

Article

# Adapted or Adaptable: How to Manage Entropy Production?

Christophe Goupil <sup>1,†,‡</sup>  0000-0003-0954-7603 and Eric Herbert <sup>1,‡</sup>  0000-0001-6596-0895

<sup>1</sup> Université de Paris, Laboratoire Interdisciplinaire des Energies de Demain (LIED), UMR 8236 CNRS, F-75013 Paris, France.

\* Correspondence: christophe.goupil@univ-paris-diderot.fr; Tel.: +33 6 10 03 03 50

‡ These authors contributed equally to this work.

**Abstract:** Adaptable or adapted? Whether it is a question of physical, biological or even economic systems, this problem arises when all these systems are the location of matter and energy conversion. To this interdisciplinary question we propose a theoretical framework based on the two principles of thermodynamics. Considering a finite time linear thermodynamic approach, we show that non-equilibrium systems operating in quasi-static regime are quite deterministic as long as boundary conditions are correctly defined. The Novikov-Curzon-Ahlborn a pproach [1,2] applied to non-endoreversible systems then makes it possible to precisely determine the conditions for obtaining characteristic operating points. As a result, power maximization principle (MPP), entropy minimization principle (mEP), efficiency maximization, or waste minimization states are only specific modalities of system operation. We show that boundary conditions play a major role in defining operating points because they define the intensity of the feedback that ultimately characterizes the operation. Armed with these thermodynamic foundations, we show that the intrinsically most efficient systems are also the most constrained in terms of controlling the entropy and dissipation production. In particular, we show that the best figure of merit necessarily leads to a vanishing production of power. On the other hand, a class of systems emerges which, although they do not offer extreme efficiency or power, have a wide range of use and therefore marked robustness. It therefore appears that the number of degrees of freedom of the system leads to an optimization of the allocation of entropy production.

**Keywords:** Out of Equilibrium Thermodynamics, Finite Time Thermodynamics, Living Systems

## 1. Introduction

The issue of energy conversion is the subject of historical debate. Without going back to its roots, let us mention the work initiated by Glansdorf and Prigogine, which placed at the centre the question of entropy production in out-of-equilibrium systems, an issue that is still largely relevant [3,4]. This debate is itself part of an even broader debate that questions the operating points of the systems, considering mainly the maximization of entropy production (MEP), its minimization (mEP), or power maximization (MPP) [5,6]. One of the reasons why these questions do not find a general consensus today is that they are most often considered on very different systems, in particular in the definition of the boundary conditions of the device with its environment, considered immutable. The case of idealized mechanical systems is, from this point of view, much simpler, since, broadly speaking, the absence of any friction process means that the system interacts with its environment via a very limited number of degrees of freedom, which makes variational approaches relevant. On the contrary, it has long been accepted that there is no variational principle that governs the out-of-equilibrium steady state of a thermodynamic system [7]. This can be understood as an impossibility to establish a

34 variational principle when the number of degrees of freedom diverges, which is obviously the case  
35 when the system is connected to a thermostat, and when dissipative processes occur. However, it is  
36 equally obvious that many out-of-equilibrium systems are perfectly deterministic in their evolution,  
37 and have a perfectly defined stationary state, as is the case, for example, of Kirchoff's networks in  
38 electronics. As a result, these systems, although not governed by a Lagrangian form and an associated  
39 variational principle, have a completely established stationary operating point, without any possible  
40 affirmation of an underlying minimization or maximization of the production of the entropy or the  
41 power.

42 These questions of power and finite time performance have been the subject of much work, [8]  
43 particularly in thermoelectricity, [9–13]. Without entering into these debates again, we propose an  
44 approach that provides a fairly generic framework for describing a complete thermodynamic system  
45 with perfectly established boundary conditions. In this article, we will limit ourselves to the case of  
46 locally linear machines, subscribing to Onsager's formalism. This formalism, based on the concept of  
47 local equilibrium, makes it possible to consider the thermodynamic potentials of the system, which  
48 are the intensive parameters. As a result, it becomes possible to derive a thermodynamics close to  
49 equilibrium, with in particular, a rigorous choice of potentials that allow to obtain the symmetry of  
50 the out-of-diagonal coefficients of the Onsager matrix. The stationary nature also requires that kinetic  
51 coefficients and boundary conditions of the system be constant or slowly variable compared to the  
52 characteristic relaxation time of entropy production and dissipation diffusion, thus guaranteeing both  
53 stationary processes and local equilibrium.

54 In this article we consider the transport of energy and matter within a system, where the  
55 thermodynamic conversion is produced by coupling the energy and matter currents. By applying the  
56 first law of thermodynamics, both of these currents are conservative. By applying the second law, the  
57 energy, and sometimes the matter, used during the conversion process is subject to dispersion in the  
58 degrees of freedom accessible to the system. As a result, thermodynamics is based on both a quantity  
59 and a quality principles. Since the loss of quality is directly related to dispersion in the degrees of  
60 freedom, the search for processes to reduce their number has always been a guideline. It should be  
61 noted that in the case of non-spontaneous processes, it is possible to consider a reduction in the degrees  
62 of freedom, but this operation requires the implementation of external processes. These processes  
63 offer other opportunities for energy dispersion, in greater proportions than those gained within the  
64 system. As a result, any physical process taking place over a finite period of time is the location of a  
65 compromise between the total energy used to carry out a process and the energy actually converted  
66 for the needs to be covered. The process efficiency is therefore written as the ratio between the actually  
67 converted energy and the total energy supplied. We propose to consider energy conversion processes  
68 in a very generic form, in order to establish their main characteristics and constraints. In particular, we  
69 address the question of power and entropy production, insisting on the compromises they impose.

70 The question of adapting a device to the uses assigned to it then arises. In the case of single working  
71 point, the system may be designed to be as much adapted as is it possible. But this single operating  
72 working point is a rare configuration, and realistic systems are asked to work in a given range of working  
73 points. Then arises the concept of adaptability, or flexibility, which enters into competition with the  
74 previous adapted concept. This problem of adaptation or adaptability concerns all thermodynamic  
75 systems, including, of course, living systems. Indeed, as soon as we define an envelope, we delimit the  
76 boundaries of a space occupied by a given device, and the interactions of this device with the outside  
77 world. Considering the energy and matter budget at the borders of the device, we then characterize  
78 the relationship between the device and its environment. Since the processes take place over a finite  
79 period of time, it is important to consider an out-of-equilibrium description. In this paper, we consider  
80 an out-of-equilibrium thermodynamic description, driven by locally linear equations. We show that  
81 the intrinsic characteristics of the device, on the one hand, and the boundary conditions, on the other  
82 hand, totally determine the behavior of the system. It appears that the allocation of dissipation largely  
83 determines the possible ranges of use of an out-of-equilibrium thermodynamic system.

84 In terms of boundary conditions, we show that the real coupling conditions of a system with its  
85 environment are always located between the Dirichlet and Neumann boundaries, also called "stock"  
86 and "flow" boundary conditions. It should be noted that both pure stock and flow are extreme boundary  
87 conditions which can never be strictly reached. Between adaptable and adapted, the performances  
88 of thermodynamic systems are therefore the result of a compromise between intrinsic performance of  
89 a device, and the coupling to the environment. This question of coupling to the environment is the  
90 subject of the first section of this article. In the following section we describe the envisaged system  
91 in its most general form. The third section concerns the descriptions of the device at the heart of the  
92 system, while the fourth section describes its insertion into the complete system. The fifth section  
93 considers the different configurations that such a global system may encounter, and the consequences  
94 on the production of power, dissipation, and more generally, entropy. The article ends with concluding  
95 remarks.

## 96 2. System description

### 97 2.1. Boundary conditions

98 As indicated above, the system is composed of two sub-parts: a central zone, which we will call  
99 the device, and which is the place of thermodynamic conversion, on the one hand, and the boundary  
100 conditions, consisting of the source, and on the other hand, the sink and the elements connecting it to  
101 the device. These elements allow to modify at will the boundary conditions that condition the coupling  
102 of the device with the source and the sink, which is a central question for the optimization. Among  
103 the latter we can distinguish systems whose intrinsic parameters are constant, as is the case for most  
104 machines, and systems, whose intrinsic parameters are subject to modification, as is the case for living  
105 or societal systems. These latter are subject to potential developments and evolution, which are not  
106 possible for the above-mentioned machines. By potential development we consider the case of living  
107 systems, societies or organisms, which can, under conditions of energy and matter supply, develop,  
108 maintain or regress.

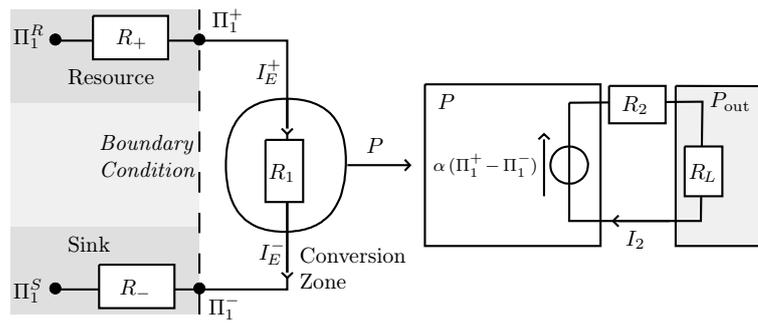
109 In the case of systems under Neumann boundary conditions, the system is somehow fed by a constant  
110 current of energy and/or matter, which guarantees the maintenance of the system as much as it  
111 constrains its development. Under such conditions, the possible development of the system is limited  
112 by the value of the current of matter and/or energy. In the case of Dirichlet systems, there are no  
113 restrictions on access to the resource, except for the intrinsic limitations of the conversion device. As a  
114 result, the currents of energy and matter may diverge completely, if the characteristics of the device  
115 lend themselves to it. The same reasoning applies to the production and rejection of waste to the  
116 sink. Access to the resource and waste production are therefore both dependent on these boundary  
117 conditions. Let us consider, as an historical illustration, the situation of the industrial revolution, which  
118 saw the rise of the use of fossil energy [14]. The latter are by definition stock resources that lead the  
119 human societies to find themselves in Dirichlet conditions, as far as access to the resource is concerned.  
120 Concerning the waste rejected to the sink, the Dirichlet's condition has been the norm, as long as  
121 the planet has been considered a bottomless sink. On the other hand, if we consider the situation  
122 before the industrial revolution, it can be noted that the main resource for development, which is the  
123 food resource, was dependent on Neumann-type boundary conditions, due to the subjection to solar  
124 flux. Without going further into this illustration, which is beyond the scope of this article, we can  
125 nevertheless observe the importance of boundary conditions, both on the functioning of systems, but  
126 also for their possible evolutions. Indeed, in the case of boundary conditions of the Neumann type,  
127 there is no possibility of development, in the sense of increasing the current of energy and matter that  
128 feed the conversion device. Consequently, there is no possibility of any increase of the quantities. On  
129 the other hand there are possibilities of increase of the quality, because the conditions of coupling  
130 between energy and matter may change, as the history of the life proved it.

131 On the other hand, in the case of Dirichlet boundary conditions, there is no limit to the increase in

132 energy and matter currents, which could lead to their possible divergence. It should be noted that  
133 the actual Dirichlet conditions for the access to the energy for the human species is quite singular in  
134 the history of the living systems. In order to remain explicit and relatively simple to address, these  
135 questions need to be modeled in the most compact form possible. That why we propose to describe a  
136 generic thermodynamic machine in order to guarantee a general character to the developments of this  
137 article. Many extensions and refinements can be added, as for previous systems in the literature [8,12].

## 138 2.2. Thermodynamic device

139 The proposed thermodynamic system is described in Figure 1. It consists of a reservoir providing  
140 the resource and a sink receiving the waste, with the respective potentials  $\Pi_1^R$  and  $\Pi_1^S$  fixed at constant  
141 values. Between this two reservoirs is the energy conversion device which is the place of coupling  
142 between a current of matter  $I_2$ , and a current of energy  $I_E$ . The energy current entering the system  
143 is associated with an incoming entropy current,  $I_1$ , with  $\Pi_1$  its conjugated potential. In the case of  
144 a thermal system of heat current  $I_Q$ , temperature  $T$  and entropy current  $I_S$ , we would simply have  
145  $\Pi_1 I_1 = I_Q = T I_S$  so  $I_1$  would be the classical entropy current. The current of matter is defined  
146 by  $I_2$  and its conjugated potential  $\Pi_2$ . The energy currents budget finally writes  $I_E = \Pi_1 I_1 + \Pi_2 I_2$ .  
147 We recognize the fractions of dispersed energy,  $\Pi_1 I_1$ , and concentrated energy,  $\Pi_2 I_2$ , which are a  
148 generalization of the notions of heat and work extended to the case of non-thermal systems [15,16]. The  
149 coupling term between energy and matter is defined, under  $I_2 = 0$  condition, as  $\alpha = -(\delta\Pi_2/\delta\Pi_1)_{I_2}$ .  
150 The geometry of the system is given by its length  $L$  and its cross-section  $A$ . The two currents of energy  
151 and matter are then associated with two conductivities  $\sigma_1$  and  $\sigma_2$ , which, at the integrated scale, behave  
152 like two resistive dipoles  $R_{1/2} = \frac{1}{\sigma_{1/2}} \frac{L}{A}$ . The connection of the conversion zone with the two reservoirs  
153 is defined by the coupling resistors  $R_+$  and  $R_-$  which allow the boundary conditions to be set, at will,  
154 between Dirichlet conditions ( $R_+ = R_- = 0$ ), or Neumann conditions, where  $R_+$  and  $R_-$  diverge.  
155 This type of configuration is not in itself new, and has already been used in specific systems [16,17]. In  
156 particular, it has been shown that, under these conditions, the way the system operates is partially  
157 governed by the feedback effects induced by boundary conditions. Some of these feedbacks can lead to  
158 the presence of oscillations. It should be noted that these processes do not violate the first principle in  
159 that they are not self-sustained oscillations, at least from an energy point of view. They do not violate  
160 the second principle either, since these structures are highly dissipative and are only maintained by  
161 a continuous supply of energy. It can also be noted that the incoming current of energy is used to  
162 produce a potential difference which, if maintained, allows the circulation of the matter under the  
163 action of the thermodynamic force, which is defined from the gradient of the potential. This type of  
164 analysis of thermodynamic conversion has been used with success by Alicki in various systems [18,19].  
165 This description of two coupled currents can, of course, be extended to a larger number of coupled  
166 currents without changing the spirit of the study.



**Figure 1.** Schematic view of the generic system, with a resource and a sink, whose potential  $\Pi_1^R$  and  $\Pi_1^S$  are constant. The coupling of the conversion zone (circle) with the two reservoirs is ensured by the elements  $R_+$  and  $R_-$ . As a result, the difference potential  $\Pi_1^+ - \Pi_1^-$  is less than that between reservoir and sink. Power produced in the conversion zone (circle) is  $P = -\alpha\Delta\Pi_1 = \Delta\Pi_2$ . The internal resistance  $R_2 = \frac{L}{A\sigma_2}$  gives rise to a dissipative contribution  $R_2 I_2^2$ . The  $R_L$  resistance is the output load, and the output power is  $P_{out} = R_L I_2^2$ .

167 As it is represented, the system is therefore quite generic. The main determinants of functioning  
 168 are thus summarized by three terms, the capture of the resource, its conversion into a usable form, and  
 169 the rejection of waste. It is clear that ideally the target is the one where the output power would be  
 170 maximum and the amount of energy released would be minimal. The study of the limits to achieving  
 171 this target is one of the objectives of this article. As the coupling parameter for the conversion, the  $\alpha$   
 172 parameter is therefore central since it determines the system's ability to convert energy into a usable  
 173 form. A naive picture may suggest that the largest possible  $\alpha$  value necessarily leads to the most  
 174 efficient system, but this is not correct, as we will see now.

### 175 3. Local energy conversion

#### 176 3.1. Presentation

At the local level, energy conversion is produced by coupling the energy and matter currents flowing through the device. These currents are generated by the presence of differences between the two thermodynamic potentials  $\Pi_1$  and  $\Pi_2$ . This local modeling is therefore based on the three parameters of conductivity associated with energy transport,  $\sigma_1$ , conductivity associated with matter transport,  $\sigma_2$ , and the coupling coefficient between the gradients of the two potentials,  $\alpha$ . We deduce from this the formulation of local Onsager matrix, where  $\nabla = \frac{d}{dx}$  is the spatial gradient, here reduced to 1D in order to simplify the description.

$$\begin{pmatrix} J_2 \\ J_E \end{pmatrix} = \begin{pmatrix} L_{11} & L_{12} \\ L_{21} & L_{22} \end{pmatrix} \begin{pmatrix} -\nabla \left( \frac{\Pi_2}{\Pi_1} \right) \\ \nabla \left( \frac{1}{\Pi_1} \right) \end{pmatrix} \quad (1)$$

$J_E$  and  $J_2$  are the densities of the two currents, and are extensive and conservative quantities. Given the differential form  $J_E = \Pi_1 J_1 + \Pi_2 J_2$ , the equality of non-diagonal terms  $L_{12} = L_{21}$  is insured according

to the choice of the correct potentials  $-\frac{\Pi_2}{\Pi_1}$  and  $\frac{1}{\Pi_1}$  [20,21]. The four terms of the matrix are therefore reduced to three,  $\sigma_1$ ,  $\sigma_2$  and  $\alpha$ , whose correspondences with the coefficients  $L_{ij}$  are,

$$\sigma_1 = \frac{1}{\Pi_1^2} \left[ \frac{L_{11}L_{22} - L_{21}L_{12}}{L_{11}} \right] \quad (2)$$

$$\sigma_2 = \frac{L_{11}}{\Pi_2} \quad (3)$$

$$\alpha = -\frac{\Delta\Pi_2}{\Delta\Pi_1} = \frac{1}{\Pi_1} \frac{L_{12}}{L_{11}} \quad (4)$$

In the absence of a matter gradient, the energy conductivity can be defined as  $\sigma_{\Pi_2} = \sigma_1 [1 + \alpha^2\sigma_2/\sigma_1\Pi_2]$ . The figure of merit is then defined as

$$F_m = \frac{\alpha^2 R_1}{R_2} \Pi_2 = \frac{L_{12}^2}{L_{11}L_{22} - L_{21}L_{12}} \quad (5)$$

It is known that the ratio  $\sigma_2/\sigma_1$ , therefore  $F_m$ , is a direct measure of the intrinsic capacity of energy conversion.  $F_m$  can be related to the ratio of the equivalent specific heats by the expression  $\gamma = \frac{C_{\Pi_2}}{C_{I_2}} = F_m + 1$ . In their seminal paper, Kedem and Caplan derived the following expression of the coupling parameter between the two fluxes involved in the conversion process [15]:

$$q = \frac{L_{12}}{\sqrt{L_{11}L_{22}}} = \sqrt{\frac{F_m}{1 + F_m}} \quad (6)$$

an expression that explicitly includes the kinetic coefficients  $L_{ij}$ . The figure of merit and the coupling factor  $q$  are equivalent in terms of measure of the system performance: the larger their (absolute) values, the better the energy conversion system. This can be evidenced by the derivation of the local maximal efficiency of the conversion process in generator mode,  $\eta_{\max}$ :

$$\eta_{\max} = \left( \frac{1 + \sqrt{1 - q^2}}{q} \right)^2 = \frac{\sqrt{\gamma} - 1}{\sqrt{\gamma} + 1} \quad (7)$$

### 177 3.2. Entropy production and efficiency

178 The volumetric entropy production rate is given by the summation of the force-flow products,

$$\dot{S} = J_2 \nabla \left( -\frac{\Pi_2}{\Pi_1} \right) + J_E \nabla \left( \frac{1}{\Pi_1} \right) = -\frac{1}{\Pi_1} [J_2 \nabla \Pi_2 + J_1 \nabla \Pi_1] \quad (8)$$

In the case of a reversible process  $\dot{S} = 0$  so does  $J_2 \nabla \Pi_2 + J_1 \nabla \Pi_1$ . We get  $-\frac{J_2 \nabla \Pi_2}{\Pi_1 J_1} = \frac{\nabla \Pi_1}{\Pi_1} = \eta_C$ , where  $\eta_C$  is the Carnot efficiency. This leads to the general expression of the local efficiency,

$$\eta = -\frac{J_2 \nabla \Pi_2}{J_1 \Pi_1} < \eta_C \quad (9)$$

Let us define the reduced current as

$$j = \frac{\alpha J_2}{J_1} \quad (10)$$

179 which is the ratio between the entropy carried by the transport of the matter, divided by the  
180 total entropy transported. In the case of a reversible process, both terms are equal so  $j = 1$  [22]. This  
181 expression shows three regions for the  $\eta(j)$  meaning. For  $0 < j < 1$  the device works as a generator.  
182 For  $j < 0$  and  $j > 1$  the device works as a receptor. For reasons of brevity, we will mainly deal with the  
183 generator configuration in this article.

Rewriting the Onsager matrix in more suitable form [23] we get,

$$\begin{pmatrix} J_2 \\ \Pi_1 J_1 \end{pmatrix} = \begin{pmatrix} \sigma_2 & \alpha \sigma_2 \\ \alpha \Pi_1 \sigma_2 & \gamma \sigma_1 \end{pmatrix} \begin{pmatrix} -\nabla \Pi_2 \\ -\nabla \Pi_1 \end{pmatrix} \quad (11)$$

then,

$$j = \frac{\eta \alpha \Pi_1 \sigma_2 - j \alpha \sigma_2 \nabla \Pi_1}{\eta \alpha \Pi_1 \sigma_2 - \frac{j \gamma \sigma_1 \nabla \Pi_1}{\alpha}} \quad (12)$$

so,

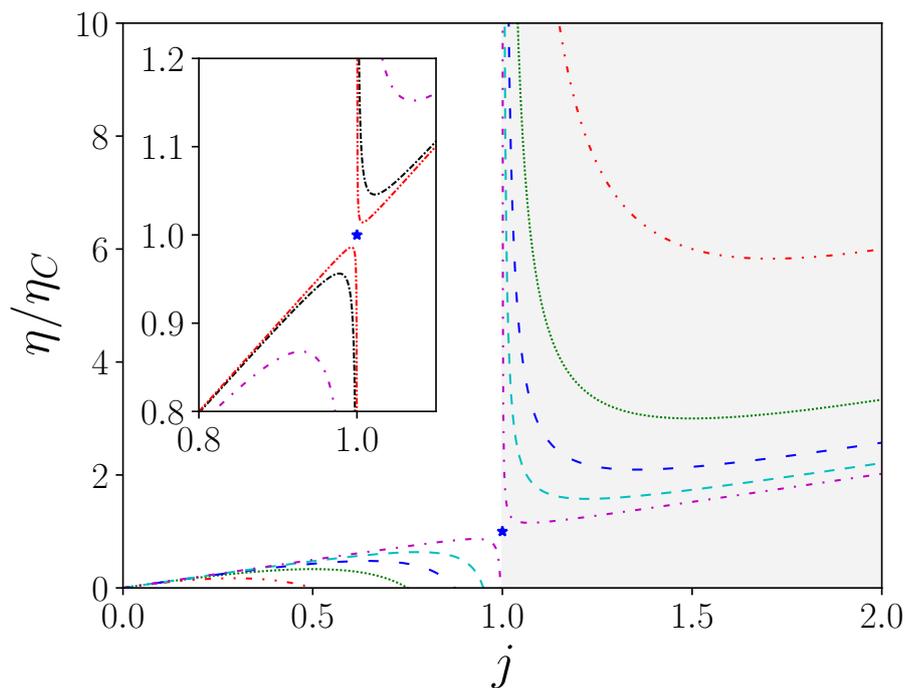
$$\eta = \eta_C j \frac{j \gamma - \frac{\alpha^2 \sigma_2}{\sigma_1} \Pi_1}{j \frac{\alpha^2 \sigma_2}{\sigma_1} \Pi_1 - \frac{\alpha^2 \sigma_2}{\sigma_1} \Pi_1} \quad (13)$$

184 where  $\gamma = \frac{\alpha^2 \sigma_2}{\sigma_1} \Pi_1 + 1$ . After few algebra we get,

$$\eta = \frac{\eta_C}{(\gamma - 1)} \frac{\gamma j^2 - (\gamma - 1) j}{j - 1} \quad (14)$$

185  $\eta$  present a maximum for  $j_{opt} = 1 + \sqrt{\frac{1}{\gamma}}$  for a receptor mode, and  $j_{opt} = 1 - \sqrt{\frac{1}{\gamma}}$  for a generator  
 186 mode. Both optima reduce to  $j = 1$  in the ideal case, when  $\gamma$  diverges, where we recover the Carnot  
 187 efficiency. In this diverging case, the system do not present anymore dissipation production, and the  
 188 equivalence between the receptor and generator modes is a proof of the absence of causality of the  
 189 Carnot configuration. This absence of causality is another name for reversibility. We then recover  
 190 the Kedem-Caplan expression of the maximal efficiency,  $\eta_{max} = \eta_C \frac{\sqrt{\gamma}-1}{\sqrt{\gamma}+1}$  for the generator mode and  
 191  $\eta_{max} = \eta_C \frac{\sqrt{\gamma}+1}{\sqrt{\gamma}-1}$  for the receptor mode. Let us now plot the efficiency versus the reduced current, as  
 192 reported in Figure [2].

193 As expected the maximum performance achieved,  $\eta_{max}$  is an increasing function of the figure of  
 194 merit. On the other hand, it also appears that the sensitivity to fluctuations in  $j$  becomes all the more  
 195 important as  $\eta_{max}$  is important. This is confirmed by estimating the value of the slope in the vicinity  
 196 of the maximum yield, which is  $\partial \eta / \partial (j) \approx -2 \eta_{max} F_m$ . The larger the figure of merit, the steeper the  
 197 slope. This local description allows us to conclude that the performance of the device is obtained at  
 198 the cost of a constraint of stability of the operating points, directly driven by the value of the figure of  
 199 merit. As an intrinsic quantity, the figure of merit defines the performance ceiling beyond which it  
 200 cannot be exceeded. It is clear from the figure that the system defined by a high figure of merit exceeds  
 201 in performance all the systems of lower figure of merit value. However, this result is strongly weighted  
 202 by the fact that for excursions of  $j$  around the optimal value, the efficiency falls rapidly. Then it is not  
 203 necessarily relevant to look for a device with a large figure of merit, without first inventorying the  
 204 operating range that will be brought to run this device. For simplicity's sake we have only dealt here  
 205 with the case where the system works as a generator, which is obtained by  $0 < j < 1$ . It is clear that the  
 206 same study can be carried out for the case where the system operates as a receptor, instead of working  
 207 as a generator. This situation, well known for thermal machines, corresponds to heat pump operation.  
 208 More broadly, and in the case of non-thermal machines, this case actually corresponds to the operation  
 209 in recycling mode where the treated quantity undergoes regeneration. It should be noted that the  
 210 expression of performance refers only to  $\gamma$ , and therefore to the figure of merit, without specifying any  
 211 contribution from  $\sigma_1$ ,  $\sigma_2$  and  $\alpha$  respectively. The local level is totally blind to these issues so we now  
 212 consider the situation of the entire system to see the relative contributions.



**Figure 2.** Normalized efficiency  $\frac{\eta}{\eta_c}$  according to reduced current  $j = \alpha J_2/J_1$  with  $\gamma = 2$  (red, dot dashed), 4 (green, dots), 8 (blue, loosely dashed), 20 (cyan, dashed),  $2 \times 10^2$  (magenta, loosely dot dashed) in main figure, and  $\gamma = 2 \times 10^2$  (magenta, loosely dot dashed),  $2 \times 10^3$  (black, dot dashed),  $2 \times 10^4$  (red, dot dot dashed) in inset. Grey area corresponds to the receptor mode (resp. generator mode). Note that the figure is symmetrical with respect to the Carnot point (blue star), which is never reached. This singular point defines the reversible configuration, where causality is broken.

## 213 4. Global conversion system

### 214 4.1. Presentation

215 In accordance with the diagram in Figure [1], the device of the conversion zone is connected to its  
 216 reservoirs via the two resistors  $R_+$  and  $R_-$ , which makes it possible to explore all boundary conditions.  
 217 The presence of  $R_+$  and  $R_-$  may lead to the pinching of the potential difference  $\Pi_1^+ - \Pi_1^-$  according to  
 218 the system operating point. More precisely,  $R_+$  governs the limitation of access to the resource while  
 219  $R_-$  reflects possible saturation effects of waste disposal. This global model, although limited, makes it  
 220 possible to approach the behavior of many systems, including living systems, depending on whether  
 221 the resource is abundant or scarce, and whether waste disposal, including thermal waste, is easy or not.  
 222 Living system and non-living systems differ from the fact that the energy current is never zero in living  
 223 systems, so  $R_1$  is always finite, and there is a non zero resting point. On the contrary, non-living system  
 224 may have a zero resting point, with zero energy current, so  $R_1$  may be infinite in these systems. Let us  
 225 consider the set of the four equations that govern the functioning of the system (see Appendix A).

$$I_{E-} = \alpha \Pi_1^- I_2 + (1 - \varphi) R_2 I_2^2 + \frac{(\Pi_1^+ - \Pi_1^-)}{R_1} \quad (15)$$

$$I_{E-} = \frac{(\Pi_1^- - \Pi_1^S)}{R_-} \quad (16)$$

$$I_{E+} = \alpha \Pi_1^+ I_2 - \varphi R_2 I_2^2 + \frac{(\Pi_1^+ - \Pi_1^-)}{R_1} \quad (17)$$

$$I_{E+} = \frac{(\Pi_1^R - \Pi_1^+)}{R_+} \quad (18)$$

226 These equations have their origin in the integration of the local form described in the previous  
 227 paragraph. These developments have been the subject of previous articles [16,24], and will not be  
 228 re-described here.  $\varphi$  controls the dissipation fraction that returned to the source or to the sink. In the  
 229 following we will choose  $\varphi = 0$ . This choice is not critical here since the effect of  $\varphi = 0$  is driven by  $R_2$   
 230 which is equal to zero.

#### 231 4.2. Devices with zero resting point

232 First of all, we consider that  $R_2 = 0$  and  $R_1$  diverge, in order to separate the contributions of  
 233 entropy production and internal dissipation.  $R_2$  governs the current of matter, so we therefore consider  
 234 that this current may not be limited, so there is no intrinsic dissipation within the device. The figure of  
 235 merit of the device is then infinite and we may expect to reach the ideal conditions and the Carnot  
 236 efficiency. But the classical discussion around the Carnot efficiency is based on pure Dirichlet boundary  
 237 conditions, which is clearly not the case here, so we have to consider the new conditions introduced by  
 238 the modification of the boundary conditions. In the present configuration of zero resting point systems  
 239 the general equations (see Appendix A) can be summarized as,

$$I_{E-} = \frac{\Pi_1^S I_2}{\frac{1}{\alpha} - R_- I_2} \quad (19)$$

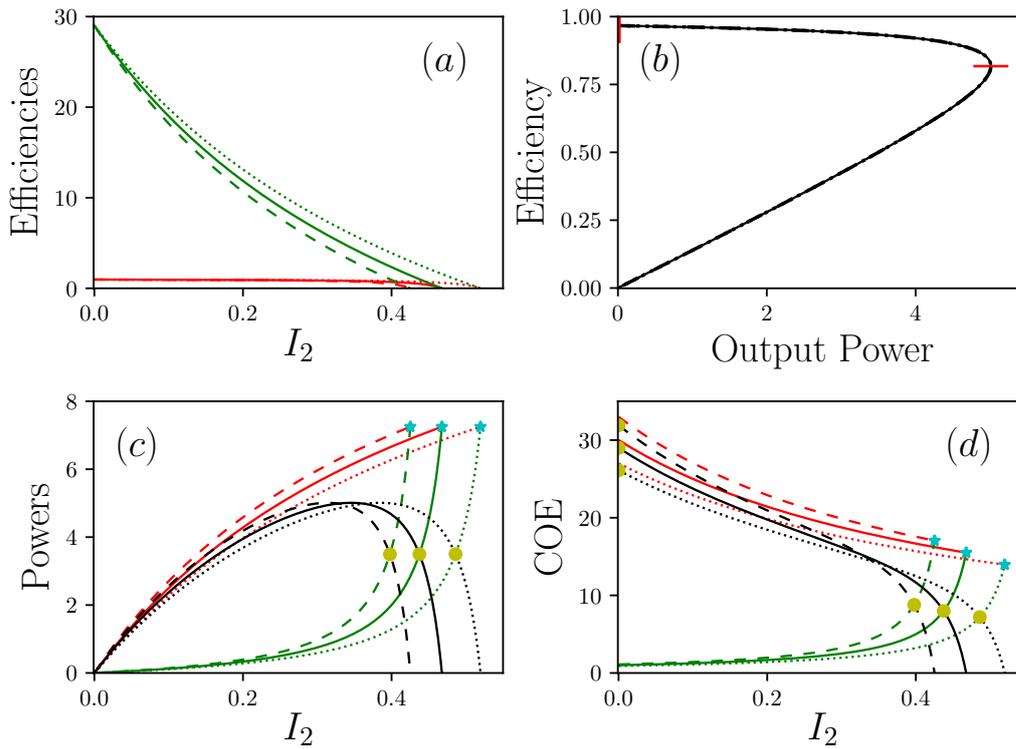
$$I_{E+} = \frac{\Pi_1^R I_2}{R_+ I_2 + \frac{1}{\alpha}} \quad (20)$$

240 with the output power given by  $P = I_{E+} - I_{E-}$ .

The plots in figure 3 summarize the behavior of the global system. The output power presents a maximum and two zero values. The first value corresponds to the case where the efficiency reaches its maximum. This situation is obtained for  $I_2 = 0$ , so  $I_{E-} = I_{E+} = P = 0$ . This means that no matter, nor energy, can flow through the system, which is a totally useless situation for a physical system. The second zero power value is reached for a current of matter  $I_{2_{sc}}$ , named the short-circuit current, by analogy with electronics. In this situation the produced power is completely re-dissipated inside the system.  $I_{2_{sc}}$  is therefore an ultimate operating point for the system, working as an energy generator. For a truly efficient operation it is therefore necessary to try to push  $I_{2_{sc}}$  to large values, which is obtained by getting as close as possible to Dirichlet conditions. In the general case the approximate expression of this current is,

$$I_{2_{sc}} \approx \frac{1}{\alpha} \frac{\Delta \Pi_1}{R_- \Pi_1^S + R_+ \Pi_1^R} \quad (21)$$

which confirms that Dirichlet's conditions where  $R_- = R_+ \approx 0$  are to be sought, if accessible. Since the resting point here is zero, the power curve necessarily intercepts that of  $I_{E-}$ . Beyond this interception point the system is in a situation where it releases more waste than it produces output power. We call *critical point* the point where  $P = I_{E-}$ , reached for  $I_{2_{cp}}$ . The fact that power is not a monotonous



**Figure 3.** Representations of the powers  $I_{E+}$ ,  $I_{E-}$ , with  $R_1^{-1} = 0$ ,  $R_2 = 0$  (and  $P = P_{\text{out}}$ ),  $R_+ = R_- = 2$ ,  $\Pi_1^S = 1$ ,  $\Pi_1^R = 30$ . Figure (a) shows efficiencies (resp. in red and green)  $\eta_{+/-} = P_{\text{out}}/I_{2+/-}$  in function of  $I_2$ , the current of matter. Figure (b) is the efficiency in function of the power produced  $P_{\text{out}}$ . Figure (c) show the power (resp. in red, green and black)  $I_{E+}$ ,  $I_{E-}$  and  $P_{\text{out}}$  in function of  $I_2$ . Figure (d) shows (resp. in red, green and black)  $\text{COE}_{+/-} = I_{E+/-}/I_2$  and  $\text{COE}_{P_{\text{out}}} = P_{\text{out}}/I_2$  in function of  $I_2$ . Dotted lines are  $\alpha = 0.9$ , solid lines are  $\alpha = 1$ , dashed lines are  $\alpha = 1.1$ . In (c) and (d) cyan stars show short circuit situations  $I_{2sc}$ , yellow circles are critical points  $I_{2cp}$ . In (b) vertical and horizontal red lines are respectively maximal efficiency and maximal power.

function of  $I_2$  is actually quite unexpected because, to the extent that  $R_2 = 0$ , the total absence of intrinsic viscosity should not lead to any limit to  $I_2$ . However, if we carry out a development at the first order of the expression of power we find

$$P \approx \left[ \alpha \Delta \Pi_1 - \left( \Pi_1^R R_+ + \Pi_1^S R_- \right) \alpha^2 I_2 \right] I_2 \quad (22)$$

which clearly indicates the presence of a viscous friction term  $R_{fb}$ ,

$$R_{fb} \approx \alpha^2 (\Pi_1^R R_+ + \Pi_1^S R_-) \quad (23)$$

241 which reduces the transport of the matter, even though the intrinsic viscosity, i.e.  $\frac{1}{\sigma_2}$ , associated with  
 242 the transport of the matter, is zero. This additional dissipation is a pure feedback effect that is due to  
 243 the presence of boundary conditions at the general limits where  $R_+$  et  $R_-$  are non-zero. This additional  
 244 dissipation can only be rendered null if  $R_+ = R_- = 0$ , i. e. a strict Dirichlet condition, which is, in  
 245 reality, only very rarely observed. Note that the condition  $\alpha = 0$  leads to the same result but it is  
 246 useless because in this case the transport of energy and matter are fully decoupled, and the device  
 247 does not convert the energy anymore. The conditions  $R_2 = 0$  and  $R_1 \rightarrow \infty$  determine the performance  
 248 envelope for a system with an ideal conversion zone. In particular, it is noted that, although  $I_{E+}$  and  
 249  $I_{E-}$  are increasing functions of the current of matter  $I_2$ , the growth rate of the energy waste current  $I_{E-}$

250 always ends up reaching that of the energy current  $I_{E+}$  supplied to the system. Also, even in the case  
 251 of a system whose core is composed of an ideal device, ( $R_2 = 0, R_1 \rightarrow \infty$ ), the increase in the current  
 252 of matter inexorably leads to an increase in the current of waste in larger proportions to the rate of  
 253 supply of resources. The only way out is to limit the current of matter to values below a threshold,  
 254 which may be that of maximum power, maximum efficiency, minimum waste generation, or below the  
 255 critical point. In the figure 3, the response is given for two different values of the coupling parameter  
 256  $\alpha$ . The influence of  $\alpha$  is quite surprising. At first we observe that the lower is  $\alpha$  and the lower are the  
 257 output power and efficiencies, as expected for a lower conversion level of the energy. But in the same  
 258 time, the short-circuit current is strongly enhanced, opening the way to a large range of  $I_2$  working  
 259 points for the transport of the matter. This is due to the  $\alpha^{-2}$  dependency of  $I_{2sc}$ . This leads to the  
 260 conclusion that *the search for a very efficient system is in contradiction with the search for a very adaptable*  
 261 *system.*

262 Let us now focus on the issue of the trade-off between power efficiency and waste generation. The  
 263 figure 3a represents the curves of the production efficiency  $\eta_{prod} = P/I_{E+}$  and the waste efficiency  
 264  $\eta_{waste} = P/I_{E-}$ . Note that  $\eta_{prod}$ , which is the traditional efficiency, is limited by the Carnot efficiency  
 265 but  $\eta_{waste}$  is not, since it does not refer to the traditional expression of efficiency but is just an extension  
 266 of the notations.  $\eta_{prod}$  is bounded by a zero value, which corresponds to zero power, and a maximum  
 267 efficiency point, reported in figure 3b. Between these two values, the system presents a maximum of  
 268 the power, which absolutely does not coincide with the maximum efficiency. In this configuration the  
 269 MPP or mEP operations are clearly disjointed as already mentioned [1,2]. Let us now consider the cost  
 270 of carrying out a unitary process. By unitary process we consider a process standardized by the value  
 271 of the associated transport of matter, i.e. the ratio between the energy currents and the matter current.  
 272 We call this quantity Cost Of Energy, i.e. COE. This makes it possible to consider energy expenditures  
 273 with regard to the associated matter transformation along a unitary displacements. In other words,  
 274 COE can measure the amount of energy needed to be rejected as a waste, for displacing the matter  
 275 from a unit length. This quantity is already known in biology as Cost Of Oxygen Transport (COT),  
 276 where it has made it possible to qualify a unit displacement with regard to the energy released in the  
 277 form of waste [25,26]. Here we extend the notion in a more general form where COE is defined by  
 278  $COE_+$  which is the cost of energy needed to feed the system, and  $COE_-$  which is the cost of waste  
 279 energy that is rejected, so,

$$COE_{+/-} = \frac{I_{E+/-}}{I_2} \quad (24)$$

280 Note that the  $COE_+$  is a strictly decreasing function of  $I_2$  and  $COE_-$  is a strictly increasing function of  
 281  $I_2$ . This means that the cost of energy needed for a unitary process decrease when  $I_2$  increases but, in  
 282 the same time the amount of waste always increases. There is therefore no optimum to consider any  
 283 minimization of the waste. In addition, it is important to note that the  $R_1^{-1} = 0$  configuration is the  
 284 only one that provides the strong coupling conditions, for which the energy and matter currents are  
 285 roughly proportional [12]. In this case, the Onsager matrix has a zero determinant. This situation is an  
 286 idealization of the transport of energy entirely achieved by the transport of matter. In other words, it is  
 287 a question of considering that the behavior of out-of-equilibrium thermodynamics may be equivalently  
 288 described by pure mechanics. This is obviously never fully encountered unless it is considered that a  
 289  $\Delta\Pi_1$  difference can persist without an associated current of matter existing. This is the purpose of the  
 290 following paragraph.

### 291 4.3. Devices with non zero resting point

292 The study of devices with non zero resting points concern the case of all systems for which a  
 293 shutdown means death. Indeed, unlike a machine, all living systems are never totally shut down, and  
 294 always keep a minimum operating point value, which we call basal, also known as a resting point.  
 295 This situation corresponds to the case where  $R_1$  has a finite value. While remaining, for the moment in

the case where  $R_2 = 0$ , we can develop the main results from this configuration. The general equations of the system are given in Appendix B. In this situation, the efficiency, nor the power, can reach the previous values, as reported in the figure [4]. At the resting point  $I_2 = 0$ , the system is in its basal configuration where  $P = 0$ , so  $I_{E+} = I_{E-} = B$  with,

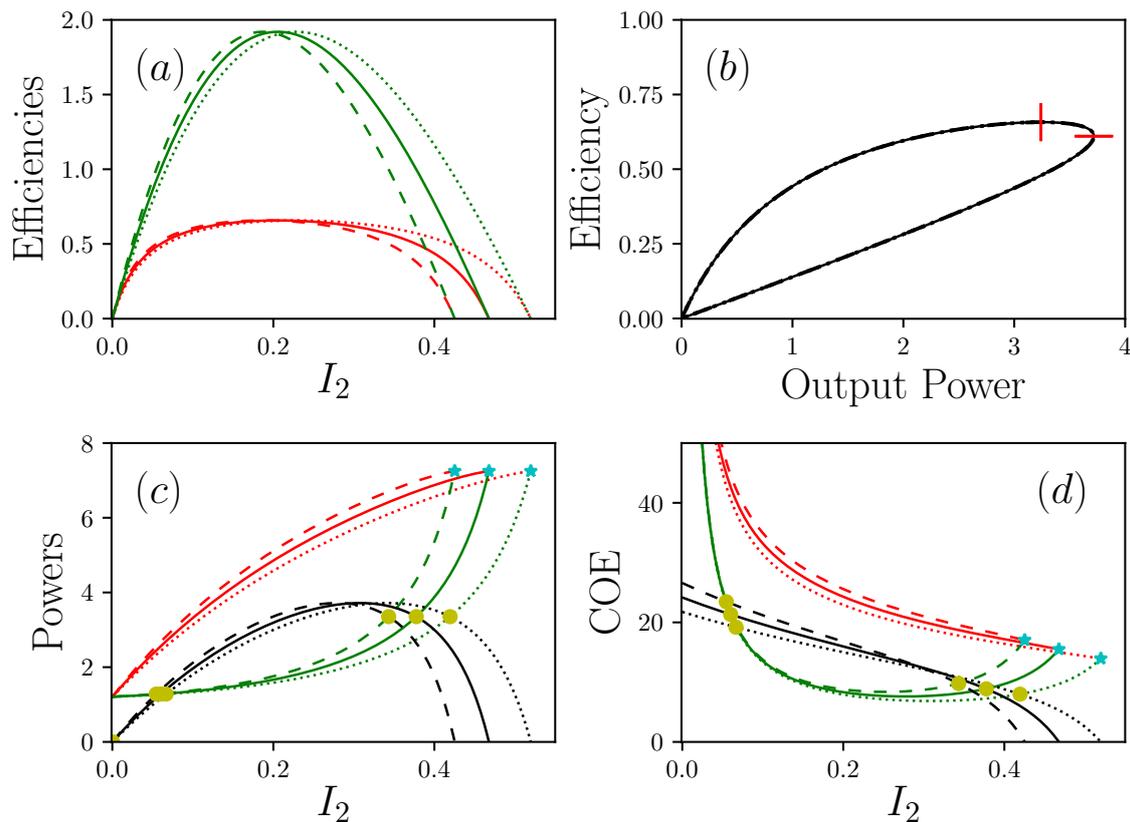
$$B = \frac{\Delta\Pi_1}{R_+ + R_1 + R_-} \quad (25)$$

The typical response of systems with non zero resting points is given in the figure 4. One can notice that the general shape is not strongly modified from the case of zero resting point configurations, except the presence of a non zero current of energy even at zero  $I_2$  and a slight modification of the short-circuit point. Regardless of the reduction in efficiency introduced by the presence of  $R_1$ , the search for a system with a very low basal point requires to be located in a configuration close to Neumann conditions where  $R_+$  and  $R_-$  have very large values. This is not problematic except that it requires the system to operate at low values of  $I_2$ , in order to limit the dissipation due to the term  $R_{fb}$ . There is therefore a fundamental contradiction between having a system with low resting power consumption and a system that can provide significant power. It is clear that a sober system, in the sense of its consumption at rest, is unsuited to the production of significant power, without leading to significant dissipation at high speed, or equivalently, high  $I_2$ . If such a power is sought, then it implies that the boundary conditions should be of Dirichlet like with  $R_+ \approx R_- \approx 0$ . But in this case the system will have a necessarily high rest consumption. Compared to systems with a zero resting point, it can be seen that the maximum power operating point and maximum efficiency operating point tend to approach each other as  $R_1$  increases. In this configuration, as can be derivated in [27], the feedback resistance is approximately given by

$$R_{fb} \approx \frac{\alpha^2 \langle \Pi_1 \rangle}{\frac{1}{R_+ + R_-} + \frac{1}{R_1}} = R^* \alpha^2 \langle \Pi_1 \rangle \quad (26)$$

where  $R^* = \frac{(R_+ + R_-)R_1}{R_1 + R_+ + R_-}$  and  $\langle \Pi_1 \rangle = \Pi_1^R/2 + \Pi_1^S/2$ . Compared to the previous configuration the dissipation introduced by the presence of  $R_{fb}$  can now be modified whatever are the boundary conditions because  $R^* < \text{Min}(R_+ + R_-, R_1)$ . More precisely, in the case of Neumann-like boundary conditions, there is a restriction to the value of  $R_1$  where  $R_1 \ll R_+ + R_-$  is expected. Under Dirichlet-like boundary conditions  $R_+$  and  $R_-$  are small so there is no condition on  $R_1$ . Consequently, a system with a very low basal point, with large values of both  $(R_+, R_-)$  (Neumann like) and  $R_1$  will suffer from a large  $R_{fb}$  and is then limited to very low  $I_2$  currents. If the boundary conditions are more like Dirichlet conditions, then  $R_{fb}$  keeps low but the low basal level now imposes that  $R_1$  strongly increases, which reduced both the available power  $P$  and the efficiency. So we can see that there is no room for a powerful and efficient system working in all conditions. The main trade-off is between power and efficiency, but it ultimately extends beyond that.

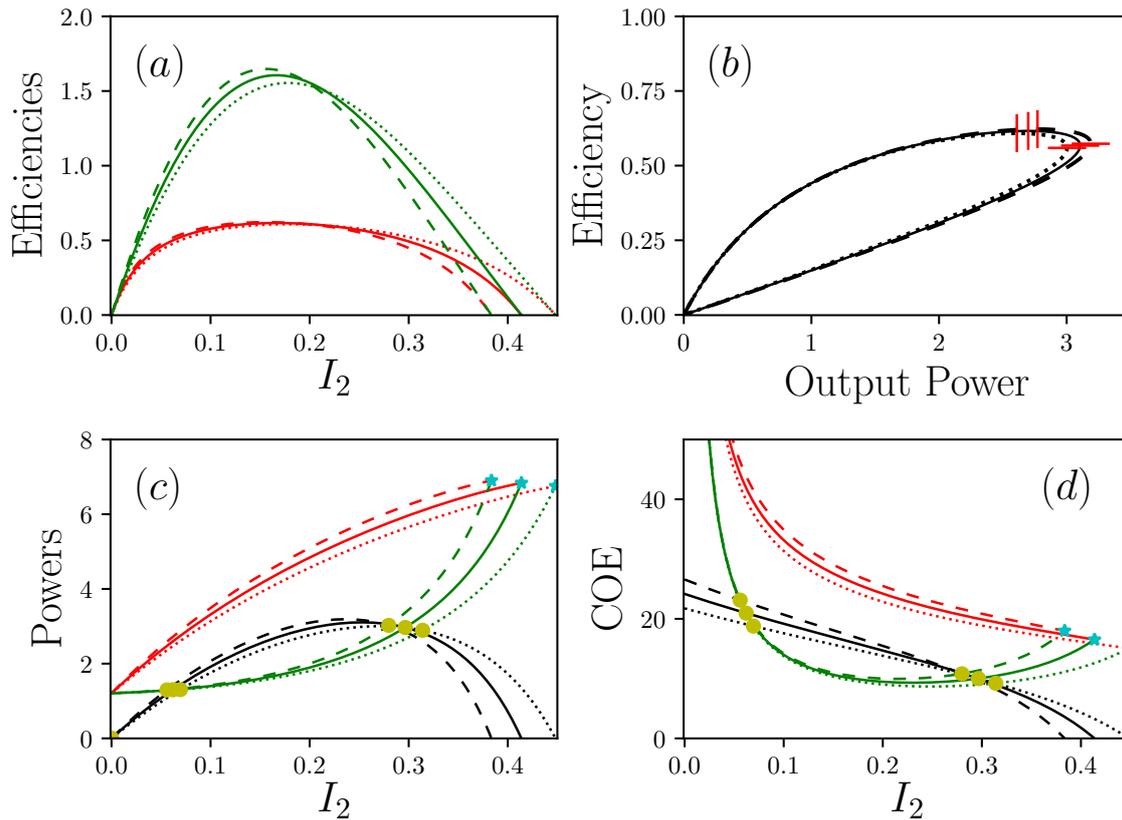
From a rather general point of view, the incoming energy current  $I_{E+}$  makes it possible to establish and maintain, thanks to the presence of  $R_1$ , a potential difference that permits the production of output work. On this point we join the work of Alicki [18] who considers that the incoming energy current makes it possible to maintain a difference in potential, exactly as a pump would do. This situation is particularly described in the case of photovoltaic structures, with a difference in electrochemical potential [18], or in the case of muscles where the attachment and release cycles of actin and myosin structures lead to the maintenance of a force [28]. It should be noted that, depending on the position of the resting point, the power curve can intercept between zero and twice the  $I_{E+}$  curve. It can therefore be seen that, in the case of systems with a relatively low resting point, there may be an area for which the power produced is greater than the power released as a waste. More intriguing, this area can start with a non-zero value of  $I_2$ . In other words, there may be systems for which the situation  $I_2 \neq 0$  leads to a proportionally smaller waste production than at rest. Systems with a non-zero resting point



**Figure 4.** Representations of the powers  $I_{E+}$ ,  $I_{E-}$  and  $P$ , with  $R_1^{-1} = 0.05$ ,  $R_2 = 0$  (and  $P = P_{out}$ ),  $R_+ = R_- = 2$ ,  $\Pi_1^S = 1$ ,  $\Pi_1^R = 30$ . Figure (a) shows efficiencies (resp. in red and green)  $\eta_{+/-} = P_{out}/I_{2+/-}$  in function of  $I_2$  the current of matter. Figure (b) is the efficiency in function of the power produced  $P_{out}$ . Figure (c) show the power (resp. in red, green and black)  $I_{E+}$ ,  $I_{E-}$  and  $P_{out}$  in function of  $I_2$ . Figure (d) shows (resp. in red, green and black)  $COE_{+/-} = I_{E+/-}/I_2$  and  $COE_{P_{out}} = P/I_2$  in function of  $I_2$ . Dotted lines are  $\alpha = 0.9$ , solid lines are  $\alpha = 1$ , dashed lines are  $\alpha = 1.1$ . In (c) and (d) cyan stars show short circuit situations  $I_{2sc}$ , yellow circles are critical points  $I_{2cp}$ . In (b) vertical and horizontal red lines are respectively maximal efficiency and maximal power.

323 therefore present very different optima than non-living systems, whose zero resting point leads to  
 324 minimizing power by stopping the machine. By using the definition  $COE_- = I_{E-}/I_2$  we can plot its  
 325 response according to  $I_2$ . It should be noted that the  $COE_-$  has a minimum value, which does not  
 326 coincide with the maximum power point. This defines a new operating point for the system, which  
 327 characterizes the situation where the system minimizes its production of waste.  
 328 An illustration of this can be given if we consider the motion of living systems. Let us consider that the  
 329 task to be accomplished consists in moving the body over a unit distance, the question arises as to  
 330 how fast as possible this operation will lead to a minimum of waste, essentially in the form of heat  
 331 and metabolic degradation products. It is clear that displacement here corresponds to the transport of  
 332 matter, and is therefore assimilated to  $I_2$  proportional to the speed of travel as previously said. There is  
 333 an abundant literature showing that there exist a minimum of the so-called  $COT \equiv COE_-$  point for all  
 334 animals for which movement appears to be favored when the COT is minimal [25,26]. As expected,  
 335 see figure [4],  $COE_-$  and  $COE_+$  curves have a common point at the short circuit point. We previously  
 336 saw that Dirichlet's conditions,  $R_+ = R_- = 0$ , were those that minimized the feedback resistance  
 337  $R_{fb}$  and allowed to consider potentially a divergence of the current of matter and the output power.  
 338 This simple observation shows that strict Dirichlet's condition are simply nonphysical. Nevertheless,  
 339 one can consider that this condition can be approached. However, the presence of  $R_+, R_-$  and  $R_1$

340 in series, shows that Dirichlet's condition is asymptotically obtained only if the ratios  $R_+/R_1$  and  
 341  $R_-/R_1$  are negligible, which imposes an important value for  $R_1$ , and therefore a high value of the  
 342 basal power. We therefore see the emergence of a paradox which, seeking to minimize the dissipation due to  $R_{fb}$   
 343 leads to the constraint of high consumption at rest. The same system cannot therefore be both very powerful and  
 344 very energy-efficient at its resting point. We find here the generalization of a well-known situation, for  
 345 example for the thermal engines of vehicles, in which the engine's displacement determines its ability  
 346 to produce power, as well as its efficiency..



**Figure 5.** Different representations of the powers  $I_{E+}$ ,  $I_{E-}$  and  $P$ , with  $R_1^{-1} = 0.05$ ,  $R_2 = 4$ ,  $R_+ = R_- = 2$ ,  $\Pi_1^S = 1$ ,  $\Pi_1^R = 30$ . Figure (a) shows efficiencies (resp. in red and green)  $\eta_{+/-} = P/I_{2+/-}$  in function of  $I_2$  the current of matter. Figure (b) is the efficiency in function of the power produced  $P$ . Figure (c) show the power (resp. in red, green and black)  $I_{E+}$ ,  $I_{E-}$  and  $P_{out}$  in function of  $I_2$ . Figure (d) shows (resp. in red, green and black)  $COE_{+/-} = I_{E+/-}/I_2$  and  $COE_{P_{out}} = P_{out}/I_2$  in function of  $I_2$ . Dotted lines are  $\alpha = 0.9$ , solid lines are  $\alpha = 1$ , dashed lines are  $\alpha = 1.1$ . In (c) and (d) cyan stars show short circuit situations  $I_{2sc}$ , yellow circles are critical points  $I_{2cp}$ . In (b) vertical and horizontal red lines are respectively maximal efficiency and maximal power.

#### 347 4.4. Internal dissipation devices

Let us now consider the introduction of the dissipative term  $R_2$ . The output power of the system is now represented by the figure [5]. As a thermodynamic engine the system provides a power  $P = \alpha (\Pi_1^+ - \Pi_1^-) I_2$  as already defined. The efficiency of this part of the system is given by  $\eta_2 = \frac{P - R_2 I_2^2}{P}$ . So the total efficiency of the system is

$$\eta_{sys} = \eta_1 \eta_2 \quad (27)$$

348 with  $\eta_1 = \frac{P}{I_{E+}}$ . Compared to the previous configurations, both the power, the short-circuit current  
 349  $I_{sc}$  and the efficiency are now reduced. The influence of  $R_2$  appears to be always detrimental, which  
 350 was not the case for  $R_1$ . It is clear that one should look for minimal  $R_2$  if possible. In other words, in  
 351 the expression of the figure of merit there is a constraint on  $R_2$ . At first, both  $\alpha$  and  $R_1$  seems to be  
 352 non constrained, and the same figure of merit can be obtained for various values of the couple  $(\alpha, R_1)$ .  
 353 Nevertheless, as we have mentioned, the present description shows that  $R_2$  is linked in series with  
 354  $R_{fb}$ . Consequently, the constraint on  $R_2$  can be relaxed to the condition  $R_2 \ll R_{fb}$ . According to the  
 355 expression  $R_{fb} \approx \frac{\alpha^2 \langle \Pi_1 \rangle}{\frac{1}{R_+ + R_-} + \frac{1}{R_1}}$  this leads to the condition  $1 + \frac{R_1}{R_\Sigma} < \frac{\alpha^2 R_1}{R_2} \langle \Pi_1 \rangle$  where we recognize the  
 356 figure of merit, so the condition becomes

$$1 + \frac{R_1}{R_\Sigma} < F_m \quad (28)$$

357 where  $R_\Sigma = R_+ + R_-$ . According to the previous observation, the minimization of the dissipation  
 358 occurring from the  $R_{fb}$  term imposes that  $\frac{R_1}{R_\Sigma}$  should be large enough. So we now get a supplementary  
 359 condition for  $F_m$ . In this expression the boundary conditions and the intrinsic performances of the  
 360 device are considered together. Under Dirichlet conditions,  $1 + \frac{R_1}{R_\Sigma}$  diverges so the system keeps its  
 361 level of dissipation low only in the case of very large figure of merit, and is forced to work at very  
 362 low  $I_2$  values. Under Neumann conditions,  $R_\Sigma$  diverges and then the condition on the figure of merit  
 363 is then relaxed. Ideally, even when achieved asymptotically, one might want to achieve maximum  
 364 power, as well as minimal waste production, combined with maximum efficiency. *It must be concluded*  
 365 *that the quest for maximum efficiency always leads to approaching the Carnot point, which is, even in an*  
 366 *out-of-equilibrium description, the point where power production is canceled out.*

## 367 5. Entropic point of view

368 The previous power budget analysis highlighted three classes of systems: systems with a zero  
 369 resting point, systems with a non-zero resting point, and finally, systems with an additional internal  
 370 dissipation term  $R_2$ . Let us consider these three classes again from the entropic point of view.

### 371 5.1. Devices with zero resting point

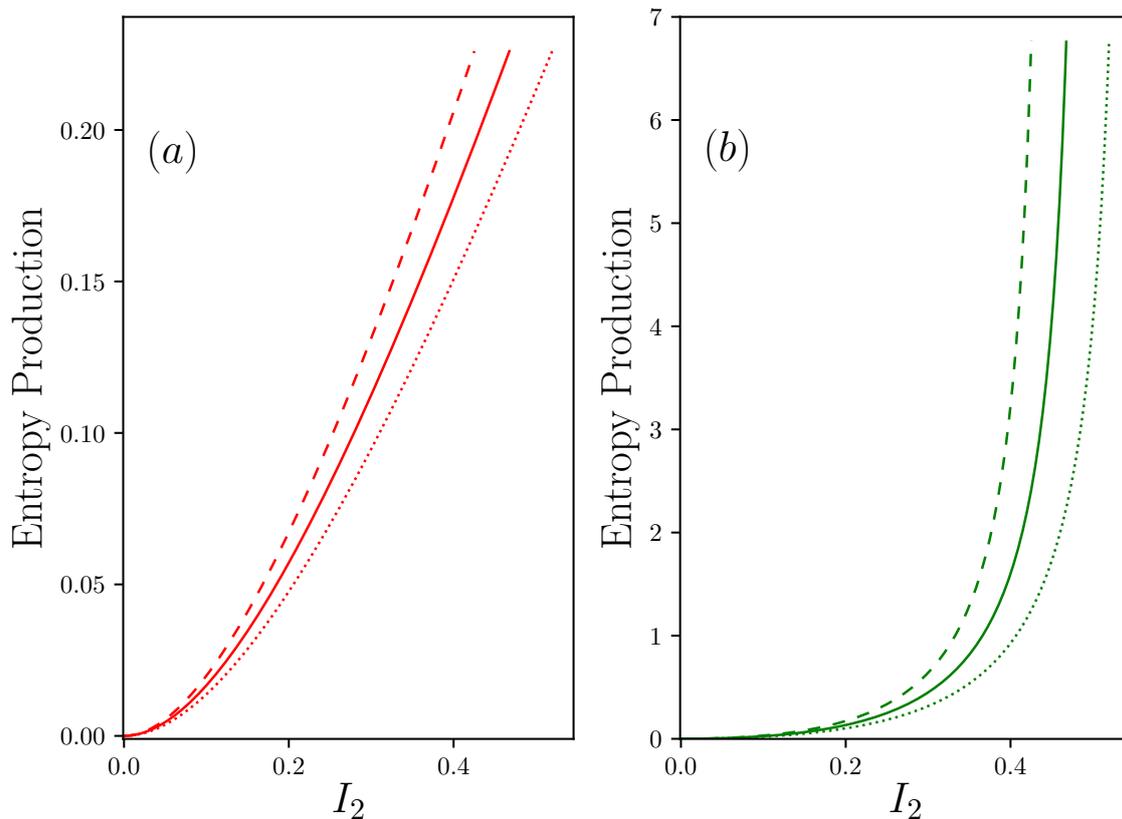
372 The production of entropy from the presence of  $R_-$  and  $R_+$  is given respectively at both sides of  
 373 the device by

$$\dot{S}_{E+} = I_{E+} \left( \frac{1}{\Pi_1^+} - \frac{1}{\Pi_1^R} \right) = \frac{\alpha^2 I_2^2 R_+}{1 + \alpha I_2 R_+} \quad (29)$$

$$\dot{S}_{E-} = I_{E-} \left( \frac{1}{\Pi_1^S} - \frac{1}{\Pi_1^-} \right) = \frac{\alpha^2 I_2^2 R_-}{1 - \alpha I_2 R_-} \quad (30)$$

374 The results are given in the figure [6].

375 There is clearly an asymmetry in the two entropy productions. Indeed, if the two contributions  
 376 initially increase in a quadratic form with the current of matter, the contribution of the resource side,  
 377  $\dot{S}_{E+}$ , tends to a linear progression independent of the coupling condition  $R_+$  while the contribution  
 378 on the waste rejection side  $\dot{S}_{E-}$  tends to diverge as soon as  $I_2 \approx 1/\alpha R_-$ . It is surprising to see that,  
 379 in addition, this divergence is more marked as the coupling factor  $\alpha$  between energy and matter is  
 380 important. *There is therefore no other solution than to make  $R_-$  as small as possible, and therefore reject all the*  
 381 *waste easily.* This is an additional constraint for the design of efficient systems.



**Figure 6.** Evaluation of the entropy production with the same configuration as in Fig. 3,  $R_1^{-1} = 0$ ,  $R_2 = 0$ ,  $R_+ = R_- = 2$ ,  $\Pi_1^S = 1$ ,  $\Pi_1^R = 30$ . Figure (a) shows  $\dot{S}_{E+}$  and figure (b) shows  $\dot{S}_{E-}$ , both in function of  $I_2$  the current of matter. Same color and line-style code as in Fig. 3

### 382 5.2. Devices with non-zero resting point

383 Let's now look at the configuration of non-zero resting point systems, while keeping  $R_2 \approx 0$ . In  
384 this case the general expressions become,

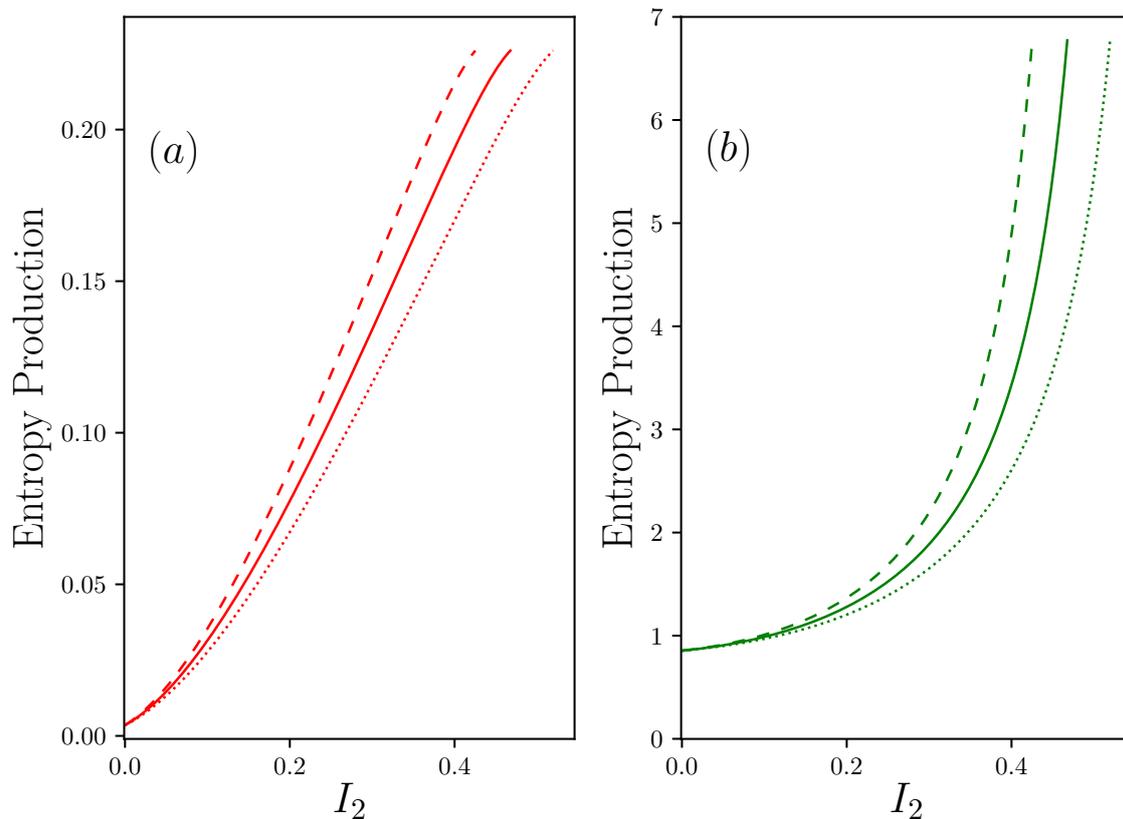
$$\dot{S}_{E+} = I_{E+} \left( \frac{1}{\Pi_1^+} - \frac{1}{\Pi_1^R} \right) = \frac{R_+ I_{E+}^2}{(\Pi_1^R - R_+ I_{E+}) \Pi_1^R} \quad (31)$$

$$\dot{S}_{E-} = I_{E-} \left( \frac{1}{\Pi_1^S} - \frac{1}{\Pi_1^-} \right) = \frac{R_- I_{E-}^2}{(R_- I_{E-} + \Pi_1^S) \Pi_1^S} \quad (32)$$

385 The results are given in figure [7] where  $I_{E+}$  and  $I_{E-}$  are defined according to the Appendix B. We  
386 can see that the presence of  $R_1$  reintroduces a significant symmetry between the two contributions  
387 to the entropy production. Moreover, the question of the importance of the quality of the coupling  
388 on the resource side, by minimizing  $R_+$ , or to the rejection side, by minimizing  $R_-$ , is now of equal  
389 importance.

### 390 5.3. Internal dissipation devices

391 For internally dissipated devices, the term  $R_2$  produces a quadratic dissipation  $R_2 I_2^2$ . We have  
392 seen before that the presence of  $R_2$  never brings any advantage in terms of energy conversion since  
393 it only contributes to lowering the power available at the output of the system. As this dissipation  
394 diffuses into the system, it is itself a source of entropy. At this stage, it is important to know how this

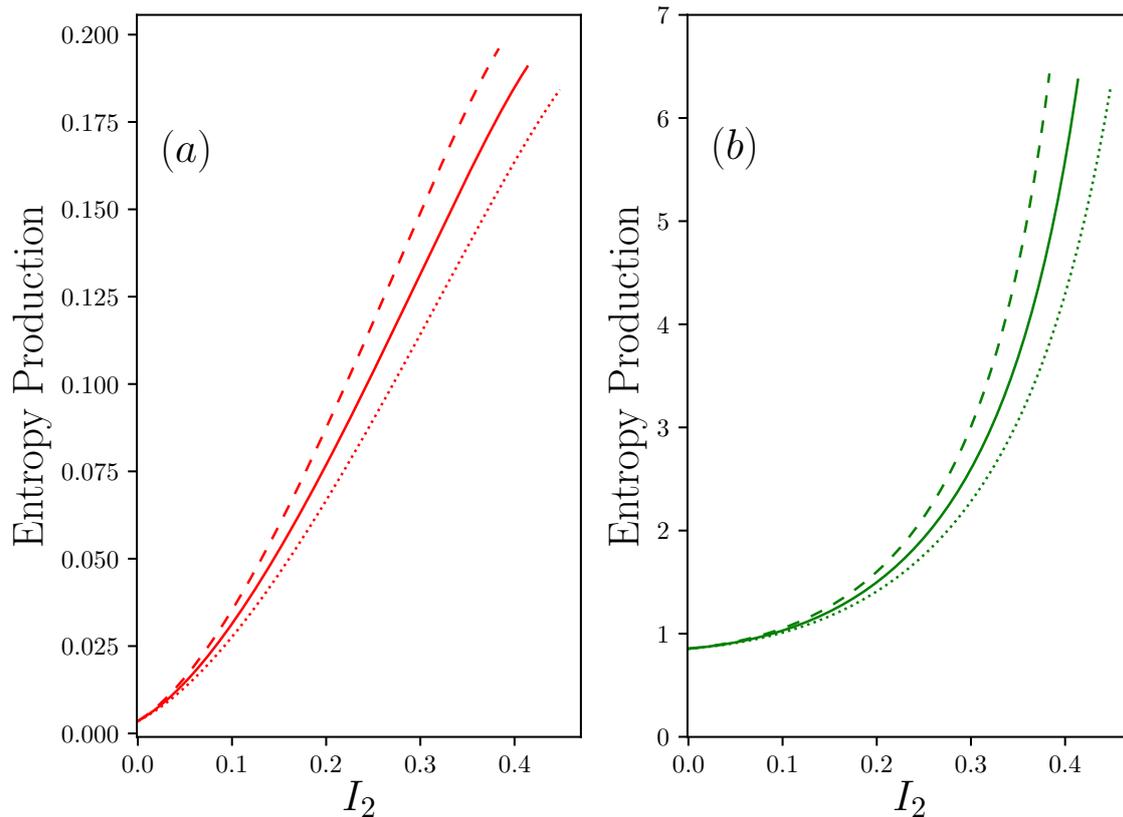


**Figure 7.** Evaluation of the entropy production with the same configuration as in Fig. 4 with  $R_1^{-1} = 0.05$ ,  $R_2 = 0$ ,  $R_+ = R_- = 2$ ,  $\Pi_1^S = 1$ ,  $\Pi_1^R = 30$ . Figure (a) shows  $\dot{S}_{E+}$  and figure (b) shows  $\dot{S}_{E-}$ , both in function of  $I_2$  the current of matter. Same color and line-style code as in Fig. 3

395 dissipation occurs. In the case of some thermal systems, an analytical calculation can be carried out  
 396 that leads to an equal distribution of this dissipation between the resource and the sink, i.e.  $\varphi = 0.5$ ,  
 397 see Appendix B in accordance with [24]. In other systems, such as muscles subjected to moderate  
 398 stress, this dissipation is considered to be completely rejected into the sink ( $\varphi = 0$ ) [16]. For some  
 399 living systems, including homeothermic species, it is likely that a fraction of this dissipation is partially  
 400 released, and partially used to maintain the central temperature of the body, leading to a value  $\varphi \approx 1$ ,  
 401 depending on outdoor conditions. One example is the case of vaso-dilatation and vasoconstriction of  
 402 peripheral vessels, which is a solution for modulating the value of  $R_-$  and consequently reject less, or  
 403 more, heat outside of the body.

#### 404 6. Adaptable or adapted?

405 The study of the behavior of a generic system composed of a conversion device, and the boundary  
 406 conditions to the reservoirs, now allows us to establish several observations. First, the search for the  
 407 best device, in terms of power and efficiency, can be summarized by the search for the largest figure of  
 408 merit  $F_m$ . However, this result must be modulated by the fact that the value of  $F_m$  is determined by the  
 409 set of the three parameters  $R_1$ ,  $R_2$  and  $\alpha$  which, at this stage, do not present any constraints. In addition,  
 410 few thermodynamic devices have a single operating point, but are generally expected to operate over a  
 411 wide range of uses, that principally means large range of  $I_2$ . In the precedent paragraph we concluded  
 412 that the greater the figure of merit the smaller the effective operating range becomes. Indeed, for such  
 413 a narrow range, the users must then conform quite strictly to that imposed by the value of the figure of  
 414 merit of the device. This observation explains quite simply why the consumption observed by vehicle



**Figure 8.** Evaluation of the entropy production with the same configuration as in Fig. 5 with  $R_1^{-1} = 0.05$ ,  $R_2 = 4$ ,  $R_+ = R_- = 2$ ,  $\Pi_1^S = 1$ ,  $\Pi_1^R = 30$ . Figure (a) shows  $\dot{S}_{E+}$  and figure (b) shows  $\dot{S}_{E-}$ , both in function of  $I_2$  the current of matter. Same color and linestyle code as in Fig. 3

415 drivers is always larger than that reported by vehicle manufacturers, since the actual conditions of  
 416 use never coincide with the test conditions. Similarly, the measured performance of equipment in  
 417 dwellings, as well as the performance of the dwellings themselves, is below the expected performance  
 418 during construction. This observation leads to the recommendation that devices intended to operate  
 419 over a wide range of uses should not be designed solely on the basis of their maximum performance  
 420 in terms of efficiency and power. Beyond this observation, the question arises of determining, within  
 421 a system, which of the three parameters  $R_1$ ,  $R_2$  and  $\alpha$  should be optimized as a priority. We can  
 422 first conclude that, unless situations where dissipation is explicitly sought,  $R_2$  must be systematically  
 423 minimized. With regard to  $R_1$ , we have seen that its choice determines two categories of systems,  
 424 depending on whether  $R_1$  is zero or not. It must be noticed that  $R_1 = 0$  is not possible for living  
 425 systems because a resting point does exist until the death. In the category where  $R_1 = 0$  the operating  
 426 range of the system are limited by the feedback effects that introduce an excess dissipation term  $R_{fb}$ .  
 427 Note that this term can be minimized if the boundary conditions are as close as possible to Dirichlet  
 428 conditions. In this situation, the currents of matter  $I_2$  and energy  $I_E$  may diverge. This situation has  
 429 been that of our societies since the beginning of the industrial revolution [14], with coal, followed by  
 430 an acceleration after the Second World War, due to the rise in oil consumption. The divergence of  
 431 matter and energy currents is directly linked to an increase in the figure of merit, through an increased  
 432 facilitation of the circulation of matters and energies, which is produced by a minimization of  $R_2$ , as  
 433 well as an increase of  $\alpha$ , i.e. technological progress that allows thermodynamic potentials to be more  
 434 strongly coupled. A basic illustration of this increase is the performance of steam machines, which  
 435 have gradually increased the ratio between outlet pressures and inlet temperatures [29]. The second  
 436 category of system concerns the case where  $R_1 \neq 0$ . These systems are particular in that they consume

437 energy, even in a resting situation. We can include living organisms and societies, but also machines,  
438 when the latter operate at idle, with no other power production than the maintenance of this idle. We  
439 have seen that in this case there are two categories of systems depending on whether we favor power  
440 production or low consumption at rest. These two categories are resolutely distinct and it is illusory  
441 to think of a system capable of producing a very high power, while maintaining a very low basic  
442 consumption. The choice of  $R_1$ , *i.e.* the dissipation at rest, is also decisive in the dissipation produced  
443 by feedback. The issues of minimization or maximization of efficiency and power are therefore part of  
444 a much broader framework than initially thought.

## 445 7. Discussion and conclusion

446 We proposed a generic thermodynamic system model that allows to consider several situations of  
447 coupling of the energy and matter currents, as well as their conversions. At the local level, the intrinsic  
448 performance of the device that constitutes the core of the system was studied. It appears that the best  
449 intrinsic performance in terms of power and efficiency is obtained for the devices with the largest  
450 figure of merit, without specifying the respective contributions of the conductivities associated with  
451 the transport of energy or matter. However, the sensitivity of these devices to changes in the reduced  
452 current  $j$  shows that the intrinsically most efficient devices are also the most constraining because they  
453 require precise control of this reduced current, and therefore of energy and matter currents. At the scale  
454 of a complete system, the coupling to the external environment very strongly modifies the conclusions  
455 compared to the observations made at the local level. It is observed that behavior is mainly governed  
456 by the boundary conditions that connect the local system to the resource and the waste. The presence  
457 of boundary conditions such as Dirichlet or Neumann leads to a wide variety of behaviors. The ideal  
458 Dirichlet conditions are the only ones that do not lead to any feedback, and consequently conduct  
459 to the absence of limitations for the energy and matter currents. When the boundary conditions are  
460 between Dirichlet and Neumann, then many possibilities arise. The presence or absence of a resting  
461 point for the system strongly influences these possibilities in terms of power but also in terms of waste  
462 production associated with the completion of a task. The concept of coefficient of energy cost,  $COE$ ,  
463 is introduced, generalizing the classical  $COT$  already established for biological systems. Finally it is  
464 observed that the internal dissipation produced by the presence of  $R_2$  is always detrimental for both  
465 the efficiency and the power. Its only positive contribution is limited to cases where dissipation and  
466 entropy production are explicitly sought, as in the case of homeothermic animals.

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475 **Appendix A.**

We consider the set of the four equations of the generic model,

$$I_{E-} = \alpha \Pi_1^- I_2 + (1 - \varphi) R_2 I_2^2 + \frac{(\Pi_1^+ - \Pi_1^-)}{R_1} \quad (\text{A1})$$

$$I_{E-} = \frac{(\Pi_1^- - \Pi_1^S)}{R_-} \quad (\text{A2})$$

$$I_{E+} = \alpha \Pi_1^+ I_2 - \varphi R_2 I_2^2 + \frac{(\Pi_1^+ - \Pi_1^-)}{R_1} \quad (\text{A3})$$

$$I_{E+} = \frac{(\Pi_1^R - \Pi_1^+)}{R_+} \quad (\text{A4})$$

476 The  $\varphi$  term defines the fraction of the waste which is respectively rejected to the source and to the  
477 sink. This is a well known parameter in some thermal engines [24]. In the case of living system,  $\varphi$  may  
478 define the ratio of heat rejected outside of the body and kept inside.

479 The resolution of the four equations gives,

$$\begin{pmatrix} \Pi_1^- \\ \Pi_1^+ \end{pmatrix} = \frac{1}{AD - BC} \begin{pmatrix} D & -B \\ -C & A \end{pmatrix} \begin{pmatrix} \Pi_1^S + (1 - \varphi) R_- R_2 I_2^2 \\ \Pi_1^R + \varphi R_+ R_2 I_2^2 \end{pmatrix} \quad (\text{A5})$$

with

$$A = 1 - \alpha R_- I + \frac{R_-}{R_1}$$

$$B = -\frac{R_-}{R_1}$$

$$C = -\frac{R_+}{R_1}$$

$$D = \alpha R_+ I + \frac{R_+}{R_1} + 1$$

480 **Appendix B.**

481 In the case of a system without dissipation,  $R_2=0$ , the general equations become

$$I_{E-} = \alpha \Pi_1^- I_2 + \frac{\Pi_1^+ - \Pi_1^-}{R_1} \quad (\text{A6})$$

$$\Pi_1^- = R_- I_{E-} + \Pi_1^S \quad (\text{A7})$$

$$I_{E+} = \alpha \Pi_1^+ I_2 + \frac{\Pi_1^+ - \Pi_1^-}{R_1} \quad (\text{A8})$$

$$\Pi_1^+ = \Pi_1^R - R_+ I_{E+} \quad (\text{A9})$$

482 Which leads to,

$$I_{E+} = \frac{\alpha I_2 \frac{R_1}{R_+} \Pi_1^S + \frac{\Delta \Pi_1}{R_+} + \alpha A I_2 \frac{R_1}{R_-} \Pi_1^R + A \frac{\Delta \Pi_1}{R_-}}{1 + AB} \quad (\text{A10})$$

$$I_{E-} = \frac{\alpha I_2 \frac{R_1}{R_-} \Pi_1^R + \frac{\Delta \Pi_1}{R_-} - \alpha B I_2 \frac{R_1}{R_+} \Pi_1^S - B \frac{\Delta \Pi_1}{R_+}}{1 + AB} \quad (\text{A11})$$

483 with,

$$A = \left( \alpha I_2 R_1 \frac{R_-}{R_+} - \frac{R_1}{R_+} - \frac{R_-}{R_+} \right)$$

$$B = \left( \alpha I_2 R_1 \frac{R_+}{R_-} + \frac{R_+}{R_-} + \frac{R_1}{R_-} \right)$$

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