

## Advances in engineering CRISPR-Cas9 as a molecular Swiss Army knife

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1 **Abstract**

2 The RNA-guided endonuclease system CRISPR-Cas9 has been extensively modified since  
3 its discovery, allowing its capabilities to extend far beyond double-stranded cleavage to high  
4 fidelity insertions, deletions, and single base edits. Such innovations have been possible due  
5 to the modular architecture of CRISPR-Cas9 and the robustness of its component parts to  
6 modifications and the fusion of new functional elements. Here, we review the broad toolkit of  
7 CRISPR-Cas9-based systems now available for diverse genome editing tasks. We provide an  
8 overview of their core molecular structure and mechanism and distil the design principles used  
9 to engineer their diverse functionalities. We end by looking beyond the biochemistry and  
10 towards the societal and ethical challenges that these CRISPR-Cas9 systems face if their  
11 transformative capabilities are to be deployed in a safe and acceptable manner.

## 12 Introduction

13 Defined originally as an array of DNA repeats in 1987<sup>1</sup>, the exact function of the clustered  
14 regularly interspaced short palindromic repeats (CRISPR) remained a mystery until the further  
15 discovery of CRISPR-associated (Cas) proteins and RNA elements. This established their  
16 combined function as a prokaryotic immune system<sup>2-5</sup>, which had evolved to combat invading  
17 phages by cleaving and degrading their DNA. The core components are a Cas endonuclease,  
18 directed to a DNA target by a multi-component guide RNA (gRNA)<sup>6,7</sup>, which has since been  
19 simplified into a single guide RNA (sgRNA)<sup>8</sup> (**Figure 1**).

20 The power of the CRISPR system comes from its highly programmable nature that  
21 allows it to be easily targeted to virtually any DNA locus by merely placing a complementary  
22 sequence within the gRNA. Whilst its built-in functionality has ushered in a new era of genome  
23 engineering, CRISPR's real merit lies in its robustness for significant modification. This has  
24 allowed the CRISPR system to be refined as well radically extended to broaden its capabilities.  
25 These developments have enabled CRISPR to be used for diverse applications covering gene  
26 regulation, large genomic insertions and deletions, accurate base editing, and precise  
27 sequence replacement<sup>9-13</sup>. This broad and significant utility has resulted in the term "CRISPR"  
28 becoming synonymous with CRISPR-Cas systems and their application.

29 In this review, we explore the development of modified Cas9-based CRISPR systems  
30 for genome editing tasks, and the main approaches used to engineer these functionalities.  
31 This includes the mutagenesis of Cas9 domains, redesign of the gRNA, fusion of additional  
32 enzymatic domains to Cas9, and the screening of other organisms for naturally occurring  
33 CRISPR variants with more desirable features. Our aim is to provide a clear mechanistic  
34 overview of how the modular structure of the CRISPR-Cas9 system has facilitated engineering  
35 efforts and allowed for a 'plug-n-play' type approach to the development of new DNA-targeted  
36 functionalities. Whilst the potential benefits of such systems are already starting to be realized,  
37 we end by raising caution when considering their wider deployment and discuss some of the  
38 less widely acknowledged scientific, ethical and evolutionary challenges associated with this  
39 technology.

40 It should be noted that other CRISPR systems employing alternative Cas proteins do  
41 exist and have begun to gain interest due to their unique and often complementary capabilities.  
42 For example, CRISPR-Cas12a based systems have been shown to simplify multiplexed  
43 editing and combinatorial screens due to their ability to process CRISPR arrays directly<sup>14-18</sup>.  
44 However, Cas9 based systems are by far the most commonly used and modified to date, and  
45 so form the focus of this review.

## 47 The native CRISPR-Cas9 system

48 The CRISPR-Cas9 system is formally classified as a class 2, type II CRISPR system, which  
49 was originally derived from *Streptococcus pyogenes*<sup>19</sup>. It consists of a Cas nuclease SpCas9  
50 and a gRNA<sup>8</sup> (**Figure 1**). The gRNA has two components – a trans-activating RNA (tracrRNA)  
51 and a CRISPR RNA (crRNA)<sup>6</sup> (**Figure 1A**). crRNA is responsible for recognition and binding  
52 of the target DNA region and tracrRNA for crRNA maturation and association with SpCas9.  
53 Alternatively, a chimeric single-guide RNA (sgRNA) which performs both these functions can  
54 be used<sup>6</sup> (**Figure 1B**). Once the gRNA binds the SpCas9, the SpCas9 undergoes a  
55 conformational change which permits the SpCas9-crRNA-tracrRNA complex to relocate to the  
56 target region and cleave both DNA strands<sup>7</sup>. The target region is determined by a 20-  
57 nucleotide ‘spacer’ in the crRNA, complementary to the target ‘protospacer’ in the DNA<sup>3,20</sup>.  
58 For recognition, the protospacer must be superseded at the 3’ end by several nucleotides  
59 called the protospacer adjacent motif (PAM). This varies for different Cas proteins; for SpCas9  
60 it is ‘5-NGG-3’<sup>8,21</sup>. Providing there is the correct PAM present at the 3’ end of the target locus,  
61 engineering a gRNA with a different spacer region allows for targeting of a different genomic  
62 location.

63 When the target region is found, the bases upstream of the PAM are melted and bind  
64 to the complementary region of the gRNA<sup>22,23</sup>. Once the complex is bound, the two nucleases  
65 produce a double-stranded break (DSB) 3–4 nucleotides (nt) upstream of the PAM<sup>24</sup>. The DSB  
66 induces the endogenous DNA repair machinery, commonly the non-homologous end-joining  
67 pathway (NHEJ). NHEJ is notoriously error-prone, so the break is often fixed incorrectly and  
68 the target sequence becomes mutated<sup>25</sup> (**Figure 1C**). Alternatively, the homology-directed  
69 repair pathway (HDR) can be used to fix the break using a homologous template to accurately  
70 insert a desired sequence<sup>25,26</sup>. HDR is preferred to NHEJ in certain organisms (e.g. *S.*  
71 *cerevisiae*) as well as in cells containing a repair template (e.g. cells post S phase of the cell  
72 cycle)<sup>27</sup>. Recognition of CRISPR’s ability to perform gene knockdown/insertion was the  
73 beginning of a series of alterations which would highlight the diverse applications of this  
74 system and its derivatives.

75 Whilst CRISPR can perform efficient cleavage of a target genomic region, a common  
76 problem is the presence of non-target cleavage, or off-target effects, particularly in larger  
77 genomes<sup>28</sup>. The genomic target has 20 nt of complementarity to the spacer region of the  
78 gRNA, but mutations of the 5’ end of the gRNA still permit efficient cleavage implying only 12–  
79 13 nt at the 3’ end of the spacer region are critical for specifying the target<sup>21,24,25</sup>. These  
80 essential 13 nt have been dubbed the ‘seed sequence’<sup>8,29</sup>. Genomic regions with incomplete  
81 homology to the spacer region which contain all or most of the seed sequence could be  
82 targeted by the Cas9, resulting in off-target effects<sup>30</sup>. Detection and prevention of this off-target  
83 activity is essential for CRISPR to be used as a therapeutic tool. Efforts utilising altered,

84 higher-fidelity Cas9 proteins and truncated gRNA<sup>31–33</sup> have been the focus of efforts to reduce  
85 such promiscuity and will be discussed later.

86 To assist with the characterization of CRISPR, large-scale bioinformatic tools have  
87 been developed for genomic analysis and specifically the identification of potential editing  
88 sites. Complementary biological assays have also been developed to assess off-target  
89 cleavage<sup>34</sup>. A widely used assay to investigate off-target binding is the T7 endonuclease 1  
90 (T7E1) mismatch detection assay. Despite its widespread use, validations in the literature  
91 have exposed the poor accuracy and sensitivity of the T7E1 assay<sup>35</sup>. Cleavage by SpCas9  
92 has been observed at sites with up to 5 mismatches to the spacer region and even in sites  
93 without the 5'-NGG-3' PAM, for example, at those containing 5'-NAG-3'<sup>36,37</sup>.

94 Computational tools such as Cas-OFFinder and E-CRISP assume that sites with more  
95 homology to the spacer region are more likely to be targeted and vice versa, allowing the user  
96 to predict potential off-target loci<sup>38,39</sup>. These approaches, however, do not consider off-target  
97 sites which do not fit the model's parameters<sup>40</sup>. To alleviate this issue, machine learning  
98 methods have recently been shown to offer improved performance<sup>41</sup>. Experimentally,  
99 Genome-wide, unbiased identification of DSBs enabled by sequencing (GUIDE-seq) provides  
100 a robust empirical method for identifying off-target effects and has become widely used<sup>42</sup>. A  
101 small oligo-nucleotide tag is integrated into DSB sites targeted by NHEJ, and sequencing  
102 analysis is used to pinpoint the location of off-target sites. This permits the detection of sites  
103 difficult to capture with computational tools due to the complexity of the underlying rules and  
104 interactions<sup>38</sup>. GUIDE-seq is a simple method to identify sites which have up to 6 mismatches  
105 to the protospacer sequence as well as noncanonical PAMs, giving a broad profile of off-target  
106 effects, but is limited by the use of an oligo tag<sup>40,42</sup>. Another example of a genome-wide tool is  
107 digested genome sequencing (Digenome-seq) which involves the digestion of genomic DNA  
108 with Cas9-gRNA complexes and subsequent deep sequencing to identify identical Cas9  
109 cleavage fragments<sup>43</sup>. Analysis is performed on extracted DNA, eliminating the influence of  
110 cellular context (e.g. chromatin arrangements, methylation patterns and DNA accessibility).  
111 This method is time-consuming as many reads have to be analyzed to identify patterns, and  
112 it fails to recognize identical fragments caused by chance<sup>40</sup>. Overall, no single method is able  
113 to comprehensively analyse off-target effects, therefore the method employed must be  
114 carefully considered on a case-by-case basis. For example, Digenome-seq is appropriate for  
115 *in vitro* applications because it is not vulnerable to chromatin arrangements<sup>43</sup>, but for *in vivo*  
116 applications, GUIDE-seq or the new, multiplexing sister method Tagmentation-based tag  
117 integration site sequencing (TTISS) are more sensitive and easier to use<sup>42,44</sup>. For a truly  
118 comprehensive understanding of all off-target effects, a multi-system analysis involving both  
119 computational and biological approaches is necessary but rarely performed. Whether the field  
120 of genome engineering can expect more accurate predictions will largely depend on the ability

121 to combine versatile algorithms with ultrasensitive, genome-wide off-target detection methods  
122 and predictive modelling<sup>45,46</sup>.

123

### 124 ***Naturally occurring variants***

125 CRISPR is a naturally occurring system in prokaryotes, thus different species possess  
126 different systems whose variations can be potentially exploited<sup>47</sup>. Type I and III systems enlist  
127 multiple Cas proteins whereas type II uses a single, Cas9 protein for DNA cleavage<sup>48</sup>. Whilst  
128 *SpCas9* from *S. pyogenes* is the most heavily studied to date, Cas9 variants from different  
129 bacteria with distinct cleavage patterns and PAM requirements are becoming more widely  
130 used (**Figure 2**). This includes *FnCas9* from *Francisella novicida*<sup>49</sup>, *SaCas9* from  
131 *Staphylococcus aureus*<sup>50,51</sup> and recently the *Campylobacter jejuni* Cas9, the smallest to  
132 date<sup>52,53</sup>.

133 *SpCas9* is a multi-domain protein exhibiting a bilobed structure where the nuclease  
134 lobe and the recognition lobe<sup>8,24</sup> are linked by an arginine-rich bridge helix as well as a  
135 disordered linker<sup>8</sup> (**Figure 2A**). The overall shape of *SpCas9* is oblong with two large grooves,  
136 to accommodate the DNA:RNA and RNA:RNA complexes. Adaptations of the two previously-  
137 recognized, adjacent nucleases (HNH<sup>6</sup>, named for the three characteristic residues, and  
138 RuvC<sup>54</sup>) of the nuclease lobe facilitate much of the diversification of CRISPR's function<sup>31,55</sup>.  
139 Each nuclease cleaves one strand of DNA; RuvC cleaves the non-complementary and HNH  
140 the complementary strand<sup>6,20</sup>. Another key component of the nuclease lobe is the C-terminal  
141 domain, with a region essential for PAM recognition and binding often called the PAM-  
142 interacting (PI) domain<sup>7</sup>. Mutagenesis of these domains permits the evolution of CRISPR  
143 function.

144 *SaCas9* has a longer PAM (5'-NNGRRT-3') than *SpCas9* and is smaller at 1053 amino  
145 acids (aa) compared to 1368 aa<sup>50</sup> (**Figure 2B**). Due to its smaller size, *SaCas9* provides  
146 valuable information regarding the elements of Cas9 that are essential and those that can be  
147 removed or modified without impacting overall function. Characterization of *SaCas9* has  
148 shown comparable on-target cleavage to *SpCas9*, whilst boasting a higher specificity and  
149 easier introduction into cells<sup>56</sup>. Both *SpCas9* and *SaCas9* are bilobed, with a nuclease (NUC)  
150 and recognition (REC) lobe linked by an arginine bridge and a linker region. They both contain  
151 two nuclease domains, HNH and RuvC and undergo a conformational change when gRNA is  
152 bound. However, *SaCas9* only has 17% structural similarity to *SpCas9*; key DNA/RNA binding  
153 domains such as the nucleases and the PI domain have been conserved but others such as  
154 the REC2 domain are not, suggesting its presence is not crucial for Cas9 function. This  
155 demonstrates the flexibility of Cas9's structure whilst retaining efficacy<sup>56</sup>. Despite these  
156 differences, it is apparent that *SaCas9* and *SpCas9* share important similarities, and that

157 SaCas9 is a useful case study for synthetic reduction of *SpCas9* size and complexity, already  
158 attempted by the successful removal of the REC2 domain<sup>57</sup>.

159 Another *SpCas9* ortholog is *FnCas9* which produces staggered cleavage and binds  
160 less frequently to non-target regions<sup>49,58</sup> (**Figure 2C**). The non-target strand is cleaved 3–8 bp  
161 upstream of the PAM (5'-NGG-3'), whereas the target strand is cleaved 3 bp upstream as by  
162 *SpCas9* and *SaCas9*, producing overhangs of up to 4 nt and more efficient recruitment of  
163 HDR<sup>49</sup>. *FnCas9* is considerably larger than *SpCas9* and *SaCas9*, comprised of 1629 aa<sup>59</sup>.  
164 Whilst its larger size may be a hindrance for transfection due to the limited capacity of many  
165 delivery systems, *FnCas9*'s markedly reduced tolerance of target mismatches makes it a  
166 valuable system for precise editing tasks. *SpCas9* tolerates several mismatches of the gRNA  
167 in the non-seed region, but just one mismatch at the 5' end of *FnCas9* gRNA is tolerated for  
168 successful cleavage<sup>58</sup>. This increased specificity means *FnCas9* produces far less off-target  
169 cleavage as fewer sites are recognized as 'target'<sup>49</sup>. *FnCas9* is structurally dissimilar to  
170 *SpCas9* and *SaCas9*, lacking bilobed structure and containing distinct REC2 and REC3  
171 domains (**Figure 2C**). REC3 domain mutations have generated high-fidelity Cas9 enzymes<sup>60</sup>;  
172 these structural differences explain the striking differences in targeting specificity. Despite its  
173 increased specificity, it has much lower on-target recognition than *SpCas9* in eukaryotic  
174 genomes. As postulated in the literature<sup>58</sup>, local chromatin conformations likely affect the  
175 access to DNA, a vulnerability not as significant for *SpCas9*. To eliminate this problem *FnCas9*  
176 has been used alongside a catalytically dead *SpCas9* (*SpdCas9*) to enable access and  
177 subsequent DNA cleavage<sup>58</sup>. Such problems are not present when used in prokaryotes where  
178 *FnCas9* has been shown to function effectively<sup>61</sup>.

179 Finally, *CjCas9* is the smallest ortholog characterized to date at only 984 aa, making it  
180 suitable for size-restricted delivery methods such as those using adeno-associated viruses  
181 (AAV) (**Figure 2D**). It has a bilobed structure, akin to *SaCas9* and *SpCas9*, with a simplified  
182 REC lobe and size-reduced NUC lobe<sup>53</sup> (**Figure 2D**). Initial studies showed recognition of a  
183 5'-NNNNACA-3' PAM<sup>47</sup> or the more promiscuous 5'-NNNVRYM-3'<sup>53</sup> providing an assortment  
184 of target sites. However, recent studies have found a requirement for an 8<sup>th</sup> cytosine at the 3'  
185 end, suggesting 5'-NNNNRYAC-3'<sup>52</sup> and 5'-NNNNACAC-3' sequences<sup>62</sup>. Tested against  
186 *SaCas9* in human cells, *CjCas9* was found to be more specific with comparable efficiencies  
187 to some other variants, excluding *FnCas9*<sup>52</sup>. However, due to discrepancies in the PAM  
188 recognition sequences and limited research into the structure and mechanism of *CjCas9*, care  
189 should be taken when placing confidence in this finding.

190 Comparisons of each Cas9 ortholog and their respective sgRNA has also revealed  
191 several structural and functional differences (**Figure 2**). The essential region of the sgRNA  
192 consists of a DNA binding region, the repeat:anti-repeat duplex (R:AR) and at least 2 stem  
193 loops. Removal of stem loop 1, which has extensive interactions with Cas9, prevents

194 cleavage, so its presence is essential<sup>6,50</sup>. In contrast, removal of loops 2 or 3 decreases  
195 efficiency, without abolishing cleavage<sup>24</sup>. Stem loop 2 interacts with the PI and RuvC domains  
196 in *SaCas9* and *SpCas9*, and the REC domains in *FnCas9* and *CjCas9*<sup>7,50,53,57,59</sup>. *SaCas9* and  
197 *SpCas9*'s sgRNAs exhibit the greatest similarity, particularly regarding cognate Cas9  
198 interactions with the lack of stem loop 3 in *SaCas9* the defining key difference<sup>50</sup>. This further  
199 highlights the minimalism of *SaCas9* compared to *SpCas9* because of the reduction of non-  
200 essential elements like stem loop 3 and the REC2 domain<sup>56</sup>. *FnCas9* and *CjCas9*'s sgRNAs  
201 are structurally distinct to *SaCas9* and *SpCas9*, with the same core region but some unique  
202 features. For instance, *FnCas9* has a longer, U shaped linker, contrasting with the shorter,  
203 single-stranded linker present in *SaCas9* and *SpCas9*<sup>59</sup>. The novel structural arrangement of  
204 *CjCas9*'s gRNA forms a triple helix between stem loops 1, 2, and 3<sup>53</sup>. The relevance of this  
205 structure is still unknown due to a lack of comprehensive structural studies of *CjCas9*  
206 complexes.

207 The domains of each Cas9 distinctly interact with their associated sgRNAs due to the  
208 slight differences in sgRNA structure<sup>50</sup> (**Figure 2**). The stark differences between *SpCas9* and  
209 its orthologs demonstrate the diversity of naturally occurring Cas9 systems and their varying  
210 characteristics. Whilst the four orthologs discussed here have been characterized and  
211 established as potential genome-editing tools, their testing still pales in comparison to *SpCas9*  
212 and we expect that further characterisation experiments will be needed before their  
213 deployment. Even so, the differences in mechanism and function seen across these variants  
214 clearly highlight the wealth of preexisting systems available that may be suitable for many  
215 applications.

216

## 217 **Modifying CRISPR-Cas9 to enhance performance**

### 218 ***Modification of guide RNAs***

219 The CRISPR-Cas9 system requires a tracrRNA and a crRNA for target complementarity and  
220 complex maturation. To simplify use, a single chimeric guide RNA (sgRNA) is generally used  
221 to describe the dual-tracrRNA:crRNA structure (**Figure 2**, bottom row). As established by  
222 Jinek and colleagues, a seed region (13 nt of complementarity between the crRNA and the 3'  
223 end of the protospacer sequence) and a GG dinucleotide at the 3' end of the PAM are essential  
224 for sequence-specific recognition and cleavage<sup>6</sup>. By fusing the 3' end of the crRNA to the 5'  
225 end of tracrRNA this study simulated the tracrRNA:crRNA duplex formed in nature, inducing  
226 a Cas9 open conformation and directed DNA targeting. In this study, the chimeric gRNA  
227 produced cleaved all 5 expected targets *in vitro* and has since been widely used, confirming  
228 its efficacy<sup>6</sup>. Such mimicking of nature's gRNA design is a great example of how simple  
229 biotechnological approaches can yield more streamlined genetic engineering systems.



230 Another modification involves truncating the gRNA such that it contains <20 nt of  
231 complementarity to a target locus. Truncated gRNAs or tru-gRNAs have demonstrated  
232 significantly lower off-target activity compared to full-length sgRNAs due to a reduction in  
233 binding affinity and greater mismatch intolerance<sup>39,63</sup>. As demonstrated in two human cell  
234 lines, the specificity of tru-gRNAs as compared to wild-type was estimated to be >5,000-fold  
235 higher<sup>33</sup>. Such estimates are supported by the finding that additional nucleotides added at the  
236 5' end of gRNA increase binding affinity for off-target sites<sup>28</sup>. Using the same study systems,  
237 it has been shown that positive synergism between tru-gRNAs and paired Cas9 nickases  
238 permits a further reduction in off-target activity, demonstrating the promise of the additive  
239 effects when combining modifications.

240 Beyond sequence changes to gRNAs, another method that has been used to improve  
241 editing efficiency is the chemical modification of key nucleotides. Chemically synthesized and  
242 modified sgRNAs have shown significantly improved editing efficiencies in human primary T  
243 cells and CD34+ hematopoietic stem and progenitor cells (HSPCs)<sup>64</sup>. The ability for Cas9 to  
244 handle significant modifications has enabled the effective use of gRNAs with >80% ribose  
245 substitutions and at least one chemical modification (e.g. 2'-O-methyl, 2'-Fluoro,  
246 phosphorothioate) at every nucleotide position<sup>64</sup>. Such modifications are useful as they can  
247 help ensure metabolic stability and reduce the chance of nanoparticle formation, which can  
248 elicit an immune response. Furthermore, such modifications offer the ability to use chemical  
249 conjugates as a means to target the cell-surface and improve uptake<sup>65</sup>.

250

### 251 **Modification of Cas9**

252 Another method to improve performance is through modification of the Cas9 enzyme itself  
253 (**Figure 3**). Analysis of CRISPR-Cas9 variants and their resultant cleavage products  
254 established RuvC and HNH nuclease-mediated cleavage of the non-complementary and  
255 complementary strand respectively<sup>6,20</sup>. As double-stranded cleavage often favors the  
256 inaccurate NHEJ pathway (depending on the organism, cell type and stage in the cell cycle),  
257 single-stranded cleavage (or 'nicking') is favorable for efficient targeted replacement<sup>27</sup>. A  
258 deactivating mutation in the catalytic residues of one of the nucleases causes the Cas9 to  
259 cleave only one strand of the target DNA. Such nicking permits accurate HDR or base excision  
260 repair (BER)<sup>66,67</sup>. Two nicking variants (henceforth nickases) were engineered by an aspartate  
261 to alanine substitution in the active site of the RuvC domain to produce Cas9D10A and  
262 histidine to alanine substitution in the HNH domain to produce Cas9H840A<sup>20,25,31</sup>. The benefits  
263 of these are twofold: they produce precise nicks in the DNA and exhibit decreased affinity to  
264 off-target loci<sup>31</sup>. When a DSB is required, a nickase can be used with two different gRNAs that  
265 target each strand of the DNA. When both nicks are performed a staggered cleavage site is  
266 produced (**Figure 4**)<sup>68</sup>. This dual nicking strategy has been shown to have comparable on-

267 target cleavage to *SpCas9* whilst discriminating off-target sites more effectively, however,  
268 requires the presence of two neighboring PAM sites which limits the number of potential  
269 editing sites<sup>69</sup>. Continued editing of nickases forms the basis of many other CRISPR editing  
270 systems that will be explored in the next section. Additional reductions in off-target effects  
271 have also been achieved by controlling the expression and stability of the Cas9 protein. For  
272 example, increasing the degradation rate of Cas9 by adding a ubiquitin-targeting signal added  
273 to the N-terminus has been shown to decrease mosaicism in monkey embryos<sup>70</sup>. Furthermore,  
274 the addition of an N-terminus geminin tag to Cas9 has been used to regulate Cas9  
275 concentration in response to the cell cycle allowing the editing capacity to be maintained while  
276 greatly reducing neurotoxicity<sup>71</sup>.

277 As a mutation in one of the nuclease domains can alter Cas9 from a dsDNA  
278 endonuclease to a ssDNA nickase, mutation of both domains will remove all cleavage activity.  
279 An *SpCas9* enzyme containing the H840A and D10A mutations is catalytically dead  
280 (dCas9)<sup>6,72</sup>, but is still able to target and bind DNA. dCas9 has been shown to be a versatile  
281 tool and can be tethered to other molecules such as other enzymes<sup>9</sup> or used to visualize target  
282 affinity without cleavage<sup>55</sup>. Such an approach has enabled the development of programmable  
283 DNA methylation systems formed from a dCas9 protein fused to a DNA (cytosine-5)-  
284 methyltransferase 3A. This particular system permitted up to 50% methylation for targeted  
285 CpG dinucleotides in HEK293T cells<sup>73</sup> and a better understanding of the influence chromatin  
286 organization and dynamics plays has on gene expression. Particularly in human cells,  
287 programmable DNA methylation systems allow for the visualization of specific genetic loci via  
288 a dCas-eGFP fusion and fluorescence microscopy<sup>74</sup>.

289 Furthermore, dCas9 has become widely used in regulating gene expression through CRISPR  
290 interference and activation (CRISPRi and CRISPRa, respectively)<sup>75,76</sup>. Interference of gene  
291 expression is generally achieved by targeting the dCas9 protein to promoter regions and  
292 sterically blocking the initiation of RNA polymerase (RNAP)<sup>77</sup>. Additionally, repression domains  
293 (e.g. KRAB) can also be fused to the dCas9 to enhance repression<sup>78</sup>. This ability to inhibit but  
294 not completely turn off gene expression has made CRISPRi a valuable tool for knock-down  
295 screens where Cas9 is not suitable (e.g. due to genotoxicity)<sup>79</sup>. Activation of gene expression  
296 has been similarly achieved by fusing transcription activating domains (e.g. VP64 for human  
297 cells or SoxS for *Escherichia coli*) to dCas9<sup>77,80</sup>, or by modifying the sgRNA and using an RNA  
298 binding protein (e.g. MS2 coat protein) fused to an activator domain that can then be targeted  
299 to this sgRNA<sup>81</sup>. In both cases, targeting these systems to regions upstream of a promoter  
300 without blocking transcription initiation enables activation of the downstream gene.

301 An additional application of dCas9 concerns fusion to a FokI nuclease, an  
302 endonuclease which is strictly dependent on dimerization for cleavage activity<sup>82</sup>. This fusion  
303 enlists a long, flexible linker with between 5-25 residues (e.g. GGGGS)<sub>5</sub> fusing the FokI

304 endonuclease to the Cas9 N-terminus<sup>82–84</sup>. The RNA-guided FokI Nuclease (RFN) system  
305 consists of a dCas9-FokI fusion and two different gRNAs<sup>85</sup>. These gRNAs must have  
306 specificity to the target region, and both must be bound to their respective loci to allow for a  
307 functional FokI dimer to form and cleavage to take place. When there is off-target binding by  
308 one gRNA:Cas9 complex, the FokI monomer remains inactive and cleavage does not occur<sup>82</sup>  
309 (**Figure 5**). The use of these alternative, exogenous nucleases creates a highly specific  
310 system with significantly lower indel frequencies when compared to wild-type Cas9 nucleases  
311 and the use of single gRNAs<sup>84</sup>. However, RFNs are limited for genome-wide application due  
312 to the required presence of PAM sequences either side of the protospacer regions (5'-  
313 CCNN<sub>20</sub>-3' and 5'-N<sub>20</sub>NGG-3') as well as 14–17 bp between these<sup>83</sup>. This fusion system is also  
314 very large, limiting its application in AAV delivery methods<sup>86</sup>. Efforts have been made to use  
315 the smaller SaCas9 based system instead of *SpCas9*, reducing the size and simplifying  
316 delivery<sup>83</sup>. Despite some documented successes<sup>87,88</sup>, it is worth noting the range of  
317 confounding effects associated with the different delivery methods. For example, a  
318 complication when employing lentivirus vectors concerns long-term Cas9 expression which  
319 promotes the likelihood of off-target effects<sup>89</sup>. In contrast, Cas9 ribonucleoproteins are limited  
320 by transient expression and possible reduced on-target activity<sup>90</sup>.

321

### 322 ***Mutation of REC3 domain***

323 Targeted mutagenesis of other Cas9 domains has also been performed to find additional  
324 useful modifications. For example, as DNA binds between the HNH and REC domains,  
325 mutations of the positively charged residues of REC3 to alanine could reduce binding affinity  
326 making the Cas9 more strongly discriminate between target and off-target regions<sup>91</sup>. Using  
327 this knowledge, a high fidelity Cas9, *SpCas9*-HF1 was produced via mutation of four DNA-  
328 interacting REC3 residues to alanine (N497A/R661A/Q695A/Q926A), with comparable on-  
329 target cleavage to *SpCas9*<sup>32</sup>. Despite the reduction in off-target mutations as quantified by  
330 GUIDE-seq, this variant was incompatible with the optimized truncated gRNA demonstrating  
331 a case where independent enhancements could not be combined.

332 A failure to completely abolish off-target activity in *SpCas9*-HF1 led to further screening  
333 of REC3 mutants *in vivo* and the development of another highly-specific *SpCas9* variant,  
334 dubbed 'evoCas9'<sup>60</sup>. This variant outperforms *SpCas9*-HF1 in distinguishing between on and  
335 off-target sites and has better compatibility with optimized gRNAs.

336

### 337 ***Directed evolution for altered PAM specificity***

338 Alterations to the nuclease and recognition domains have been shown to improve target  
339 specificity and efficiency. However, *SpCas9* is still limited to targeting of genomic regions  
340 containing the 5'-NGG-3' PAM<sup>6</sup>, whose number may be further reduced by local chromatin or

341 methylation patterns preventing Cas9 access to the site<sup>25</sup>. PAM specificity is conferred by  
342 several residues of the PI domain, specifically *SpCas9* arginine residues 1333 and 1335 which  
343 interact with the two guanine nucleotides of the PAM<sup>7</sup>. Motivated by this, several studies have  
344 focused on mutagenizing this domain to change the PAM recognized by Cas9. An attempt in  
345 2014 substituted the two critical guanine-recognizing residues which interact with adenine  
346 from arginine to glutamine in an attempt to modify *SpCas9* recognition to a 5'-NAA-3' PAM<sup>92</sup>.  
347 This effort was unsuccessful and the R1333Q/R1335Q variant produced failed to cleave DNA  
348 *in vitro*. It was concluded that additional mutations were likely required for successful alteration  
349 of PAM recognition.

350 Building on this work, Nishimasu and colleagues employed a positive selection  
351 approach where survival of bacteria was only guaranteed by Cas9 cleavage of a toxic gene<sup>51</sup>.  
352 This produced two main variants: VQR (D1135V/R1335Q/T1337R) which recognized 5'-  
353 NGAN-3' and 5'-NGCG-3' PAMs and VRER (D1135V/G1218R/R1335E/T1337R) which  
354 recognized the 5'-NGCG-3' PAM. The T1337R mutation was found to be a gain of function,  
355 contrasting with the loss of function mutations utilized by other domain mutagenesis studies.  
356 This specific gain of function permitted Cas9 recognition of a fourth PAM base which increased  
357 the stringency of binding and reduced off-target effects compared to wild-type *SpCas9*<sup>51</sup>.  
358 These evolved *SpCas9* variants with altered PAM specificities are still limited to one or two  
359 PAMs.

360 To expand PAM recognition, focus has shifted to generating *SpCas9* variants able to  
361 target multiple PAMs. So far, positive selection has been used to find useful mutagenized  
362 *SpCas9* variants using phage assisted continuous evolution (PACE)<sup>21</sup>. Such variants, dubbed  
363 'xCas9' nucleases, had a different pattern of mutations than the rationally developed variants  
364 which covered the entire *cas9* gene<sup>7,51</sup>. xCas9-3.7 showed the best cleavage efficiency, with  
365 a high indel formation of DNA adjacent to 5'-NG-3', 5'-GAA-3' and 5'-GAT-3' PAMs as well as  
366 comparable activity to 5'-NGG-3' with *SpCas9*<sup>21</sup>. Together with the broader on-target  
367 specificity, xCas9-3.7 produced less off-target cleavage than *SpCas9*, demonstrating the  
368 potential merits of using an engineered Cas9 rather than the native system.

369 Mutation of the PI domain in this way is not limited to *SpCas9* and has been performed  
370 in *SaCas9* to similar effect. Using an analogous bacterial selection approach, mutated *SaCas9*  
371 variants were tested for their efficiency for 5'-NNNRRT-3' PAM loci cleavage. Results showed  
372 that an E782K/N968K/R1015H variant called SaKKH was functional and that this variant  
373 disrupted 5'-NNGRRT-3' sites (and off-target loci) at a similar efficiency to wild-type *SaCas9*  
374 whilst also cleaving sites adjacent to 5'-NNARRT-3', 5'-NNTRRT-3' and 5'-NNCRRT-3'<sup>93</sup>.

375

376 **Plug-n-play CRISPR-Cas9 modules**

### 377 **Base editing**

378 NHEJ-based methods are useful for the downregulation or knock-out of genes, but for more  
379 precise editing the less error-prone HDR is preferential. HDR has been shown to work  
380 alongside the CRISPR system and in theory can induce a range of genome edits, but is hard  
381 to employ *in vivo* due to the difficulties associated with successful delivery of both the editing  
382 machinery and template DNA<sup>27</sup>. Additionally, both of these DNA repair pathways rely on the  
383 generation of DSBs, which can result in inadvertent genomic alterations, pathogenic lesions  
384 and deleterious tumor suppressor p53 activation responses<sup>94</sup>. Single-stranded nicks are  
385 repaired by the high-fidelity BER pathway, making this cleavage pattern preferable for specific  
386 base changes<sup>67</sup>.

387 Studies of the mechanism of Cas9 cleavage have revealed that the displaced DNA  
388 strand is unbound, this finding coupled with the need to more accurately alter genetic  
389 sequences led to the development of base editors<sup>95</sup> (**Figure 6**). A simple CRISPR base editor  
390 consists of a dCas9 protein, a sgRNA and a base editing enzyme (e.g. cytidine deaminase)<sup>96</sup>.  
391 Cytidine deaminases catalyze the conversion of cytosine to uracil<sup>97</sup> and the rat cytidine  
392 deaminase (rAPOBEC1) has been selected in several systems due to its high activity. To  
393 localize rAPOBEC1 to a target site in DNA and create the first base editor (BE1), rAPOBEC1  
394 was fused to dCas9 via an XTEN linker which is commonly used in FokI-dCas9 fusions<sup>84,98</sup>  
395 (**Figure 6A**). BE1 is able to deaminate 5 bases at the 5' end of the protospacer and was found  
396 to have a 50–80% efficiency *in vitro*, but only 0.8–7.7% in human cells<sup>72</sup>. This discrepancy  
397 was attributed to the endogenous DNA repair machinery, specifically uracil DNA glycosylase  
398 (UDG), which reverses the UG pair to a CG pair<sup>72</sup>. To combat this, a uracil DNA glycosylase  
399 inhibitor (UGI) was attached to the C-terminus of BE1, to create the second base editor variant  
400 BE2 (**Figure 6B**). This alteration increased editing efficiencies in human cells 3-fold as UDG  
401 activity was drastically reduced<sup>72</sup>. Both these editors are only active on the strand containing  
402 the cytosine so to broaden the editors' function dCas9 was modified to create variant BE3 that  
403 acted as a nickase targeting the non-edited strand (**Figure 6C**). BE3 was 2 to 6- fold more  
404 efficient in creating cytosine to thymine transitions than BE2. All 3 editors showed off target-  
405 binding, but no base editing was found to have occurred at these sites and indel formation  
406 was significantly less than that induced by Cas9-mediated DSBs. A further development  
407 produced an additional base editor variant BE4 which included three alterations to BE3  
408 (**Figure 6D**). The linkers fusing the rAPOBEC1 and UGI proteins to Cas9 were extended to  
409 32 and 9 aa, respectively, and an additional UGI was added to the C-terminus with a 9 aa  
410 linker<sup>99</sup>. BE4 showed higher C to T editing efficiency and product yield compared to BE3. The  
411 evolution of this base editor system highlights the robust nature of the Cas9 protein to the  
412 'plug-n-play' for additional functional modules in a rational way.

413 Another study which used this combined approach employed a SaCas9 nickase  
414 instead of SpCas9 in a BE3 variant, SaBE3<sup>100</sup>. As previously described, SaCas9 is much  
415 smaller than SpCas9<sup>50</sup> and recognizes a 5'-NNGRRT-3' PAM. The creation of a base editing  
416 system with this different nickase allowed for targeting of not only 5'-NGG-3' but also 5'-  
417 NNGRRT-3' PAMs, increasing the number of potential editing sites. SaBE3 also possesses  
418 other benefits, such as an increased editing efficiency on target as well as base editing outside  
419 of the expected activity window compared to the SpCas9-based BE3<sup>72,100</sup>. Furthermore, Kim  
420 and colleagues utilized SpCas9 variants with altered PAM specificities, specifically VQR and  
421 VRER (described previously) and EQR from the same study<sup>51</sup>, as well as an engineered  
422 SaCas9 variant, SaKKH<sup>93</sup>. All these variants had editing efficiencies of up to 50% for sites with  
423 relevant PAMs, with SaKKH-BE3 editing up to 62% of target sites. SaBE3 and SaKKH-BE3  
424 had a similar off-target activity to SpCas9 whereas EQR-BE3 and VQR-BE3 showed markedly  
425 reduced levels<sup>100</sup>. These data again highlight the merits of combining CRISPR-Cas9  
426 modifications to extend functionalities.

427

### 428 **Prime editing**

429 A similar combinatorial approach was used to create another form of more complex editing  
430 machinery. So-called, prime editing combines the functionalities of a Cas9 nickase, reverse  
431 transcriptase (RT) and unique prime editing gRNA (pegRNA) (**Figure 7**). By combining these  
432 elements more precise changes to DNA can be made that go beyond the capabilities of other  
433 base editors (e.g. transversion point mutations, insertions, deletions)<sup>11</sup>. The pegRNA is novel,  
434 as it both guides the Cas9-gRNA complex to the target and provides the sequence substrate  
435 for the RT to rewrite into the genome. The first prime editor PE1 consisted of a wild-type M-  
436 MLV RT attached to the C-terminus of H840A nickase (**Figure 7A**). PE1 was able to generate  
437 transversion mutations at efficiencies of up to 5.5% and insertions and deletions of up to  
438 17%<sup>11</sup>. To increase the efficiency of PE1, a second prime editor variant PE2 was produced by  
439 incorporating five RT mutations designed to enhance binding affinity (**Figure 7B**). PE2 had  
440 increased efficiency of insertions and deletions and up to 5.1-fold increases in efficiency of  
441 targeted point mutations as compared to PE1. The further prime editor PE3 used the PE2  
442 protein machinery alongside an additional sgRNA targeting the non-edited strand (**Figure 7C**).  
443 This simple modification increased editing efficiency by 1.5–4.2-fold, which is thought to be  
444 due to the edited strand acting as a template for non-edited strand repair<sup>11</sup>.

445

### 446 **Challenges**

#### 447 ***Inconsistent off-target detection methods***

448 Precise detection of off-target activity is crucial if CRISPR technology is to be used more  
449 widely and especially in a clinical setting<sup>101</sup>. However, many existing methods have differing  
450 sensitivities<sup>102</sup> making comparisons between studies difficult (e.g. CIRCLE-seq has been  
451 shown to identify more off-target cleavage sites compared to GUIDE-seq and Digenome-seq,  
452 whilst Sanger sequencing identifies more compared to T7E1 assays). Furthermore, many of  
453 the original CRISPR-Cas9 results that the field has been built upon utilised suboptimal  
454 detection methods<sup>103,104</sup>. A further complication concerns the disagreements between *in vitro*  
455 and *in vivo* results, which have been reported even for some of the most robust methods  
456 developed<sup>66</sup>. Together these problems make comparisons and decisions on use difficult.  
457 Therefore, moving forward it will be essential that more reliable off-target detection methods  
458 are developed, as well as revisiting historic results to verify their accuracy.

459

### 460 **Limitations in CRISPR research**

461 Another factor hampering our understanding and comparison of CRISPR-Cas9 systems is the  
462 lack of standardised studies and benchmarking<sup>105</sup>. Most studies to date have made use of  
463 different genetic targets of a limited number, with experiments performed under a variety of  
464 environments (i.e. *in vivo/in vitro*) and conditions. While this is understandable given the often-  
465 applied focus of research to a particular disease, it does however make clear comparisons  
466 between methods impossible and further hinders effective reuse of data. In other areas like  
467 sequencing, standardised materials have been developed to allow for the robust  
468 benchmarking of methods (e.g. synthetic RNA libraries to assess the accuracy of read  
469 counts<sup>106</sup> and defined microbial communities to test metagenomic inference from mixed pools  
470 of organisms<sup>107</sup>). Although difficult given the broad potential applications of CRISPR, having  
471 a set of standardised organisms, cell lines, targets and conditions that cover a wide variety of  
472 possibilities would greatly aid in the unbiased assessment of new methods and ensure results  
473 can be directly compared. It should be noted that such issues with standardisation do not only  
474 affect CRISPR research but are a challenge across the whole of the synthetic biology and  
475 bioengineering fields.

476 An additional bias when assessing CRISPR use is the relatively young age of the  
477 technology. Most studies to date have focused on demonstrating successful proofs-of-concept  
478 with little concern for the longer-term implications. Furthermore, those moderately longer-term  
479 studies that do exist have largely focused on ill-effects e.g. effects on the tumour suppressor  
480 gene, *p53*<sup>108,109</sup>. Clearly, this handful of examples do not paint a full picture and the reality is  
481 that we have a very limited and biased understanding as to the long-term consequences of  
482 CRISPR use<sup>110</sup>. Ensuring we are aware of these biases will be crucial when considering  
483 possible future deployment into the clinic or the wider environment (e.g. through gene  
484 drives<sup>111,112</sup>).

485

### 486 ***Ethical, societal and evolutionary concerns***

487 Parallel to scientific advances, ethical and societal concerns have also grown around  
488 preclinical research, somatic cell editing, and germline alterations using CRISPR-Cas9. The  
489 main focus of these surround germline editing; the work of He Jianku in 2018 that led to the  
490 CRISPR-baby scandal re-emphasised the dangers of not regulating this technology<sup>113</sup>. In  
491 Jianku's work, the *CCR5* gene was largely disabled to confer protection from HIV infection.  
492 However, the pleiotropic role of *CCR5* suggests likely undesirable long-term side effects<sup>114</sup>.  
493 Understanding the full impact of any germline edit is incredibly difficult. It dictates the fate of  
494 individuals, forbids consent of future offspring and potentially exposes the lineage to off-target  
495 mutagenesis risks<sup>115,116</sup>, making it ethically questionable in most cases. For those cases where  
496 it might be acceptable, open and balanced discussions at a societal level must be performed  
497 to ensure this technology is used in an understood and agreed manner. Such ethical  
498 considerations should also extend to that of the manufacturing sectors (e.g. agriculture,  
499 pharmaceutical and chemical). Although there is promise for CRISPR technologies here,  
500 genetically modified food controversies, arguments concerning human health and  
501 environmental implications threaten such uses.

502 From a Darwinian perspective, CRISPR technologies are a powerful means by which  
503 individuals could eradicate genes they deem as deleterious from a population. Furthermore,  
504 the decision to remove one deleterious gene will likely make it easier to justify the removal of  
505 another<sup>117</sup>. This 'slippery slope' ultimately leads to removal of genes in a biased manner,  
506 moving from a situation where genome editing is used for medical necessity to one with a  
507 selfish purpose, such as enhancing one's offspring<sup>118</sup>. The ability to select for and against  
508 traits would allow humans to act as mediators of natural selection, and bioethicists fear that  
509 such control tempts a backlash from nature<sup>119</sup>. What form this might take has yet to be fully  
510 understood but has drawn recent attention<sup>114,120</sup>. Longer-term, the ability to delete variation  
511 and distort heritability, two factors influential of selection, may eventually call for a revised  
512 theory of natural selection with ethical and societal implications that go far beyond clinical  
513 applications.

514

### 515 **Conclusion**

516 In this review we have shown how robust the CRISPR-Cas9 system is to modifications and  
517 extension, allowing its functionality to be tailored for a broad array of genome editing tasks in  
518 virtually any organism (**Table 1**). The rapid development of these systems was made possible  
519 by the highly modular structure of both the Cas9 protein and its associated gRNA that allowed  
520 in many cases for directed mutations to have a desired impact on the systems overall function.



521 This bodes well for the engineering of other non-Cas9-based CRISPR systems that may better  
522 suited to other tasks such as multiplexed DNA editing (e.g. Cas12a<sup>14,18</sup>) or the localization of  
523 enzymatic activities to RNAs (e.g. Cas13<sup>121</sup>).

524 Whilst the studies explored in this review pave the way for making CRISPR-Cas9 an  
525 effective and safe tool, several hurdles spanning both science and society remain. Therefore,  
526 if maximum benefit is to be realized from this technology, future studies must widen their scope  
527 to consider the wider implications of use and the longer-term impacts they might have on  
528 society and the natural world.

529

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534

### 535 **Author Contributions**

536 G.A.M. and E.H. wrote the initial draft, which T.E.G. then edited. G.A.M. and T.E.G. created  
537 the figures. T.E.G. supervised the work.

538

### 539 **Declaration of Interest**

540 None.

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997 **Tables**998 **Table 1: Organisms and key cell types targeted using CRISPR-Cas9 systems.**

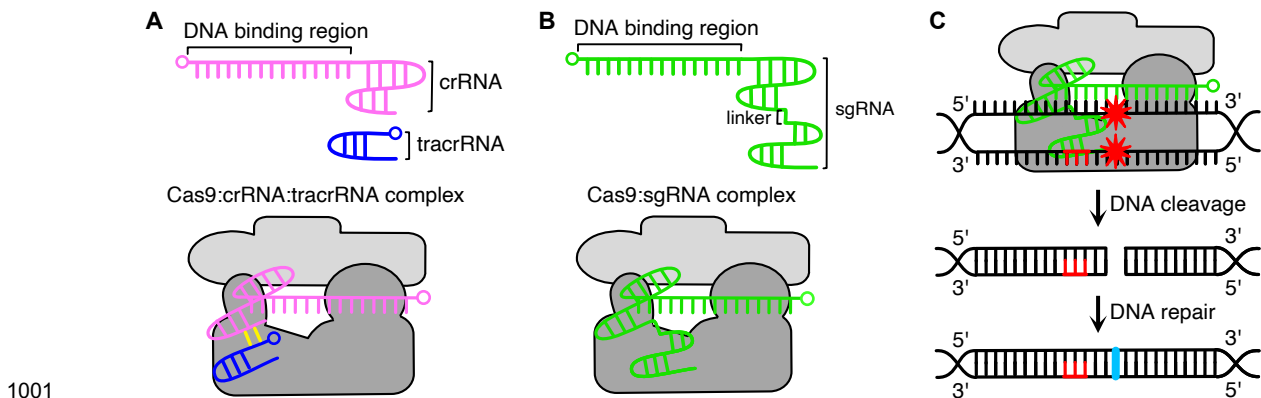
System	Target	Notes	Refs.
<i>FnCas9</i>	<ul style="list-style-type: none"> <li>- Mouse (kidney cells<sup>*</sup>)</li> <li>- Human (PBMCs<sup>*</sup>, kidney cells<sup>*</sup>, liver carcinoma cells<sup>*</sup>)</li> </ul>	<ul style="list-style-type: none"> <li>- Comparable indel formation to <i>SpCas9</i> with little to no off-target activity</li> <li>- Hirano et al. found it only worked when provided as an RNP complex</li> </ul>	49,59,122
<i>SpCas9</i>	<ul style="list-style-type: none"> <li>- Human (embryonic kidney cells<sup>*</sup>)</li> <li>- Mouse (embryonic stem cells)</li> <li>- Rat (one-cell stage embryos)</li> <li>- Zebrafish (one-cell stage embryos)</li> <li>- <i>Drosophila melanogaster</i> (embryonic cells)</li> <li>- <i>Arabidopsis thaliana</i> (one-cell stage embryos)</li> <li>- Liverwort (gametophytes)</li> <li>- <i>Caenorhabditis elegans</i> (germline syncytia)</li> <li>- Yeast (<i>Saccharomyces cerevisiae</i>, <i>Pichia pastoris</i>, ...)</li> <li>- Gram-positive/-negative bacteria (<i>Escherichia coli</i>, <i>Streptomyces lividans</i>, <i>Streptococcus pneumoniae</i>, <i>Bacillus subtilis</i>, ...)</li> </ul>	<ul style="list-style-type: none"> <li>- First CRISPR-Cas9 system to be used <i>in vivo</i></li> <li>- Has been used to edit genomes of a broad variety of organisms across most kingdoms of life</li> </ul>	123–135
<i>CjCas9</i>	<ul style="list-style-type: none"> <li>- Mouse (retinal cells, muscle cells, pancreatic cells)</li> </ul>	<ul style="list-style-type: none"> <li>- Comparable indel formation to <i>SpCas9</i> and no off-target activity</li> <li>- No signs of toxicity 14 months after editing</li> </ul>	52,136–138
<i>SaCas9</i>	<ul style="list-style-type: none"> <li>- Mouse (hepatic cells, embryo fibroblasts)</li> <li>- Human (embryonic kidney cells<sup>*</sup>)</li> <li>- <i>Arabidopsis thaliana</i></li> </ul>	<ul style="list-style-type: none"> <li>- No observable off-target activity at candidate sites (mouse and human)</li> <li>- No signs of toxicity 1-month post manipulation (mouse and human)</li> <li>- <i>SaCas9</i> gave more DSB induction than <i>SpCas9</i> in <i>Arabidopsis</i></li> </ul>	139–141
<i>SpCas9</i> Nickase	<ul style="list-style-type: none"> <li>- Human (HeLa cells<sup>*</sup>)</li> <li>- Brown Norway rat (midbrain neurons)</li> <li>- <i>Arabidopsis thaliana</i></li> </ul>	<ul style="list-style-type: none"> <li>- Can be used in a 'paired nickase' approach for increased targeting specificity</li> <li>- Used in many more studies in more complex systems e.g. base editors</li> </ul>	69,142,143
<i>SpCas9</i> RFN	<ul style="list-style-type: none"> <li>- Human (osteosarcoma cells<sup>*</sup>, embryonic kidney cells<sup>*</sup>)</li> </ul>	<ul style="list-style-type: none"> <li>- Less off-target cleavage than wild-type (WT) <i>SpCas9</i></li> <li>- Has limited target sites due to extra requirements</li> <li>- Greater specificity than the paired nickase approach</li> </ul>	82,84,144

SaCas9 RFN	<ul style="list-style-type: none"> <li>- Human (embryonic kidney-GFP cells*, embryonic stem cells*)</li> </ul>	<ul style="list-style-type: none"> <li>- More restrictive requirements than <i>SpCas9</i> RFNs, but different PAM required so different target sites available</li> <li>- Can be paired with a <i>SpCas9</i> RFN monomer for a heterodimer, higher efficiency than SaCas9 RFN dimer</li> </ul>	83
<i>SpCas9</i> -HF1	<ul style="list-style-type: none"> <li>- Human (osteosarcoma cells* embryonic kidney cells*)</li> <li>- Potato (protoplasts)</li> <li>- Chicken (embryo fibroblasts)</li> </ul>	<ul style="list-style-type: none"> <li>- 70% of WT <i>SpCas9</i>'s target sites were targeted by <i>SpCas9</i>-HF1</li> <li>- No activity at the off-target sites where WT <i>SpCas9</i> was active</li> </ul>	32,145–147
evoCas9	<ul style="list-style-type: none"> <li>- <i>Saccharomyces cerevisiae</i></li> <li>- Human (embryonic kidney cells*)</li> </ul>	<ul style="list-style-type: none"> <li>- Higher targeting efficiency than WT <i>SpCas9</i></li> <li>- Significantly more on-target cleavage than <i>SpCas9</i>-HF1</li> <li>- Both <i>SpCas9</i>-HF1 and evoCas9 had almost no off-target cleavage, evoCas9 slightly less</li> </ul>	60,147
VQR/VRE R <i>SpCas9</i>	<ul style="list-style-type: none"> <li>- Zebrafish (one-cell stage embryos)</li> <li>- Human (osteosarcoma cells*)</li> <li>- <i>E. coli</i></li> <li>- <i>C. elegans</i></li> <li>- Rice</li> <li>- <i>Arabidopsis thaliana</i></li> </ul>	<ul style="list-style-type: none"> <li>- VQR targets 5'-NGAN-3' and 5'-NGCG-3' PAMs, VRER 5'-NGCG-3'</li> <li>- Both variants could target sites which WT <i>SpCas9</i> cannot</li> <li>- VRER showed increased fidelity to WT <i>SpCas9</i>, possibly because of the 4<sup>th</sup> PAM base</li> </ul>	51,148–150
xCas9(3.7)	<ul style="list-style-type: none"> <li>- Human (embryonic kidney cells*)</li> <li>- Rice</li> </ul>	<ul style="list-style-type: none"> <li>- Targets 5'-NG-3', 5'-NNG-3', 5'-GAA-3', 5'-GAT -3' and 5'-CAA-3' PAMs</li> <li>- Targets 5'-NGG-3' PAMs with higher efficiency than WT <i>SpCas9</i></li> <li>- Much lower off-target activity than WT <i>SpCas9</i> in human cells</li> </ul>	21,151
<i>SpCas9</i> -BE1, BE2, BE3, BE4	<ul style="list-style-type: none"> <li>- Human (embryonic kidney cells*)</li> <li>- Rabbit (blastocysts)</li> <li>- Sheep (one-cell stage embryos)</li> <li>- <i>Xenopus laevis</i> (one-cell stage embryos)</li> <li>- <i>Xenopus tropicalis</i> (one-cell stage embryos)</li> <li>- Silkworm (embryonic cells)</li> </ul>	<ul style="list-style-type: none"> <li>- 300-900 human genetic diseases are potential targets for correction via base editing</li> <li>- BE3 had the best editing yield of BE1, BE2 and BE3</li> <li>- BE4 showed higher C to T editing efficiencies, lower indel formation and higher product formation than BE3</li> </ul>	72,99,152–156
SaCas9-BE3	<ul style="list-style-type: none"> <li>- Human (embryonic kidney cells*, osteosarcoma cells*)</li> </ul>	<ul style="list-style-type: none"> <li>- Can target sites not accessible to <i>SpCas9</i>-BE3</li> </ul>	100,157
SaKKH-BE3	<ul style="list-style-type: none"> <li>- Human (embryonic kidney cells*, osteosarcoma cells*)</li> </ul>	<ul style="list-style-type: none"> <li>- Targets 5'-NNNRRT-3' PAMs</li> <li>- Higher efficiency of on-target editing than EQR-BE3 and VQR-BE3</li> </ul>	100,157
EQR-BE3	<ul style="list-style-type: none"> <li>- Human (embryonic kidney cells*, osteosarcoma cells*)</li> </ul>	<ul style="list-style-type: none"> <li>- Targets 5'-NGAG-3' PAMs</li> <li>- Less off-target activity than SaBE3 and SaKKH-BE3</li> </ul>	100
VQR-BE3	<ul style="list-style-type: none"> <li>- Human (embryonic kidney cells*, osteosarcoma cells*)</li> </ul>	<ul style="list-style-type: none"> <li>- Targets 5'-NGAN-3' PAMs</li> <li>- Less off-target activity than SaBE3 and SaKKH-BE3</li> </ul>	100,157

SpCas9 PE1, PE2, PE3	<ul style="list-style-type: none"> <li>- Human (embryonic kidney cells*, osteosarcoma cells*, leukemic bone marrow cells*, HeLa cells*, iPSCs*)</li> <li>- Mouse (neuro-2a cells)</li> <li>- Rice (protoplasts)</li> <li>- Wheat (protoplasts)</li> </ul>	<ul style="list-style-type: none"> <li>- 75,000 pathogenic genetic variants diseases are potential targets for correction via prime editing</li> <li>- Can perform insertions, deletions, all base conversions and combinations of these</li> </ul>	11,158– 162
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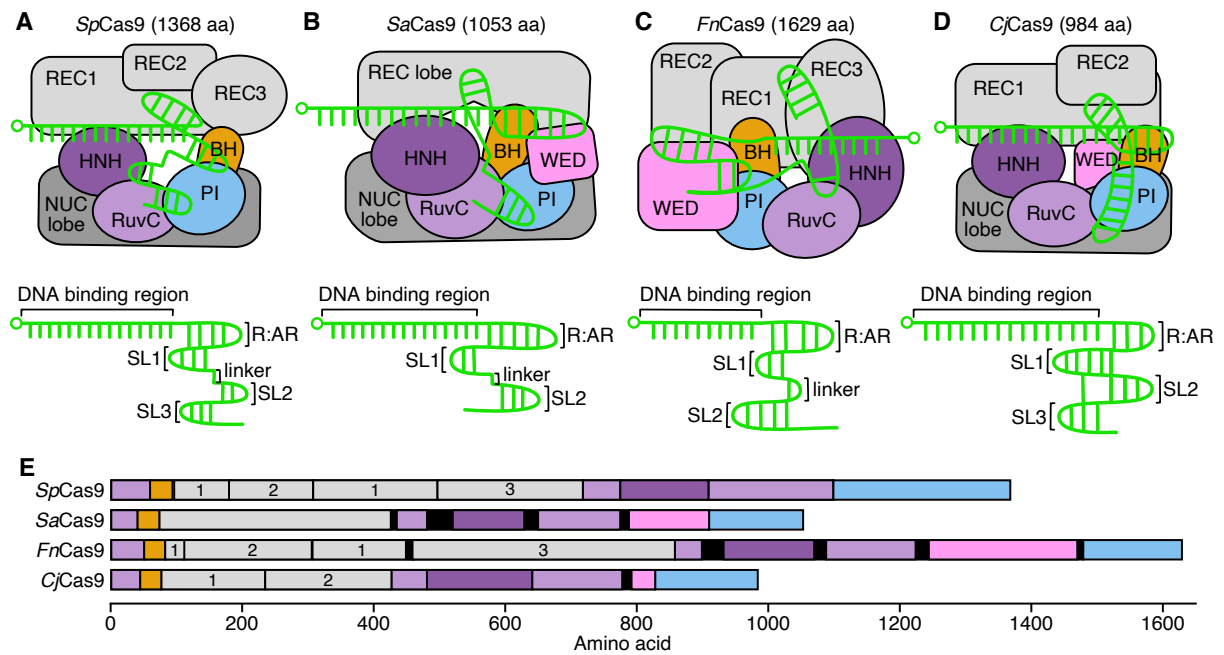
999 \* Application of CRISPR-Cas9 system only shown *in vitro*.



1000 **Figures and captions**

1001

1002 **Figure 1: Core components of the CRISPR-Cas9 system.** (A) In the native system a  
 1003 CRISPR RNA (crRNA; pink) and trans-activating crRNA (tracrRNA; blue), bind together  
 1004 (yellow interactions) to form a guide RNA (gRNA) that then complexes with the Cas9 protein  
 1005 (grey). The 5' end of each RNA is denoted by a small circle. (B) A single guide RNA (sgRNA;  
 1006 green) is produced by fusing a crRNA and tracrRNA using a short linker. This creates a  
 1007 CRISPR-Cas9 system requiring only two components: Cas9 and an sgRNA. (C) Function of  
 1008 the CRISPR-Cas9 system. The Cas9:gRNA complex is able to bind DNA and upon recognition  
 1009 of a complementary sequence to the DNA binding region of the gRNA, double-strand cleavage  
 1010 occurs. Where possible, the cell will attempt to repair this break, which can potentially  
 1011 introduce mutations (cyan bases). Red DNA bases show the protospacer adjacent motif  
 1012 (PAM) and red stars denote DNA cleavage.



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1014 **Figure 2: Naturally occurring variants of Cas9 and their respective gRNA structures.**

1015 Top diagrams show the Cas9:gRNA complex and interactions of the gRNA with core Cas9

1016 domains (labelled). Domains abbreviated as: REC = recognition, NUC = nuclease, BH =

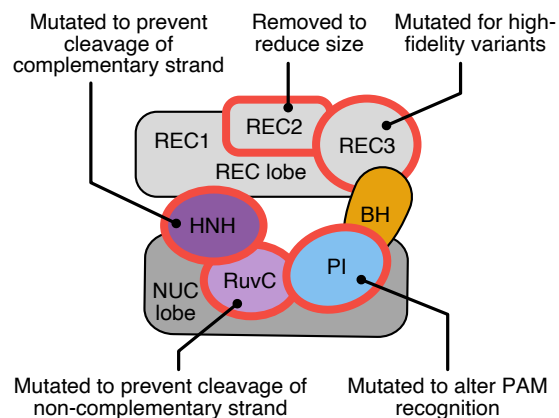
1017 bridge helix, PI = PAM-interacting, CTD = C-terminal domain, WED = wedge. HNH and RuvC

1018 are nuclease domains. Bottom diagrams show the gRNA structure with the DNA binding

1019 region, major stem loops (SLs) and repeat:anti-repeat (R:AR) duplex highlighted. The 5' end

1020 of each gRNA is denoted by a small circle. **(A)** *Streptococcus pyogenes* Cas9 (*SpCas9*). **(B)**1021 *Staphylococcus aureus* Cas9 (*SaCas9*). **(C)** *Francisella novicida* Cas9 (*FnCas9*). **(D)**1022 *Campylobacter jejuni* Cas9 (*CjCas9*). **(E)** Domain structure of the Cas9 variants. Linkers are

1023 shown by black regions and REC domains are numbered.



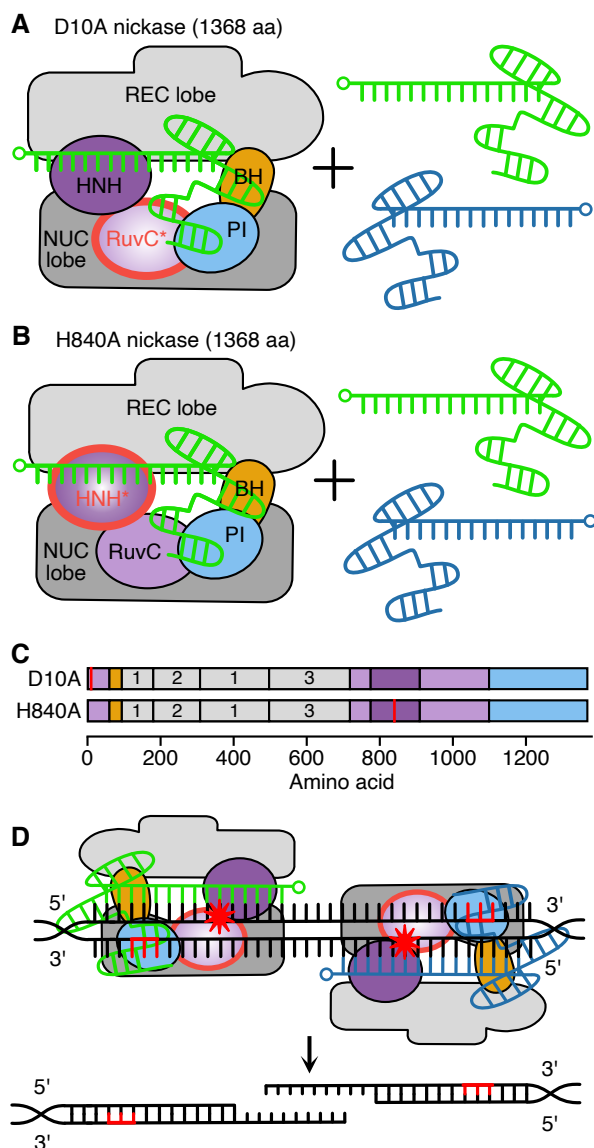
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1025 **Figure 3: Key domains of Cas9 and the effect of modifications of each on phenotype.**

1026 Domains abbreviated as: REC = recognition, NUC = nuclease, BH = bridge helix, PI = PAM-

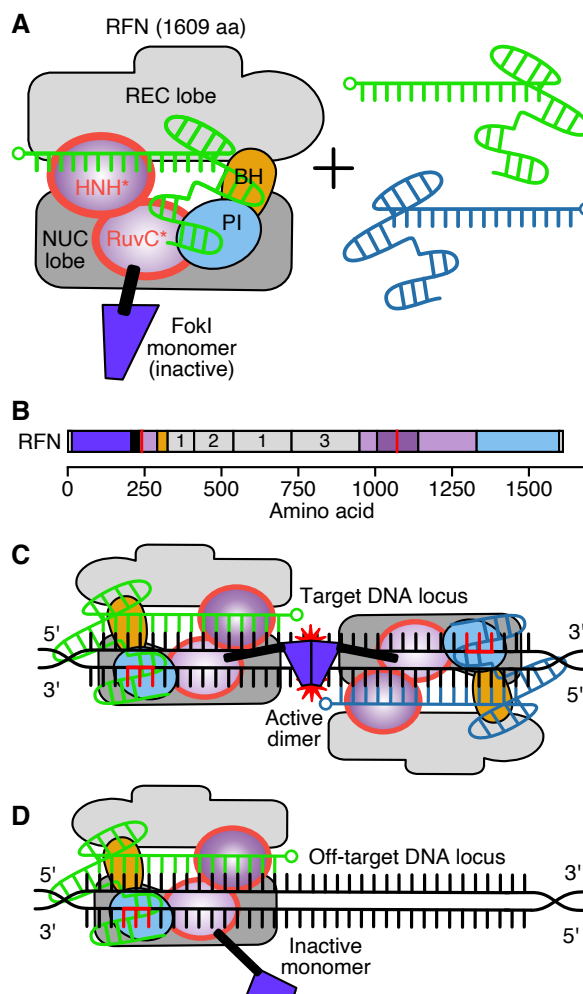
1027 interacting. HNH and RuvC are nuclease domains. Thick red outlines indicate domains which

1028 have been modified.



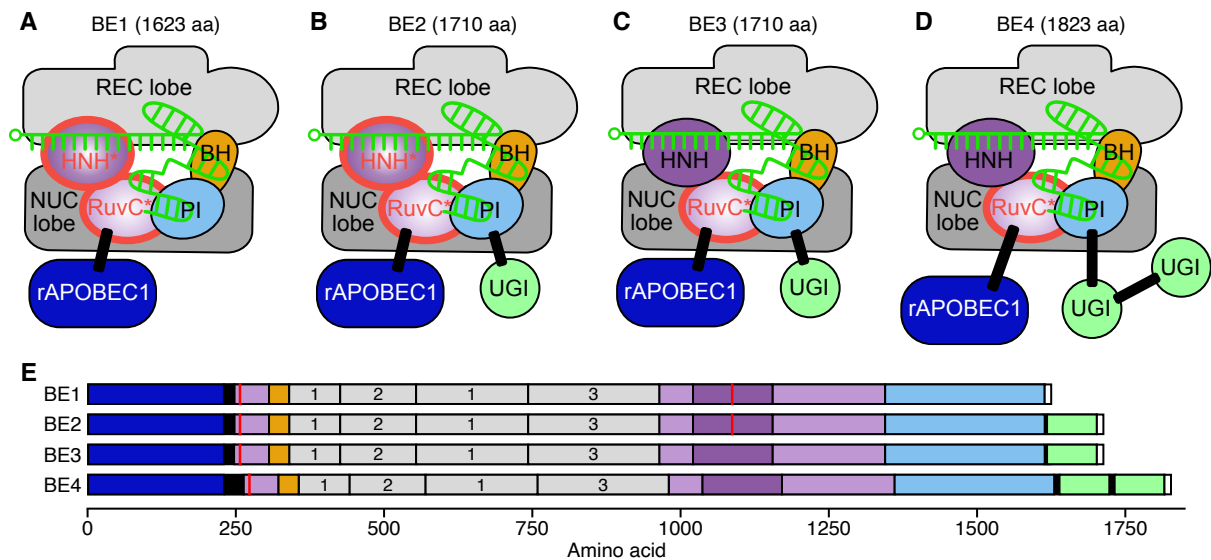
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1030 **Figure 4: Cas9D10A and Cas9H840A nickase systems.** (A) The Cas9D10A nickase system  
 1031 which nicks the complementary strand. This Cas9D10A is used in conjunction with a pair of  
 1032 guides to target each strand independently. The 5' end of each gRNA is denoted by a small  
 1033 circle and inactive domains are outlined in red. Domains abbreviated as: REC = recognition,  
 1034 NUC = nuclease, BH = bridge helix, PI = PAM-interacting. HNH and RuvC are nuclease  
 1035 domains. (B) A complementary Cas9H840A nickase system is able to nick the non-target  
 1036 strand. Again, this system is normally used with two complementary guides to target each  
 1037 strand of DNA. (C) Domain structure of the nickase system. Mutations are shown by red lines  
 1038 and the three REC domains are numbered. (D) Example of the Cas9D10A nickase system  
 1039 targeting two regions to create complementary nicks on opposite strands. The PAM is shown  
 1040 in red and red stars denote DNA cleavage.



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1042 **Figure 5: An RNA-guided FokI Nuclease (RFN) system.** (A) An RFN system consists of a  
 1043 dCas9-FokI fusion and two gRNA (green and blue) with targets ~15 bp apart. Two FokI  
 1044 monomers (blue) are required for the active dimer (purple) to cleave DNA, so off-target binding  
 1045 of a single RFN does not (usually) result in cleavage. Domains abbreviated as: REC =  
 1046 recognition, NUC = nuclease, BH = bridge helix, PI = PAM-interacting. HNH and RuvC are  
 1047 nuclease domains. Domains outlined in red are inactive. The 5' end of each gRNA is denoted  
 1048 by a small circle. The PAM is shown in red. Linkers are denoted by white rectangles. (B)  
 1049 Domain structure of the RFN. Linkers and nuclear localization signals (NLSs) are denoted by  
 1050 black and white regions, respectively, and mutations are shown by red lines. The three REC  
 1051 domains are numbered. (C) Two RFNs bound in an active conformation to a target DNA locus.  
 1052 Red stars denote DNA cleavage. (D) Single inactive RFN bound to an off-target DNA locus.



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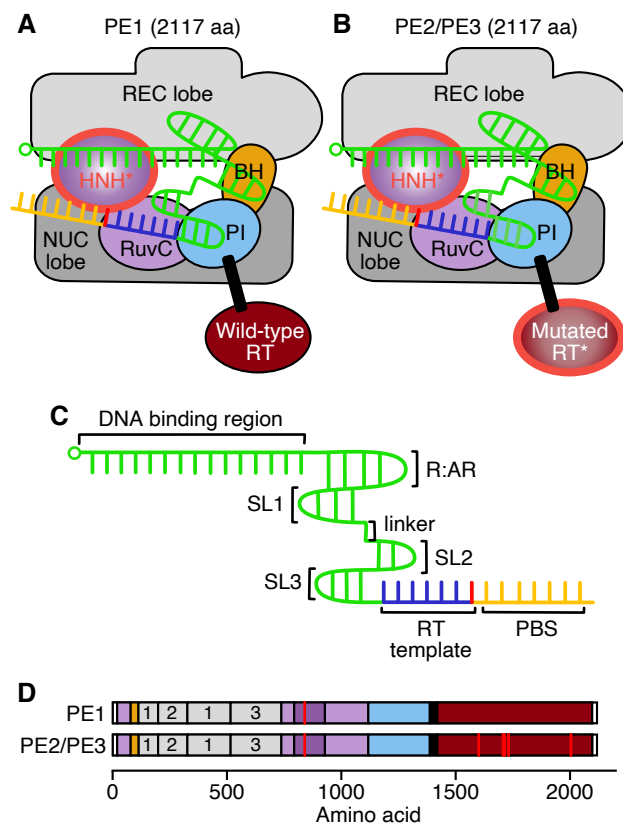
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**Figure 6: Base editing systems.** (A) Base editor 1 (BE1) consists of a SpdCas9 with a cytidine deaminase (rAPOBEC1) fused to its N-terminus. Domains abbreviated as: REC = recognition, NUC = nuclease, BH = bridge helix, PI = PAM-interacting. HNH and RuvC are nuclease domains. (B) Base editor 2 (BE2) is similar to BE1 but includes an additional uracil glycosylase inhibitor (UGI) fused to the C-terminus. (C) Base editor 3 (BE3) is similar to BE2 but includes the catalytic activity of the HNH nuclease domain restored, to allow target strand nicking. (D) Base editor 4 is as BE3 but with longer linker proteins and an additional UGI fused to the C terminus. The 5' end of each gRNA is denoted by a small circle. Linkers are denoted by white rectangles. Mutated domains are outlined in red. (E) Domain structure of the base editors. Linkers and nuclear localization signals (NLSs) are denoted by black and white regions, respectively, and mutations are shown by red lines. The three REC domains are numbered.



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1067 **Figure 7: Prime editing systems and pegRNA.** (A) Prime editor 1 (PE1) consists of a H840A  
 1068 nickase with a flexible linker fusing an M-MLV wild-type (WT) reverse transcriptase (RT; red)  
 1069 to the C-terminus. Domains abbreviated as: REC = recognition, NUC = nuclease, BH = bridge  
 1070 helix, PI = PAM-interacting. HNH and RuvC are nuclease domains. Linkers are denoted by  
 1071 black rectangles. Mutated domains are outlined in red. (B) Prime editor 2 (PE2) is similar to  
 1072 PE1 but contains a mutated/engineered RT rather than the WT variant. Prime editor 3 is  
 1073 identical to PE2 but makes use of an additional gRNA targeting the unedited strand, allowing  
 1074 for increased editing efficiency. This second gRNA for PE3 is not a pegRNA and does not  
 1075 contain any modification. (C) The pegRNA consists of a seed region and sgRNA (green) with  
 1076 a primer binding site (PBS; dark yellow) and repair template (RT template, blue) containing a  
 1077 base edit (red). Major stem loops (SLs), linker and repeat:anti-repeat (R:AR) duplex are also  
 1078 labeled. The PBS binds to the nicked strand for initiation of repair via RT, using the repair  
 1079 template. The 5' end of each gRNA is denoted by a small circle. (D) Domain structure of the  
 1080 prime editors. Linkers and nuclear localization signals (NLSs) are denoted by black and white  
 1081 regions, respectively, and mutations are shown by red lines. The three REC domains are  
 1082 numbered.