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Human Cytomegalovirus Infection Suppresses CD34+ Progenitor Cell Engraftment in Humanized Mice

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Abstract: Human Cytomegalovirus (HCMV) infection is a serious complication in hematopoietic stem cell transplant (HSCT) recipients due to virus-induced myelosuppression and impairment of stem cell engraftment. Despite the clear clinical link between myelosuppression and HCMV infection, little is known about the mechanism(s) by which the virus inhibits normal hematopoiesis because of the strict species specificity and the lack of surrogate animal models. In this study, we developed a novel humanized mouse model system that recapitulates the HCMV-mediated engraftment failure after hematopoietic cell transplantation. We observed significant alterations in the hematopoietic populations in peripheral lymphoid tissues following engraftment of a subset of HCMV⁺ CD34⁺ HPCs within the transplant suggesting that a small proportion of HCMV-infected CD34⁺ HPCs can profoundly affect HPC differentiation in the bone marrow microenvironment. This model will be instrumental to gain insight into the fundamental mechanisms of HCMV myelosuppression after HSCT and provides a platform to assess novel treatment strategies.

Keywords: human cytomegalovirus, progenitor cell, hematopoietic stem cell transplant, myelosuppression, hematopoiesis, humanized mice

1. Introduction

Human Cytomegalovirus (HCMV) infection after Hematopoietic Stem Cell Transplantation (HSCT) is still a significant cause of morbidity and mortality in the transplant population. The spectrum of CMV infection can go from essentially asymptomatic (reactivation without any organ involvement) to CMV end-organ diseases including esophagitis, gastroenteritis, hepatitis, retinitis, pneumonia, and encephalitis. In addition, CMV infection can result in severe pancytopenia, myelosuppression, graft failure and immunosuppression that may lead to the development of concurrent infectious complications [1]. Despite the well-described clinical relevance of hematopoietic lineage infection by HCMV, the fundamental mechanisms underlying myelosuppression and graft failure are poorly understood. Early studies using *in vitro* systems indicated that HCMV infection of CD34⁺ HPCs alters myeloid development [2-5]. We recently published that latent HCMV infection of CD34⁺ HPCs induces the secretion of TGF- β that is

responsible for virus-mediated myelosuppression [6]. Even though these results provide relevant insights into the mechanism(s) for how the latent HCMV infection of a minor population of CD34⁺ HPCs in the bone marrow induces global myelosuppression in HSCT patients, the strict species specificity of HCMV and the lack of surrogate animal models have precluded the *in vivo* validation of these findings as well as the development of preventive strategies that can target latently infected cells.

Generation of humanized mice has been instrumental for the direct *in vivo* investigation of viruses with growth restricted to human cells. Our group has developed the first humanized mouse model in which human CD34⁺ HPC-engrafted NOD.Cg-Prkdc^{scid}IL2r^γm1Wjl null (huNSG) mice infected with HCMV can support a latent viral infection and where reactivation occurs in human macrophages following G-CSF-induced mobilization of bone-marrow hematopoietic cells [7]. These data recapitulate observations made in hematopoietic stem cell transplant patients receiving G-CSF mobilized cells from HCMV-seropositive donors [8-10], and also provide definitive evidence that CD34⁺ HPCs and monocytes are the source of latent HCMV (reviewed in [11]). Recently, we have extended this HCMV humanized mouse model by utilizing NSG mice implanted with fetal bone marrow, liver and thymus (huBLT mice). Similar to the huNSG model, we have shown that HCMV establishes latency and reactivates upon administration of G-CSF [12]. The huBLT mouse model is superior to conventional humanized mouse models since engraftment of human thymic tissue in these mice supports development of a functional adaptive immune system including functional CD4⁺ and CD8⁺ T-cells as well as B-cells with improved class switching [13]. Indeed, we and others have previously demonstrated that the huBLT model supports HCMV-specific T-cell and antibody responses [12,14]. Finally, huBLT mice develop more mature cell types including fibroblasts, endothelial and epithelial cells and develop a mature bone marrow environment allowing the investigation of human hematopoiesis.

In the present study, we developed a novel, modified HCMV-huBLT mouse model by engrafting the animals with a minor population of HCMV-infected progenitor cells in the context of a larger number of uninfected cells to mimic the common occurrence of a small number of CD34⁺ HPCs harboring HCMV in seropositive transplant donors. Here, we demonstrate evidence of HCMV-mediated engraftment failure after hematopoietic cell transplantation, thereby recapitulating the clinical observations and validating this model as a tool for testing novel therapeutics.

2. Materials and Methods

CD34⁺ HPC Isolation: CD34⁺ hematopoietic progenitor cells (HPCs) were isolated from de-identified human fetal liver obtained from Advanced Bioscience Resources using CD34 magnetic bead separation as previously described [12]. Briefly, a single cell suspension from total liver tissue (17wks) was generated using mechanical and enzymatic disruption followed by RBC lysis and Ficoll gradient purification. CD34⁺ HPCs were enriched using the CD34 micro-bead isolation kit from Miltenyi Biotech and purity was verified by flow cytometry analysis.

CD34⁺ HPC Colony Formation Assay: Primary CD34⁺ HPCs were thawed and recovered overnight in stem cell media. HPCs were then infected with HCMV, FACS isolated, and plated for analysis of colony formation ability as previously described [6]. CD34⁺ HPCs were infected with wild-type HCMV (strain: TB40/E-GFP) or Mock-infected for 24-48hrs. Following infection, a pure population of viable, CD34⁺, GFP⁺ (HCMV) or viable, CD34⁺ (Mock) HPCs were isolated by FACS using a BD FACS Aria equipped with 488, 633, and 405nm lasers, running FACS Diva. Sorted HPCs were plated

in Methocult H4434 (Stem Cell Technologies) in 35mm dishes in triplicate for myeloid colony assays. Total and specific colonies were enumerated manually at 14 days post-plating using a standard microscope. Experiments were performed at least in triplicate.

Mice: NOD.Cg-Prkdc^{scid}IL2r^γtm1Wjl (NSG) mice were purchased from Jackson Laboratories and bred in-house. All mice were housed in micro-isolator cages in a designated specific pathogen-free facility at OHSU and fed sterile food and water ad libitum. Euthanasia was performed via administration of CO₂ according to the American Association for Accreditation of Laboratory Animal Care (AAALAC) euthanasia guidelines. All animal experiments were conducted under the approved OHSU Institutional Animal Care and Use Committee protocol (3498), and mice were managed in accordance with the NIH Office of Laboratory Animal Welfare: "PHS Policy on the Humane Care and Use of Research Animals" and the recommendations of the AAALAC: "The Guide for the Care and Use of Laboratory Animals, 8th edition".

Generation of huBLT mice: Humanized bone marrow-thymus-liver mice were generated as previously described [12]. Briefly, adult NSG mice were anesthetized and ~1mm pieces of human fetal thymus and liver tissue were surgically implanted under the right kidney capsule. At one week post-surgery, mice were sub-lethally irradiated with 200cGy using a ¹³⁷Cs gamma radiation source. At 24 hours post-irradiation, mice were transplanted with 4.2x10⁵ autologous CD34⁺ HPCs via intravenous injection. To generate HCMV-infected huBLT mice, a portion of autologous CD34⁺ HPCs were infected with wild-type HCMV (strain: TB40/E-GFP) as described above for 24hrs, then FACS isolated for a pure population of viable, CD34⁺, GFP⁺ HPCs or control Mock-infected viable, CD34⁺ HPCs. These cells were then mixed at a ratio of 1:70 with bulk autologous CD34⁺ HPCs prior to injection.

Analysis of Human Cell Engraftment: Beginning at 2 weeks post-HPC transplant, mice were screened for human cell engraftment by peripheral tail-vein bleed and flow cytometry analysis. Freshly isolated mononuclear cells from blood, bone marrow, or spleen from huBLT or control mice were analyzed by flow cytometry at the indicated times as previously described [12]. Briefly, non-specific binding was blocked prior to and during staining using human and mouse serum, and viability was determined by staining with ZombieAqua viability dye (Biolegend) prior to antibody staining. Samples were stained using antibodies specific for human cell surface markers: CD3 (clone UCHT1), CD4 (OKT4), CD8 (HIT8a), CD11c (3.9), CD14 (HCD14), CD19 (HIB19), CD20 (2H7), CD29 (TS2/16), CD31 (WM59), CD34 (581), CD45 (HI30); and murine cell surface marker CD45 (30-F11), all from Biolegend. All samples were fixed in 2% neutral buffered formalin prior to analysis using an LSRII flow cytometer equipped with FACS Diva (Becton Dickson). Post-acquisition analysis was performed using FlowJo v10 (TreeStar) with gates for human cell populations set using an equivalent stained sample from a non-humanized control NSG mouse, and gates for murine cell populations were set using an equivalent stained sample of human lymphocytes.

3. Results and Discussion

Previous studies have shown that direct HCMV infection of CD34⁺ HPCs inhibits myeloid differentiation and proliferation [2-5]. Additional data also supports the role of the classical myeloid colony formation assay as a predictive measure of HSPC transplant success [15,16]. Therefore, to confirm HCMV inhibition of myelopoiesis in CD34⁺ HPCs used in this study, myeloid colony forming assays were used to quantitate formation of granulocyte-macrophage (CFU-GM), granulocyte-erythroid-macrophage-megakaryocyte (CFU-GEMM), erythroid (CFU-E) and burst-forming erythroid (BFU-E) colonies. Briefly, CD34⁺ HPCs were infected with HCMV strain TB40/E (MOI 3) purified by FACS for CD34⁺GFP⁺ HPCs and cultured for 14 days in Methocult H4434. As shown in Figure 1A, HCMV infection suppressed myeloid (CFU-GM and CFU-GEMM) but not erythroid (CFU-E and BFU-E) colony formation compared to Mock. To determine the indirect effects of HCMV-infected cells on the myelopoiesis potential of uninfected cells, such as occurs during

transplant, HCMV-GFP infected CD34⁺ HPCs were added to uninfected cells at a ratio of 1:1 or 1:2 and plated on Methocult. As shown in Figure 1B, co-culture of HCMV-infected HPCs suppresses myelopoiesis of neighboring uninfected HPCs in a dose-dependent manner.

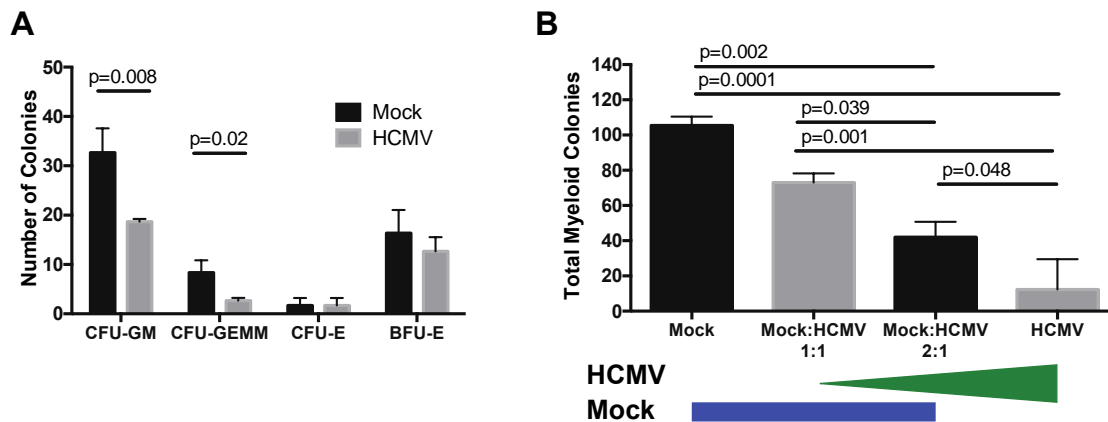


Figure 1. HCMV Directly and Indirectly Suppresses Myelopoiesis *in vitro*. CD34⁺ HPCs were infected with HCMV (TB40E/GFP) for 24–48hrs. Infected cells were FACS isolated for viable, CD34⁺, GFP⁺ HPCs. Uninfected cells were cultured in the same manner and sorted for viable, CD34⁺ HPCs. (a) For analysis of the direct effect of HCMV infection on myeloid differentiation, sorted CD34⁺ (Mock) or CD34⁺GFP⁺ (HCMV) HPCs were plated at 500 cells per dish in Methocult H4434 and cultured for 14 days. Different myeloid (CFU-GM and CFU-GEMM) and erythroid (CFU-E and BFU-E) colonies were counted manually at 14 days post-plating. A representative experiment is shown in A. (b) For analysis of the indirect effect of HCMV infection on myeloid differentiation, sorted CD34⁺ (Mock) or CD34⁺GFP⁺ (HCMV) HPCs were plated at 500 cells per dish, or mixed at a ratio of 1:1 Mock:HCMV (500 Mock plus 500 HCMV), or at a ratio of 2:1 Mock:HCMV (500 Mock plus 250 HCMV) then plated in Methocult H4434. Total colonies were counted manually at 14 days post-plating. A representative experiment is shown in B. Error bars represent standard deviation for replicate dishes. Significance determined by unpaired t-test with Holm-Sidak correction for each colony type (a) or one-way ANOVA with Tukey correction (b) and p values are listed for significant groups.

Small animal models, such as mice, have been extensively used to study human disease and to develop new therapeutic interventions. Humanized mice provide a useful tool to examine mechanisms of disease caused by human pathogens that do not have animal models. To assess the role of HCMV on human stem cell transplant, we modified our previously described huBLT mouse model of HCMV infection. In this study, adult NOD.Cg-Prkdc^{scid}IL2r^{γm1Wjl} (NSG) mice were transplanted with human fetal liver and thymic tissue under the kidney capsule. One week after transplant, the mice were sub-lethally irradiated and injected intravenously with a mixture of autologous Mock-infected or HCMV-infected human CD34⁺ HPCs (Figure 2A) to mimic an HCMV-seropositive bone marrow transplant. A fraction of the CD34⁺ HPC pool was pre-infected with HCMV (TB40/E-GFP) for 24 hours, sorted for viable, CD34⁺GFP⁺ cells and then mixed with uninfected cells at a ratio of 1 infected to 70 uninfected HPCs, resulting in a final transplant wherein only 1.5% of the CD34⁺ HPC population was HCMV infected.

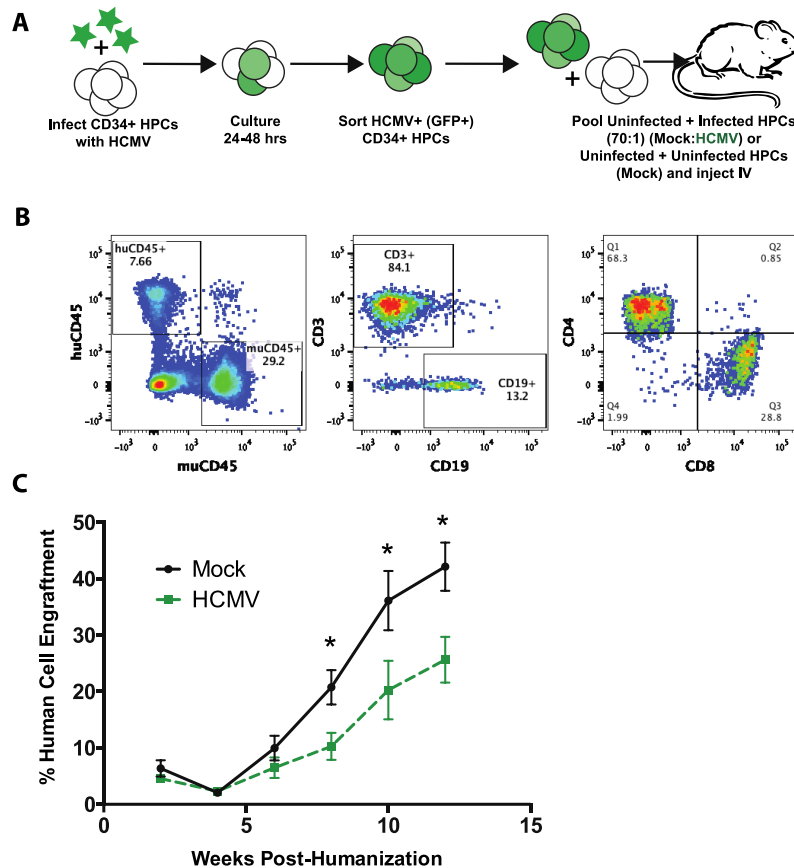


Figure 2. HCMV Infection Suppresses CD34⁺ HPC Engraftment in huBLT Mice. (a) huBLT (bone marrow-liver-thymus)-NSG mice were generated by transplantation of human fetal liver and thymus under the kidney capsule of adult NSG mice. Post-surgical transplant, mice were sub-lethally irradiated and intravenously (IV) injected with autologous human CD34⁺ hematopoietic progenitor cells (HPCs). All huBLT mice were IV injected with autologous human CD34⁺ HPCs at 4.2e5 cells per mouse. HCMV-infected huBLT mice were generated by pre-infection of a subset of CD34⁺ HPCs with wild-type HCMV (TB40/E-GFP) for 24hrs. Infected cells were sorted by FACS for viable, CD34⁺GFP⁺ cells and mixed with uninfected cells (at a 1:70 ratio: 6e3 infected HPCs plus 4.2e5 uninfected HPCs per mouse) prior to injection (HCMV). A control population was Mock-infected and sorted in the same manner to generate the uninfected group (Mock). N=7 per group. (b) Mice were bled every two weeks post-humanization and screened for human cell engraftment by flow cytometry using antibodies specific for huCD45, huCD3, huCD19, huCD4, huCD8, and muCD45. A representative flow cytometry gating strategy is shown. Panel 1: huCD45 vs muCD45 leukocytes (gated on total viable leukocytes); Panel 2: CD3⁺ T-cells vs CD19⁺ B-cells (gated on huCD45⁺ cells in panel 1); Panel 3: huCD4⁺ and huCD8⁺ T-cells (gated on huCD3⁺ T-cells in panel 2). Data shown is an uninfected (Mock) mouse at 10 weeks post-humanization. (c) Time-course of human CD45⁺ leukocyte engraftment overtime. Data shown is the average of the total percentage of huCD45⁺ lymphocytes and monocytes out of all CD45⁺ lymphocytes and monocytes (huCD45⁺ plus mouse CD45⁺), gated on viable cells for each group. Error bars represent standard error of the mean, *p<0.05.

As we previously reported in huNSG mice, the virus establishes a latent infection over a period of 4-8 weeks [7], resulting in viral genome levels below the limit of detection (data not shown). This result is in accordance with the low rate of viral reactivation reported in HCMV-seropositive patients undergoing autologous bone marrow transplant [17,18].

To monitor engraftment and maturation of HPCs, mice were bled every 2 weeks post-humanization and screened for human cell engraftment by flow cytometry using antibodies specific for human CD45 and mouse CD45 (Figure 2B, panel 1). The engrafting human cell

population in these mice was further refined by flow cytometry analysis of the major lymphoid subsets including B-cells (CD19), T-cells (CD3), and T-cell subsets (CD4 vs CD8) (Figure 2B, panels 2 and 3). Total human cell engraftment was measured as the percent of human CD45⁺ leukocytes out of total leukocytes (human CD45⁺ plus mouse CD45⁺) in the periphery. As shown in Figure 2C, human cell engraftment in uninfected humanized mice increased over time. However, when an initial subset of the transplanted HPC population was HCMV-infected, engraftment was significantly suppressed (up to 50%) starting at 8 weeks post-transplantation.

Analysis of lymphoid tissues at 12 weeks post-humanization was performed by flow cytometry analysis to determine if a minor subset of HCMV-infected HPCs at the time of engraftment alters HPC differentiation within the engrafting human cell population. Within the human cell population in the periphery (blood and spleen, Figure 3A-D), HCMV differentially regulates the engraftment of human immune cell populations. Immune regulatory B-cell populations that are anti-viral including CD19⁺ B-cells circulating in the periphery (Figure 3A) and resident in the spleen (Figure 3B) were decreased by 2-fold in both compartments. In contrast, cellular populations beneficial for viral replication including CD11c⁺ dendritic cells (Figure 3C) and CD14⁺CD11c⁺ monocytes (Figure 3D) were increased. These hematopoietic changes are within the background of overall suppression of total human cell engraftment in lymphoid compartments.

Since manipulation of progenitor cell populations is important for HCMV regulation of myelopoiesis *in vitro*, we also assessed the effect of this small population of HCMV-infected HPCs on the overall engraftment and differentiation of human cell populations in the bone marrow of humanized mice. We observed that total human CD45⁺ leukocytes (Figure 3E), lineage negative (Lin⁻) CD45⁺ leukocytes (Figure 3F), and Lin⁻CD34⁺CD45⁺ hematopoietic progenitor cells (Figure 3G) were suppressed in the bone marrow. However, the earlier hematopoietic progenitor cell population (CD34⁺CD45⁻) was unchanged (Figure 3H), suggesting a defect in differentiation or proliferation of leukocytes or hematopoietic-committed progenitors rather than a defect in initial seeding of the bone marrow *in vivo*. In addition, as seen in the periphery, immune populations with anti-viral effect, including CD20⁺ B-cells were decreased (Figure 3I). Indeed, a study by Florescu et al. reported that early hypogammaglobinemia (≤ 1 month post-transplant) is observed in thoracic transplant recipients and is associated with a dramatic increase in the risk of CMV infection [19]. Finally, we observed an increase in endothelial progenitors, defined here as CD45⁻CD34⁺ progenitors that also express either or both of the hemato-endothelial cell markers CD29 or CD31 (Figure 3J), confirming the important role played by endothelial cells in establishing a persistent long-term productive infection [20].

Overall, we conclude that latent HCMV infection of CD34⁺ HPCs alters the bone marrow environment such that the virus promotes the differentiation of myeloid cells but inhibits lymphoid blood cell production in the periphery of humanized mice. This work complements and expands on our previous study using huBLT mice as a model of HCMV latency and reactivation in context of a functional immune system [12]. This new HCMV-huBLT mouse model provides an ideal system to examine the effects of different viral genes on hematopoiesis that are generally too complex for tissue culture based systems and impossible to experimentally approach in human patients. We recently published that both HCMV UL7 and US28 are required for the expansion of the CD14⁺ monocyte population in the huNSG model [21,22]. This novel model system will provide a tractable *in vivo* system in which to determine the effect of these and other viral genes during HSCT. Thus, this advanced HCMV-huBLT mouse model provides a unique opportunity to gain further insight into the fundamental mechanisms of HCMV myelosuppression after HSCT and will be extremely useful to test novel therapeutic strategies.

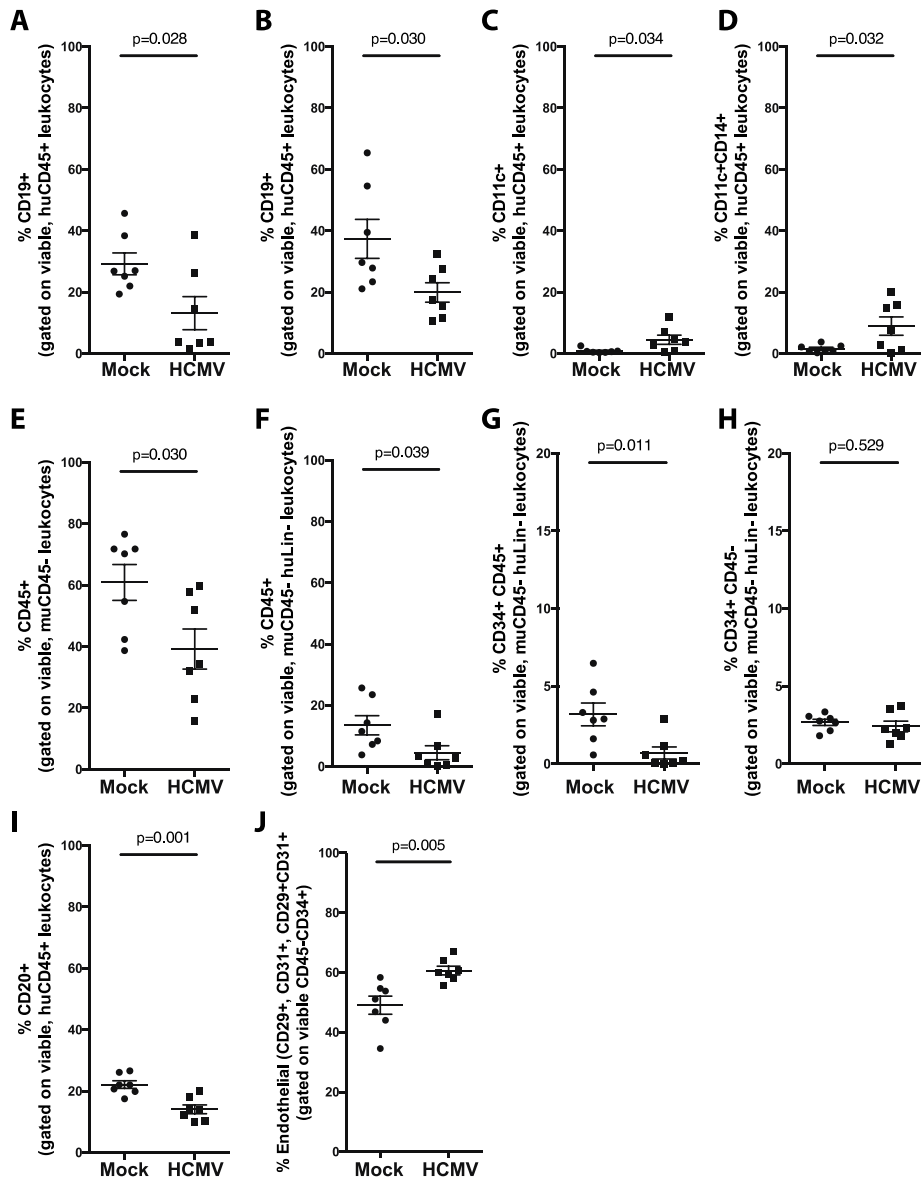


Figure 3

Figure 3. HCMV Preferentially Alters CD34⁺ HPC Engraftment and Differentiation of Myeloid and Lymphoid Populations *in vivo* in huBLT Mice. huBLT mice were generated and infected with HCMV as described in Figure 1. Analysis of the mature lymphoid and myeloid populations in the periphery at 12 weeks post-humanization was performed by flow cytometry analysis of total viable muCD45⁻ leukocytes. Analysis of the mature, peripheral populations was performed by quantification of CD19⁺ B-cells (gated on huCD45⁺ leukocytes) in the blood (a) and spleen (b); and CD11c⁺ dendritic cells (c) or CD11c⁺CD14⁺ bulk monocytic cells (d) (gated on huCD45⁺ viable leukocytes) in the spleen. Analysis of engraftment success and stem cell maturation was performed on total bone marrow flushed from the femur by quantification of: total human leukocytes (gated on huCD45⁺, muCD45⁻, viable leukocytes) (e), bulk hematopoietic progenitors (gated on huCD45⁺, muCD45⁻, huLin⁻, viable leukocytes) (f), CD34⁺ lymphoid and myeloid progenitor cells (gated on huCD45⁺, muCD45⁻, huLin⁻, viable leukocytes) (g); and CD34⁺ HPCs (gated on huCD45⁻, muCD45⁻, huLin⁻, viable leukocytes) (h). Quantification of specific cell populations in the bone marrow: total CD20⁺ B-cells (gated on huCD45⁺ viable leukocytes) (i), and total hemato-endothelial progenitors (gated on huCD29⁺ and/or huCD31⁺, huCD45⁻, huCD34⁺ viable leukocytes) (j). All data shows standard error of the mean for 7 individual mice per group. Significance was determined by unpaired t-test and p values are listed.

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