

## Article

# Exploring Geometric Feature Hyper-Space in Data to Learn Representations of Abstract Concepts

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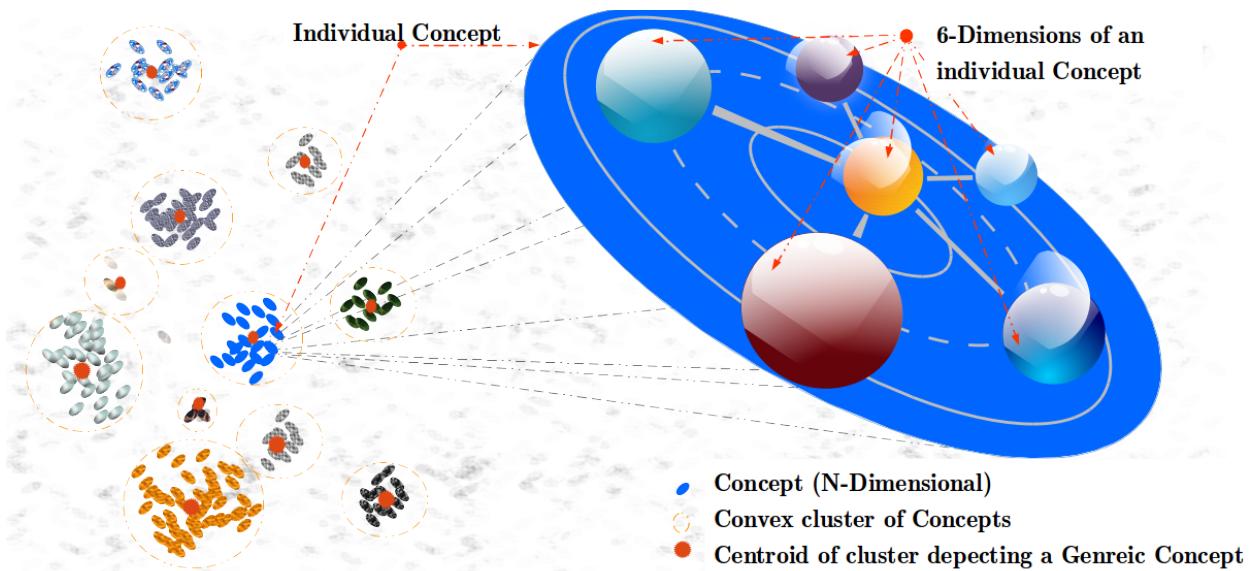
**Abstract:** The term Concept has been a prominent part of investigations in psychology and neurobiology where, mostly, it is mathematically or theoretically represented. The Concepts are also studied computationally through their symbolic, distributed and hybrid representations. The majority of these approaches focused on addressing concrete concepts notion, but the view of the abstract concept is rarely explored. Moreover, most computational approaches have a predefined structure or configurations. The proposed method, Regulated Activation Network (RAN), has an evolving topology and learns representations of Abstract Concepts by exploiting the geometrical view of Concepts, without supervision. In the article, the IRIS data was used to demonstrate: the RAN's modeling; flexibility in concept identifier choice; and deep hierarchy generation. Data from IoT's Human Activity Recognition problem is used to show automatic identification of alike classes as abstract concepts. The evaluation of RAN with 8 UCI benchmarks and the comparisons with 5 Machine Learning models establishes the RANs credibility as a classifier. The classification operation also proved the RAN's hypothesis of abstract concept representation. The experiments demonstrate the RANs ability to simulate psychological processes (like concept creation and learning) and carry out effective classification irrespective of training data size.

**Keywords:** unsupervised machine learning; hierarchical learning; computational representation; computational cognitive modeling; contextual modeling; classification; IoT data modeling

## 0. Introduction

Concepts are of great value to humans because they are one of the building blocks of our recognition process. They enable us to perform cognitive functions such as classification which is fundamental in decision making and also capacitate us for contextual comprehension. By definition, a concept refers to an 'idea' or a combination of several ideas but in the computational domain, a concept can be a feature (object or event) or set of features (objects or events). An individual concept is referred to as a concrete concept (or feature) whereas a generalized form of a set of concepts (or features) can be perceived as an abstract concept. There are several conceptual representation theoretical frameworks [1] like *modality-specific, localist-distributed, experience-dependent* [2].

In computational domain, the concepts are mostly represented by three broad categories i.e. symbolic (eg. ACT-R [3]), distributed (eg. ANN) and spatial (eg. Conceptual Space [4]) representations. Cognitive architecture like CLARION [5] is an example of a hybrid computational representation that combines symbolic and distributed approaches, but there is no hybrid approach that combines all the three representations. Moreover, the symbolic, distributed, spatial and hybrid (spatial+distributed) representations are mostly used on representing concrete concepts (like object detection) whereas the notion of an abstract concept is debated [1] but rarely explored.



**Figure 1.** A universe of Concepts in six-dimensional feature hyper-space. The ovals in the diagram depict individual Concepts. Each *individual Concept* is described by their defining 6-dimensions. The cluster of Concepts shows the groups formed by similar Concepts represented by a *Convex cluster of Concepts*, and the *cluster centers* depicts the most generic Concept of the cluster.

34 This article proposes a computational method named Regulated Activation Network (RAN)  
 35 which unifies the virtues of symbolic, distributed and spatial representations to represent concepts  
 36 (both concrete and abstract). RAN has a graph-based topology hence it is distributed, every node in  
 37 the graph (network) identifies an entity, therefore, it's symbolic, and every node (or entity) is viewed  
 38 in an n-dimensional feature space, hence, it's also spatial. The spatial view of concepts as points  
 39 in multidimensional geometric feature space (see Figure 1 for 6-dimensional View of Concepts) is  
 40 inspired by the theory of conceptual spaces [4]. The RAN's modeling has an evolving topology that  
 41 enables it to build a model depicting a hierarchy of concepts. The geometrical associations among  
 42 concepts aid in determining the Convex Abstract Concepts. Further, the representatives (nodes) of the  
 43 Abstract Concepts form a new layer dynamically, where each node acts as a Convex Abstract Concept  
 44 representative for the underlying category. Symbolically, the concepts at (relatively) lower level in the  
 45 hierarchy are identified as concrete concepts and the concepts at (relatively) higher level are seen as  
 46 abstract concepts.

47 The model generation process with RAN and the three cognitive functions (i.e. concept creation,  
 48 learning and activation propagation) are simulated using a IRIS data. The deep hierarchy generation,  
 49 automatic generic concept modeling simulations are performed using 2 UCI benchmark: IRIS data;  
 50 and IoT data from smartphone sensors. The application of RAN as a classifier is reported along with  
 51 the proof of concept of classification using 8 UCI benchmark datasets. The generated models were  
 52 evaluated using metrics precision, recall, F1-score, accuracy and Receiver Operating Characteristic  
 53 (ROC) curve analysis. The article also reports the RANs classification and feature comparison with five  
 54 machine learning techniques, Multilayer Perceptron (MLP) [6], Logistic Regression (LR) [7], K Nearest  
 55 Neighbors (K-NN) [8], Stochastic Gradient Descent (SGD) [9] and Restrict Boltzmann Machine [10]  
 56 pipelined with Logistic Regression (RBM+).

57 The article is organized in the following order; Section 1 puts forward the work closely related  
 58 to Abstract Concept representation and models with evolving topology. Section 2 describes the  
 59 background associated with principles, theories, and motivations for RAN's modeling. RANs  
 60 methodology is detailed using a IRIS data in Section 3. Section 4 shows the experiments with two  
 61 datasets acquired from UCI machine learning repository to exhibit (1) flexibility in choosing a suitable  
 62 concept identifier, (2) building a deep hierarchy of Abstract Concepts, (3) automatic association of

63 input-labels to their respective Abstract Concept nodes. Section 5 provides RANs comparisons with  
64 five classifiers and proof of concept with eight benchmark datasets. At last, Section 6 summarizes and  
65 concludes the article with remarks over ongoing and future work.

## 66 1. Related Work

67 Abstract Concepts are of immense value because they help in developing unique abilities in  
68 humans such as relative recognition and effective decision-making. In medical science, there have been  
69 significant efforts to study Abstract Concepts with the help of technology. One such example is MRI<sup>1</sup>,  
70 which is being used to inspect the sections of the brain involved in Abstract Concept identification [11],  
71 [12]. Research in psychology has also reported investigations over Abstract Concepts, like probing the  
72 role of emotional content in processing and representing Abstract Concepts [13].

73 There has been a notable contribution from cognitive, and psycholinguists in studying languages  
74 through Abstract Concept modeling and representations. Internally representing Abstract Concepts  
75 via amodal symbols like a feature list, and frames [14,15] is among the preliminary research work  
76 in linguistics. The association and context were also established, to relating Abstract and Concrete  
77 words [14]. Some research reveals that we internally recognize metaphors as Abstract Concepts [16].  
78 Besides theoretical methods, computational approaches are playing a vital role in comprehending and  
79 representing Abstract Concepts. Research in NLP addresses computational learning, comprehension  
80 and processing of human understandable language, and its components. An interesting article  
81 published a work about the representation of Abstract, and Concrete Concepts in daily written  
82 Language using a text-based multimodal architecture of NLP [17]. Other than NLP, semantic networks  
83 are also used to study semantic similarity among Abstract, and Concrete nouns (of Greek, and  
84 English) [18] with the aid of network-based Distributed Semantic Model [19].

85 Though the aforementioned computational approaches contribute toward Abstract Concept  
86 modeling and representation, they have a fixed topology (i.e., the modeling process begins with a  
87 fixed structure and configuration). In connectionist computational modeling, there have been efforts to  
88 develop models that evolve. ANNA ELEONORA (standing for Artificial Neural Networks Adaptation:  
89 Evolutionary LEarning Of Neural Optimal Running Abilities) [20] demonstrated a way to grow  
90 neural networks with the aid of parallel genetic algorithms. NEAT (NeuroEvolution of Augmenting  
91 Topologies) [21] is another work that reported evolving neural network modeling, showing how nodes  
92 and weights are added to the model when new features emerge as part of the existing population  
93 and CoDeepNEAT [22] is the most recent member of such evolving models. Markov Brains [23] also  
94 belong to the family of evolving neural networks which uses binary variables and arbitrary logic to  
95 implement deterministic or probabilistic finite state machines. They have been used to investigate  
96 behaviors, character recognition and game theory.

97 This article communicates an approach which is not only hybrid but also has an evolving topology.  
98 The RANs modeling learns the representation of the Convex Abstract Concepts dynamically, hence  
99 makes it an evolving topology. RANs approach is connectionist, and each newly created node  
100 corresponds to an Abstract Concept symbolically, thus portraying its hybrid characteristics.

## 101 2. Background

102 This section provides information about the principles and methodologies related to RANs  
103 modeling. It highlights the significance of each approach, along with their applicability in RANs  
104 modeling.

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<sup>1</sup> Magnetic Resonance Imaging

105 **2.1. Principles of Regulated Activation Networks**

106 The tenets of RANS modeling, presented in [24], states model should be topologically a  
 107 connectionist and intends to represent and simulate the dynamic cognitive state of an agent. In  
 108 the first version RANS [24] the authors implemented a single-layer version of the model where each  
 109 node had a lateral connection to its same-layer companions. It had a simple learning and reasoning  
 110 mechanisms, but these showed to be sufficient to simulate several known cognitive phenomena such  
 111 as the Priming [25], the False Memory [26,27].

112 Two principles of Regulated Activation Networks inspired our proposal. First, the model should  
 113 be dynamic, and this is achieved by dynamically creating layers (deep representations) of Concepts.  
 114 Second, the model must be capable of learning and creating an Abstract representation of Concepts.  
 115 This is obtained by viewing associations among the Concepts (at the same level) in n-dimensional  
 116 geometric space, and learning relationship between the newly created Abstract Concepts, and input  
 117 level Concepts.

118 **2.2. Conceptual Spaces**

119 Conceptual Spaces theory [4] is one of the cognitive approaches that form the basis of RANS  
 120 modeling. This theory views the Concepts as regions within a multi-dimensional space, with the data  
 121 features representing the dimensions. The *similarity* among the Concepts can be identified based upon  
 122 the geometrical distance between the objects. The Conceptual Spaces, thus, serves as a natural way or  
 123 tool to capture the similarity relationships among Concepts, or Objects. Under this setting, one data  
 124 instance corresponds to a single point in the space. Formally we can say, the *Quality Dimensions*, i.e., a  
 125 set of  $D_1, \dots, D_n$ , forms the Conceptual Space  $S$ . A point in  $S$  is represented by a vector  $v = \langle d_1, \dots, d_n \rangle$ ,  
 126 where  $\{1, \dots, n\}$  are the indexes of the dimensions. Atomic Concepts are Convex Regions –a Convex  
 127 Region  $C$  having point  $x$  that falls between points  $x_1 \in C$  and  $x_2 \in C$  also belongs to  $C$ . The quality  
 128 dimension is the basic requirement for Conceptual Spaces [28]. An example is a color space with the  
 129 dimensions Hue, Saturation, and Brightness. Each quality dimension has a geometrical structure. For  
 130 example, Hue is circular, whereas brightness and saturation correspond with finite linear scales (see  
 Figure 2).

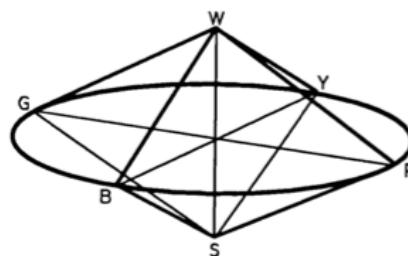


Figure 2. The color space [29]

131 The theory of Conceptual Spaces also addresses *prototype theory* of categorization [30–32]. The  
 132 main idea of prototype theory is that within a category of objects, like those instantiating a Concept,  
 133 certain members are judged to be more representative of the group than others. For example, robins  
 134 are judged to be more representative of the category “bird” than are ravens, penguins, and emus. If  
 135 Convex Regions of Conceptual Space describes Concepts, then prototype effect is, indeed, expected,  
 136 i.e., the most likely central position of a Convex Region describes an Abstract Concept. For example, if  
 137 color Concepts in a Convex region identified as subsets of the color space, then the central points of  
 138 these regions would be the most prototypical examples of the color.

139 Clustering is a suitable way of identifying and learning atomic Convex Concepts in conceptual  
 140 spaces. There are several clustering techniques, like hierarchical clustering, subspace clustering [33],  
 141 partitioning relocation clustering, density-based clustering, grid-based clustering and many more.

**Table 1.** Notations

| Notation  | Description   |
|-----------|---|
| $W$       | Inter-Layer weight matrix   |
| $A$       | Output Activation   |
| $a$       | Input Activation  |
| $n_a$     | Number of elements in input vector at Layer $l$   |
| $n_A$     | Number of elements in output vector at Layer $l + 1$  |
| $l$       | $l$ 'th Layer representative  |
| $d$       | Normalized Euclidean distance   |
| $C$       | Cluster center or Centroids   |
| $i, j, k$ | Variables to represent node index for input-level, abstract-level, and arbitrary node index in either of the levels, respectively |
| $t$       | Iterator variable   |
| $f(x)$    | Transfer function to obtain similarity relation   |

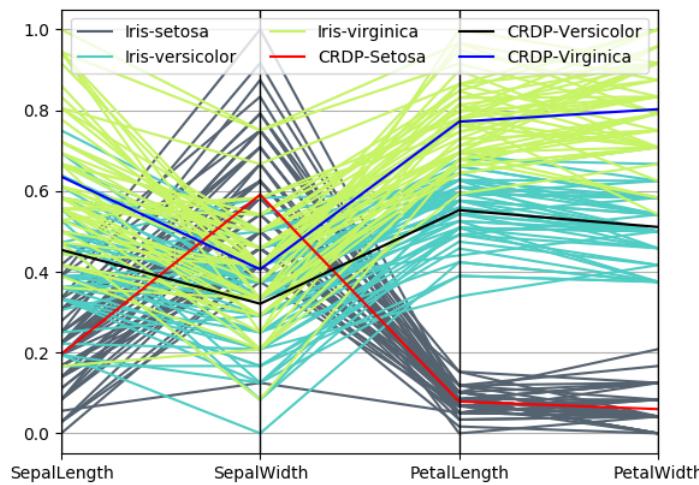
143 Many are frequently used in the statistical and scientific analysis of data [34,35], and in machine  
 144 learning for the identification of Concepts/features [36]. On the other hand, the creation of a hierarchy  
 145 of sub/super-Concepts is a way to represent more Abstract Concepts and their taxonomic-like  
 146 relations. Deep learning techniques [37–40,40,41] found in the literature can also be used to create  
 147 deep hierarchical representations, but usually do not interpret data as points in Conceptual Spaces.  
 148 In the proposed approach, the clustering techniques enable us to identify categories of Concepts in a  
 149 Conceptual Space thus laying the foundation to form a layer of Abstract representation of Concepts.

### 150 2.3. Spreading Activation

151 Spreading Activation is a theory of memory [42] based on Collins and Quillian's computer  
 152 model [43] which has been widely used for the cognitive modeling of human associative memory and  
 153 in other domains such as information retrieval [44]. It intends to capture the information representation  
 154 and how it is processing. According to the theory, long-term Memory is represented by nodes and  
 155 associative links between them, forming a semantic network of Concepts. The links characterized  
 156 by a weight denotes the associative or semantic relation between the Concepts. The model assumes  
 157 activating one Concept implies the spreading of activation to related nodes, making those memory  
 158 areas more available for further cognitive processing. This activation decays over time as it spreads,  
 159 which can occur through multiple levels [45], and the further it gets the weaker it becomes. That  
 160 is usually modeled using a decaying factor for activation. The method of spreading activation has  
 161 been central in many cognitive models due to its tractability and resemblance of interrelated groups  
 162 of neurons in the human brain [46]. This theory of Spreading Activation inspires the activation  
 163 propagation mechanism in our proposal to propagate (spread) activation in the upward direction, i.e.,  
 164 from the input-to-abstract layer in the network. The method has its significance, i.e., in the creation of  
 165 the network, and in understanding the created Abstract Concepts.

### 166 3. Abstract Concept Modeling with RANs

167 The data value used with RANs modeling should be between "0" and "1" (both inclusive). This  
 168 limitation has its inspiration from biological neurons, a value "0" indicates neuron (or node) is inactive,  
 169 whereas "1" shows the neuron is highly active. An additional header is also needed for modeling  
 170 with RAN. The size of the header is the same as the dimension of the input data vector, and each  
 171 header element holds the largest value of their corresponding input data attribute. See Section A.1  
 172 for elaboration. RANs works with multivariate datasets except image because pictures are not ideal



**Figure 3.** Parallel coordinate plot of normalized IRIS data. The plot shows the three classes of IRIS data along with their Cluster Representative Data Points (CRDP).

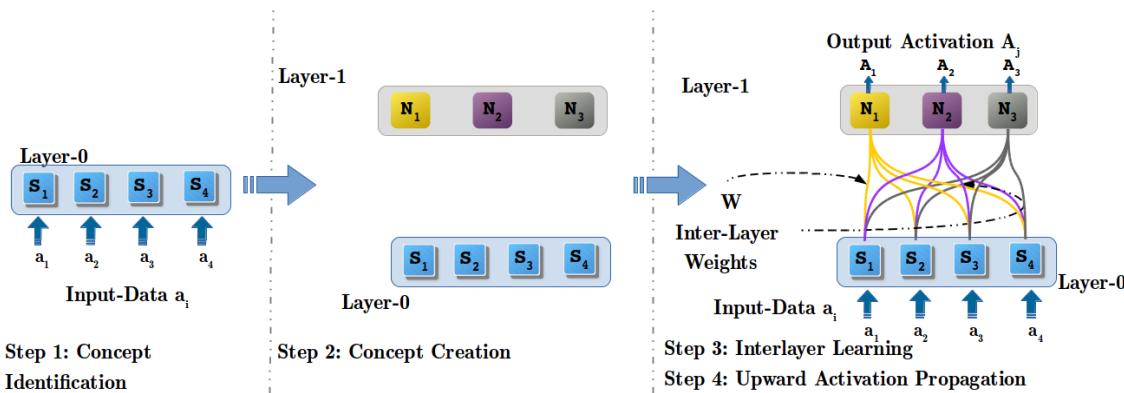
173 candidates to be interpreted as points in conceptual spaces, (discussed in Section 2.2). For this reason,  
 174 our approach will, most probably, underperform on image processing tasks against other models that  
 175 are, individually, designed for this kind of data, such as deep representations built with Convolutional  
 176 Networks [40,47,48]; our technique is preferably suitable for understanding and simulating cognitive  
 177 processes like Abstract Concept Identification.

178 The proposed approach models Convex Abstract Concepts through four core steps (i.e., *Concept*  
 179 *Identification, Concept Creation, Interlayer Learning and Upward Activation Propagation*), along with one  
 180 optional step (i.e., *Abstract Concept Labeling*). The RAN's methodology is explained using benchmark  
 181 IRIS dataset. Figure 3 shows the parallel coordinate plot of IRIS data normalized between [0, 1] using  
 182 min-max technique. The plot also shows the Cluster Representative Data Points (CRDPs) for all three  
 183 classes of IRIS data (the importance of CRDP is detailed in 3.1). The objective of this experiment is  
 184 to show how RANs build a hierarchical representation dynamically and simulate cognitive process  
 185 of *concept creation, learning, and activation propagation*. For this experiment, it was hypothesized that  
 186 the created abstract concepts symbolically represents the three classes of IRIS data. Classification  
 187 operations were performed to prove the hypothesis which are reported at the end of this section.

188 *3.1. Step 1: Concept Identification (CI) Process*

189 The concept identification is the process of identifying convex groups in the input data.  
 190 This is realized by categorizing the input data based upon their geometrical relationship, i.e.,  
 191 distance, conforming to the theory of conceptual spaces (see Section 2.2). The quality-dimension  
 192 (i.e. SepalLength, SepalWidth, PetalLength and PetalWidth attributes of input data) symbolically  
 193 represents input nodes (i.e.  $S_1, S_2, S_3$  and  $S_4$  see Figure 4). In this experiment, K-means [49] clustering  
 194 method is used a concept identifier and applied to determine the convex groups in the IRIS data. The  
 195 K-means was configured to determine the 3 classes (i.e. Iris-setosa, Iris-virginica, and Iris-Versicolor) of  
 196 IRIS data. The clustering operation also determines the three cluster centers as Cluster Representative  
 197 Data Points (CRDPs). According to the theory of prototype (see Section 2.2) these three CRDPs are the  
 198 most probable representative of the three convex groups respectively, therefore are of great importance  
 199 in learning relationship among concepts in two adjacent layers (see Section 3.3).

200 Any clustering algorithm can act as a Concept Identifier in RANs modeling if it suffices two  
 201 basic requirements. First, the algorithm is able to determining Convex categories based upon their  
 202 geometric relationship among the data instances. Second, the algorithm recognizes CRDPs of all the



**Figure 4.** Steps in model generation with Regulated Activation Networks. The nodes  $S_1, S_2, S_3$  and  $S_4$  symbolically represents SepalLength, SepalWidth, PetalLength and PetalWidth attributes of input data.

203 identified clusters. This flexibility of choosing a suitable method for Concept Identification process in  
 204 RANs modeling is demonstrated by a separate experiment using Affinity propagation [50] clustering  
 205 algorithm, in Section 4.1.

### 206 3.2. Step 2: Concept Creation (CC) Process

207 Concept creation is a cognitive process to create representation of a newly identified concept.  
 208 In RANs this cognitive process is simulated by creating a new layer of concepts dynamically. Each  
 209 constituent node in the new layer symbolically acts as an abstract representative of their respective  
 210 categories identified in the CI process. Step-2 in Figure 4 shows the newly created layer (Layer-1),  
 211 that has 3 nodes ( $N_1, N_2$  and  $N_3$ ), corresponding to 3 classes (i.e. Iris-setosa, Iris-virginica, and  
 212 Iris-Versicolor) of IRIS data (see Figure 3), identified in CI operation.

### 213 3.3. Step 3: Inter-Layer Learning (ILL) Process

214 Learning is an important cognitive process it acts as a relationship to associate concepts. In RANs  
 215 modeling, learning is simulated by an assignment operation. As aforesighted in Section 3.2 that each  
 216 node in the new layer is an Abstract representative of categories identified in CI process, thus we learn  
 217 association among the two-layer such that it substantiates the Abstract representation by the nodes at  
 218 the new layer. Since CRDPs (see Section 3.1) are the most apparent choice as an Abstract representative  
 219 of a cluster (and adhere to the inspiration from prototype theory); consequently, the CRDPs assigned  
 220 as an association between the two layers.

Equation 1 shows the general learning in the form of a matrix, where  $W$  is the learned Inter-Layer  
 Weight (ILW) between node  $j$  at new layer (i.e., Layer-1 in Figure 4) and node  $i$  at input layer (i.e.,  
 Layer-0). The set of ILWs, from one node  $j$  at new layer to all input nodes  $i$ , are the values of CRDP  
 of  $j^{th}$  cluster center (i.e.,  $C_j$ ) identified in CI process. For instance, cluster center  $C_1$  (see Figure 3)  
 forms the weight vector  $[W_{1,1}, W_{1,2}, W_{1,3} \text{ and } W_{1,4}]$  (ILWs shown by 4 yellow lines in Step 3 Figure 4)  
 between the node  $N_1$  at Layer-1 and all four input nodes  $S_1, S_2, S_3$  and  $S_4$  at Layer-0.

$$W = \begin{bmatrix} W_{1,1}, W_{1,2}, \dots, W_{1,n_a} \\ \vdots \\ W_{k,1}, W_{k,2}, \dots, W_{k,n_a} \\ \vdots \\ W_{n_A,1}, W_{n_A,2}, \dots, W_{n_A,n_a} \end{bmatrix} = \begin{bmatrix} C_1 \\ \vdots \\ C_k \\ \vdots \\ C_{n_A} \end{bmatrix} \quad (1)$$

221 Where  $j=1, 2, \dots, n_A$ , and  $i=1, 2, \dots, n_a$ .

222 3.4. Step 4: Upwards Activation Propagation (UAP) Process

223 This upward activation propagation is a geometric reasoning operation, i.e., a non-linear projection  
 224 of an  $i$ -dimensional input data vector  $a_i$ , into a  $j$ -dimensional output vector  $A_j$  (see Step 4 in Figure 4).  
 225 The UAP operation is carried out in two stages, in the first stage the geometric distance operation takes  
 226 place, and in the second stage, geometric distance is translated to establish a similarity relation.

227 3.4.1. Geometric Distance Function (GDF)- Stage 1

In the first phase of the UAP mechanism we determine the geometrical distance between the learned weight vectors (see Equation 1) and an input instance  $a_i$ . The numerator of Equation 2 shows a function to calculate the Euclidean distance between the  $j^{th}$  weight vector and input vector  $a_i$ . The denominator of Equation 2 shows the relation that normalizes<sup>2</sup> the calculated distance between [0, 1].

$$d_j = \frac{\sqrt{\sum_{i=1}^{n_a} (W_{j,i} - a_i)^2}}{\sqrt{n_a}} \quad (2)$$

228 And consequently,  $j$  normalized Euclidean distances  $d_j$  are obtained between all  $j$  weight vectors and  
 229 input instance  $a_i$ .

230 3.4.2. Similarity Translation Function (STF)- Stage 2

231 In the second phase the calculated normalized distance is transformed to obtain a similarity  
 232 relation such that following requirements are fulfilled:

233 •  $f(d = 0) = 1$ , i.e. when distance is 0 similarity is 100%.  
 234 •  $f(d = 1) = 0$  i.e. when distance is 1 similarity is 0%.  
 235 •  $f(d = x)$  is continuous, monotonous, and differentiable in the  $[0, 1]$  interval.

$$f(x) = (1 - \sqrt[3]{x})^2 \quad (3)$$

236 In RANs modeling Equation 3 is used as the Similarity Translation Function to determine the similarity  
 237 relation of the previously calculated distance. The non-linearity of STF is depicted in Figure 5,  
 238 indicating that the similarity value reduces drastically when the normalized Euclidean distance is  
 larger than 0.05 (or 5% dissimilar).

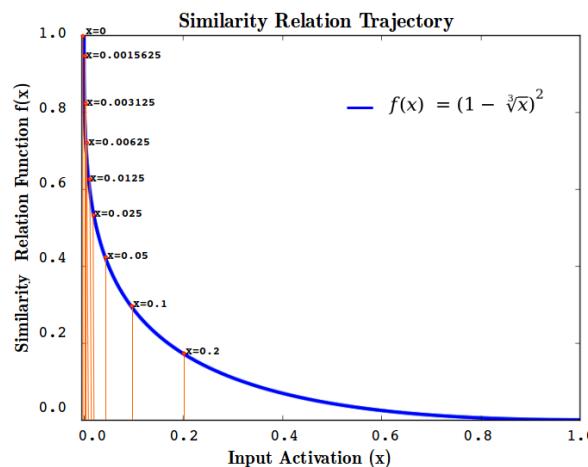


Figure 5. Plot of Similarity Translation Function with respect to varying input values in range  $[0, 1]$

<sup>2</sup> In RANs modeling the activation values are, by definition, real values in the  $[0, 1]$  interval – in an  $n$ -dimensional space the maximal possible euclidean distance between any two points is  $\sqrt{\sum_{i=1}^n (a_i - 0)^2} = \sqrt{n}$ , where  $a_i=1$ .

**Algorithm 1** Upwards Activation Propagation algorithm

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**Input:** Vector  $[a_1, a_2, \dots, a_{n_a}]$  as input at layer  $l$ .

**Output:** New activation vector  $[A_1, A_2, \dots, A_{n_A}]$  in layer  $l + 1$ .

**for** Each node  $A_j$  in layer  $l + 1$  **do**

    Calculate Normalized Euclidean Distance:

$$d_j = \frac{\sqrt{\sum_{i=1}^{n_a} (W_{j,i} - a_i)^2}}{\sqrt{n_a}}$$

    Transform  $d_j$  through STF Equation 3:

$$A_j = f(d_j^2)$$

**end for**

Where:

$i = [1, 2, \dots, n_a]$ .

$j = [1, 2, \dots, n_A]$ .

$W_{j,i}$  is ILW see Equation 1.

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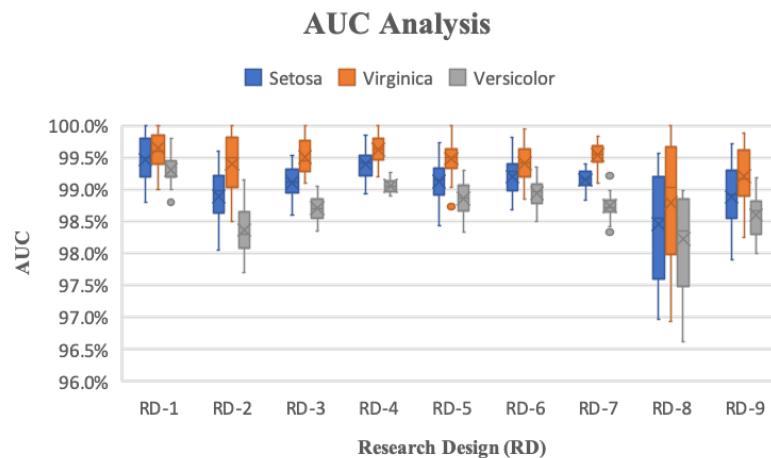
239 The first three steps generate the RANs model (see Figure 4), later, in the fourth step, this model  
 240 is used via UAP operation by propagating the input activation ( $a_i$ ) upward and obtaining activation  
 241 ( $A_j$ ) at Convex Abstract Concept layer (inspired by the theory of spreading activation see Section 2.3).  
 242 Algorithm 1 describes the Upward Activation Propagation operation, showing how the inputs and  
 243 interlayer learning weights  $W$  are used to calculate similarity relation to generating output activation  
 244 at each Abstract Concept representative nodes. The activation  $A_j$  in newly created nodes  $N_j$  also  
 245 indicate the degree of confidence (DoC) of the identification of a class by its representative node in the  
 246 new layer (for a given input data instance). For instance, in Figure 4, Step-2, at Layer-0 input vector is  
 247  $[0.1, 0.21, 0.12, 0.5]$  it signifies that the dimensions  $S_1, S_2, S_3$  and  $S_4$  has activation 0.1, 0.21, 0.12, and 0.5  
 248 respectively. For the, aforementioned, input vector,  $[0.13, 0.32, 0.89]$  vector of activation is observed at  
 249 all nodes ( $N_1, N_2$  and  $N_3$ ) respectively, at Layer-1. The observed activation vector itself describes that  
 250 the input data belongs to Class-3 (Versicolor) with a DoC of 89%.

252 *3.5. RANs Proof of Hypothesis*

253 In the beginning of this Section 3 it was hypothesized that nodes in the newly created layer  
 254 symbolically represents abstract concepts of the 3 classes (Iris-setosa, Iris-virginica and Iris-versicolor)  
 255 of Iris data. This hypothesis can be proven through classification operation using the RAN model  
 256 generated with IRIS data. The classification experiment setup consists of 30 iterations of an experiment.  
 257 Each experiment consist of 9 Research Design (RD)(see Table A3 in Section A.2), where, in every RD a  
 258 10-fold cross-validation procedure was applied. To carry out the evaluation operation *True-labels*, and  
 259 *Test-labels* are determined via Abstract Concept Labeling (ACL) operation of RANs (see Section A.4 for  
 260 ACL's description). Further, these labels were used to form a multi-class confusion matrix for the 3  
 261 classes of IRIS data. and with the aid of this confusion matrix 4 metrics (i.e. Precision, Recall, F1-Score,  
 262 and Accuracy) were calculated.

263 Multi-class Receiver Operating Characteristics (ROC) curves were also plotted for the 3 classes to  
 264 support the classification experiment with IRIS data. The binary labels corresponding to the True-labels  
 265 (obtained via ACL operation) were obtained using the method node-wise binary transformation of  
 266 input True-label (see Section A.3). Further, the confidence scores for the binary vectors were calculated  
 267 using the node-wise confidence-score calculation method (described in Section A.3).

268 The Table 2 not only shows the RAN's comparison with other 5 classifiers but also that RAN  
 269 indeed preformed well in the classification process with a performance of 95% (ca.) for all classification



**Figure 6.** Area Under Curve for the 3 classes of IRIS for nine Research Designs (RD) of varying Test and Train data sizes

**Table 2.** RAN's classification study with IRIS data

| Model | Precision (%)     | Recall (%)        | F1-Score (%)      | Accuracy (%)      |
|-------|-------------------|-------------------|-------------------|-------------------|
| RBM   | $79.81 \pm 11.91$ | $77.41 \pm 11.88$ | $70.66 \pm 16.28$ | $77.41 \pm 11.88$ |
| K-NN  | $90.41 \pm 28.77$ | $92.8 \pm 21.61$  | $91.00 \pm 27.01$ | $92.80 \pm 21.61$ |
| LR    | $97.38 \pm 4.15$  | $96.64 \pm 5.65$  | $96.45 \pm 6.12$  | $96.64 \pm 5.65$  |
| MLP   | $97.31 \pm 0.71$  | $96.86 \pm 1.13$  | $96.81 \pm 1.21$  | $96.86 \pm 1.13$  |
| RANs  | $95.42 \pm 0.67$  | $95.02 \pm 0.94$  | $94.98 \pm 0.98$  | $95.02 \pm 0.94$  |
| SGD   | $94.47 \pm 6.40$  | $94.46 \pm 5.20$  | $93.31 \pm 6.78$  | $94.46 \pm 5.20$  |

270 metrics. The ROC curve analysis also observed an Area Under Curve (AUC) of 99.07% (ca.), 99.40%  
 271 (ca.) and 98.75% (ca.) for IRIS Setosa, Virginica and Versicolor classes respectively. These results shows  
 272 the ability of RAN's modeling to identify the abstract concept where the three nodes ( $N_1$ ,  $N_2$  and  $N_3$ )  
 273 in Layer-1 symbolically represents the classes IRIS Setosa, Virginica and Versicolor, respectively, as  
 274 abstract concepts, hence proves the hypothesis.

#### 275 4. Behavioral Demonstration of RANs

276 This section exhibits two distinct aspects of RANs modeling via separate experiments. Both  
 277 investigations present a different view of RANs methodology, highlighting the capabilities of the  
 278 RANs approach.

##### 279 4.1. Experiment with IRIS dataset

280 There are two objectives of this probe, first is to demonstrate flexibility in choosing an appropriate  
 281 methodology for Concept Identification operation in RANs modeling (see Section 3.1). Second is  
 282 to show how RANs modeling can be used to build a deep hierarchy of Convex Abstract Concepts  
 283 dynamically. This experiment uses Affinity propagation [50] clustering algorithm as a Concept  
 284 Identifier to support the claim of independence in selecting a suitable clustering method for CI process  
 285 in RANs modeling. Unlike the K-means algorithm (used to describe the RANs methodology in  
 286 Section 3), with the Affinity Propagation algorithm, the number of clusters within the data need not  
 287 be known beforehand. Furthermore, Affinity Propagation conforms to the basic requirements (see  
 288 Section 3.1) for being a Concept Identifier in RANs modeling.

289 The second prospect of this experiment is to illustrate the dynamic topology of RANs approach  
 290 where the network grows to form several layers representing Convex Abstract Concepts. For this  
 291 demonstration, an algorithm is developed, named Concept Hierarchy Creation (CHC) algorithm  
 292 (see 2). The CHC algorithm streamlines all four steps of RANs modeling (i.e., CI, CC, ILL and UAP)

293 and uses these steps iteratively to build a hierarchy of Convex Abstract Concepts as described through  
 294 Algorithm 2. This experiment was also conducted using the IRIS dataset obtained from the UCI  
 295 machine learning repository [51]. In the CHC algorithm the Affinity propagation clustering algorithm  
 296 was initialized with the following parameters: (1) damping\_factor (DF) = 0.94 for layers below level 3,  
 DF = 0.9679 for the layers at level 3 and above; (2) convergence\_iteration=15; (3) max\_iteration=1000.

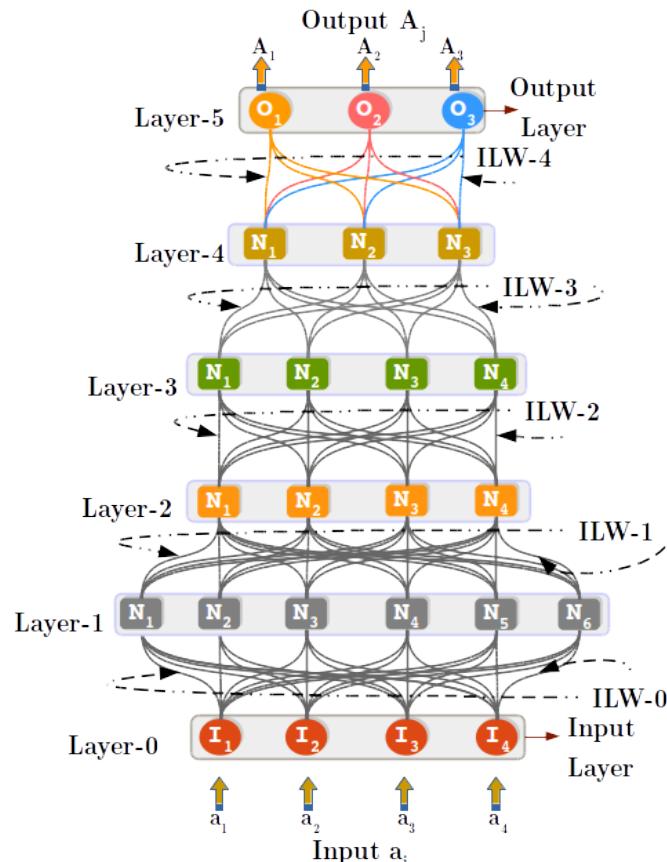


Figure 7. The model generated with 90% stratified IRIS data using Concept Hierarchy Creation Algorithm. Layer-0 is created while initializing the CHC algorithm. The algorithm grew to a *Desired-depth* of six Layers (including input Layer-0), and in each iteration of CHC algorithm a new layer is created dynamically and the Interlayer weights (ILW) are learned between the existing layer and a newly created layer above it.

297  
 298 Input layer-0 was created, with four nodes (equal to the dimension of IRIS data), and the RANs  
 299 hierarchy generation is carried out according to Algorithm 2. The model obtained from CHC process  
 300 is depicted by Figure 7, the model was initialized to grow six layers deep. Therefore, hierarchy  
 301 augmentation terminates at Layer-5, with Layer-5 identified as most Abstract layer consisting of three  
 302 nodes acting as Abstract representatives of three categories of flowers of IRIS dataset. To evaluate the  
 303 obtained RANs model, *True-labels*, and *Test-labels* were retrieved using an Abstract Concept labeling  
 304 procedure (see Section A.4). A confusion matrix (see Figure 8) was generated using the True and Test  
 305 labels. With the aid of the confusion matrix, Precision, Recall, F1-Score and Accuracy were calculated  
 306 to evaluate the model. The model performed quite decently with an observed accuracy of 93.33 (ca.),  
 307 the results of precision, recall and F1-Score are reported in Table 3. The ROC curve analysis of the  
 308 RANs model, as shown in Figure 9, displays the various operating characteristic and the observed Area  
 309 Under Curve for all the classes of IRIS data. In this experiment, it is worth mentioning the application  
 310 of RANs modeling for data dimension transformation and data visualization. In Figure 7 we can  
 311 observe that the dimension of Layer-0 is four, whereas the size of the other layers either expands or  
 312 reduces when the network grows. This dimension transformation operation is helpful in addressing

**Algorithm 2** Concept Hierarchy Creation Algorithm

**Input:** Multi-variate data with values between [0,1].  
**Output:** Set of layers of Concepts – concept hierarchy.

**Initialization:** Create input layer layer-0 having dimension equal to that of input data.  
Set *Current-layer-size*  $CLS = i$ , dimension of *input-data* vector.

Set *Layer-count*  $L = 0$ .

Set *Desired-depth* = 6.

Select Clustering algorithm and initialize.

Set *current-data* = *input-data*.

**repeat**

Run clustering algorithm on *current-data* to identify set of cluster centers  $C$ .

Create a *new-layer* above *current-layer*, with no nodes.

**for** each cluster center  $C_j \in C$  **do**

    Create new node  $j$  in *new layer*  $l+1$ .

**for** each node  $i$  in *current-layer* **do**

        Create a new weighted connection  $W_{c_j,i}$   
        between  $c_j$  and  $i$  such that  $W_{c_j,i}$  is the  
        coordinate of  $c$  along the  $i$  dimension.

**end for**

**end for**

Set *new-data* = empty data set.

**for** each *datum* in *current-data* **do**

    Inject *datum* in *current-layer*

    Propagate activation from *current-layer* to *new-layer* using algorithm 1.

    Add activation pattern produced in *new-layer* to *new-data*.

**end for**

Set  $L = L + 1$ .

Set  $CLS$  = number of clusters in *current-layer*.

Set *current-data* = *new-data*.

Set *current-layer* = *new-layer*.

**until**  $CLS=1$  **OR** *Desired-depth* =  $L$ .

|            |         | Predicted Labels |         |         | 5  |
|------------|---------|------------------|---------|---------|----|
|            |         | Class-0          | Class-1 | Class-2 |    |
| True label | Class-0 | 100%             | 0%      | 0%      | 5  |
|            | Class-1 | 0%               | 100%    | 0%      | 5  |
|            | Class-2 | 0%               | 20%     | 80%     | 5  |
|            |         | 5                | 6       | 4       | 15 |

**Figure 8.** Confusion Matrix generated to validate RANs model with IRIS data (having 9 : 1 *train*, and *test* data ratio) for Class-0 (Setosa), Class-1 (Verisicolour), and Class-2 (Virginica).

313 the issue of the cures of dimensionality. Besides, the transformed data can be plotted to extract useful  
314 information from the data.

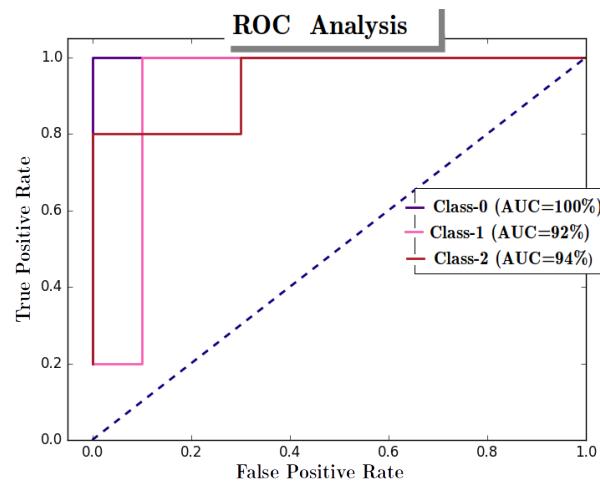
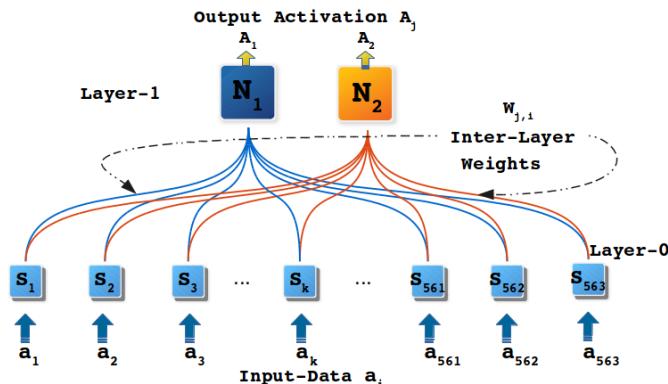
315 *4.2. Experiment with Human Activity Recognition Data*

316 This experiment aims to show the ability of the RANs approach to build the representation of  
317 generic Concepts. The experiment uses UCIHAR [52] dataset for home activity recognition using the  
318 smartphone, obtained from the UCI machine learning repository. The data captured six activities  
319 Walking, Walking\_upstairs, Walking\_downstairs, Sitting, Standing, and Laying. The hypothesis of  
320 this experiment is that the labels Walking, Walking\_upstairs, Walking\_downstairs are identified by an  
321 abstract concept (say) *Mobile* and the other 3 labels Sitting, Standing, and Laying by abstract concept  
322 (say) *Immobile*. In this experiment also classification operation can be used to prove the hypothesis.

323 The UCIHAR dataset was normalized and a header was attached. In CHC algorithm K-means  
324 is chosen as concept identifier and the parameter *Desired-depth* was set to 1 so that model has only

**Table 3.** Evaluation of RANs Model generated through IRIS data

| Class              | Precision (%) | Recall (%) | F1-Score (%) | Support |
|--------------------|---------------|------------|--------------|---------|
| <i>Setosa</i>      | 100           | 100        | 100          | 5       |
| <i>Versicolour</i> | 83.33         | 100        | 90.91        | 5       |
| <i>Virginica</i>   | 100           | 80         | 88.89        | 5       |
| <i>Avg/Total</i>   | 94.44         | 93.33      | 93.26        | 15      |

**Figure 9.** ROC curve analysis with IRIS dataset (having 9 : 1 train, and test data ratio), for Class-0 (Setosa), Class-1 (Verisicolour), and Class-2 (Virginica)**Figure 10.** Model generated with RANs approach. Nodes  $N_1$  and  $N_2$  at Layer-1 represents either of the two Abstract Concepts, i.e. *Mobile* and *Immobile*. Each node at Layer-0 represents individual dimensions of input data vector

325 two layers. The K-means was configured with K=2 because the model was hypothesized to have 2  
 326 abstract concepts at Layer-1. Having fulfilled the initialization part of the CHC algorithm modeling  
 327 is performed, generating a two-layered model as depicted in Figure 10. In Figure 10 Layer-0 shows  
 328 *input-layer* and Layer-1 corresponds to *Abstract Concept layer* where both nodes ( $N_1$ , and  $N_2$ ) represents  
 329 either of the two Abstract Concepts (i.e. *Mobile* and *Immobile* Abstract Concepts).

330 Among captured six activities (Walking, Walking\_upstairs, Walking\_downstairs, Sitting, Standing  
 331 and Laying), Walking, Walking\_upstairs, Walking\_downstairs are the actions of motion, whereas the  
 332 remaining three represents static states. Based upon these two facts, we expect that one of the Abstract  
 333 nodes in Layer-1 conjointly represents Walking, Walking\_upstairs and Walking\_downstairs as one  
 334 class. The other node in Layer-1 stages the other three categories (i.e., Sitting, Standing and Laying)  
 335 together. Upon performing the labeling of nodes at Layer-1 through ACL procedure (see Section A.4

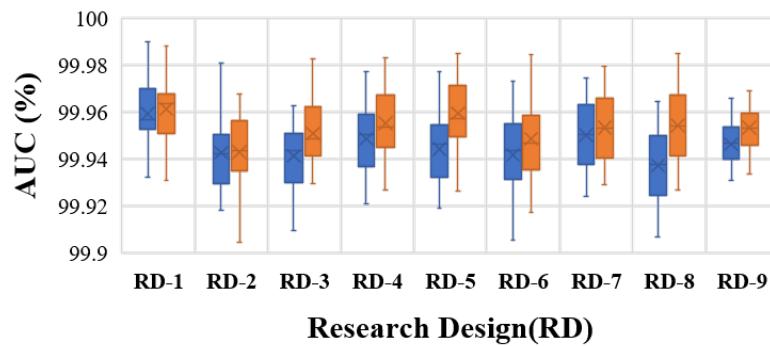
**Table 4.** RAN's Comparative Study for UCIHAR dataset

| Model       | Precision (%)    | Recall (%)       | F1-Score (%)     | Accuracy (%)     |
|-------------|------------------|------------------|------------------|------------------|
| <b>RBM</b>  | 99.68 $\pm$ 0.14 | 99.68 $\pm$ 0.14 | 99.68 $\pm$ 0.14 | 99.68 $\pm$ 0.14 |
| <b>K-NN</b> | 99.96 $\pm$ 0.02 | 99.96 $\pm$ 0.02 | 99.96 $\pm$ 0.02 | 99.96 $\pm$ 0.02 |
| <b>LR</b>   | 99.97 $\pm$ 0.02 | 99.97 $\pm$ 0.02 | 99.97 $\pm$ 0.02 | 99.97 $\pm$ 0.02 |
| <b>MLP</b>  | 99.96 $\pm$ 0.02 | 99.96 $\pm$ 0.02 | 99.96 $\pm$ 0.02 | 99.96 $\pm$ 0.02 |
| <b>RANs</b> | 99.85 $\pm$ 0.01 | 99.85 $\pm$ 0.01 | 99.85 $\pm$ 0.01 | 99.85 $\pm$ 0.01 |
| <b>SGD</b>  | 99.98 $\pm$ 0.01 | 99.98 $\pm$ 0.01 | 99.98 $\pm$ 0.01 | 99.98 $\pm$ 0.01 |

336 for ACL process elaboration), it was observed that Walking, Walking\_upstairs, Walking\_downstairs  
 337 classes were mapped to one node of Layer-1. Whereas, the labels Sitting, Standing and Laying traced  
 338 to the other node in Layer-1. Interestingly, this outcome commensurate with the expectations from  
 339 this experiment and shows the RANs capability to identify Abstract Concepts in an unsupervised  
 manner naturally. The True-label and Test-label obtained through ACL operation were used to form

## Area Under Curve (AUC) Analysis

■ Mobile ■ Immobile



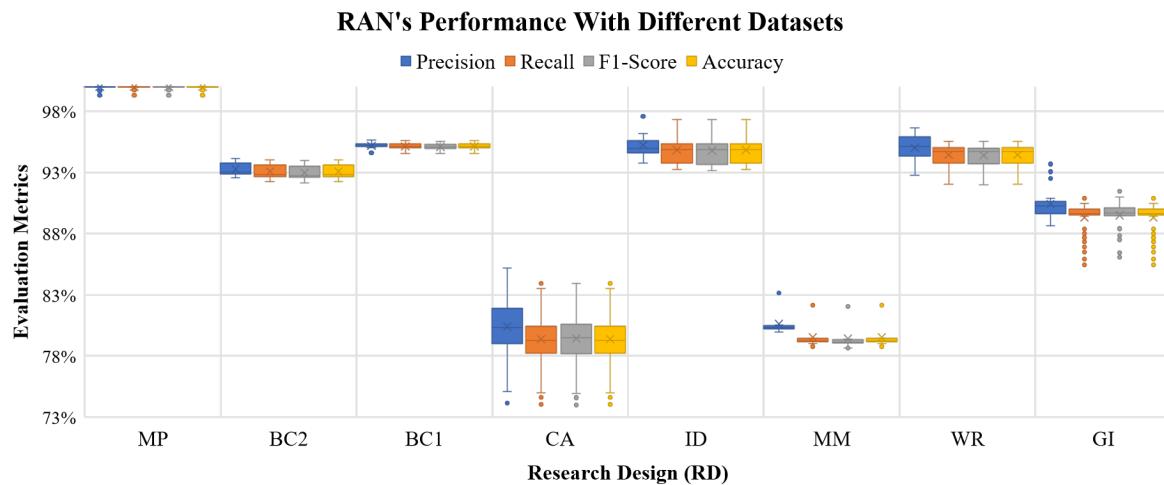
**Figure 11.** Area Under Curve observed during ROC curve analysis of UCIHAR data in order to determining operational points of two Abstract Concepts (i.e. *Mobile* and *Immobil*e) for all nine Research Designs (RD)

340  
 341 the confusion matrix, which is later referred to calculate Precision, Recall, F1-Score, and Accuracy for  
 342 evaluating the generated model. Node-wise binary labels and confidence scores were determined (as  
 343 described in Section A.3) for both Abstract nodes at Layer-1. Figure 11 shows the Area Under Curve  
 344 (AUC) observed during the ROC curve analysis of all 10-Folds in different Research Designs. With  
 345 both these evaluations it is deduced that, apart from building the representation of Abstract Concepts,  
 346 the model generated with RANs performed satisfactorily.

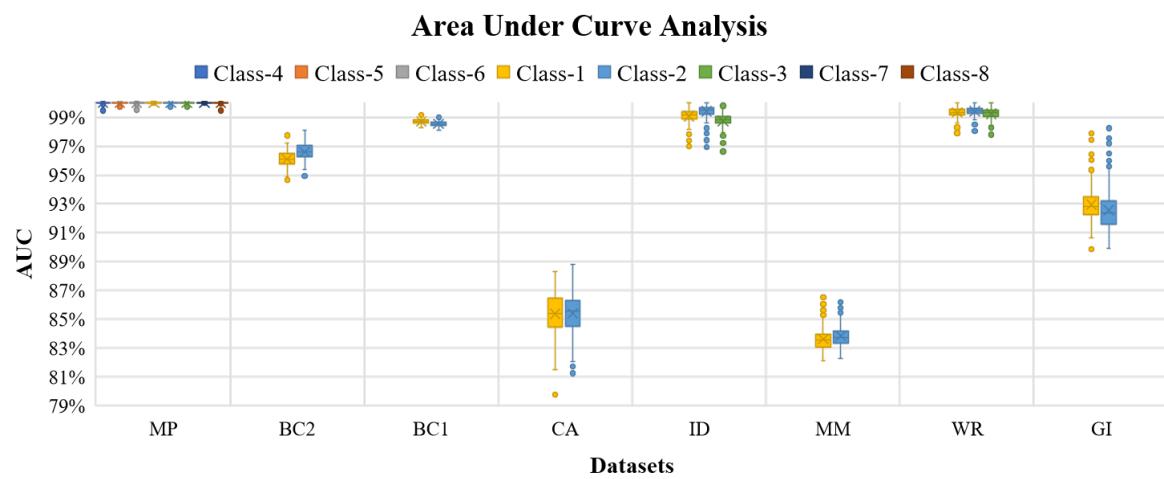
347 The RANs modeling was compared with five different types of approaches based upon their  
 348 classification operation. To carry out the comparative study it was essential to transform the six  
 349 Labels into binary Labels, because RANs modeling was identifying two Abstract Concept, and its  
 350 performance was measured based upon them. Thus, with these five approaches, the Labels of the  
 351 dataset were merged to form two groups, i.e., Walking, Walking\_upstairs, Walking\_downstairs in  
 352 Class-1, and Sitting, Standing, and Laying in Class-2. Later the modeling was performed followed by  
 353 validation and evaluation. Table 4 displays the comparison of all five approaches with RANs modeling.  
 354 It is observed that RANs approach is competent to these five techniques, with an added advantage of  
 355 being an unsupervised approach, and ability to build representations of Abstract Concepts.

## 356 5. RANs Applicability and Observations

357 This section highlights the scope of RANs modeling as a classifier w.r.t. distinct domains. To  
 358 support this ambit of RANs usability, experimental results are reported using eight datasets concerning



**(a)** RANs performance with eight different datasets depicting RANs appositeness with data belonging to distinct domains.



**(b)** Observed Area Under Curve (AUC) while performing ROC curve analysis for RANs model generated with eight different datasets.

**Figure 12.** RANs performance with eight datasets using Precision, Recall, F1-Score and Accuracy along with ROC-AUC analysis with Eight benchmark datasets [ Mice Protein (MP), Breast Cancer 669 (BC1), Breast Cancer 569 (BC2), Credit Approval (CA), IRIS data (ID), Mamographic Mass (MM), Wine Recognition (WR) and Glass Identification (GI)]. The graph 12b shows the plot of percentage AUC for classes 1 to 8. For each dataset class labels of the graph is serially mapped as: Mice protein (c-CS-s [Class-1], c-CS-m [Class-2], c-SC-s [Class-3], c-SC-m [Class-4], t-CS-s [Class-5], t-CS-m [Class-6], t-SC-s [Class-7] and t-SC-m [Class-8]); Mammographic Mass (Benign [Class-1] and Malignant [Class-2]); Credit Approval (Postitive [Class-1] and Negative [Class-2]); IRIS) (Setosa [Class-1], Versicolor [Class-2] and Virginica [Class-3]); Breast Cancer 569 (Benign [Class-1] and Malignant [Class-2]); Breast Cancer 669 (Benign [Class-1] and Malignant [Class-2]), Wine Recognition (Class-1, Class-2 and Class-3) Glass Identification (Window Glass [Class-1] and Non-Window Glass [Class-2]).

**Table 5.** RANs comparison with eight datasets belonging to different domains

| Data                 | Algo | Precision (%) | Recall (%)   | F1-Score (%) | Accuracy (%) | Data              | Algo | Precision (%) | Recall (%)   | F1-Score (%) | Accuracy (%) |
|----------------------|------|---------------|--------------|--------------|--------------|-------------------|------|---------------|--------------|--------------|--------------|
| Mice Protein         | RBM+ | 43.45 ±44.07  | 53.50 ±38.23 | 45.46 ±43.36 | 53.50 ±38.23 | Breast Cancer 569 | RBM+ | 93.60 ± 2.69  | 93.51 ± 2.77 | 93.46 ± 2.86 | 93.51 ± 2.77 |
|                      | KNN  | 98.63 ± 3.97  | 98.34 ± 4.84 | 98.07 ± 5.65 | 98.34 ± 4.84 |                   | KNN  | 99.80 ± 0.59  | 99.79 ± 0.62 | 99.78 ± 0.63 | 99.79 ± 0.62 |
|                      | LR   | 98.99 ± 1.94  | 98.28 ± 3.38 | 98.14 ± 3.71 | 98.28 ± 3.38 |                   | LR   | 99.89 ± 0.07  | 99.89 ± 0.07 | 99.89 ± 0.07 | 99.89 ± 0.07 |
|                      | MLP  | 98.54 ± 2.19  | 98.23 ± 2.71 | 97.83 ± 3.34 | 98.23 ± 2.71 |                   | MLP  | 98.67 ± 0.94  | 98.65 ± 0.96 | 98.64 ± 0.96 | 99.89 ± 0.07 |
|                      | RAN  | 99.98 ± 0.06  | 99.97 ± 0.06 | 99.89 ± 0.06 | 99.97 ± 0.06 |                   | RAN  | 93.17 ± 0.36  | 92.97 ± 0.36 | 92.87 ± 0.42 | 92.97 ± 0.36 |
|                      | SGD  | 99.11 ± 1.84  | 98.84 ± 2.46 | 98.68 ± 2.81 | 98.84 ± 2.46 |                   | SGD  | 99.87 ± 0.13  | 99.85 ± 0.18 | 99.83 ± 0.20 | 99.85 ± 0.18 |
| Breast Cancer 669    | RBM+ | 95.72 ± 3.62  | 95.34 ± 4.60 | 95.13 ± 5.16 | 95.34 ± 4.60 | Credit Approval   | RBM+ | 76.44 ±12.50  | 75.63 ±12.98 | 74.04 ±14.59 | 75.63 ±12.98 |
|                      | KNN  | 99.46 ± 0.88  | 99.44 ± 0.93 | 99.43 ± 0.94 | 99.44 ± 0.93 |                   | KNN  | 95.48 ± 0.16  | 95.46 ± 0.17 | 95.46 ± 0.17 | 95.46 ± 0.17 |
|                      | LR   | 99.16 ± 0.17  | 99.14 ± 0.17 | 99.15 ± 0.17 | 99.14 ± 0.17 |                   | LR   | 95.06 ± 0.38  | 95.04 ± 0.39 | 95.04 ± 0.39 | 95.04 ± 0.39 |
|                      | MLP  | 98.96 ± 0.76  | 98.95 ± 0.76 | 98.95 ± 0.77 | 98.95 ± 0.76 |                   | MLP  | 98.02 ± 1.32  | 98.00 ± 1.34 | 97.99 ± 1.34 | 98.00 ± 1.34 |
|                      | RAN  | 95.18 ± 0.25  | 95.15 ± 0.24 | 95.11 ± 0.25 | 95.15 ± 0.24 |                   | RAN  | 80.67 ± 1.37  | 79.58 ± 1.05 | 79.66 ± 1.13 | 79.58 ± 1.05 |
|                      | SGD  | 99.88 ± 0.16  | 99.88 ± 0.16 | 99.18 ± 0.16 | 99.88 ± 0.16 |                   | SGD  | 99.77 ± 0.39  | 99.75 ± 0.40 | 99.75 ± 0.40 | 99.75 ± 0.40 |
| Glass Identification | RBM+ | 82.58 ±10.29  | 84.19 ± 4.90 | 80.61 ± 8.42 | 84.19 ± 4.90 | Mammographic Mass | RBM+ | 84.85 ±16.54  | 85.18 ±14.98 | 82.42 ±20.30 | 85.18 ±14.98 |
|                      | KNN  | 94.08 ±12.12  | 95.97 ± 7.32 | 94.82 ±10.59 | 95.97 ± 7.32 |                   | KNN  | 99.65 ± 0.88  | 99.64 ± 0.89 | 99.64 ± 0.89 | 99.64 ± 0.89 |
|                      | LR   | 99.52 ± 0.18  | 99.49 ± 0.18 | 99.49 ± 0.18 | 99.49 ± 0.18 |                   | LR   | 99.41 ± 0.30  | 99.40 ± 0.30 | 99.40 ± 0.30 | 99.40 ± 0.30 |
|                      | MLP  | 93.78 ± 1.40  | 93.28 ± 1.52 | 92.85 ± 1.64 | 93.28 ± 1.52 |                   | MLP  | 98.91 ± 2.11  | 98.79 ± 2.35 | 98.79 ± 2.35 | 98.79 ± 2.35 |
|                      | RAN  | 90.07 ± 0.43  | 89.18 ± 1.23 | 89.32 ± 1.10 | 89.18 ± 1.23 |                   | RAN  | 80.28 ± 0.18  | 79.20 ± 0.23 | 79.08 ± 0.24 | 79.20 ± 0.23 |
|                      | SGD  | 97.95 ± 0.66  | 97.87 ± 0.69 | 97.82 ± 0.70 | 97.87 ± 0.69 |                   | SGD  | 99.96 ± 0.03  | 99.94 ± 0.07 | 99.93 ± 0.09 | 99.94 ± 0.07 |
| IRIS                 | RBM+ | 79.81 ±11.91  | 77.41 ±11.88 | 70.66 ±16.28 | 77.41 ±11.88 | Wine Recognition  | RBM+ | 56.00 ±25.66  | 67.05 ±16.91 | 59.07 ±21.91 | 67.05 ±16.91 |
|                      | KNN  | 90.41 ±28.77  | 92.80 ±21.61 | 91.00 ±27.01 | 92.80 ±21.61 |                   | KNN  | 90.74 ±26.00  | 92.88 ±19.48 | 91.14 ±24.70 | 92.88 ±19.48 |
|                      | LR   | 97.38 ± 4.15  | 96.64 ± 5.65 | 96.45 ± 6.12 | 96.64 ± 5.65 |                   | LR   | 94.14 ± 1.55  | 93.13 ± 1.82 | 93.00 ± 1.92 | 93.13 ± 1.82 |
|                      | MLP  | 97.31 ± 0.71  | 96.86 ± 1.13 | 96.81 ± 1.21 | 96.86 ± 1.13 |                   | MLP  | 97.44 ± 0.51  | 97.33 ± 0.59 | 97.32 ± 0.59 | 97.33 ± 0.59 |
|                      | RAN  | 95.43 ± 0.67  | 95.02 ± 0.94 | 94.98 ± 0.98 | 95.02 ± 0.94 |                   | RAN  | 94.87 ± 0.91  | 94.34 ± 1.00 | 94.29 ± 1.01 | 94.34 ± 1.00 |
|                      | SGD  | 94.47 ± 6.40  | 94.46 ± 5.20 | 93.31 ± 6.78 | 94.46 ± 5.20 |                   | SGD  | 98.13 ± 0.70  | 97.91 ± 0.75 | 97.91 ± 0.76 | 97.91 ± 0.75 |

RBM+ - Restricted Boltzmann Machine + Pipelined with Logistic Regression; KNN- K Nearest Neighbor; LR- Logistic Regression; MLP- Multi Layer Perceptron; RAN- Regulated Activation Network; SGD- Stochastic Gradient Descent

359 with different areas. A comparative study was also carried out using these datasets to match RANs  
 360 classification ability with five different classifiers.

361 Among the eight datasets, the *Mice Protein* [53], *Mammographic Mass* [54], *Breast Cancer 569 &*

362 669

[55,56] data pertain to the medical field, *Glass Identification* [57] data representing forensic science,  
 363 *Credit Approval* [58] represents economic data, *Iris* [59] is a botanical data, and *Wine Recognition* [60]  
 364 is a data for chemical composition analysis. The experiments performed with these datasets were  
 365 akin to the investigations done with Toy-data (in Section 3), and UCIHAR data (in Section 4.2), i.e.,  
 366 K-means algorithm used as concept identifier, where 'K' is the number of class labels of each dataset,  
 367 the hierarchy is set to have a depth of two layers (one Input and one Abstract Concept layer). For  
 368 every dataset, models were generated using thirty iterations in nine Research Designs (RD) (refer  
 369 the Table A3 in Section A.2). In every RD 10-Fold cross-validation was applied to determine the  
 370 performance of the models. An aggregate of Precision, Recall, F1-Score, and Accuracy of all folds  
 371 in all RDs was calculated for all the datasets, as shown in Figure 12a. From the Figure 12a it can be  
 372 observed that with *Mice Protein* data RANs scores 99.99%(ca.) for all evaluation metric, whereas for *Iris*,  
 373 *Glass Identification*, *Breast Cancer*, and *Wine Recognition* the observations were convincing, i.e., above  
 374 89.00% (ca.). In all the folds of nine RDs ROC curves were also plotted for each class label of the eight  
 375 datasets, the mean AUC for each class of the datasets is shown in Figure 12b. The evaluation metrics  
 376 and ROC-AUC analysis (Figure 12a & 12b respectively) displays the RANs capability in machine  
 377 learning tasks with different kind of datasets.

378 The same procedure was applied to obtain average Precision, Recall, F1-Score and Accuracy for all  
 379 the datasets with five other classifiers (i.e. *RBM+*, *KNN*, *LR*, *MLP*, and *SGD*). Table 5 shows the overall  
 380 comparison. It is worth noting that being dynamic and unsupervised RANs modeling performed  
 381 quite satisfactorily especially with *Mice Protein* data, where it outperformed SGD and *RBM+*, was  
 382 found competent with *LR*, *KNN* and *MLP* classifiers. Figure 13 shows four graphs depicting RANs  
 383 performance with different benchmark data sets. These graphs display an important aspect of RANs  
 384 modeling and its performance behavior when evaluated to different research design 13. The Precision,  
 385 Recall, F1-Score, and Accuracy trajectories of Human Activity Recognition (HAR), Breast Cancer 669  
 386 (BC1), Toy-data (TD) and *Mice Protein* (MP) Data is almost straight. The evaluation plots of *Glass*  
 387 *Identification* (GI), *Wine Recognition* (WR), *Mammographic Mass* (MM), *Breast cancer 569* (BC2) and  
 388 *Mice Protein* (MP) datasets show a minimal decline in observations w.r.t RD-1 and RD-9 Research  
 389 Design. On the contrary, results from *IRIS* Data (ID) and *Credit Approval* (CA) dataset depicted  
 390 a higher value while comparing the evaluation of RD-1 with RD-9 Research Designs of these data

**Table 6.** Feature based comparative study of RANs with 5 modeling techniques

| Features \ Models       | RBM    | K-NN | LR  | MLP    | RANs | SGD |
|-------------------------|--------|------|-----|--------|------|-----|
| Graph-Based             | Yes    | No   | No  | Yes    | Yes  | No  |
| Dynamic Topology        | No     | No   | No  | No     | Yes  | No  |
| Dimension Reduction     | Yes    | Yes  | No  | Yes    | Yes  | No  |
| Dimension Expansion     | May be | No   | No  | May be | Yes  | No  |
| Unsupervised            | Yes    | No   | No  | No     | Yes  | No  |
| Supports Classification | Yes    | Yes  | Yes | Yes    | Yes  | Yes |
| Bio-inspired            | Yes    | No   | No  | Yes    | Yes  | No  |

391 sets. Principally, the results of all four metrics of evaluation obtained similar results (with marginal  
 392 variation) irrespective of the Test and Train data ratio. This is a notable observation because it shows  
 393 that RANs approach obtains a satisfactory result even when trained with a small amount of data.

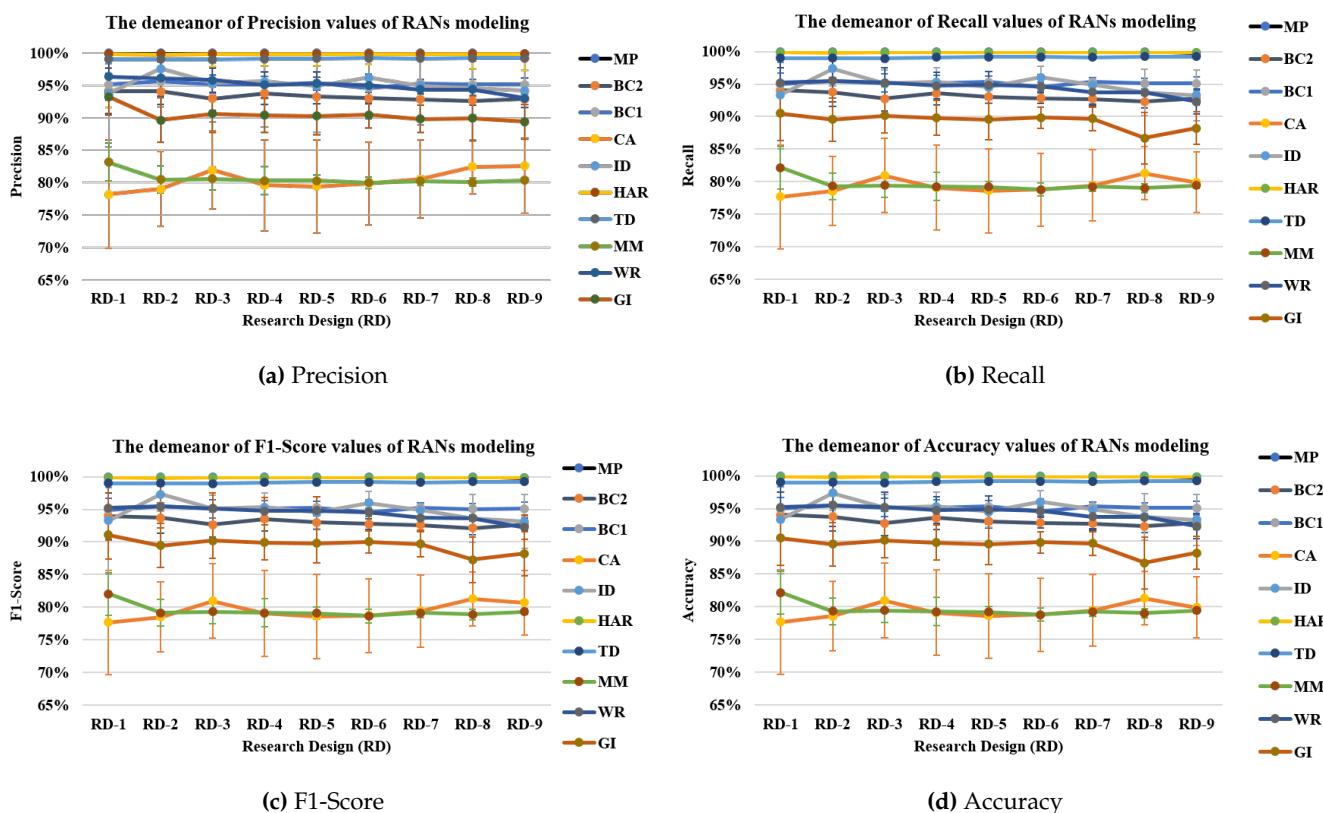
394 Besides classification comparison, the RAN's modeling is compared with the 5 classifiers based  
 395 upon 7 features: (1) Whether the modeling in graph based; (2) whether the modeling has a dynamic  
 396 topology; (3) and (4) whether modeling can reduce or expand the dimension of the data; (5) whether  
 397 modeling can perform classification; and (7) whether modeling is biologically inspired or not. Tabel 6  
 398 details this comparative study. It can be observed from this table that RAN is closely related to the  
 399 models that are biologically inspired i.e. RBM and MLP.

## 400 6. Conclusions and Future work

401 To comprehend and reasoning for emotions, ideas, etc., it is evident to understand Abstract  
 402 Concepts because they are perceived differently from Concrete Concepts. There have been notable  
 403 efforts to study Concrete Concepts (features like walking or ingredients), but progress in investigating  
 404 Abstract Concepts (generic features such as is-moving or recipe) is relatively less. This article  
 405 proposes an unsupervised computational modeling approach, named Regulated Activation Networks  
 406 (RANs), that has an evolving topology and learns a representation of Abstract Concepts. The RAN's  
 407 methodology was exemplified through a UCI's IRIS dataset, yielding a satisfactory performance  
 408 evaluation of 95% (ca.) for Precision, Recall, F1-Score and Accuracy metrics, along with an average  
 409 AUC of 99% (ca.) for all the three classes in the dataset. These evaluation result not only showed the  
 410 classification capability of RANs but also proved the hypothesis of the experiment i.e. the 3 newly  
 411 created nodes in the Layer-1 symbolically represent the 3 classes of IRIS data as abstract concepts.

412 Another experiment with IRIS data displayed the characteristic of RAN's deep hierarchy  
 413 generation and independence in choosing Concept Identifier. With the aid of Concept Hierarchy  
 414 Creation algorithm (proposed in Section 4.1), evolving nature of RAN's modeling is shown using  
 415 Affinity Propagation clustering algorithm (as an alternate Concept Identifier instead of the K-means  
 416 algorithm as used in modeling with Toy-data problem). With the generated model it was shown that  
 417 the model dynamically grew to a depth of six layers and performed with Precision of 94.44% (ca.),  
 418 Recall of 93.33% (ca.), F1-Score of 93.26% (ca.) and Accuracy of 93.33% (ca.), along with an observed  
 419 AUC of 100% (ca.), 92% (ca.) and 94% (ca.) for the three classes of data. This experiment also highlights  
 420 the application of RANs modeling in data dimension transformation and data visualization.

421 Modeling with UCI's IoT based Home Activity Recognition (UCIHAR) smartphone sensor  
 422 dataset exhibited the RAN's behavior of natural identification of generic Concepts. The experiment  
 423 hypothesize that six data labels (activity of Walking, Walking\_upstairs, Walking\_downstairs, Sitting,  
 424 Standing and Laying) of the dataset are to be identified as *Mobile* (Walking, Walking\_upstairs and  
 425 Walking\_downstairs) and *Immobile* (Sitting, Standing and Laying) Abstract Concepts. This hypothesis  
 426 was also proven using classification operation, where, the evaluation of the model shown a performance  
 427 of 99.85% (ca.) for all four metrics and AUC of 99.9% (ca.) for both Abstract Concepts. The experiment  
 428 also demonstrates how RAN can be used to model the data from IoT domain in an unsupervised  
 429 manner.



**Figure 13.** RANs evaluation metric (Precision, Recall, F1-Score and Accuracy) value behavior w.r.t. varying test and train data ratio over ten datasets [ Mice Protein (MP), Breast Cancer 669 (BC1), Breast Cancer 569 (BC2), Credit Approval (CA), IRIS data (ID), Mamographic Mass (MM), Human Activity Recognition (HAR), Toy-data(TD), Wine Recognition (WR) and Glass Identification (GI)].

**Table 7.** Acronyms used in the Article

| Acronym | Description                       | Acronym | Description                     | Acronym | Description                       |
|---------|-----------------------------------|---------|---------------------------------|---------|-----------------------------------|
| ACL     | Abstract Concept Labeling         | DoC     | Degree of Confidence            | MM      | Mammography Mass Dataset          |
| AUC     | Area Under Curve                  | GDF     | Geometric Distance Function     | MP      | Mice Protein Dataset              |
| BC1     | Breast Cancer 669 Dataset         | GI      | Glass Identification Dataset    | MRI     | Magnetic Resonance Imaging        |
| BC2     | Breast Cancer 569 Dataset         | HAR     | Human Activity Recognition Data | RANs    | Regulated Activation Networks     |
| CA      | Credit Approval Dataset           | ID      | IRIS Dataset                    | RBM     | Restricted Boltzmann Machine      |
| CC      | Concept Creation                  | ILL     | Inter Layer Learning            | RD      | Research Design                   |
| CHC     | Concept Hierarchy Creation        | ILW     | Inter Layer Weights             | ROC     | Receiver Operating Characteristic |
| CI      | Concept Identification            | K-NN    | K Nearest Neighbor              | SGD     | Stochastic Gradient Descent       |
| CLS     | Current Layer Size                | LR      | Logistic Regression             | STF     | Similarity Translation Function   |
| CRDP    | Cluster Representative Data Point | MLP     | Multilayer Perceptron           | UAP     | Upward Activation Propagation     |

430 The proof of concept of RAN's modeling as a Machine Learning classifier was also provided with  
 431 eight UCI benchmarks. It was identified that RAN's approach performed satisfactorily displaying  
 432 the best outcome of 98.9% (ca.) with *Mice Protein* dataset (for all metrics). The comparison of RAN's  
 433 modeling with five classifiers substantiated the effectiveness of the proposed methodology. We also  
 434 observed that the RAN's performance remained similar irrespective of the size of train data. RAN was  
 435 also compared with the 5 classifiers based upon its features and it was observed that RAN was similar  
 436 to bio-inspired models. During the simulations, a non-convexity was observed in several datasets. As  
 437 future work, we intend to improve RAN's modeling that can capture the non-convexity in the data  
 438 and enhance the modeling to build non-convex abstract concepts.

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 442 Fund), and the Portuguese Foundation for Science and Technology (FCT).

443 **Conflicts of Interest:** “The authors declare no conflict of interest.”

## 444 Abbreviations

445 The abbreviations are used in this manuscript are listed in Table 7:

446

## 447 Appendix A Supplementary Materials

### 448 Appendix A.1 Data & Scripts

449 This section provides links to download the data and python script used to perform RANs  
 450 modeling experiments, mentioned in this article. The data and script folders can be downloaded  
 451 from the web URL mentioned in Table A1. The data folder contains many files and the direct path  
 452 to the files are provided in the Table A1. Similarly, the script folder *RAN\_V2.0* also contains many  
 453 folders where Folder *RAN* consist of the python scripts. The folder *Observations* is for storing the  
 454 outcome of the experiments, at the beginning of each experiment the empty folder in directory  
 455 *empty\_passes\_for\_Experiment\_Observations* must be copied into the *Observation* directory. The python  
 456 script related to RANs modeling is in folder *RAN*, the description is mentioned in the Table A1.

457 The implemented RANs modeling tool in python takes input data in a specific format (shown in  
 458 Table A2). Besides the data, the inputs require a header as the first row stacked over the original data.  
 459 Each header element,  $[H - 1, H - 2, \dots, H - n]$ , is the Maximum value possible for their respective  
 460 column (feature, or dimension). It is assumed that the minimum value of the column is zero, if it is  
 461 not then the data must be transformed between zero and the maximum positive value as described in  
 462 Section 3.

**Table A1.** Data and Python Script of RANs modeling

| Type   | Description                          | File-path   |
|--------|--------------------------------------|---|
| Data   | Download link                        | <a href="https://www.dropbox.com/sh/3410ozeru3o5opm/AAA24aUGtUS1i7xHKp9kyzRKA?dl=0">https://www.dropbox.com/sh/3410ozeru3o5opm/AAA24aUGtUS1i7xHKp9kyzRKA?dl=0</a> |
|        | IRIS Data                            | data/iris_with_label.csv  |
|        | Mice Protein data                    | data/Data_cortex_Nuclear/mice_with_class_label.csv  |
|        | Glass Identification data            | data/newDataToExplore/new/GlassIdentificationDatabase/RANsform.csv  |
|        | Wine Recognition data                | data/newDataToExplore/new/WineRecognitionData/RansForm.csv  |
|        | Breast cancer 669 data               | data/newDataToExplore/new/breastCancerDatabases/699RansForm.csv   |
|        | Breast Cancer 559 data               | data/newDataToExplore/new/breastCancerDatabases/569RansForm.csv   |
|        | UCIHAR data                          | data/UCI_HAR_Dataset.csv  |
|        | Mamographic Mass data                | data/newDataToExplore/new/MammographicMassData/RansForm1  |
|        | Credit Approval data                 | data/newDataToExplore/new/CreditApproval/RansForm.csv   |
| Script | Toy-data data                        | data/toydata5clustersRAN.csv  |
|        | Download Link                        | <a href="https://www.dropbox.com/sh/rcw1cj4ce1f3zic/AAAm6wVTj2qsLZ1lbc3kn4MPa?dl=0">https://www.dropbox.com/sh/rcw1cj4ce1f3zic/AAAm6wVTj2qsLZ1lbc3kn4MPa?dl=0</a> |
|        | RANs classes and methods             | RAN_V2-0/RAN/RAN_kfold.py   |
|        | Methods                              | RAN_V2-0/RAN/Layer.py   |
|        | Utilities like Labeling and plotting | RAN_V2-0/RAN/UtilsRAN.py  |
|        | Python Script for using RANs         | RAN_V2-0/RAN/RAN_input_T1.py  |

**Table A2.** Input Data Format for implemented RANs Modeling

| Header         | H-1 | H-2 | ..... | H-n |
|----------------|-----|-----|-------|-----|
| Data Instances | D-1 | D-2 | ..... | D-n |
|                | D-1 | D-2 | ..... | D-n |
|                | .   | .   | ..... | .   |
|                | .   | .   | ..... | .   |
|                | .   | .   | ..... | .   |
|                | D-1 | D-2 | ..... | D-n |

#### 463 Appendix A.2 Model Configurations and Research Design

464 Various experiments, reported in this article, were conducted with several datasets, using six  
 465 modeling techniques including the proposed methodology i.e. RANs modeling. Table A4 in Section A.2  
 466 shows configurations of all the models for all the experiments. The experiments were carried out  
 467 using python programming language, and implementations of Restricted Boltzmann Machine pipelined  
 468 with Logistic Regression (RBM+), Logistic Regression (LR), K-Nearest Neighbor (K-NN), Multilayer  
 469 Perceptron (MLP), and Stochastic Gradient Descent (SGD) models of Scikit-learn library [? ]. It is to be  
 470 noted that experiments with RBM were carried out, pipelined with the LR algorithm using the default  
 471 configuration of its implementation in scikit-learn library. The Table A3 lists the nine Research Designs  
 472 (RD) used in the experiments of this article. In every RD the ratio of the Train and Test data is varied to  
 473 capture the ability of the classifier being inspected. The Table 7 lists the acronyms used in this article.

#### 474 Appendix A.3 Multi-class ROC analysis with RANs Modeling

475 This study is carried out by two processes, first the input true-labels are transformed into a  
 476 separate vector of binary labels, individually for all Abstract nodes (i.e. 1 for class c1, 0 for all other  
 477 classes), second, calculating the confidence score for each instance of the input data (or test-data). Both  
 478 processes are described as follows:

479 1 **Node-wise binary transformation of True-Labels:** For example, suppose there are three classes  
 480 (c1, c2, c3) represented by three abstract nodes (n1, n2, and n3) in RANs model at Layer-1, and

**Table A3.** Train & Test data distributions in nine Research Designs (RD)

| RD-1  |      | RD-2  |      | RD-3  |      | RD-4  |      | RD-5  |      |
|-------|------|-------|------|-------|------|-------|------|-------|------|
| Train | Test |
| 90%   | 10%  | 80%   | 20%  | 70%   | 30%  | 60%   | 40%  | 50%   | 50%  |
| RD-1  |      | RD-7  |      | RD-8  |      | RD-9  |      |       |      |
| Train | Test | Train | Test | Train | Test | Train | Test | —     | —    |
| 40%   | 60%  | 30%   | 70%  | 20%   | 80%  | 10%   | 90%  | —     | —    |

**Table A4.** Dataset specific configuration details of models

| Data                 | Algo  | Configurations                       | Data              | Algo  | Configurations                       |
|----------------------|-------|--------------------------------------|-------------------|-------|--------------------------------------|
| Toy-data             | RBM + | Lr=0.000001, iter=500, comp=20       | UCI HAR           | RBM + | Lr=0.06, iter=500, comp=10           |
|                      | LR    | max_iter=30, C=70                    |                   | LR    | max_iter=10, C=1                     |
|                      | K-NN  | n_neighbors=30                       |                   | K-NN  | n_neighbors= 15                      |
|                      | LR    | max_iter=10, C=1                     |                   | LR    | max_iter=30, C=1                     |
|                      | MLP   | Rs=1, hls=10, iter=250               |                   | MLP   | Rs=1, hls=10, iter=400               |
|                      | RANs  | CLS=5, Desired_depth=1               |                   | RANs  | CLS=2, Desired_depth=1               |
| Mice Protein         | SGD   | alpha=0.0001, n_iter=5, epsilon=0.25 |                   | SGD   | alpha=0.1, n_iter=10, epsilon=0.25   |
|                      | RBM + | Lr=0.1, iter=500, comp=20            | Breast Cancer 569 | RBM + | Lr=0.006, iter=100, comp=10          |
|                      | LR    | max_iter=30, C=30                    |                   | LR    | max_iter=30, C=1                     |
|                      | K-NN  | n_neighbors=15                       |                   | K-NN  | n_neighbors=30                       |
|                      | LR    | max_iter=4, C=0.00001                |                   | LR    | max_iter=10, C=0.001                 |
|                      | MLP   | Rs=1, hls=10, iter=300               |                   | MLP   | Rs=1, hls=10, iter=200               |
| Breast Cancer 669    | RANs  | CLS=8, Desired_depth=1               |                   | RANs  | CLS=2, Desired_depth=1               |
|                      | SGD   | alpha=0.1, n_iter=10, epsilon=0.25   |                   | SGD   | alpha=0.0001 n_iter=5, epsilon=0.25  |
|                      | RBM + | Lr=0.001, iter=100, comp=10          | Credit Approval   | RBM + | Lr=0.006, iter=100, comp=10          |
|                      | LR    | max_iter=30, C=1                     |                   | LR    | max_iter=30, C=1                     |
|                      | K-NN  | n_neighbors=10                       |                   | K-NN  | n_neighbors=30                       |
|                      | LR    | max_iter=10, C=0.001                 |                   | LR    | max_iter=10, C=0.001                 |
| Glass Identification | MLP   | Rs=1, hls=10, iter=200               |                   | MLP   | Rs=1, hls=10, iter=200               |
|                      | RANs  | CLS=2, Desired_depth=1               |                   | RANs  | CLS=2, Desired_depth=1               |
|                      | SGD   | alpha=0.0001, n_iter=5, epsilon=0.25 |                   | SGD   | alpha=0.0001, n_iter=5, epsilon=0.25 |
|                      | RBM + | Lr=0.001, iter=400, comp=10          | Mammographic Mass | RBM + | Lr=0.01, iter=500, comp=20           |
|                      | LR    | max_iter=30, C=5                     |                   | LR    | max_iter=30, C=5                     |
|                      | K-NN  | n_neighbors=15                       |                   | K-NN  | n_neighbors=30                       |
| IRIS                 | LR    | max_iter=5, C=0.00001                |                   | LR    | max_iter=5, C=1                      |
|                      | MLP   | Rs=1, hls=10, iter=200               |                   | MLP   | Rs=1, hls=10, iter=250               |
|                      | RANs  | CLS=2, Desired_depth=1               |                   | RANs  | CLS=2, Desired_depth=1               |
|                      | SGD   | alpha=0.01, n_iter=10, epsilon=0.25  |                   | SGD   | alpha=0.0001, n_iter=5, epsilon=0.25 |
|                      | RBM + | Lr=0.01, iter=1000, comp=20          | Wine Recognition  | RBM + | Lr=0.01, iter=500, comp=20           |
|                      | LR    | max_iter=30, C=5                     |                   | LR    | max_iter=30, C=50                    |

Lr-Learning Rate; iter-Iterations; comp-Number of Hidden Components of RBM; RS-Random State  
hls=Hidden Layer Sizes; CLS-Number of clusters at the input layer of RANs

481 let true-label be [c1, c2, c2, c1, c2, c3, c3] for 7 test instances, then for node n1 label will be [1, 0, 0,  
482 1, 0, 0, 0] where 1 represents class c1, and 0 depicts others (i.e. c2, and c3).

483 2 **Node-wise confidence-score calculation:** This is calculated by averaging activation-value and  
484 confidence-indicator of activation for an input instance at an Abstract node. Activation-value  
485 is an individual activation of an activation vector obtained by propagating up the data using  
486 UAP mechanism of RANs whereas, confidence-indicator is calculated by min-max normalization  
487 operation of activation vector. For example, after UAP operation each node (n1, n2, and n3)  
488 receives activation [0.89, 0.34, 0.11] (a vector of activation), and confidence-indicator is min-max  
489 ([0.89, 0.34, 0.11]) = [1.0, 0.29, 0.0]. and the confidence-score for nodes n1= (0.89 + 1.0)/2.0 = 0.95,  
490 n2= (0.34 + 0.29)/2.0 = 0.32, and n3= (0.11 + 0.11)/2.0 = 0.05.

491 *Appendix A.4 Abstract Concept Labeling (ACL)*

492 This method is optional and useful when the input data is labeled. With this mechanism, we  
493 associate an identifier to every Abstract Concept node  $N_j$ . Having generated the RANs model with CI,  
494 then through CC, ILL, input data is sorted label-wise, and perform UAP operation. The propagated data  
495 is inspected class-wise, and label node  $N_j$  with a class-name for which it got the maximum count of

496 the highest activation. For example, suppose input data for class-X has 100 instances, after inspecting  
497 the propagated data, it is observed that node  $N_1$  received highest activation 74-times, whereas, with  
498 remaining 26 cases other nodes experienced maximum activation, therefore, we recognize node  $N_1$  as  
499 representative of class-X. *True-Labels* are identified by mapping each class of the input instance directly  
500 to its respective node representative. *Observed-Labels* are obtained by propagating every test-instance  
501 through UAP operation, inspecting which Abstract node received the highest activation for that  
502 data-unit, and label it with the class represented by that node. *True-Labels* and *Observed-Labels* are  
503 used to validate the model's performance.

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