

1 **Area-based conservation in the 21st century**

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22 **Abstract**

23 Humanity will soon define a new era for nature – one that seeks to correct decades of
24 underwhelming responses to the global biodiversity crisis. Area-based conservation efforts,
25 which include both protected areas and other effective area-based conservation measures, are
26 likely to extend and diversify. But persistent shortfalls in ecological representation,
27 management effectiveness and measurable biodiversity outcomes diminish the potential role
28 of area-based conservation in stemming biodiversity loss. Here we show how protected area
29 expansion by governments since 2010 has had limited success in increasing biodiversity
30 coverage, and identify four emergent issues that –if addressed – will enhance the performance
31 of area-based conservation post-2020. We close with recommendations for a broad
32 biodiversity agenda that maximises the potential of area-based conservation. Parties to the
33 Convention on Biological Diversity must recognise that area-based conservation primarily
34 focuses on local threats to species and ecosystems, and needs enhanced emphasis on
35 biodiversity outcomes to better track and fund its contribution to global conservation efforts.

Introduction

Governments, policy makers and much of the conservation community have long heralded protected areas as a fundamental cornerstone of biodiversity conservation^{1,2} (Box 1). The importance of other effective area-based conservation measures (OECMs) is also beginning to be recognised³⁻⁷. OECMs were defined by the Convention on Biological Diversity (CBD) in 2018 as places outside the protected area estate that provide effective biodiversity conservation, such as some private conservation initiatives, water catchment areas and territories conserved by Indigenous peoples and local communities. Both protected areas and OECMs (collectively referred to herein as ‘area-based conservation’) are acknowledged in the 2030 Agenda for Sustainable Development⁸ and the CBD. In particular, the CBD’s current ten-year Strategic Plan for Biodiversity⁹ – agreed by 168 countries in 2010 – has an explicit target (Aichi Target 11) that calls for “at least 17 per cent of terrestrial and inland water areas and 10 per cent of coastal and marine areas, especially areas of particular importance for biodiversity and ecosystem services, are conserved through effectively and equitably managed, ecologically representative and well-connected systems of protected areas and OECMs, and integrated into the wider landscape and seascape” by 2020.

Since 2010, protected areas have expanded from covering 12.7% to 15.0% of global land and freshwater environments and from 1.6% to 7.8% of the marine realm¹⁰. While it is not yet possible to track their global extent systematically, OECMs have also expanded since 2010¹¹. Yet amongst the encouraging expansion of area-based conservation, there is growing concern that nations are paying less attention to the qualitative elements of Aichi Target 11 – such as the need for area-based conservation to be representative, connected and effectively managed¹²⁻¹⁷. Moreover, some long-standing issues, including poor resourcing and

governance and lack of management effectiveness, continue to compromise the ability of protected areas to conserve biodiversity and ecosystems¹⁸⁻²⁴. As a consequence, there is a risk that humanity could meet the areal components of Aichi Target 11 but fail to deliver on the overall strategic goal for which it was established - to “improve the status of biodiversity by safeguarding ecosystems, species, and genetic diversity”⁹.

A post-2020 Global Biodiversity Framework will be agreed at the fifteenth Conference of the Parties to the CBD in China in 2020. This new strategic plan will likely be humanity’s last chance to halt global biodiversity loss¹⁰. The urgency to act has emboldened calls for a substantial expansion of area-based conservation globally²⁵⁻²⁸ and fundamental changes in how environmental targets are framed and implemented^{18,23,29-31}. It is therefore timely to assess the achievements and failures of national area-based conservation efforts over the past decade and place these findings within the wider context of the global biodiversity crisis. We then identify emergent issues that will influence area-based conservation policy and performance post-2020 and conclude with views on how the targeting and tracking of area-based conservation must be redefined to ensure it remain relevant in the 21st century.

The performance of protected areas since 2010

It is clear that Aichi Target 11 has encouraged some nations to expand area-based conservation efforts, particularly in the marine realm. Australia, Gabon and Brazil for instance have all grown their protected area estates by >20% since 2010 (Fig. 1). Here, we review how the recent expansion of protected areas globally has affected the qualitative components of Target 11. Where possible, we also provide an up to date temporal analysis

(between 2010 to 2019) on the performance of protected areas against the qualitative components of Target 11 (Fig. 2). We omit reference to OECMs in this section as a database showing the global extent of these sites is not yet available¹¹ (see Supplementary Methods for details of calculations).

Protected areas being “ecologically representative”

The concept of being “ecologically representative” has been interpreted as the coverage of species or ecoregions (areas containing geographically distinct assemblages of species^{32,33}), especially those that threatened with extinction^{13,16,32,34-37}. Most evidence to date suggests significant shortfalls in either approach. For species, Butchart and colleagues¹⁶ showed that less than half of all known mammals, amphibians, lobsters, crayfish, mangroves, seagrasses, bony fishes, cartilaginous fishes and threatened birds had a sufficient proportion of their distributions covered by protected areas in 2013. An additional analysis showed that coverage of migratory bird species was particularly poor, with only 9% having their migratory flyway adequately protected³⁸ in 2013. The global marine protected area estate also had noticeable coverage shortfalls for mammalian species diversity (62% covered), taxonomic diversity (74% covered), functional diversity (78% covered) and threatened species (37% covered) in 2016³⁹.

We re-analysed how expansion of the global protected area estate between 2010 and 2019 affected coverage of 4,854 vertebrate species listed as Vulnerable, Endangered or Critically Endangered (herein ‘threatened’ species) on the IUCN Red List⁴⁰ (Figure 2). Eighty-two (1.7%) of these species gained some level of protection for the first time in the last decade, and 87.8% (n = 4,264) of all species assessed had some portion of their geographic range

protected by 2019 (a change from 85.0% in 2010). While the majority of species assessed had some level of protection, only one-quarter had adequate representation in the global protected area estate in 2019 (from 23.7% in 2010) (representation targets for individual species were set according to their geographic range^{16,35,36}; see Supplementary Methods for details of calculations).

Seventy-four threatened species had their representation target met between 2010 and 2019, and 43.2% (n = 16) of threatened marine mammal species received adequate representation in the global protected area estate in the last decade. However, 74.8% of threatened birds, amphibians and terrestrial mammals were still inadequately protected as of 2019, and 605 threatened species remain without any representation at all (Table S1). In the last decade, the proportion of species with adequate protection grew by only three percent for birds (to 34.5%; n = 462 to 499) and just one percent for amphibians (to 13.0%; n = 255 to 276) and terrestrial mammals (to 36.9%; n = 406 to 423), suggesting that the vast majority of new protected areas have not been established in locations that would safeguard species that had poor levels of protection in 2010^{13,14}. Importantly, these coverage shortfalls are not solely driven by antecedent placement of protected areas – terrestrial and marine protected areas established post-2010 do not appear to have targeted species at risk of extinction^{14,41,42}.

To assess coverage of ecoregions, we followed previous studies^{16,34,37} that contrasted coverage of ecoregions against targets of 17% protection for terrestrial ecoregions and 10% for coastal and shelf marine ecoregions or off-shelf pelagic regions. We found that 40.4% (n = 351) of ecoregions were at least 17% protected in 2019 (from 36.4% in 2010), and 38 ecoregions transitioned from zero to at least 17% coverage over the last decade (Figure 2; Table S2). We also found that over one-fifth (21.5%) of land protected since 2010 covered

tropical and subtropical grassland ecoregions (Table S3) – a critically endangered biome³² - while 34.4% of land protected since 2010 covered dry or desert ecoregions, which are relatively species poor and over-represented³². In 2019, 128 ecoregions (15.1%) remain <2% protected (Table S2). Tropical and subtropical dry broadleaf forest ecoregions, in particular, remain chronically under-protected (<1% of new land protected covered this biome in the last decade) given their high biodiversity and rapid rate of habitat loss over the last two decades^{32,43}.

We also assessed coverage of marine ecoregions³³ and off-shelf pelagic regions⁴⁴ between 2010 and 2019. The number of marine ecoregions with $\geq 10\%$ coverage increased substantially to 56.5% (n = 101) over the past decade (from 38.8% in 2010), with much of this growth occurring over ecoregions within the Southern Ocean (0.6 million km²; 3.9% of all new marine protected area). However, marine protected area expansion could have been more strategic –the number marine ecoregions with $\geq 10\%$ coverage for every hectare of ocean protected has declined since 2010 (Figure 2). Coverage in off-shelf pelagic regions remain much lower on average, despite 89.0% (13.6 million km²) of new marine protected area targeting these regions since 2010. Eleven percent (n = 4) of off-shelf pelagic regions are now $\geq 10\%$ protected (from 2.7% in 2010). Much of this new coverage focused on remote Antarctic waters, not areas in the high seas where marine biodiversity faces elevated human pressures⁴¹.

145 *Protected areas covering “areas of particular importance for biodiversity and ecosystem*
146 *services”*

147 The Key Biodiversity Area (KBA) approach⁴⁵ offers a global standard for identifying
148 marine, terrestrial and freshwater sites that contribute significantly to the global persistence
149 of biodiversity. Over 15,000 KBAs have been identified, and host nations are encouraged to
150 ensure that these sites are managed in ways that ensure the persistence of their key
151 biodiversity elements, although this does not necessarily mean inclusion within a protected
152 area⁴⁵. The 2018 Protected Planet report³⁴ found that, between 2010 and 2018, average
153 coverage of marine KBAs increased from 5.0 to 15.9%. This report also found average
154 coverage was greater, but advanced at a much slower rate, for terrestrial (43.3% to 46.6%)
155 and freshwater (41.1% to 43.5%) KBAs³⁴. Our analysis showed similar coverage estimates
156 for terrestrial and freshwater KBAs but higher estimates of average coverage of marine
157 KBAs (20.8% protected on average by 2019) (Figure 2).

158 Wilderness areas are ecologically intact land and seascapes that are predominantly free of
159 human-driven biophysical disturbance^{46,47}. They underpin planetary life-support systems⁴⁸
160 and are critical for the long-term persistence of imperilled species⁴⁹, especially in a time of
161 climate change⁵⁰. Only 4.9% of marine wilderness areas were protected in 2017⁴⁶, and
162 protected areas established between 1990 and 2015 covered just 8.3% of terrestrial
163 wilderness areas⁵¹. When compared to these previous studies, our analysis shows coverage
164 for marine wilderness areas has increased in recent years (8.5% protected in 2019 versus
165 2.0% protected in 2010). This is despite marine wilderness areas often being in international
166 waters, making protected area establishment complicated from a legal perspective. Our
167 analysis also shows that the consideration of protected areas established pre-1990 and post-

2015 nearly trebles previous estimates of terrestrial wilderness coverage (22.1% protected by 2019) (Figure 2).

Aichi Target 11 further requires protected areas to conserve “areas of particular importance for [...] ecosystem services”. Among the multitude of services that healthy, functioning ecosystems provide^{10,52}, our ability to track coverage of carbon storage and sequestration is arguably the most advanced. Previous assessments of the amount of carbon stored in protected areas have been confined to national or regional scales^{34,53}. We used a new global dataset (Soto-Navarro, C. *et al.* pers. comm; see Supplementary Information) to track coverage of biomass and soil carbon over the last decade. In 2010, 22.6% (94.4 gigatonnes) of the world’s biomass carbon was inside protected areas. By 2019, this proportion had increased only slightly to 23.7% (99.0 gigatonnes), indicating that post-2010 terrestrial protected areas captured less carbon per hectare than those established pre-2010 (Figure 2). Protected areas hold a lower proportion of soil carbon, ranging from 13.9% (379.9 gigatonnes) in 2010 to 14.6% (400.5 gigatonnes) in 2019. Large unprotected repositories of soil carbon are prevalent across north-east North America, Russia and south-east Asia (Figure S1).

Incomplete mapping of other ecosystem services, including those pertaining to provision (e.g. fisheries, timber), regulation (e.g. coastal armouring, soil stabilisation) and culture (e.g. education, recreation), makes it difficult to track their coverage. The expansion of OECMs will be driven largely by ecosystem services, such as those relating to climate stabilisation and watershed protection, necessitating better understanding and documentation of these values. However, 44% of coral reef tourism value and 20% of coral reef coastal protection in 2018 depended on protected areas³⁴. The global protected area estate also holds

approximately 29% of all coral reef fisheries biomass and contributes to 31% of all mangrove fishery catch³⁴. Moreover, nearly two-thirds of the global population is living downstream of the world's protected areas as potential users of freshwater provisioned by these areas⁵⁴.

Protected areas being “well connected” and “integrated into the wider landscape and seascape”

Well-connected ecosystems are critical for maintaining important ecological and evolutionary processes, including species migration, gene flow and range shifts, especially when species face rapid climatic and environmental changes^{55,56}. In 2016, only 30% of terrestrial ecoregions were at least 17% covered by protected areas that were theoretically reachable by species⁵⁷. At the global scale, the percentage of connected terrestrial protected areas increased from 6.5% to 8.1% between 2010 and 2014, before decreasing 7.7% in 2018⁵⁸. These assessments did not account for the permeability of unprotected land between protected areas, but show how the global protected area system is becoming increasingly fragmented, akin to land and seascapes generally^{59,60}.

Connectivity is an important consideration for marine protected areas because, among other reasons, it helps to maintain networks of local populations⁶¹ and to replenish fish populations on fished reefs⁶². High levels of connectivity also correspond to areas of enriched coral diversity⁶³. Marine protected areas should therefore accommodate movement patterns among habitats that are critical to the life history of marine species (e.g. home ranges, nursery grounds, migration corridors, spawning aggregations)⁶⁴. There have been no global-scale assessments of connectivity among marine or freshwater protected areas, but regional-scale studies show them to have limited connectivity for species with a dispersive larval stage⁶⁵.

Protected area management effectiveness

Citizen science, earth observation technology and assessments of individual area-based conservation efforts have all advanced over the last decade⁶⁶⁻⁶⁸. Studies that intersect these data with networks of protected areas (so as to assess their effectiveness) show that, on average, protected areas slow but fail to completely halt human pressures and biodiversity loss within their borders⁶⁹⁻⁷³.

A recent assessment shows that over 12,000 protected areas established prior to 1995 were, on average, ineffective at reducing human pressures inside their borders between 1995 and 2010⁷⁴. An earlier study found that one-third of all protected land was under intense human pressure at the start of this decade⁷³. This study by Jones and colleagues also found that human pressure had increased in 55% of protected areas between 1993 and 2009⁷³. As for marine protected areas, 94% of those created before 2014 permit fishing activities⁷⁵ – a key driver of poor marine protected areas effectiveness⁷⁶. Moreover, marine protected areas that prohibit the taking of living or dead natural resources (i.e. no-take marine reserves) are subject to illegal fishing activities⁷⁷. There is, however, clear evidence that many protected areas do effectively abate human pressures. For example, marine protected areas reduce fishing vessel traffic⁷⁸ and the negative effects of some non-native species⁷⁹. Terrestrial protected areas have been found to reduce rates of deforestation and forest degradation below those observed in nearby unprotected areas^{80,81}, and to reduce increases in human pressure in wilderness areas⁸². Protected areas established and managed by Indigenous people are particularly effective at avoiding deforestation in regions with high deforestation pressure⁸³. By lowering rates of deforestation inside their borders, tropical protected areas reduced carbon emissions from land use change by around 29% (4.88 gigatonnes) between 2000 and

2012⁸⁴. Moreover, 54.8% of studies that assess their role in reducing deforestation show protected areas also reduce deforestation rates in surrounding, unprotected lands, whereas 11.8% show protected area establishment increases deforestation in surroundings areas⁸⁵.

In terms of biodiversity outcomes, several studies have reported beneficial effects of protected areas on species abundance and diversity^{72,86,87}. For example, a study of 447 terrestrial protected areas showed them to be effectively maintaining populations of birds and mammals within their boundaries⁸⁸. Another study showed bird and mammal populations between 2007 and 2014 increased in abundance (17% of cases) or exhibited no change (22% of cases) within 15 protected areas (22 % of populations declined while 39% were detected too infrequently to assess occupancy changes)⁸⁹. A review of 218 marine protected areas found that, on average, fish biomass is nearly double inside protected areas than in matched non-protected areas²¹. Marine protected areas also promote the recovery of fish populations^{90,91}. No-take marine reserves, in particular, result in significant increases in species richness, density and biomass in both tropical and temperate systems^{21,92-94}, as well as being effective at restoring trophic function^{95,96} and lowering levels of coral disease⁹⁷.

Equitable management in protected areas

Some nations have made clear commitments – beyond those stipulated in Aichi Target 11 - to improve protected area management equity⁹⁸. Yet few studies have scrutinised the outcomes of such commitments because there is substantial variability in how equitable management is defined and assessed for protected areas^{99,100}. There is evidence showing protected areas that integrate local people as stakeholders often result in higher conservation and socioeconomic outcomes^{101,102}. For example, some community-managed terrestrial protected areas are more

effective than nationally-designated protected areas at reducing deforestation pressures in Brazil, Namibia and Australia^{83,103}, and community-managed marine protected areas that are long-established and well-enforced enhance economic and social well-being^{104,105}. Furthermore, a recent review of eleven countries across four continents showed terrestrial protected areas do not impinge on efforts to alleviate poverty¹⁰⁶. However, limited data on the location and prevalence of equitably managed protected areas currently precludes a more complete understanding of their social and biodiversity outcomes^{21,107}.

Emerging issues for area-based conservation

Our analyses reveal that countries will almost certainly fall short on achieving the quality components of Aichi Target 11³⁴. The continued expansion of area-based conservation without addressing these shortfalls will lead to poor outcomes for biodiversity. We argue that at least four emergent issues must be considered and acted upon by governments, scientists, policy makers and other stakeholders to address current failings of area-based conservation in the coming decade.

The expanding opportunities for area-based conservation

Opportunities to enhance land and water management practices that help to conserve biodiversity are expanding. Private conservation initiatives and Indigenous and community conserved areas (ICCAs) can be formally recognised as protected areas or “other effective area-based conservation measures” (OECMs), or achieve recognition on their own terms. Yet until recently, ICCAs and private conservation initiatives have been overlooked in national conservation policies, strategies and reports⁴. Indigenous Peoples manage or have tenure

rights over at least 37% of all remaining intact landscapes on Earth³, and ICCAs have been in existence since humans began to govern and manage landscapes and seascapes purposefully¹⁰⁸. A recent study by Schuster and colleagues showed indigenous managed lands support higher concentrations of biodiversity than formal protected areas¹⁰⁹, which exemplify the critical role that ICCAs can play in global biodiversity conservation efforts and the importance of working with Indigenous Peoples to increase ICCA coverage in their territories. Private conservation initiatives too have been established in various forms for well over a century⁴, and in some regions out-number nationally-designated protected areas¹¹⁰. A recent assessment of South Africa's Cape Floristic region showed 25% of large carnivore species and 22% of mesoherbivores (50-500 kilograms in weight) could only be supported in areas that were at least partly privately managed¹¹¹.

Wider recognition of private conservation and ICCAs are likely to drive rapid expansion in the global protected area and OECM networks over the coming years. Recognition of OECMs is no mere formality (Box 1) as they have stricter benchmarks for biodiversity conservation than many nationally-recognised protected areas, and by formalising their role, owners recognise an obligation to biodiversity conservation and undergo public scrutiny of their management practices⁵. The wider recognition of OECMs could help address at least four current shortfalls of the global protected area estate. First, Indigenous and community sites could address management equity shortfalls because they are managed by and for the benefit of a diverse set of actors. More diverse management and siting arrangements should also help these areas to address current shortfalls in ecological representation and coverage of important areas for biodiversity. Over 76% of unprotected KBAs were at least partly covered by one or more potential OECMs in 2018⁶, and compared to protected areas with government

ownership, OECMs may prove to be more socially acceptable in productive land and seascapes^{112,113} and thus enhance coverage of poorly protected species^{13,14}. Finally, OECMs could enhance the connectivity of protected area networks, helping them to become better integrated within wider landscapes and seascapes¹¹³. Recognising and enhancing OECMs in inshore marine habitat, farmlands and managed forests will be particularly valuable in this regard, provided species composition and ecological functions can be restored in such areas¹¹⁴.

Expanding the conservation estate provides an opportunity to make meaningful contributions to global biodiversity conservation efforts if new sites can overcome issues currently faced by many protected areas, including inadequate resourcing and monitoring. A switch to a broader interpretation of area-based conservation will also require major changes in how governments and conservation actors uphold human rights and social safeguards, particularly on Indigenous and community areas. It will mean, for example, governments, conservation actors, Indigenous people and private entities working together towards shared goals as equal partners. Conservation outside government-run protected areas may enhance opportunities for private financing of biodiversity conservation, but clear operating procedures will be needed to ensure that involvement from private industry does not compromise the integrity of conservation management¹¹⁵. More generally, efforts must be made to ensure the whole conservation estate contributes substantively to biodiversity conservation globally. National governments cannot, for example, be permitted to view OECMs as a cheap and easy way to reach areal components of global area-based conservation targets, without due assessment of how they deliver positive and sustained biodiversity outcomes²³.

326 *The increasing dynamism of Earth's protected area estate*

327 Throughout much of the 20th century, protected areas were considered permanent features of
328 land and seascapes - legally protected in perpetuity. Today, protected areas face an
329 increasingly uncertain future due to poor management by governments. A recent analysis
330 showed that while 2.5 million km² of land and sea were added to the global protected area
331 estate annually between 2006 and 2018, around 1.1 million km² were recorded as removed
332 each year¹¹⁶. While many areal changes were bureaucratic in origin (e.g. changed data
333 sharing policies), some of this loss can be attributed to an increase in protected area
334 downgrading, downsizing, and degazettement (PADDD) events. Over 1,500 PADDD events
335 affected over one-third of Australia's protected area network (416,740 km²) between 1997
336 and 2014¹¹⁷. Qin and colleagues¹¹⁸ also found 23 PADDD events that have affected
337 UNESCO World Natural Heritage Sites – protected areas with “outstanding universal value”,
338 meaning they are so exceptional as to transcend national boundaries and are important for
339 present and future generations of all humanity (e.g. Virunga, Serengeti and Yosemite
340 National Parks).

341 Official justifications for PADDD events are rarely made transparent, but most (62%) appear
342 to be associated with activities that are in stark conflict with protected areas objectives²,
343 including industrial-scale resource extraction and infrastructure development¹¹⁹. Moreover,
344 only 5% of PADDD events are ever partially or fully reversed¹²⁰. PADDD events can also
345 accelerate forest loss and fragmentation¹²¹ and may restrict dispersal and migration of wide
346 ranging species¹²². Many PADDD events are also going undocumented, particularly in
347 marine systems¹²³ and in privately protected areas¹¹⁰. Their poor documentation makes it

difficult to assess risk of future PADDD events and how they will affect the quality of protected area networks, or their capacity to conserve biodiversity in perpetuity.

It is important for any review of area-based conservation targets to account for protected area dynamics, particularly if they result in backsliding on commitments to biodiversity. However, dynamism could signal attempts to expand or enhance protected areas, either through improved resourcing and management^{124,125}, or by enacting more conservation-focused regulation^{126,127}. Alongside clear guidelines for documenting PADDD events, there is therefore a clear need to develop a separate protocol that can track and incentivise the continuum of changes to protected areas that can improve their ability to conserve biodiversity. We suggest that such changes be characterised collectively as protected area gazettment, expansion and enhancement (PAGEE). Clear, transparent tracking around both PAGEE and PADDD events will ensure nations address, and not exacerbate, current shortfalls in protected area networks globally.

The need for more adequate measures of effectiveness

Numerous approaches have been used to track the capacity of area-based conservation to abate human pressures and maintain biodiversity (Table 1). All these approaches have merit, but the conservation community remains too reliant on measures of effectiveness that are coarse or do not capture biodiversity outcomes of area-based conservation. For example, the Global Database on Protected Area Management Effectiveness (GD-PAME) provides useful information on the intention and means (including funding) of protected areas, but the majority of GD-PAME methodologies collect very limited quantitative information on how species and ecosystems have responded to protected area management activities⁶⁸. The most

used GD-PAME methodology – the Management Effectiveness Tracking Tool (METT) – was not developed to assess a detailed evaluation of biodiversity outcomes¹²⁸ and cannot therefore be used to measure the state of biodiversity in protected areas.

High resolution maps of ecological change across land and seascapes, including forest cover change¹²⁹ and changes in cumulative human pressure^{130,131}, have helped to advance some measures of effectiveness for area-based conservation. For example, changes in cumulative human pressure, when available at relatively fine spatial resolution (1km²) and available for multiple time steps¹³⁰, are significantly correlated with trends in species risk of extinction¹³². However, ecological changes across land and seascapes do not always explain local biodiversity patterns¹³³. Moreover, the temporal resolution of cumulative human pressure mapping lags behind that of forest cover mapping efforts and some maps of human pressure are at spatial resolutions (e.g. 77sqkm¹³¹) that preclude assessments of many small, but crucially important¹³⁴, protected areas. Coarse measures of effectiveness also arise when only a subset of biodiversity threats is represented in mapping efforts. Threats such as invasive species and hunting pressure are not directly captured in any global maps of cumulative pressure, despite being among the major drivers of biodiversity loss globally¹³⁵.

There are substantial practical challenges to assessing area-based conservation effectiveness more precisely and accurately¹³⁶. Assessing the local-scale population response of a threatened species to environmental change, for example, in most cases requires long-term field monitoring data. New global-scale citizen science initiatives are rapidly expanding the coverage and increasing the frequency of biodiversity data across the planet⁶⁷, and will play a crucial role in improving measures of area-based conservation effectiveness. Measures that combine near-real-time change in human pressures with on-ground reporting of management

capacity and biodiversity trends, will also enable area-based conservation outcomes to be reported with much greater accuracy and reliability. Studies of area-based conservation effectiveness could also make better use of scenario analysis and models to generate more predictive measures of outcomes¹³⁷ and account for uncertainty in composite indicators of environmental condition¹³⁸.

Resourcing shortfalls in area-based conservation

Among the most cited reasons for the poor performance of area-based conservation is a lack of resourcing (or related issues such as weak enforcement⁷⁷ or inadequate staff capacity²¹). Studies undertaken nearly two decades ago estimated a shortfall of \$1-1.7 billion per year just to manage existing protected areas^{139,140}. More recently, an assessment of more than 2,000 protected areas (representing ~23% of the global terrestrial protected area estate by area) found 47% (48% by area) suffer from inadequate staff and budget resources, with inadequate resourcing particularly pronounced in the Neotropics²². Staff and budget capacity shortfalls are also prevalent in the marine realm, and help to explain why many marine protected areas are ineffective or have inequitable management processes²¹.

Compounding resource shortfalls at existing sites are the costs associated with expanding area-based conservation efforts. One estimate suggests that the costs of covering all unprotected and partially protected Important Bird Areas (the avian subset of KBAs) would cost \$50.7 billion annually, combined with a further \$7.11 billion per annum for managing these sites¹⁴¹. Current and future resourcing needs could be met if the contribution of area-based conservation to national economies was fairly recognised. Recent estimates place the direct value generated by visits to protected areas at \$600 billion USD, and the indirect value

from consumer surplus (the net value to visitors from their visit, above what they actually paid) at a further \$250 billion annually¹⁴². However, there remain issues with how funding for area-based conservation is generated, retained and reinvested¹⁴³. An emerging approach to financing area-based conservation includes funding from private industry, who in many countries are legally required to offset biodiversity impacts of development projects¹⁴⁴. Yet this approach risks simply displacing, rather than supplementing current funding for biodiversity conservation¹⁴⁵. There is therefore an urgent need for more transparent tracking of how private industry operations contribute (positively or negatively) to strategic goals for biodiversity¹⁴⁶.

Future-proofing area-based conservation

For all the achievements of area-based conservation, biodiversity is still declining globally at rates unprecedented in human history¹⁰. Humanity must transform how species and ecosystems are conserved¹⁰. In this final section, we define what this transformation could entail and how area-based conservation could play a role within it. This role recognises that area-based conservation acts primarily on local threats to species and ecosystems, and that clear conservation objectives, defined in terms of biodiversity outcomes, are needed to better track and fund the contribution of area-based conservation to global conservation efforts.

Placing area-based conservation within a broader conservation agenda

No matter how well-sited, resourced or managed, area-based conservation can only act on a subset of drivers of, and pressures contributing to, global biodiversity loss (Figure 3). For example, expecting protected areas to maintain genetic diversity, abundant populations and

functional ecosystems, as well as contributing to sustainable management of agriculture and forestry, is likely asking too much. First and foremost protected areas must conserve biodiversity². Many will also conserve ecosystem services and vulnerable human societies, but a large proportion of these wider societal goals will be met by OECMs and other forms of sympathetic land and water management. To stem the global loss of biodiversity, however, it is now clear that effective area-based conservation must be met with transformative change across all sectors of society^{10,147}. Good siting, resourcing and management are themselves dependent on external socio-economic factors, including local and national governance, regulation of natural resource extraction and consumption and other underlying drivers of anthropogenic impacts on the environment^{14,148}. We therefore need a new, bold environmental agenda that will make biodiversity conservation mainstream.

Most countries show glimpses of mainstreaming biodiversity conservation across sectors of society. For example, the Chinese government's "Ecological Red Lines" strategy involves identifying areas that require strict protection within planned development footprints to improve ecological functions and to ensure the sustainable supply of ecological goods and services¹⁴⁹. In Portugal, the legally binding "National Ecological Reserve" aims to retain areas of ecological value or defend areas that are susceptible to natural hazards¹⁵⁰. And in Brazil, the 2001 Forest Code stipulated that private landowners in the Amazon biome should conserve 80% of their property in native vegetation, and 20% if located in other biomes¹⁵¹.

While encouraging, existing efforts to integrate biodiversity into broader land and sea planning frameworks have major limitations. A number of these efforts have been criticised for their superficial integration of biodiversity¹³⁶, or for containing loopholes that lead to perverse environmental outcomes¹⁵². Environmental safeguards that exist in broad planning

frameworks also remain largely subservient to economic development¹⁵³ and are subject to frequent policy changes, which create uncertainty in the minds of land and sea managers^{151,154,155}. As a result, many national biodiversity conservation strategies rely almost entirely on networks of protected areas – made evident by nations making progress toward achieving Aichi Target 11, but little or no progress towards the other Aichi targets, such as preventing species extinctions¹⁴⁷. This is despite biodiversity conservation underpinning many of the Sustainable Development Goals⁸.

Area-based conservation must be recognised as *one* essential solution to conservation problems, but not the *only* solution. The conservation community must elevate the importance of other interventions that work in concert with area-based conservation, such as payments for ecosystem services policies, carbon pricing schemes, legislation that limits industrial encroachment on lands and seas deemed important for biodiversity or ecosystem services, the coordination of restoration efforts on degraded land, coasts and seas, eliminating subsidies for activities harmful to biodiversity, and human development programs aimed at incorporating traditional knowledge and reducing inequalities (Figure 3). Getting these interventions right is just as important for biodiversity globally as addressing shortfalls of area-based conservation, and much can be learnt from what made combinations of conservation interventions successful in the past (e.g. Costa Rica greatly reducing deforestation rates with a suite of cross-sectoral interventions¹⁵⁶). Integrating biodiversity more effectively into other conservation interventions would both reduce unrealistic expectations from area-based conservation and provide more effective landscape and seascape-scale responses.

Rethinking target formulation and evaluation of area-based conservation

Once their role in a broad conservation agenda has been defined, post-2020 targets for area-based conservation will need to be formulated³⁰. Any such targets will need to recognise that individual protected areas and OECMs are not created equal, and what is required for these areas to be effective is complex - including elements of governance, management and ecological design. As such, we suggest that nations and – when appropriate – other management bodies be required under the CBD to better define, collate and publish the objectives of individual protected areas and OECMs. It will then be possible to assess how individual sites tracking toward their stated objectives, and how area-based conservation contributes to broader national and international biodiversity conservation strategies. Existing repositories (e.g. GD-PAME⁶⁸; World Database on Protected Areas¹⁵⁷; National Biodiversity Strategies and Action Plans) could be augmented to house these objectives, provided the repositories receive sufficient funding and resources.

An objective for a protected area or OECM should reflect the responsibility these sites have for species and ecosystems of conservation concern and their potential to maintain or restore them to a favourable conservation status²³. Progress towards these objectives should constitute an integral part of area-based conservation effectiveness reports and could be aggregated taxonomically or geographically to assess progress towards broader biodiversity goals^{23,158}. A new standard for recognising protected areas or OECMs that deliver meaningful outcomes for biodiversity – the IUCN Green List of Protected and Conserved Areas¹⁵⁹ – could energise progress toward stated objectives, provided the standard is used for systematic assessments and to build capacity in under-performing sites, rather than a mechanism to identify the best area-based conservation efforts. Nations could be further encouraged if area-

based conservation targets would only be considered achieved if its appropriate contribution to reaching broader biodiversity goals could be clearly demonstrated.

Conclusions

Area-based conservation will remain the cornerstone of conservation long into the 21st century. But governments have dramatically underinvested in protected areas and OECMs and been weak in legally protecting them. In addition to correcting these shortfalls, humanity needs to do more by making biodiversity conservation part of all aspects of life. Governments must define a new, bold era for nature that will make biodiversity conservation mainstream. We then need to invest more resources and intellectual energy in consolidating area-based conservation efforts and ensuring biodiversity conservation is a far stronger part of managed land and seas.

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524 Display items

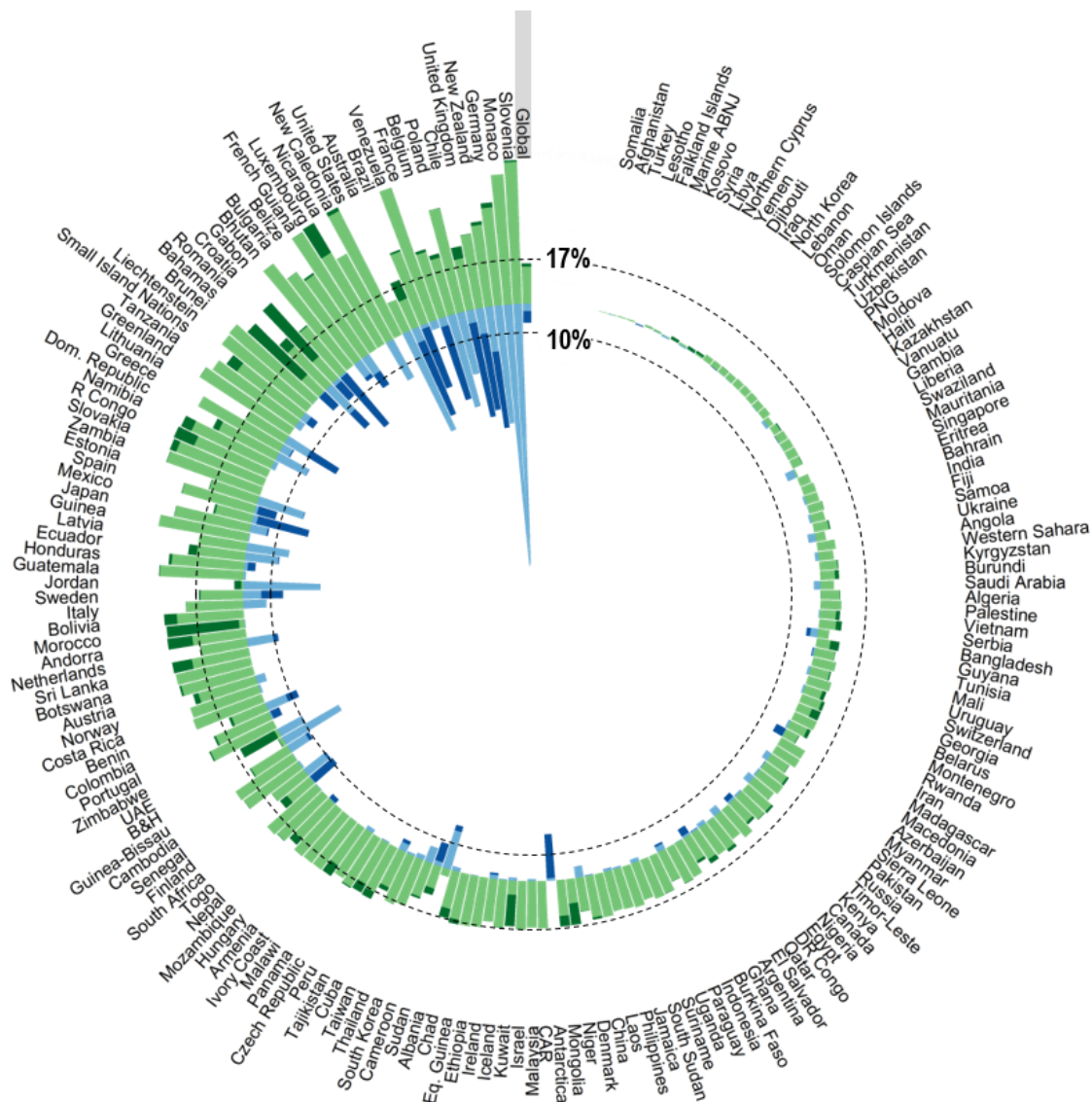


Fig. 1. Areal coverage (%) of marine (blue bars) and terrestrial (green bars) protected areas on Earth. For each country of group of countries, protected areas coverage in 2010 (lighter bars) is made distinct from growth in coverage by 2019 (darker bars). Progress toward a globally agreed target - to have 17% of land and inland waters and 10% of coastal and marine areas to protected by 2020 (black dashed circles) - is promising but incomplete.

25

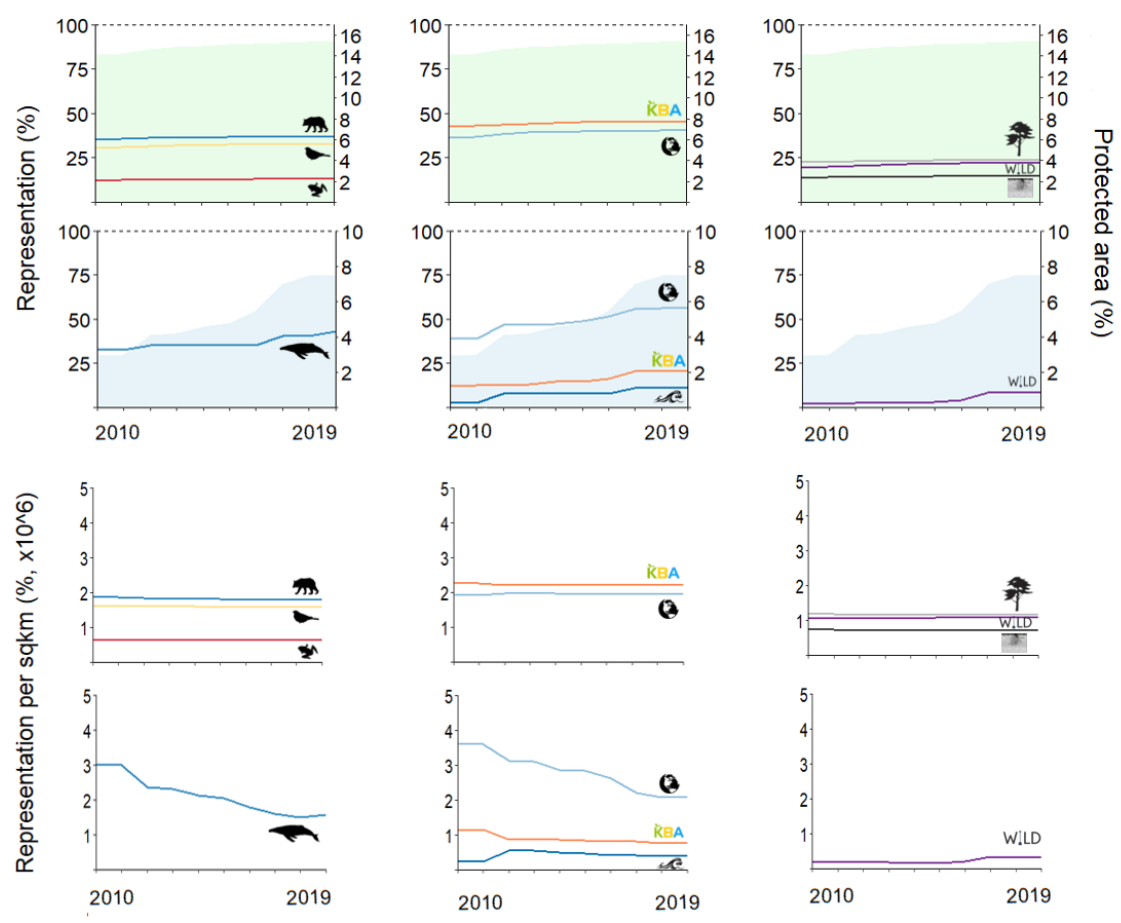


Fig. 2. Representation of biodiversity and ecosystem service values within the global protected area estate between 2000 and 2019. The top panel shows average representation of values as terrestrial (green shading) and marine (blue shading) protected area estates near 17% and 10% of global land and sea surfaces, respectively. The bottom panel shows representation of values per area of land or sea protected. For threatened vertebrate species (left-hand plots), trend lines show the proportion of species within each taxonomic group that have had their individual representation targets met (these targets are proportional to species' geographic range). Trend lines for ecoregions (planet icon; centre plots) and off-shelf pelagic regions (wave icon; centre plots) show the proportion these features that are at least 17% protected (for terrestrial ecoregions) or at least 10% protected (for marine ecoregions or off-shelf pelagic regions). Trend lines for all other features, including Key Biodiversity Areas (KBA icon; centre plots), wilderness areas (wild icon; right-hand plots), biomass carbon (tree icon; right-hand plots) and soil carbon (soil icon; right-hand plots) represent global averages.



























































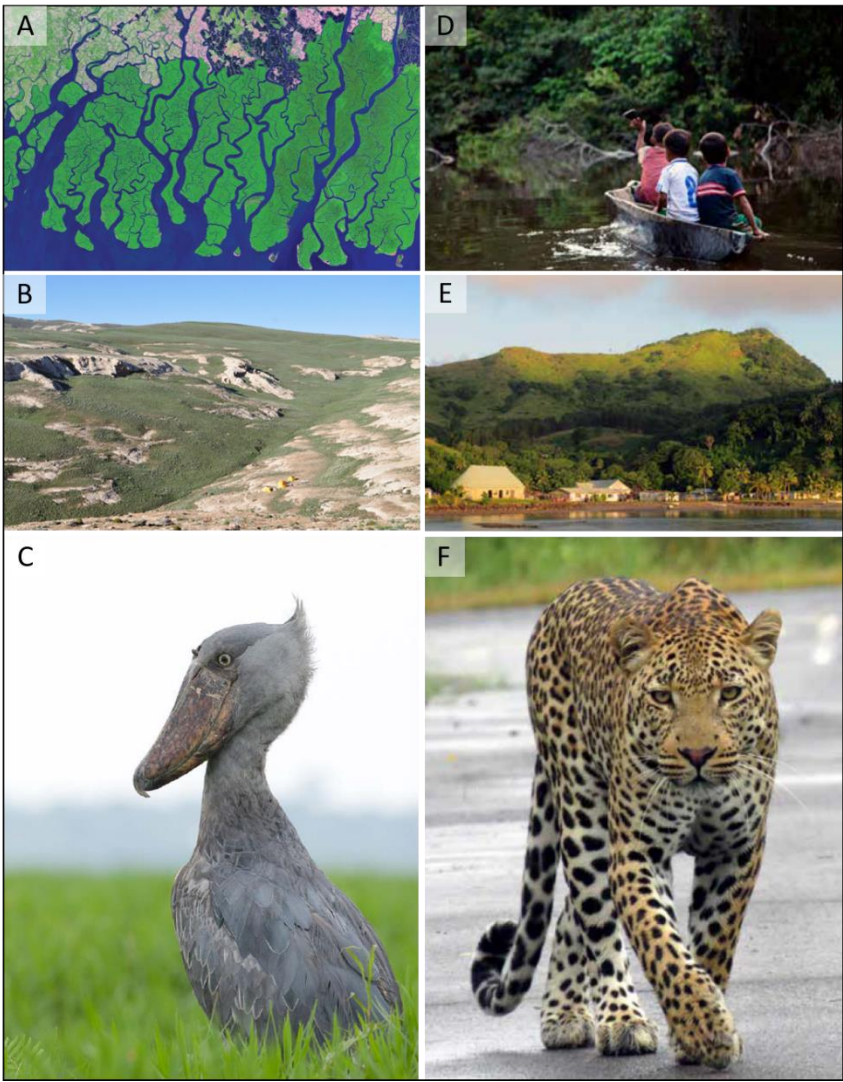
| Broad environmental agenda aimed at making biodiversity conservation mainstream | | | | | | | | |
|---|---|------------------------------------|---|---|---|--|---|---|
| | | | Area-based conservation | Ecosystem service policies | Restoration on degraded land, coast and sea | Programs for sustainable and equitable management | National biodiversity action plans | Programs for enhancing corporate knowledge and funding of biodiversity conservation |
| | | |  |  |  |   |  |   |
| Drivers |  | Awareness |  | | |  |  |  |
| |  | Planning and accounting | | | |  |  |  |
| |  | Incentives harmful to biodiversity | | | |  |  | |
| |  | Production and consumption | | | |  |  |  |
| Pressures |  | Habitat loss |  |  | |  |  |  |
| |  | Fisheries |  |  |  |  |  |  |
| |  | Agriculture and forestry | |  |  |  |  |  |
| |  | Pollution | |  | |  |  |  |
| |  | Invasive species | | |  | |  | |
| |  | Human pressures on coral reefs |  |  |  |  |  |  |

Fig. 3. A role for area-based conservation as part of a suite of conservation strategies in a post-2020 environmental agenda. The post-2020 Global Biodiversity Framework should seek to address all drivers of, and pressures contributing to, global biodiversity loss, including those captured in Aichi Biodiversity Targets contained in the current Strategic Plan on Biodiversity of the Convention on Biological Diversity (blue and orange icons). Area-based conservation is well-suited to play a central role in abating some human pressures – primarily local threats to species and ecosystems (green tick icons). Other conservation interventions mentioned or implied in the Aichi Targets (purple and yellow icons), remain underutilised despite being well suited to abate human pressures or drivers of biodiversity loss that area-based conservation is in many cases unable to act on. Post-2020, better integration of biodiversity values into all conservation interventions will see them to contribute more substantively to global goals for nature.



Box 1. Protected areas and other area-based conservation measures (OECMs) are complementary area-based conservation measures. Their distinguishing feature is that a protected area has a primary conservation objective² whereas an OECM delivers the effective in-situ conservation of biodiversity, regardless of its objectives⁷. (A) Protected areas are playing a central role in conserving the Sundarbans mangroves of Bangladesh and India (©NASA/JPL), (B) the Bamyán Plateau in Afghanistan (©N. Jahed/WCS) and (C) the Shoebill stork (*Balaeniceps rex*) that ranges from South Sudan to Zambia (©Daniel Field). (D) OECMs can be sites that prioritise conservation but this objective is not legally recognised by the governing body (e.g. a conservation concession in Loreto Region, Peru ©Bruno Monteferri), (E) places where conservation is effective but only a secondary management objective (e.g. a locally managed marine area on Totoya Island, Fiji ©Stacy Jupiter), or (F) ancillary conservation where conservation happens more-or-less by accident (e.g., the Hoedspruit Airforce Base, South Africa ©Hoedspruit Airforce Base).

Table 1 Understanding the effectiveness of area-based conservation depends on the question being asked. Commonly asked questions imply different spatial scales and measurements, and are subject to strengths and weakness. Globally we have weak direct measures of the biodiversity outcomes of individual protected areas or OECMs.

| | Scale | What is Measured | Strengths (+) and Weaknesses (-) | |
|--|--|--|----------------------------------|---|
| “Are area-based conservation efforts in the right place?” | Global and regional | 1. Coverage of species, especially species at risk | + | Can assess broad extent and examine cost per unit area of meeting area-based conservation targets |
| | | 2. Coverage of ecoregions | + | Robust methods to establish appropriate counterfactuals that control for bias |
| | | 3. Coverage of ‘important’ areas, such as Key Biodiversity Areas | - | Coarse scale that might not be adequate of local planning |
| | | | - | Inaccuracies in global data sets |
| “What is the ecological condition of a protected area or OECM?” | Individual sites | 1. Species population time series trends | + | Accurate and precise |
| | | 2. Measures of ecological integrity or health | + | Can include Traditional Ecological Knowledge where available |
| | | | - | Costly and requires considerable scientific input for both design and analysis |
| | | | - | There is no other substitute for this kind of overall assessment |
| “What is the ecological condition of area-based conservation efforts?” | Individual sites, aggregated to national, regional and global scales | 1. Species population time series trends | + | Human pressures are often useful proxies for broad-scale biodiversity impacts |
| | | 2. Presence of key species or functional groups (e.g. predators, pollinators) | + | Often cheap and non-invasive (e.g. derived from satellites) |
| | | 3. Change in the state of an environmental condition (e.g. forest cover, fish biomass) | - | Often miss important drivers of biodiversity loss (e.g. disease, pollution, poaching) and do not always explain local or regional biodiversity patterns |
| | | 4. Changes in cumulative human pressure | - | Often difficult to develop appropriate counterfactual scenarios to assess what conditions would have been like in the absence of protection |
| “Is the management of area-based conservation effective?” | Individual sites, aggregated to national, regional and global scales | 1. Resource adequacy (e.g. staff, training, funding) | + | Established assessment frameworks and methodologies that can be conducted rapidly |
| | | 2. Adequacy of planning and enforcement | + | Growing coverage of global protected area estate |
| | | | - | Database established but at present does not record assessment results, only that assessments have taken place |
| | | | - | Most methodologies were not designed to measure biodiversity outcomes of protected areas and OECMs |

582 **Supplementary figures and tables**

583 **Figure S1.** The terrestrial protected area network overlaid on a global map of biomass and
584 soil carbon.

585 **Table S1.** Threatened birds, amphibians and mammals who remain without any formal
586 protection as of 2019.

587 **Table S2** Protected area coverage (%) of terrestrial ecoregions in 2010 and 2019.

588 **Table S3** Growth in coverage of terrestrial ecoregions as the global protected area estate
589 expanded between 2010 and 2019.

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