2017, without any help
286 from the NGO, the villagers contributed for a new fence by themselves and erected it.

¹⁰ Garos are listed as Scheduled Tribes in India. Presently majority of the Garos are Christians and few follow animism. They mostly live at the Garo Hills districts in the state of Meghalaya and pockets of population are in Goalpara, Kamrup and Karbi Anglong districts of Assam.

287 4.1.2 *Facilitation by an external actor*: In all the sites, communities did not trigger
288 the initiation of the project and there were other actors involved: in Goalpara, it was the
289 regional NGO; in Singchung, it was wildlife researchers and later forest department and in
290 Pangti, it was regional and national NGO, media, administration and forest department. The
291 focus of these external actors was to achieve conservation goals in a socially just and
292 convenient manner by integrating community at various scales. The designing, planning and
293 implementation of the project was carried out by external actors.

294 **4.2 Negotiation factors**

295 4.2.1 *Effective entry stage activities*: The external actors at the initial stages carried
296 out many activities including biological surveys, awareness programmes, meetings and
297 dialogues. In Goalpara, socio-economic surveys along with mapping of village boundary,
298 resources and elephant movement paths were documented. Few villages were selected for
299 monitoring and documenting elephant movements and conflict on a regular basis. Repeated
300 trial of field based conflict mitigation kept community interested in the project. In Singchung,
301 initial biodiversity assessment helped the eco-tourism to boom. Initial meetings, workshops
302 and negotiation by the forest officials and researchers with the Buguns took place for almost
303 two years. With the agreement of the majority, the village council allowed the forest
304 department to notify the community land as community reserve. In Pangti, after the ban of
305 hunting, the NGOs set up 'Friends of the Amur Falcon' as a conservation education program.
306 With the help of national and international donors, they launched eco-clubs in several
307 schools and trained educators. Interactive reading materials and posters, badges were
308 developed for the eco-clubs where kids would learn about the migratory nature of the bird
309 and its conservation importance. Another NGO paid the ex-gratia to the village council to be
310 distributed to the affected landowners and constructed a guest house in the village. Forest
311 department put forward livelihood schemes like poultry and piggery and built watchtowers to
312 augment tourism.

313 4.2.2 *Mediating actors from local community*: It was extremely difficult for the
314 NGOs to convince the whole community in one go. So, committed individuals from the
315 community were selected; who were influential social leaders and elites. The legitimacy these
316 individuals carry helped in convincing the larger community to participate in the project. In
317 Goalpara, the AHP staff themselves were from the project site and they became the main
318 driver of building rapport and continued communication with the project villages. These

319 staffs were selected strategically as one of the AHP staff puts it, “we did not select on the
320 basis of any degree or education status. Since the work involved community, we wanted
321 people who were from the very place and who have themselves been victims of elephant
322 conflict. Today I am not a face of AHP, but my staffs are.” In Singchung, a respected elder in
323 Bugun society was particularly instrumental in setting up the tourism and spearheaded the
324 formation of the community reserve. In Pangti, village council chairman and the president of
325 fishermen union in Pangti played a vital role in establishing AFRAU which looks after the
326 protection of the Amur falcons. These mediating actors also become spokespersons for the
327 community. According to one of them, “we had meetings with the village council. That year
328 was also the jubilee year. We took pledge while holding a candle in our hand that we will not
329 hunt those birds. Majority agreed with the decision.” But when individuals become
330 indispensable part of these projects, the community tend to rely on them. The forest official
331 of Eaglenest WLS who supported the community reserve was afraid that it would be
332 disastrous to the reserve if he gets transferred to other place. The AHP staffs in Goalpara
333 were of the same opinion.

334 4.2.3 *Additional/alternate income opportunities*: The conservation projects presented
335 explicit or implicit additional/alternate income opportunities for the communities which were
336 seen important for participation. In Goalpara, in partnership with other NGOs and
337 government departments, livelihood trainings were conducted every year for farmers and
338 SHG (Self Help Groups) on organic horticulture, pig and poultry, fishery, weaving and bee-
339 keeping. In Singchung, the villagers now look at the community reserve as a Pandora’s Box
340 for development and other benefits. One of the community members said, “if tourism
341 happens, then it will be helpful. Singchung will develop. People will know about Singchung
342 and they will know about us, Bugun.” In, Pangti, the community thought that development
343 and employment would ‘arrive’ to this village ‘riding’ this bird. As one of the villages said
344 that, “the motivation is development. That is why we are protecting this bird. We are chasing
345 for development. We have asked for road development, eco-tourism, and guest house and so
346 on. Let’s see what happens.”

347 4.2.4 *Intra-community dynamics*: Intra-community dynamics are often overlooked
348 in conservation projects and this affected the projects in unpredictable ways. A village in
349 Goalpara could not expand fencing because few moderately well-off households were not
350 particularly affected and therefore refused to pay for the maintenance. This increased
351 contribution per capita for the affected households. Consensus could not be reached due to

352 disagreements on solar fencing. During such incidences, the numerically dominant tribe
353 (Rabha¹¹) accused the minority (Bengalis¹²) for their negligent attitude. According to the
354 Bengalis, “those who live in the middle of the village do not care about the fence. They think
355 it is the responsibility of the people who live in the boundary of the villages. So we face
356 public shame. We will maintain it even if others do not. Penalty is not an issue for us, but
357 such public humiliation is shame for us.”

358 In Singchung, the tourism project created tension between an influential member and
359 other Buguns. Most of the Buguns with limited knowledge about the tourism and felt that
360 there is no transparency. In Pangti, presently under the ‘success’ of zero hunting tension is
361 fuming. According to the village council chairman, the majority of the affected people have
362 lost hope for development and given the dire need for livelihood, they want to return back to
363 hunting. He said, “people have sacrificed here so much, but they hardly got anything out of it.
364 If it continues for 2–3 years, the desperate groups can form majority and could get consent
365 that the hunting ban should be withdrawn. If nothing happens and if people want, I may have
366 to roll back the resolution”

367 **4.3 Sustenance factors**

368 4.3.1 *Tangible/intangible results*: Having a positive tangible or intangible result was
369 necessary to keep the community interested. In Goalpara, strategies reduced conflict
370 incidences in the project and cultivating high yielding value cash crops was promoted within
371 the fencing boundary to offset economic losses. According to a villager, “the fencing is a
372 community material. If some problem happens, the whole community comes up to work. We
373 check which part needs repair, which post needs replacement. They (NGO) have given to us,
374 now we will maintain it. If any person fails to come to maintain the fence, he or she is
375 penalised Rs. 30.” In Singchung, the tourism was successful within the first year. In Pangti,
376 the situation was complex and result were mixed. The media hailed the people as ‘hunter–
377 turned conservationists’ working for a new hope in Nagaland as no falcons were reported

¹¹ Rabhas are listed as Scheduled Tribes in India who largely follow Hinduism. They are mostly concentrated in Goalpara and Kamrup districts (Assam), Garo Hills district (Meghalaya) and Jalpaiguri district (West Bengal).

¹² Bengalis are Bengali speaking migrant community who had been staying in the area for two generations.

378 hunted till date since 2013. Tourist numbers also increased, especially foreign birdwatchers,
379 but monetary benefits could not reach more than 50 individuals and the amount is negligible
380 as compared what they were earning from hunting. Therefore, alternate livelihoods could not
381 be sustained. One of the villagers expressed that “Pangti has sacrificed its land and Pangti is
382 preserving the falcon. But Pangti did not get any benefit in return.”

383 Pride was an intangible outcome of these projects and acted as a catalytic element of
384 participation. Communities at all the sites were proud that their villages and their name have
385 national and international recognition due to the conservation project. “Earlier people from
386 plains do not know about us. Some people have come to know about us due to the bird which
387 was named after us”, said a resident of Singchung. Three falcons at Pangti were fitted with
388 radio transmitters for understanding their migration route and they were named ‘Pangti’,
389 ‘Naga’ and ‘Wokha’ after the name of the village, the tribe and the district respectively. This
390 created immense pride among the locals.

391 **4.3.2 *Capability enhancement of local community:*** In Goalpara, due to repeated
392 hands-on training and workshops the villagers felt that they learnt new skills, gained
393 confidence and their decision making power improved. The AHP staffs were particularly
394 positive about their enhanced skills gained through exposure visits to national and
395 international conservation project sites and workshops on elephant ecology and conservation.
396 The staffs are now able to take field level decisions independently and keen to continue their
397 work. “I want to continue doing it. I like this work. First of all, it is an income source.
398 Secondly, I feel great working with nature. I get to know and do so many things about
399 animals. I get to meet so many people. It feels good”, said one of the AHP staff.

400 In Singchung, the patrolling scouts were able to plan activities independently. They
401 were also given training on GPS mapping, wildlife rescue and species identification. But
402 respondents perceived the ad-hoc community reserve management committee to be incapable
403 of taking decisions. The patrolling scouts favoured communicating with the forest official
404 directly for guidance rather than the committee. The forest officials felt that the community is
405 not motivated enough, there were more ‘Do-ers’ rather than ‘Thinkers’ and the community
406 was not taking initiatives independently and had to be spoon-fed everything.

407 In Pangti, exposure of many external actors helped the community to bargain
408 for development with higher authorities. They were able to process the benefits and loss

409 of participating in the project and now in a dilemma how to bring development while
410 also protecting the falcon.

411 4.3.3 **Funding**: Funding was the most important external factor for community
412 participation and sustenance of a project. AHP suffered due to discontinuation of funding and
413 it halted any new community centred activities. The staffs were motivated enough to look
414 after the existing interventions even though the project was in peril. The project proponents
415 are now looking for domestic funding to continue. In Singchung, initial funding was
416 necessary to set up the eco-tourism and assessing biodiversity. In the community reserve,
417 funding was necessary to employ the local youth which actually motivated the other youths to
418 get interest in the reserve. In Pangti, development was affected due to perceived
419 mismanagement of conservation fund contributed by Govt.

420 4.3.4 **Role of information**: Availability and accessibility to information affected
421 community's willingness to participate in all the sites. With information in hand, community
422 was clear about benefits and losses and they were more supportive of the activities. It
423 facilitated space for more dialogue and effective conflict resolution. The technical know-how
424 of interventions (in case of Goalpara) and knowledge of law (in case of Singchung) was
425 concentrated among few external actors (NGOs, forest department). In Singchung, although a
426 community fee is levied from the tourists, which goes to the village council fund, the
427 information about it was strictly confined among few influential people. In Pangti,
428 inaccessible information about how village council was working with the NGO and forest
429 department caused intra-community tension in the beginning.

430 **5. DISCUSSION**

431 Although the three sites differ economically, socially, culturally and politically, the
432 common element in all these conservation projects is the active engagement of the local
433 community. Each conservation project was context specific and the level and degree of
434 participation differed according to various stages and activities. Keeping the ladder of
435 participation in mind we found that communities participated by gaining material incentives,
436 providing labour, getting involved in workshops. In Goalpara, the participation between the
437 local people and project managers was more interactive during maintenance of solar fencing.
438 In Singchung, it was interactive in the beginning and later it became consultative. In Pangti,
439 coercion was used to encourage people to participate at the initial stages. However,

440 transformation within the community was not observed, probably due to the major project
441 decisions that were pre-decided by the proponents.

442 Our findings corroborate barriers and catalytic elements affecting local community
443 participation as process identified by Botes and Rensberg (2000), Seixas and Davy (2008)
444 and Rodrigues–Izquierdo et al. (2010): role played by external actors, selective participation,
445 conflicting interest, important individuals and leaders, funding and immediate results.

446 Crisis narratives are important to trigger certain intervention. When multiple actors
447 are involved, often the dominant actors' narratives get precedence. In our sites, domination of
448 one narrative from one actor is missing, rather, the ultimate narratives driving the
449 conservation projects and participation are combinations of narratives of different actors. All
450 these actors found convergence with other actors' narratives within their own and a co-
451 operative environment was created. Divergence of narratives caused conflict which we found
452 in case of Pangti and Goalpara. No actor completely discarded their own narratives, but
453 certainly refined it based on interaction with other actors and the deliverables of the
454 conservation projects.

455 The conservation at the three sites was initiated by external actors. In such obscure
456 less-developed places, where a perceived Government apathy exists, NGOs and other
457 external actors fill the void and facilitate the community to get connected to the outside
458 world. They create the big picture where a community can develop through conservation and
459 instill pride in them. These external actors are shaping the nature and outcome of
460 conservation action by strategic engagement, tacit involvement and 'boundary interaction
461 from conflict and contestation to co-operation' (Larsen and Brockington 2018: 4). These
462 external actors brought particular technical and managerial skills as well as resources to the
463 project and invested in building capabilities of local community.

464 Entry-stage activities and additional/alternate income opportunities are crucial part of
465 any conservation project. Linkage between development and conservation should be coherent
466 and project proponents should not strive for isolated goals (Salafsky 2011). India's eco-
467 development projects were often criticised to have fuzzy linkages between development and
468 conservation. These projects initially provided conservation benefits (Mishra et al. 2009) but
469 did little to change perceptions of the local people and legacy of these projects was found to
470 be left with the community (Arjunan et al. 2006; Macura et al. 2011; Gubbi et al. 2008). The
471 disconnect between conservation and development goals can lead to a situation like Pangti

472 and Singchung where ecotourism is often put forward as a middle path. But ecotourism
473 enterprises have also been criticised due to marginal local employment, elite capture of
474 resources, limited participation by vulnerable groups, poor management and negative
475 environmental impacts (Bookbinder et al. 1998; Karanth and DeFries 2011). So the project
476 proponents in Singchung and Pangti need to establish benefit sharing mechanism before up-
477 scaling ecotourism.

478 The mediating actors are local committed individuals and leaders, who are often seen
479 as agents of change and as per Timmer (2004) they are often innovator, communicator,
480 learner, bridge-builder and systems thinker. Seixas and Davy (2008) found that these
481 individuals are often better educated than average people of the community. In our case, the
482 level of education coupled with social status (headmen, teachers, Govt. employee) played the
483 critical role in producing social legitimacy of these people as local proponents of the
484 conservation projects. Although institutions in natural resource governance in South Asia
485 have been studied deeply (see Agrawal & Gupta 2005; Agrawal 2007), but specific roles of
486 individuals need to be captured.

487 At the three study sites, capacity building of the community was often seen as
488 educating them with necessary skills through workshops that would help in achieving pre-
489 decided conservation goals. But this method seemed to be hindering fulfilment of full
490 potential of effective community participation. Rather than a one-way provision of
491 knowledge, capacity building should be a shared learning space for both the local community
492 and the project proponents (Berkes and Seixas 2004; Seixas and Davy 2008). The project
493 staffs also need to learn participatory methodologies, for which specific funding is necessary
494 (Rodriguez-Izqueirido et al. 2010).

495 In the neo-liberal paradigm the conservation projects are dependent on and shaped by
496 funding agencies (Larsen 2018). Seixas and Davy (2008) while analysing seven community-
497 based conservation projects found that funding may not be necessary initially when the
498 community independently initiates conservation process perceiving a crisis in hand. But for
499 long term improvement of the process, funding and involvement of external actors becomes
500 necessary. Most of the funds in our field sites were used to create infrastructure and capacity
501 building of the community. In the absence of funding, project in Goalpara experienced abrupt
502 halt and the other two were facing periodical hiccups. So in order to sustain the projects,
503 securing long term funding is necessary.

504 Intra–community dynamics has often been found to limit long term success of
505 conservation projects as different interest groups often do not share common vision and
506 objectives (Agrawal and Gibson 1999; Botes and Rensberg 2000). All of our project sites
507 have suffered from narrow understanding of community and the project proponents invested
508 their funds, time and energy to overcome it. The project should incorporate these fissures
509 within the community into initial design stage. Stakeholder analysis seems to be an effective
510 tool (Reed 2008).

511 We argued that available and accessible information is absolutely critical for
512 effective participation of community. Similar arguments were presented in other disciplines
513 such as development studies, public health and natural resource management (Madon and
514 Sahay 2002; Gupta 2008). Evaluations of communication modes between community and
515 external actors should be done. Key information should be identified and disseminated
516 clearly and periodically with the majority of the community. Improved and innovative mode
517 of two way communication should be tried out. This will help the community to take
518 informed decisions.

519 **6. CONCLUSIONS**

520 In this paper, we analysed three conservation projects from Northeast India, each
521 distinctly situated in their own socio–cultural reality but linked to the common thread of local
522 community participation. Community participation in conservation projects is advocated and
523 practiced but the nature of participation remains understudied. We found various external as
524 well as internal factors, often compounding with each other influencing participation of local
525 community at various stages of the project. The factors included: a crisis narrative,
526 involvement of external actors, effective entry stage activities, commitment from local
527 individuals and leaders, alternate/additional income opportunity, tangible/intangible results,
528 capability enhancement of locals, funding, intra–community dynamics and availability of
529 information.

530 We recommend that development and conservation goals should have clear linkage
531 and apart from investing time and funds on ‘hard’ issues like technical interventions,
532 sufficient attention should be given on ‘soft’ issues of participation and capacity
533 development. Stakeholder mapping is absolutely necessary to understand different interest
534 groups and different strategies should be developed to engage with such groups. While
535 commitment and motivation from individuals should be encouraged but care is to be taken

536 that they should not become local elite power centres. Periodic feedback sessions should be
537 organized for two-way learning of community and project proponents. This will help both
538 the groups to take informed decisions for selection of activities and to participate in them.
539 Since these projects are invariably fund-driven, securing long term funding is necessary till
540 community becomes self-mobilized. Training of project staffs in participatory methodologies
541 is necessary. To understand conservation as a process, long term ethnographic research on
542 conservation projects should be undertaken.

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649

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Figure no.	Figure caption
1	Study Sites (Goalpara, Singchung and Pangti)

652

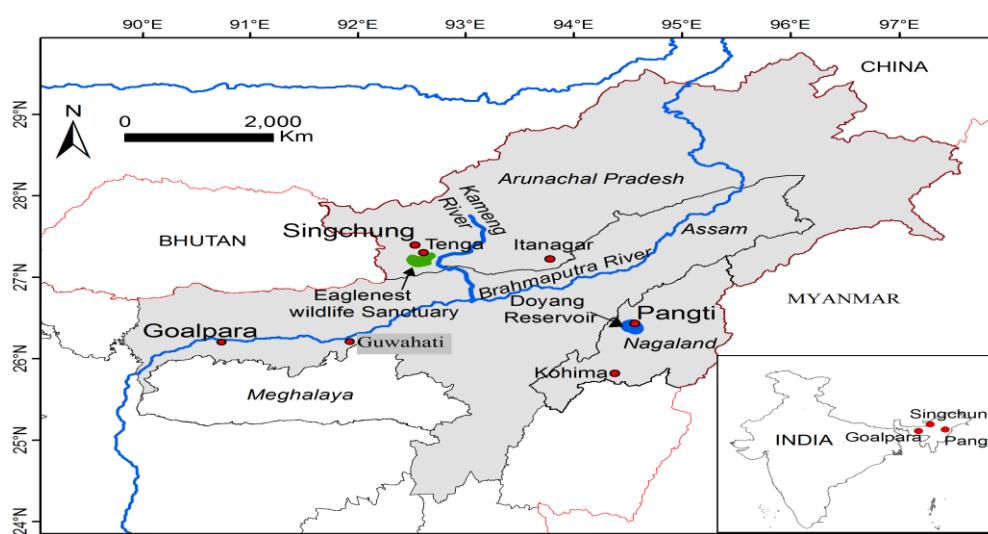
653 List of Tables

Table no.	Table caption
1	Study sites in Northeast India
2	Factors affecting community participation in selected conservation projects

654

655

656 Figure



657

658 **Fig. 1:** Study Sites (Goalpara, Singchung and Pangti)

659 **Tables**

660

No	State	District	Villages	Project	Intervention
1	Assam	Goalpara	Nichinta, Kalyanpur and Bengkanada	Elephant conservation project (Assam Hathi Project)	To reduce human–elephant conflicts through community– based interventions and to monitor elephant movements.
2	Arunachal Pradesh	West Kameng	Singchung	Bird–based ecotourism and Community Reserve	To inculcate conservation ethos among the locals and also provide livelihood options to the local people using bird–based tourism
3	Nagaland	Wokha	Pangti	Amur Falcon Conservation Project	To reduce hunting of Amur Falcons

661

662 Table 1: Study sites in Northeast India

No.	Factors	Description
1	Trigger	Crisis narrative Facilitation by external actors
2	Negotiation	Effective entry stage activities Mediating actors from the local community Alternate/additional income opportunities Intra–community dynamics
3	Sustenance	Tangible/intangible results Capability enhancement of local community Funding Role of information

663

664 Table 2: Factors affecting community participation in selected conservation projects