

1 Review

2 **Bone Morphogenetic Protein 4 Targeting Glioma** 3 **Stem-Like Cells for Malignant Glioma Treatment:** 4 **Latest Advances and Implications for Clinical** 5 **Application**

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21 **Abstract:** Malignant gliomas are heterogeneous neoplasms. Glioma stem-like cells (GSCs) are
22 undifferentiated and self-renewing cells that develop and maintain these tumors. These cells are the
23 main population that resist current therapies. Genomic and epigenomic analyses has identified
24 various molecular subtypes. Bone morphogenetic protein 4 (BMP4) reduces the number of GSCs
25 through differentiation and induction of apoptosis, thus increasing therapeutic sensitivity.
26 However, the short half-life of BMP4 impedes its clinical application. We have previously reviewed
27 BMP4 signaling in central nervous system development and glioma tumorigenesis and its' potential
28 as a treatment target in human gliomas. Recent advances in understanding both adult and pediatric
29 malignant gliomas highlight critical roles of BMP4 signaling pathways in the regulation of tumor
30 biology, and indicate its' potential as a therapeutic molecule. Furthermore, significant progress has
31 been made on synthesizing BMP4 biocompatible delivery materials, which can bind to and
32 markedly extend BMP4 half-life. Here, we review current research associated with BMP4 in brain
33 tumors, especially in pediatric malignant gliomas. We also summarize BMP4 delivery strategies,
34 with a focus on biocompatible BMP4 binding peptide amphiphile nanostructures as promising
35 novel delivery platforms for treatment of these devastating tumors.

36 **Keywords:** bone morphogenetic protein 4; molecular mechanism; delivery; clinical application;
37 malignant glioma
38

39 **1. Introduction**

40 Malignant gliomas are the most aggressive category of primary brain tumor [1]. Despite decades
41 of research, curing these tumors remains a challenge [2]. The incidence of malignant gliomas differs
42 by age. In adults (≥ 19 years), the average overall annual incidence is 8.82 per 100,000. In children (<19
43 years), malignant gliomas include anaplastic astrocytoma, glioblastoma and diffuse intrinsic pontine
44 gliomas (DIPGs), with an average annual incidence of 3.48 per 100,000 [1]. Regardless of age, patients
45 with these devastating tumors have poor clinical prognosis [3,4]. Radical surgical resection followed

46 by adjuvant radiotherapy and/or chemotherapy are standard treatments for these tumors, however,
47 tumor recurrence occurs in nearly all instances, primarily due to intrinsic or acquired resistance to
48 routinely used therapies [5]. Identifying novel therapeutic approaches to improve survival in patients
49 with these malignancies is imperative.

50 Data from the Central Brain Tumor Registry of the United States (CBTRUS) reveals differences
51 between adult and pediatric patients including tumor incidence and location [1]. Genomic and
52 epigenomic analyses have also shown significant differences between adult and pediatric tumors
53 [6,7]. In adult high-grade gliomas (aHGGs), epidermal growth factor receptor (EGFR) is a commonly
54 altered receptor tyrosine kinase (RTK) and isocitrate dehydrogenase 1 (IDH1) or IDH2 mutations are
55 frequent [8-11]. In pediatric high-grade gliomas (pHGGs), platelet-derived growth factor receptor- α
56 (PDGFRA) is a more common RTK alteration, and MYC and MYCN are frequently amplified [12].
57 Furthermore, multiple hotspot histone mutations have been identified in pHGGs, but are rare in
58 aHGG. These histone mutations further vary between different pHGG tumor types. For instance,
59 mutations in H3, family 3A (H3F3A) and histone cluster 1, H3b (HIST1H3B), occur at lysine 27
60 (K27M) in ~80% of DIPGs [13,14], a subset of pHGGs arising from the brainstem. Mutations on
61 histone H3G34 (G34V/R) are present in ~38% of hemispheric pHGGs [12]. In addition to histone
62 mutations, TP53 and activin receptor type 1 (ACVR1, also known as ALK2) mutations are frequent
63 in DIPG [15-17], and chimeric fusions involving the kinase domain of neurotrophic tyrosine kinase
64 receptors are present in ~40% of hemispheric pHGG [13].

65 Regardless of the aforementioned molecular differences between aHGGs and pHGGs, a small
66 population of glioma stem-like cells (GSCs) are considered a driving force for tumor growth and
67 recurrence, and tumor heterogeneity [18-21]. GSCs can initiate tumors that reproduce the parental
68 tumors' cellular heterogeneity. GSCs also resist the cytotoxic effects of radiation and chemotherapy
69 [22-26]. These findings indicate that GSCs may be critical therapeutic targets.

70 Bone morphogenetic protein 4 (BMP4) can abolish cancer stem cell populations in human
71 cancers [27-32], including in malignant gliomas [33-37]. In a current phase I clinical trial
72 (NCT02869243) human recombinant BMP4 is being administered through intratumoral and
73 interstitial convection-enhanced delivery (CED) for adult glioblastoma treatment
74 (<https://clinicaltrials.gov/ct2/show/NCT02869243>). BMP4 signal pathways appear to play critical
75 roles in the regulation of malignant glioma tumor biology, further suggesting that it is a promising
76 therapeutic molecule. However, to fully elucidate BMP4 therapeutic potential, differential roles of
77 BMP4 in tumor molecular subgroups should be examined. In addition to take advantage of this
78 potential, novel biocompatible materials for effective BMP4 binding and delivery are being
79 synthesized. Preliminary unpublished results from our laboratory show that an innovative
80 biocompatible peptide amphiphile nanostructures bind BMP4 and markedly extend its half-life, an
81 important factor for its clinical utility [38]. In this review, we discuss recent discoveries elucidating
82 the role of BMP4 signal pathways in malignant gliomas and review innovative biocompatible
83 materials for BMP4 delivery and their prospects for clinical applications.

84 **BMP4 signal pathways and glioma biology**

85 BMP4 is a member of the TGF- β family. BMP4 signal pathways are critical in early embryonic
 86 development and central nervous system (CNS) formation and development through regulation of
 87 stemness and differentiation of neural stem cells (NSCs) [39,40]. In the subventricular zone of the
 88 adult brain, BMP4 promotes NSC differentiation into astrocytes [41]. BMP4 directly binds to
 89 BMPRI1A, BMPRI1B, and BMPRI1, resulting in phosphorylation of cytosolic Smad (mothers against
 90 decapentaplegic homolog) proteins. Smad proteins translocate to the cell nucleus, where they bring
 91 about Smad-mediated gene expression as well as activation of MAPK (mitogen-activated protein
 92 kinases) signaling as described [42]. Increasing evidence indicates that BMP4 signaling pathways are
 93 relevant to human gliomas. However, the role of BMP4 signaling pathways varies between aHGGs
 94 and pHGGs, due to differences in molecular background. For example, a mutation in ACVR1, a
 95 member of the BMPRI family, is more frequent in pHGGs, compared to aHGGs [12,15-17,37,43,44].
 96 In light of the molecular background differences,
 97 BMP4 action needs to be interpreted with
 98 respect to the distinct features of each tumor
 99 group.
 100

101 BMP4 signaling in adult high-grade gliomas

102 HGGs are the most common solid CNS
 103 adult tumors. We analyzed the Data from The
 104 Cancer Genome Analysis (TCGA) using the
 105 Gliovis data portal for visualization and
 106 analysis of brain tumor expression datasets [45]
 107 (<http://gliovis.bioinfo.cnio.es/>). The results show
 108 that low-grade gliomas (LGG) express higher
 109 BMP4 levels and exhibit lower mortality rates
 110 than HGGs that express lower levels of BMP4
 111 (Figure 1). These results are consistent over
 112 multiple data sources [46,47], and suggest that
 113 BMP4 can be a robust prognostic marker for
 114 adult gliomas. The results further suggest that
 115 therapeutic targeting of BMP4 may be an
 116 effective strategy for treating aHGG.
 117

118 GSCs are considered a source for tumors
 119 and these cells are resistant to radiation and
 120 chemotherapy [48,49]. One strategy to improve
 121 treatment outcomes for aHGG is to target GSCs
 122 to improve tumor response to conventional
 123 therapies. Another strategy is to induce GSC differentiation, resulting in a reduction of the
 124 tumorigenic cell population [50]. Treatment with BMPs, including BMP4 provides an approach for
 125 inducing GSC differentiation. GSCs express BMP receptors, and have a functional BMP4 signal
 126 pathway. Addition of exogenous BMP4 to GSCs enhances SMAD phosphorylation and reduces GSC
 127 proliferation [41,51]. Furthermore, in response to BMP4, CD133, a GSC marker, decreases, whereas
 128 GFAP, a marker for differentiated astrocytes, increases. Treatment with exogenous BMP4 also
 129 decreases GSC tumorigenicity in vivo [51] and reduces tumor cell proliferation [52]. These results, in
 130 total, suggest that BMP4 promotes GSC differentiation, and may prove useful in treating HGGs [53].
 131 BMP4 also reduces multidrug resistance in glioma cells and suppresses glioblastoma invasiveness.
 132 Multidrug resistance is reduced through inhibition of B-cell lymphoma 2 (BCL-2) and glial cell
 133 derived neurotrophic factor (GDNF), while invasiveness is reduced through increased E-cadherin
 134 and claudin expression [33].

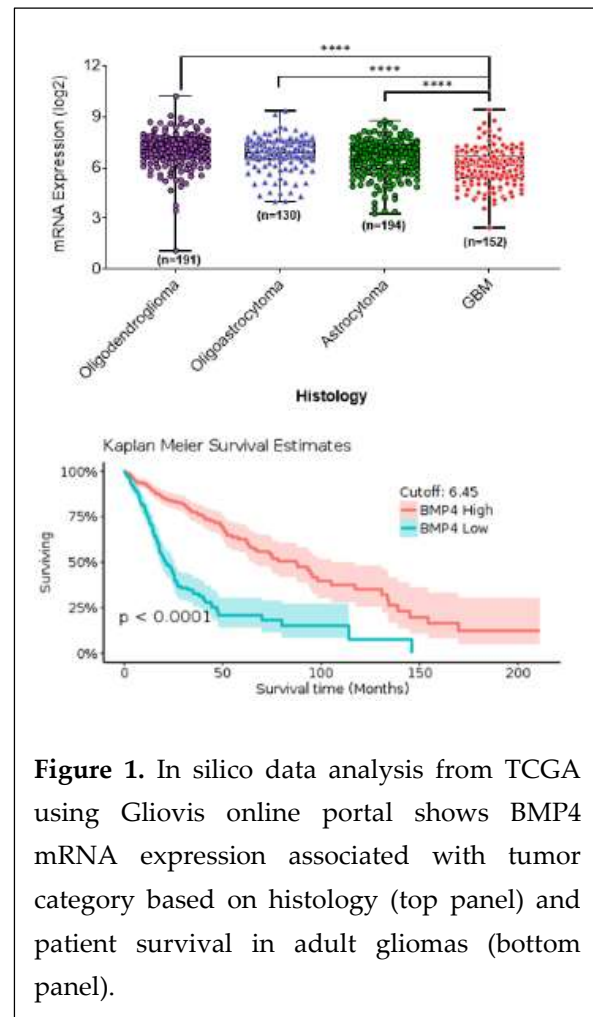


Figure 1. In silico data analysis from TCGA using Gliovis online portal shows BMP4 mRNA expression associated with tumor category based on histology (top panel) and patient survival in adult gliomas (bottom panel).

135 With advances in biotechnology, including integrative application of high-throughput
 136 sequencing such as single cell
 137 RNA-seq (scRNA-seq), 450K DNA
 138 methylation profiling, high-
 139 throughput m⁶A-seq, and whole-
 140 genomic sequencing (WGS), it is
 141 possible to obtain precise
 142 molecular signatures, and identify
 143 the diverse genetic and epigenetic
 144 programs that drive cancers such
 145 as gliomas. For example, scRNA-
 146 seq reveals proneural, classic and
 147 mesenchymal GSC subtypes
 148 within individual tumors, thus
 149 demonstrating intratumoral
 150 cellular heterogeneity [10,54,55].
 151 Preliminary results from our
 152 laboratory indicate these cell
 153 subtypes respond differently to
 154 BMP4 (Figure 2A). Proliferation of
 155 mesenchymal subtype GSCs does
 156 not decrease following treatment
 157 with 100ng/ml BMP4 for 4 days. In
 158 comparison, proliferation of
 159 proneural subtype GSCs does
 160 decrease under these conditions.
 161 This may reflect different levels of
 162 endogenous BMP4 expression
 163 (Figure 2B). For instance, the
 164 mesenchymal glioblastoma
 165 subtype expresses higher levels of
 166 BMP4 than proneural and classic GSC subtypes. The pre-glioblastoma subtype within isocitrate dehydrogenase 1 (IDH1) mutant gliomas express low BMP4 (Figure 2C) [56], in comparison to early progenitor-like and neuroblastic subtypes, and is associated with poor patient prognosis (Figure 2D) [54,57].

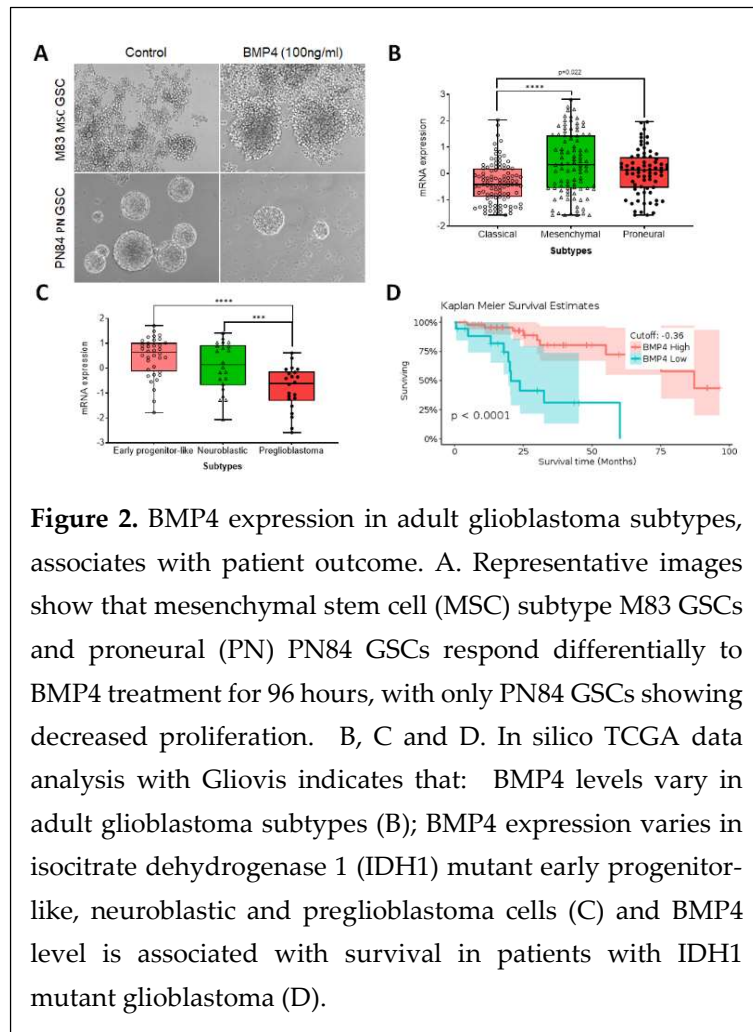


Figure 2. BMP4 expression in adult glioblastoma subtypes, associates with patient outcome. A. Representative images show that mesenchymal stem cell (MSC) subtype M83 GSCs and proneural (PN) PN84 GSCs respond differentially to BMP4 treatment for 96 hours, with only PN84 GSCs showing decreased proliferation. B, C and D. In silico TCGA data analysis with Gliovis indicates that: BMP4 levels vary in adult glioblastoma subtypes (B); BMP4 expression varies in isocitrate dehydrogenase 1 (IDH1) mutant early progenitor-like, neuroblastic and preglioblastoma cells (C) and BMP4 level is associated with survival in patients with IDH1 mutant glioblastoma (D).

170 BMP4 signaling in pediatric high-grade gliomas

171 Pediatric brain tumors are distinct from their adult counterparts in terms of epidemiology,
 172 cellular origins, response to cytotoxic and radiation therapy and clinical outcomes. Recent wide-
 173 spread genome-wide profiling applied to pediatric brain tumors has provided full characterization
 174 at the molecular genetic level. These large-scale analyses have revealed distinct tumor driving events,
 175 gene expression profiles, mutation targets and mutation frequencies [7]. Accordingly, BMP4
 176 involvement in pGG biology warrants its own examination.

177 In silico analysis of data from dataset GEO: [GSE73038](#) ([58] shows that BMP4 is differentially
 178 expressed among
 179 histopathologically-
 180 defined pediatric CNS
 181 brain tumors (Figure 3,
 182 left panel), including in
 183 pHGGs (Figure 3 right
 184 panel). DIPGs, pHGGs
 185 arising in the brainstem,
 186 are characterized by an
 187 H3K27M mutation in
 188 either histone H3.1 or
 189 H3.3. H3.3 K27M
 190 mutations are also
 191 present in other pHGGs
 192 from midline regions,
 193 including from areas such

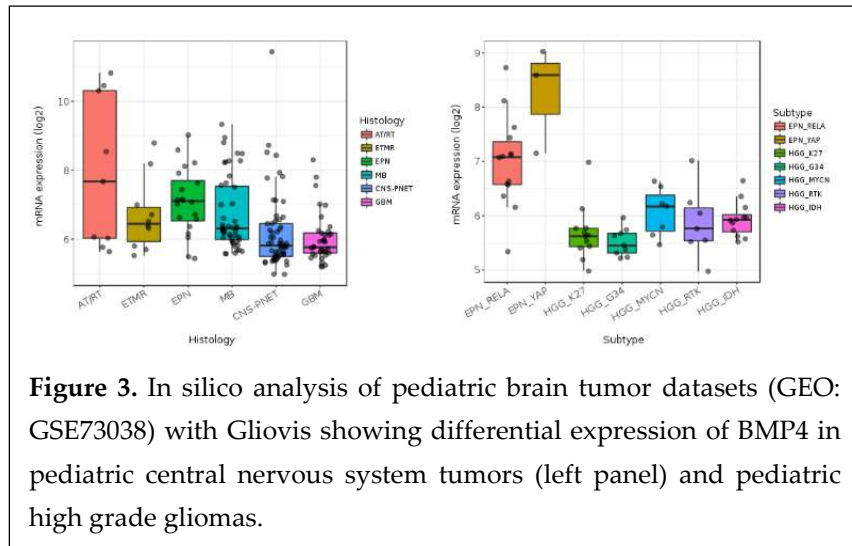


Figure 3. In silico analysis of pediatric brain tumor datasets (GEO: GSE73038) with Gliovis showing differential expression of BMP4 in pediatric central nervous system tumors (left panel) and pediatric high grade gliomas.

194 as the thalamus, cerebellum and spine [59]. While H3.1 K27M mutations are restricted to DIPG [60],
 195 they usually occur in conjunction with abnormal signaling pathway activity including pathways
 196 associated with BMP4 [14-16,29,61]. Recurrent somatic mutations involving ACVR1 have also been
 197 discovered in DIPGs [13,15,16]. Interestingly, gain-of-function mutations in ACVR1 appear to be
 198 restricted to DIPGs with an H3.1 K27M of the HIST1H3B gene, and are not present in DIPGs with
 199 H3.1 K27M mutation of the H3F3A gene. DIPGs harboring ACVR1 mutations exhibit hyperactivation
 200 of BMP-ACVR1 signaling, which results in elevation of phosphorylated SMAD1/5/9 and increased
 201 expression of BMP downstream response genes [16]. DIPG patients whose tumor harbors an ACVR1
 202 mutation show improved survival [13,17]. Therapeutic targeting of AVCR1 has beneficial anti-tumor
 203 effects in preclinical DIPG models [43]. However, the targeting effect is mutation domain dependent
 204 [43,44].

205 pHGGs also display other histone mutations, for instance the histone H3G34 (G34V/R) mutation
 206 is present in hemispheric pHGGs [12]. Preliminary unpublished results from our laboratory show
 207 increased phosphorylated SMAD1/5/9 and decreased multidrug resistance gene 1 (MDR1)
 208 expression, in pediatric glioblastoma KNS42 cells harboring an H3F3A G34V mutation, following
 209 BMP4 treatment. Decreasing MDR1 increases tumor cell sensitivity to cytotoxic therapies. Thus, the
 210 result with KNS42 cells indicates that, in addition to ACVR1 mutated H3.1 K27M DIPGs, other
 211 pHGGs may benefit from BMP4 targeted therapy.

212 BMP4 delivery methods for glioma treatment

213 The BMP signaling pathway is a potential therapeutic target for treating gliomas. Therapeutic
 214 applications of BMP4 for both adult and pediatric HGGs are based on its ability to induce
 215 differentiation and apoptosis of GSCs and thus reduce this cell population. The mechanism for BMP
 216 differentiation therapy involves driving GSCs into a post-mitotic state that limits tumor growth.
 217 However, there are obstacles that must be overcome relative to BMP4 clinical treatment of malignant
 218 gliomas via differentiation therapy [62,63]. For instance, autocrine BMP4 enhances tumor
 219 aggressiveness in IDH1 mutant gliomas [64]. It is possible that only certain subsets of GSCs, based
 220 on molecular characteristics, are targetable in response to high dose of BMP4 treatment. Some cell
 221 subsets may show incomplete cell-cycle arrest and/or tumor cell retention of growth-promoting DNA
 222 methylation patterns [63]. Further investigation of cell molecular characteristics and differentiation
 223 needs to be done to help overcome these obstacles. Another limiting factor for clinical application of
 224 BMP4 is its short half-life [65-67]. One strategy for overcoming this limitation is delivery of large
 225 doses of BMP4 via polymer beads [51]. Other delivery systems have been designed to overcome
 226 BMP4 short half-life and improve its biomedical effects, including recent advances in the synthesis of
 227 biocompatible BMP4 binding materials. The following discussion will review delivery systems

228 (Figure 4) and our current innovative peptide amphiphile nanostructures as an innovative BMP4
229 delivery platform (Figure 5).

230 3.1. Viral vector based delivery

231 Viral vectors have been used for high efficiency gene delivery, including for production of BMP4
232 in gliomas. An oncolytic vaccinia virus (VACV) expressing BMP4 was delivered both in vitro to
233 primary glioma cultures and in vivo intracranially to xenograft gliomas (Figure 4A). The results of
234 the in vitro study showed cytotoxic activity against GSCs and the in vivo study improved survival
235 rates in treated mice and reduced recurrence of glioma following VACV infection [68]. VACVs,
236 however, are associated with risks including neurodegeneration and demyelination, which limits
237 their clinical application for expressing BMP4 in gliomas [69].

238 3.2. Human adipose-derived mesenchymal stem cell (hAMSC) based delivery

239 Because of their high glioma tropism, human adipose-derived mesenchymal stem cells
240 (hAMSCs) have been touted as a potential therapeutic delivery vehicle for glioma treatment. Though
241 originally derived from bone marrow, large amounts of MSCs can be isolated from adipose tissue,
242 with cells from either source relatively equivalent in treatment efficacy [70]. Furthermore, hAMSCs
243 can be altered with nanoparticles to be more effective than conventional polymers in delivering BMP4
244 [70]. Nanoparticle-engineered hAMSCs expressing BMP4 cross the blood brain barrier, migrate to
245 and penetrate intracranial tumors, and extend survival. In vivo and in vitro studies showed that
246 hAMSC-BMP4 decreases migration and proliferation of GSCs while promoting differentiation
247 (Figure 4B). Additionally, mice bearing murine GBM experience improved survival after treatment
248 with hAMSC-BMP4. Significantly, in vivo, hAMSCs maintain their multipotency and hAMSC
249 malignant transformation has not been observed, despite exposure to the GBM microenvironment
250 [71]. However, with the application of tumor growth factors, hAMSCs can transform into fibroblasts
251 and potentially contribute to tumor expansion [71,72]. Further, hAMSCs stop proliferating in-vivo
252 after a few days, so that the effect of BMP4 from hAMSC production is limited [73].

253 3.3. Human neural stem cell (hNSC) based delivery

254 To address deficiencies in the distribution of “free” oncolytic vectors, the use of virally
 255 transduced human neural stem cells (hNSCs) has been proposed to treat gliomas. These cells would
 256 deliver conditionally replicating adenovirus (CRAd) (Figure 4C). NSCs have shown an intrinsic
 257 migratory capacity towards brain tumors, though the mechanisms of this tropism are poorly
 258 understood [70,74]. Harnessing the homing ability of hNSCs in conjugation with BMP4 expression
 259 inhibits GSC growth both in vivo and in vitro, likely via the Smad signaling pathway. In vivo, hNSC-
 260 BMP4 treatment is effective in
 261 promoting GSC
 262 differentiation and apoptosis
 263 in xenograft gliomas, and
 264 improves survival of mice
 265 bearing these tumors [74].

266 3.4. Biocompatible nanomaterial 267 based delivery

268 Self-assembling
 269 materials such as peptide
 270 amphiphiles (PAs) have been
 271 a focus for medical
 272 applications over the past two
 273 decades. PAs can be designed
 274 to self-assemble in cylindrical
 275 nanostructures that resemble
 276 the structural characteristics
 277 of native extracellular matrix
 278 (ECM) fibers. The molecular
 279 design of PAs allows for the
 280 incorporation of bioactive
 281 signals that will be displayed
 282 on the surface of the self-
 283 assembled nanofibers
 284 creating opportunities for
 285 exciting novel therapies with
 286 broad potential impact in
 287 regenerative medicine and
 288 cancer. Recently, Srikanth et
 289 al. [75] reported that PA nanofibers displaying an IKVAV peptide signal can be used to treat GSCs.
 290 They showed that this specific PA potentially increases immobilized β 1-integrin at the GSC
 291 membrane, activating integrin-linked kinase while inhibiting focal adhesion kinase (FAK), which
 292 consequently induces apoptosis in GSCs. PA nanofibers can also be designed to display binding
 293 peptide sequences allowing the nanofibers to bind and deliver specific proteins, nucleic acids, drugs
 294 and cells [76]. For example, PA nanofibers as a delivery mechanism have been investigated to deliver
 295 BMP2. This particular PA nanofiber displays a peptide sequence found through phage-display
 296 techniques with an affinity for BMP2. The use of this binding nanofiber led to more efficient delivery
 297 and protein activity that resulted in a 10-fold dose reduction of BMP2 required for successful spinal
 298 fusion in a rat model [77]. More recently, Lee et al. [38] synthesized a novel sulfated glycopeptide
 299 nanstructure that has a binding affinity for multiple proteins including BMP4 (Figure 5). Most
 300 importantly, these PA nanostructures are biocompatible, thus they do not cause side effects while
 301 providing more efficient delivery to increase therapeutic benefit.

302 Future prospects

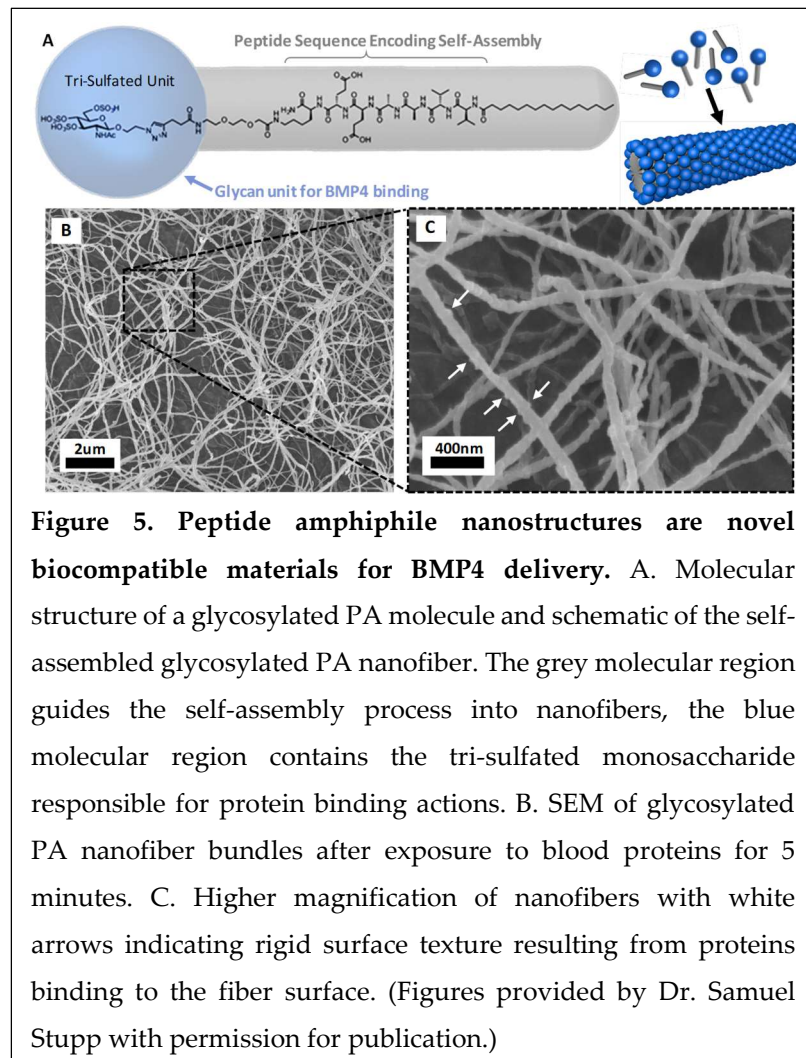


Figure 5. Peptide amphiphile nanostructures are novel biocompatible materials for BMP4 delivery. A. Molecular structure of a glycosylated PA molecule and schematic of the self-assembled glycosylated PA nanofiber. The grey molecular region guides the self-assembly process into nanofibers, the blue molecular region contains the tri-sulfated monosaccharide responsible for protein binding actions. B. SEM of glycosylated PA nanofiber bundles after exposure to blood proteins for 5 minutes. C. Higher magnification of nanofibers with white arrows indicating rigid surface texture resulting from proteins binding to the fiber surface. (Figures provided by Dr. Samuel Stupp with permission for publication.)

303 Here we have summarized recent BMP4 associated progress in aHGG and pHGG. BMP4
304 treatment could be a valuable adjunct to conventional therapies for these devastating tumors.
305 However, BMP4 mediated differentiation therapies must be used in a patient-specific context since a
306 subset of gliomas do not differentiate in response to BMP4 [21,78,79]. To better predict therapeutic
307 value, the roles of BMP4 in subsets of aHGGs and pHGGs with specific molecular signatures should
308 be further examined. The means for delivering BMP4 is also a key factor. We have described current
309 BMP4 delivery strategies and propose that biocompatible nanocarriers could be a novel highly
310 efficient delivery platform. Further studies need to develop PA nanostructures for brain tumor
311 treatment via systemic administration. These nanostructures must be designed to have high BMP4
312 affinity and to cross the blood-brain barrier. We hope advanced nanotechnology based on self-
313 assembling peptides will enhance BMP4 delivery efficacy and lead to new therapeutic options that,
314 in combination with conventional cytotoxic and/or radiation therapy, will improve outcomes for
315 patients with HGGs.

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318 Mahenthiran, Barbara Mania-Farnell, Guifa Xi; writing—review and editing, Mark McClendon,
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