

1 *Research Article*

2 **Evidence-Bases Complementary and Alternative Medicine**

3 **Complementary application of the Ozonized Saline Solution in** 4 **mild and severe patients with pneumonia Covid-19 a non-** 5 **randomized pilot study**

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15 Received: date; Accepted: date; Published: date

16 **Abstract**

17 Currently, there is no effective antiviral therapy recommended for the new coronavirus
18 disease 2019 pneumonia (COVID-19). The purpose of this pilot study was to evaluate the
19 safety of Ozonized Saline Solution (O₃SS) used as a complementary therapy in adult patients
20 COVID-19. Twenty-five adult patients who were hospitalized with mild to severe COVID-
21 19 symptoms, who met the inclusion criteria and were being treated from April 18rd to April
22 26th, 2020, at the Viamed Virgen De La Paloma Hospital, Madrid, Spain were included in
23 this study. Patients were allocated to receive standard care (SC) that included 200-400 mg
24 hydroxychloroquine twice daily for 5-7 days plus Tocilizumab 400 mg twice daily for 5 days,
25 low molecular weight heparin (LMWH) and 40 mg-60 mg metil-prednisone plus O₃SS, 200
26 mL, 3-5 µg/mL daily for 10 days. No control group was included, data were compared to
27 clinical trials in this subject. Primary outcomes of treatment with O₃SS were an improvement
28 of clinical symptoms and a reduction in mortality. Secondary end points evaluated included
29 participant clinical status, laboratory examinations, and duration of viral shedding. None of
30 the patients treated with SC + O₃SS died. Improvements in symptoms such as dyspnea,
31 weakness, and reduction in body temperature were observed and corresponded with an
32 improvement of laboratory finding including D-dimer, fibrinogen, LDH, and CRP. No side
33 effects from the O₃SS treatment were observed. Conclusions: COVID-19 patients with mild
34 to severe symptoms who received intravenous O₃SS as a complementary therapy
35 demonstrated no side effects. This preliminary data will be served as base for a future study
36 of the efficacy of this therapy.

37 **Keywords:** ozone therapy; ozonized saline solution; SARS-CoV-2; COVID-19; pneumonia.
38

39 **1. Introduction**

40 A coronavirus named Severe Acute Respiratory Syndrome Coronavirus-2 (SARS-CoV-2)
41 was isolated as the causative agent of severe pneumonia. This infections has been termed
42 Coronavirus Disease 2019 (COVID-19) by the World Health Organization (WHO) who
43 officially declared COVID-19 a pandemic on March 11, 2020 [1]. COVID-19 rapidly spread
44 into at least 213 countries and killed more than 500,000 people by June 30, 2020. There are
45 not specific therapies available to treat the Covid-19 infection.

46 Hydroxychloroquine (HCQ) and chloroquine (CQ) have garnered unprecedented
47 attention as potential therapeutic agents against COVID-19. Apart from their antimalarial
48 use, they have also shown an in vitro activity against COVID -19 [2]. However, there is a
49 growing body of scientific data of their side effects particularly in QTc prolongation and
50 cardiac arrhythmias [3].

51 There are multiple physiological pathway dysregulations that appear to be disrupted by
52 the SARS-CoV-2 and related viruses. Angiotensin-converting enzyme (ACE2) has recently
53 been identified as the SARS-CoV-2 receptor. The ACE2 system is a critical protective
54 pathway from inflammatory injuries due to excess oxidative stress. An unregulated ACE2
55 dysfunction worsens COVID-19 and could initiate multi-organ failure. The imbalance in the
56 action of ACE1 and ACE2-derived peptides [Angiotensin II (Ang II) and Angiotensin 1-7
57 (Ang 1-7), respectively] may explain most of the pathological consequences of SARS-CoV-
58 2 infections [4].

59 Health risk factors that predispose COVID-19 patients for a progression of the disease
60 to more advanced stage include proinflammatory conditions such as hypertension, diabetes
61 and cardiovascular disease [5]. Earlier studies of the related SARS-CoV virus infections of
62 primates suggest that the severe lung injury was due to an exacerbated inflammatory
63 response mediated through an activation of the innate immune system and an upregulation
64 of the NF- κ B pathway [6]. A recent human study demonstrated that in critically ill patients,
65 the SARS-CoV-2 virus cause an exacerbated inflammatory response which is not self-
66 limiting, it is uncontrolled generating an inflammatory cytosine storm. This is happening
67 because, in theory the lymphocytes NK and T cytotoxic (CD8 and CD4+) should inhibit the
68 macrophage activity, but by down regulation this inhibition does not occur because the
69 infected cells lack Major Histocompatibility Complex, who should inhibit the action of the
70 macrophage. This is caused a suppression of functional lymphocytes (lymphopenia) resulting
71 in decreased immune function and increased susceptibility to infection [7]. Additional animal
72 studies have demonstrated that the pulmonary fibrosis that is a hallmark of the SARS disease
73 process is mediated through an induction of TGF-beta1 by way of an upregulation of the
74 ROS/ p38 MAPK/ STAT3/Egr-1 pathway both in vitro and in vivo [8]. Host genetics may
75 also play a role in pathogenesis since studies on knock-out mice have demonstrated that a
76 genetic deficiency of ACE2 receptors resulted in a reduction of Ang 1,7 thus increasing
77 oxidative stress and susceptibility to advanced disease progression [9].

78 Medical ozone (O₂/O₃) at a low dose which is produced by a mixture of oxygen (carrier)
79 and ozone (active component) in a carrier of 99.9% pure oxygen. Medical ozone therapies
80 (O₃X) have been demonstrated to be effective in treating a range of human pathologies that
81 have a physiological basis of inflammatory dysregulation (oxidative stress). Properly dosed
82 and timed treatments have the ability to induce endogenous oxidative preconditioning [9].

83 Potentially, O_{3X} may improve the symptoms of COVID-19 acting as an inductor of
84 adaptation to OS, a modulator of pro-inflammatory cytokines and improving tissues
85 oxygenation [10]. A preliminary case report shown the benefit of the treatment with ozone in
86 two COVID-19 patients in China [11] also in 18 patients treated in Ibiza (Spain) as part of a
87 single-center prospective cohort study [12]. These findings allowed us to evaluate the safety
88 and efficacy of ozonized saline solution (O₃SS) in patients with mild to severe COVID-19
89 as a complementary therapy. Here, we report data from 25 patients who received standard
90 care (SC) plus O₃SS. The results suggest that O₃SS as a complementary therapy accelerates
91 the recovery of the patients, stabilizes their biochemical index, reduces the need for oxygen
92 support and shows no side effects.

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94 **2. Materials and Methods**

95 The complementary application of O₃SS was done in accordance with the principles of the
96 Declaration of Helsinki [13] and the Good Clinical Practice Guidelines of the International
97 Conference on Harmonization [14]. All patients and/or legal representatives were informed
98 about the objectives and risks of participation. They were given time to carefully read and
99 sign the informed consent form. Random online clinical monitoring and quality control were
100 performed. A virtual independent data safety and monitoring board (DSMB), composed of
101 O_{3x} experts, clinicians, and experts in infectious diseases from AEPROMO (Spanish
102 Association of Medical Professionals in Ozone Therapy) and ISCO3 (International Scientific
103 Committee of Ozone Therapy), was selected to review the protocol and hold daily meetings
104 to follow the daily results of the application of O₃SS. The trial was reported according to the
105 Consolidated Standards of Reporting Trials (CONSORT) reporting guideline [15]. The pilot
106 study protocol was approved by the site hospital direction (fast tract) on April 17th and the
107 full randomized clinical trial by the Regional Ethics Committee of Madrid (Number 05/20)
108 on May 18th. This manuscript is a partial report (pilot study) of the full study involved the
109 comparison between two parallel groups SC alone and SC plus O₃SS. Pilot study will not
110 publicly register because data acquisition will serve to perform the full randomized clinical
111 trial [EudraCT number, 2020-002425-28 and AEMPS number, 20-0381], that will be
112 publicly register and performed after administrative approved, using the pilot study
113 experience. Results will be reported in a future manuscript.

114 *2.1. Design and Site*

115 *2.1.1. Site*

116 The complementary application of O₃SS was done following the criteria of a pilot, open
117 label, phase III clinical trial, between April 18th to April 26th 2020, aiming to first treat
118 hospitalized patients with mild to severe respiratory syndrome secondary to SARS-CoV-2
119 infection COVID-19; and as a second aim, to assess the safety and efficacy of O₃SS. These
120 patients were hospitalized at the Viamed Virgen de la Paloma Hospital, Madrid (declared
121 COVID-19 center during the epidemic). The hospital has all source documents registered in
122 an electronic medical recording system. Clinical analyses, laboratory examinations, and
123 routine Chest radiographs are also available locally.

124 2.1.2. Participants

125 Hospitalized patients with clinical suspicion of COVID-19 (i.e., history of fever and any
 126 respiratory symptom, e.g., cough or rhinorrhea); male or female aged 18-98 years old at the
 127 time of inclusion; within 1 week of onset; who did not participate in other clinical studies
 128 within the last three months; willing and able to sign the informed consent for participation
 129 in the application of O₃SS; Chest radiographs confirmed pulmonary lesions (for moderate
 130 cases); were included. Patients were enrolled before laboratory confirmation of COVID-19
 131 by reverse transcription–polymerase chain reaction (RT-PCR Covid-19), considering that
 132 this procedure could delay inclusion. The flow chart (Fig. 1) presents clinical-epidemiologic
 133 suspected cases as well as cases already confirmed by RT-PCR Covid-19.

134 The exclusion criteria included: Female participants who were pregnant, lactating or
 135 planning pregnancy during the course of the trial. Patients with significant renal or hepatic
 136 impairment or with scheduled elective surgery or other procedures requiring general
 137 anesthesia during the application of O₃SS. Participants who had participated in any clinical
 138 trial involving an investigational product within the past 12 weeks prior to the study. Patients
 139 with G-6PD defect (Favism). Patients who continually used immunosuppressant, or were
 140 organ transplant recipients within the last 6 months. Patients with history of not controlled
 141 hyperthyroidism, unstable period of severe cardiovascular disease, copper or iron
 142 supplementation via IV or any situation that did not allow the patients safety during the study.
 143 The patient had to be transferred to a non-participating hospital within 72 h. Patients
 144 receiving copper or iron supplementation i.v.

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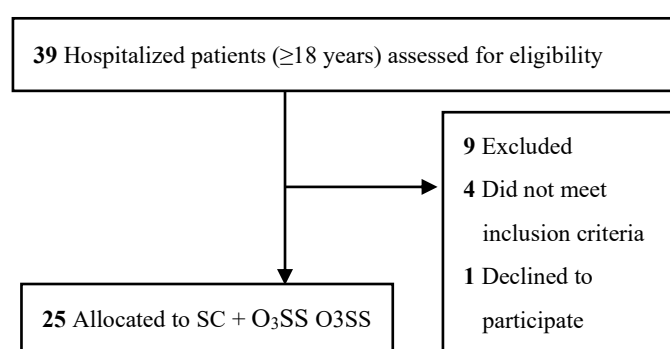
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Figure 1. Study flow chart. Eligible participants were allocated to receive standard care (SC), basically: (40 mg-60 mg methyl prednisone daily for 5-7 days plus Tocilizumab 400 mg twice daily for 5 days, low molecular weight heparin (LMWH) and hydrocortisone) plus O₃SS, 200 mL, 3-5 μ g/mL daily for 10 days) plus ozonized saline solution (O₃SS), 3-5 μ g/mL daily for 10 days.

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162 2.3. Sample Size Calculation

163 The sample size calculation for this pilot complementary application of O₃SS was
 164 estimated according to the suggestion of Whitehead A.L. et al [16]. Medium sample (25

165 subjects) was selected for a future main trial designed with 90% power and two-sided 5%
166 significance.

167 2.4. Procedures

168 According to hospital protocol, all patients meeting the same criteria of the study (i.e.,
169 acute respiratory distress syndrome) received intravenous ceftriaxone (250 mg – 2 g twice
170 daily for 7 days) plus azithromycin (500 mg once daily for 5 days), Enoxaparina (Clexane[®])
171 40 – 60 mg daily, HCQ 200 mg, methyl prednisone 40 mg or prednisone 5 mg systematically,
172 starting on day 0. Tocilizumab (Actemra[®]), 0,4 mg twice daily for 5 days, was also prescribed
173 when influenza infection was suspected.

174 O₃SS consists of bubbling and saturating 200 mL of sterile physiological solution (0.9%)
175 with O₂/O₃ mixture during 10 min, at concentrations ranging 3-5 $\mu\text{g}/\text{NmL}$. Keeping
176 bubbling, using the infusion set, the solution was administered i.v. (through the basilic
177 brachial or cephalic veins) during (15 to 30) min. Ozonation (bubbling) was stopped when
178 about 50 mL of liquid remaining in the bottle [17]. Patients received SC plus O₃SS. The first
179 5 days the bubbling concentration used was 5 $\mu\text{g}/\text{NmL}$ (total dose per day 250 μg of O₃),
180 administered daily. In the following 5 sessions, the bubbling concentration was lowered to 3
181 $\mu\text{g}/\text{NmL}$ (total dose per day 150 μg of O₃), and administered daily. Patients received 10
182 sessions of O₃SS in total. The concentration of ozone was measured by a build in
183 spectrophotometer in the ozone generator (254 nm). The concentration of ozone in saline
184 solution during the continuous bubbling flow was calculated as $\frac{1}{4}$ of the bubbling
185 concentration [18]. Under this ozonisation condition it has been demonstrated that no H₂O₂
186 or HOCl appeared in relevant concentration (H₂O₂ not exceeding 0.0004 % [19] HOCl
187 concentration are less than 0.001 g/mL [20]. The ozone decomposition processes in NaCl 0.9
188 % aqueous solutions is not accompanied by formation of products other than oxygen [21].

189 Ozone was generated by a medical class IIb CE device (Ozonobaric P[®], SEDECAL[®],
190 Spain). The container that administered the solution was disposable, made of medical-grade
191 materials, free of phthalates and fully compatible with ozone. It had a classification as
192 medical device class IIb obtained from Bexozone[®] (Bexen medical[®], Spain). Physiological
193 Saline Solution (NaCl 0.9 %) from (Lab. ERN, Spain) was used.

194 Clinical parameters were measured daily by the routine clinical staff from day 0 to
195 discharge or death, and then at day 28 for discharged patients, to assess efficacy (day 7 and
196 14) and safety outcomes. Laboratory parameters and electrocardiograms were performed at
197 the clinician's discretion. Data were recorded on the case report form and then transferred
198 into an electronic database (Excel[®], Microsoft[®]), which were further validated by external
199 trial monitoring staff.

200 Dyspnea was scaled as: Grade 0, no dyspnea; grade 1, slight dyspnea; grade 2, moderate
201 dyspnea; grade 3, severe dyspnea; grade 4 very severe dyspnea [22]. Weakness was scaled
202 as: 0, paralysis; 1, severe weakness; 2, slight weakness; 3, normal strength [23].

203 2.1. Outcomes

204 Safety outcomes included adverse events that occurred during treatment, serious adverse
205 events, and premature or temporary discontinuation of treatment. Adverse events were

206 classified according to the National Cancer Institute Common Terminology Criteria for
207 Adverse Events. The null hypothesis was that the complementary application of O₃SS in the
208 experimental group would have a mortality rate that was 50% lower than the mortality
209 reported for only SC by day 14. Thus, the primary end point was mortality by day 14.
210 Secondary end points included participant clinical status, laboratory examinations, chest
211 radiographs on days 7 and 14, daily clinical status during hospitalization, duration of
212 mechanical ventilation (if applicable) and supplementary oxygen (if applicable), and the time
213 (in days) from treatment initiation to death. Here we present analyses until day 14, with
214 lethality as the primary outcome. Virologic measures included viral RNA detection was
215 performed daily until 2 consecutive negative values was obtained.

216 To evaluate the efficacy outcome the seven-category ordinal scale was used, and
217 consisted of the following categories: 1, not hospitalized with resumption of normal
218 activities; 2, not hospitalized, but unable to resume normal activities; 3, hospitalized, not
219 requiring supplemental oxygen; 4, hospitalized, requiring supplemental oxygen; 5,
220 hospitalized, requiring nasal high-flow oxygen therapy, noninvasive mechanical ventilation,
221 or both; 6, hospitalized, requiring extracorporeal membrane oxygenation, invasive
222 mechanical ventilation, or both; and 7, death [24]. Disease severity was defined as: Mild, No
223 signs of pneumonia on imaging; Moderate, Fever and respiratory symptoms with
224 radiological findings of pneumonia; Severe Dyspnea, respiratory frequency $\geq 30/\text{min}$, blood
225 oxygen saturation $\leq 93\%$, PaO₂/FiO₂ ratio < 300 , and/or lung infiltrates $> 50\%$ within 24–
226 48 h; Critical Respiratory failure, septic shock, and/or multiple organ dysfunction/failure
227 [25].

228 **2.2. Laboratory Analysis**

229 Hematology and biochemistry analyses were performed in automatized machines.
230 Samples (2 nasopharyngeal or 1 oropharyngeal swabs) were submitted to Novel Coronavirus
231 (2019-nCoV) Real Time RT-PCR test, using a kit from Biopath-Unilabs (France) by Cobas
232 z480 qPCR (Roche), with the use of LightMix Modular SARS-CoV-2 (COVID19).
233 Sampling did not stop when a swab at a given time point was negative. Baseline throat swabs
234 were tested for detection of E gene, RdRp gene, and N gene, and samples on the subsequent
235 visits were qualitatively detected for E gene.

236 **2.3. Statistical Analysis**

237 Descriptive statistics were used for demographic, laboratory, and clinical data. To assess
238 the safety of the SC + O₃SS compared to SC, the proportion (and 95% CI) of deaths in SC +
239 O₃SS group was compared with the historical proportion (and 95%CI) of deaths in patients
240 who did not use O₃SS in Spain and Europe in the same period [26-28]. For qualitative
241 variables, χ^2 tests and Fisher exact tests were performed. We used the *t* test or Mann-Whitney
242 test to compare means and medians. The Wilcoxon signed-rank test and Hodges–Lehmann
243 estimate was used to compare inter quantile ranges (IQR). Statistical analyses were
244 performed in IBM SPSS statistic version 17, and a 2-tailed $P < 0.05$ was considered
245 significant.

246 3. Results

247 3.1 Demographic and clinical characteristics

248 A total of 25 patients that were allocated to the SC + O3SS group completed the study.
 249 (Fig. 1). Some patients (4 of 25 [16%]) had COVID-19 confirmed a posteriori by reverse
 250 transcription–polymerase chain reaction testing. The patients with initial unconfirmed
 251 disease who had clinical and epidemiological presentation compatible with COVID-19 were
 252 analyzed together. Overall baseline characteristics are presented in Tab. 1.
 253

Table 1: Demographic and clinical findings of patients at baseline.¹

Variable	Total	Men	Women
n	25	11	14
Age, Median (Min-Max) years	55 (30-95)	55(30-90)	55(45-95)
Current Smoker n (%) ²	2 (8)	2 (18)	0
History of drug abuse n (%)	1 (4)	1 (9)	0
Comorbidities n (%)			
Hypertension	4 (16)	4 (36)*	0
Asthma	3 (12)	2 (18)	1 (7)
Hypothyroidism	3 (12)	1 (9)	2 (14)
Obesity	2 (8)	2 (18)	0
Alcohol use disorder	1 (4)	1 (9)	0
COPD	1 (4)	1 (9)	0
Rheumatic diseases	1 (4)	1 (9)	0
Raynaud's syndrome	1 (4)	0	1 (7)
Tuberculosis	1 (4)	1 (9)	0
Chronic kidney disease	1 (4)	1 (9)	0
Diabetes	1 (4)	0	1 (7)
Heart disease	1 (4)	1 (9)	0
Peripheral arterial disease	1 (4)	0	1 (7)
Oxygen therapy on admission	14 (56)	10 (90)*	4 (28)
Body temperature, °C			
<37.5	12 (48)	3 (27)*	9 (64)
37.5-38.0	1 (4)	1 (9)	0
38.1-39.0	12 (48)	7 (63)*	5 (35)
Blood pressure (mm Hg)			
Systolic, Mean (Min-Max)	120 (110-151)	120 (110-151)	120 (110-125)
Diastolic, Mean (Min-Max)	80 (70-90)	80 (70-90)	80 (70-80)
O ₂ saturation, Median (Min-Max) %	93 (80-98)	90 (80-93)	93 (83-98)
Clinical symptoms ³			
Weakness n (%)	21 (84)	9 (82)	12 (86)
Dyspnea n (%)	19 (76)	10 (91)	9 (64)
Dry cough n (%)	14 (56)	5 (45)	9 (64)
Anosmia n (%)	12 (48)	5 (45)	7 (50)
Polymyalgia n (%)	9 (36)	3 (27)*	6 (43)
Headache n (%)	8 (32)	2 (18)*	6 (43)
Diarrhea n (%)	6 (24)	3 (27)	3 (21)

254 Legend: 1. In all cases the race was white; 2. No former smoker was found; 3. Symptoms with frequency
 255 lower than 20 % n (%): cough with phlegm 4(16); central chest pain 4(16); pharyngodynia 3(12); abdominal
 256 distension 3 (12); abdominal colic 3 (12); flatulence 2(12); lateral chest pain 3(12); lower limb edema 1(4)
 257 and oliguria 1(4). COPD, chronic obstructive pulmonary disease. *, significant difference (p<0.05), χ^2 tests
 258 for proportion between gender.

259 Baseline characteristics show an overall median (min -max) age of 44 (30 -95) years and
 260 a predominance of women (14 [65%]). The most frequent comorbidities were hypertension
 261 (4 of 25 [16%]), asthma (3 of 25 [12%]), hypothyroidism (3 of 25 [12%]), smoking (2 of 25
 262 [8%]), and obesity (2 of 25 [8%]). Hypertension was more frequent in male vs female (4 of
 263 11 [36%] vs 0). On admission, oxygen support was required in 14 of the 25 patients (56%),
 264 which was more frequent requirement in males vs females (10 of 11 [90%] vs 4 of 14 [28%]).

265 Baseline body temperature was greater than 37.5 °C in 13 of 25 patients (52%); with a greater
 266 frequency in males vs females (8 of 11 [72%] vs 5 of 14 [35%] respectively). Main presenting
 267 clinical symptoms were weakness (21 of 25 [84%]), dyspnea (19 of 25 [76%]), dry cough
 268 (14 of 25 [56%]) and anosmia (12 of 25 [48%]). Polymyalgia and headache were present
 269 more frequently in females (both 43%) than in males (27% and 18 % respectively).

270 Laboratory findings (Tab. 2) show borderline low levels of hemoglobin in male patients.
 271 Increased levels of serum ferritin, fibrinogen, D-dimer, LDH, CPR, ALT and AST was found
 272 in all patients. Serum ferritin values were significantly ($p < 0.05$) higher in women as
 273 compared to men and CRP was significantly ($p < 0.05$) higher in men as compared to women.
 274 All patients were positive for qualitative SARS-CoV-2 PCR at baseline.

275 Table 2: Laboratory and radiographic findings of patients at baseline.

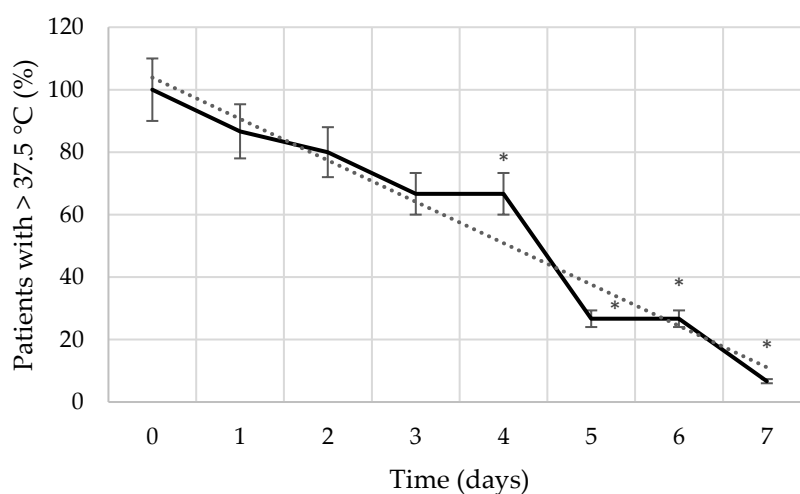
Variable	NR	Total	Men	Women	
n		25	11	14	
Leucocytes count, mean \pm SD	(4.5 – 11) $\times 10^9$ cells /L	7.00 \pm 3.68	5.97 \pm 1.86	8.30 \pm 4.97	
Lymphocytes count, mean \pm SD	(1.0 – 4.8) $\times 10^9$ cells /L	1.49 \pm 1.64	1.35 \pm 0.52	1.67 \pm 2.46	
Platelets count, mean \pm SD	(150-450) $\times 10^9$ cells /L	287 \pm 100	270 \pm 99	309 \pm 101	
Eosinophils, mean \pm SD	(0-0.4) $\times 10^9$ cells /L	0.04 \pm 0.05	0.03 \pm 0.04	0.06 \pm 0.06	
Hemoglobin, mean \pm SD	Male 138-172 g/L Female 120-156 g/L	135 \pm 16	131 \pm 15 ↓	140 \pm 16	
Serum Ferritin, mean \pm SD	Male 18-350 μ g/L Female 18-204 μ g/L	561 \pm 567 ↑	335 \pm 256 ↑*	829 \pm 718 ↑	
Fibrinogen, mean \pm SD	2 – 4 g /L	7.6 \pm 3.2 ↑	6.7 \pm 3.2 ↑	8.7 \pm 3.0 ↑	
D-Dimer, mean \pm SD	< 250 μ g/L	905 \pm 769 ↑	807 \pm 695 ↑	1030 \pm 872 ↑	
LDH, mean \pm SD	< 270 U/L	423 \pm 182 ↑	333 \pm 111 ↑	538 \pm 194 ↑	
ALT, mean \pm SD	< 48 U/L	68 \pm 58 ↑	50 \pm 21 ↑	91 \pm 80 ↑	
AST, mean \pm SD	< 42 U/L	49 \pm 22 ↑	39 \pm 16	61 \pm 24 ↑	
CRP, mean \pm SD	< 10 mg/L	33.7 \pm 71.0 ↑	46.9 \pm 86.1 ↑*	9.2 \pm 9.5	
Radiologic findings					
GGOI	Unilateral n (%)	4 (16)	1 (9)	3 (21)	
	Bilateral n (%)	6 (24)	3 (27)	3 (21)	
Pleural effusion	n (%)	5 (20)	4 (16)	1 (7)	
Pulmonary auscultation					
Rales	Unilateral n (%)	2 (8)	1 (9)	1 (7)	
	Bilateral n (%)	8 (32)	5 (45)	3 (21)	
Rales / Rhonchi	Bilateral n (%)	3 (12)	2 (18)	1 (7)	
	Unilateral n (%)	1 (4)	1 (9)	0	
Disease severity					
	Mild disease (4) ²	n (%)	6 (24)	1 (9)	5 (36)
	Severe disease (5) ²	n (%)	19 (76)	10 (91)	9 (64)

276 Legend: 1. Viral RNA SARS-CoV-2 load in throat swabs sample; 2. Value according to seven-category
 277 ordinal scale; ALT, Alanine aminotransferase; AST, Aspartate aminotransferase; GGOI, Ground-glass
 278 opacity infiltration; LDH, Lactate dehydrogenase; NR, Normal Range; CRP, C-reactive protein; ↑ above the
 279 reference range; ↓ below the reference range; disease severity was done according the criteria of Chinese
 280 Center for Disease Control and Prevention [25]. No significant difference ($p > 0.05$), χ^2 tests was found
 281 between data expressed as proportion; *, significant difference ($p > 0.05$) between gender within the same
 282 series.

283 The most common radiographic finding on a chest radiograph was ground-glass opacity
 284 infiltration (Tab. 2), in 40 % of patients and pleural effusion in 20 %. Pulmonary auscultation
 285 found rales, rales/rhonchi and wheezing sound in 56 % of the patients. A majority of patients
 286 fit the severe disease status (76%) and 6 (24%) meet the criterium of mild disease.

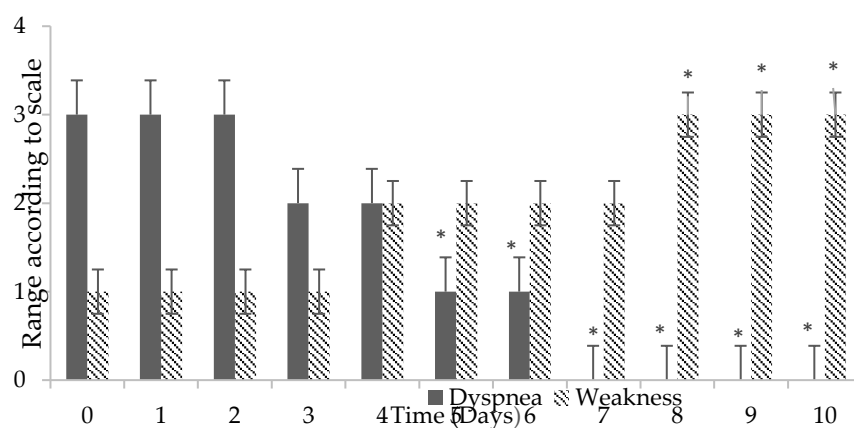
287 3.2 Clinical outcomes

288 Overall mortality rate in our group patients was zero. Safety outcomes were evaluated
 289 at 7 and 14 days. Haematological and laboratory findings did not undergo notable
 290 modification with the application of O3SS therapy. No decrease in haemoglobin levels, or
 291 increased in LDH, ALT or AST compared to baseline were found. No side effects associated
 292 with the investigational drug (O3SS) were detected. Occurrence of epistaxis was detected in
 293 3 patients between days 3-4 of treatment with a suspension of heparin reversing the
 294 symptoms. Progressive reduction of body temperature was observed in patient with >37.5
 295 $^{\circ}\text{C}$ at baseline (Fig. 2a). From the day 3 a significant ($p<0.05$) reduction was found, at day 8,
 296 all patients return to values of body temperature lower than 37.5 $^{\circ}\text{C}$.
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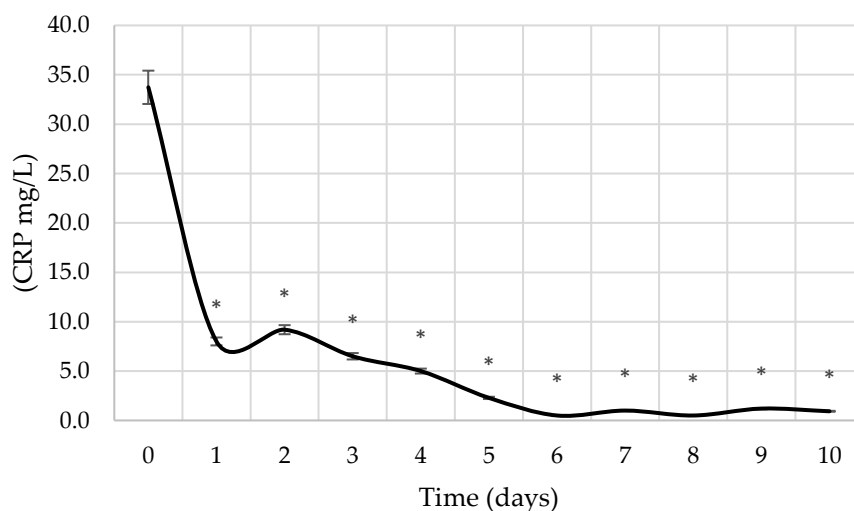
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(a)



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(b)



(c)

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Figure 2: Change from baseline in: (a) body temperature (percent from baseline and - - trendline), error bars indicate 95% confidence intervals. * significant difference ($p < 0.05$), χ^2 tests was found between data expressed as proportion, compared to baseline value; (b) Dyspnea and weakness. For Dyspnea [22] and Weakness [23] score, see Material and Method; * significant difference ($p < 0.05$) compared to baseline value within the same series. (c) Change from baseline in C-reactive protein (CRP) * significant difference ($p < 0.05$) compared to baseline, values within the normal range (< 10 mg/L). Error bars indicate 95% confidence intervals.

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Dyspnea and weakness was gradually reduced (Fig. 2b). From day 7 dyspnea prevalence was reduced by 40 % (slight dyspnea) on day 14, only 1 patient (4 %) remained with this symptom. Weakness was improved on day 7 when 86 % of the patients passed from severe weakness to slight weakness. On day 14, 91 % of patients shifted from severe weakness to slight weakness. The CRP values (Fig. 2c) entered into the normal range within 24 h of the first application of the O₃SS.

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Serum ferritin, fibrinogen, D-dimer and LDH were progressively decreasing during the treatment (Fig. 3a). By day 10, fibrinogen and LDH values entered the normal ranges in all patients. ALT and AST were also decreased during this time and by the day 10 remained above the normal range in 7 of 25 patients (28%) and 9 of 25 patients (36%), respectively. The rate of decline in activity of ALT and AST by day 10 were 82 ± 117 U/L and 71 ± 65 U/L, respectively.

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Average duration of viral shedding was 8 days (IQR 6.0-11.5). None of the 25 patients withdrew throughout the application of O₃SS. The average duration of hospitalization from inclusion to discharge was 14 days (IQR 9.5-15) (Tab. 3). Efficacy outcome based on the seven-category ordinal scale shows at day 7 an improvement in 19 out of 25 patients (76%). Out of these 19 patients, 17 patients (68%) shifted from 5 to 3 and 2 patients (8%) shifted from 4 to 2 on the ordinal scale.

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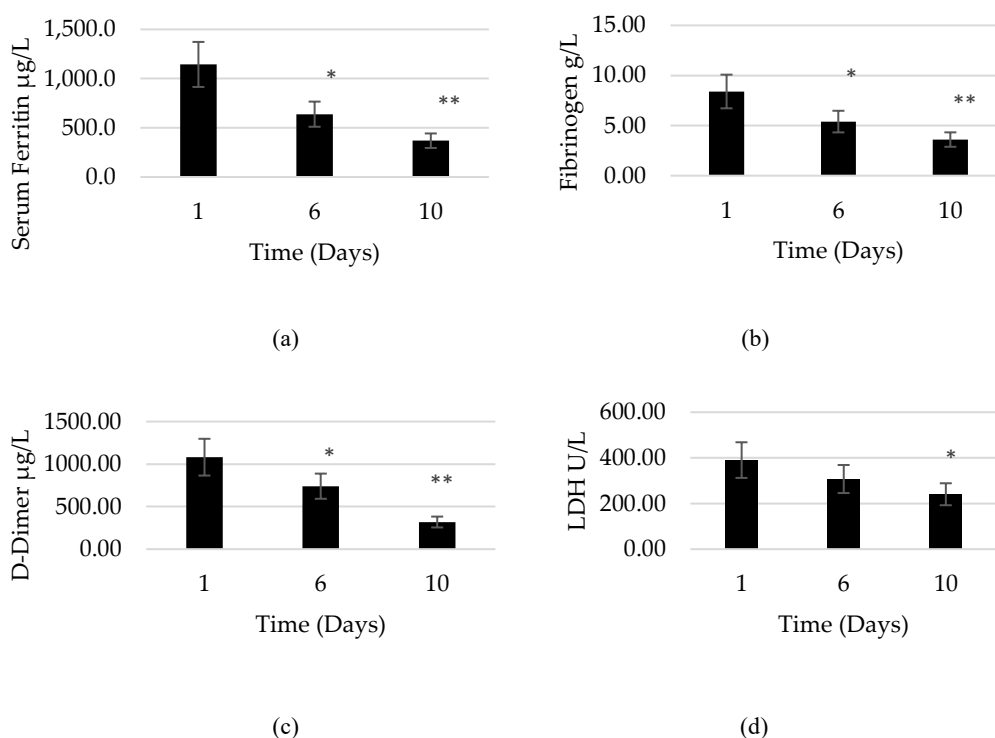
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By the end of the treatment with O₃SS (day 14) most of the patients (18 out of 25 [72%]) were in score 2 (discharge), (6 out of 25 [24%]) in score 3 (hospitalized, not requiring supplemental oxygen), and (1 out of 25 [4%]) was admitted to the intensive care unit (ICU).



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Figure 3: Follow-up of biochemical findings. (a) Serum ferritin; (b) Fibrinogen; (c) D-Dimer; (d) LDH. Values represented a mean \pm S.E.M. The baseline and follow-up values correspond to patients with biochemical index out of normal range that complete the three-point test: for serum ferritin, n=18; fibrinogen, n=10; D-dimer, n=10; LDH, n=20. *, significant differences ($p < 0.05$) compared to day 1; **, significant difference ($p < 0.05$) compared to day 1 and 6.

Table 3: Efficacy outcomes.

Characteristic	SC + O ₃ SS n=25
Hospital stay — median (IQR) no. of days	14 (11-18)
Time from inclusion to average discharge (IQR) no. of days	14 (9.5-15)
Oxygen support — days (IQR)	9 (6 - 14.5)
Score on seven-category scale at day 7 — no. of patients (%)	
2. Not hospitalized, but unable to resume normal activities	2 (8)
3. Hospitalization, not requiring supplemental oxygen	17 (68)
4. Hospitalization, requiring supplemental oxygen	4 (16)
5. Hospitalization, requiring HFNC or noninvasive mechanical ventilation	1 (4)
6. Hospitalization, requiring ECMO, invasive mechanical ventilation, or both	1 (4)
7. Death	0
Score on seven-category scale at day 14 — no. of patients (%)	
2. Not hospitalized, but unable to resume normal activities	18 (72)
3. Hospitalization, not requiring supplemental oxygen	6 (24)
4. Hospitalization, requiring supplemental oxygen	0
5. Hospitalization, requiring HFNC or noninvasive mechanical ventilation	0
6. Hospitalization, requiring ECMO, invasive mechanical ventilation, or both	1 (4)
7. Death	0

342 Legend: ECMO, extracorporeal membrane oxygenation; HFNC, high-flow nasal cannula for oxygen therapy;
343 IQR, interquartile range; SC + O₃SS, standard care (SC) plus ozonized saline solution (O₃SS).

344 **4. Discussion**

345 The COVID-19 pandemic represents a global public health crisis. Given the severity
346 with which this disease has unfolded, empirical treatment recommendations for COVID-19
347 are being made based on unpowered studies. Because of the mortality and morbidity
348 associated with the disease untested drugs with a questionable safety profile at higher doses
349 are being prescribed on a compassionate basis [29]. For facing this pandemic, the repurposing
350 of existing therapeutic agents happens to be the only pragmatical approach as urgent
351 response, as most of these drugs have already been tested for their safety [30]. These agents
352 can be classified into two categories: 1) Agents that directly target the virus replication cycle,
353 and 2) Agents based on immunotherapy approaches. “The development of vaccine represents
354 a more long-term strategy to prevent COVID-19 outbreaks in the future” [30]. O_{3x} has been
355 used to treat different pathologies including viral diseases [17]. There are well known
356 different mechanisms that presuppose the utility of O_{3x} in COVID-19 infection [10]. In this
357 indication, O_{3x} can be classified as immunomodulator, either boosting innate antiviral
358 immune responses or alleviating damage induced by dysregulated inflammatory responses.

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363 either boosting innate antiviral immune responses or alleviating damage induced by
364 dysregulated inflammatory responses.

365 The population distribution by age in this complementary application of O₃SS (30-50
366 years [28%], 50-70 years [52%] and >70 years [20%]) was in line with the international
367 epidemiologic data reported for this infection [31] and infected Spain population [26,27]. This
368 finding emphasises that subjects of any age can acquire COVID-19 infection. However,
369 adults of middle age and older are most commonly affected. The average age ranged in Spain
370 was 18 – 102 years [26] similar with the average age of our patients 55 (30-95). In others
371 studies of hospitalized patients with confirmed COVID-19, the average ranged from 49 to
372 56 years [32,33]. Comorbidities have been associated with severe illnesses and mortality,
373 however the results of application of O₃SS indicates that only (9 of 25 [36%]) patients did
374 not show comorbidities. The most frequently comorbidities were hypertension, asthma,
375 hypothyroidism, smoking and obesity, in line with the data available from infected patients
376 in Spain [26,27]. Except hypothyroidism, all other conditions are considered risk factors for
377 SARS-CoV-2 infection [34]. All patients were white, therefore an analysis of differences
378 between races was not performed. In general, more males were affected by the disease as
379 reported in cohorts studies from China, Italy, and the United States [35-37]. However, we
380 enrolled more women (14), than men (11), but an analysis of the ratio of gender incidence in
381 this case is not valid, because the small number of subjects. In addition, in a study performed

382 in Madrid in 2226 case, was found e relative more proportion of affected female patients
383 (51.8 %) [27].

384 Fever (defined as an axillary temperature over 37.5°C) is not a universal finding on
385 presentation of COVID-19. In our sample (13 of 25 [52%]) had fever at baseline (Tab. 1). In
386 a study of over 5000 patients who were hospitalized with COVID-19 in New York, only 31%
387 had a temperature >38°C at presentation [35]. In another study of 1099 patients from Wuhan
388 and other areas in China, fever was present in only 44% on admission but was ultimately
389 noted in 89% during the hospitalization [38]. In an epidemiological study in 18 European
390 hospitals in 1420 patients' fever was appeared in 45.5 % of the subjects [28]. Nevertheless,
391 our patients treated with O₃SS (Fig. 2a) had their febrility reduced gradually in line with their
392 favorable recovery. Nevertheless, our patients treated with O₃SS (Fig. 2a) fever reduced
393 gradually in line with their favorable recovery.

394 All clinical manifestations found in patients (Tab. 1) were similar to the clinical features
395 of the disease onset [39]. The main clinical manifestations of disease (dyspnea and weakness)
396 had a favorable course of resolution in O₃SS treated patients. On days 7 and 8 respectively
397 (Fig. 2b) these symptoms were found to be significantly ($p<0.05$) improved. Acute
398 respiratory distress syndrome (ARDS) is the major complication of patients with severe
399 disease. ARDS was shown in 20%, on average of 8 days after the onset of symptoms in a
400 study involved 138 COVID-19 patients; in this study mechanical ventilation was
401 implemented in 12.3% [39]. In addition, some patients with initially no severe symptoms
402 may progress over the course of a time (in a week). In another study, the median time to
403 dyspnea was 8 days [33]. However, without exceptions, our 25 patients after the treatment
404 with O₃SS, had a resolution of the dyspnea. Elevation of inflammatory markers (e.g., ferritin,
405 D-dimer, CPR), were observed in our COVID-19 patients, in line with the results of other
406 recent reports [40] and epidemiological report from Madrid in the same period [26]. Elevated
407 ferritin has also emerged as poor prognostic factors. Higher serum ferritin was associated
408 with ARDS development [41], in addition ferritin was raised in 72.4 % of patients in a study
409 involved 6 424 subjects in Madrid [26]. In addition, higher D-dimer was detected in 36% of
410 patients in a descriptive study of 99 COVID-19 cases in Wuhan, China [32] but lower from
411 the values reported in Madrid in the same period (61.5%) [26]. The higher levels of D-dimer
412 were significantly associated with increased risk of ARDS [42]. Increased disease severity
413 and ARDS development were associated with elevated CRP [40]. According to different
414 studies from China and Singapore, mean values of CPR in patients that did not require
415 supplemental O₂ were 11.1 (IQR: 0.9-19.1 mg/L); in patients that required O₂, 65.6 (IQR:
416 47.5-97.5 mg/L) [43] and in the mortality group, 109.25, (IQR 35.00-170.28 mg/L) [44]. In
417 our patients, in correspondence with their clinic improvement, the average baseline values
418 of CPR were 12.5 (IQR: 2.5-19.3 mg/L) after the first 24-48 h (Fig. 2c).

419 Fibrinogen was also higher in our sample, confirming that the hypercoagulation in
420 patients with SARS-CoV-2 represent an important complication. Higher level of D-dimer,
421 and fibrinogen was found in a clinical study, in COVID-19 patients, as compared with
422 healthy controls ($p<0.001$) [45]. In addition, high LDH level was significantly associated
423 with severe COVID-19 on admission [46]. In our findings administration of O₃SS reduced
424 the levels of inflammatory markers (e.g., ferritin, D-dimer, CPR, LDH) and fibrinogen as
425 marker of coagulation function (Fig. 3).

426 Radiographic findings and auscultation revealed signs of pneumonia in 60 and 56 % of
427 patient respectively (Tab. 2) similar to the results reported from COVID-19 patients in
428 Madrid in the same period, in which rales were present in 52.4% [26]. Chest radiographs
429 may be normal in early or mild disease. In a retrospective study of 64 patients in Hong Kong

430 with documented COVID-19, 20 % did not have any abnormalities on chest radiograph at
431 any point during the illness [47]. Main abnormal radiograph findings were consolidation and
432 ground glass opacities, with bilateral, peripheral, and lower lung zone distributions [48]. The
433 25 patients treated with O₃SS, bilateral signs of pneumonia were present only in 24-32% of
434 them, according to the radiographic findings or auscultation, respectively (Tab. 2). Normally
435 lung involvement increased over the course of illness, with a peak in severity at 10 to 12 days
436 after symptom onset [49]. However, in our patients, chest radiographs and auscultation
437 drastically changed after the third to fifth session of O₃SS, with both showing an
438 improvement of their status.

439 Time from inclusion to discharge in patients treated with O₃SS and SC was not
440 significantly different (>0.05) as compared to other report of patients treated only with SC
441 in Madrid in the same period (mean 10 days, range 1-62 d) [26]. However, the inclusion of
442 O₃SS as a complementary treatment, accelerated the improvement of patients in terms of
443 clinical symptoms (Tab. 3) and laboratory biomarkers (Fig. 2). This improvement avoided
444 the patient transit to critical status. In addition, the time to median duration of viral shedding
445 [8 days (IQR 6.0-11.5)] and longest duration of viral shedding (22 days) were reduced
446 compared to other reports of 20.0 days (IQR 17.0-24.0) and 37 days, respectively [50]. Non
447 death was recorded during the study at time 7 or 14 d. However, mortality rate in hospitalized
448 COVID-19 patients in Madrid in the same period was 20.7-21.1% [26,27].

449 The most probable mechanism associated to the low doses of ozone, using physiological
450 saline solution as a carrier and applied as a complementary therapy in COVID-19 patients,
451 will be thought the modulation of the “cytokines storm” through the balanced regulation of
452 the Nrf2/NF-κB pathway[10]. The potential benefit of ozone in these clinical condition’s
453 merits further research. Clinical study with this rational are already propose [51].

454 **5. Conclusions**

455 Results of this pilot study suggest that patients with mild to severe symptoms due to COVID-
456 19 disease by the inclusion of O₃SS treatment as a complementary therapy to standard care
457 was safe. No side effects were observed during the O₃SS treatment. The use of O_{3x} as
458 adjuvant treatment for the management of the infection by SARS-CoV-2 patients has
459 molecular and preclinical scientific evidence and clinical justification in term of
460 cryoprotection and control of the inflammatory response. Based on the results of this clinical
461 trial, it would be reasonable to conduct further clinical studies with this therapy on other viral
462 diseases with a similar clinical and pathophysiological profile. Without a sufficiently
463 powered randomized controlled trial, application of O₃SS cannot be recommended for
464 COVID-19 or other viral infections.

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466 **Data Availability**

467 The clinical data used to support the findings of this study are included within the article.
468 Individual patient data can obtain contacting the corresponding author by e-mail.
469 Pre-print article is available in <https://www.preprints.org/manuscript/202006.0233/v1>.

470 **Conflicts of Interest**

471 The funders had no role in the design of the protocol applied to the patients; in the collection,
472 analyses, or interpretation of data; in the writing of the manuscript, or in the decision to
473 publish the results. Gregorio Martínez-Sánchez is the President of ISCO3 (International
474 Scientific Committee of Ozone Therapy), Adriana Schwartz is the secretary of the ISCO3

475 and the president of AEPROMO (Spanish Association of Medical Professionals in Ozone
476 Therapy). This study was partially funded by AEPROMO.

477 **Funding Statement**

478 This complementary application of O₃SS was funded by AEPROMO and partially by SESMI
479 (Spanish Society of Health and Integrative Medicine); SEDECAL S.A. (Spanish Society of
480 Electromedicine and Quality) lent the ozone devices and donated consumables.

481 **Acknowledgments**

482 To all members of AEPROMO for their contribution. For their assistance in data collection:
483 Clara Barrachina and Fabricio Quintero. For corrections to the English style and wording:
484 Roberto Quintero, Ana Gutiérrez Gossweiler and Michel Gossweiler. For the technical
485 support: José Ramos.

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