

# Genomic characterization and phylogenetic analysis of SARS-CoV-2 during the early phase of the pandemic in Asia

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Running title: Phylogenetic analysis of SARS-CoV-2 in Asia

## Abstract

Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) as the current coronavirus pandemic is an infectious disease that was initially confirmed in China (December 2019). In the current study, we assessed the genome variation of the SARS-CoV-2 viruses circulated in Asia in the first months of the pandemic. We randomly analyzed 131 complete sequences of SARS-CoV-2 from December 2019 to April 2020. The results showed that there were fifteen major mutations in Asia which most of them were co-evolved. These prevalent co-mutations resulted in clade G, GH, GR, S and O. Furthermore, sequences within 26144G>T point mutation had low variability without any co-mutation which formed clade V. Our results indicate that most of the circulated viruses in Asia in the early time of the pandemic had collected in five co-mutation groups.

**Keywords:** SARS-CoV-2, Phylogenetic analysis, Asia, Variation, Mutation

## Introduction

Coronaviruses are enveloped positive-strand RNA viruses which belong to the *Orthocoronavirinae* subfamily and *Coronaviridae* family. *Orthocoronavirinae* has itself four genera including *Alphacoronavirus*, *Betacoronavirus*, *Deltacoronavirus* and *Gammacoronavirus* [1]. Seven Coronavirus species have caused infection in humans, although four of them including HCoV-OC43, HCoV-229E, HCoV-NL63 and HCoV-HKU1 are not clinically important in immunocompetent individuals [2]. Initially, *Betacoronavirus* (HCoV-OC43) and *Alphacoronavirus* (HCoV-229E) were identified. These are cause of common colds and considered of modest clinical importance [3, 4]. *Alphacoronavirus* (HCoV-NL63) and *Betacoronavirus* (HCoV-HKU1) cause bronchiolitis in children and community-acquired pneumonia, respectively [5, 6]. There are three additional Coronaviruses which are highly pathogenic and have caused epidemics in human populations, including (i) *severe acute respiratory syndrome coronavirus* (SARS-CoV) (*Betacoronavirus*, subgenus *Sarbecovirus*), identified in China in 2002 and spread to the 29 countries with the mortality rate of ~10% and abruptly ended in 2003, (ii) *Middle East respiratory syndrome coronavirus* (MERS-CoV) (*Betacoronavirus*, subgenus *Merbecovirus*), emerged in Saudi Arabia in 2012 with ~34% mortality rate which have detected in 27 countries and (iii) *severe acute respiratory syndrome coronavirus 2* (SARS-CoV-2) (*Betacoronavirus*, subgenus *Sarbecovirus*) is a novel coronavirus that initially detected in Wuhan city (China) in late 2019 [7-11]. The World health organization (WHO) reported that 223 countries, areas or territories have been confirmed SARS-CoV-2 infection with 89707115 cases and 1940352 deaths as of January 13, 2021.

With regards to Coronaviruses genome, The 5' end encodes a poly-protein which cleaved to 16 non-structural proteins (Nsp1 to Nsp16). The 3' end provides critical

proteins for the virus such as envelope glycoproteins spike (S), membrane (M), envelope (E), and nucleocapsid (N) [12].

Genotyping analysis is an essential tool to determine the mutations in the SARS-CoV-2 genome. Any variations in the vaccine candidate proteins (e.g. structural proteins) need to be analyzed prior to vaccine design. Moreover, genotyping data can be used to predict the efficacy of global vaccines in countries with high rates of various mutations [13-15]. In this study, we analyzed the complete sequences of SARS-CoV-2 to reveal genetic distance and mutation rate among Asian countries.

## **Materials and Methods**

The submitted complete sequences of SARS-CoV-2 from Asian countries and reference sequences were obtained from GISAID and GeneBank, respectively. Alignment was performed with MAFFT (v7.455) [16]. The alignment results were visualized and trimmed for quality and length compatibility using Unipro UGENE software [17]. Phylogenetic tree constructed with maximum likelihood method using RAxML-NG v. 0.9.0 [18]. Transfer bootstrap expectation (TBE) with 1000 replicates used for branch support [19]. ETE3, the python framework was used to visualize and analyze the resulting tree [20]. Nucleotide substitutions were retrieved from the output of alignment and annotated to find protein changes.

## **Results**

### **Data collection, preparation and alignment**

Complete sequences of SARS-CoV-2 in GISAID were released 4572 to April 7, 2020. Among the collected samples, 641 sequences belonged to Asia that 604 sequences were categorized based on distinct regions. We analyzed 604 Asian complete sequences to remove low quality sequences with unknown base N

content and selected sequences which were related to the patients except the regions with the lack of human samples. Totally, 131 sequences were selected in 12 Asian countries which all of them were related to the patients except for Vietnam sequences which belonged to the cell culture (Vero cells) (**Table 1**). The sequences with their related GISAID accession numbers is shown in Supplementary **Table 1**. The reference sequence for SARS-CoV-2 was obtained from GenBank with the accession number of NC\_045512.2 which was the source for sequence retrieval and analysis. All sequences were trimmed to 29698 bp. Five hundred and thirty-eight variations were identified which were related to the 197 variable sites (Supplementary **Table 2**).

*Table 1: Frequency of SARS-Cov-2 Sequences from Asian countries involved in this study*

Country	Total	Select	Abbr**	China Regions	Total	Select	Abbr**
Georgia	13	12	GE	Anhui	2	1	CNAn
Hong Kong	63	3	HK	Beijing	5	3	CNBe
India	16	14	IN	Chongqing	3	0	
Japan	102	24	JA	Fujian	2	2	CNFu
Kuwait	4	2	KU	Guangdong	74	3	CNGu
Malaysia	7	1	MA	Guangxi	6	0	
Nepal	1	1	NE	Guangzhou	1	0	
Pakistan	2	1	PA	Hangzhou	36	5	CNHa
Saudi Arabia	3	3	SA	Henan	1	0	
Singapore	37	6	SI	Hubei	32	4	CNHu
South Korea	13	11	SK	Jiangsu	4	3	CNJs
Taiwan	22	8	TA	Jiangxi	26	5	CNJx
Thailand	2	2	TH	NanChang	1	1	CNNa
Vietnam*	8	5	VI	Shandong	9	1	CNSd
Cambodia	1	1	CM	Shanghai	96	7	CNSh
China	310	37	CN	Sichuan	1	0	
	604	131		Yunnan	2	0	
				Zhejiang	9	2	CNZh
					310	37	

\* All sequences related to the Vero cells

\*\* Abbreviation

## Genome variation and annotation

The variations were distributed in the SARS-CoV-2 genomes, except the ORF6 region. Almost half of the variations were associated with ORF1ab polyprotein (52%) and minimum frequencies were related to the ORF7b and E protein sequences (0.5%). In relation to the type of the mutations, the frequencies of missense, synonymous, nonsense, and non-coding SNPs were 62%, 33%, 0.5%, and 4%, respectively (**Figure 1**). We analyzed genome variation sites based on Asian countries and we found most of the variations were correlated to the Chinese sequences. Cambodia, Nepal, Thailand, and Malaysia had less than 2% of variations in their sequences (**Figure 2**). Fifteen out of 197 variable sites were the most prevalent variations in the sequences from Asia (**Table 2**). Mutations of the *ORF1ab* gene were related to three amino acid changes in this polyprotein. The most distributed variations among Asian countries ( $\geq 5$  countries) were 241C>T, 1397G>A, 3037C>T, 8782C>T, 11083G>T, 14408C>T, 23403A>G, 26144G> and 28144G>T (**Figure 3**). The other most common variations were distributed in four countries. Further analysis revealed the occurrences of co-mutations in Asian sequences and showed five types of co-mutations in these genomes (**Table 3**). The most prevalent co-mutations were seen in 1397G>A, 28688T>C, 29742G>T and 11083G>T variation sites.

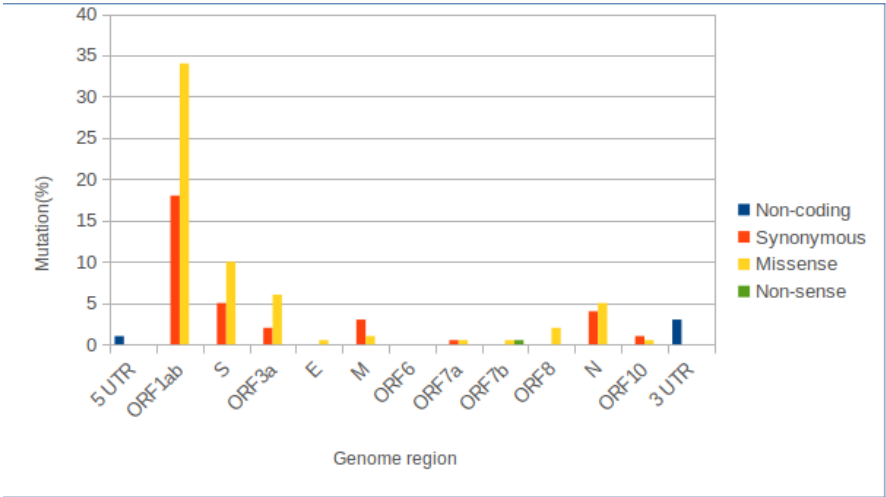


Figure 1: Genome distribution of SARS-CoV-2 mutations

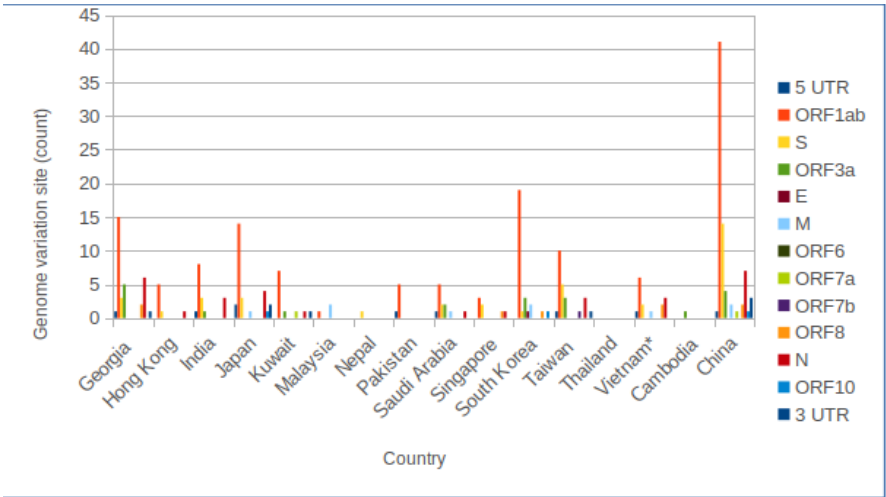


Figure 2: Frequency of SARS-Cov-2 variation sites in Asia

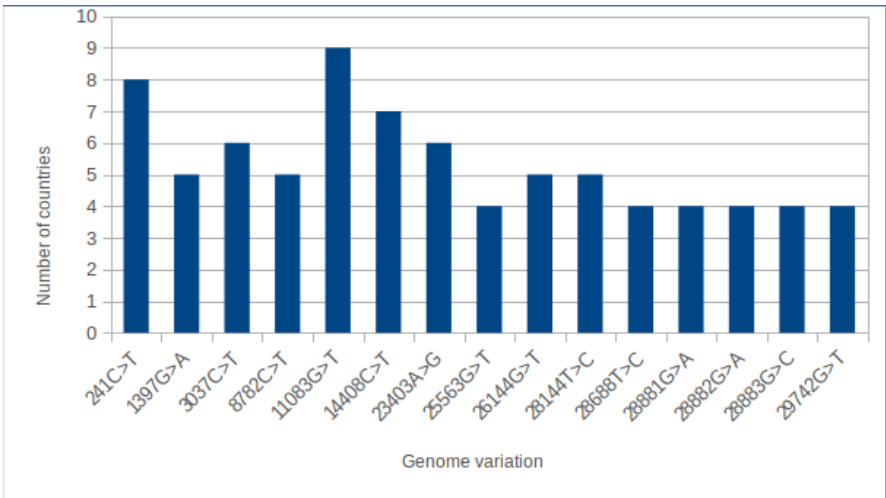


Figure 3: Distribution of most common variations

Table 2: High frequency mutations in SARS-CoV-2 sequences of Asia.

Genome Change	Gene	Protein Change	Mutation type	Clade
241C>T	5'UTR		Non-coding	
1397G>A		V378I	Missense	
3037C>T			Synonymous	
8782C>T	ORF1ab		Synonymous	
11083G>T		L3606F	Missense	
14408C>T		P4715L	Missense	
23403A>G	S	D614G	Missense	Clade G
25563G>T	ORF3a	Q57H	Missense	
26144G>T		G251V	Missense	Clade V
28144T>C	ORF8	L84S	Missense	Clade S
28688T>C			Synonymous	
28881G>A	N	R203K	Missense	
28882G>A			Synonymous	
28883G>C		G204R	Missense	
29742G>T	3'UTR		Non-coding	

Table 3: Co-mutations in SARS-Cov-2 sequences from Asia.

Co-occurring Mutations	Clade*
241C>T, 3037C>T, 14408C>T, 23403A>G	G
28881G>A, 28882G>A, 28883G>C, 23403A>G	GR
25563G>T, 23403A>G	GH
8782C>T, 28144T>C	S
1397G>A, 28688T>C, 29742G>T, 11083G>T	O



## Phylogenetic analysis

Phylogenetic analysis of complete sequences of SARS-CoV-2 from Asia showed that there were four clades in this region. Three of these clades were included in the globally major clades which introduced by GISAID, named clade G (D614G variant of S glycoprotein), clade V (G251V variant of ORF3a protein product), and clade S (L84S variant of ORF8 protein product) (Figure 4). In this study, Clade S with 22 sequences was located at the base of the tree which is the most related to the reference sequences and initially collected Chinese samples. Sequences from China (11 out of 37), South Korea (8 out of 11), Vietnam (1 out of 5), India (1 out of 14) and Georgia (1 out of 12) were collected in this clade, which is common in the USA and China. These sequences were collected in January and February 2020, indicating that the early frequent transmission of the virus from China to South Korea. In the current study, clade G was consisting 34 sequences from India (13 out of 14), Georgia (7 out of 12), Saudi Arabia (2 out of 3), Taiwan (4 out of 8), Vietnam (3 out of 5) and Japan (5 out of 24) in which all the sequences were collected in March and April 2020. These results showed that transmission to India has occurred in this time. Clade V consists of 9 sequences from South Korea (2 out of 11), Taiwan (1 out of 8), Singapore (4 out of 6) and Hong Kong (2 out of 3). The clade O included the sequences which carried 11083G>T mutation. This mutation was co-evolved with 1397G>A, 28688T>C, and 29742G>T. This clade was consisting 33 sequences from Japan (17 out of 24), Georgia (4 out of 12), China (5 out of 37), Taiwan (2 out of 5), Kuwait (2 out of 2), Pakistan (1 out of 1), Hong Kong (1 out of 3) and Saudi Arabia (1 out of 3). These results showed that the most frequent variants which are circulated in Asia belong to the clade O.

Figure 4: Phylogenetic analysis of SARS-CoV-2 sequences

## Discussion

In the current study, we performed comprehensive phylogenetic analyses of the SARS-CoV-2 to investigate the Asian epidemic spread of this virus. Although, the aim of this study was not to determine the functional consequences of the detected mutations, they might implicate in the functionality of the virus.

Our results showed the maximum of the variations were related to ORF1ab polyprotein (52%) while the minimum was related to ORF7b and E protein sequences (0.5%). These findings were in accordance with previous published works where almost 65% of variations were observed in an investigation by Koyama et al. [21][22, 23].

The most common mutation types found in this study were missense (62%) followed by synonymous, nonsense, and non-coding SNPs were 62%, 33%, 0.5%, and 4%, respectively (**Figure 1**) by which three amino acid changes was mostly observed in ORF1ab polyprotein. The most distributed variations among Asian countries ( $\geq 5$  countries) were 241C>T, 1397G>A, 3037C>T, 8782C>T, 11083G>T, 14408C>T, 23403A>G, 26144G> and 28144G>T (**Figure 3**). Our results reported five types of co-mutations.

It has been previously reported that the most frequent mutation was the C>T transition (55.1%), followed by A>G transition (14.8%) in Europe, the Americas and Africa resulting in 205,482 amino acid changing (58.2% of the total) [24]. The pivotal role of these mutations has been previously shown to provide a stronger transmission capacity of SARS-CoV-2 whereas there is no indication for others to increase transmissibility of SARS-CoV-2. [25, 26]. For example, Chen et al. indicated the frequency of some mutations, particularly (V367F, S477N, N439K, V483A) lead to stronger transmission capacity [25].

There are four important clades in Asia including clade G (D614G variant of S glycoprotein), clade V (G251V variant of ORF3a protein product), clade S (L84S variant of ORF8 protein product) and clade O (**Figure 4**). Continuous monitoring of mutations could be critical in following the movement of SARS-CoV-2 between individuals and across geographical regions. For instance, the analysis of the clades, in this study, throughout the year revealed the original L clade was first reported in Asia (China) in December 2019, subsequently the G clade was observed in Europe in January 2020. In March 2020, G and G-derived clades have then been detected in North America and Asia and are known as the most rapidly growing viral subpopulation globally [24, 27, 28]. Clade L, as the original viral strain, is 7% of all sequences, followed by clades S and V which have the same frequencies worldwide [24]. Previous studies have shown that clade G is the most prevalent clade in European countries which is also true in Asia as our analysis showed [24]. The G clade and its derived clades, GH and GR, are the most widespread and more frequent clades observed in SARS-CoV-2 genomes (74% of all reported sequences) [24]. The most frequent clade was the D614G variant which is in accordance with our study. Due to the location of this variant, in a B-cell epitope, it could be speculated that D614G variant influences vaccine effectiveness. [29]. The collected data from new variants might help to develop novel antiviral drug candidates, also the adaptation of current ones to tackle the new structural features of SARS-CoV-2 [13]. Another role of D614G variant is on speed of viral replication. The reason is that all strains with this mutation have another mutation in their proteins that is involved in viral replication (RdRp P323L) [21]. RdRp is a target of favipiravir and remdesivir, two well-known drug candidates against COVID-19, therefore these mutations may lead to emergence of drug-resistant strains [30].

In our study, the sequences which are clustered in the clade S (L84S) were collected in January and February 2020 indicating the early frequent transmission of the virus was from China to South Korea. The first mutation-derived from clade L (as the reference genome) was clade S and appeared at the beginning of 2020 [24] which was mostly observed in the Americas [21, 31]. Similar to our results, L84S clade was the second major clade which was detected among passengers from Wuhan during the early days of the coronavirus [21]. Third clade in the current study was clade V. Clade V has low variability and it is not included in the co-mutation list which is compatible with the early collection date of the included sequences. This clade was not a prevalent type in the current study, although the previous studies have demonstrated that clade V is the most prevalent clade in Europe and Asia. A previous study demonstrated that this clade appeared mid-January 2020 (simultaneously with the original clade G) [24]. Also, we had the clade O, carrying a variation of 11083G>T that most of the Asian sequences belonged to. This mutation was co-evolved with 1397G>A, 28688T>C, and 29742G>T. In the previous studies, this clade was described as a group of sequences that did not follow any criteria [24]. Although, in this study we found that this group has common co-mutations.

In summary, we report that there are fifteen frequent variations in Asia. Most of the sequences have been collected in clade G, S, and O. All of these three clades include sequences with co-mutations. Clade V has the least variability in comparison to the other clades, has not any co-mutations and is not a prevalent clade in our analysis. Taken together, all of these evidence indicate the circulated Asian viruses in the early pandemic had evolved and had differences with the early ancestors.

## **Conclusion**

This study provides an overview of the most prevalent mutations and evolutionary relationships among the sequences from Asian countries in the first months of the outbreak. The results presented the geographical variations that can be used to find transmission roots. Some of these variants are expected to implicate in viral and host factors, which can impact on transmission and disease severity. Although the lack of complete genome sequence from some countries and imbalanced frequency of sequences in the available countries in that period of time would affect the outcome.

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## **Conflict of interests**

The authors declare that there are no conflicts of interests.

## **Author Contributions**

JM contributed in data collection, data analysis and drafting the manuscript, MM discussed the results and MD and RA contributed to finalizing the writing of the manuscript.

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