

1 **Therapeutic effects of continuous epidural infusion of local anesthetics with dexamethasone for**
2 **postherpetic neuralgia**

3 Eun Mi Choi, MD, PhD; Mi Hwa Chung, MD, PhD; Joo-Hyun Jun, MD, PhD; Eun Hee Chun, MD,
4 PhD; In-Jung Jun, MD, PhD; Jong Hee Park, MD; Eun-Ha Choi MD; Jung Eun Kim, MD, PhD

5

6 Department of Anesthesiology and Pain Medicine, Kangnam Sacred Heart Hospital, Hallym
7 University College of Medicine, Seoul, Republic of Korea

8

9 E-mail: Jung Eun Kim, M.D, Ph.D : geri200@gmail.com;

10 Eun Mi Choi, M.D, Ph.D : emchoi96@hallym.or.kr

11 Mi Hwa Chung, MD, PhD : mhchung20@hallym.or.kr

12 Joo-Hyun Jun, MD, PhD : ilpleut@naver.com

13 Eun Hee Chun, MD, PhD : ppodoll@hanmail.net

14 In-Jung Jun, MD, PhD : christine17@hanmail.net

15 Jong Hee Park, MD : tomclaney@naver.com

16 Eun-Ha Choi MD : hello_13@naver.com

17

Corresponding author: Jung Eun Kim

18 Department of Anesthesiology and Pain Medicine, Kangnam Sacred Heart Hospital, Hallym

19

University College of Medicine,

20

1, Singil-ro, Yeongdeungpo-gu, Seoul, Republic of Korea

21

Fax: 82-2-845-1571

22

Tel: 82-2-829-5230

23

E-mail: geri200@gmail.com

1 INTRODUCTION

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3 Herpes zoster is caused by the reactivation of latent varicella zoster virus in the trigeminal
4 and dorsal root ganglion [1,2]. Its most frequent complication is postherpetic neuralgia (PHN),
5 which is neuropathic pain resulting from peripheral nerve damage caused by the varicella
6 zoster virus [3,4]. PHN can reduce one's quality of life due to severe physical, psychological,
7 social, and functional disturbances as consequences of chronic pain [5-8].

8 Despite the existence of many treatments, PHN remains difficult to manage and is
9 refractory to most treatment modalities [9,10]. PHN treatment largely focuses on
10 symptomatic control of pain through long-term use of pain-relieving drugs such as
11 anticonvulsants, tricyclic antidepressants, topical anesthetics, and opioid analgesics [11],
12 which present a high risk of side effects in elderly PHN patients.

13 Neuropathic pain associated with herpes zoster results from inflammatory neural damage
14 caused by virus reactivation [12], which destroys affected central and peripheral nerves and
15 leads to inflammation and an immune response. This is proposed to involve two processes:
16 sensitization and deafferentation. Peripheral and central sensitization result from nerve
17 damage-induced excitability of primary afferent neurons, inflammation, and nociceptor
18 irritation, whereas deafferentation is caused by degeneration of nociceptive neurons and
19 dorsal reorganization due to a declining number of c-fibers and the sprouting of A β
20 fibers [13]. These mechanisms suggest that appropriate analgesic and anti-inflammatory
21 therapy could relieve PHN symptoms.

22 Previous studies report the effectiveness of epidural injection of local anesthetics and
23 steroids in controlling neuropathic pain in herpes zoster patients [14-17]. Epidural anesthetics

1 delivered to the distal portion of the spinal cord block central sensitization and provide pain
2 relief, whereas epidural steroids reduce inflammatory responses at the injured dorsal root
3 ganglion, spinal cord, and surrounding peripheral tissue. However, few studies have
4 examined the effectiveness and safety of epidural steroid administration in PHN patients.

5 In this randomized controlled trial, we evaluated the efficacy and safety of continuous
6 epidural infusion of local anesthetics with a one-time bolus of dexamethasone versus
7 dexamethasone pulse therapy among PHN patients.

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9 **MATERIALS AND METHODS**

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11 *Participants*

12 The study protocol was approved by institutional review board of Hallym University
13 Kangnam Sacred Heart Hospital (reference number 2019-04-011) and registered at
14 ClinicalTrials.gov (NCT03995563, Principal investigator: J.E.K). All participants received
15 explanations of the study and provided written informed consent before enrollment. We
16 included patients between 30 and 180 days after zoster onset who were admitted to the pain
17 clinic center for PHN-related pain rated ≥ 7 on a visual analog scale (VAS), with 0
18 representing “no pain” and 10 representing “the worst pain imaginable”. Trigeminal nerve-
19 involved zoster patients or patients who had previously received epidural block treatment
20 were excluded. We also excluded patients with hemostatic disorder or who were on
21 antiplatelet therapy.

22 Patients were randomly assigned into two groups: 21 patients in group A were treated
23 with continuous epidural infusion of local anesthetics with a one-time 5-mg dexamethasone

1 bolus, and 21 patients in group B were treated with continuous epidural infusion of local
2 anesthetics with dexamethasone pulse therapy.

3 ***Procedure***

4 All patients were given 1 g cefazolin intravenously prior to the procedure and assumed a
5 prone position on the procedure table. Under fluoroscopic guidance, an 18-gauge Tuohy
6 needle was advanced into the epidural space at the second or third vertebral level below the
7 target level, and its proper positioning was confirmed using the loss of resistance technique. A
8 20-gauge epidural catheter was then inserted through the Tuohy needle into the epidural
9 space of the affected spinal nerve, and its placement was confirmed using contrast medium.
10 Patients received a bolus of 8 ml of 0.19% ropivacaine and 5 mg dexamethasone, and the
11 catheter was fixed with subcutaneous tunneling to minimize infection risk.

12 After catheter placement, all patients received 0.095% ropivacaine infused at rate of 7
13 ml/hour for 10 days. Patients in group B received two additional 2.5-mg doses of
14 dexamethasone via epidural catheter every 5 days over the 10-day period and a final 5-mg
15 epidural block 2 weeks after the epidural catheter was removed.

16 VAS scores were compared within and between groups before and 1, 2, 3, 4, 5, and 6
17 months after treatment. Response to treatment was defined as a $\geq 50\%$ reduction in pain
18 severity compared with that before the treatment or complete remission. Patients in complete
19 remission were those who had a VAS score ≤ 2 for more than three successive visits, subsided
20 allodynia and hyperalgesia, and no longer needed medical support.

21 ***Statistical analysis***

22 The normality of demographic data was evaluated using Kolmogorov-Smirnov tests.
23 Continuous variables are reported as mean \pm standard deviation and were analyzed using

1 independent t-tests. Categorical variables are reported as frequency and percentage and were
2 analyzed using Chi-square or Fisher's exact tests. Logistic regression models were used to
3 evaluate the odds of a $\geq 50\%$ reduction in the severity of pain or complete remission of PHN
4 within 6 months of treatment. Based on pilot study data, sample size determination was
5 performed with G*Power software (version 3.0.10) using a z test with a p1 proportion of 0.9,
6 p2 proportion of 0.5, error probability of 0.05, and power of 0.8. Based on these parameters,
7 we determined that at least 20 patients were required in each group and thus enrolled 21
8 patients per group to account for drop-out. Data analysis was performed using SPSS (version
9 23, IBM Corp., Armonk, NY, USA) or SAS (version 9.4, SAS Inc., Cary, NC, USA), and P-
10 values of < 0.05 were considered statistically significant.

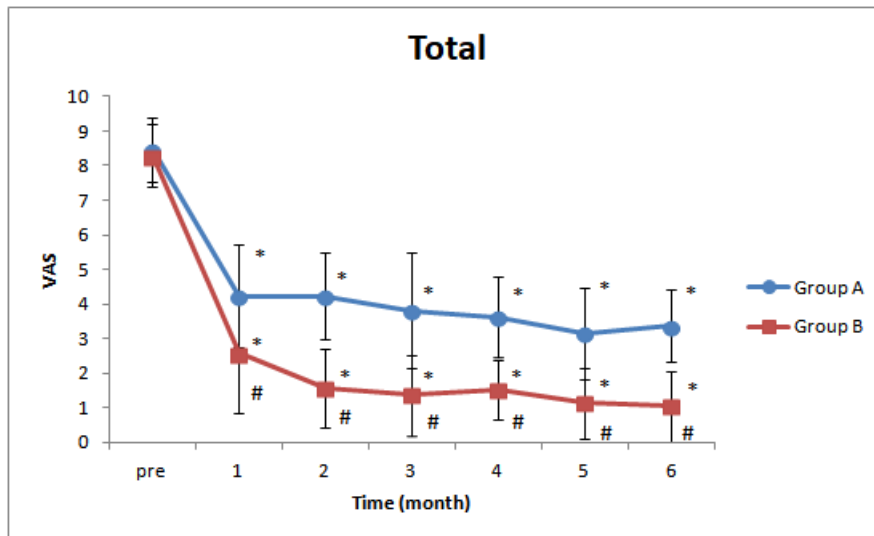
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12 **RESULTS**

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14 A total of 42 patients were enrolled, with 21 randomized to each group. Age, sex, presence of
15 underlying disease, level of involved dermatome, time to receive epidural block treatment,
16 and pre-treatment VAS score were similar between patients in groups A and B (Table 1).

17 Patients in both groups showed significantly lower VAS scores after treatment compared with
18 pre-treatment. However, patients in group B (dexamethasone pulse therapy) showed
19 significantly lower VAS scores than patients in group A (one-time bolus dexamethasone) after
20 treatment (Figure 1). Although patients in groups A and B had a similar likelihood of
21 achieving a $\geq 50\%$ reduction in pain severity after treatment, patients in group B were
22 significantly more likely to achieve complete remission than patients in group A (Table 2).



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2 **Figure 1.** Changes in VAS score over time. Patients in both groups showed significant
 3 reductions in VAS scores after treatment compared with pre-treatment, but patients in group
 4 B (dexamethasone pulse therapy) showed significantly lower VAS scores than patients in
 5 group A (one-time bolus of dexamethasone). *P < 0.05 compared with pre-treatment VAS
 6 score. #P < 0.05 compared with group A.

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1 **Table 1.** Patient characteristics. SD, standard deviation.

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	Group A (n = 21)	Group B (n = 21)	P-value
Age (years), mean \pm SD	64.667 \pm 13.055	67.429 \pm 9.852	0.4436
Sex, n (%)			0.3456
Male	7 (33.3)	10 (47.6)	
Female	14 (66.7)	11 (52.4)	
Presence of underlying disease, n (%)			> 0.9999
None	9 (42.9)	9 (42.9)	
Hypertension, diabetes mellitus, or chronic obstructive pulmonary disease	12 (57.1)	12 (57.1)	
Level of involved dermatome, n (%)			0.74001
Cervical	1 (4.8)	2 (9.5)	
Thoracic	16 (76.2)	17 (40.5)	
Lumbar	4 (9.5)	2 (4.8)	
Time to receive epidural block treatment (weeks), mean \pm SD	10.952 \pm 7.290	10.143 \pm 5.868	0.6939
Pre-treatment VAS score, mean \pm SD	8.476 \pm 0.928	8.286 \pm 0.902	0.5041

1 **Table 2.** Between-group comparisons of reduction in pain severity and complete remission. OR, odds ratio; CI, confidence interval.

	Reduction in pain severity				Complete remission			
	$\geq 50\%$ reduction in pain severity	$< 50\%$ reduction in pain severity	OR (95% CI)	P-value	VAS score ≤ 2 at terminal visit	VAS score > 2 at terminal visit	OR (95% CI)	P-value
Group A, n (%)	16 (76.19)	5 (23.81)	Reference		6 (28.57)	15 (71.43)	Reference	
Group B, n (%)	20 (95.24)	1 (4.76)	6.25 (0.662- 59.027)	0.1097	17 (80.95)	4 (19.05)	10.625 (2.509- 44.984)	0.0013

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1 DISCUSSION

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3 Our results show that among PHN patients, continuous epidural infusion of local anesthetics
4 with dexamethasone pulse therapy resulted in a greater reduction in pain and likelihood of
5 complete remission than continuous epidural infusion of local anesthetics with a one-time
6 bolus of dexamethasone. Furthermore, continuous epidural infusion of local anesthetics with
7 dexamethasone pulse therapy alleviated PHN-related allodynia and hyperalgesia without
8 causing adverse effects. We speculate that dexamethasone interrupts neurogenic inflammation
9 and promotes recovery of neural damage in PHN patients, with more frequent and higher
10 doses exerting better effects. Thus, continuous epidural blockade of nociceptive input paired
11 with intense anti-inflammatory therapy may be an effective treatment modality for PHN.

12 Although the pathogenesis of PHN is not fully understood, herpes zoster is known to
13 affect the central and peripheral nervous systems, resulting in PHN [18,19]. PHN-related
14 neuropathic pain is caused by inflammatory neural damage caused by the varicella zoster
15 virus [20]. Two mechanisms of PHN have been proposed: sensitization and
16 deafferentation [21,22]. Sensitization is caused by the loss of γ -aminobutyric acid inhibitory
17 neurons in the dorsal root ganglion after nerve injury and a decreased nociceptor threshold
18 induced by inflammatory mediators such as substance P, histamines, and cytokines [23,24].
19 This sensitization causes spontaneous pain, allodynia, and hyperalgesia. Deafferentation is
20 caused by dorsal reorganization, which occurs due to a decline in the number of c-fibers and
21 the sprouting of A β fibers [21,23], which rewire in the dorsal root ganglion and connect with
22 the pain-transmitting spinothalamic tract, thereby producing allodynia and paresthesia. These
23 mechanisms suggest that proper analgesic and anti-inflammatory therapy could relieve the

1 symptoms of PHN.

2 Continuous epidural infusion of local anesthetics blocks nociceptive input at the distal
3 portion of the spinal cord (i.e., dorsal root ganglion, spinal nerve roots, peripheral regions of
4 the spinal cord), thus providing analgesia and interrupting sensitization [25-28]. By contrast,
5 dexamethasone is a strong anti-inflammatory agent that reduces deafferentation by inhibiting
6 inflammation and concomitant swelling-induced ischemic nerve damage and promotes nerve
7 tissue repair by reducing edema and cytotoxic responses [29-31]. Dexamethasone also exerts
8 a direct membrane action that prevents ectopic discharges from c-fibers, blocking the
9 transmission of nociceptive input and thereby providing analgesia [32-34].

10 Histopathological studies of PHN patients suggest that inflammatory processes are
11 involved in the development of PHN. In a postmortem study, Watson et al. reports that
12 infiltration and accumulation of immune cells were found around the spinal cord and spinal
13 nerve of PHN patients [35]. This inflammatory component of PHN suggests that anti-
14 inflammatory treatment could help reverse or at least limit its progression. Previous studies
15 show that intrathecal methylprednisolone relieves PHN-related pain and allodynia
16 [10,36] [37,38]. In these studies, patients receiving intrathecal methylprednisolone showed
17 reduced cerebrospinal fluid concentrations of interleukin-8, indicating that this treatment
18 reduces pain and allodynia by decreasing an ongoing inflammatory reaction. However, this
19 procedure caused serious complications, including chemical or aseptic meningitis, transverse
20 myelitis, lumbar radiculitis, cauda equina syndrome, intractable headache, urinary retention,
21 and chronic arachnoiditis [39,40]. Currently, methylprednisolone is not approved for
22 intrathecal administration due to its severe adverse effects, including arachnoiditis [41].

23 In the present study, we performed continuous epidural infusion of dexamethasone via an

1 epidural route, instead of an intrathecal route, in PHN patients. This procedure reduced VAS
2 scores in all 42 PHN patients without complications. Furthermore, dexamethasone pulse
3 therapy was more effective in alleviating PHN-related pain, allodynia, and hyperalgesia than
4 a one-time bolus of dexamethasone. These results suggest that epidural dexamethasone pulse
5 therapy is an effective treatment for PHN and is safer than intrathecal methylprednisolone
6 administration.

7 Although continuous epidural infusion of local anesthetics with dexamethasone pulse
8 therapy significantly reduced VAS scores and increased the likelihood of complete remission
9 compared with a one-time bolus of dexamethasone, the number of patients who experienced a
10 $\geq 50\%$ reduction in pain severity did not significantly differ between groups, likely because
11 both procedures were effective treatments for PHN. The absence of a control group that did
12 not receive epidural steroids is a limitation of this study; however, not treating PHN patients
13 with epidural steroids was not an option due to ethical concerns. Another limitation is that we
14 enrolled PHN patients 30–180 days after zoster onset and therefore did not include patients
15 with “well established” PHN, who are not likely to experience reductions in pain or achieve
16 complete remission [42]. However, we are currently investigating treatment effects over time
17 in other prospective trials with large sample sizes. Another limitation is that continuous
18 epidural infusion of local anesthetics with dexamethasone pulse therapy is costly. However,
19 severe PHN pain can impair quality of life by causing physical disability and emotional
20 distress and thus impose its own economic burden on PHN patients and society.

21 In conclusion, our results suggest that continuous epidural infusion of local anesthetics
22 with dexamethasone pulse therapy results in greater alleviation of PHN-related pain,
23 allodynia, and hyperalgesia than continuous epidural infusion of local anesthetics with a one-

1 time bolus of dexamethasone without causing any adverse effects. Therefore, continuous
2 epidural blockade of nociceptive input with intense anti-inflammatory therapy appears to be a
3 safe and effective treatment modality for PHN.

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