

# Open Questions for Harnessing Autophagy-Modulating Drugs in the SARS-CoV-2 War

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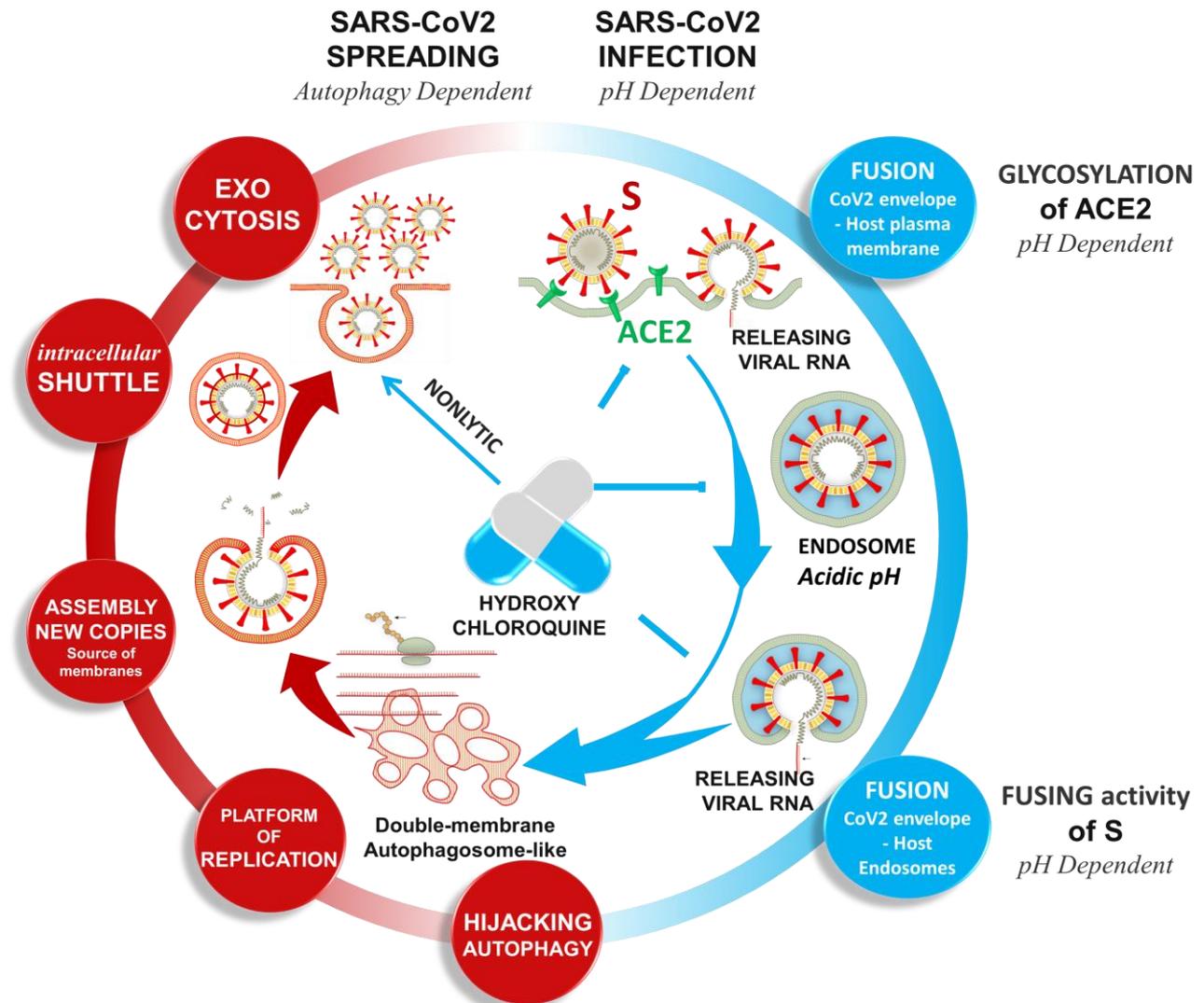
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## Abstract

At a time when the world faces an emotional breakdown, crushing our dreams if not taking our lives, we realize that together we must fight the war against the COVID-19 outbreak even if almost the majority of the scientific community finds itself confined to home. Every day, like everyone else, we, scientists, listen to the latest news with its promises and announcements. Across the world, a surge of clinical trials trying to cure or slow down the coronavirus pandemic has been launched to bring hope instead of fear and despair. One of the most recent has drawn worldwide hype to the possible benefit of chloroquine (CQ), a well-known and broadly used anti-malarial drug, in the treatment of patients infected by the recently emerged deadly coronavirus (SARS-CoV-2). We should consider this information in the light of the long-standing anti-inflammatory and anti-viral properties of CQ-related drugs. Yet, none of these articles evoked a possible molecular or cellular mechanism of action that could account for any efficacy. Here, given the interaction of viruses with macroautophagy (hereafter referred to as autophagy), a CQ-sensitive anti-viral safeguard pathway, we would like to discuss some pros and cons concerning the current therapeutic options targeting this process.

## Graphical Abstract



**Hypothetical schematic diagram showing the replication cycle of coronavirus and the steps controlled by autophagy and the anti-viral drug hydroxychloroquine.** The infection of lung epithelial cells starts with the binding of the viral SARS-CoV-2 particle to a cell surface receptor ACE2 and subsequent cell entry either at the plasma membrane or in endosomes upon endocytosis. There, the released genomic RNA hijacks the autophagy pathway (red) for active replication, assembly of newly synthesized particles, and intracellular shuttling to exocytosis. The pH-sensitive steps inhibited by Hydroxychloroquine are hypothetical and indicated in blue.

## What is this virus?

In December 2019, the etiological agent of an outbreak of pneumonia in Wuhan, China, was identified as severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). On February 11, 2020, WHO named this atypical viral pneumonia 'COVID-19,' for 'coronavirus disease 2019', and declared it as a global public health emergency situation. By March 23, 2020, only three months later, more than 180 countries worldwide acknowledged the existence of the COVID-19 pandemic<sup>1</sup>. The virus had infected 339,645 people worldwide, and the number of deaths had totaled 14,717 so far. The most severely affected countries outside of China include Italy, Iran, Spain, and France, ruling out a 'Chinese' ethnic susceptibility. This new coronavirus seems to be one of the most hazardous viruses, more than the related SARS-CoV-1 and MERS-CoV, because of its unique features in terms of the clinical severity, high transmissibility, and rapid global spread due to an asymptomatic incubation period. Interestingly, all of the three coronaviruses that have been transmitted to humans to date (SARS-CoV-1, MERS-CoV, and SARS-CoV-2) are single-stranded, positive-sense RNA, (+)ssRNA, viruses, that generally affect animal species (bats, palm civets, camels ...) and accidentally cross over humans, causing illness ranging from the common cold to sudden and fatal respiratory distress such as for MERS, SARS, and COVID-19.

## How can we eradicate it? Is it possible to take off-the-shelf anti-viral drugs already prescribed for Ebola or HIV?

With no licensed specific vaccines available to prevent CoV-2 infection, the connection between basic bench research and treatment in humans has never seemed more important. Facing this global emergency, the activity of all approved anti-viral drugs is being re-examined. As first candidates, more than 81 clinical trials are being quickly performed worldwide to repurpose old drugs as a "miracle" cure, including remdesivir that was previously used against Ebola, the lopinavir and ritonavir combination used against HIV<sup>2</sup>, and also the anti-malarial drug hydroxychloroquine (HCQ) with broad recognized anti-viral activity against HIV and SARS-COV-1<sup>3,4</sup>. A wave of hype claims that HCQ is the most promising treatment to limit CoV-2 infection<sup>5-7</sup>.

Given its low cost, large availability throughout the world, and safety history, further clinical studies with a larger sample size of cohort patients are urgently needed to confirm the effectiveness of HCQ against the COVID-19 pandemic. On Thursday, March 19, the US Food and Drug Administration (FDA) approved HCQ use for this purpose. Only forty-eight hours later, on March 21, a large European clinical initiative, called DISCOVERY and coordinated by INSERM, was launched. This is the first large-scale clinical study for this

outbreak, enrolling 3200 patients and providing unparalleled longitudinal observations of CoV-2 dynamics and patient rescue under five treatment modalities: 1) standard of care (SOC); 2) SOC plus remdesivir; 3) SOC plus lopinavir and ritonavir; 4) SOC plus lopinavir, ritonavir and IFNB1 (interferon beta 1); 5) SOC plus HCQ.

### **What is the mechanism underlying the possible HCQ effectiveness?**

While it is speculative, we assume that HCQ may act on a CoV-2 infection at several levels:

1) By reducing the cell surface expression of the host CoV receptor. The host cell entry constitutes the first step of a viral infection. For CoV-2 similar to the other enveloped viruses, this involves the binding of the coronavirus spike (S) glycoprotein to a single host cell receptor, ACE2 (angiotensin I converting enzyme 2), followed by the fusion of the virus to cellular membranes<sup>8,9</sup>.

Of interest, it turns out that the acidic pH of the Golgi lumen is crucial for proper ACE2 terminal glycosylation and sorting. Hence raising the Golgi pH with HCQ, a weak base, at doses compatible with patient treatment, is sufficient *in vitro* to induce intracellular retention of ACE2 and thereby abrogate the related SARS-CoV-receptor binding and entry at the cell surface<sup>4,10</sup>.

2) By inhibiting the fusion activity of CoV-2. Alternatively, CoV-2 may take advantage of the endosome to enter deeply into the host cell. Therein the acidic endosomal pH and proteolytic cleavage activate the fusion function of CoV-2 spike protein, controlling in time and space the release of the viral genome into the cytosol. As a result, optimal infection of the host cells by CoV-2 is significantly impaired by drugs that inhibit endosomal acidification such as HCQ<sup>11</sup>.

3) By inhibiting the autophagy flux and thereby the virus replication, while promoting the nonlytic exocytosis<sup>12</sup>. As obligate intracellular parasites, viruses during an infection encounter autophagy, an intracellular process by which bulk cytoplasm is enveloped within a double-membrane vesicle, the autophagosome, from where they are shuttled to lysosomes for degradation. Besides its housekeeping role, autophagy emerges to safeguard the cells against infection not only by selectively targeting the viral components or virions for lysosomal degradation in a process termed xenophagy or, more specifically, virophagy but also by playing a pivotal role in antigen processing and the initiation of the adaptive immune response against the virus.

Undoubtedly, being a highly pathogenic virus, we propose that the interaction between CoV-2 and the autophagy pathway is complex: a) upon the destabilization of the lysosome (lysophagy) and the release of the viral genome, the alarm sirens sound, LGALS8 (galectin 8) within the GALTOR complex would inhibit MTOR, leading to the induction of autophagy<sup>13</sup>; b) however, given the severity of the disease, it might be expected that CoV-2 encodes for virulence factors that block the host autophagy machinery to escape lysis and immune surveillance<sup>14</sup>, the nature of which remains to be determined. c) Importantly, all known members of the coronavirus family have evolved to control the autophagy pathway to foster their own growth<sup>15</sup>. One feature of coronavirus-infected cells is indeed the dramatic accumulation of double-membrane vesicles resembling autophagosomes. This provides the virus with a platform for active viral RNA replication, a source of membrane for their envelope, and an intracellular shuttle for their exocytosis.

### **Can we, therefore, target autophagy to treat COVID-19?**

Although there is no experimental evidence to suggest that targeting autophagy will benefit people infected with COVID-19, we highlight the following observations from the literature: pharmacologically activating autophagy with rapamycin could enhance coronavirus production, whereas inhibiting it with HCQ could counteract it, explaining in part the reported efficacy. It is important to note that Raoult et al. proposed a treatment of SARS-CoV-2 that is based on the association between HCQ and azithromycin<sup>5</sup>, which is a protein synthesis inhibitor.

### **What is next for the future? Can we define a population at risk for COVID-19 carrying autophagy-related gene polymorphisms?**

Once an effective treatment that mitigates the symptoms of COVID-19 will be identified, it would be important to understand why a SARS-CoV-2 infection is associated with a broad range of symptoms starting from complete asymptomatic cases to deadly acute respiratory distress syndrome and subsequently death, independent of ethnicity. At present, no one knows why some people — and not others — develop this deadly response; but there are likely host risk factors, outside of aging, including genetic mutations that may predispose to the severe form of this disease.

The first epidemiological data points to a clear sex difference, with males being more frequently affected. Interestingly, *ACE2*, the receptor of SARS-CoV-2, is present on the X chromosome, underlying a possible gender sensitivity. However, similar to the other complex diseases, we propose that the risk of COVID-19 risk may be influenced by multiple host genetic components (i.e., among others, glycosylases, or proteases affecting the

ACE2-S interaction, and the inflammatory cytokines) along with the virus. We and others have provided evidence that *ATG16L1* and *IRGM* SNPs that impair the efficiency of autophagy to clear invasive pathogens predispose to the inflammatory storm in Crohn disease<sup>16</sup>, a threat relevant for the COVID-19 infection<sup>17</sup>. Importantly, we should keep in mind that these autophagy polymorphisms are frequent in the general population, from 10 to 50%, and could explain in part the extreme variability of the COVID-19 disease. This points out the importance of precision medicine.

### **CQ translation to COVID-19 treatment: Hope or Hype?**

As a global public health emergency, the first clinical trials have already been launched, but we have little, if any, time to design their clinical management. More than ever, we are in the rush of the early phases of development, and do not have the time required for the classical three-step validation—from basic bench research, to animals, then to the patients—to rigorously confirm their relevance, safety, and efficacy. As the most urgent issue is to treat patients, the fact remains that we must not lose our critical spirit<sup>18,19</sup>. Along these lines, we should be cautious against over-interpreting the encouraging findings of HCQ with regard to COVID-19 that were either obtained *in vitro*<sup>10</sup> or with a clinical trial composed of a very small cohort of 36 patients<sup>5</sup>. When we look back on any failed clinical trial, many potential culprits can be raised, such as poor planning, small sample size, or a misunderstanding of the key underlying biological principles. Offering too much hope based on therapies that have not been validated places a huge burden on society and is the result of the dilemma related to the health urgency of treating patients who may be in very severe circumstances, tempered by the high importance of practicing evidence-based medicine, as history has taught us of its significance<sup>19</sup>. The danger of this hype is multiple: believing in the effectiveness of the treatment, people may no longer protect themselves or could be exposed to the harmful side effects of an overdose (cardiac arrhythmias, blindness, deafness, and even death)<sup>20</sup>.

To conclude, this short non-exhaustive list of questions aims to very rapidly stimulate a collective reflection on how autophagy can fight CoV-2 and all future viral threats that will continue to emerge. We strongly believe that harnessing the autophagy process and FDA-approved autophagy-drugs will speed the transfer from bench to bedside, i.e., selfishly to ourselves.

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