

1
2
3 **Minimum size of respiratory droplets containing SARS-CoV-2 and**
4 **aerosol transmission possibility**

5
6 **Byung Uk Lee^{1*}**
7

8 *¹Aerosol and Bioengineering Laboratory, College of Engineering, Konkuk University, 120*
9 *Neungdong-ro, Gwangjin-gu, Seoul 05029, Republic of Korea*

10
11 **ABSTRACT**
12

13 A short review of several important studies was conducted to evaluate the potential of aerosol
14 transmission of Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2). The
15 minimum droplet size containing the SARS-CoV-2 virus was estimated and analyzed in this
16 review.
17

18
19 **Keywords:** Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2); COVID19;
20 Middle East Respiratory Syndrome Coronavirus; bioaerosol; aerosol
21
22
23

*Corresponding author

Tel: 82-2-450-4091

Fax: 82-2-447-5886

E-mail address: leebu@konkuk.ac.kr

24 INTRODUCTION

25 The pandemic caused by the novel Severe Acute Respiratory Syndrome Corona virus 2(SARS-
26 CoV-2) that emerged in Wuhan city, China has spread globally, with more than hundreds of
27 thousand infected cases reported worldwide.¹ There are more than ten thousand people infected
28 with SARS-CoV-2 in Republic of Korea.¹ However, few studies have been conducted on the
29 aerosol transmission of the coronavirus. In this study, a detailed review of important previous
30 studies supporting the aerosol transmission of SARS-CoV-2 was conducted. Specific studies
31 estimating and analyzing the minimum size of respiratory droplets containing SARS-CoV-2 were
32 newly conducted for this review study. Various logical reasons were found to support the
33 possibility of aerosol transmission of SARS-CoV-2 in 2020.

34

35 RESPIRATORY DROPLETS

36 SARS-CoV-2 is considered to be mainly transmitted via respiratory droplets.² Therefore, it is
37 important to determine the size of respiratory droplets for preventing the spread of this disease.
38 Several experimental results support that respiratory droplets are sufficiently small for aerosol
39 transmission of microorganisms inside droplets. In a study by Johnson et al. (2011), it was
40 reported that healthy subjects (8 - 15 humans) generated aerosol particles, including respiratory
41 droplets of three size modes, 1.6, 2.5, and 145 μm during speech and 1.6, 1.7, and 123 μm during
42 voluntary coughing.³ The generated particles contained large droplets with a size of more than
43 100 μm which were bound to fall to the ground within a few seconds. However, small particles of
44 approximately 2 μm were found to be generated simultaneously and could remain airborne for
45 dozens of minutes. In another study by Lindsley et al. (2012), the sizes of the aerosol particles
46 generated by patients (9 subjects) infected by influenza viruses were measured.⁴ The size of the
47 generated particles ranged from 0.35 to 9 μm . Among the particles generated by the influenza

48 patients, the particles with a size range of 0.35 - 2.5 μm were of a higher number concentration.
49 These particles could remain airborne for dozens of minutes to several hours. Although the
50 measuring device has limitations of a size range in this study, the detected particles from
51 influenza-infected patients are estimated to be mainly airborne for significant periods. The
52 aerosol particles from patients (10 subjects) having an upper respiratory tract infection were
53 measured by Lee et al. (2019) under clean air environment conditions.⁵ The size of the generated
54 particles from these patients ranged from < 100 nm to $10 \mu\text{m}$. A significant number of particles of
55 size $< 1 \mu\text{m}$ were generated by coughing patients.

56 The results of these studies conducted on healthy humans, influenza-infected patients, and upper
57 respiratory tract infected patients demonstrated that a significant amount of respiratory droplets
58 that are sufficiently small to be airborne for at least several minutes are generated. Therefore,
59 airflow can transport these particles over time.

60 Additionally, the characteristics of the generated respiratory droplets were found to be related to
61 human health conditions. For instance, in studies conducted with patients of influenza and upper
62 respiratory tract infection, the amounts of generated aerosol particles decreased when human
63 subjects recovered from the diseases.^{4,5}

64

65 **CORONAVIRUS BIOAEROSOLS**

66 Two major studies have been conducted on aerosols carrying coronaviruses. In the first study,
67 Middle East Respiratory Syndrome Coronavirus (MERS-CoV) was aerosolized for 10 min and its
68 viability was measured under 40% and 70% relative humidity (RH) conditions.⁶ It was found that
69 MERS-CoV was considerably stable under 40% RH. However, the virus viability was
70 significantly lost under 70% RH. Therefore, it can be concluded that artificially generated

71 MERS-CoV usually can spread in dry weather conditions.⁷ In the second study by van
72 Doremalen, et al. (2020), SARS-CoV-2 was aerosolized for 3 h and its viability was analysed. It
73 was found that the virus was viable even after 3 h with limited loss of viability.⁸

74

75 **ESTIMATED MINIMUM SIZE OF AEROSOL DROPLETS CONTAINING** 76 **SARS-COV-2**

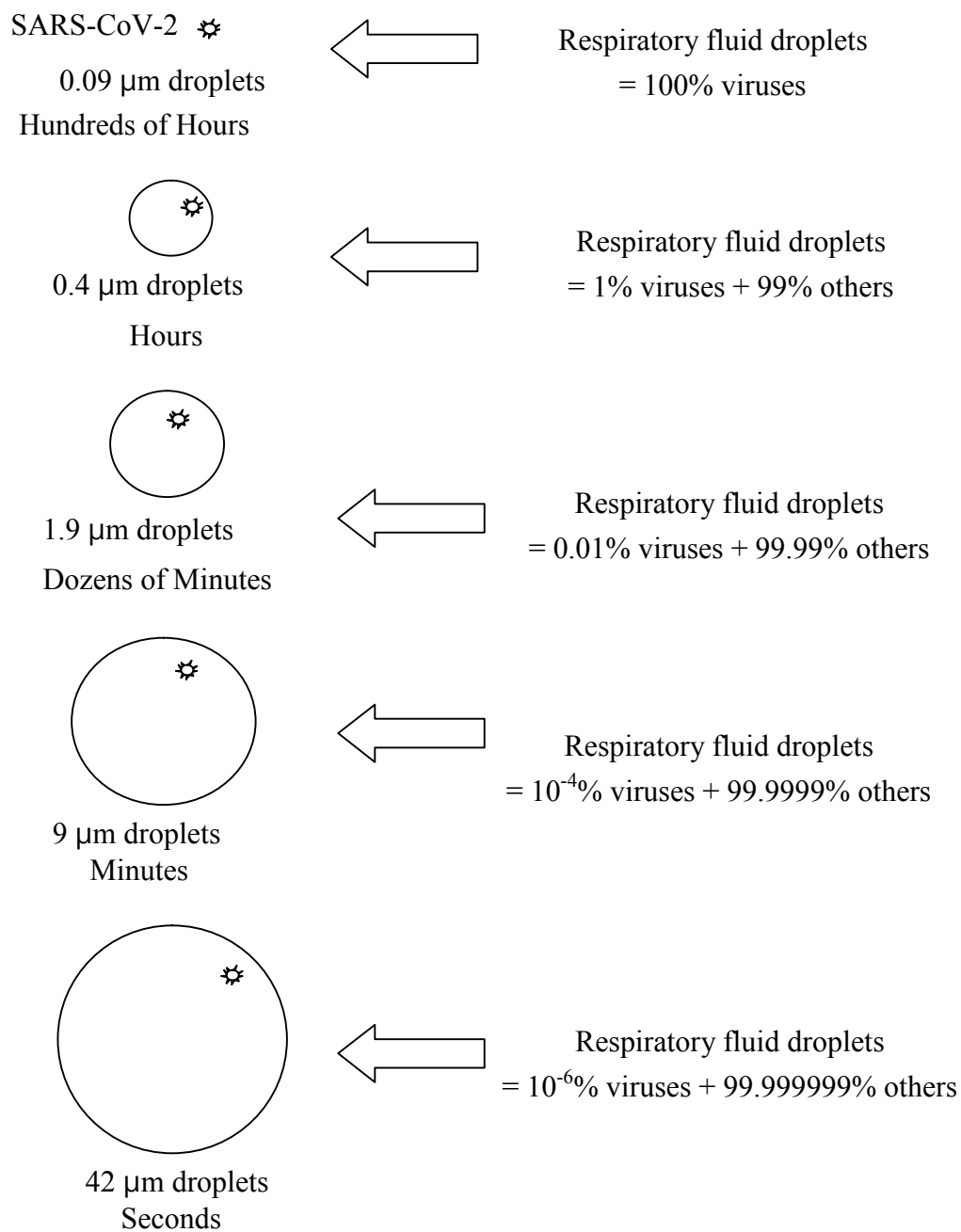
77 The size of SARS-CoV-2 ranged from 70 to 90 nm.^{9,10} If respiratory droplets sized 0.09 μm
78 contain a single SARS-CoV-2 (maximum size case), it can be estimated that 1 mm sized droplets
79 can contain viruses up to the order of 10^{12} . In other words, it can be assumed that 100% of the
80 respiratory fluid droplet is full of viruses. If only 1% of the respiratory fluid droplet is occupied
81 by SARS-CoV-2, the minimum size of the respiratory droplet that can contain SARS-CoV-2 is
82 approximately 0.4 μm . If only 0.01% of the respiratory fluid droplet is occupied by SARS-CoV-2,
83 the minimum size of the respiratory droplet that can contain SARS-CoV-2 is approximately 1.9
84 μm . If only 10^{-4} % of the respiratory fluid droplet is occupied by SARS-CoV-2, the minimum size
85 of the respiratory droplet that can contain SARS-CoV-2 is approximately 9 μm . Furthermore, if
86 only 10^{-6} % of the respiratory fluid droplet is occupied by SARS-CoV-2, the minimum size of the
87 respiratory droplet that can contain SARS-CoV-2 is approximately 42 μm . Previous studies
88 stated that these particles of minimum size could practically be respiratory droplets.³⁻⁵ Figures 1
89 and 2 show a schematic diagram depicting these calculated estimates.

90

91

92

93



94

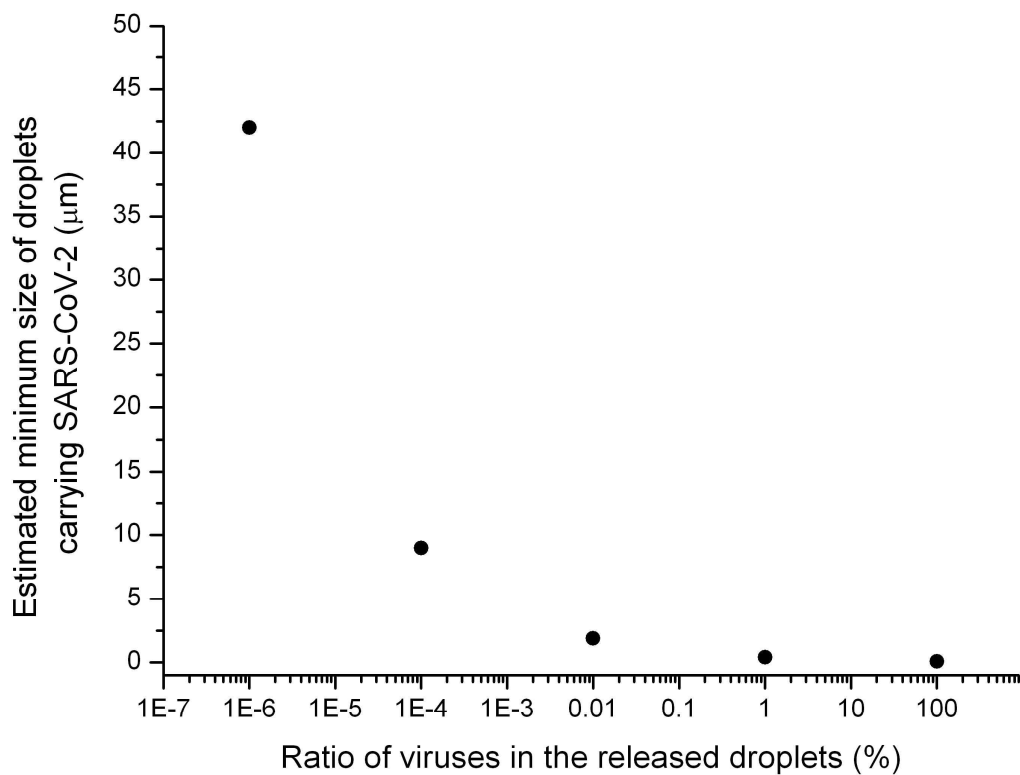
95

96 Figure 1. Estimated minimum size of droplets (homogeneity assumption) containing SARS-CoV-
97 2 and aerosol levitation time (BUL's theory)

98

99 The ratio of viruses in the released respiratory fluid droplets is not known. If the respiratory
100 droplets are larger than 50 μm , they can be airborne only for a few seconds, therefore, the
101 possibility of aerosol transmission is limited. However, if the respiratory droplets are smaller than
102 9 μm ($10^{-4}\%$ condition, probability of one in a million), they can be airborne for more than
103 minutes or even hours. In such a case, the aerosol transmission of viable SARS-CoV-2 could be
104 possible.^{6,8}

105



106

107 Figure 2. Estimated minimum size of droplets (homogeneity assumption) carrying (potentially)
108 SARS-CoV-2 (BUL's theory)

109

110 **CASES OF INFECTION AND CONCLUSIONS**

111 There have been several clusters of infections in Republic of Korea.¹¹ More than 100 people
112 were infected by SARS-CoV-2 at a hospital. At a telephone call service center inside a building,
113 more than 80 employees were infected by SARS-CoV-2. More than 40 people were infected by
114 SARS-CoV-2 at a church. Other additional cluster cases have been reported. Although an
115 infectious dose of SARS-CoV-2 for COVID19 is unknown, the cases where more than dozens of
116 people were infected by the virus in fixed limited environments, the size of respiratory droplets,
117 and viability of SARS-CoV-2 bioaerosols demonstrate that aerosol transmission of SARS-CoV-2
118 can be possible in a confined environment. Thus, it can be concluded that the SARS-CoV-2
119 bioaerosols can be considered to play an important role in the pandemic infections in 2020.

120

121 Acknowledgments: This research received no external funding.

122 Conflicts of Interest: The author declares no conflicts of interest.

123

124 **REFERENCES**

- 125 1. WHO, Coronavirus disease 2019 (COVID-19) Situation report-87. 2020. (Accessed 17th of
126 April 2020, [https://www.who.int/emergencies/diseases/novel-coronavirus-2019/situation-](https://www.who.int/emergencies/diseases/novel-coronavirus-2019/situation-reports/)
127 [reports/.](https://www.who.int/emergencies/diseases/novel-coronavirus-2019/situation-reports/))
- 128 2. WHO, Q&A on coronaviruses (COVID-19) (March 21, 2020) (Accessed 21th of March 2020,
129 <https://www.who.int/news-room/q-a-detail/q-a-coronaviruses>)
- 130 3. Johnson,G.R.; Morawska, L.; Ristovski, Z.D.; Hargreaves, M.; Mengersen, K.; Chao, C.Y.H.;
131 Wan, M.P.; Li, Y.; Xie, X.; Katoshevski, D.; Corbette, S. Modality of human expired aerosol
132 size distributions. *Journal of Aerosol Science* **2011**, 42, 839-851.
- 133 4. Lindsley, W.G.; Pearce, T.A.; Hudnall, J.B.; Davis, K.A.; Davis, S.M.; Fisher, M.A.; Khakoo,
134 R.; Palmer, J.E.; Clark, K.E.; Celik, I.; Coffey, C.C.; Blachere, F.M.; Beezhold, D.H.
135 Quantity and Size Distribution of Cough-Generated Aerosol Particles Produced by Influenza
136 Patients During and After Illness. *Journal of Occupational and Environmental Hygiene* **2012**,
137 9(7), 443–449.
- 138 5. Lee, J.; Yoo, D.; Ryu, S.; Ham, S.; Lee, K.; Yeo, M.; Min, K.; Yoon, C. Quantity, Size
139 Distribution, and Characteristics of Cough-generated Aerosol Produced by Patients with an

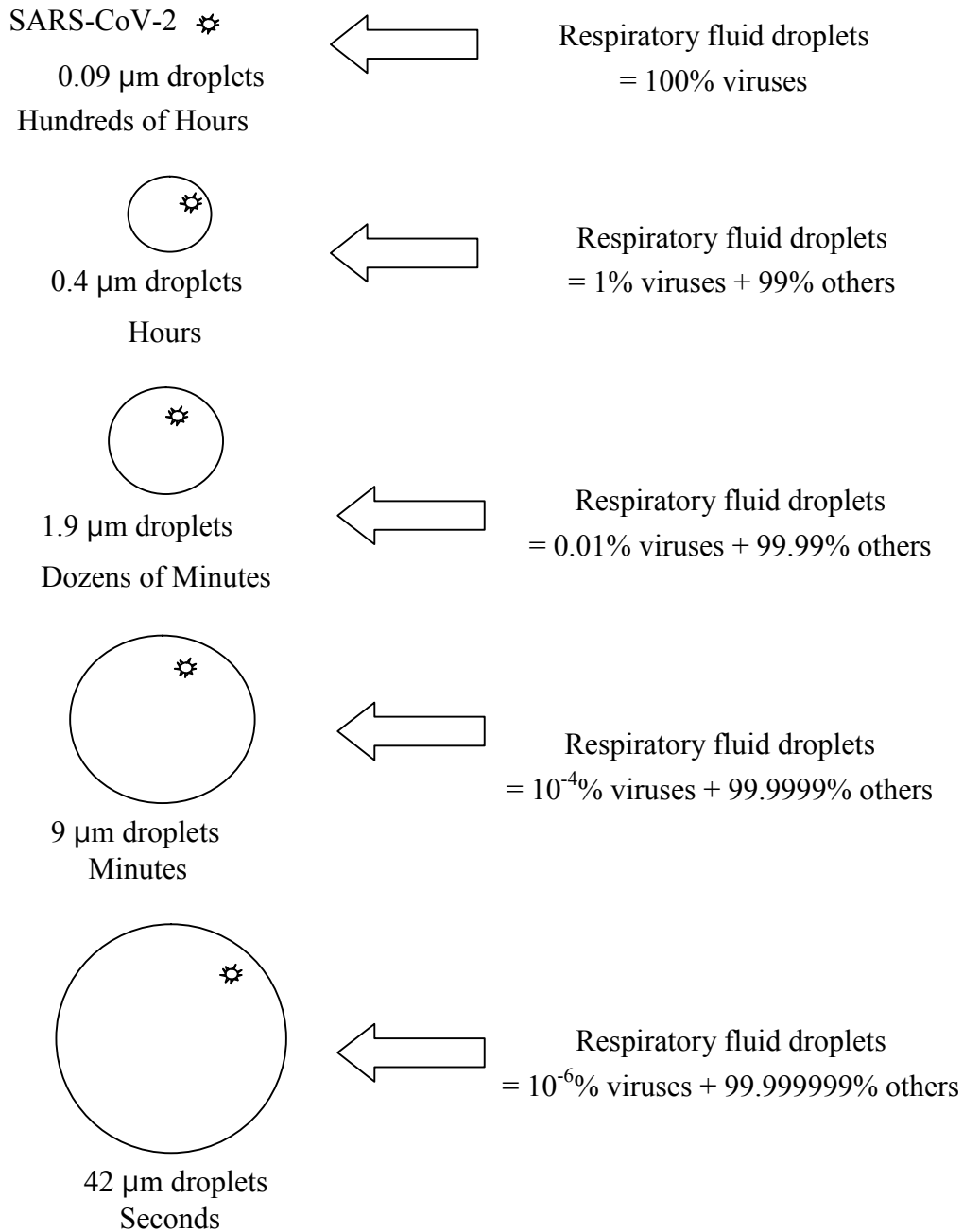
- 140 Upper Respiratory Tract Infection. *Aerosol and Air Quality Research* **2019**, 19, 840–853,
141 2019
- 142 6. van Doremalen, N.; Bushmaker, T.; Munster, V. J. Stability of Middle East respiratory
143 syndrome coronavirus (MERS-CoV) under different environmental conditions. *Euro Surveill*
144 **2013**, 18(38), pii=20590. <https://doi.org/10.2807/1560-7917.ES2013.18.38.20590>
- 145 7. Lee, B.U. (2015) Possibility of air infection of MERS. *Hankyurae Newspaper* (June, 23, 2015).
146 <http://www.hani.co.kr/arti/opinion/column/696993.html> (in Korean)
- 147 8. van Doremalen, N.; Bushmaker, T.; Morris, D.H.; Phil, M.; Holbrook, M.G.; Gamble, A.;
148 Williamson, B.N.; Tamin, A.; Harcourt, J.L.; Thornburg, N.J.; Gerber, S.I.; Lloyd-Smith,
149 J.O.; Wit, E.d.; Munster, V.J. Aerosol and surface stability of SARS-CoV-2 as compared with
150 SARS-CoV-1. *N Eng J Med. Med.* **2020** DOI:10.1056/NEJMx2004973
- 151 9. Kim, J. M.; Chung, Y.S.; Jo, H.J.; Lee, N.J.; Kim, M.S.; Woo, S.H.; Park, S.; Kim, J.W.; Kim,
152 H.M.; Han, M.G. Identification of Coronavirus Isolated from a Patient in Korea with COVID-
153 19. *Osong Public Health Res Perspect* **2020**, 11, 3–7.
- 154 10. Park, W.B.; Kwon, N.J., Choi, S.J.; Kang, C.K.; Choe, P.G.; Kim, J.Y.; Yun, J.; Lee, G.W.;
155 Seong, M.W.; Kim, N.J.; Seo, J.S.; Oh, M.d. Virus Isolation from the First Patient with
156 SARS-CoV-2 in Korea. *J Korean Med Sci* **2020**, 35, e84.
- 157 11. KCDC, Briefing Report (April 17, 2020)
158 [http://ncov.mohw.go.kr/tcmBoardView.do?brdId=&brdGubun=&dataGubun=&ncvContSeq=](http://ncov.mohw.go.kr/tcmBoardView.do?brdId=&brdGubun=&dataGubun=&ncvContSeq=354099&contSeq=354099&board_id=&gubun=ALL)
159 [354099&contSeq=354099&board_id=&gubun=ALL](http://ncov.mohw.go.kr/tcmBoardView.do?brdId=&brdGubun=&dataGubun=&ncvContSeq=354099&contSeq=354099&board_id=&gubun=ALL)

160
161
162
163
164
165
166

167 **List of Tables and Figures**

168

169



170

171

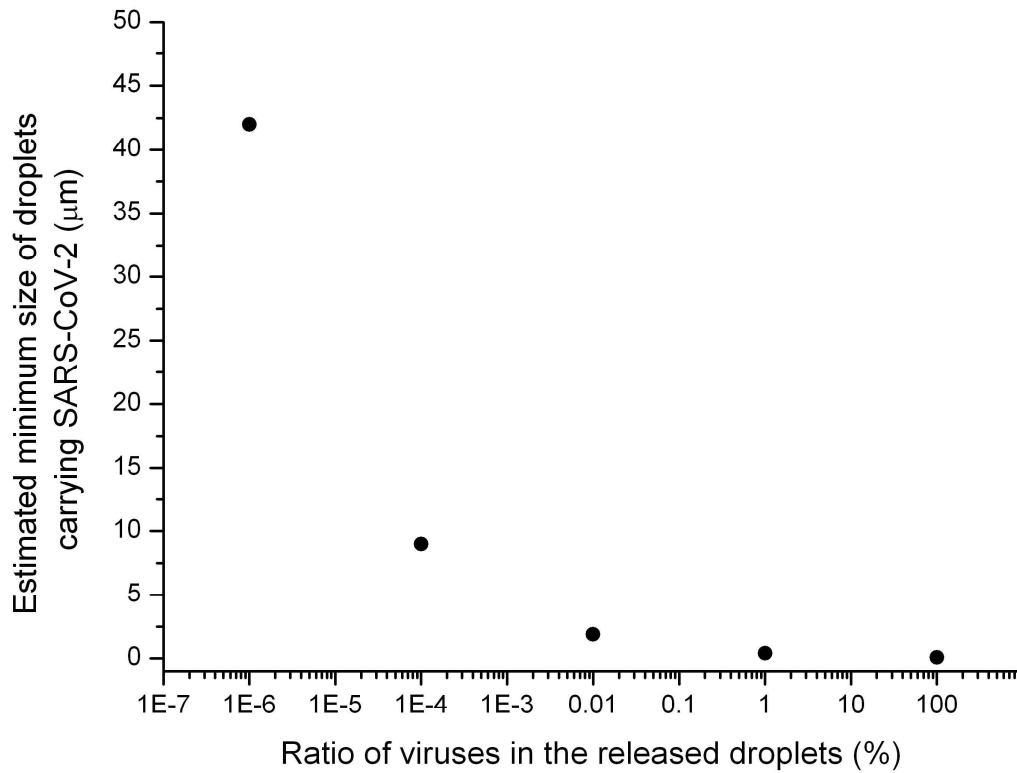
172 Figure 1. Estimated minimum size of droplets (homogeneity assumption) containing SARS-CoV-

173 2 and aerosol levitation time (BUL's theory)

174

175

176



177

178 Figure 2. Estimated minimum size of droplets (homogeneity assumption) carrying (potentially)

179 SARS-CoV-2 (BUL's theory)

180

181

182

183

184