

1 Exceptionally rare genomic combinations to skip sex: blending old ideas and new data

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32 Abstract

33 The unusual occurrence and developmental diversity of asexual eukaryotes still remain a puzzle.
34 Despite asexual organisms have a theorized two-fold reproductive advantage over sexuals, asexual
35 lineages are rare among multicellular eukaryotes. Justification of such disparity relies on the
36 consequences of a lack of meiosis, which restricts genotype diversity and adaptation to novel
37 conditions while accelerating the genetic degeneration that drives asexual lineages to an early
38 demise. However, evidence indicates asexuals use different strategies to limit negative
39 consequences of ameiosis, and age estimates show some asexual vertebrates and plants are much
40 older than expected. If rapid extinction is not a factor influencing asexuals lifespan, then why
41 asexuals are not more frequent? Here we review traditional ideas and new data and provide a novel
42 unified evolutionary frame to understand the intriguing nature, developmental diversity and
43 maintenance of asexual lineages. As a rule, *de novo* formation of a functioning asexual genome
44 requires a unique assemblage combining particular sets of genes or gene states to disrupt cellular
45 mechanisms of meiosis and gametogenesis, and affect discrete components of sexuality to produce
46 clonal or hemiclinal offspring. We highlight two usually overlooked but essential conditions to
47 understand the molecular nature of clonal organisms, i.e. a non-recombinant genomic assemblage
48 retaining modifiers of the sexual program, and a complementation between altered reproductive
49 components. These subtle conditions are the basis for physiologically viable and genetically balanced
50 transitions between generations. Genomic and developmental evidence from asexual animals and
51 plants indicates the lack of complementation of molecular changes in the sexual reproductive
52 program is likely the main cause of asexuals' rarity, and can provide an explanatory frame for the
53 developmental diversity and lability of developmental patterns in some asexuals as well as for the
54 discordant time to extinction estimations.

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56 Keywords: amphimixis, apomixis, gynogenesis, hybridogenesis, parthenogenesis.

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68 Sexuality is ubiquitous to most eukaryotes, but approximately 1 in 10 000 species is asexual and
69 have a patchy phylogenetic distribution (Bell 1982). The scarcity of obligate asexual lineages among
70 multicellular taxa is at first sight counterintuitive if one considers their theorized two-fold
71 reproductive advantage. Because they usually produce only one sex, every individual gives rise to
72 offspring and with a lower investment in mating processes asexuality should be a much more
73 successful and thus more widespread reproductive strategy. However, the absence of meiotic
74 recombination is expected to hinder the creation of genotypic variation and/or adaptation to novel
75 conditions (e.g. Red Queen, Tangled Bank, Lottery hypotheses), and is anticipated to accelerate the
76 stochastic accumulation of slightly deleterious mutation and genetic degeneration (e.g. Muller's
77 ratchet, Hill-Robertson effect) leading to genomic decay and extinction of asexual lineages after a
78 brief existence. This has been observed indeed in a few examples (Tucker et al. 2013) but this
79 appears far from being the rule. Even with the prediction of an early demise, some well-known
80 asexual lineages in vertebrates and plants for which age estimates exist had persisted much longer
81 than expected from mathematical models derived from such theoretical considerations (Lampert
82 and Scharl 2008; Pellino et al. 2013). Asexuals have developed a varied number of strategies to limit
83 the negative consequences of ameiotic reproduction, e.g. by sporadic recombination, "mutation
84 based" diversity and clonal competition (Avisé 2015; Ferreira de Carvalho et al. 2016; Warren et al.
85 2018), and thus neither reduced genetic variability nor longevity are critical constraints (Williams
86 1975). If rapid extinction is not a factor influencing asexual lineages lifespan, then why asexuals are
87 not more frequent? Why are they a rare phenomenon? Why do they display a variety of
88 developmental strategies, as has been particularly noted in many studies on asexual species?

89 Stanley (1975) argued that asexual species are rare because speciation in asexuals (their origins) is
90 exceedingly rare, and in the long-term species selection will eliminate most asexuals. However, as all
91 asexuals arise from sexual forms, this doesn't explain why the frequency of *de novo* formation or of
92 successful establishment of asexuals in nature is not higher. According to Maynard Smith (1986)
93 meiosis and sexuality is so entrenched in life that any shift away from it creates "sexual hang-ups"
94 difficult to be overcome by selection. Sexual-to-asexual transitions might follow Dollo's law of
95 irreversibility (Dollo 1893), which states that once a complex feature is lost in evolution it cannot be
96 regained with the same original state. In this context, the difficulties imposed to new asexuals by
97 developmental constraints derived from its sexual ancestry are responsible for their observed low
98 occurrence, a view connected to the "balance" and the "duplicate-gene asynchrony" hypotheses,
99 the two more widely accepted views about the origin of asexuality in otherwise sexual organisms.
100 The "balance" hypothesis, proposed by Moritz et al. (1989) to explain observations in animals,
101 considered the divergence of parental genomes has to be the right one both to increase the rate of
102 unreduced gametes and to maintain hybrid's viability and fecundity. The "duplicate-gene
103 asynchrony" hypothesis, proposed by Carman (1997) to explain observations in plants, considered
104 that genes when originating from different genomes in polyploids respond differentially to temporal
105 and spatial developmental signals causing the anomalies during meiosis and gametogenesis
106 observed in apomicts (i.e. plants reproducing through asexual seeds) and polysporic species.
107 Carman's hypothesis also implies that not all plant families may express such asynchrony in the
108 expression of female developmental genes.

109 In a study of embryogenic developments in aphids, Le Trionnaire et al. (2008) considered the switch
110 between sexual and asexual developments to be a reproductive polyphenism, i.e. a phenotypic
111 dichotomy triggered by photoperiodic cues. Even when this fits observations in organisms that cycle

112 between sex and asexual states, it does not explain why some organisms are facultative asexuals (i.e.
113 produce both sexual and asexual progeny under the same environmental conditions). Likewise, from
114 a slightly different perspective, Carman et al. [(2011); see also Carman and Roche 2007] first
115 suggested a similar conception for plants, recently reviewed by Albertini et al. [(2019); see also
116 Carman et al. 2016] emphasizing the role of epigenetic regulations on reproductive transitions and
117 suggesting that sex and apomixis in plants (and expectedly in asexuals from other kingdoms) might
118 respond to an ancient polyphenism regulated by metabolic signalling.

119 In former years, different experimental crossings and setups preceded and gave support to the
120 above ideas (e.g. Savidan 1982; Nogler 1984; Wetherington et al. 1987; Carman 2007) delineating
121 most relevant developmental constraints associated to asexual origins (Vrijenhoek 1989; Asker and
122 Jerling 1992). However, testing at molecular level such theoretical hypotheses based on empirical
123 observations was not possible. More recently, new omics approaches provided the possibility of
124 collecting massive sequence-level data and brought new tools of analysis that delivered new hints
125 for testing and contrasting previous ideas. Even though these hypotheses have a clear theoretical
126 frame and a conceivable causal basis, they lack a simple mechanistic explanation for the diversity
127 and frequencies of intraspecific and interspecific developmental strategies observed in asexuals (e.g.
128 Asker and Jerling 1992; Avise 2015).

129 In a recent study on the Amazon molly, Warren et al. 2018 concluded that its rarity is likely driven by
130 the chance of occurrence of genomic combinations required to elude meiosis and create a
131 functioning hybrid genome, and provided molecular evidence to the “rare formation” hypothesis
132 (whose original idea can be tracked back to Stöck et al. 2010). In plants, agreeing with the
133 “duplicate-gene asynchrony”, studies on several apomictic systems have shown global heterochronic
134 gene expression and developmental asynchronies in apomeiotic ovules compared to sexual ones
135 (e.g. Grimanelli et al. 2003; Sharbel et al. 2010; Polegri et al. 2010; Carman et al. 2011, 2019; Sahu et
136 al. 2012; Hojsgaard et al. 2014; Schmidt et al. 2014, Rabiger et al. 2016; Shah et al. 2016; Ortiz et al.
137 2017). Despite this, those studies had also identified specific genes apparently needed for the
138 emergence of apomixis which contradict Carman’s view and place the question about the existence
139 of master genes shifting genetic developmental programs in plant ovules.

140 Yet, as we present below, framed appropriately, the recent “rare formation” and older hypotheses
141 can respond to a single molecular basis of asexuality which might apply to all asexual taxa and be the
142 foundation to explain the developmental diversity observed among them. Here we integrate old
143 ideas with recent molecular and genomic data to provide a comprehensive sight on asexual origins.
144 We highlight commonalities which benefit a causal explanation for both the developmental lability
145 observed among and within asexuals, and the sporadic occurrence of asexual taxa. This new
146 perspective offers a joining road for future research on phylogenetically divergent asexuals.

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148 *The molecular context and initial conditions toward asexuality*

149 In vertebrates and plants, the groups for which molecular and developmental mechanisms are best
150 characterized, the known asexual lineages are all of hybrid and/or polyploid origin. Even though
151 some asexuals may not have a hybrid or polyploid origin, the presented concepts well apply to all
152 asexuals, including invertebrates, displaying a wide developmental complexity which in different
153 cases blurs definitions of sex (e.g. in parthenogenetic automixis, whereby two cell products of the

154 same meiosis fuse and start developing a new organism; reviewed in Suomalainen et al. 1987) and
155 therefore are vaguely considered here.

156 Hybridization, i.e., the process of breeding individuals from the same or different populations or
157 species, is a significant evolutionary force during speciation acting as an isolating reproductive
158 barrier. Hybrid individuals combine from different genomes alleles having variable degrees of
159 divergence, holding short- or long-term separate evolutionary histories and likely having deviating
160 regulatory controls and spatiotemporal patterns of development. Therefore, hybridization results in
161 intergenomic conflicts (genomic shock) where alleles at different loci do not interact well and exhibit
162 altered expression patterns (transcriptomic shock) and a variety of abnormal developments leading
163 to hybrid incompatibility (Johnson 2008). Similarly, polyploidy, i.e. the concurrence of more than two
164 genome sets in a cell, can display the same irregularities owing not only to the interaction of
165 divergent genomes (e.g. when polyploid formation involved hybridization of species) but also due to
166 dosage effects and changes in the molecular stoichiometry of molecules and macromolecular
167 complexes (e.g. when polyploid formation involved individuals from the same or different
168 populations with similar or slightly similar genomes) (Birchler and Veitia, 2012). Another condition
169 which may drive to comparable modifications at molecular level is inbreeding, an important factor in
170 invertebrates with low vagility (e.g. acari, insects), wherein several asexual lineages are known.

171 Even viable hybrids or polyploids display highly reduced fertility or sterility owing to malfunction of
172 cellular and molecular components of sexual reproduction, e.g., meiotic recombination and
173 fertilization. On the contrary, combinations of parental genomes can be generated, which result in
174 heterotic traits (hybrid vigor) and may, for instance, allow a hybrid lineage to occupy new ecological
175 niches. Whenever reproduction can proceed, hybrid and/or polyploid lineages will gradually
176 ameliorate gene expression and developmental patterns post-hybridization and post-
177 polyploidization to recover sexual functions and fertility (Bombliès et al. 2015).

178 Alternatively, new hybrids and/or polyploids might produce offspring under a paucity or lack of
179 sexual recombination. However, in such a case, since sexual reproduction is a complex, highly
180 regulated mechanism involving multiple developmental steps and cell types, these organisms
181 require a unique combination of changes in particular sets of genes able to disrupt the cellular
182 mechanisms of meiosis and gametogenesis, and affect discrete components that loose sexual
183 restrictions and simplify the formation of clonal or hemiclonal offspring (Figure 1). Mating
184 independence may well be an example of loose sexual restrictions in animals. In plants, for instance,
185 the two maternal-to-one paternal genome dosage (2m:1p) deterrent in sexuals for normal
186 endosperm development is relaxed in asexuals (allowing a variety of ploidy states during seed
187 formation; discussed in Hojsgaard 2018).

188 The genetic and epigenetic composition of such new asexual offspring will depend upon the
189 components of sexual reproduction modified. However, in order to maintain an asexual condition,
190 all new lineages must share a common fact, *id est* the particular set of genes states for asexuality
191 have to remain unchanged at least in one genome (Figure 1). Having a non-recombinant genomic
192 assemblage is a *condicio sine qua non* to retain that particular gene combination and epigenetic
193 states needed to modify the sexual program and to transmit it unaltered to the offspring. Hence, in
194 this frame the “sexual hang-ups” of Maynard-Smith can be pictured not as difficult to overcome by
195 selection but rather as a consequence of recombination most likely segregating such particular
196 genetic setting and disassembling the molecular basis for asexuality. A second condition linked to

197 the emergence of an asexual lineage is the one of “complementation” between altered components
198 of the sexual reproductive program, which is needed to maintain physiologically and genetically
199 balanced intergenerational transitions. As an example, a new asexual in which meiotic chromosomal
200 reduction is skipped during gametogenesis to produce unreduced gametes shall also avoid
201 incorporating extra chromosomes during fertilization to assure the proper development of offspring.

202 Consequently, and opposed to sexual organisms, asexuals momentarily avoid genetic shuffling and
203 character segregation, weakening amelioration and purging mechanisms by natural selection. As a
204 result, asexuals are expected to retain post-hybridization genomic and transcriptomic shock states
205 for more extended periods than under sexual reproduction (Hojsgaard 2018), likely a reason why
206 asexuals often exhibit lower fitness compared to sexual relatives (Meirmans et al. 2012) even when
207 they can display superior abilities populating diverse habitats (Kearney 2005; Hörandl 2006). The fact
208 that reproductive tissues of long-existing clonal animals and plants display divergent patterns of
209 gene expression and massive gene dis-regulation compared to sexual ancestors (e.g. Sharbel et al.
210 2010; Schedina et al. 2018) support this interpretation.

211

212 *An integrated view*

213 Under this view, the puzzling occurrence of multiple types of asexual developmental strategies
214 sporadically observed in single lineages of animals and plants (see details below) can be explained as
215 a consequence of the genomic state and the challenges derived from the first generations to the
216 establishment of an asexual lineage. Getting the right non-recombinant genomic assemblage and
217 complementation on reproductive changes in an individual may require more than a single attempt.
218 Whenever a new asexual individual fulfilling the two above conditions arises, it may carry the
219 genomic combinations required for one or more than one gametogenetic mechanism and show a
220 variable incidence of different reproductive modes, including sexuality (i.e., facultative
221 parthenogenesis). During first stages post-(intra- or inter-specific) hybridization, associated to the
222 cytogenetic and molecular stabilization of the asexual lineage (Carman 2007; Avise 2015; Hojsgaard
223 2018), the new hybrid and/or polyploid will likely exhibit low coordination of gene expression and
224 altered reproductive developments causing low reproductive efficiency (fitness). Such patterns have
225 been observed in different recently established organisms exhibiting asexual reproduction or
226 tendencies to asexuality (e.g. Landry et al. 2007; Hojsgaard et al. 2014a). Later, occasional
227 recombination and sex in these individuals will play a pivotal role fine-tuning the efficiency of any
228 reproductive mode and contributing to alleviate a reversal to the sexual program or to establish a
229 persistent asexual lineage (Hojsgaard 2018). The occurrence in nature of different animal and plant
230 groups displaying a variable incidence of diverse reproductive modes and patterns of clonal diversity
231 may well represent a consequence of different developmental outcomes derived from merging
232 particular genomes combining specific genes variants and genetic backgrounds, denoting distinct
233 stages in the evolution of such asexual lineages. This implies that, once the parental species with the
234 right genomic combinations met the appropriate ecological setups in nature, the chances for the
235 emergence of lineages with tendencies toward asexuality will be high. Thus, on a short-time scale
236 asexual lineages might arise on multiple times and show developmental variations on reproductive
237 modes (as observed in many asexuals, see below). Sooner or later, and mainly driven by fertility,
238 surviving aptitudes and –in specific cases- occasional gene flow (introgression), selection will sift the

239 more reproductively effective lineages and those carrying complemented mechanisms for asexuality
240 will likely become established and persist.

241 A good example of such variation is the Iberian fish *Squalius alburnoides*. In this species, a wide
242 range of parallel reproductive strategies from syngamy to gynogenesis and altered patterns of
243 hybridogenesis -including paternal leakage- are combined to create a complex of diploid, triploid and
244 tetraploid populations interacting with closely related sexual species. The production of gametes
245 with or without meiosis is strictly depending on the combination of haplomes (Collares-Pereira et al.
246 2013) – showing the importance of the right genomic conditions to warrant an asexual mode of
247 reproduction and pinpoint the potential to switch between reproductive modes if the genomic
248 background and ecological conditions are met.

249 In the fish genus *Poeciliopsis*, diploid hybridogens of different genomic combinations exist.
250 Hybridogens can accommodate various sexual haplomes transiently, but it is only the *P. monacha*
251 haplome, common to all asexual lineages that can dominantly impose the reproduction mechanism
252 of hemiclinality (Quattro et al. 1992a). Occasional failure of the meiotic mechanism responsible for
253 the elimination of paternal chromosomes in diploid hybridogenetic biotypes had given rise to
254 triploid gynogenetic biotypes where the sperm is only needed to induce embryogenesis (Quattro et
255 al. 1992b).

256 In salamanders, gynogenesis is not complete, and the female genome routinely incorporates genetic
257 information from sympatric sexual male donor DNA into their diploid or polyploid genomes (Avisé
258 2008), a mechanism named kleptogenesis (Bogart et al. 2007). This might benefit the lineage with an
259 exceptional longevity (Denton et al. 2018) because it can counteract the genomic decay and low
260 genetic diversity by bringing in new genetic information. Like kleptogenesis, tytoparthenogenesis -
261 the occurrence of sporadic parthenogenesis in sexual species- is unusual among asexual lineages
262 (Avisé 2015), and both represent weird cases in which selection driven “complementation” is
263 achieved through incomplete or temporal functional alteration of components of the sexual
264 reproductive program. So-called “facultative parthenogenesis” became well known from enigmatic
265 cases where single females of sharks, snakes and Komodo dragons sired offspring after being
266 deprived from males for extended periods may represent SOS mechanisms rather than natural
267 reproductive strategies. Producing diploid eggs, e.g. through apomixis in those cases could be due to
268 stochastic gene expression variation or epigenetic changes. Whether there is an adaptive
269 mechanism behind these accidental cases, and if those lineages would be more prone to provide the
270 genomic pre-condition for asexuality are interesting questions.

271 The importance of the right genomic combinations is supported by crossing experiments between
272 the parental species that once gave rise to the gynogenetic *P. formosa*. In the interspecific hybrid
273 females the majority of the oocytes have meiosis problems and are diploid (Lampert et al. 2007).
274 While in the experimental situation these oocytes are fertilized to give rise to triploid offspring, the
275 missing step to fully established gynogenesis is then just the suppression of karyogamy. Similarly,
276 while finding the right genome combination to synthesize hybridogenetic fish of *Poeciliopsis* and
277 *Pelophylax* frogs in the laboratory was after many attempts finally successful, in almost all other
278 cases the many efforts to produce unisexual lineages from crossing the known parental species
279 failed (see Choleva et al. 2012). In nature, however, long-term attempts have recurrently delivered
280 in some species the right genome combinations transitioning to asexuality, as diverse assemblages
281 of asexual lineages have arisen on multiple separate occasions (e.g. Vrijenhoek 1998; Simon et al.

282 2003; Neiman et al. 2009; Adolfsson et al. 2010; Paczesniak et al. 2013, 2014; Gibs and Denton
283 2016).

284 In plants, the embryological, molecular, and genetic evidence suggest that apomixis is induced in
285 diploids by genomic and transcriptomic shocks initiated after inter- or intra-specific hybridization
286 and is stabilized by a rise of ploidy, thus creating allo- and auto-polyploids (for a detailed discussion
287 see Carman 2007, Hojsgaard 2018 and Hojsgaard and Hoerandl 2019). The observed hemizygoty of
288 the genomic region for apomixis in autopolyploids (Ozias-Akins and van Dijk 2007) implies that a
289 single haplome might be sufficient to cope with the mentioned conditions to establish an asexual
290 lineage. However, modifier factors and gene dosage compensation are likely needed to stabilize
291 apomixis functionally as most apomicts are polyploids, and so far the only documented diploid
292 apomict belongs to the *Boechera holboellii* complex, a relative of *Arabidopsis thaliana* showing
293 diploid sexuals, and diploid and triploid apomictic populations (Sharbel et al. 2010). Non-
294 recombinant genomes in apomicts must combine a particular genomic setting and gene states to
295 restrain the sexual pathway bypassing meiosis (i.e., apomeiosis) and initiating embryogenesis
296 (parthenogenesis) and at the same time developing the seed nourishing tissue (endosperm).

297 As in animals, plants exhibit variations in the incidence of developmental pathways. Some asexual
298 species show sporadically more than one type of apomixis (i.e. diplospory, apospory, adventitious
299 embryony) (Bonilla and Quarin 1997; Hojsgaard et al. 2014b; Carman et al. 2019) and/or occasional
300 non-complementarity between reproductive components causing, e.g. a decrease or an increase of
301 ploidy in the progeny of apomicts after developing seeds from haploid gametes (i.e. haploid
302 parthenogenesis) or from fertilized unreduced gametes (i.e. BIII hybrids) (Asker and Jerling 1992;
303 Ozias Atkins and van Dijk 2007). All natural apomicts studied so far show major shifts in gene
304 expression, the extent and patterning of which require further investigation. Such regulatory
305 changes in gene expression -many related to germ cell specification and gametogenesis- are
306 associated to temporal and spatial developmental asynchronies subject to environmental
307 modulation (e.g. in *Boechera*, Sharbel et al. 2010, Schmidt et al. 2014, Shah et al. 2016; in *Brachiaria*,
308 Rodriguez et al., 2003; in *Eragrostis*, Cervigni et al. 2008; in *Hieracium*, Okada et al. 2013, Rabiger et
309 al. 2016; in *Panicum*, Yamada-Akiyama et al. 2009; in *Paspalum*, Quarin 1986, Polegri et al. 2010,
310 Hojsgaard et al. 2013, Ortiz et al. 2017; in *Pennisetum*, Singh et al. 2007, Sahu et al. 2012; in *Poa*,
311 Albertini et al. 2004; in *Tripsacum*, Grimanelli et al. 2003; in *Ranunculus*, Pellino et al. 2013,
312 Hojsgaard et al. 2014a, Klatt et al. 2016, 2018; Barke et al. 2018).

313 Asexual plant lineages had also been resynthesized experimentally in rare cases (Quarin 2001) and
314 multiple independent origins had been identified in natural populations (e.g. Sharbel and Mitchel-
315 Olds 2001; Paun et al. 2006; Siena et al. 2008). Occasionally, these apomictic polyploids retain a low
316 level of functional sexuality (i.e. facultative parthenogenesis) which might depend upon the genetic
317 background and the ecological environment (Quarin 1986; Mateo de Arias, 2015; Klatt et al. 2016;
318 Rodrigo et al. 2017) as well as the evolutionary age of the asexual lineage. Recently formed asexuals
319 exhibit more dramatic changes in gene expression, developmental alterations, and reduced fertility,
320 including non-complementarity in the alteration of sexual components and comparatively lower
321 levels of apomixis than older natural lineages (Pellino et al. 2013; Hojsgaard et al. 2014a; Barke et al.
322 2018). The older the asexual lineage, the higher the efficiency of apomixis and the harsher the
323 depletion of sex. Except for those regions within the genome associated to apomixis which do not
324 recombine and provide stability for the asexual state, the haplomes of old apomicts are expected to
325 display signatures of sporadic sex and chromosome repatterning. Therefore, functional shifts in

326 components of sexual reproduction can be linked to genomic regions behaving as supergenes (in
327 which even individual genes can be identified) rather than complete haplomes (e.g., Ozias-Akins and
328 van Dijk 2007; van Dijk et al. 2009; Conner et al. 2015).

329 The above stated conditions and the developmental and molecular evidence present in asexuals do
330 not neglect the different hypotheses on asexual origins. In fact, they all agreed with the different
331 standpoints and theoretical frames of each hypothesis and suggest a common molecular basis for
332 asexuality. The “sexual hang-ups” from Maynard-Smith emphasize the consequences of shifting out
333 of sex and indirectly, about the implications of having recombination between genetic sequences
334 responsible for altering reproductive components. The “balance” hypothesis from Moritz and co-
335 workers points indirectly toward the need of complementation of reproductive components to have
336 a functional asexual organism, while the “rare formation” hypothesis from Warren and co-workers,
337 the “duplicate-gene asynchrony” and “polyphenism” hypotheses from Carman and co-workers put
338 more weight on a probable molecular basis, giving different relevance to genetic and epigenetic
339 contributions.

340

341 *A modular developmental frame and its evolutionary perspective*

342 In all cases, the emergence of an asexual lineage requires non-recombination on specific genetic and
343 genomic attributes, and a rarely met complementation on altered reproductive components to
344 stabilize the lineage.

345 On the one hand, this explains why -once established- asexuality behaves as a dominant trait,
346 whether in genetic inheritance studies (mainly in plants; Ozias-Atkins and van Dijk 2007), or because
347 asexuals do not give birth to sexuals (mainly in animals; Avise 2015). This is not necessarily due to
348 any individual component of the asexual machinery being dominant over the sexual counterpart but
349 rather because meeting the conditions for asexuality implies unchanged transgenerational
350 transmission, and any recombination between reproductive factors might modify regulatory
351 mechanisms (e.g. epigenetic signals, *cis*- or *trans*- molecular interactions) and disassemble the
352 molecular basis for asexuality.

353 On the other hand, in practical terms this means that we should observe parallelisms in functional
354 reproductive changes among asexuals from taxonomically and phylogenetically diverse groups. Even
355 though establishing an asexual lineage in plants may require different molecular and cellular
356 interactions compared to animals as well as overcoming diverse ecological constraints (e.g.
357 crowding, starvation, day length), the known asexual animals and plants show in fact intriguing
358 parallelisms in functional aspects and modified components of sexual reproduction (Figure 1),
359 unlikely to be a consequence of chance.

360 Molecular modifications of centromere proteins (CENH3) can induce paternal chromosomal
361 elimination (Ravi and Chan, 2010). In plants, centromere-mediated genome elimination is used to
362 produce maternal haploids (Kelliher et al. 2016), and case studies of rapid preferential uniparental
363 chromosome elimination in wide-cross hybrids (e.g., *Avena sativa* × *Zea mays*) show changes on
364 reproductive components alike those in hybridogenic animals (Figure 1). However, this mechanism is
365 neither recurrent nor stable in nature and so far a system fully equivalent to that of hybridogenetic
366 animals has not yet been discovered in plants. The majority of polyploid apomictic plants are
367 pseudogamous (e.g., *Hypericum* sp.; *Paspalum* sp.; *Ranunculus* sp.), often tetraploids, and display

368 functional changes in the sexual reproductive program equivalent to those of gynogenetic animals
369 (Figure 1). The combination of specific genomic modifications in pseudogamous apomicts allows
370 them to annul the consequences of meiotic recombination and chromosomal reduction, while
371 sperms are used to initiate the endosperm and thus stimulate embryogenesis and completion of the
372 development of a functional seed. Finally, autogamous apomictic plants (e.g., *Antennaria* sp.;
373 *Hieracium* sp.; *Erigeron* sp.) alike parthenogenetic animals (e.g., *Darevskia* sp.; *Aspidoscelis* sp.) had
374 become free of the requirement of sperm fertilization, and the offspring is produced without the
375 male contribution (Figure 1). These asexuals are highly associated with polyploidy (mainly triploids
376 but also tetraploids) and represent the most extreme cases of asexuality. Particularly in animals,
377 polyploids are likely by-side products of the process of stabilization of reproductive modes and the
378 transition between sexual and asexual strategies in newly formed hybrid lineages. In plants,
379 however, polyploidy is frequent and most polyploids are sexual though a potential role for
380 transitional apomixis in the establishment of sexual polyploids shall not be discarded (Hojsgaard
381 2018).

382 This model of non-recombinant genomic assemblages and complemented reproductive components
383 can also help us explain the occurrence of asexuals of very dissimilar ages. As the initial stage of
384 establishment of a new asexual is the most critical one, the model anticipates that many asexual
385 lineages will have an ephemeral lifespan and be short-lived. However, the likelihood of asexuals
386 being much older than expected is also anticipated and hang upon the quality of the reproductive
387 complementation and developmental lability (or its flexibility to incorporate variability and fine-tune
388 reproductive fitness) of the asexual lineage.

389 We reason that the number of reproductive components and complexity of their molecular
390 interactions needed during formation of an asexual organism increase from hybridogens to
391 gynogens/pseudogamous apomicts and to parthenogens/autogamous apomicts (from left to right in
392 Figure 1). This increasing complexity also reflects a conceivable evolutionary direction for asexuals
393 and thus places the possibility of transient reproductive states displaying more than one
394 reproductive mode (as discussed above). The increase in complexity implies a rise in the rarity of
395 complementation between reproductive components, and thus a progressive decrease in the
396 proportions of asexuals observed for each category is expected. In nature, such a rare
397 complementation might have the additional challenge of meeting the right ecological setup, a
398 concurrence not easy to replicate instantaneously under experimental conditions. This may explain
399 why obtaining a first generation clonal hybrid is much harsher than crossing extant clonal hybrids
400 (Vrijenhoek 1989; Mallet 2005). Anyhow, as anticipated, hybridogens are more frequent in nature
401 and “more easy” to replicate under experimental conditions than gynogens or parthenogens
402 (Choleva et al. 2012; Avise 2015). Similarly, in plants pseudogamous apomicts are much more
403 frequent than autogamous apomicts. In nature, a reproductive system analogous to that of
404 hybridogens is unmet in plants. Plausible reasons are likely connected to differences in their
405 reproductive biology (e.g. frequent hermaphroditism, development of a gametophyte, double
406 fertilization and parallel development of embryo and endosperm tissues), timing of germline
407 specification and epigenetic resetting of maternal and paternal chromosomes (genomes) compared
408 to animals (Feng et al. 2010; MacDonald 2012).







409

410

411 *Conclusion*

412 Genomic and developmental restrictions imposed both by gene interactions, physiological
 413 responses, and ontogeny of sexual processes hamper the evolution of asexuality in all living groups.
 414 In both plants and animals, the first generations after hybridization and/or polyploidization have
 415 drastic consequences on retaining specific genomic combinations needed to stabilize reproductive
 416 modes and assure the lineage's subsistence. The higher the complexity of cytological mechanisms
 417 inducing asexuality, the lower the chances of putting together in a new individual the genomic
 418 combinations required. While genomic decay is a long-term force acting upon asexuals, their rare
 419 occurrence may well be determined by the stochastic chance of converging and maintaining the
 420 appropriate genomic combinations and reproductive complementation rather than by a short-lived
 421 fate. As implicitly exposed in many previous works, discussions of asexual lineages in the future
 422 should emphasize the likelihood of their formation based on biochemical and molecular mechanisms
 423 rather than on speculative discussions concerning shorter lifespans or degenerating fitness benefits.

424

components of reproduction	Sexuals biparental	Hybridogens hemiclonal 	Gynogens clonal 	Parthenogens clonal 
meiotic recombination	+	-	-	-
chromosomal reduction*	+	+	-	-
fertilization	+	+	+	-
ploidy restoration	needed	needed	not needed	not needed
embryogenesis progression	sperm-dependent	sperm-dependent	sperm-dependent	sperm-independent
non-recombinant genomes†	0 (zero)	1 (one)	2 (two)	2 (two)
		Uniparental genome loss 	Pseudogamous apomicts 	Autogamous apomicts 

425

426 **Figure 1.** Recurrent modification of components of sexual reproduction observed in asexual
 427 organisms. The graph show the increase in the number and complexity of developmental changes
 428 required in each reproductive category (occasional occurrence of deviations on reproductive
 429 components in each category is expected but not included here; e.g. kleptogenesis). In
 430 hybridogenetic species, the paternal chromosomes are eliminated during the formation of gametes,
 431 and the offspring inherit only the maternal chromosomes. Fertilization by a male donor restores
 432 ploidy and enables embryo development. Gynogenetic and pseudogamous apomict species are also
 433 sperm-dependent because they still require fertilization to trigger the parthenogenetic development
 434 of the diploid egg physiologically but need to skip meiosis to retain the ploidy of the genome. Sperm-

435 independent embryogenesis of unreduced eggs occurs in parthenogenetic and autogamous apomict
436 species.

437 *: refers to the formation of gametes carrying half the number of chromosomes of the mother.
438 †: based on a potential parental contribution of up to two genome sets ($2n$; $n=1x, 2x...ix$) to the
439 offspring.

440

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445

446 **Competing interest**

447 The authors declare there are no competing interests concerning this study.

448

449 **Author Contributions**

450 DH conceptualized and designed the study, collected data and drafted the manuscript; MS collected
451 data and helped draft the manuscript. Both authors gave final approval for publication and agree to
452 be held accountable for the work performed therein.

453

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