

## Article

# A miniature fibre-optic Raman probe fabricated by ultrafast laser assisted etching

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**Abstract:** Optical biopsy describes a range of medical procedures in which light is used to investigate disease in the body, often in hard-to-reach regions via optical fibres. Optical biopsies can reveal a multitude of diagnostic information to aid therapeutic diagnosis and treatment with higher specificity and shorter delay than traditional surgical techniques. One specific type of optical biopsy relies on Raman spectroscopy to differentiate tissue types at the molecular level and has been used successfully to stage cancer. However, complex micro-optical systems are usually needed at the distal-end to optimise the signal-to-noise properties of the Raman signal collected. Manufacturing these devices remains a critical challenge, particularly in a way suitable for large scale adoption. In this paper, we describe a novel fibre-fed micro-optic system designed for efficient signal delivery and collection during a Raman spectroscopy based optical biopsy. Crucially, we fabricate the device using a direct-laser-writing technique known as ultrafast laser assisted etching which is scalable and allows components to be aligned passively. The Raman probe has a sub-millimetre diameter and offers confocal signal collection with  $71.3 \pm 1.5\%$  collection efficiency over a 0.8 numerical aperture. Proof of concept spectral measurements were performed on mouse intestinal tissue and compared with results obtained using a commercial Raman microscope.

**Keywords:** Optical biopsy; Raman spectroscopy; Micro-optics; Ultrafast laser assisted etching

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## 1. Introduction

Delayed diagnosis, often due to the late onset of recognisable symptoms, is a major contributor to the high mortality rates of many cancers. In particular, cancers that develop deep within the body such as oesophageal, bowel and lung cancer may not present noticeable symptoms until the cancer is already at an aggressive stage. Oesophageal cancer (OC) has a particularly poor prognosis, with a five-year survival rate of between 12 and 18 % [1]. OC is commonly diagnosed by a surgical endoscopic biopsy followed by histopathology; however, clinicians must rely on very subtle guidance from white-light endomicroscopy and therefore biopsy sampling can be poor. Further, histopathology is complex, time-consuming and staging the cancer can be subjective. To improve the overall prognosis of OC and other cancers, a diagnostic tool that is minimally invasive and provides real-time tissue classification at an early stage of cancer development is needed.

Over the past two decades, optical biopsy techniques [2] have progressed from a research environment into the clinic, and clinical studies in which optical techniques are used to aid decision making at point-of-care are now underway. The term *optical biopsy* describes several techniques that use light to determine clinically relevant tissue information and includes endomicroscopy, optical coherence tomography (OCT), fluorescence endoscopy and spectroscopy amongst others – often performed in hard-to-reach regions of the body via optical fibres. Fibre-based Raman spectroscopy is a specific example which has been successful in clinical studies for differentiating normal and

cancerous tissue *in vivo* in several regions of the body including the oesophagus, lungs, skin and brain [3–8]. A Raman-based optical biopsy relies on the collection of photons scattered inelastically by molecules present in tissue. Every molecule has several associated vibrational modes, depending on the number of atoms present, and each mode has a discreet vibrational energy. An inelastically scattered photon exchanges this energy with the vibrational mode, resulting in a spectral shift known as a *Raman shift*. Collectively, a group of photons scattered inelastically by tissue carry a spectral “fingerprint” which infers the molecular makeup of the tissue. Since tissue is molecularly complex, very subtle variations between tissues can be detected by performing multivariate analysis on the Raman shifted spectra and therefore normal, pre-cancer and cancer tissue can be distinguished.

To perform Raman spectroscopy deep inside the body, optical fibres are used to transport light to and from the target site. Often, miniaturised optics are needed at the distal-end to direct the excitation light onto the tissue and collect Raman scattered light back into return fibres efficiently. Many distal-end optical system (DOS) configurations exist, but they typically consist of microlenses, filters, mirrors and alignment features; all packaged into medical grade housing suitable for endoscopy. Although several groups have developed Raman probes [9–11], the fabrication and assembly of the micro-components remains challenging, often requiring labour intensive and intricate manual alignment which is ultimately unsuitable for large-scale manufacture. Additionally, the complexity of the optical system may be limited by conventional optics, which is a particular hindrance for probes with an outer diameter of less than 1 mm.

Addressing the manufacturing limitations, we propose that a direct laser-writing technique called ultrafast laser assisted etching (ULAE) is ideally suited towards the fabrication of DOS's for Raman probe instruments, overcoming many of the shortfalls of traditional techniques. ULAE is a two-step process in which components are first inscribed in a bulk fused silica chip using focused femtosecond laser pulses. When optimal irradiation parameters are used, the laser induced modification enhances the local etchability of the silica by up to three orders of magnitude [12,13], allowing the laser inscribed material to be subsequently removed in a second, chemical etching step. Within the last two decades, collaborative efforts towards better understanding the light-matter interactions [14–16] and chemical etching mechanisms [17], and advanced writing techniques [18,19] have established ULAE as a capable manufacturing method for complex glass microcomponents and systems [20,21]. Recently, ULAE has shifted away from using the widely adopted but notoriously hazardous etching agent hydrofluoric acid (HF) to potassium hydroxide (KOH), making the technique much more appealing for industry-level manufacturing. ULAE is particularly suited to fabricating *systems* of micro-optics because multiple components can be written onto a single chip in three dimensions with an alignment tolerance set by the precision of the stages that translate the material through the laser focus – typically tens of nanometres for modern stages.

In this paper, we describe the development and fabrication of a miniaturised Raman probe with a novel DOS design fabricated by ULAE. First, we give a brief overview of some existing Raman probes and discuss the design considerations that led to the development of the system presented here. Optical modelling is provided along with specifics of the fabrication process including a flame polishing technique developed to improve the surface quality of ULAE fabricated lenses. Finally, the performance of the probe is investigated, including proof-of-concept Raman analysis of cancerous mouse intestine tissue.

## 2. Raman probe state of the art

This brief review will summarise some of the fibre-based Raman probes that have been developed recently for optical biopsy applications. Raman probe design has been reviewed in detail by other groups [22,23], and a thorough review of all aspects of probe development, including clinical integration, is given by Stevens et al. [24]. The function of a Raman probe is to deliver monochromatic excitation (or pump) light to the intended tissue site and collect Raman scattered light, while at the same time rejecting elastically scattered and reflected pump light from returning. Raman probes vary in complexity from a simple single optical fibre without distal-end optics to intricate systems of several fibres to separate the pump light and Raman signal and multi-element distal-end optical

systems to improve signal collection efficiency. Generally, the complexity of a Raman probe is a trade-off between manufacturability and performance.

Single-fibre Raman probes without distal-end optics are inexpensive, easy to manufacture and have a small outer diameter. However, the fibre material itself, commonly silica, produces a Raman signal as the pump light propagates along it (referred to as the fibre background, FBG) which can be several orders of magnitude greater than the tissue Raman signal. Recent advances in fibre manufacturing has opened up new avenues to address this; notably, non-standard fibre materials such as sapphire [25] and micro-structured, hollow-core fibres [26,27] have been shown to dramatically reduce the fibre background signal. Single-fibre probes still exhibit limited collection efficiency and so instead multi-core fibres or fibre bundles are sometimes used to improve signal collection without increasing the complexity of manufacture significantly [28,29]. The arrangement of fibre bundles and a comparison of flat versus bevelled fibre tips, was reviewed by Skinner et al. The review also describes the use of ball lenses to add directionality to the pump light at the distal-end [30,31]. Ball lenses are commonly used to improve collection efficiency of Raman probes [3,9] because they are relatively simple to align and assemble.

More complex distal-end optics can be added to improve probe performance in terms of collection efficiency, sampling range and Raman signal isolation. Day et al. developed a confocal Raman probe capable of rejecting light originating beyond a confined sampling region [32], and later adapted the probe to fit within the bore of a hypodermic needle [10]. Probes for advanced Raman techniques such as spatially offset Raman spectroscopy (SORS) [33,34] and surface enhanced Raman spectroscopy (SERS) [35] have also been developed. Many probes rely on forward axial sampling whereas others include mirrors to deflect the signal laterally for side-viewing [36].

### 3. Probe design

#### 3.1. Design considerations

The Raman probe described in this section was designed to take full advantage of the three-dimensional fabrication capabilities of ULAE. The first factor considered was the overall form factor of the distal probe; gastroscopes have a standard instrument channel diameter of 2.8 mm [37], however, for applications requiring an embedded needle probe, the optical system must be sub-millimetre. With traditional fabrication techniques, sub-mm probes are limited to very simple optics due to fabrication challenges, however, much more complex surfaces can be fabricated on this scale using ULAE.

As mentioned, hollow-core fibres exhibit significantly reduced fibre background signals than solid-core fibres, but such fibres are still in relative infancy and are expensive as a result. Low-cost instruments are desirable in a clinical setting as single-use is preferred. Therefore, solid-core fibres are more suitable currently. For our probe, the fibre background was instead minimised by using separate light-delivery and collections paths.

The number and arrangement of return fibres is also an important consideration. Several collection cores surrounding a central pump fibre ensures symmetrical collection about the sampling volume and the collected light can be reformatted into a slit at the proximal-end for efficient coupling to a spectrometer. We determined that a six-around-one configuration for light delivery and collection provided highest collection efficiency. When paired with a collimating and focusing lenses, each return fibre acts like a pinhole in a confocal microscopy system, only collecting light from a well-defined sampling volume shared with each other collection fibre. The ideal aperture size of the return cores is a trade-off between confocality and signal strength: smaller cores increase the spatial sampling resolution of the probe whereas larger cores relax alignment tolerances.

Raman spectroscopy has the distinct advantage over several other spectroscopic techniques in that the positions of the spectral peaks in the Raman fingerprint are determined by the molecules present in the sample and are independent of the excitation wavelength. Therefore, Raman spectra collected with different systems and excitation sources can be easily compared and classified. With that said, there are considerations when choosing the optimum excitation wavelength. The

probability of a Raman scattering event occurring is inversely proportional to the wavelength of the incident photons to the fourth power, suggesting that shorter wavelengths are a better choice for excitation. However, at shorter wavelengths, fluorescence can dominate the emission spectra, particularly for organic materials. 785 nm light offers a suitable trade-off between signal strength and fluorescence and has become widely adopted for Raman spectroscopy, particularly for tissue samples. As a result, compatible components are readily available and often cost-effective.

### 3.2. DOS description and modelling

A schematic of the proposed DOS is shown in Figure 1. The optical system consists of two components: a six-around-one arrangement of optical fibre alignment holes paired with a freeform “petal” shaped lens array, L1, and a 0.8 NA plano-convex aspheric lens embedded in a surrounding cylindrical alignment sleeve, L2. The petal lens array consists of a central lens, L1c, surrounded by six equally spaced overlapping lenses, L1o. The optical system operates as follows: 785 nm pump light is delivered centrally via a 0.1 NA single-mode silica fibre and is collimated by L1c and then focused by L2 into the specimen. Scattered light generated within the focal volume is then collected by L2 and directed back towards L1 which couples the light into six multi-mode collection fibres. L1 was designed such that the central lens used to collimate the pump light occupied only 4.2% of the petal lens surface area, leaving the remaining surface for Raman signal collection. In this configuration, a theoretical 85.1% of light scattered within the 0.8 NA of L2 is collected by the return fibres with losses primarily due to Fresnel reflections at the optical interfaces as determined by ray-trace simulations. The probe was designed to be used in contact with tissue with light collected from approximately 300  $\mu$ m below the surface, coinciding with the region in which pre-cancers are expected to form in the epidermis [38]. L2 was designed with a low F-number so that the collection NA could remain large while keeping the overall probe diameter below 1 mm. This lens configuration also ensures a reasonable degree of confocality – light originating outside the intended sampling plane is rejected by the return optical fibres. This allows individual features in a complex inhomogeneous sample such as tissue to be probed independently.

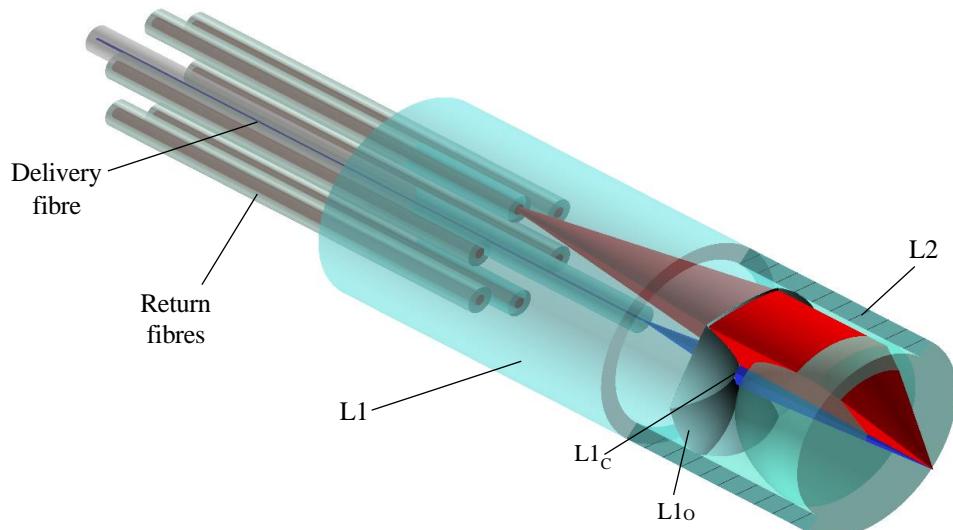


Figure 1: A partial cut-away rendering of the proposed distal-end optical system for the laser written Raman probe. The optical system consists of two components, L1 and L2 which interface with one light delivery and six light return optical fibres. Excitation light (represented in blue) is delivered by a central fibre and focused beyond the tip of the probe. Raman scattered light (one sixth shown only - represented in red) is collected by the DOS and coupled back into the six return fibres for analysis.

Each of the components was designed and optimised using *Zemax Optic Studio* ray tracing software to ensure that the signal transmission was maximised and the etendue of the system was preserved. Ray trace diagrams for each of the three lens types, L1c, L1o and L2, are shown in Figure 2. Aspheric

lenses were chosen in each case for optimum performance, with radii of 0.194 mm, 0.411 mm and 0.291 mm and conic constants equal to -0.473, -0.473 and -0.490 respectively.

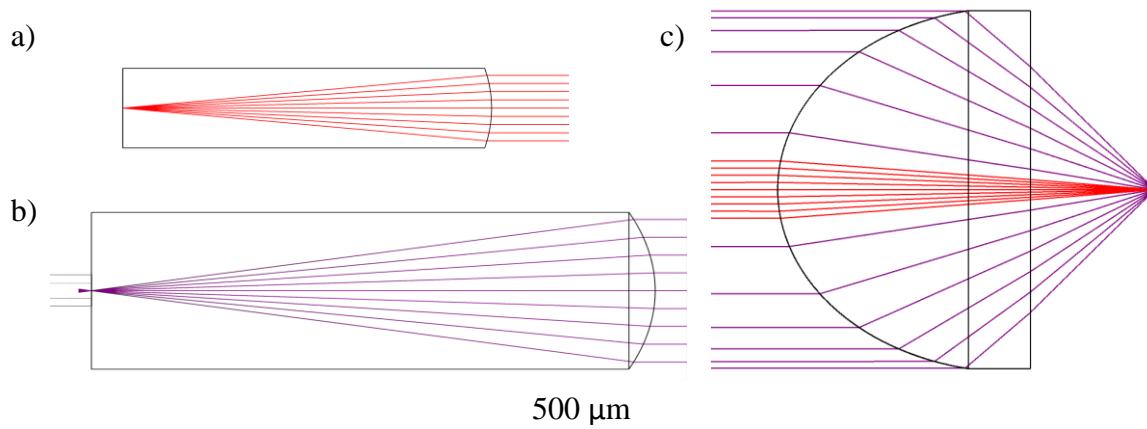


Figure 2: Ray diagrams for each of the three lens surfaces in the DOS: a) L1c, b) L1o, c) L2. Each lens takes the form of a prolate asphere which efficiently collimates or focuses the rays over the desired spectral range.

#### 4. Probe fabrication and characterisation

##### 4.1. Ultrafast laser assisted etching

Ultrafast laser assisted etching (ULAE) is a three-dimensional micromanufacturing technique which relies on ultrashort laser pulses to modify a transparent materials physical and chemical properties locally within a well-confined focal volume. Under certain laser irradiation conditions, the material can be modified such that its chemical etchability is significantly enhanced. Therefore, the laser written material can be selectively removed in a subsequent etching step, facilitating the precise fabrication of almost arbitrary 3D structures on a scale spanning tens of microns to tens of millimetres. The technique can be applied to several transparent materials including fused silica which is widely adopted in optics for its excellent optical and mechanical properties. Recently, etching rate enhancements of up to three orders of magnitude have been demonstrated in fused silica [13,39], paving the way for a new era in glass microfabrication.

The microcomponents described here were fabricated in 1 mm thick high purity fused silica wafers (UV Grade Corning 7980 0F). Laser inscription was performed with a Menlo Systems Bluecut fibre laser, delivering 350 fs pulses at a repetition rate of 250 kHz and a central wavelength of 1030 nm. The laser pulse energy was set to approximately 200 nJ for the majority of the inscription and the polarisation was linear and orientated orthogonally to the intended etching direction in order to achieve the highest etching selectivity as discussed in [13]. The substrate was translated on a motorised 3-axis crossed roller bearing stage (Alio Industries) with up to 5 nm positioning resolution. The stages were controlled via an EtherCAT controller (ACS motion control) and the stage motion was programmed in the proprietary CNC programming language ASCPL+.

In the subsequent etching step, the substrates were submerged in an etching solution of KOH diluted with deionised water to a concentration of 8 mol/L and heated to 85° C. The etchant was magnetically stirred for the duration of the etch to ensure the solution remained homogeneous. Afterwards, the components were rinsed thoroughly in deionised water before inspection.

The components were inscribed by raster scanning the laser focus to form the individual component surfaces. Additional etch planes and channels were written into the substrate, as shown in Figure 3 (a), to minimise the etching time and allow the parts to separate in the etching bath. An alternative approach is occasionally described in literature whereby the material to be removed is laser written volumetrically and then etched away uniformly [39]. Advantages of this method are that the CNC code is typically simpler and the laser polarisation can remain fixed, however,

disadvantages include longer inscription times and a rougher surface finish which is not suitable for lens manufacture. Micrographs of the two probe components taken both after laser inscription and after etching are presented in Figure 3.

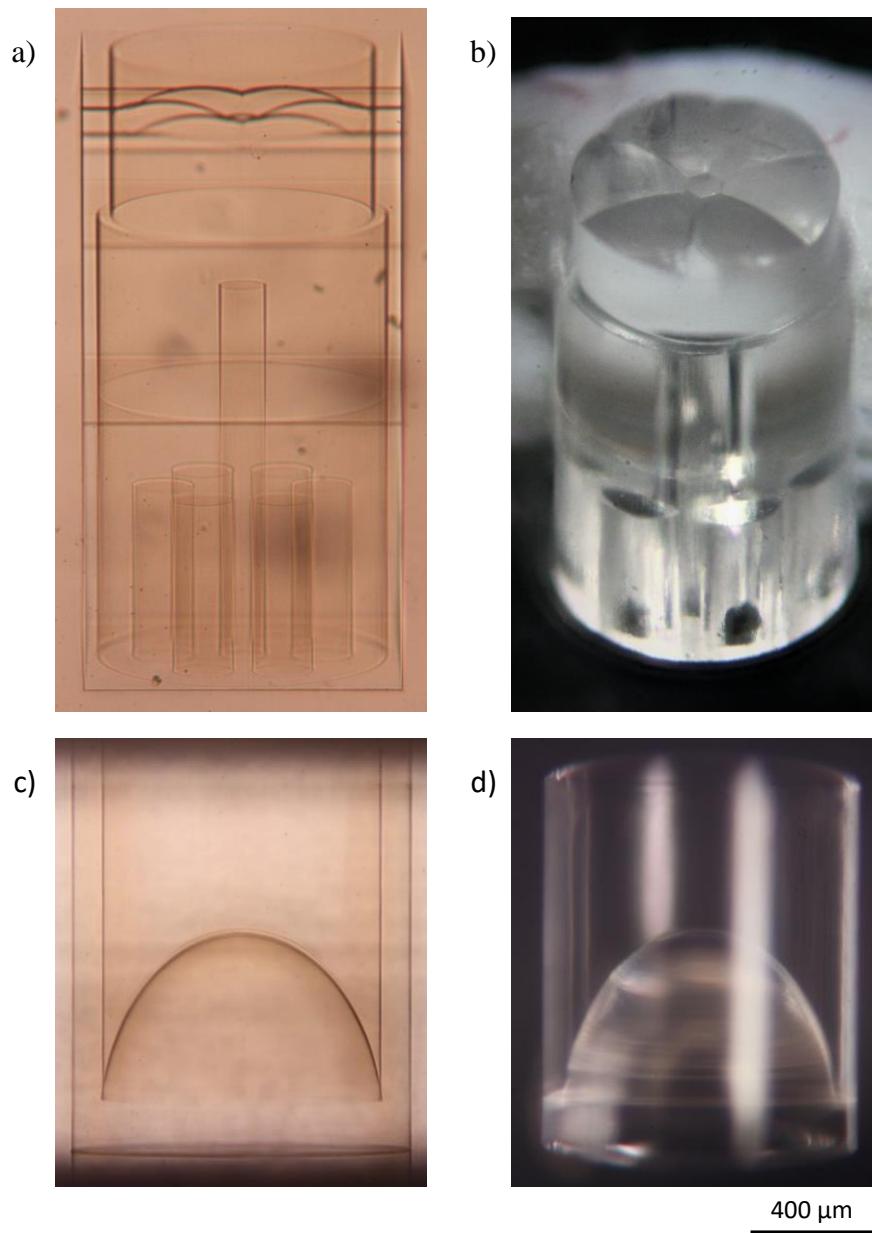


Figure 3: Micrographs of the two distal-end probe components L1 (a, b) and L2 (c, d) after ultrafast laser inscription (a, c) and after etching (b, d). The outer diameter of each component was 960  $\mu\text{m}$ .

#### 4.2. Flame polishing

After fabrication, the etched surfaces exhibit roughness and nano-texturing carried through from laser inscription stage. The roughness is typically on the order of hundreds of nanometres which is acceptable for alignment features but introduces detrimental scattering for optical surfaces. The scattering has a minimal effect on the overall throughput of the lenses but can lead to unwanted background signals entering the return fibres and may introduce noise in the Raman spectra data. To reduce the surface roughness, we developed a flame polishing technique in which the lens surface was directly exposed to a controlled micro-flame for a short time period in order to melt and re-flow a thin layer on the surface. Flame polishing was performed using an *AQUAFLAME SYSTEMS MODEL 500* oxyhydrogen torch with a 3 mm micro-flame generated through a 21-gauge nozzle. The

generator produced hydrogen fuel via the electrolysis of deionised water and potassium hydroxide and the gas was passed through a solution of methyl ethyl ketone (MEK) to generate a flame with a peak temperature of 1850°C, sufficiently beyond the softening point of fused silica to induce surface melting. When properly controlled, only the high spatial frequency surface deviations associated with roughness melted during polishing, and so the nominal form of the lens remained unaffected. The optimum polishing time was found experimentally by polishing several identical lenses for a varying amount of time. We found that the optimum time for the six-around-one lens was 0.25 s, however we also noted that the optimum time varied significantly depending on the component's size and shape.

An atomic force microscope (AFM) was used to measure the roughness of lenses before and after flame polishing and the results are presented in Figure 4.

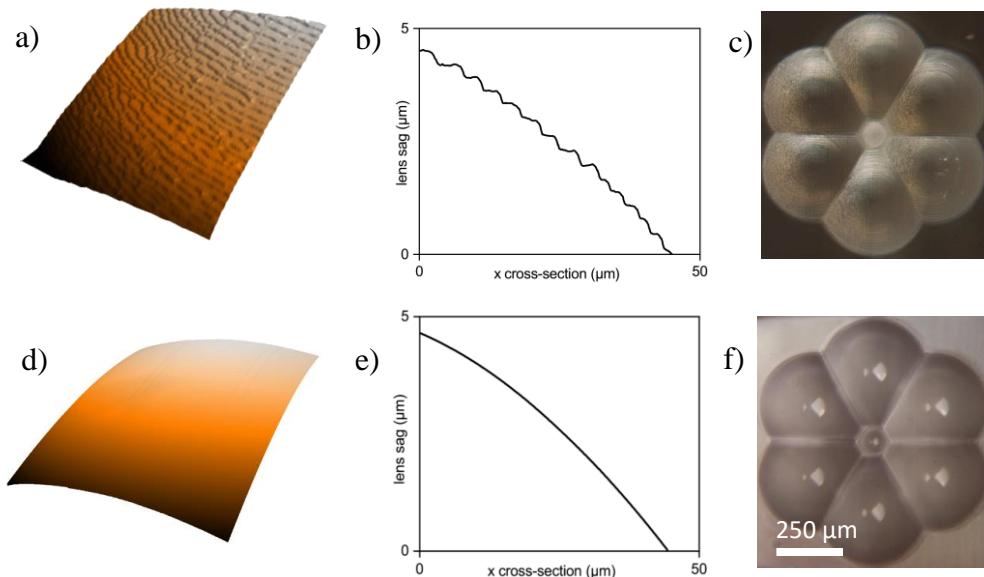


Figure 4: Example lens profiles measured before and after flame polishing using an AFM. (a-c) shows a 3D colour plot, 2D surface profile and micrograph respectively before flame polishing. (d-f) shows the same plots made after flame polishing for 0.25s. The colour maps in a) and d) were measured over  $50 \times 50 \mu\text{m}$  areas. The arithmetic roughness,  $R_A$ , was reduced from 48.7 to 2.26 nm.

Figure 4 (a-c) shows the surface profile of a lens before any polishing or post processing. The colourmap (a) highlights the surface texturing caused by the imprint of nanogratings formed during laser writing [40]. After flame polishing, the nanotexturing was no longer apparent and the surface was significantly smoother as shown in Figure 4 (d-f). To quantify the reduction in surface roughness, the arithmetic average deviation of the surface from the nominal form, or mean roughness,  $R_A$ , was measured. Before flame polishing, the lens was found to have a mean roughness of 48.7 nm, which was reduced to 2.26 nm after flame polishing for 0.25 s. Flame polishing was found to consistently reduce mean surface roughness down to a few nanometres, and therefore scattering is expected to be inconsequential over the operating wavelength (785 – 950 nm).

#### 4.3. Probe assembly and spectrometer coupling

The distal-end optics and delivery and return fibres were assembled using manual precision translation stages and bonded using UV-cured optical adhesive (Norland, NOA 61). The alignment of each of the components was achieved passively using alignment slots laser written and etched directly into the optics. Micrographs showing the distal-end assembly process are presented in Figure 5. Each fibre was inserted and glued sequentially, with glue deposited onto the end of the fibre before inserting into a fibre alignment slot and UV cured. As well as bonding the fibres in position, the adhesive acted as a refractive index matching medium between the fibre tip and the end of the slot to reduce Fresnel reflection and scattering. The two distal-end optical components were bonded

using a similar method with glue applied to the termination rim of L1 which was inserted into L2 before curing.

The Raman probe was packaged in either flexible heat-shrink tubing or within the bore of a 17-gauge blunt hypodermic needle for protection during experiments.

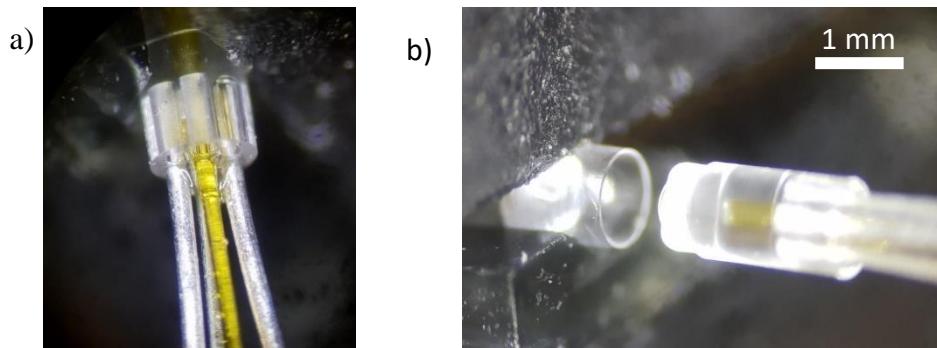


Figure 5: a) The seven delivery and return fibres were manually inserted into the passive alignment slots using precision translation stages and fixed in place with a UV-cured optical adhesive. b) Similarly, the two distal-end probe components were combined and UV-cured, with optical alignment achieved passively.

Collecting the Raman signal via six return fibres improves collection efficiency but poses a challenge of how to interface the fibres with a spectrometer efficiently. For optimal coupling, the six return fibres must be arranged into a linear array which can be imaged onto the input slit of a spectrometer. To suitably arrange the return fibres, we developed a fibre reformatter with a precision slot to which the six fibres were fed through and bonded in position. The 5 mm long slot had a rounded rectangular aperture which confined the six return fibres laterally and angularly. The reformatter, presented in Figure 6 (a), was fabricated out of fused silica using the same laser-induced selective etching method as used for the distal-optics to obtain the high dimensional tolerance required to align the fibres precisely. The fibres, left unstripped for robustness, were fed through the slot and fixed in place with a UV-cured adhesive and ground and polished flat. The slot aligned the six fibres in a line to within  $\pm 2 \mu\text{m}$ .

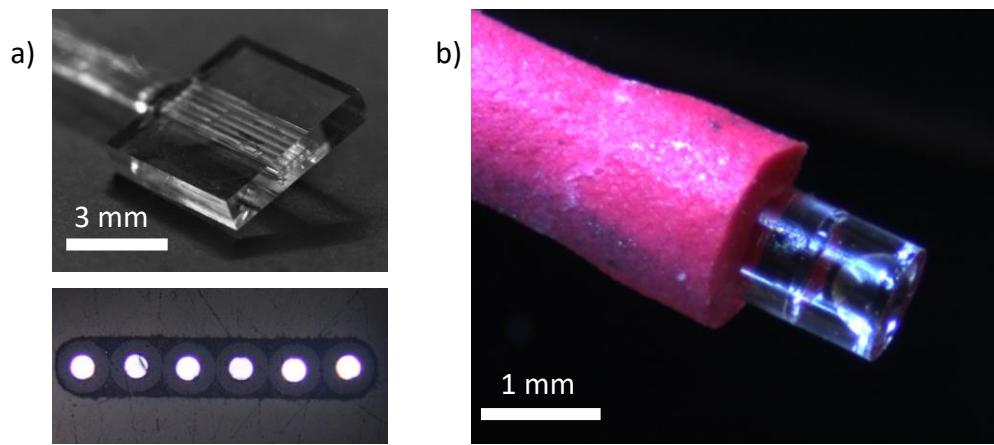


Figure 6: a) At the proximal-end, the return fibres (shown here with 50  $\mu\text{m}$  diameter cores), were rearranged into a linear array using a ULAE fabricated precision slot for efficient interfacing with traditional spectrometers. b) A micrograph of the assembled distal-end with heat-shrink tubing added for protection.

#### 4.4. Fibre alignment

Passive alignment of the optical components is critical for the manufacture of the Raman probe to be scalable and automated. The passive alignment slots were designed to accept stripped optical fibres within their manufacturing tolerance, typically  $\pm 1 \mu\text{m}$ . Any significant off-axis misalignment of the return fibres would result in a decrease in the optical fibre coupling of the return Raman signal. An angular misalignment is less detrimental to performance because the coupling lenses were designed with a slightly larger NA than the return fibres.

To quantify the alignment precision of the laser written fibre slots, a single-mode (SM) optical fibre was manually inserted one-hundred times into an alignment slot and its output imaged on a camera. The magnification of the imaging system was calibrated by imaging a multi-core fibre with a measured core separation. The position of the SM fibre core was measured after each insertion and plotted on a scatter plot, shown in Figure 7. The lateral fibre position was confined to within  $\pm 0.83 \mu\text{m}$  and  $\pm 0.52 \mu\text{m}$  in the horizontal and vertical axes respectively to two standard deviations, or 95.45 % of fibre insertions. The larger horizontal variation was due to an ellipticity of the alignment slot caused by the fabrication process but could be reduced by refining the process. The deviations were small in comparison to the  $50 \mu\text{m}$  return fibre cores, and indeed ray trace simulations showed that a lateral fibre misalignment of  $1 \mu\text{m}$  resulted in no perceptible decrease in signal collection.

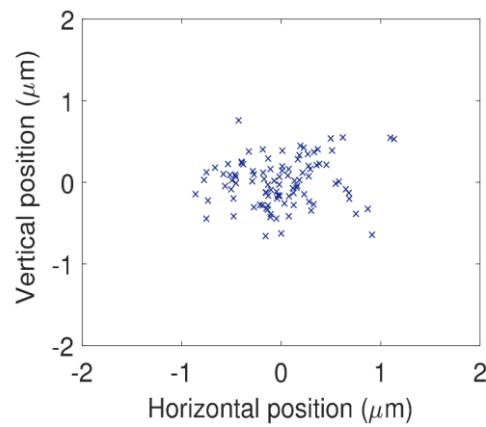


Figure 7: A scatter plot mapping the lateral positions of an optical fibre randomly inserted into an alignment slot 100 times. The alignment slot confined the fibre to within  $\pm 0.83$  and  $\pm 0.52 \mu\text{m}$  of the optical axis in the horizontal and vertical axes respectively to two standard deviations.

#### 4.5. Collection efficiency

The Raman probe was designed to collect light from a confined on-axis region approximately  $300 \mu\text{m}$  beyond the probe tip over a 0.8 NA. The collection efficiency of the optical system is proportional to the signal-to-noise ratio (SNR) of the measured Raman signal and a high efficiency is needed for the probe to operate within acceptable optical power limits and signal acquisition times. Here, we define the probe's collection efficiency as the percentage of light originating from a point source over the probe NA which is collected by the six return fibres. In theory, the only losses in the system are due to Fresnel reflections at each optical interface and the areal portion of the petal lens which is used for light delivery and not collection. Optical simulations were performed to predict the theoretical throughput of the distal-end optical system and after considering losses at the three optical interfaces and the return fibre coupling efficiency, the throughput was 85.1%

In practice, imperfect surfaces, minor misalignments and “dead space” between lenses in the petal array also contribute to loss of signal. To measure the collection efficiency experimentally, a 785 nm “point source” was generated by passing a collimated beam through a variable NA immersion objective and collected by the probe. Water was used for immersion to approximate the refractive index of tissue and the probe was positioned to maximise the light collected by the six return fibres simultaneously. The NA of the objective was increased by opening an input aperture until the signal collected plateaued. The experimental efficiency was determined by comparing the collected light to

the total power transmitted through the objective as measured with a photodiode and found to be  $71.3 \pm 1.5\%$ . Slightly less than the theoretical maximum, the loss in collected light was attributed to scattering from the lens surfaces and minor misalignments of the optical components which is expected within a tolerance set by the fabrication.

## 5. Demonstration of Raman spectra acquisition of tissue

To test the performance of the probe for Raman spectroscopy, the probe was integrated with a commercial benchtop Wasatch 785 Raman spectrometer configured with a freespace 50  $\mu\text{m}$  input slit and a detector active between 200 – 2000  $\text{cm}^{-1}$ , corresponding to the fingerprint regime of tissue. The linear return fibre array was imaged onto the slit with a demagnification of 2 to fill the high f/1.3 aperture of the spectrometer fully. Excitation light was provided by a fibre coupled, volume-holographic-grating (VHG) stabilized 785 nm laser diode (Thorlabs LP785-SAV50) with a single-moded output, up to 50 mW of power and a spectral linewidth of 0.25 nm. An 800 nm longpass dielectric filter (Thorlabs FELH800) was positioned in the return path to prevent laser light from entering the spectrometer – thereby reducing stray light in the spectrometer and subsequently the noise floor of the Raman signal. For the experiments described here, 1 m long delivery and collection fibres were used. Shorter fibres would result in a lower FBG, however, this length is practical in a laboratory setting and is also compatible with standard endoscopes used for upper endoscopy.

For the purpose of device development, relatively simple molecular materials such as hydrocarbons make ideal test samples because their associated Raman spectra are often well defined and catalogued. Additionally, the use of accessible materials allows for ease of comparison with other groups in the field. Figure 8 shows the measured Raman spectra of some common chemical species, namely toluene, isopropanol and solid silicon, as well as the FBG of the Raman probe itself. The spectra were acquired over 5 s with 25 mW of pump power measured at the distal-end. When measuring the spectra of toluene and isopropanol, the probe was fully submerged. For silicon, the probe was suspended slightly above the material sample surface and the FBG was measured with the probe suspended in free-space. The results are presented without any background subtraction or data processing applied, with the exception of the silicon spectrum which has had a normalised FBG spectrum subtracted. The FBG was more prominent in the silicon spectrum because the material was reflective and so more laser light was returned into the collection fibres. However, crystalline silicon has a very simple Raman spectrum with only a single dominant peak at around 520  $\text{cm}^{-1}$  which allows the silica FBG to be removed easily by first normalising the FBG to a known silica peak in the measured silicon spectrum. For the submerged samples, the FBG was low because the liquid partially index matched the tip of the probe and little light was back reflected into the return fibres.

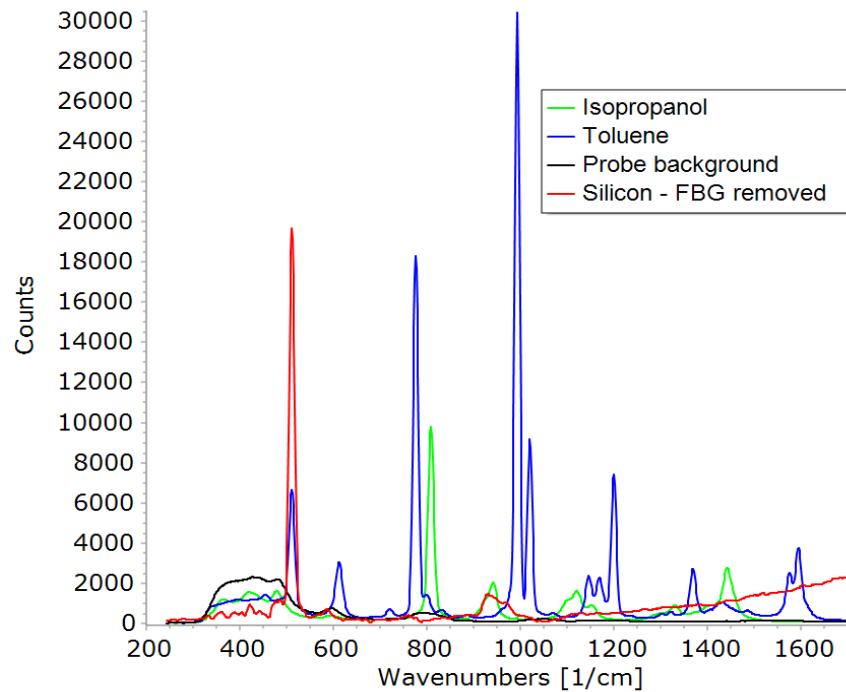


Figure 8: The Raman spectra of common Raman active reference materials obtained with the Raman probe. The spectra shown were measured over 5s with 25 mW of laser power delivered onto the samples. The spectra are shown without any data processing applied with the exception of the silicon sample (red) which has had the FBG (black) removed for clarity.

Further to the acquisition of simple chemical species, proof of principle Raman analysis was performed on ex-vivo cancerous mouse intestine which better represents the optical and molecular conditions of the intended sensing environment. The Apc(Min<sup>+/−</sup>) mouse is a well-established animal model for human colon cancer [41,42]. The tissue samples were prepared flat on fused silica substrates (which emit a minimal background fluorescence), as shown in Figure 9 (a). During spectral acquisition, the Raman probe was brought into gentle contact with the tissue surface and, as with previously, 25 mW of laser power was delivered onto the tissue for an acquisition time of 5 s. Raman spectra were measured from several cancerous lesions as well as from visibly normal tissue, however, at this stage the aim was to identify diagnostically relevant tissue Raman peaks and not differentiate between benign and malignant tissue which is typically only feasible on large datasets using robust statistical analysis. Figure 9 (b) shows the averaged Raman spectra of tissue (red) collected from 8 tissue sites without any data processing applied. The FBG, shown in black, dominated the spectral profile with an additional broadband tissue-fluorescence contribution also apparent. Nonetheless, at least two significant Raman peaks were clearly distinguishable in the spectra, at approximately 1445  $\text{cm}^{-1}$  and 1660  $\text{cm}^{-1}$ . These peaks correspond primarily to  $\text{CH}_2$  bending (1400 - 1470  $\text{cm}^{-1}$ ) and the amide I  $\text{C}=\text{O}$  stretching band (1645 – 1665  $\text{cm}^{-1}$ ) [43] which are prominent molecular bonds found in organic matter. Studies have suggested that a ratiometric analysis of these Raman peaks might be used to differentiate malignant tissue from normal tissue [44].

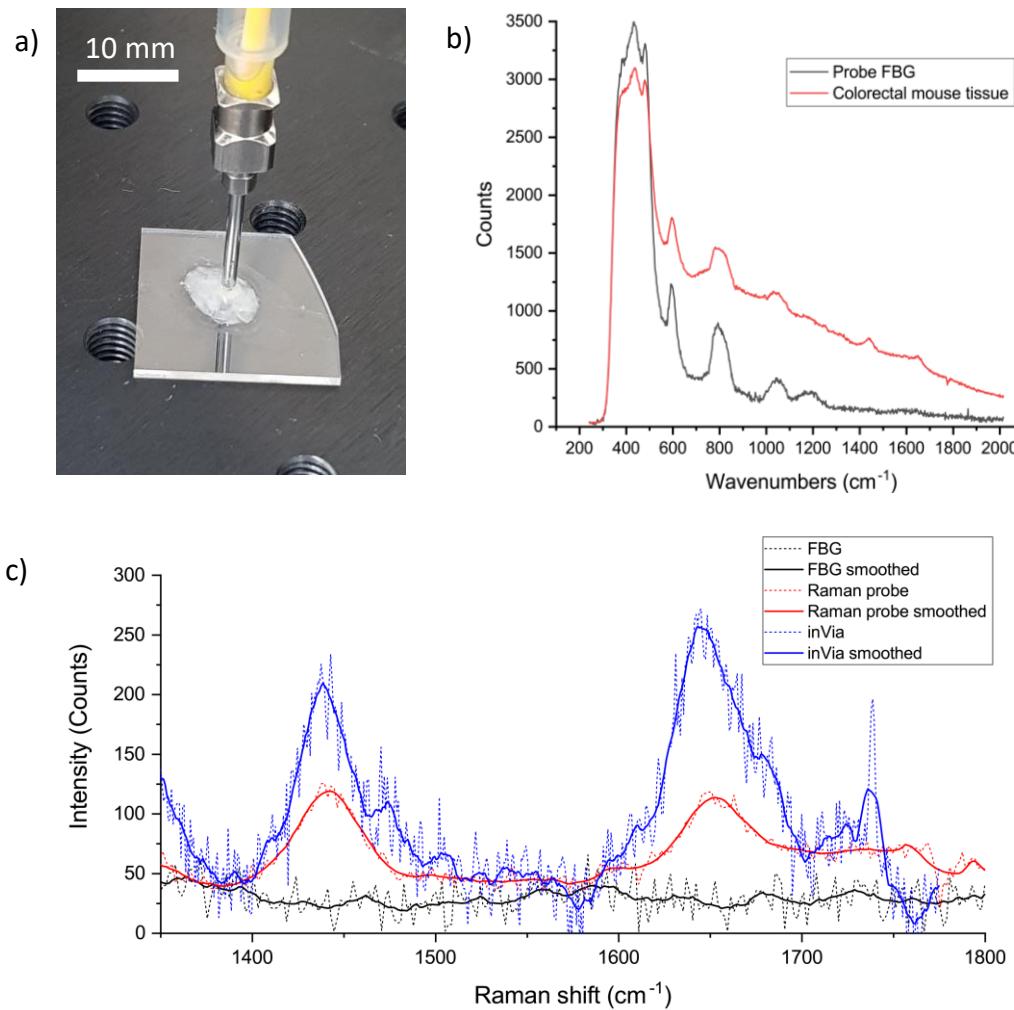


Figure 9: (a) A mouse intestine sample prepared on a fused silica substrate during spectral analysis with the Raman probe, embedded within the bore of a blunt needle, in light contact. (b) The average Raman spectra of eight tissue samples (red) and the FBG (black) measured with the probe during 5 s acquisitions. (c) A portion of the spectrum between 1300 and 1800  $\text{cm}^{-1}$  highlighting relevant tissue Raman peaks measured with the Raman probe (red) and compared with the same measurement performed with a commercial inVia Raman microscope (blue).

To qualify the performance of the Raman probe further, spectral measurements were performed on the same samples with a commercial Renishaw inVia Reflex Raman microscope for comparison. A quantitative comparison of the systems is not robust as each spectrometer sensor behaves very differently, however, it does give an indication of the fibre probe's potential beyond research. For comparative measurements, a 20 $\times$ , 0.4 NA objective lens was used alongside a 785 nm laser source. Note that the optical power delivered onto the sample when using the Raman microscope was 38.7 mW, compared with 25.0 mW used with the Raman probe. The acquisition time was set to 5 s as with previous measurements. The average of eight spectra is shown in Figure 9 (c) in blue alongside the spectra measured with the Raman probe in red, over the spectral range containing the two tissue peaks. For this plot, the spectra had moving-average baselines subtracted to reduce the contribution from fluorescence, and the spectra are displayed with and without 3rd order Savitsky-Golay smoothing (solid and dashed lines respectively).

The signal measured with the Raman probe was spectrally similar to that obtained with the inVia microscope, although noticeably less intense. The probe was designed to collect a signal from beneath the surface of the tissue whereas the microscope was focused on the tissue surface and so we might expect some reduction of the returned signal due to scattering within the tissue. Nonetheless, the results are promising and we expect the signal strength to be sufficient to differentiate tissue in a

clinical setting with some further optimisation; specifically with the coincidence of the excitation and collection foci.

The FBG in the measured tissue Raman spectra was more severe than for the liquid samples because tissue is more scattering and the underlying silica substrate was partially reflective and therefore more excitation light was returned into the collection fibres. There are several techniques described in literature that have been used to reduce the FBG and many of these are compatible with the Raman probe described here. Distal-end filtering may be used to “clean” the delivered pump light, block laser light from returning up the collection fibres, or both. Suitable filtering methods include incorporating miniaturised dielectric stacks into the probe housing [32,45,46], Bragg gratings [29,47,48] within the delivery fibre or probe bulk, hard-coating the optical fibre tips [28], background-free hollow core fibres, or time gating the collected signal to remove the background from the tissue signal temporally [49].

The manufacturing method described here is particularly suitable for fabricating the DOS components as it is highly repeatable, automated and offers integrated passive alignment capabilities. In order for the manufacture to be scaled up however, the fabrication time must be reduced from a few hours currently to tens of minutes per part. Fortunately, there are several advanced techniques that may be employed to reduce the inscription time. These include using shaped wavefronts such as Bessel beams to modify large surface areas in fewer laser passes [50,51], multispot arrays to write multiple components simultaneously [18,52] and high-speed laser writing with galvo scanning mirrors [53]. Improving the manufacturability remains an active area of interest which we aim to investigate in future research.

## 6. Conclusions

We have developed a miniaturised confocal Raman probe with a novel distal-end optical system and demonstrated that ultrafast laser assisted etching is the ideal manufacturing tool for such a system, with potential for large scale manufacture. The Raman probe had a collection efficiency of  $71.3 \pm 1.5\%$  over a large 0.8 NA and a confocal sampling region 300  $\mu\text{m}$  beyond the probe tip, coinciding with the depth at which pre-cancers are expected to form in the epidermis. The probe has a small 960  $\mu\text{m}$  form factor which allows it to be delivered within a standard 17-gauge hypodermic needle or flexible endoscopic instrument channel and is therefore suitable for deployment in several organs in the body. Passive optical fibre alignment to within  $\pm 0.83 \mu\text{m}$  was achieved using alignment slots laser written directly into the lens components. Microlenses with an optical quality surface finish were obtained, aided by a flame polishing technique to reduce etching roughness to  $\sim 2 \text{ nm}$ , thereby minimising the noise contribution from scattering.

Spectroscopic analysis was performed on common reference materials and highly distinguishable spectra were obtained after 5 s acquisitions with 25 mW of pump power at 785 nm. Proof-of-concept tissue spectral analysis of colorectal mouse tissue was also performed, and Raman peaks associated with CH<sub>2</sub> bending and stretching modes and the amide I band were identified. With further optimisation to reduce acquisition times and the implementation of distal-end filtering, we expect the probe to be well-suited to an in vivo environment to aid clinical decision making at point-of-care.

## 7. Patents

The authors have protected aspects of this work through the following patent applications:

CN110235036A; EP3574355A1; WO2018138490A1; US2019361174A1

**Author Contributions:** Conceptualization, C. A. R., B. S. and R. R. T.; methodology, C. A. R. D. G. M. and R. R. T.; software, C. A. R.; validation, C. A. R., R. J. B. (preparing tissue samples); formal analysis, C. A. R.; resources, probe development: B. S., N. W. and R. R. T., tissue provision: R. J. B., J. D. S.; data curation, C. A. R., D. G. M.; writing—original draft preparation, C. A. R.; writing—review and editing, C. A. R and R. R. T.; visualization, C. A. R.; supervision, R. R. T.; project administration, R. R. T.; funding acquisition, R. R. T. and B. S.

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