

Thermal Decomposition Behavior of 3D Printing Filaments Made of Wood-filled Polylactic Acid (PLA)/Starch Blend

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Abstract: Dynamic thermogravimetric (TG) analysis under nitrogen environment was used to understand the thermal decomposition process of 3D printing filaments made of wood-filled polylactic acid (PLA)/starch blend. The characteristic temperatures and apparent activation energy (AAE) of the filaments with various starch contents were calculated with well-known kinetic models by Friedman, Flynn-Wall-Ozawa, Coats-Redfern and Kissinger. With the increased starch content in the filament, the onset thermal decomposition temperatures of the filaments decreased gradually from 272.4 to 155.1°C. The thermal degradation degree became smaller, and the transitional temperature interval became larger with increased starch proportion. The AAE values of the three types of filaments with different starch ratios varied between 97 kJ/mol and 114 kJ/mol, depending on material composition and method of calculation. The improved understanding of thermal decomposition behavior of PLA-starch-wood composites can help develop more biodegradable PLA/starch-based filaments for 3D printing.

Keywords: Wood-filled PLA; Thermal Decomposition; Starch, 3D printing

1. Introduction

3D printing is becoming popular with its rapid prototyping, low manufacturing cost, and short commercialization time [1-3]. Polylactic acid (PLA) and PLA-wood composites have been used to manufacture filaments for 3D printing with well-claimed 'green' properties. PLA, derived from agricultural resources, has a great potential to be an alternative material for petroleum-based polymers [4-7]. Wood fibers are renewable and biodegradable. However, PLA resin itself is not easily biodegraded with microbes at temperatures around 20-25°C. Thus, PLA and PLA-wood composites are not exactly biodegradable materials according to the definition of the term 'biodegradability' [8,9].

Starch is a widely available and renewable raw material from the agricultural production system. It is commercially extracted from agricultural resources and has a rapid biodegradation rate as well as a small granule structure [10,11]. It has been shown that blending starch with PLA can improve the biodegradable property and reduce the production cost of the composites [12-16]. Generally, starch is blended into PLA composite with granular and gelatinized forms [17,18]. In addition, previous studies have shown that glycerol can be an effective compatibilizer to enhance the interfacial adhesion between hydrophobic PLA and hydrophilic starch [19-22].

The use of starch can affect thermal decomposition behavior of the PLA composite. In their study, Ohkita and Lee found that thermal stability decreased by blending corn starch into PLA [23]. This phenomenon was also observed by other researchers [24-28]. Zuo et al. investigated the effects of starch/PLA mixing ratios on the thermal properties of composites with glycerol as the plasticizer. The interdependence between starch and PLA in the thermal decomposition

behavior and glass transition temperature, melting temperature and degree of crystallinity of PLA were shown to increase gradually as the starch/PLA ratio reduced [29]. A decomposition temperature about 459°C for the crosslinked blends of PLA/starch was found by Wang et al., which was not found in pure PLA, and it was illustrated that branched and crosslinked macromolecules were formed during reactive extrusion [12]. Eustathios et al. reported that some small polar molecules were generated, and they could break down polyester chains during decomposition of wood-flour and starch blended in the PLA [30].

Activation energy (AE) is often used to investigate the thermal decomposition process of fiber composite materials. Typically, AE values are calculated from well-known kinetics methods including Friedman, Flynn-Wall-Ozawa, Coats-Redfern, and Kissinger equations [31-34]. Wang et al. investigated AE of decomposition of thermoplastic dry starch (DTPS)/PLA blends with maleic anhydride as a compatibilizer. It was suggested that AE values of starch decreased dramatically after the blending process [12]. Jie et al. found that the crystallization activation energy of neat PLA calculated from the Arrhenius method had a higher value than that of thermoplastic starch (TPS)/PLA composite [35]. AE values of starch in DTPS/PLA and TPS/PLA composite increased by added glycerol as a compatibilizer, because glycerol helped the improved dispersion and compatibility between starch and PLA [36]. Shubhashini et al. studied the correlation of activation energy with thermal degradation of the hemp-PLA composites, and they found that thermal stability was improved due to the higher activation energy value resulted from higher bond energy [37].

Starch/PLA and wood flour/PLA composites have been studied, but there have been few studies that have attempted to analyze the kinetics of thermal degradation of the wood filled PLA composite blended with starch. Therefore, the objective of this study was to understand thermal decomposition behavior of wood-filled PLA/starch composites targeted for 3D printing by using several kinetic models.

2. Materials and Methods

2.1. Materials

Wood-filled PLA filament (FS-003) with 20 wt% wood fiber and a small percentage of colorant was obtained from Bizard Tech (Dallas, TX, USA) having a diameter of 1.75mm (Dimensional accuracy +/- 0.02mm). Corn starch (Earthborn Elements) was purchased from InPivota Corp. (American Fork, UT, USA). These two materials used as main materials for manufacturing composites. Glycerol used as a compatibilizer between wood-filled PLA and corn starch, and it was supplied by Carolina Biological Supply Co. (Burlington, NC, USA).

2.2. Material Preparation

Three types of materials were prepared for comparing the thermal decomposition behavior of each composite. Wood-filled PLA (PW) filament with about 20% wood was a commercial product as the control group. The other two filaments were manufactured with two different PW and TPS ratio in the laboratory. TPS was prepared by mixing 70 wt% corn starch and 30 wt% glycerol in a steel cup for 10 min. The PW filament was cut into small pieces by the pelletizer (BT25, Bay Plastics Machinery, Bay City, MI, USA). Then, TPS and small PW filament pieces were blended together with two PW/TPS ratios of 90/10 and 80/20 in the high-speed mixer (CIT-FW-200, Columbia International, Irmo, SC, USA) for 5min. Finally, the mixture was fed into the filament extruder (EX2, Filabot, Barre, VT, USA) to produce 3D printing filament with a diameter of 1.75 mm. The temperature of the extruder was set at 175°C. The obtained filaments were cut into small pieces and the material was extruded again to enhance the mixing of the two materials. These final filaments were named PW9S1 and PW8S2, respectively, according to their PW and TPS ratios.

2.3. Characterization

The microstructure of three different filaments was examined by Scanning Electron Microscopy (SEM). Prior to SEM observation, all filaments were dipped in liquid nitrogen and impact-broken. The brittlely fractured surfaces were coated with Pt of about 4 nm thickness by using a sputter coater. The morphology observations were conducted with a Quanta™ 3D DualBeam™ FEG FIB-SEM (FEI Company, Eindhoven, the Netherlands) using a 5kV acceleration voltage. To analyze the chemical structures of filaments, the infrared (IR) spectra were attained using Fourier Transform Infrared (FTIR) Spectrometer (ALPHA, Bruker Corp, Billerica, MA, USA) with ATR accessory. The scan range was from 4000 to 400 cm⁻¹ with 64 total scans and 4 cm⁻¹ spectral resolution. The FTIR samples were first ground into powders prior to being put into the FTIR spectrometer. Thermal decomposition properties of the filaments were obtained by using a Q50 thermogravimetric analyzer (TA Instruments Inc., New Castle, DE, USA) at four different heating rates of 5, 10, 15, and 20°C/min in the 30-600°C temperature range under nitrogen. The flow rate of nitrogen was 40 ml/min. Each tested sample weight was about 20 mg.

2.4. Thermal decomposition kinetics

The fundamental equations to assess thermal degradation kinetics based on the thermogravimetric (TG) data are described as follows [32].

$$d\alpha/dt = A \exp(-E/RT) f(\alpha) \quad (1)$$

and when the heating rate, $\beta = dT/dt$, is introduced into equation (1), the equation (2) becomes,

$$d\alpha/dT = (A/\beta) \exp(-E/RT) f(\alpha) \quad (2)$$

where α is the weight conversion degree, $d\alpha/dt$ is the weight conversion rate, A is the pre-exponential factor, R is the general gas constant (8.314 J/K·mol), T is the absolute temperature, E is the apparent activation energy, $f(\alpha)$ is the reaction model, which depends on the actual reaction mechanism, such as the methods listed in Table 1. The methods of Friedman (FD), Flynn-Wall-Ozawa (FWO), Kissinger (KS), and Coats-Redfern (CR) are commonly used to calculate the apparent activation energy (AAE).

Table 1. Thermal kinetic methods commonly used to calculate apparent activation energy of various materials

Methods	Equations	Plots
Friedman (FD)	$\ln(d\alpha/dt) = \ln[Af(\alpha)] - E/RT$	$\ln d\alpha/dt$ against $1/T$
Flynn-Wall-Ozawa (FWO)	$\log \beta = \log(AE/Rg(\alpha)) - 2.315 - 0.4567E/RT$	$\log \beta$ against $1/T$
Kissinger (KS)	$\ln(\beta/T_p^2) = \ln(AR/E) + (1/T_p)(-E/R)$	$\ln(\beta/T_p^2)$ against $1/T_p$
Coats-Redfern (CR)	$\ln\left[\beta/\left(T^2(1-2RT/E)\right)\right] = \ln[-AR/(E \ln(1-\alpha))] - E/RT$	$\ln(\beta/T^2)$ against $1/T$

3. Results and Discussion

3.1. Basic filament properties

Figure 1 (a, b, and c) shows morphology of the original PW filament at the three different magnifications levels. The fracture surface shows circularly-shaped wood filler and apparent microvoids, partially due to pull-out of the filler particles during sample fracturing process [38]. There seems to be little bonding between the wood filler and PLA matrix within the commercial PW filament with 20% wood fill (Figure 1c).

Figure 1 (d, e, and f) shows morphology of the PWS2 filament at the three different magnification levels. Both wood filler (20% fill rate) and TSP (20% fill rate based on original PW filament weight) particles are present in the system (Figure 1 e and f). Many microvoids still existed in the PW8S2 filament. The presence of microvoids could be water-evaporation related during extrusion - due to incomplete drying of the PLA resin or moisture induced from wood and starch. The presence of micro-voids could weaken the strength property of the filament and the use of compatibilizers can help improve bonding between wood filler and PLA matrix [10].

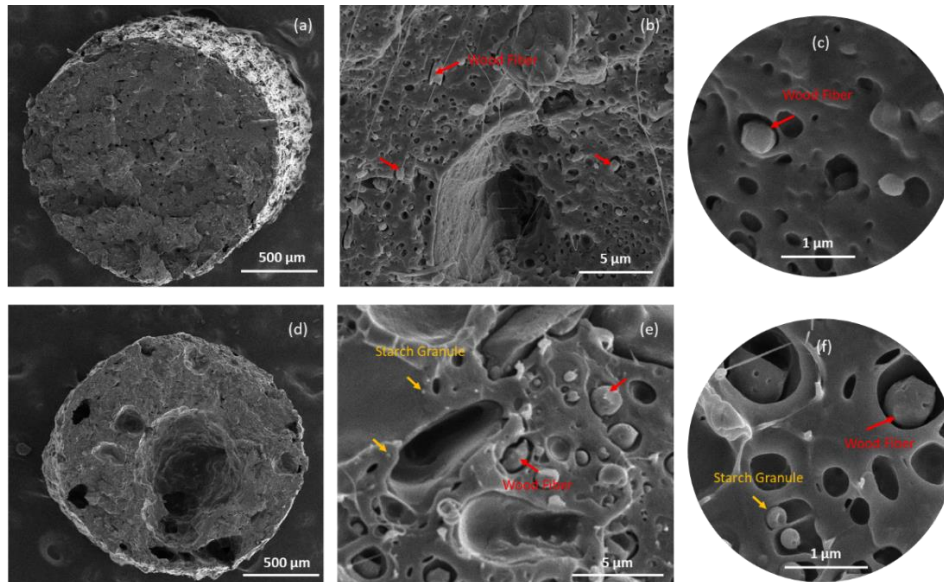


Figure 1. SEM images of composite filaments at different magnification levels. PW filament: a, b, and c, and PWS2 filament: d, e, and f.

Figure 2 shows a comparison of the FTIR spectra curves of the three filament samples. The absorption band at 1749 cm^{-1} of PW filament represented to the stretching vibration of carbonyl group C=O [16,39]. A weak peak at 1450 cm^{-1} was caused by the deformation vibration of methyl group $-\text{CH}_3$, and the weak peak at 1364 cm^{-1} correspond to the symmetric and asymmetric deformation of C-H bond [40]. The peaks at 1194 cm^{-1} and 1071 cm^{-1} attributed to stretching vibration of C-O bond [41]. The 873 cm^{-1} peak was ascribed to the stretching vibration of terminal methylene group $-\text{CH}_2-$ [42].

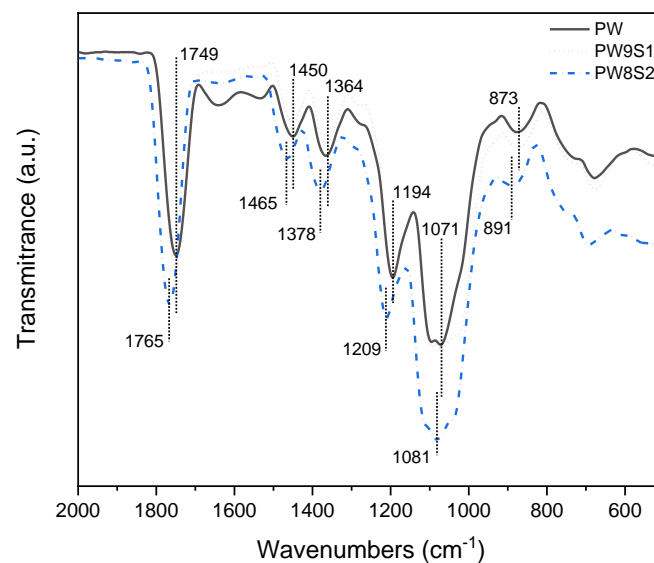


Figure 2. FTIR curves of the PW, PW9S1, and PW8S2 filament samples.

The FTIR spectra of PW9S1 shows the same absorption peaks with PW filament, while the wavenumbers of PW8S2 shifted to higher values (1765 > 1749, 1456 > 1450, 1378 > 1364, 1209 > 1194, 1081 > 1071, 891 > 873). In the meantime, the intensity of the C=O (1765 cm^{-1}) and C-O (1081 cm^{-1}) stretching bands of PW8S2 increased sharply compared with the other two filaments. This result suggested that adding 20 wt% starch led to a more detectable change of the surface structure in the PW8S2 filament.

3.2. Thermal degradation characteristics

3.2.1. TGA data

Figure 3 shows the TG and derivative thermogravimetric (DTG) curves of the filaments. PW9S1 was used as an example in Figure 3 (a) and (b). It can be observed that the TG and DTG curves were shifted towards right and the peaks of DTG curves became weaker with the increase in heating rate. The thermal decomposition progress of the filaments was determined by kinetic parameters, which were obtained from the TG, DTG, and D^2TG curves of filaments. Figure 4 shows how to calculate decomposition parameters of filaments using PW9S1 at the 5°C/min heating rate as an example. The onset temperature, T_o , was calculated from the extrapolation of the first derivative curve corresponded with the first local maximum peak in the second temperature derivative curve and down to the zero level of the DTG axis [32]. The first peak of the DTG curve indicated the peak temperature, T_p , in which the maximum decomposition rate happened. The shift temperature, T_s , was obtained by the extrapolation of the first derivative curve with the first local minimum peak in the second derivative curve and going to the zero level of the DTG axis [32,33].

Typical TGA parameters of the three filaments at four heating rates are presented in Table 2. W_o , W_p , and W_s were the weight loss proportions in correspondence with T_o , T_p , and T_s , respectively. The differences of weight loss, $W_s - W_o$, and that of the temperature, $T_s - T_o$ of the three samples, were about 81.8% and 80.4°C, 81.1% and 125.7°C, 79.7% and 176.1°C for PW, PW9S1, and PW8S2 filaments, respectively. The data suggested that the thermal degradation degree became smaller, and the temperature interval became longer with increased starch ratio in the filaments.

As shown in Table 2, the T_o values increased with increased heating rate from 5°C/min to 20°C/min for all of three types of filaments. A trend of increase in the T_p , in which the maximum decomposition rate occurred, was also observed with an increased heating rate. The T_s showed the same tendency to change as T_o and T_p . Meanwhile, the mean value of the final char residue weight of PW9S1 was about 6.75%. The residue value of PW filament was shown to have a similar tendency to PW9S1, but PW8S2 had slightly more residue than that of PW and PW9S1. This result suggested that starch could be effective for forming carbonized residue after thermal decomposition process at temperatures above 500°C [13]. Figure 3 (c), (d), and Table 2 show that the TG and DTG curves were shifted when the starch ratio was increased. The T_o values of filaments gradually decreased with the increased starch ratio (302.6 > 256.5 > 205.7°C), while the smaller changes occurred to T_p and T_s . The data suggested that the high starch/PLA ratio of the composites contributed to an early thermal decomposition of the filaments. The thermal stability of starch was slightly lower than that of PLA [43].

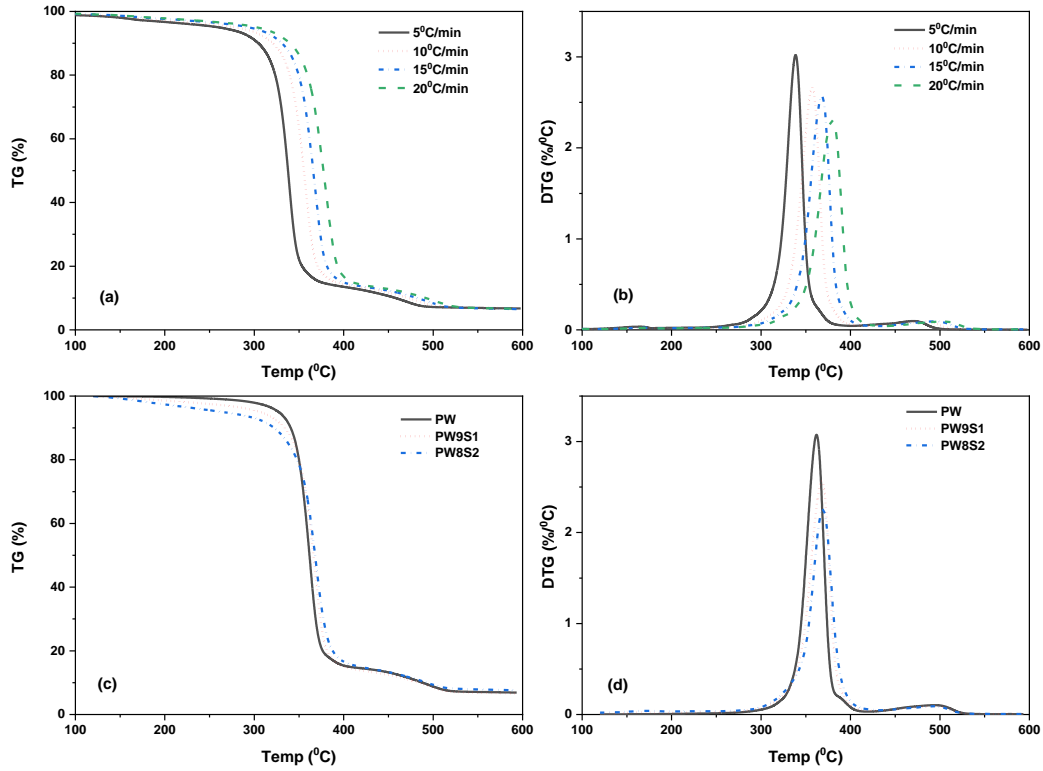


Figure 3. TG and DTG plots for the filaments: (a) TG plot for PW9S1 with different heating rates, (b) DTG plot for PW9S1 with different heating rates, (c) TG plot of PW, PW9S1, and PW8S2 at 15 °C/min heating rate, (d) DTG plot of PW, PW9S1, and PW8S2 at the 15 °C/min heating rate.

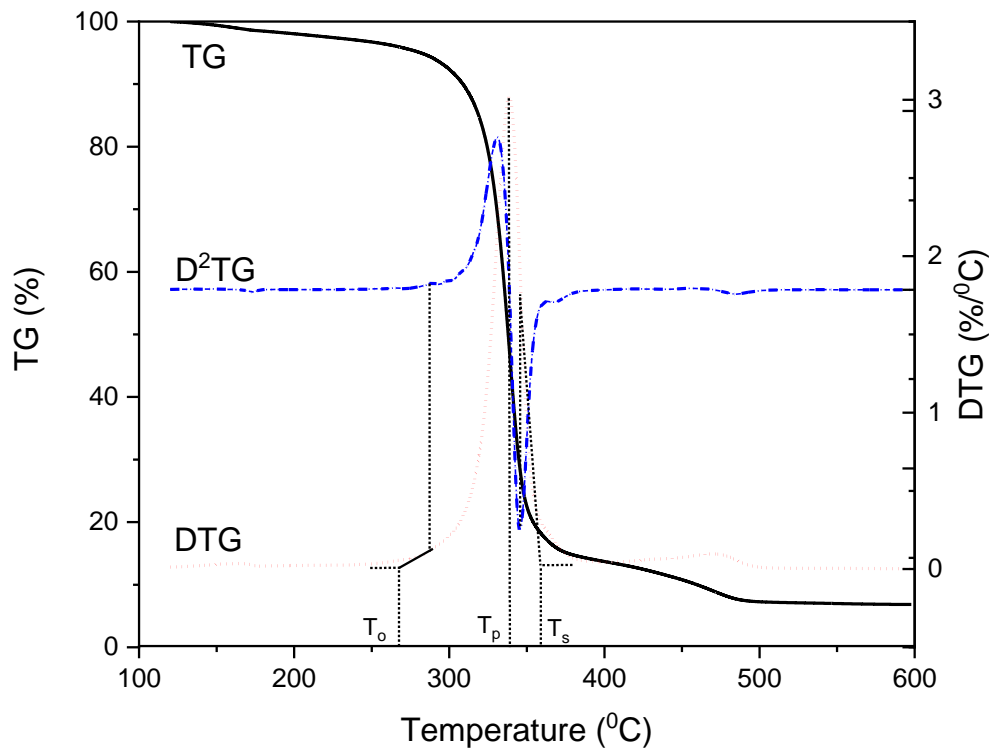
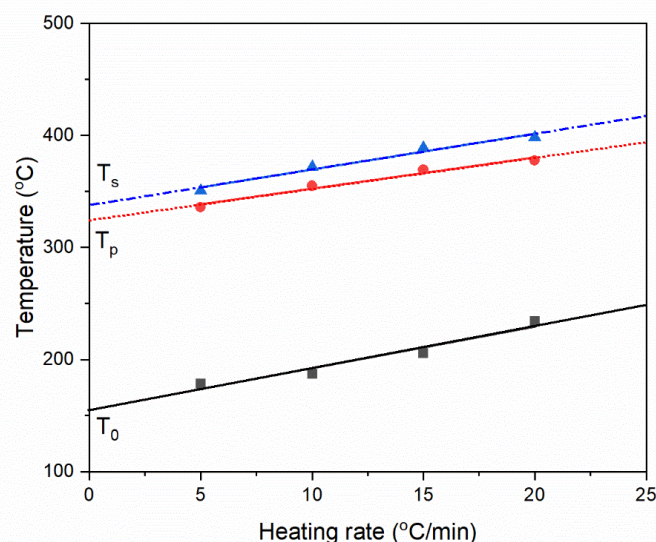


Figure 4. Calculation method of decomposition parameters of PW9S1 at the 5 °C/min heating rate.

Table 2. Thermal decomposition kinetic parameters of the filaments.

Sample	HR (°C/min)	T ₀ (°C)	W ₀ (%)	T _p (°C)	W _p (%)	T _s (°C)	W _s (%)	RES (%)	T _s -T ₀ (°C)	W _s -W ₀ (%)
PW	5	277.1	2.3	339.9	55.9	355.9	81.1	6.5	78.8	78.8
	10	297.4	2.4	359.5	57.8	372.8	81.5	6.6	75.4	79.1
	15	302.6	2.2	362.1	50.9	378.2	80.7	6.9	75.6	78.5
	20	306.9	2.0	382.5	56.8	398.7	92.7	6.9	91.8	90.7
	mean							6.7	80.4	81.8
PW9S1	5	235.4	2.8	338.6	52.1	353.7	79.8	6.8	118.3	77.0
	10	253.1	2.9	357.1	54.0	373.5	81.0	6.9	120.4	78.1
	15	256.5	2.6	368.0	55.0	384.8	81.6	6.6	128.3	79.0
	20	263.0	2.6	379.9	55.6	398.7	92.8	6.7	135.7	90.2
	mean							6.8	125.7	81.1
PW8S2	5	178.6	2.5	335.7	50.8	350.7	79.2	7.4	172.1	76.7
	10	187.1	2.4	355.0	52.5	371.8	79.0	7.3	184.7	76.6
	15	205.7	2.8	369.2	52.7	389.1	80.7	7.6	183.4	77.9
	20	234.2	3.6	377.4	53.2	398.2	91.1	7.5	164.0	87.5
	mean							7.5	176.1	79.7

Figure 5 shows the method to calculate thermal decomposition kinetic characteristic parameters- T_{0β}, T_{pβ}, and T_{sβ}. These were obtained from the extrapolation of the temperature plots to the β=0 position. This method was used to eliminate the impact of the heating rates on the above characteristic temperatures. The typical values of the above the temperatures and the related weight losses are listed in Table 3.

**Figure 5.** Calculation method of thermal decomposition kinetic characteristic parameters (T_{0β}, T_{pβ}, and T_{sβ}) using the PW8S2 filament as an example.**Table 3.** Decomposition parameters of filaments after a linear extrapolation to the β=0 position.

Sample	Temperature			Weight loss		Residue (%)
	T _{0β} → 0 (°C)	T _{pβ} → 0 (°C)	T _{sβ} → 0 (°C)	WL _{0β} → 0 (%)	WL _{sβ} → 0 (%)	
PW	272.4	328.4	343.0	2.5(0.5)	75.5(0.4)	6.4
PW9S1	230.5	327.2	341.1	3.0(0.4)	73.9(0.6)	6.9
PW8S2	155.1	324.5	337.5	1.9(0.7)	73.2(0.5)	7.3

3.2.2. Determination of apparent activation energy

Typical kinetic plots of Friedman (FD), Flynn-Wall-Ozawa (FWO), and Coats-Redfern (CR) methods show a primary decomposition trend of apparent activation energy (AAE) of PW, PW9S1, and PW8S2 filaments. The nearly parallel lines were obtained for PW sample in the conversion rate of 0.1-0.4 with the FD method - Figure 6 (a), which indicates similar AAE values at these positions. The lines became unparallel at the conversion rate of 0.5, which indicated that the thermal reaction mechanism might be changed from this stage [32]. In the FWO and CR methods (Figure 6b, 6c), the plots became paralleled upto a higher conversion rate ($\alpha=0.9$), indicating that there was no thermal reaction mechanism change within the conversion rates. The plots with the FD, FWO, and CR methods for the PW9S1 filament were similar to these of PW. It implied a similar thermal decomposition mechanism. For the PW8S2 sample, the plot of FD method was different from the other two samples, and the plot was still parallel in the conversion rate of 0.5. The plots of FWO and CR methods were similar to these of the PW9S1 and PW samples.

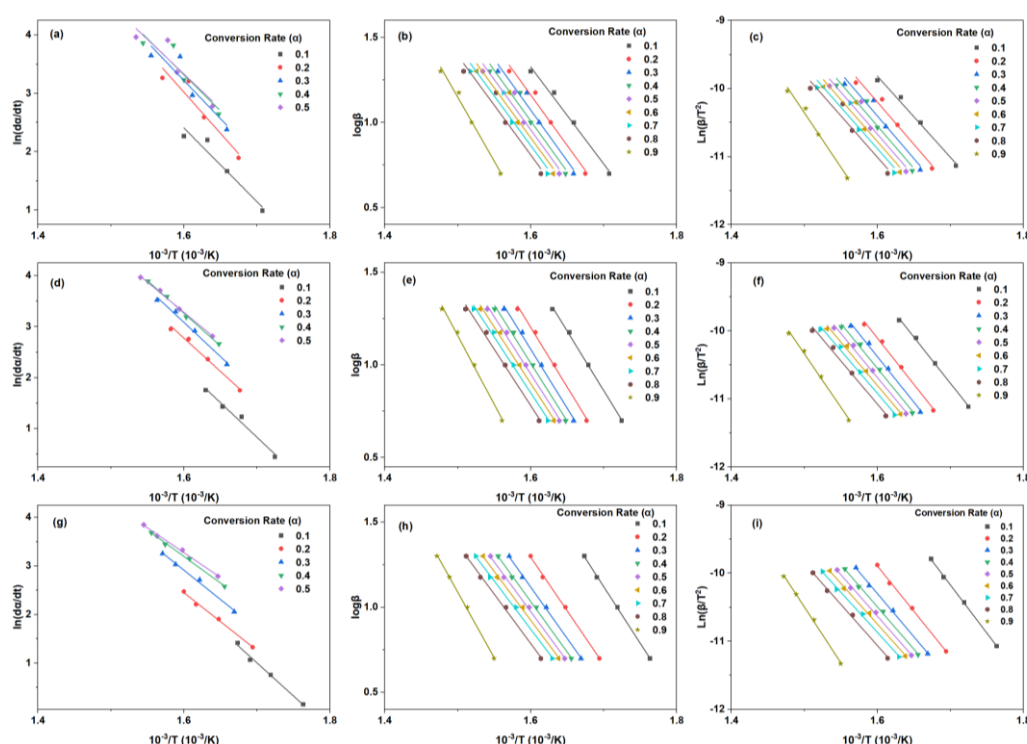
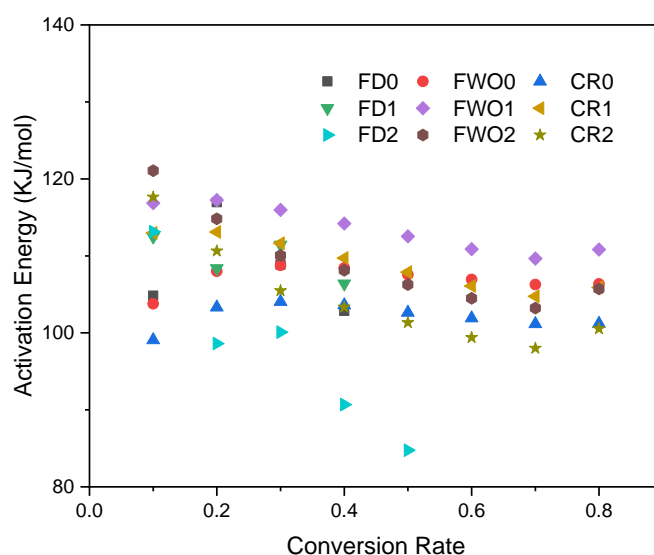


Figure 6. Iso-conversion plot for PW, PW9S1, and PW8S2 using FD method (a, d, g), FWO method (b, e, h), and CR method (c, f, i).

Table 4 and Figure 7 show that the values of the AAE of PW, PW9S1, and PW8S2 by using the slopes of the FD, FWO, and CR according to different conversion rates. For PW filament, the mean AAE value obtained from FD method was 108.3 kJ/mol, which was slightly higher than 106.9 kJ/mol (the FWO method) and 102.0 kJ/mol (the CR method). For PW9S1 sample, the mean AAE value from FD method was 109.6 kJ/mol, which was smaller than 113.4 kJ/mol (the FWO method) and higher than 108.9 kJ/mol (the CR method). Last, for PM8S2 sample, the AAE values calculated from FD, FWO, and CR methods were 97.4 kJ/mol, 109.1 kJ/mol, and 104.5 kJ/mol, respectively. As shown in Figure 7, the AAE values were observed to be mainly in a 'band' shape between 97 kJ/mol and 114 kJ/mol.

Table 4. AAE values of the three model-free FD, FWO, and CR methods with conversion rate between 0.1 and 0.8.

Sample	Conversion rate	FD		FWO		CR	
		Ea (KJ/mol)	R ²	Ea (KJ/mol)	R ²	Ea (KJ/mol)	R ²
PW	0.1	104.8	0.9093	103.7	0.9849	99.0	0.9815
	0.2	116.9	0.8567	107.9	0.9661	103.3	0.9588
	0.3	108.8	0.7865	108.7	0.9469	104.0	0.9358
	0.4	102.8	0.7787	108.4	0.9338	103.6	0.9200
	0.5			107.5	0.9235	102.6	0.9076
	0.6			106.9	0.9136	101.9	0.8956
	0.7			106.2	0.9093	101.1	0.8901
	0.8			106.3	0.9187	101.2	0.9012
	mean	108.3	0.8328	106.9	0.9371	102.0	0.9238
PW9S1	0.1	112.4	0.9706	116.8	0.9977	112.9	0.9972
	0.2	108.3	0.9842	117.2	0.9945	113.0	0.9933
	0.3	111.4	0.9844	115.9	0.9934	111.6	0.9919
	0.4	106.3	0.9959	114.2	0.9932	109.7	0.9916
	0.5			112.5	0.9926	107.8	0.9909
	0.6			110.8	0.9917	106.0	0.9898
	0.7			109.6	0.9911	104.7	0.9890
	0.8			110.8	0.9897	105.9	0.9874
	mean	109.6	0.9838	113.4	0.9930	108.9	0.9914
PW8S2	0.1	113.1	0.9877	121.0	0.9993	117.6	0.9992
	0.2	98.6	0.9948	114.8	0.9982	110.6	0.9978
	0.3	100.0	0.9916	110.0	0.9979	105.4	0.9974
	0.4	90.6	0.9944	108.1	0.9978	103.3	0.9973
	0.5	84.7	0.9943	106.2	0.9974	101.3	0.9968
	0.6			104.4	0.9975	99.4	0.9969
	0.7			103.2	0.9971	97.9	0.9964
	0.8			105.7	0.9967	100.5	0.9958
	mean	97.4	0.9926	109.1	0.9977	104.5	0.9972

**Figure 7.** A comparison of AAE values at different conversion rates for PW (0), PW9S1(1), and PW8S2(2) from FD, FWO, and CR models.

The AAE values for the three filaments were also calculated with the Kissinger (KS) method, (Figure 8). The AAE values of PW, PW9S1, and PW8S2 were 99.9 kJ/mol, 102.8 kJ/mol,

and 97.6 kJ/mol, respectively. Comparing with the AAE values from other three methods as listed in Table 4, the AAE values were relatively smaller except the AAE value of 97.4 kJ/mol with the FD method for the PW8S2 filament. The difference was thought to be due to different model formats in deriving the AAE values [32]. The AAE value information is helpful in understanding thermal decomposition with different analytical methods [32].

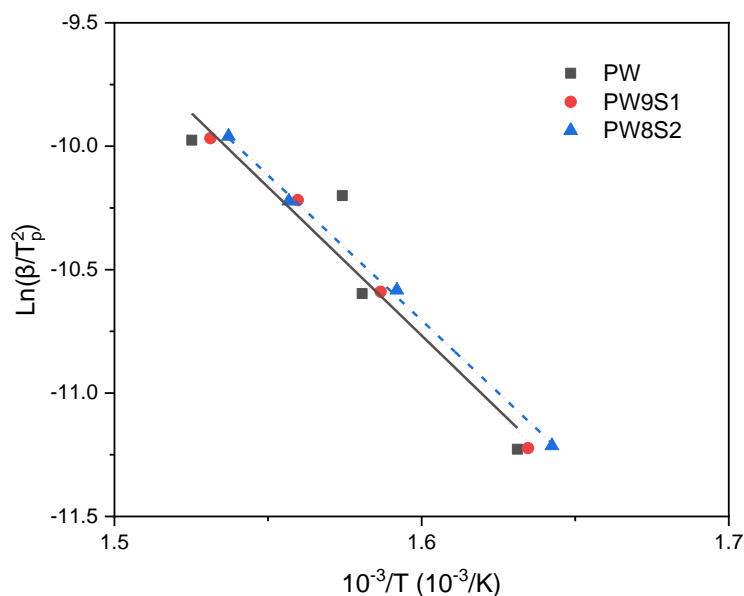


Figure 8. Relationship of $\ln\beta/T_p^2$ versus $1/T_p$ from the Kissinger model for PW, PW9S1, and PW8S2 samples.

4. Conclusions

This study demonstrates morphology, chemical structure and thermal decomposition properties of wood-filled PLA/TPS blend filaments for 3D printing. Morphology analysis shows presence of both wood filler and TPS particles in the system. There was little bonding between filler (wood) and PLA matrix in the original commercial filament, indicating an uncompatibilized wood-PLA blend. FTIR results indicated that filament with higher TPS content showed more surface chemical structural change. The onset thermal degradation temperatures of the three filaments were 272.4°C, 230.5°C, 155.1°C, respectively, showing a gradual decrease with the increased starch ratio in the filament. The overall thermal degradation degree became smaller and the temperature (T_s-T_o) interval became larger with increased starch content. The AAE values for all filaments varied from 97 kJ/mol to 114 kJ/mol, depending on material composition (i.e., starch content) and method of calculation. The Kissinger (KS) method led to smaller AAE values, compared with data from the FD, FWO, and CR methods. The kinetic temperatures and AAE values obtained from various methods can help improve understanding of thermal decomposition behavior of more biodegradable PLA-starch based filaments for 3D printing.

Author Contributions: YS and QW designed the study. YS and DL conducted the test. DL, JG, JY and XL helped to analyze data. YS, YW and QW wrote the initial manuscript. YS, JG, GX, DL and SL reviewed and revised manuscript. All authors read and approved the manuscript.

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