

1 **The immunogenicity and safety of RSV vaccines** 2 **in development: a systematic review**

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Abstract

15

16 **Background:** Respiratory syncytial virus (RSV) is a leading cause of acute lower respiratory
17 infection globally. There are vaccines in pipeline to prevent it but a systematic review on
18 immunogenicity and safety of vaccine is lacking.

19 **Methods:** This systematic review of RSV vaccine clinical trials was undertaken using 4 databases.
20 Searches were conducted using both controlled vocabulary terms such as ‘Respiratory Syncytial
21 Virus, Human’, ‘Respiratory Syncytial Virus Infections’, ‘Respiratory Syncytial Virus Vaccines’,
22 ‘Immunization’, ‘Immunization Programs’ and ‘Vaccines’ and corresponding text word terms. The
23 searches for published papers were limited to clinical trials published from January 2000 to August
24 6th, 2018. RSV infection case was defined as RSV associated medically attended acute respiratory
25 illness (MAARI) or RSV infection by serologically-confirmed test (Western Blot) during the RSV
26 surveillance period. We calculated the relative risk of each vaccine trial with RSV infection case.

27 **Results:** Of 4395 publications, 24 were included and data were extracted covering 4 major types of
28 RSV vaccine candidates, these being live-attenuated/chimeric (n=9), recombinant-vector (n=10),
29 subunit (n=1) and nanoparticle vaccines (n=4). For RSV infection cases, 7 trials were involved and
30 none of them showed a vaccine-related increased MAARI during RSV surveillance season.

31 **Conclusion:** LID Δ M2-2, MEDI M2-2, and RSVcps2 (live-attenuated) were considered the most
32 promising vaccine candidates in infant and children. In the elderly, a nanoparticle F vaccine
33 candidate was considered as a potential effective vaccine. Although no promising vaccine was
34 identified from pregnant-women test, RSV F-024 subunit vaccine candidate and an RSV F
35 nanoparticle vaccine showed encouraging results in healthy non-pregnant women.

36 **Key words:** respiratory syncytial virus vaccine, clinical trial, safety and immunogenicity, RSV
37 promising vaccine

38 1. INTRODUCTION

39 Respiratory syncytial virus (RSV) is one of the main causes of acute lower respiratory infection
40 (ALRI), and commonly leads to pneumonia or bronchiolitis (1). The pattern of RSV infection in
41 humans shows a U-shaped age-curve, with peak disease rates in those younger than 5 years and
42 older than 65 years (2). A recent epidemiological study on children showed an estimated 33.1
43 million RSV-ALRI episodes globally in 2015, which resulted in about 3.2 million hospitalisations;
44 around 45% of the hospitalised patients were younger than 6 months old. The estimated annual
45 number of deaths was 59,600 in children aged younger than 5 years, with 46% happening in
46 children younger than 6 months (3). In the elderly, RSV was not thought to be serious until the
47 1970s, when it was discovered that there was spread of this virus in several long-term nursing home
48 facilities in the USA (4, 5). Since then, several studies have shown that RSV is an important cause
49 of illness in community-dwelling older people (6, 7). RSV may cause a similar burden of disease to
50 non-pandemic influenza A in older age groups (8). RSV is annually associated with around 177,000
51 hospitalisations and 14,000 deaths in US adults aged 65 years or older (8).

52 In 1955, RSV was first isolated from a chimpanzee with respiratory symptoms and designated
53 chimpanzee coryza agent. By microscopy, scientists saw giant syncytia in lung tissue (9). Hence,
54 this was named as respiratory syncytial virus. RSV is an enveloped RNA virus and belongs to the
55 family of *Paramyxoviridae*, classified within the genus *Pneumovirus*, and it can be separated into
56 two major subtypes, A and B. There are four important proteins on the surface of the RSV virion,
57 which are the attachment glycoprotein (G), the fusion (F) protein, the matrix protein (M) and the
58 small hydrophobic (SH) protein (10). The main human neutralising antibody is against the F protein
59 which enables the virus to fuse with the membrane of respiratory cells. It is highly conserved and
60 essential for viral viability. However, the RSV virus can make a conformational change to the F
61 protein to avoid antibody neutralisation. In contrast, the G protein focuses on the ciliated cells of the
62 human airway; variation of it is associated with subtype classification (11). Therefore, both of these
63 two antigens have been targeted by novel vaccine candidates (and also by monoclonal antibodies).
64 The function of M protein is thought to be in interaction with polymerised actin which destabilises
65 cellular microfilaments to transport virion components in the host cells (12). However, the function
66 of SH protein is not yet clearly known (13).

67 Adverse events associated with the development of an RSV vaccine in the mid-1960s delayed the
68 development of an RSV vaccine for decades. At that time, a formalin-inactivated (FI) RSV vaccine
69 was being tested for protective efficacy. It failed due to worrying results. A large proportion of the

70 study participants, who were exposed to natural RSV infection soon after vaccine recipients,
71 developed enhanced respiratory disease (ERD). Unfortunately, two of these children died because
72 of ERD. The subsequent investigation found that the FI vaccine did not produce neutralising
73 antibodies and also failed to elicit CD8+T cells. Instead, it induced an aggressive CD4+T cell and
74 cytokine response leading to ERD (14).

75 Importantly, there has been no recent systematic review on RSV vaccines. We divided respiratory
76 syncytial virus (RSV) vaccines under development into four major groups: particle-based, vector-
77 based, live-attenuated or chimeric, and subunit vaccines.

78 2. METHODS

79 2.1 STUDY OBJECTIVE

80 This study has four major aims: firstly, to systematically review the medical publications on clinical
81 trials of RSV vaccines from 2000 to August 6th, 2018 and describe immunogenicity and safety data
82 in the published journals; secondly, to evaluate the risk of RSV infection in vaccine recipients
83 during RSV follow-up season.

84 2.2 LITERATURE SEARCHES

85 The initial search for this systematic review of RSV vaccine clinical trials was undertaken by a
86 medical information specialist (Catherine King) using the following bibliographic databases: Ovid
87 Medline (1946 - July Week 4, 2018), Ovid Embase (1974 – 06 August 2018), the Cochrane Library
88 Database of Systematic Reviews (Issue 10 of 12, 2018) and Cochrane Central Register of
89 Controlled Trials (Issue 10 of 12, 2018). Searches were conducted using both controlled vocabulary
90 terms such as ‘Respiratory Syncytial Virus, Human’, ‘Respiratory Syncytial Virus Infections’,
91 ‘Respiratory Syncytial Virus Vaccines’, ‘Immunization’, ‘Immunization Programs’ and ‘Vaccines’
92 and corresponding text word terms. The searches were limited to items published from January
93 2000 to August 6th, 2018.

94 2.3 SCREENING

95 Items were screened using the inclusion/exclusion criteria (see. Table 1) by Jing Shan (JS). The
96 screening was cross-checked by Robert Booy (RB).

97 2.4 DATA EXTRACTION

98 A data extraction form was developed by JS in consultation with Robert Booy, Phil Britton,
99 Catherine King (RB, PB, CK). Information extracted included “title”, “name of first author”,
100 “source”, “national clinical trials’ number (NCT)”, “participants”, “vaccine candidate”, “study
101 type”, “outcome”, and “serious adverse events”. We focused on severe prognoses and decided to
102 limit descriptions to adverse effects that were a minimum of grade 3 (15).

103 2.5 EVALUATION OF DATA ANALYSIS

104 We aimed to summarise the RSV vaccine immunogenicity based on each paper’s definition of
105 “immune-response” (described in the relevant journal papers); commonly, for instance, a ≥ 4 -fold
106 rise in RSV neutralising antibody (NA) in seronegative children or a ≥ 3 -fold rise in NA in adults.
107 Moreover, I extracted the safety data based on the serious adverse events (SAE) presented in those
108 papers.

109 Seven studies looked at disease prevention: a case of RSV infection was defined as RSV-associated
110 medically attended acute respiratory illness (MAARI) or was serologically-confirmed (Western
111 Blot) during RSV surveillance season. Review Manager 5.3 was used for data analysis on a
112 personal computer. A fixed-effects model was used for data analysis, and a relative risk in
113 vaccinated group compared with unvaccinated group with 95% confidence interval (CI) was
114 calculated.

115 3. RESULTS

116 A total of 4395 publications were identified through the databases: we combined 175 from
117 Cochrane Library Database of Systematic Reviews (Issue 10 of 12, 2018) and Cochrane Central
118 Register of Controlled Trials (Issue 10 of 12, 2018), 2550 from Ovid Embase (1974 – 06 August
119 2018), 1670 from Ovid Medline (1946 - July Week 4, 2018). Of these, 1265 publications were
120 excluded as duplicates. A further 3106 publications were excluded which we found were not RSV
121 vaccine clinical trials. As a result, 24 publications were included covering the 4 major types of RSV
122 vaccine candidates, live-attenuated (n=9), subunit (n=10), vector-based (n=1), and nanoparticle
123 (n=4) (see Figure 1).

124 3.1 Live-attenuated/Chimeric vaccines

125 M2-2

126 The M2-2 gene mediates the transition from transcription to RNA replication, so its' deletion can
127 attenuate the virus. Meanwhile, it still elicits a neutralising antibody response (16). In 2015, Karron
128 and colleagues reported a MEDI M2-2 study on seronegative children aged 6-24 months. The result
129 was ≥ 4 -fold of neutralising antibody titres in 95% (19/20) vaccinees and a ≥ 4 -fold rise of anti-F
130 antibody in 90% (18/20) of vaccine recipients while there was no antibody rise in non-RSV infected
131 placebo recipients. Two grade 3 fever serious adverse events (SAE) occurred in this trial
132 (NCT01459198) (17).

133 Furthermore, two studies (NCT02237209 and NCT02040831) explored the safety and
134 immunogenicity of the LID Δ M2-2 vaccine in RSV seronegative children aged from 6-24 months.
135 LID Δ M2-2 appeared to have acceptable infectivity and immunogenicity: 90% (18/20) of vaccine
136 recipients had a ≥ 4 -fold rise in both neutralising antibody and anti-F IgG antibody. The placebo
137 group showed none with a 4-fold rise in the antibody. Importantly, the subsequent RSV season
138 surveillance showed 8 of 19 vaccinees had a ≥ 4 -fold increase in either neutralizing antibody or anti-
139 F IgG titres compared to pre-RSV season, but only in 2 of 9 placebo recipients. Therefore, this
140 indicated the vaccine's anamnestic response capability (18).

141 RSVcpts

142 Cold-passage (cp) mutagenesis is based on an alteration to render the virus temperature-sensitive
143 (ts) so that it can only replicate in the upper respiratory tract, not in the lungs. Therefore, it is used
144 in vaccine development (19, 20).

145 The 404, 248 and 1030 mutations are considered as the main attenuated genotypes determining
146 mutation (21). RSV cpts-248/404 vaccine is a lineage of RSVcpts vaccine product, which has been
147 studied in infants and children (22-24). RSV cpts-248/404 appeared to increase upper respiratory
148 tract congestion in 1-2 months old infants in a double-blind RCT. Because of concern regarding
149 pathogenicity of this vaccine virus, cpts-248/404 needs more attenuation for infants' use (25).

150 SH

151 The SH gene has been variously deleted to produce live-attenuated vaccine candidates. The
152 function of this gene is not yet known (13). Due to only 44% of infants in the two-dose group
153 versus no infants in the placebo group having a ≥ 4 -fold antibody rise in a double-blind RCT,
154 rA2cp248/404/1030 Δ SH needs further refinement regarding immunogenicity. No vaccine-related

155 serious adverse event was reported (26).

156 MEDI-599 is another SH deletion vaccine. Unfortunately, it showed increased medical attendance
157 due to lower respiratory infection in vaccinated children in a phase 1 double-blind RCT
158 (NCT00767416); hence, further study of its safety profile is needed (27).

159 Cold-passage/stabilised 2 (RSVcps2) is produced from MEDI-599 with stabilised 248 and 1030
160 mutations. In 2018, Buchholz and colleagues (21) reported a phase 1, RCT conducted in RSV
161 seronegative children aged from 6-24 months. It showed that a ≥ 4 -fold neutralising antibody rise
162 was seen in 59% of the vaccine group versus 13% in the placebo group. Furthermore, a ≥ 4 -fold
163 anti-F IgG antibody rise occurred in 68% of vaccinees versus 13% in the placebo group. However,
164 the same rate (50%) of respiratory tract infection and febrile events were in both the vaccine and
165 placebo group. Moreover, one serious adverse event in the vaccine group was posted (21).

166 MEDI-534

167 MEDI-534 is a vaccine candidate using a parainfluenza virus type 3 (PIV3) backbone genome,
168 which was altered to express RSV F protein (28). Three RCTs have been conducted to evaluate the
169 safety and immunogenicity in infants and children. In 2004, Belshe and colleagues published the
170 results of a Phase 1, double-blind RCT trial: 95% of children in the vaccine group had a ≥ 4 -fold
171 RSV neutralising antibody rise while no placebo recipient had a similar rise. There was also
172 evidence for antibody elicitation against PIV3. This study supported the further study of MEDI-534
173 (29). Gomez and colleagues reported a Phase 1, double-blind RCT; it showed this vaccine induced
174 minimal immune responses in RSV seropositive children aged 1 to 9 years (NCT00345670). There
175 was no significant difference in the side-effect event rates between the vaccine and placebo groups
176 (30). Thirdly, a Phase 1, double-blind RCT was conducted in 49 RSV/PIV3 seronegative children
177 aged 6-24 months. The results were better in those given multiple doses (i.e. 2 or 3) and at a higher
178 dose median tissue culture infective dose (TCID₅₀), dosage of 10^6 , but even then only about 50%
179 responded with a ≥ 4 -fold neutralising antibody rise so it was not a strong candidate; only one of 17
180 in the placebo group had a ≥ 4 -fold rise in neutralising antibody likely due to a wild type RSV
181 infection. Also, a favourable immune response to PIV3 was observed. There was no serious adverse
182 event (31).

183 3.2 SUBUNIT VACCINES

184 BBG2Na

185 The BBG2Na is a subunit vaccine candidate purified from a prokaryote-expressed protein (in
186 *Escherichia coli*). A single-blind RCT in younger adults from 2001 showed that the 100ug and

187 300ug vaccine groups had greater immune response than the 10ug group with 33%-71% developing
188 a virus neutralising response; only 7% had this response in the placebo group. Giving a second or
189 third dose did not provide a significant booster response. Most recipients of 100ug or 300ug
190 vaccines had at least 4-fold rise in antibody measured in G2Na-specific ELISA units. No serious
191 adverse event was reported (32). There appears to be no follow-up human only study on this
192 product published since 2001.

193 Pre-fusion vaccines (RSV Pre F, RSV F-020 and RSV F-024)

194 RSV-F is subject to conformational alteration during fusion of the virus with human cells-the
195 prefusion structure exposes more antigenic sites for neutralising antibody than the post-fusion
196 structure. The recombinant RSV prefusion protein F vaccine was purified in Chinese hamster ovary
197 cells and manipulated to retain the prefusion conformation (33-35).

198 RSV Pre F was evaluated through a recent RCT in healthy young men, given one dose of 10ug,
199 30ug, and 60ug with/without alum-adjuvant. The results showed all vaccine recipients achieved
200 $\geq 1:512$ RSV A neutralising antibody titre by day 30 with a 3.2-4.9-fold rise in titres. Antibody
201 responses remained high until day 60. No vaccine-related serious adverse event was noted (35).
202 These results supported further research.

203 Two RCTs were conducted with the F-020 and F-024 products to investigate the safety and
204 immunogenicity in non-pregnant women aged 18-45 years. In the RSV F-020 trial, 2 groups of
205 vaccinees were given non-adjuvanted Pre F vaccine (30ug or 60ug), a third group were given 60ug
206 plus adjuvant (500ug of aluminium hydroxide) and the control group received Tdap. Enrolees in
207 RSV-F024 were given a single dose of non-adjuvanted RSV-Pre F (60ug) or Tdap. All RSV
208 vaccine groups exhibited a rise in RSV-A neutralising antibody (NA) of 3.1-3.9 folds, while the
209 control group showed no increase. Furthermore, all RSV vaccine groups achieved a >14-fold
210 palivizumab competitive antibody (PCA) concentrations on day 30 that then waned but still was
211 above baseline on day 90. In the control group, only 6% or fewer recipients had an NA immune
212 response (days 30, 60, and 90). The adjuvanted product was no more immunogenic than the non-
213 adjuvanted ones. Exploratory safety analysis analysing any grade 2/3 adverse effects showed a
214 significantly higher rate in the adjuvanted group, but local reactions (especially pain) to the
215 unadjuvanted pre-F vaccines were less frequent than with Tdap. F-020 and F-024 recipients had a
216 similar safety profile to the control group recipients and no SAEs were considered vaccine-related
217 (NCT02360475, NCT02753413) (36).

218 Post fusion F (MEDI 7510)

219 MEDI7510 is a post-fusion (post-F) protein vaccine candidate that has been evaluated with or
220 without an adjuvant; an analogue of monophosphoryl lipid A called glucopyranosyl lipid A (GLA),
221 which is a toll-like receptor-4 (TLR-4) agonist. Three RSV post-F trials also have been performed
222 in adults aged ≥ 60 years. The first, a Phase 1a, double-blind, RCT (NCT02115815), tested the
223 immunogenicity and safety of the 3 dosages: 20ug, 50ug, and 80ug with/without 2.5ug of GLA. It
224 showed 50% of participants in the higher dose with adjuvant group had a ≥ 3 -fold geometric mean
225 fold rise in microneutralisation. All vaccinees in this group also had a ≥ 3 -fold rise in anti-F IgG
226 antibody and PCA. Conversely, no such rises were found in the placebo group (37).

227 A Phase 2b, RCT was recently performed in almost 2000 participants aged at least 60 years to
228 prevent elderly vaccinees against developing RSV illness. It was unsuccessful showing a vaccine
229 efficacy of -7.1% (NCT02508194) (38). A third study, also published in 2017, with the elderly, was
230 a Phase 1, double-blind, RCT (NCT02289820). In the vaccine groups, vaccine candidates
231 containing 120ug with GLA (1ug, 2.5ug and 5ug) and 80ug with 2.5ug GLA were given. The
232 results showed that the vaccinees receiving a 120ug vaccine dose, with 5.0ug GLA adjuvant, had
233 the highest frequency of a ≥ 3 geometric mean fold rise in anti-F IgG antibody. No controls had such
234 a response. Similar reactogenicity and side effects were observed in the intervention and control
235 groups (39).

236 RSV-A vaccine with subunit F, G and M

237 Sanofi reported a decade ago on a subunit vaccine that contains purified RSV A proteins F, G, and
238 M. In 2008, Falsey and colleagues published that this vaccine candidate was examined in 1169
239 older people ≥ 65 years with high-risk factors (e.g. congestive heart failure and chronic obstructive
240 pulmonary disease) to compare the immunogenicity and safety with trivalent influenza vaccine in a
241 Phase 2 RCT. 400 participants received this vaccine candidate with adjuvant; 383 received the
242 vaccine without adjuvant, and 386 were in the placebo group. All the participants were given
243 trivalent influenza vaccine. The results showed no interference between RSV vaccinations and
244 trivalent influenza vaccination; furthermore, 129 of 392 participants achieved a ≥ 4 -fold rise in
245 neutralising antibody rise in the adjuvant group; 168 of 378 participants had a ≥ 4 -fold rise in
246 neutralising antibody rise in the non-adjuvant group. Only 3 of 380 had such a rise in the placebo
247 group. There was only one vaccine-related serious adverse event that occurred in the non-adjuvant
248 group. In comparison to the placebo group, this vaccine candidate did not increase RSV infection in
249 the RSV surveillance seasons. The results of this trial supported its' further study in the elderly
250 (40).

251 Then, a Phase 1 RCT enrolled 561 healthy people aged ≥ 65 years, which studied the same
252 recombinant subunit vaccine (containing F, G and M), in dosages of 100ug, 50ug or 25ug with alum
253 adjuvant and 100ug without alum adjuvant, to assess NA levels and the levels of RSV F-specific
254 and RSV G-specific antibodies. The results showed that only the unadjuvanted 100ug product
255 induced a minimum of 50% recipients to have a ≥ 4 -fold rise in NA against RSV-A; meanwhile, it
256 showed that neutralising antibody rise can provide cross-protection against RSV-B. Additionally,
257 there was no overall antibody increase in the placebo group and no vaccine-related serious side
258 event (41). No follow-up study was found in the literature, even though further testing was
259 foreshadowed.

260 PFP (purified F protein)

261 Two purified F protein vaccine candidates were reported on in 2003. One, an RSV purified fusion
262 protein 2 (PFP-2) subunit vaccine, was tested in a Phase 1, RCT to determine safety and
263 immunogenicity in 35 women in their third trimesters of pregnancy and their subsequently born
264 children. 95% of vaccine recipients had a ≥ 4 -fold rise in anti-F IgG antibodies. Further, Geometric
265 Mean Concentrations (GMC) of RSV anti-F IgG antibodies were 4-fold higher in vaccine
266 recipients' infants at birth, 2 and 6 months after delivery, than those in infants of the placebo group.
267 There was no safety concern (42).

268 In a related study, a Phase 2, adjuvant-controlled trial on purified fusion protein-3 (PFP-3) vaccine
269 determined immunogenicity in 294 RSV seropositive children with Cystic Fibrosis. Compared to
270 the placebo group, the vaccine group had significant ≥ 4 -fold titre rises in RSV neutralising antibody
271 A (67% vs 2%), RSV neutralising antibody B (55% vs 3%) and anti-F IgG (97% vs 1%) at day 28.
272 Furthermore, antibody in the vaccine group remained elevated while declining somewhat through
273 the RSV season (43).

274 3.3 VECTOR-BASED VACCINES

275 MVA-RSV and PanAd3-RSV

276 The RSV vector-based vaccines contain inserted portions of RSV protein-encoding genome using
277 either an innocuous adenovirus or another non-pathogenic virus vector-like modified vaccine
278 Ankara (MVA) (44). They are hypothesised to have the advantage of increased mucosal IgA and
279 cellular immune responses (45).

280 MVA and Simian adenovirus (PanAd3) are vectors of RSV vaccines (MVA-RSV and PanAd3-
281 RSV) both used to encode RSV protein F, N, and M2-1. In pre-clinical trials, each of these two
282 vaccine candidates has shown cellular and humoral responses in a primate model (46, 47). In 2015,

283 a Phase 1, open-label, RCT, enrolled 42 healthy adults aged 18-50 years. The primary PanAd3-RSV
284 vaccines were given through intranasal (IN) spray in two groups and intramuscular (IM) injection in
285 the other two groups. The booster, PanAd3-RSV or MAV-RSV, was administered by IM. The
286 results showed an RSV neutralising antibody rise in the primary PanAd3-RSV IM group after the
287 first dose and in the primary IN groups but only after the IM booster. A higher anti-F IgG rise was
288 observed in 19 of 19 participants in the primary IM groups while a rise was seen in 8 of 17 in the IN
289 groups. After boosting, the participants in the IN groups achieved a similar anti-F IgG rise to the
290 ones in the primary IM groups. No vaccine-related serious adverse event occurred (48).

291 3.4 NANOPARTICLE VACCINES

292 Nanoparticle F

293 A Phase 1, observer-blind, RCT (NCT01290419), was conducted in 150 healthy adults aged 18-49
294 years. Four formulations (5ug, 15ug, 30ug, and 60ug) with alum-adjuvant and 2 formulations (30ug
295 and 60ug) without adjuvant were given to vaccine groups. The results showed that all vaccinees
296 developed a 7 to 19-fold increase in anti-F IgG antibody and a 7 to 24-fold increase in PCA.
297 Furthermore, from 7.7% to 44.4% of participants in the vaccine groups had a ≥ 4 -fold rise in the
298 RSV A and B microneutralising antibody. In the placebo group, these antibody levels were at or
299 near the baseline. No serious vaccine-related adverse event occurred (49).

300 Two Phase 2 trials were conducted in women aged from 18 to 35 years. In 2016, Glenn and
301 colleagues reported on an observer-blind, RCT (NCT01704365) in 330 healthy non-pregnant
302 women of child-bearing age. Vaccine groups were given one or two doses of vaccine (60ug or
303 90ug) with/without alum adjuvant, respectively. The results showed a 6.5 to 16.5-fold anti-F IgG
304 rise after 2-dose alum adjuvanted vaccines; moreover, there was a 2.7 to 3.5-fold rise in RSV/A and
305 B neutralising antibodies. There was no significant rise in these antibodies in the placebo group. No
306 serious vaccine-related event was reported (50). Another phase 2, observer-blind, RCT
307 (NCT01960686) in 2017, enrolled 720 healthy women. The vaccine groups were administered
308 60ug or 120ug RSV protein F vaccine with 0.2mg, 0.4mg, 0.8mg or 1.2mg alum-adjuvant. The
309 results demonstrated about 90% of vaccinees in either the single-dose 120ug (0.2mg and 0.4mg
310 alum) groups or the two-dose of 60ug groups developed anti-F IgG seroconversion (i.e. ≥ 4 -fold anti-
311 F IgG antibody rise). Similarly, more than 95% of vaccinees achieved a seroconversion in PCA.
312 Moreover, a strong immune response in the one-dose vaccine recipients resulted in serological
313 evidence of a halving in RSV infection reduction from Day 0 through 90. In addition, the antibody
314 response in the one-dose 120ug with 0.4 mg alum-adjuvant was evidenced from day 14 to day 90.
315 No serious adverse event was found (51).

316 One trial was conducted in older adults. In 2017, Louis Fries and colleagues conducted a Phase 1,
317 observer-blind, RCT (NCT01709019), which involved 220 healthy adults ≥ 60 years without
318 cardiopulmonary issues. Two dosages (60ug and 90ug) of vaccine with/without alum adjuvant were
319 given in the vaccine groups. Meanwhile, trivalent influenza vaccine (TIV) were given into all the
320 vaccine and placebo groups. This nanoparticle vaccine trial reported a 3.6 to 5.6-fold anti-F IgG rise
321 was observed in the group of 60ug dose of vaccine with adjuvant and the response persisted until 12
322 months after vaccination. Furthermore, the PCA response was parallel to the anti-F antibody
323 response. Three subjects in the placebo group had a ≥ 4 -fold neutralising antibody rise; this was
324 considered as due to wild RSV exposure. There was no interaction between RSV nanoparticle F
325 vaccine candidates and TIV, and no vaccine-related serious event occurred (52).

326 4. RSV INFECTION CASES

327 4.1 RSV INFECTION IN THE LIVE-ATTENUATED VACCINE CANDIDATES

328 Three trials for LIDAM2-2, MEDI M2-2, and RSVcps2 were pooled (17, 21, 53). All of them were
329 live-attenuated vaccine candidates conducted in young children from 6 to 24 months. Moreover,
330 each trial had RSV season follow-up. RSV-associated medically attended acute respiratory illness
331 (MAARI) cases were detected during the RSV surveillance periods. Due to study differences, meta-
332 analysis was not possible. However, these data did not show a significant rate of reduction (Table
333 2).

334 4.2 RSV INFECTION IN THE SUBUNIT VACCINE CANDIDATES 335 CONFIRMED BY WESTERN BLOT DURING RSV SEASON

336 4 trials were found, of which two of them were subunit vaccines while the other two were
337 nanoparticle vaccines. The subunit vaccine candidates were PFP-3 and PFP-2. The data were
338 collected in the children aged from 1 to 12 years in the PFP-3 study, and the infants with maternal
339 vaccination in the PFP-2 trial (54) (55). The relative risks of RSV infection between the vaccine and
340 placebo groups were 0.82 (95%, CI 0.56-1.22) and 0.19 (95%, CI 0.02-1.51), respectively. There
341 was no significant reduction of RSV infection (Table 3).3.1

342 4.3 RSV INFECTION IN NANOPARTICLE VACCINE CANDIDATES

343 CONFIRMED BY WESTERN BLOT DURING RSV SEASON

344 According to the published data, two RSV-F nanoparticle vaccine trials were selected (50) (51).
345 Both were conducted in healthy women of childbearing age. The two relative risks were similar;
346 0.48 (95%, CI 0.29-0.80) was from all active vaccinees compared to placebo recipients, while 0.50
347 (95%, CI 0.27-0.92) was from pooled one-dose (120ug, 60ug) vaccinees compared to placebo
348 recipients. A vaccine protective effect was revealed according to the relative risk results (Table 3).

349 5. DISCUSSION

350 RSV is deemed to be one of the most important public health care issues in young children. The
351 World Health Organisation (WHO) has predicted an effective RSV vaccine will come in the next 5-
352 10 years (56). This systematic review covered 4 major groups of vaccine candidates which are
353 under development: live-attenuated, subunit, recombinant and nanoparticle. These vaccine
354 candidates are targeting several populations: infants and young children, elderly, and pregnant
355 women (or women of maternal age).

356 In infants and children, the age of most concern is the first 6 months of their life; although they
357 have some maternal immune protection, the risk of severe RSV infection is still high (57). Many
358 children ≥ 6 months are RSV-naive, and they are similar to infants in less than 6 month-old, except
359 with a more mature immune system (58). Almost all live-attenuated vaccine trials were in infants
360 older than 6 months – they are a proxy for younger infants.

361 Another difficulty is balancing vaccine attenuation and immunogenicity: either under-attenuation
362 causing more side effects or over-attenuation reducing vaccine infectivity. Both are problematic for
363 optimal vaccine development (59). LID Δ M2-2, MEDI M2-2 trials showed encouraging
364 immunogenicity results. Both of them induced at least 4-fold antibody rise in both neutralising
365 antibody and anti-F IgG antibody in 90% of vaccinees. These robust immune responses showed the
366 potential of protection against RSV exposure. Although there were serious events in the trials, there
367 was not a statistically significant difference to their placebo groups. RSVcps2 is a lineage product
368 from MEDI-599. It had less side effect than MEDI-599, and also it induced a favourable immune
369 response in NA and anti-F antibody. According to the MAARI rate in the subsequent RSV season,
370 LID Δ M2-2, MEDI M2-2, and RSVcps2 did not cause an ERD case. Due to the side effects of RSV
371 cps-284/404, further attenuation has been proposed in the cold-passage temperature live-attenuated
372 vaccine. This could guide future vaccine development. Although the subunit vaccine candidate
373 PFP-3 had encouraging immunogenicity and safety profile in children with cystic fibrosis, it is

374 clearly not considered as a promising vaccine because no significant reduction of RSV infection
375 was observed in the following RSV season (60). In summary, LID Δ M2-2, MEDI M2-2, and
376 RSVcps2 are the promising live-attenuated vaccine candidates in the future.

377 RSV infection has caused a serious burden of disease in the elderly. age-related changes cause a
378 weakening of the immune system (61). Therefore, a potent antigen, adjuvant use or high dose given
379 should be preferred in relevant vaccine products (62). MEDI-7510, RSV vaccine A with subunit F,
380 G, M proteins and nanoparticle F vaccine candidates were conducted in older adults. In addition,
381 the unpublished trial for BBG2Na also involved older people (63).

382 MEDI-7510 failed to protect against RSV illness in a Phase 2b trial with almost 2000 participants,
383 although the other two vaccines (subunit and the nanoparticle) demonstrated much better results.
384 Hence, MEDI-7510 was not recommended for further study. Although the RSV-A vaccine with
385 subunit F, G, and M proteins, conducted in the elderly, had acceptable results regarding safety and
386 immunogenicity, it does not appear to have been advanced further with no follow-up trials found in
387 10 years of subsequent literature. Moreover, a human and animal mixed trial for BBG2Na was
388 published. The results showed this subunit vaccine was safe and immunogenic to the vaccinees
389 (aged 60-80 years) (64). However, an unpublished relevant Phase 3 trial for this candidate failed to
390 prove safety in the elderly due to the vaccine-related side adverse events (63).

391 The 60ug of nanoparticle F vaccine candidate with adjuvant had a favourable immune response and
392 its persistence was long enough to cover a whole RSV season. Therefore, only this nanoparticle F
393 could be thought as the promising vaccine candidate for older people.

394 Maternal vaccination is one of the best strategies of protection against RSV and avoiding ERD in
395 infants. Ideally, boosting maternal RSV antibody level from at least 3 months prior to labour could
396 make antibodies available for trans-placental transfer (65). In this review, only PFP-2 study was
397 conducted in pregnant women and their offspring. However, there was no further research on this
398 candidate since 2003.

399 Another two subunit candidates (F-020 and F-024) and a nanoparticle F vaccine candidate were
400 conducted in women of child-bearing age. All of them showed a 3-month-rise of immune antibody,
401 which demonstrated the possibility of placental antibody transportation in the future. F-024 had less
402 vaccination local reaction than Tdap. This also means that F-024 could be more suitable in pregnant
403 women due to less pain from injection. According to the results of the relative risks of RSV
404 infection cases in the surveillance seasons, an acceptable protective effect was shown in one-dose
405 nanoparticle candidate given in the healthy women of child-bearing age. Moreover, the single dose

406 120ug RSV F protein vaccine with 0.4mg adjuvant was timely and strongly immunogenic. Similar
407 immunogenicity effects for the nanoparticle F vaccine candidate were observed between the one
408 and two doses groups with adjuvant. In fact, one dose is more convenient and still gives a strong
409 antibody response in women of childbearing age. It was being examined in a Phase 3 trial
410 (NCT02624947) in pregnant women in their third trimesters (51). However, there is no recent trial
411 conducted in pregnant women; therefore, we still lack a promising maternal vaccination.

412 6. CONCLUSION

413 RSV infection has been considered as one of the most common causes of acute upper respiratory
414 tract infection, which affects children and elderly mostly. Until now, there is no licensed vaccine
415 being used. Recently, a surge of studies has been conducted on this vaccine development, and some
416 of them had encouraging results.

417 Live-attenuated vaccines target infants and children mostly while the vaccines of the other three
418 types (subunit vaccine, the vector-based, and nanoparticle vaccine) focus on maternal and elderly
419 vaccination mostly.

420 The encouraging results in both vaccine immunogenicity and safety were illustrated. LID Δ M2-2,
421 MEDI M2-2, and RSVcps2 are the promising vaccine candidates for infants and children. The 60ug
422 of nanoparticle F vaccine candidate with adjuvant is a promising candidate for elderly. Although
423 there is no promising vaccine for maternal vaccination, the subunit RSV-024 and the single dose
424 120ug RSV F nanoparticle vaccine with 0.4mg adjuvant showed favourable results in non-pregnant
425 women of child-bearing age.

426

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TABLE 1 Inclusion and exclusion criteria

Inclusion	Clinical study of RSV vaccine used in humans with a measured outcome of immunogenicity
	All ages
	English abstract and full text
	Studies published after Jan 2000 to 6 th August 2018
	Human only
Exclusion	Studies with a focus on non-vaccination prevention of RSV, e.g. hand washing, RSV epidemiology, treatment of RSV infection
	Animal studies

FIGURE 1 PRISMA flow chart

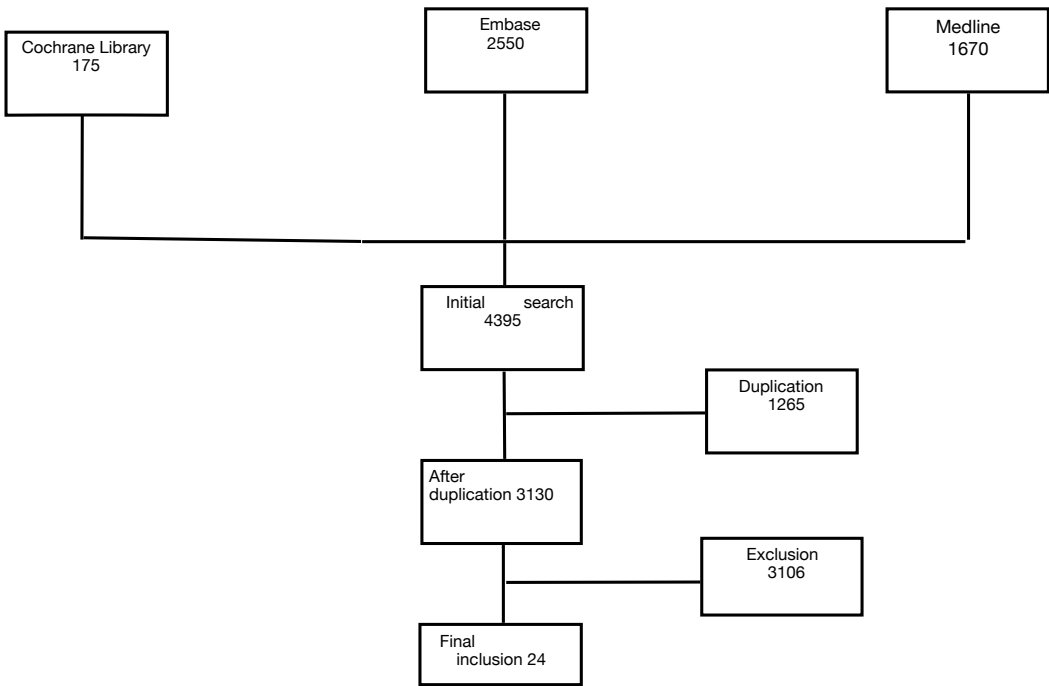


TABLE 2 MAARI in live-attenuated vaccine trials

Title	Target population	Vaccine candidate	Number of participants with RSV associated medical attendant acute respiratory illness during RSV season in the vaccine group	Number of participants with RSV associated medical attendant acute respiratory illness during RSV season in the placebo group	Relative risk	Dosage in plaque-forming unit (PFU)
Live-attenuated respiratory syncytial virus candidate with deletion of RNA synthesis regulatory protein M2-2 is highly immunogenic in children (53)	RSV seronegative children from 6-24 months.	LID ΔM2-2	0 of 20	1 of 9		10 ⁵
A gene deletion that up-regulates viral gene expression yields an attenuated RSV vaccine with improved antibody response in children (17)	RSV seronegative children aged 6 to 24 months	MEDI M2-2	1 of 20	2 of 10	0.25 95%, CI 0.03-2.44	10 ⁵
Live respiratory syncytial virus (RSV) vaccine candidate containing stabilized temperature-sensitivity mutations Is High Attenuated in RSV-Seronegative Infants and Children (21)	RSV-seronegative children aged 6-24 months	RSV cold-passage / stabilised 2 (RSVcps2)	3 of 34	2 of 16	0.71 95%, CI 0.13-3.82	10 ^{5.3}

MAARI: medically attended acute respiratory illness

TABLE 3 RSV infection cases in subunit and nanoparticle vaccine candidates

Title	Target population	Vaccine candidate	Number of participants with RSV infection during RSV season in the vaccine group	Number of participants with RSV infection during RSV season in the placebo/control group	Relative risk	Comments
Immunogenicity of a new purified fusion protein vaccine to respiratory syncytial virus: a multi-center trial in children with cystic fibrosis (54)	RSV seropositive children with CF aged 1-12 years	PFP-3 subunit	33 of 130	41 of 133	0.82 95%, CI 0.56-1.22	Control group: alum-adjutant
Safety and immunogenicity of respiratory syncytial virus purified fusion protein-2 vaccine in pregnant women (55)	healthy women in the third trimester of pregnancy and their offspring	PFP-2 subunit	1 of 20	4 of 15 (placebo group)	0.19 95%, CI 0.02-1.51	This result is about the infants' follow-up during their first RSV season.
A randomized, blinded, controlled, dose-ranging study of a respiratory syncytial virus recombinant fusion (F) nanoparticle vaccine in healthy women of childbearing age (50)	18-35 year-older non-pregnant and non-lactating healthy women.	RSV-F nanoparticle vaccine	26 of 244	12 of 56	0.48 95%, CI 0.29-0.80	The data from vaccinees with 1 or 2 doses (60ug or 90ug) with/without alum adjuvant
A phase 2 randomised, observer-blind, placebo-controlled, dose-ranging trial of aluminium-adjutant respiratory syncytial virus F particle vaccine formulation in healthy women of childbearing age (51)	18-35 years healthy women	RSV-F nanoparticle vaccine	36 of 352	18 of 84	0.50 95%, CI 0.27-0.92	The data of vaccinees with one dose groups (120ug or 60ug)