

## COVID-19: role of the inflammasome

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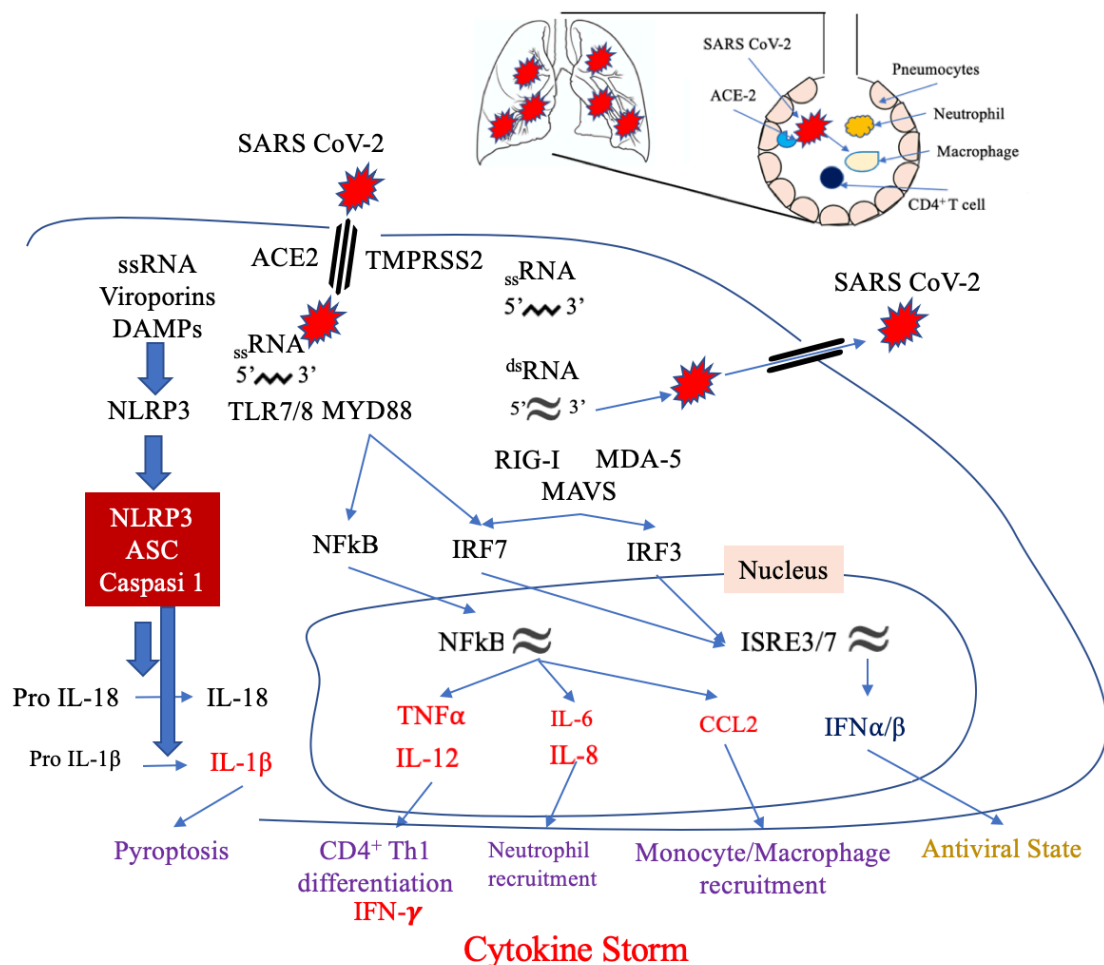
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### Abstract

Covid-19 disease is caused by SARS Cov-2 virus. Despite its high transmissibility, the CFR (Case Fatality Rate) of COVID-19 seems to be lower than the SARS (9,5%) and MERS (34,4%) ones<sup>93</sup>, but higher than the influenza one (0-1%)<sup>94,95</sup>. The disease is asymptomatic or paucisymptomatic in most of the patients, although in few cases it can be characterized by serious complications. The main causes of hospitalization in intensive care are represented by ALI (Acute Lung Injury), ARDS (Acute Respiratory Distress Syndrome), cardiovascular problems and coagulopathies (diffuse thrombosis, microthrombosis, embolisms, myocarditis, arrhythmias, heart failure, stroke)<sup>96-98</sup>, acute nephropathy<sup>99,100</sup> and encephalopathies<sup>101</sup>. The virus presence in the vascular wall can cause endotheliitis, which triggers the process of diffuse coagulation that can lead to a worsening of the systemic inflammation. The exaggerated inflammatory response seems to be connected with the development of ARDS, MOF (Multiple Organ Failure) and coagulopathies<sup>102-107</sup>.

### Danger signs and cytokine storm

As defined, inflammation is an immunovascular response to an inflammatory stimulus. The main objectives of inflammation are the elimination of the pathogens and the repair of the damaged tissues<sup>108-110</sup>. The lack of an adequate inflammatory response can lead to chronic disease and acute damage, even death. The cytokine storm is a process where an uncontrolled immune response is responsible of serious complications observed in COVID-19 patients<sup>111-113</sup>. During the SARS Cov-2 infection, if the effects of viral replication and those of tissue damage add up to the pro-inflammatory effects of coagulation phenomena, there can be a generalized release of cytokines, chemokines and growth factors, which can start the “cytokine storm”<sup>115-116</sup>. **Fig. 1.** The warning sign capable of triggering inflammation can be represented by an infection, or by damage or tissue alteration<sup>5</sup>. Warning signs are defined PAMPs (Pathogen-Associated Molecular Patterns) and DAMPs (Damage-Associated Molecular Patterns)<sup>114</sup>. PAMPs derive from microorganisms and, consequently, trigger inflammations related to infections. DAMPs derive from host cells and are the basis of the so-called sterile inflammation. Often, however, DAMPs are also involved in infectious forms. In fact, sterile inflammation can subsequently complicate with bacterial overlap.



**Fig. 1. Cytokine storm.** SARS CoV-2 virus enters alveolar epithelial cells thanks to the action of the TMPRSS2 cell protease, and to the interaction with the ACE2 membrane receptor. Therefore, it is recognized by intracellular sensors: a) viral RNA sensors (RIG-I and MDA5) b) NLRP3 inflammasome sensors. The activation of the NLRP3 sensor, after binding to the ASC adapter, activates the caspase1 enzyme. Caspase1 activates the proteolytic cleavage that transforms the immature interleukins pro IL-1β and pro IL-18 into the active forms IL-1β and IL-18. RIG-I and MDA-5 activate IRF3 / 7, with the production of IFNα / β. TLR7 / 8 activate MYD88 and NFkB, and causes an induction of pro-inflammatory cytokines (TNFα, IL-6, IL-12, IL-8). IL-1β promotes pyroptosis. The IFNs α and β determine the development of the antiviral state in adjacent cells and promote the inflammatory state through the products of the activity of the ISGs. IL-8 recalls neutrophils, which can give rise to NETosis. IL-12 stimulates the differentiation of CD4 + Th0 lymphocytes into CD4 + Th1 lymphocytes, producers of IFN-γ. Innate immunity, in addition to aiming to eliminate the virus, orchestrates the adaptive immune response through the cytokines. There is a risk that, due to the initiation of self-maintenance and self-amplification loops, there will be the appearance of an exaggerated immunoinflammatory response, called cytokine release syndrome or cytokine storm.

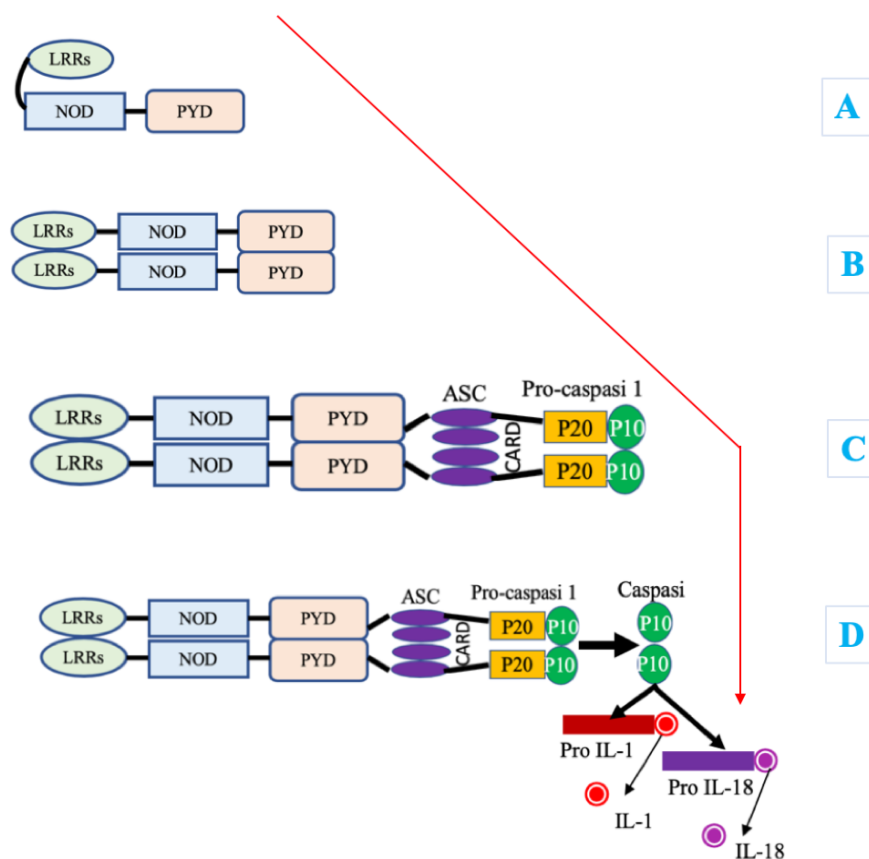
There are receptors capable of detecting the presence of warning signs, called PRRs (pattern-recognition receptors). The inflammatory response is triggered when PRRs bind PAMPs / DAMPs. There are several types of PRRs: TLRs, RLRs, NLRs, ALRs 2. TLRs are found on the host cell membrane and in endosomes <sup>3,4</sup>. RLRs, NLRs, ALRs are localized in the cytoplasm of the host cell. While RLRs (RIG-I and MDA5) recognize cytoplasmic RNA (RNA virus), ALRs recognize cytoplasmic DNA (DNA virus)<sup>4</sup>. The NLRs family corresponds to the inflammasomes and includes various members, among which the best known is the NLRP3.

The first antiviral defense initiates after the SARS CoV-2 virus has overcome the anatomical barrier,

and is represented by the IFN-I / III system. IFN-III works locally, while IFN-I  $\alpha$  /  $\beta$  has systemic actions and can contribute to the abnormal inflammation. The same pathway, in fact, activates the genes associated with the IFN (ISGs: interferon-stimulated genes) and the transcription factor NFkB.

## Inflammasomes

Inflammasomes are cytosolic protein complexes that mediate the activation of powerful inflammation factors, triggering a cascade of inflammatory responses. Known since 2002, they are part of innate immunity<sup>19-23</sup>. The NLRP3 inflammasome is one of the main intracellular inflammatory pathways of the innate immune system. Activation of the inflammasome determines the production and release of the pro-inflammatory cytokines IL-1 $\beta$  and IL-18 **Fig. 2**.



**Fig. 2. Inflammasome.** A) The NLRP3 has a tripartite structure, being formed by a C-terminal domain (LRR), a central domain (NOD) and a N-terminal domain (PYD). LRR represents the binding domain between NLRP3 and the pathogen (PAMP / DAMP). It includes a sequence of 20-30 amino acids rich in leucine residues. The NLRP3 sensor, through the LRR domain, recognizes and interacts with the pathogen (PAMP and / or DAMP). The NOD domain facilitates the oligomerization and activation of the inflammasome. The PYD domain binds procaspase 1 thanks to the involvement of an adapter called ASC. B) Following the stimulus, the NOD domain promotes the activation of the inflammasome (multimeric protein  $\approx$  760 kDa). C) The activated inflammasome recruits the inactive pro-caspase 1, which includes two heterodimers P20 and P10, and through auto-cleaving turns into active caspase 1. The recruitment of pro-caspase 1 requires the intervention of the ASC adapter which, on one hand, binds to the PYD domain of the NLRP3, and on the other, through the CARD domain, binds procaspase 1. D) Caspase 1 determines the proteolytic cleavage of the inactive pro-IL1 $\beta$  (33 kDa) and pro-IL18 cytokines, transforming them into the active forms IL-1 $\beta$  (18 kDa) and IL-18. IL-1 $\beta$  and IL-18 are then released.

Therefore, the inflammasome is a multimeric protein complex that functions as a sensor. The NLRP3 inflammasome can be activated by a wide range of molecular stimuli, represented by PAMPs, released during viral, bacterial, fungal or protozoan infection<sup>24-27</sup>, or by DAMPs, of endogenous or exogenous origin, linked to cellular events<sup>48</sup> such as extracellular release of ATP, disturbances of the cytoplasmic concentration of  $K^+$  and  $Ca^{++}$ <sup>53-57</sup>, mitochondrial dysfunction, stress Endoplasmic reticulum, reactive oxygen species (ROS)<sup>48</sup>, release of oxidized mitochondrial DNA, release of cathepsin from lysosomes<sup>50</sup>, cholesterol, monosodium urate crystals (MSU), beta amyloid ( $A\beta$ ) plaques, silica or asbestos<sup>28-33</sup>.

ROS play an important role in the activation of NLRP3. Oxidative stress promotes the accumulation of poorly folded proteins that cause strain in the endoplasmic reticulum and activate the NF $\kappa$ B. The destabilization of lysosomes can be at the origin of the activation of the NLRP3. Following the phagocytosis of large particles of silicon, asbestos, cholesterol<sup>6,9</sup>, uric acid,  $\beta$ -amyloid, they can release the cathepsin B protease, induce efflux of  $K^+$  ions and release into the cytosol  $Ca^{++}$  ions, causing the activation of the inflammasome<sup>58</sup>. Mitochondria are fundamental in cellular homeostasis and constitute a platform for the activation of NLRP3. ROS, Extracellular acidosis<sup>11</sup>, increased  $K^+$  efflux and increased intracellular  $Ca^{++}$  concentration are all factors that induce mitochondrial dysfunction, that causes release of mitochondrial DNA (oxidized by the action of ROS), cardiolipin and GTPase (Drp1 and mitofusin)<sup>59</sup>. Oxidized mitochondrial DNA, cardiolipin, Drp1 and Mitofusina, activate NLRP3<sup>60,61,65</sup>. The MAVS protein, in addition to mediating the activation of IFN-I, contributes in activating the inflammasome<sup>62-64</sup>. The presence of extracellular histones also activates the NLRP3. Extracellular histones have been found in BALF (Bronchial Alveolus Lavage Fluid) of mice and humans suffering from acute lung injury (ALI) which benefited significantly from the use of anti-histone antibodies<sup>70-72</sup>. A moderate activation of the NLRP3 has a defensive value, helping to eliminate pathogens and promote tissue repair phenomena. On the other hand, exaggerated or prolonged activation damages the tissues and contributes to the pathogenesis of serious auto-inflammatory disorders, mediated by an abnormal overproduction of inflammatory cytokines<sup>49</sup>. Indeed, NLRP3 has been implicated in the pathogenesis of a series of complex diseases, such as type II diabetes, atherosclerosis<sup>35-39,9</sup>, obesity and gout<sup>40</sup>. An emerging role of NLRP3 has been reported in neurological diseases, including Alzheimer's disease and Parkinson's disease<sup>41,42</sup>. Abnormal activation of the NLRP3 inflammasome may contribute to the pathogenesis of intestinal carcinomas and kerato-conjunctivitis<sup>43-47</sup>.

The products of activated inflammasomes (IL-1 $\beta$  and IL-18) encourage the development of severe lung diseases associated with infectious diseases, sepsis, polytrauma (ALI / ARDS) and contribute to the development of VILI (Ventilator-Induced Lung Injury)<sup>66-69</sup>. After its activation, NLRP3 facilitates the self-cleavage of inactive pro-caspase 1 with active caspase 1 formation. Active caspase 1 promotes the proteolytic cleavage of immature pro-IL1 $\beta$  and pro-IL-18 cytokines with the formation of pro-inflammatory cytokines IL-1 $\beta$  and IL-18. IL-1 includes two isoforms: IL-1 $\alpha$  and IL-1 $\beta$ , both of which are powerful pro-inflammatory cytokines with different functional profiles. IL-1 $\alpha$  has a short range. IL-1 $\beta$ , on the other hand, has a systemic action. Both act by binding to the same receptor (the IL-1RI) which, in turn, recruits an accessory protein responsible for the transduction of the intracellular signal that leads to the activation of a plethora of genes with pro-inflammatory purposes. Unlike IL-1 $\alpha$ , IL-1 $\beta$  is produced in an inactive form (pro IL-1 $\beta$ ) which needs to be processed to give rise to the active form<sup>6</sup>. Given the devastating self-toxic and self-inflammatory potential of the inflammatory tool represented by IL-1 $\beta$ , by the inflammasome and by a type of inflammatory cell death called pyroptosis, induced by IL-1 $\beta$ , it is necessary a strict control over the multiple levels of these useful but dangerous pathways.

Impaired control of IL-1 $\beta$  production and action may be responsible for rare but severe autoinflammatory genetic pathologies, characterized by an increased activation of the inflammasome and consequently by the synthesis and release of IL-1 $\beta$  (CAPS, TRAPS, FMF). Even the lack of modulation of its action plays a role (DIRA). The body produces a natural inhibitor, IL-1Ra, which functions as a bait, competitively binding to IL1RI, with greater affinity than the two cytokines,

without activating the accessory protein, limiting the effects of IL-1 $\alpha$  /  $\beta$ . Mice without IL1Ra develop chronic synovitis<sup>17</sup> and lethal arteritis<sup>18</sup>.

### **Inflammasome, endothelitis, platelets and thrombosis**

The respiratory system represents the main way of penetration for the SARS CoV-2 virus. In addition, pneumonia is the most striking epiphenomenon of COVID-19 disease. However, considering the pathogenesis of the most severe forms, COVID-19 disease can be considered a vascular pathology<sup>137</sup>. That's because the endothelium and the coagulation process are fundamental in the progression of the pathology, up to the possible exitus<sup>138-140</sup>. The distinction is not purely speculative but has important therapeutic implications. Endothelial cells do not represent a simple mechanical barrier but a real regulatory organ, essential for vascular homeostasis and for host's defenses<sup>141</sup>. In physiological conditions the endothelium prevents thrombosis by regulating the behavior of platelets and the balance of the factors involved in coagulation and fibrinolysis<sup>141</sup>, and it helps to maintain local vascular tone and to modulate inflammation through the activation of leukocytes. Endothelial cells produce thrombomodulin, which activates protein C which, in turn, inactivates thrombin and prevents clot formation. In addition, the endothelium produces nitric oxide (NO) and prostacycline with a vasodilating action<sup>148</sup>. Some stress conditions, such as hypoxia and oxidative stress, activate the endothelium making it dysfunctional, so the antithrombotic mechanism becomes prothrombotic and antifibrinolytic<sup>148</sup>. The endothelium, once activated, expresses adhesion molecules and receptors and recruits neutrophils<sup>149,150</sup>. Endothelial cells release endothelin and the platelet activation factor, which contributes to vasoconstriction and platelet activation. The interaction of endothelial cells with platelets, leukocytes and pro-inflammatory mediators promotes blood clotting<sup>150</sup>. Therefore, under pathological conditions, the endothelium can promote thrombosis<sup>136</sup>. DAMPS can activate inflammasomes in various types of cells, both immune and epithelial: in platelets, neutrophils, monocytes, macrophages, epithelial cells, fibroblasts and even in endothelial cells. The inflammasome can act in any organ and system of the body. In vitro and in vivo researches in animals confirmed the ability of DAMPs to activate inflammasome in endothelial cells<sup>152-153</sup>. In vivo (mice), hemorrhagic shock activates the NLRP3, the production of IL-1 $\beta$  and the pyroptosis in the endothelial cells of the pulmonary vessels<sup>144,145</sup>. The inflammasome when activated at the endothelial cell level, modulates platelets and coagulation factors. The activated inflammasome causes local cell damage associated with a release of DAMPs (sterile inflammation). This creates a loop, with a self-amplifying effect, which contributes to the genesis of organ damage and to the propagation of the inflammatory response<sup>142</sup>. In addition to the effect of DAMPs, the innate immune system activates in response to the SARS CoV-2 virus, which penetrates the endothelial cell thanks to the presence of the ACE2 receptor expressed on its surface. Even if the trigger is represented by the virus, the formation of DAMPs, resulting from tissue damage, self-amplifies and propagates inflammation, helping to perpetuate local damage and generate the cytokine storm, spreading inflammation beyond the initial site. ROS also activate NLRP3 in pulmonary microvascular endothelial cells<sup>146</sup>, increasing the presence of IL-1 $\beta$  in the BALF. Endothelial damage causes: neutrophilic infiltration, vascular losses, alveolar and interstitial edema, release of pro-inflammatory cytokines in the lungs, loss of platelet regulation and coagulation factors, with thrombotic phenomena<sup>136</sup>. NETs are another way with which the the inflammasome NLRP3 can help determine mcrothrombosis and thromboembolism. Neutrophil extracellular traps (NETs) are web-like nuclear material derived from neutrophilic granulocytes and extruded into the extracellular environment in response to appropriate inflammatory stimuli. NETs formation are triggered in response to microbial cues and endogenous danger signals: reactive oxygen species (ROS), produced by NADPH oxidase or mitochondria, which activate myeloperoxidase (MPO), neutrophil elastase (NE) and protein-arginine deiminase type 4 (PAD4) to promote chromatin decondensation<sup>1</sup>. NETs promotes thrombo-occlusive disorders and tissue damage during acute inflammation or chronic inflammation<sup>2,14,15,154,155</sup>. The role of platelets goes beyond coagulation homeostasis. Indeed, platelets are involved in the inflammatory response. In response to



DAMPs, platelets, together with leukocytes, form aggregates that adhere to the parietal endothelium. Platelets synthesize inflammation mediators that increase the expression of adhesion molecules and the secretion of chemokines by endothelial cells. Hence, platelets modulate endothelial functions. Platelets promote the adhesion of leukocytes to the microvascular walls and their activation. White blood cells are then recruited at the vascular damage site level. Platelets, promoting phagocytosis and degranulation in neutrophils, reinforce the negative loop, accentuating and perpetuating organ damage. Inflammasomes and IL-1 $\beta$  are involved in the pro-inflammatory role of platelets, both in infectious and non-infectious pathologies. In fact, the NLRP3 can be activated in the platelets with the release of IL-1 $\beta$ . So, having been shown that NLRP3 activates endothelial cells and platelets and, under conditions of hypoxia<sup>10</sup>, enhances thrombosis<sup>153</sup>, we think that a better understanding of the role of inflammation in COVID-19 disease, understood as vascular disease, could help to identify new and effective therapeutic targets.

### **INFLAMMASOME, ALI/ARDS/VILI**

An exaggerated inflammatory response, dependent on the inflammasome, is involved in the pathogenesis of ALI / ARDS / VILI<sup>117,118</sup>. ALI and ARDS are clinical disorders burdened by high mortality, characterized by an excessive, progressive and uncontrolled inflammatory response, by an extensive infiltrate of macrophages and neutrophils and by a highly inflammatory cell death, called pyroptosis<sup>118,120</sup>. The development of ALI / ARDS requires the involvement of the NLRP3 inflammasome<sup>117, 136</sup>. Recent studies have suggested that the NLRP3 inflammasome plays a key role in the progression of ALI / ARDS caused by various pathogenic microorganisms, such as the influenza virus A<sup>122</sup>, *Pseudomonas aeruginosa*<sup>121</sup> and *Staphylococcus aureus*<sup>123</sup>. High levels of IL-18 were found in the ARDS, correlating with an unfavorable prognosis<sup>66,124</sup>. Antibodies against IL-1 $\beta$  and the IL-1R receptor antagonist attenuated the ALI in rodent models<sup>66,125</sup>. The presence of extracellular histones has been demonstrated in human and murine BALF of subjects with ALI. The administration of histone neutralizing antibodies attenuated disease<sup>125</sup>. IL-1 $\beta$ , IL-18 and caspase1 increased in the peripheral blood of ALI / ARDS patients<sup>117</sup>.

Histones are activators of the NLRP3 inflammasome<sup>127,128</sup>. The primary source of extracellular histones is represented by the recruited neutrophils that infiltrate the pulmonary alveoli and form TRAPs<sup>12,13</sup>. Histones activate NLRP3 inflammasomes which, in turn, promote the recruitment of neutrophils and the formation of TRAPs, from which extracellular histones originate. This situation creates another loop, by self-amplifying the soil on which ALI / ARDS<sup>117</sup> develops, contributing substantially to the worsening of the COVID-19 disease. Therefore, inhibition of extracellular histones or IL-1 $\beta$  can be an interesting option to fight ALI / ARDS and other inflammatory diseases. Mechanical ventilation determines a sterile inflammatory response, implicated in the development of VILI, and confirmed by the increase in IL-1 $\beta$  and IL-18 in BALF<sup>129-135</sup>. The gene deletion of NLRP3, caspase1, IL-18 and the use of neutralizing antibodies against IL-1 $\beta$  and IL-18 significantly reduce VILI. Cellular biomechanical trauma related to mechanical ventilation<sup>132</sup> induces the release of DAMPs that activate the inflammasome in ventilated mice.

### **Conclusions**

The NLRP3 inflammasome plays a crucial role in the pathogenesis of many auto inflammatory diseases, including severe forms of COVID-19. The modulation of the NLRP3 could be exploited, acting in the window of therapeutic opportunity, delimited by well-defined critical points, to prevent the progression of the disease towards ALI / ARDS, towards thrombosis and microthrombosis, towards multiple organ failure (MOF). For these reasons, randomized clinical trials are desirable to evaluate the effectiveness of NLRP3 inhibitors, to offer valid and personalized alternatives in the approach to a complex disease, such as COVID-19.

## Abbreviations:

ALI: acute lung injury  
 ARDS: : Acute respiratory distress syndrome  
 ALRs: AIM2-like receptors  
 BALF: Bronchoalveolar lavage fluid  
 CFR: Case Fatality Rate  
 CAPS: cryopyrin-associated periodic syndrome (CAPS)  
 CARD: caspase activation and recruitment domain  
 DAMPs: Damage-associated Molecular Patterns  
 DIRA: Deficiency of the interleukin-1–receptor antagonist  
 Drp1: Dynamin-related Protein Drp1  
 FMF: familial Mediterranean fever  
 GAD: Gasdermin-D  
 HLA-DR: human leucocyte antigen DR  
 IFN: Interferon  
 IL-1 $\beta$ : interleukin 1 $\beta$   
 IL-12: Interleukin 12  
 IL-6: Interleukin 6  
 IL-8: Interleukin 8  
 IL-10: Interleukin 10  
 IL-18: Interleukin 18  
 IL1Ra: Interleukin-1 Receptor Antagonist  
 ISGs: interferon-stimulated genes  
 LRR: leucine-rich repeat  
 MDA-5: Melanoma differentiation-associated protein 5  
 MAVS: Mitochondrial antiviral-signaling protein  
 MERS: Middle East Respiratory Syndrome  
 NETs: Neutrophil Extracellular Traps  
 NFkB: nuclear factor kappa-light-chain-enhancer of activated B cells  
 NLRP3: NLR family pyrin domain containing 3  
 NOD: nucleotide-binding oligomerization domain  
 NLRs: NOD-like receptors  
 PAMPs: pathogen-associated molecular patterns  
 PRRs: pattern-recognition receptors  
 PYD: Pyrin Domain  
 RIG-I: retinoic acid-inducible gene I  
 ROS: Reactive oxygen species  
 RLRs: RIG-I-like receptors  
 SARS: Severe acute respiratory syndrome  
 TNF- $\alpha$ : Tumor Necrosis Factor  $\alpha$   
 TLRs: Toll-like receptors  
 TRAPS: TNF receptor-associated periodic syndrome  
 VILI: ventilator-induced lung injury

Declaration of interests:

We declare no competing interests.

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