

REVIEW ARTICLE

Running Head: *Chronobiology revisited in psychiatric disorders***Chronobiology revisited in psychiatric disorders: from a translational perspective**

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1 **Abstract**
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Several lines of evidence support a relationship between circadian disruption in the onset, course, and maintenance of mental disorders. Despite the study of circadian phenotypes promising a decent understanding of the pathophysiologic or etiologic mechanisms of psychiatric entities, several questions still need to be addressed. In this review, we aimed to synthesize the literature investigating chronobiologic theories and their associations with psychiatric entities. We first introduced molecular elements and mechanisms of the circadian system to promote a better understanding of the chronobiologic implications of mental disorders. Then, we comprehensively and systematically reviewed circadian system studies in mood disorders, schizophrenia, and anxiety disorders. Current research has demonstrated that circadian pathologies, including genetic and neurohumoral alterations, represent the neural substrates of the pathophysiology of many psychiatric disorders. However, much more work is needed to identify the causal relationship between circadian physiology abnormalities and mental disorders, and to develop sound pharmacologic interventions.

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28 **Keywords:** Biological Clocks, Circadian Rhythm Disorders, Psychiatric disorders, melatonin,
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“There is a time for many words, and there is also a time for sleep.”

Homer, 850 BC

Summations

Sleep and circadian biorhythms are major physiologic functions responsible for emotional, cognitive, and somatic responses of the living organism.

Mental disorders are often associated with disruptions in circadian rhythm functions.

Molecular elements and expressions of genes including CLOCK, PER, and CRY, which are directly involved in the circadian system, are reported altered in many psychiatric disorders, particularly in mood disorders.

Glucocorticoid rhythm supported by the hypothalamus–pituitary–adrenal (HPA) axis and melatonergic activity have a crucial role in the regulation of biorhythm, and oscillations of tissue and organ systems including the central nervous system, and both systems have been demonstrated impaired in major mental illnesses including schizophrenia and other psychotic disorders.

Considerations

Despite the review process performed with a detailed searching, selection, and summarization practices, the inadequacy of the studies that establish a causative link between circadian rhythm disruptions and mental disorders hinders generalizations on pathophysiologic mechanism.

There is a lack of translational approach to the findings of animal models which might provide clearer understanding of pathophysiologic implications of the circadian system in mental disorder.

Despite studies to date suggesting circadian genotypes and phenotypes as promising subjects for a better understanding of the pathophysiologic mechanisms of psychiatric disorders, a causal relationship between circadian physiology abnormalities and mental disorders has yet to be elucidated.

1 1. Introduction

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5 Rhythmicity is a fundamental characteristic of the nature of life. Time as a dynamic and
6 complex phenomenon, playing a pivotal role to sustain rhythmicity for the biologic essentials
7 and needs of living organisms. Chronobiology aims to define basic principles of vital reactions
8 that occur nearly 24 hours per day through circadian rhythms and biologic processes in
9 anything from single cells to human beings. The first scientific awareness of circadian rhythms
10 started with observations of the mimosa plant (*Mimosa pudica*) folding independent of
11 daylight by the French astronomer Jean Jacques d'Ortous de Mairan, in 1729 (Foster and
12 Kreitzman, 2005). In the 1930s, the German biologist Erwin Bünning subsequently noticed
13 that the movement of the bean plant had an intrinsic period that did not change under
14 constant light conditions and inferred that such periodic alterations were arranged with an
15 endogenous clock (Foster and Kreitzman, 2005).

16 The term 'circadian' was first used by Franz Halberg in 1959. It means 'about a day' and an
17 endogenous day slightly shorter or longer than 24 hours (from the Latin term circa: about and
18 diem: day) depending on constant conditions, preserved from environmental factors (Halberg
19 *et al.*, 2003). Uncovering interactions between molecules and cells within an endogenous day
20 was a major advancement in the discovery of the essential mechanism of circadian rhythm,
21 which was a remarkable scientific milestone in chronobiology. It had been eagerly attempted
22 to explain the further molecular mechanisms of circadian rhythm; however, the oscillation
23 process could not be unraveled until 1971. Konopka and Benzer first determined a gene by
24 observing the differences of circadian period lengths among three mutant flies (Konopka and
25 Benzer, 1971). They demonstrated three mutants, one was arrhythmic, another had a shorter
26 period of 19 h, and the third had a longer period of 28 h; flies with neither the short-period
27 gene nor the long-period gene or the arrhythmic gene would not produce a normal rhythm.
28 They concluded that the same functional gene with a point mutation appeared to be affected
29 in all cases. This work inspired Jeffery C. Hall, Michael Rosbash, and Michael Young,
30 independently. They cloned and rescued the *Drosophila Period* gene, which was recognized
31 as the first clock gene, found in 1984 (Bargiello, Jackson and Young, 1984; Reddy *et al.*, 1984).
32 They defined the transcriptional translational feedback loop (TTFL) model with the analysis of
33 *Per* gene expression and they demonstrated additional genes and proteins in further work.
34 The simple genetic model they postulated revealed the generation of an autonomous
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1 oscillator, including transcription-translation cycles from interacting positive and negative
2 feedback loops that depend on ribonucleic acid (RNA) and protein levels, which is still used to
3 understand circadian rhythms. Consequently, they were awarded the Nobel Prize in
4 Physiology and Medicine in 2017 for their explanatory findings of molecular mechanisms
5 controlling the circadian rhythm (Huang, 2018).

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11 Despite the fact that the understanding of the neural basis of rhythmicity and central nervous
12 system (CNS) involvement in circadian mechanisms is not long-standing knowledge, the
13 discovery of the suprachiasmatic nucleus (SCN) of the anterior hypothalamus, which was later
14 described as the master circadian pacemaker in mammals, is actually not very recent. The SCN
15 was first defined as a cluster of different neurons in the 1880s and was subsequently
16 recognized in a number of mammalian species' brains through comparative studies of the
17 hypothalamus by Crosby and Woodburne (Crosby and Woodburne, 1951; Sollars and Pickard,
18 2015). However, the discovery of its regulatory function on circadian rhythm occurred nearly
19 100 years later. The SCN contains a complex neurochemical organization and its functional
20 organization had been revealed with comprehensive experimental studies regarding the
21 function of localization, the neuronal mini-network it contains, and its role in the circadian
22 system. Consequently, the SCN is recognized as a coordinator of biologic processes regulating
23 numerous cellular clocks of the brain and other organ systems.

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33 The findings of considerable studies revealing that a broad range of cell types in the body and
34 brain have biologic clocks raised questions regarding the specific function of circadian rhythm
35 and its contribution to illnesses. Circadian rhythms in peripheral organ systems and their
36 impeccable relationship with the SCN and other physiologic and metabolic mechanisms are
37 essential for physical and mental health. Disturbances in the central and peripheral clocks due
38 to shiftwork or a diversity of clock genotypes have been associated with many illnesses
39 including metabolic dysfunctions, obesity, cancer, and mental disorders (Gillette, 2013).

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49 Circadian disruption, a common manifestation of nearly all psychiatric disorders, is not a
50 surprising predisposing factor for mental disorders, because sleep is considered as a cardinal
51 psychological and vital function and requires routine evaluation in every mental state
52 examination. Studies of human circadian rhythm genes revealed that genetic polymorphisms
53 of these genes predisposed to psychiatric disorders (Benedetti *et al.*, 2003; Takao *et al.*, 2007;
54 Lee *et al.*, 2010). Therefore, circadian disturbances seem to be the common thread to all these
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possible underlying mechanisms that contribute to illness onset, maintenance, and even the response to treatment. Special attention ought to be paid toward the physiology and pathology of circadian rhythm to understand the etiology of psychiatric disorders, and to develop appropriate treatment strategies because chronobiology is an essential field of work in mental disorders. Related literature provides information on circadian rhythm disturbances for certain psychiatric diagnoses such as mood and anxiety disorders. However, we are aware of a lack of a comprehensive perspective of molecular and neural substrates to clinical manifestations in psychiatric disorders. Therefore, we aimed to provide a general overview regarding the reciprocal relationship between circadian rhythm and psychiatric disorders in this article.

Searching strategy and selection criteria of reviewed studies

An electronic database search was performed by the authors in the MEDLINE, Embase, PsycInfo, and Scopus databases for relevant articles published between January 1990 and October 2019. We searched reference lists of relevant reviews. Different combinations of the keywords *psychiatric disorder*, *mental disorder*, *mood disorder*, *bipolar disorder*, *depression*, *unipolar depression*, *major depressive disorder*, *schizophrenia*, *psychotic disorders*, *anxiety disorders*, *circadian rhythms*, *circadian markers*, *chronotype*, *chronobiology*, *circadian gene*, *clock gene*, *melatonin*, and *HPA axis* were polled. Articles published only in English were reviewed. Unpublished studies, case reports, theses, and conference papers were excluded. Several highly cited and regarded comprehensive review articles and meta-analyses are cited due to space considerations. Eligible open-access and institutional-access articles were recruited. The articles were filtered through an inspection of the abstracts in order to select the most suitable articles related to the topic. In addition to database searches, the reference lists of the relevant articles were also evaluated manually for additional publications matching the scope of our review. The authors avoided incorporating duplicated samples of the key papers; however, studies with similar methodology were included when they were of a high-impact nature (Figure 1).

2. Molecular regulation of the circadian rhythm

We believe that it is noteworthy to briefly summarize the molecular underpinnings of circadian science that gave input to the research into neural substrates of rhythmicity.

1 Although the aforementioned discovery of the *period* gene was a remarkable finding that
2 identified a genetic determination of the biological clock, it did not mean comprehension of
3 all circadian molecular mechanisms. The circadian rhythm started to be more understandable
4 with the determination of alterations in PER protein and *period* mRNA levels during a day. Hall
5 and Rosbash ascertained that levels of *period* mRNA peaked in the early night, several hours
6 earlier than the peak PER protein abundance (Hardin, Hall and Rosbash, 1990). The TTFL model
7 emerged with the discovery of further circadian rhythm genes found in subsequent studies.
8 According to this model, PER and TIM (a protein encoded by the *timeless* gene) proteins
9 transformed into a heterodimer form in the cytoplasm in order to translocate into the nucleus.
10 TIM protein allows nuclear entry of PER (Gekakis *et al.*, 1995). Besides CLOCK and CYCLE
11 [orthologues of mammalian CLOCK and BMAL-1 (a protein encoded by the *brain muscle ARNT-*
12 *like protein-1 (Bmal-1) gene*, respectively] constitute a protein couple that supports the
13 transcription of *period* and *timeless* genes [the equivalent of *period 1-3* and *cryptochrome 1-2(Cry)*]
14 in mammalian cells] in the nucleus (Allada *et al.*, 1998; Rutila *et al.*, 1998). When the
15 PER-TIM heterodimer binds to the CLOCK-CYCLE couple, CLOCK-CYCLE segregates from DNA
16 and the transcription of downstream genes related to PER and TIM conclude. In other words,
17 the PER and TIM heterodimer terminate their transcription. However, in the event of a
18 decrement in PER and TIM protein levels, the CLOCK and CYCLE couple activates their
19 transcription once again, and TTFL starts over. All of these biochemical reactions include
20 transcription and translation processes that occur rapidly. However, a near 24-h period needs
21 a delay *period* and *timeless* gene transcriptions. The explanation about the regulation of the
22 needed delay comes from the discovery of the *doubletime* gene, another member of the clock
23 genes (Kloss *et al.*, 1998; Price *et al.*, 1998). The *doubletime* gene's product casein kinase-1
24 (CSNK-1 ϵ ; casein kinase 1 epsilon in mammals) phosphorylates PER for degradation. Thus,
25 activity of the *doubletime* gene reduces the stability and accumulation of PER, thereby
26 promoting a delay between PER-TIM transcription and PER-TIM nuclear function (Lowrey *et*
27 *al.*, 2000; Huang, 2018). This molecular mechanism occurs both in the SCN and nearly all
28 peripheral cells.

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30 The maestro of chronophysiologic rhythms including body temperature, sleep-wake cycle
31 motor activity, and neuroendocrine functions, is located in the SCN of the hypothalamus. The
32 clock genes in the peripheral cells such as hepatocytes, adipocytes or epidermal and dermal
33 cells have their own rhythmicity; however, cyclic processes in which the SCN is involved
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provide an integrative organization of the physiologic functions and behavioral outputs of the body (Mohawk, Green and Takahashi, 2012; Challet, 2015). The circadian system sustains an endogenous rhythmic activity in spite of environmental cues. Regardless of the presence of light, the neuronal activity in the SCN occurs at a higher frequency during the day compared with the night. The neurons of the SCN tend to be excitable in the day to maintain spontaneous activity through persistent Na^{++} currents, oscillations in chloride pumps, K^{+} channels, and Ca^{++} pools in the morning. Conversely, hyperpolarized neurons are inhibited and keep the silence in the SCN at night (Colwell, 2011). CRY and PER proteins gather in the cytoplasm before translocating into the nucleus where they inhibit CLOCK-BMAL-1 activity during the night. In other words, CRY and PER proteins terminate their own transcription when they inhibit CLOCK-BMAL-1 complex activity. After that, degradation of PER and CRY manages the inhibition of CLOCK-BMAL1 toward the morning, followed by resumed transcription of *period/cryptochromes* and other clock genes (Tsang *et al.*, 2016).

The master clock synchronizes the endogenous rhythm to the external world, mainly in the presence of major environmental input – light (Mrosovsky and Hattar, 2003; Dibner, Schibler and Albrecht, 2010; Pevet and Challet, 2011). A specialized tract, called the retino-hypothalamic tract, which starts from the retinal ganglion cells that include the essential photoreceptor pigment melanopsin, and terminating at the SCN. This tract aids upregulation of clock gene expression and increases neuronal activity in the SCN (Hankins, Peirson and Foster, 2008; Amaral *et al.*, 2018). Nevertheless, functions of the SCN, such as synchronization by the light/dark cycle, do not only depend on this molecular mechanism. Many inputs of the SCN have been determined including melatonin, food intake, blood pressure, and physical activity (Buijs *et al.*, 2014; Asher and Sassone-Corsi, 2015; Sabbar *et al.*, 2017; Pfeffer and Wicht, 2018). In addition, the SCN receives non-photic timing inputs from the raphe nucleus, which means the serotonergic system plays a substantial role in the regulation of circadian rhythm (Zhang *et al.*, 2016). Furthermore, the SCN serves in the excretion of numerous neurotransmitters that interact with other hypothalamic structures, hence neuropeptidergic signaling maintains circadian rhythm of the SCN. Consequently, the biologic interactions between the brain and body are modulated by the SCN, which is critically involved in the organism's adjustment to the environment through the impact of internal signals, which are mediated by hormonal rhythms, the autonomic nervous system, and external time indicators such as light and food intake (Gillette, 2013).

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2 Circadian disruption could contribute to a wide range of illnesses including obesity, diabetes
3 mellitus, autoimmune disorders, and particularly mental disorders (Buttgereit *et al.*, 2015;
4 Duval *et al.*, 2017; Rebecca Robillard *et al.*, 2018; Rébecca Robillard *et al.*, 2018; Saetung *et*
5 *al.*, 2019). Disruption that arises due to a misalignment between inner physiology and the
6 external world or a clock gene polymorphism may facilitate the emergence of diseases,
7 increased disease severity and worsened prognosis, and heightened risk for poor treatment
8 outcomes (Barandas *et al.*, 2015; Charrier *et al.*, 2017). (Table 1)

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17 **3. Neurohumoral and hormonal regulation of circadian rhythm**

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20 The SCN collects information about the endogenous clocks through nervous projections and
21 peripheral hormones. The SCN's monosynaptic outputs mainly target the pre-autonomic
22 neurons of the paraventricular nucleus (PVN) in the hypothalamus. The SCN is directly
23 involved in the hypothalamic output to the preganglionic parasympathetic regions of the
24 brainstem and to sympathetic preganglionic motor neurons of the spinal cord (Ono *et al.*,
25 1978; Kalsbeek *et al.*, 2006; Guilding and Piggins, 2007). These projections allow the SCN to
26 command the rhythmic control of hormone release and metabolism of all visceral structures
27 through parasympathetic and sympathetic outputs. It has been determined that the SCN could
28 increase glucose production from the liver through the sympathetic output to the liver with
29 its projections that reach to the PVN (la Fleur *et al.*, 2000). Similarly, the SCN could increase
30 corticosterone secretion in the adrenal or support glucose uptake into the muscle cells via
31 sympathetic activation (la Fleur *et al.*, 2001; Shimazu and Minokoshi, 2017; Buijs *et al.*, 2019).
32 Besides, hormonal signals predominantly controlled by the SCN have a critical role in the
33 regulation of internal synchronization (Challet, 2015). Internal synchronization is supplied by
34 adrenal glucocorticoids, pineal melatonin, adipocyte-derived leptin, pancreatic insulin or
35 stomach ghrelin induced by the SCN. Internal synchronization included many multi-synaptic
36 neuronal pathways that modulate behavior. For example, leptin increases during food intake
37 in rats, ghrelin increases following a fasting period, and adrenaline increases with locomotor
38 activity (Kalsbeek *et al.*, 2001; Shiiya *et al.*, 2002; Buijs *et al.*, 2019).

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55 Glucocorticoids are produced in the adrenal glands from cholesterol and rhythmically released
56 at ultradian (pulsatile) and circadian (daily) scales. Glucocorticoid release peaks typically prior
57 to the onset of physical activity and depends on the fluctuations of corticotropin
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(adrenocorticotrophic hormone, ACTH), a polypeptide secreted from the anterior pituitary under the control of corticotropin-releasing hormone (CRH), during the day. Glucocorticoid levels are regulated by a complex interaction between the adrenal clock and sympathetic outputs from the PVN and SCN (Kalsbeek *et al.*, 2012). Furthermore, the daily variation of glucocorticoids is influenced by stressful life events that activate the hypothalamus–pituitary–adrenal (HPA) axis and the autonomous nervous system. Glucocorticoid rhythm has a crucial role in the regulation of other hormonal rhythms and peripheral oscillations of metabolic gene expressions in the cells of tissues such as liver and white adipose tissue (Kalsbeek *et al.*, 2012).

On the other hand, adrenal glucocorticoids can modulate the synchronization of the master clock to light via serotonergic projections from the raphe nucleus (Van De Kar and Lorens, 1979). Serotonergic neurons release serotonin in the presence of glucocorticoid and locomotor activity. Such neuronal activity ensures transmitting feedback to the SCN in order to sustain the functioning of the clock itself (Malek *et al.*, 2007). In other words, serotonergic projections stimulated by locomotor activity provide a re-synchronization of the SCN (Buijs *et al.*, 2016). Furthermore, brain serotonin synthesis and catabolism have their own circadian rhythm, closely related to the SCN. Neuronal serotonin release in the SCN is provided in the absence of photic stimulation, and serotonin levels increase in the raphe nucleus after the beginning of the dark phase (Pontes *et al.*, 2010). Tryptophan hydroxylase (TpH), the rate-limiting enzyme in the synthesis of serotonin, is one of the regulators of circadian rhythm in the raphe nucleus. It is known that TpH peaks during the dark phase, helping the interaction between the serotonergic system and the SCN through the increment of serotonin levels (Pontes *et al.*, 2010). Also, serotonergic neurotransmission alterations could cause phase shifts and changes in SCN activity affecting the phosphorylation of CLOCK proteins (Zaki *et al.*, 2018).

Melatonin, a member of the class of acetamides, is another hormone related to biologic rhythm. It is primarily released by the pineal gland, particularly at night. Melatonin release is adjusted by the length of night time and melatonin *per se* regulates the seasonality of energy metabolism and reproduction in photoperiodic species (Pévet, 2003). The nocturnal release of melatonin is induced by the SCN input to the PVN noradrenergic (sympathetic) afferents to the pineal gland (Buijs *et al.*, 2019). Melatonin accumulates sleep both by setting the SCN and inhibiting neural centers such as the locus coeruleus (LC) and raphe nuclei, which mediate arousal through the ventrolateral preoptic nucleus of the hypothalamus (VLPO). It has been

1 determined that melatonin receptor agonists increase monoaminergic neuronal activity and
2 contribute to the regulation of dopamine and 5-HT neurotransmission (Chenu, El Mansari and
3 Blier, 2013). In other words, melatonin has a modulatory role on the monoaminergic activity
4 by linking the circadian and monoamine systems. The SCN modulates the release of melatonin
5 mainly through γ -aminobutyric acid (GABA) neurons that project from the SCN to the PVN
6 (Kalsbeek *et al.*, 1999). The daylight in the morning and the bright light in the evening activate
7 the SCN neurons that inhibit the same PVN neurons through GABAergic projections and cease
8 the secretion melatonin (Pevet and Challet, 2011). The daily rhythm of melatonin has
9 remarkable effects on the molecular clockworks of both the brain and body alongside
10 regulating the sleep/wake cycle (Khaldy *et al.*, 2002; Uz *et al.*, 2003). Melatonin receptors
11 (MT1 and MT2) are mainly localized in the CNS but also have been detected beyond the CNS
12 in a wide range of somatic cells (Macchi and Bruce, 2004). This diversity could be interpreted
13 as melatonin having an integrative role in the light-induced circadian rhythms controlled by
14 the SCN in the whole organism.

26 27 28 **4. Circadian rhythm and its implications on psychiatric disorders**

29 At the core of any psychiatric disorder is an abnormality in neurotransmitter signaling. It is
30 well known that the disruption of circadian physiology has widespread effects on all aspects
31 of neural and neuroendocrine function, which leads to psychiatric disorders. The
32 aforementioned information regarding neural substrates of biologic rhythm is frequently
33 reported impaired in many mental disorders. Following the comprehensive conceptual
34 framework of neural substrates of chronobiologic processes mentioned above, we will next
35 discuss the reciprocal associations between circadian rhythm disturbances and psychiatric
36 disorders, and draw a clinical picture for common diagnoses (Table 2).

47 **4.1. Mood disorders**

48 In 1681, Robert Burton defined the autumn as the most melancholic season in his best-known
49 classic, *The Anatomy of Melancholia* (Burton, 1621). Circadian rhythm abnormalities in mood
50 disorders have been pointed towards by the observers of melancholia for sixty years (Richter,
51 1965; Atkinson, Kripke and Wolf, 1975; Souêtre *et al.*, 1989). A wide range of body functions
52 such as body temperature, blood pressure, pulse rate, and hormones such as plasma cortisol
53 levels, thyroid-stimulating hormone, and melatonin have been found disturbed in patients
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1 with manic depression and depression compared with people without a mental disorders
2 (Atkinson, Kripke and Wolf, 1975; Souêtre *et al.*, 1989). Moreover, mood and other symptoms
3 of the disorder have been previously reported to show diurnal variation in depression (Hall,
4 Spear and Stirland, 1964). Disordered sleep/wake cycle is considered as another clue for
5 physicians in patients with bipolar disorder (BD) and major depressive disorder (MDD) (Hall,
6 Spear and Stirland, 1964). In addition, it was recognized that disrupted rhythms were re-
7 synchronized after antidepressant or mood-stabilizing treatment (Wehr and Wirz-Justice*,
8 1982). Another significant feature is that mood episodes recur seasonally and previous studies
9 showed that there could be an association between light and the emergence of mood states
10 (Zung and Green, 1974; Eastwood and Peacocke, 1976; Milstein *et al.*, 1976; Frangos *et al.*,
11 1980; Berkol *et al.*, 2017). Thus, all of these findings suggested the possibility of circadian
12 rhythm disturbance in mood disorders. Consequently, the earliest mention of seasonality took
13 place in the Diagnostic and Statistical Manual of Mental Disorders Third Edition, Revised
14 Version (DSM-III-R), and seasonal pattern was defined as a specifier in the affective disorders
15 section (Spitzer *et al.*, 1990).

16 Chronotype is another concept associated with mental disorders, particularly with affective
17 disorders, and resembles individual physiologic functions and activities such as sleeping,
18 eating, or hormone release. Chronotype has usually been used to denote sleep habits:
19 morning and evening types. The relationship between chronotypes and several psychiatric
20 disorders has been studied to date and the evening chronotype has been related to a
21 vulnerability to depression and increased alcohol and stimulant drug use (Iasevoli *et al.*, 2016).

22 Although sleep/wake cycle alteration, which is considered as a consequence of circadian
23 system disruption, had been the best-known contributor to the pathophysiology of mood
24 disorders for years, today, it is well-recognized that circadian rhythm is entangled with a wide
25 range of molecular and cellular processes that are hypothesized to lead to mood disorders
26 (McClung, 2013). Accordingly, below we discuss in detail internal and external factors that
27 may play a role in the emergence of mood disorders through various psychophysiological
28 mechanisms within the circadian rhythm processes.

56 4.1.1. Major depressive disorder

1 As a cardinal element of chronobiologic processes, sleep behavior and its disturbances have
2 received the strongest spotlight regarding research into their undisputed etiologic and
3 prognostic association with mood disorders. The concomitance of sleep disruption and
4 depression had been the main focus of research into the contribution of circadian rhythm
5 disruption to depression development since the 1970s (Wirz-Justice, Pühringer and Hole,
6 1976; Wirz-Justice *et al.*, 1981; Wehr *et al.*, 1983). The relationship between sleep and mood
7 could easily be observed even in healthy individuals exposed to jet lag or shiftwork (Simon,
8 2012). The presence of sleep disruption may cause negative effects, irritability, and fatigue.
9 Sleep behavior changes, such as difficulties in initiating/maintaining sleep or early morning
10 awakening have been determined in 90% of patients with MDD (Wulff *et al.*, 2010). Sleep-
11 wake disruptions are among the criteria for the diagnosis of depression, and comorbid
12 parasomnias are associated with poor treatment outcomes, increased suicidality, and greater
13 relapse risk in depression (Iasevoli *et al.*, 2016; Stubbs *et al.*, 2016; Vadnie and McClung, 2017;
14 Vargas *et al.*, 2019). Sleep architecture alterations including shortened latency of the initial
15 rapid eye movement (REM) sleep, prolonged first REM period, increased total REM time,
16 increased REM density and proportion of REM sleep, and decreased non-REM sleep have been
17 demonstrated in depression (Kupfer and Foster, 1972; 'The application of EEG sleep for the
18 differential diagnosis of affective disorders', 1978; Kupfer *et al.*, 1984; Rush *et al.*, 1986; Giles
19 *et al.*, 1987; Monteleone and Maj, 2008; Pillai, Kalmbach and Ciesla, 2011). It should be
20 considered that sleep has multiple regulators related with homeostatic mechanisms along
21 with the circadian rhythm.

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23 Melatonin output and the timing of its release have been found closely associated with other
24 rhythms as mentioned above. Numerous studies have been conducted to show alterations of
25 melatonin release and its phase to determine circadian misalignment in patients with mood
26 disorders (De Berardis *et al.*, 2015). Melatonin secretion peaks a few hours before sleep or at
27 the time of minimal vigilance propensity, and decreases as wakefulness approaches under
28 normal conditions (Reiter, 1993). In contrast, core body temperature reaches the highest
29 degree during the day and has a nocturnal decline related to the melatonin peak (Cagnacci,
30 Elliott and Yen, 1992). This inverse relationship between melatonin and core body
31 temperature is organized by the SCN. To date, the most consistent results suggested lower
32 nocturnal melatonin levels, delayed melatonin secretion onset, and offset in patients with
33 depression (De Berardis *et al.*, 2015). Besides, the length of the interval between melatonin
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1 secretion and sleep onset has been found related to depression severity (Emens *et al.*, 2009).
2 In addition, elevated nocturnal body temperature and daily mean temperature degrees are
3 observed in patients with depression and these higher values normalized with antidepressant
4 treatment (Iasevoli *et al.*, 2016). However, several studies were unable to explain the causal
5 association between body temperature abnormalities and the melatonin increase in
6 depression (Shafii *et al.*, 1996; Hasler *et al.*, 2010).
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9 There is an irrefutable association between circadian genes and mood regulation. Even though
10 mood disorders are not directly related to clock gene mutations, findings suggest that
11 individual genetic polymorphisms of clock genes may influence the clinical features of the
12 disorder, such as age at disease onset and treatment response (Wirz-Justice, 2006; Kishi *et al.*,
13 2009). Genetic studies have implicated *clock*, *timeless*, *cryptochrome-1 (Cry-1)*, *period-2,3*
14 (*Per-2,3*), *Bmal-1,2*, *neuronal pas domain protein 2 (Npas-2)*, *nuclear receptor subfamily-1,*
15 *group d, member 1 (Nr1d-1)*, *retinoid-related orphan receptor a (Rora)*, *CSNK-1ε*, *D site of*
16 *albumin promoter binding protein (Dbp)*, *acetylserotonin methyltransferase (Asmt)*, *melatonin*
17 *receptor 1b (Mtnr1-B)*, *arylalkylamine n-acetyltransferase (Aanat)* genes in unipolar
18 depression (Kennaway, 2010; Lavebratt *et al.*, 2010; Soria *et al.*, 2010; Etain *et al.*, 2011;
19 Melhuish Beaupre, Brown and Kennedy, 2018). However, most of these studies have small
20 sample sizes and need to be replicated in larger groups.
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23 Glucocorticoids are adrenal steroid hormones and have multifunctional roles in the body and
24 brain such as metabolism, immunity, arousal, neuronal survival, and neurogenesis (Herbert *et*
25 *al.*, 2006). Glucocorticoids have their own circadian rhythm and an important role in
26 synchronizing peripheral clocks and the SCN. In addition, they have anti-inflammatory
27 properties and regulate the immune system response (Dumbell, Matveeva and Oster, 2016).
28 Since Carroll defined the resistance of the dexamethasone suppression test in patients with
29 depression in 1968 (Carroll, Martin and Davies, 1968), hypothalamic-pituitary-adrenal (HPA)
30 axis dysregulation has been one of the most consistent findings in mental disorders,
31 particularly in depression (Carroll, Martin and Davies, 1968; McClung, 2013).
32 Hypercortisolemia-flattened HPA axis circadian rhythm and disrupted response of the HPA
33 axis to glucocorticoid feedback are commonly observed in patients with depression (Gold,
34 2015; Keller *et al.*, 2017). Dehydroepiandrosterone (DHEA), is another adrenal steroid that has
35 a neuroprotective role and modulates corticosteroid-induced cell death. An increased
36 cortisol/DHEA ratio, which assesses the degree of 'functional' hypercortisolemia, is seen in
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1 adults and adolescents with depression (Goodyer, Herbert and Altham, 1998; Gallagher and
2 Young, 2002; Markopoulou *et al.*, 2009). Glucocorticoid receptor hypofunction has also been
3 found in peripheral tissue cells including mononuclear cells and skin cells (Pariante and
4 Lightman, 2008). Furthermore, findings support that antidepressant treatment repairs the
5 impaired HPA axis dysfunction in depression (Carvalho *et al.*, 2010).
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8 Depression and inflammatory disorders such as rheumatoid arthritis, inflammatory bowel
9 disease, and asthma have been found coexisting, and such common comorbidities point to
10 the neuroinflammatory background and immune-associated contributions in the
11 etiopathogenesis of depression (Pasco *et al.*, 2010; Raison and Miller, 2011). Studies have also
12 shown that pro-inflammatory cytokines could induce a depression-like symptom cluster
13 including anhedonia, fatigue, increased sleep, and decreased locomotor activity (Postal and
14 Appenzeller, 2015). Inflammatory markers such as interleukin (IL)-1 β , IL-2, IL-6, tumor necrosis
15 factor (TNF)- α , C-reactive protein (CRP), and prostaglandin E2 (PGE2) have been reported
16 increased in patients with depression (Felger and Lotrich, 2013). Circadian disruption may be
17 another contributor to increased pro-inflammatory cytokine levels in depression. The
18 arrhythmic clock system interacts with the nuclear factor-kappa B (NF- κ B) signaling pathway,
19 which is one of the major regulators of inflammation in the body and activates the
20 inflammatory response (Imeri and Opp, 2009; Narasimamurthy *et al.*, 2012). Besides, sleep
21 disturbances and long sleep duration were found related with the increased cytokines levels
22 and the risk for depression (Irwin, Olmstead and Carroll, 2016). We may interpret the
23 aforementioned findings as the circadian system's involvement in the pathophysiology of
24 MDD being not limited to sleep/wake cycle disruption, it is also related to complex
25 associations between biologic rhythm, environment-gene interactions, HPA axis dysfunction,
26 and immune system alterations.
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47 4.1.2. Bipolar disorder

48 Sleep disturbances have been the core common characteristic feature in bipolar mood
49 episodes, both mania and depression, since the first definition of Kraepelin (Plante and
50 Winkelman, 2008). In turn, insomnia or hypersomnia and decreased need for sleep are typical
51 for manic and depressive episodes. Studies showed that sleep architecture was characterized
52 by increased REM density and reduced REM latency in bipolar manic episodes (Harvey, 2008b,
53 2008a). Sleep disturbances are also frequently observed in euthymic patients with BD.
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1 Increased REM density and the proportion of REM sleep have been shown in remitted patients
2 with BD (Dallaspezia and Benedetti, 2017). Moreover, findings revealed that remitted patients
3 with BD have longer sleep latency and sleep duration and lower sleep efficiency (Rocha, Neves
4 and Corrêa, 2013; Geoffroy *et al.*, 2015). Bipolar depression has similar polysomnographic
5 findings including a tendency for more early awakenings and more fragmented REM sleep
6 periods. However, total REM density was found greater in bipolar depression than in unipolar
7 depression (Dallaspezia and Benedetti, 2017) (See table 2 for detailed information). Although
8 abnormalities of sleep architecture are seen in episodes and inter-episodes, sleep
9 disturbances worsen before relapses. Sleep loss and reduced sleep duration were defined as
10 reliable predictors of hypomania and mania (Dallaspezia and Benedetti, 2017). In addition,
11 hypersomnia in euthymia is found associated with the development of upcoming depressive
12 symptoms (Kaplan *et al.*, 2015). On the other hand, a large amount of euthymic patients
13 describe symptoms that meet the diagnostic criteria for insomnia (Boudebesse *et al.*, 2014;
14 Geoffroy *et al.*, 2015). Sleep-wake disturbances have been found as one of the reasons for a
15 worse course of illness, relapses, increased symptom severity, and poor treatment outcomes
16 (Harvey *et al.*, 2015; Kanady, Soehnera and Harvey, 2015; Ng *et al.*, 2015; Sylvia *et al.*, 2018).
17 These findings may explain the reason for the treatment need in remitted patients with BD
18 (Vadnie and McClung, 2017).

19 Melatonin activity alteration is also associated with BD due to circadian dysregulations such
20 as changes in the release timing, phase alterations of melatonin secretion, and the sleep-wake
21 cycle (Dallaspezia and Benedetti, 2017). Although findings of melatonin function in patients
22 with BD are inconsistent, circadian system characteristics generally vary depending on the
23 current episode; mania or depression (Iasevoli *et al.*, 2016). Melatonin levels were found
24 higher in the daytime in manic patients than in healthy controls and patients with depressive
25 episode (Nováková *et al.*, 2015). Findings about nocturnal melatonin levels among BD phases
26 are not consistent (Lewy *et al.*, 1979, 1981; Kennedy *et al.*, 1989; Souêtre *et al.*, 1989). It
27 remains unclear as to whether these alterations derive from a primary dysfunction of the
28 circadian rhythm or if they are secondary to sleep disturbances related to the BD episode.
29 However, some studies supported the beneficial effect of exogenous melatonin
30 administration, which provides sleep and mood improvement (Livanos *et al.*, 2012).

31 Some of the clock genes have been found intimately associated with both the onset of BD and
32 illness course. Studies revealed that circadian gene polymorphisms may increase the
33

1 predisposition to BD and indirectly affect recurrences and symptoms across all BD phases
2 (Geoffroy, 2018). Genetic linkage and gene expression studies implicated the variant genes
3 related to BD as *clock*, *timeless*, *Cry-1*, *Npas-2*, *Bmal-1,2*, *Dbp*, *Nr1d-1*, *Per-2,3*, *Rora*, *Rorb*,
4 *Asmt*, *Csnk-1 ϵ* , *Csnk-1 δ* , and *glycogen synthase kinase-3 β (GSK-3 β)* (Kripke *et al.*, 2009;
5 McGrath *et al.*, 2009; Etain *et al.*, 2011; McCarthy and Welsh, 2012; Geoffroy *et al.*, 2014;
6 Geoffroy, 2018). It has been demonstrated that *ClockD19*, the mutant gene that occurs with
7 the deletion of exon 19 in the *Clock* gene, produces a dominant negative CLOCK protein
8 capable of DNA binding but deficient in transcriptional activity. This gene induces dopamine
9 synthesis and increased dopaminergic activity, which result in an increase in tyrosine
10 hydroxylase (TH) expression in the ventral tegmental area (VTA) and manic-like behavior in
11 animal models (Abarca *et al.*, 2002; Roybal *et al.*, 2007; Coque *et al.*, 2011). Moreover,
12 *ClockD19*-related higher dopaminergic activity in the VTA normalized after lithium treatment,
13 which suggests increased dopaminergic activity may be the main reason for the manic-like
14 behavior of mice (Roybal *et al.*, 2007). Recently, several lines of evidence have emphasized
15 the importance of the molecular and synaptic mechanisms of monoaminergic systems and
16 circadian gene interactions, which are closely related to molecular alterations associated with
17 the *ClockD19* model in the VTA and nucleus accumbens.(Parekh *et al.*, 2018) On the other
18 hand, lithium, a potent inhibitor of the GSK-3 enzyme, regulates the clock gene *Nr1d-1* and
19 *BMAL-1* through *GSK-3* (Gekakis *et al.*, 1998). Some polymorphisms including *Clockrs3805148*,
20 *Clockrs534654*, *Timelessrs11171856*, and *Timelessrs2291739* are associated with suicidal
21 behavior in BD (Pawlak *et al.*, 2015).

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40 A dysfunctional HPA axis is suggested to play an important role in the pathophysiology of BD,
41 although the mechanism needs to be elucidated. Increased levels of cortisol and ACTH are the
42 most replicated findings in BD (Belvederi Murri *et al.*, 2016; Sigitova *et al.*, 2017). However,
43 CRH levels are not determined to increase in BD.(Belvederi Murri *et al.*, 2016) Depressive
44 symptoms and cognitive deficits are thought to be associated with the higher levels of cortisol,
45 and ACTH and cortisol seem to be related to manic episodes (Sigitova *et al.*, 2017). A meta-
46 analysis suggested that abnormalities of stress-related pathways including increased morning
47 cortisol levels were mainly prominent in manic episodes. Such abnormalities are even
48 observed in remitted patients, which means that the long-term pathology of the HPA axis is
49 related to clinical states of BD and contributes to the stress-vulnerability models of illness
50 development and progression (Girshkin *et al.*, 2014).
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1 Immune abnormalities have received increased attention due to their possible role in the
2 pathophysiology of BD, as well as MDD. Systematic reviews on cytokine levels in patients with
3 BD revealed that IL-4, IL-6, IL-10, soluble IL-2 receptor, soluble IL-6 receptor, and TNF- α levels
4 were increased in patients compared with healthy controls, whereas IL-2, IL-8, IFN-gamma,
5 and C-C motif ligand were not different from controls (Modabbernia *et al.*, 2013). Moreover,
6 a comparison of cytokine levels in another study determined that proinflammatory cytokines
7 including IL-2, IL-4, IL-6 were higher during manic episodes, and IL-6 levels were higher in
8 depressive state than in healthy controls (Brietzke *et al.*, 2009). It was also demonstrated that
9 mood symptoms had a positive correlation with IL-6 and IL-2 levels (Brietzke *et al.*, 2009).
10 When bipolar depression and unipolar depression were compared, sIL-6R, CRP, sTNF-R1, and
11 monocyte chemoattractant protein-1 (MCP-1) were found at higher levels than in unipolar
12 depression (Bai *et al.*, 2015). In conclusion, sleep disturbances are a reliable indicator of an
13 upcoming mood episode in BD.

26 **4.2. Schizophrenia**

27 Although the relationship between mood disorders and circadian abnormalities has become
28 clearer in recent times, the links between schizophrenia and disrupted circadian rhythms have
29 yet to be elucidated fully. However, sleep and circadian disruption have been known as
30 common and consistent features of schizophrenia and other psychotic disorders since the first
31 definition of Kraepelin in 1883 (Pearson and Foster, 2015). Schizophrenia has been associated
32 with abnormalities in sleep including delayed and advanced sleep onset, altered resting
33 activity patterns, and irregular sleep-wake cycle (Wulff *et al.*, 2012). Research into circadian
34 abnormalities and sleep disruption in schizophrenia has attempted to explain the causal
35 relationship in a reciprocal context. Hyperdopaminergia is a well-known phenomenon in
36 psychosis syndromes and striatal hyperdopaminergic activity may be a result of sleep
37 disruption and circadian abnormalities, and increased dopamine levels may induce sleep
38 disruptions (Howes and Kapur, 2009; Monti *et al.*, 2013; Yates, 2016). There is also supporting
39 evidence showing an association between genetic polymorphisms and circadian disruption,
40 which is consistently confirmed in animal models. For instance, the *Clock T3111C*
41 polymorphism, which is associated with increased dopamine levels in the SCN, has been
42 determined in a population of Japanese patients with schizophrenia (Takao *et al.*, 2007).
43 Furthermore, the blind-drunk mutant mouse, which carries a mutation in the gene encoding
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1 an exocytotic synaptic protein, synaptosomal-associated protein-25 (Snap-25), exhibits
2 schizophrenia-like symptoms (Fasshauer *et al.*, 1998; Oliver and Davies, 2009). This mouse
3 model of schizophrenia has been shown to display phase advance and fragmentation of the
4 circadian cycle (Oliver *et al.*, 2012). Most consistent findings of the circadian genetics studies
5 have been associations between CLOCK, PERIOD1, PERIOD3, and TIMELESS genes and
6 schizophrenia (Lamont *et al.*, 2010). Circadian rhythm disruption has been reported in
7 approximately 80% of patients with schizophrenia (Cosgrave, Wulff and Gehrman, 2018).
8 Abnormal sleep patterns in schizophrenia have been described in both unmedicated patients
9 and patients currently receiving antipsychotic treatment (Wulff *et al.*, 2010). The major
10 findings in sleep architecture could be aligned, such as long sleep-onset latency, increased
11 intermittent awakenings, decreased total sleep time, and poor sleep efficiency (Sasidharan *et*
12 *al.*, 2017). Moreover, reductions in REM latency, REM density, and duration of non-REM Stage
13 4 are other alterations in micro-sleep architecture (Wulff *et al.*, 2010; Jones and Benca, 2015;
14 Bian *et al.*, 2017; Chan *et al.*, 2017; Kaskie, Gill and Ferrarelli, 2019). Sleep disturbances are
15 also important to predict increased suicide attempts in patients with schizophrenia (Li *et al.*,
16 2016).

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31 Melatonin is a versatile neuro-hormone that plays an important role in the pathophysiology
32 of schizophrenia. 5-HT synthesis regulation, sleep-wake cycle, and anti-oxidant effects against
33 neuroinflammation are impaired due to melatonin dysfunction in schizophrenia (Anderson
34 and Maes, 2012; Yates, 2016). It has been shown that melatonin increases endogenous
35 antioxidants by increasing phosphorylated glycogen synthase kinase-3 (GSK-3) levels and
36 provides an anti-inflammatory effect (Olcese *et al.*, 2009; Anderson and Maes, 2012). Galván-
37 Arrieta *et al.* reported a reduction in axogenesis associated with lower levels of
38 phosphorylated GSK-3 subtype β and less expression of melatonergic receptors in patients
39 with schizophrenia compared with healthy controls. These findings may indicate a melatonin-
40 derived neurodevelopmental deficit at a cellular level (Galván-Arrieta *et al.*, 2017). The
41 absence of melatonin rhythmicity, decreased nocturnal secretion of melatonin, and phase
42 advance in melatonin circadian rhythms have also been described in patients with
43 schizophrenia (Rao *et al.*, 1994; Anderson and Maes, 2012; Yates, 2016). Additionally, pineal
44 calcification in computed tomography has been demonstrated in patients with schizophrenia,
45 and this structural change has been found associated with cortical atrophy (Sandyk and Kay,
46 1991). Because of its significance in the pathogenesis of schizophrenia, melatonin has become
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1 a therapeutic target for researchers. It has been shown that melatonin agonists are efficacious
2 agents for schizophrenia-associated sleep disorders and drug-related tardive dyskinesia
3 (Shamir *et al.*, 2001; Gorfine *et al.*, 2006). Moreover, its improving effects on behavioral
4 deficits via reducing brain oxidative stress have been shown in an animal model of
5 schizophrenia (Onaolapo, Aina and Onaolapo, 2017).
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8 The relationship between clock genes and schizophrenia is another undiscovered area for
9 scientists. Few studies have been conducted to show linking circadian clock gene
10 polymorphisms in schizophrenia to date. Takao *et al.* identified the Clock 311C/T
11 polymorphism, which is associated with higher dopaminergic neurotransmission in the SCN in
12 patients with schizophrenia (Takao *et al.*, 2007). These results were confirmed in another
13 study conducted in a Chinese schizophrenic population (Zhang *et al.*, 2011). *Period-1* mRNA
14 expression in the temporal lobe of post-mortem subjects with schizophrenia was found down-
15 regulated when compared with healthy controls (Aston, Jiang and Sokolov, 2004). In addition,
16 disrupted diurnal rhythms of the *Per-1*, *Per-2*, *Per-3*, *Npas-2* and phase delay in the expression
17 of *Per-2* have been reported in white blood cells of patients with schizophrenia (Sun *et al.*,
18 2016). More recently, the absence of rhythmic expression of *Cry-1* and *Per-2* was determined
19 in the fibroblasts of patients with schizophrenia compared with cells obtained from healthy
20 controls. (Johansson *et al.*, 2016) Pinacho *et al.* reported decreased levels of CSNK1 ϵ protein
21 levels in the prefrontal cortex of patients with schizophrenia (Pinacho *et al.*, 2016). However,
22 due to the small sample sizes of the available studies, the association between schizophrenia
23 and clock genes still needs to be clarified with further studies with larger populations.
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26 The stress-vulnerability model for schizophrenia was first proposed in the 1970s and has been
27 further developed since that time (Zubin and Spring, 1977; Coulon *et al.*, 2016). Thus, the HPA
28 axis has been one of the most attractive research targets to understand the pathophysiology
29 of schizophrenia for decades. Increased cortisol levels have been determined in patients with
30 schizophrenia and even in individuals at high risk for schizophrenia compared with controls
31 (Mittal and Walker, 2011; Carol and Mittal, 2015; Singh *et al.*, 2015). However, mean baseline
32 cortisol level measurements in schizophrenia are not consistent in the literature (Bradley and
33 Dinan, 2010). Nevertheless, blunted cortisol levels in response to stressors are much more
34 consistent findings, regardless of disease stage, chronicity, and treatment condition (Zorn *et*
35 *al.*, 2017). To conclude, despite it being widely accepted that sleep and circadian disorders
36 have an important role in the etiopathogenesis of schizophrenia, well-designed and
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1 comprehensive clinical studies are still needed to explicate the genetic and neurobiologic
2 underpinnings.
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6 4.3. Other Psychiatric Disorders 7

8 Anxiety disorders are seen as the most frequent type of psychiatric disorders with a lifetime
9 prevalence of 29% in the general population (Remes *et al.*, 2016). Sleep disturbance is a
10 common feature of anxiety disorders and is included in the symptom criteria for several
11 anxiety disorders such as post-traumatic stress disorder and generalized anxiety disorder
12 (Boland and Ross, 2015). The presence of sleep disturbances has been reported as 74% in
13 patients with anxiety disorders (Dallaspezia and Benedetti, 2017). However, MDD as a
14 frequent comorbid condition in anxiety disorders is a confounder in understanding the
15 relationship of sleep disturbances and anxiety disorders. Studies related to generalized
16 anxiety disorder have reported decreased total sleep time, increased sleep-onset latency, and
17 alterations in non-REM sleep architecture, whereas findings of REM sleep and sleep efficiency
18 are inconsistent (Cox and Olatunji, 2016). Patients with panic disorder frequently have both
19 sleep disorder and/or another anxiety disorder because they could have nocturnal panic
20 attacks, which usually occur in Stage-2 or Stage-3 of non-REM sleep, as well as decreased sleep
21 efficiency, total sleep time, and increased sleep onset latency (Cox and Olatunji, 2016;
22 Dallaspezia and Benedetti, 2017). Although sleep disturbances, including REM sleep-related
23 nightmares, have been investigated in post-traumatic stress disorder, conclusions are not
24 consistent (Dallaspezia and Benedetti, 2017). There is no significant difference in sleep
25 architecture in social anxiety disorder (Brown, Black and Uhde, 1994; Mesa, Beidel and
26 Bunnell, 2014). In an animal model, *Cry-1* and *Cry-2* gene protein deficiencies led to behavioral
27 alterations characterized by an abnormally high level of anxiety (De Bundel *et al.*, 2013).
28 Akiyama *et al.* suggested that *period-1* mRNA levels reduced after anti-anxiety treatment in
29 the mouse cerebellum (Akiyama *et al.*, 1999). *Cry-2* expression was determined reduced in
30 the hippocampus in another animal study (Griesauer *et al.*, 2014). Furthermore, a
31 polymorphism in *BMAL-2rs2306073* has been found associated with social phobia (Sipilä *et*
32 *al.*, 2010).
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35 Obsessive-compulsive disorder (OCD) is another debilitating disorder that is segregated from
36 the anxiety disorders category in the DSM-5 (*American Psychiatric Association. Diagnostic and*
37 *statistical manual of mental disorders: DSM-5. 5th edn, 2013*). Although sleep disturbances
38

1 have been reported including decreased total sleep time, alterations in REM and non-REM
2 sleep architecture are less clear (Cox and Olatunji, 2016). Certain chronotypes have been
3 found as predictors of OCD symptoms in adults, and circadian rhythm disorders have been
4 found as predictors of treatment outcomes (Cox and Olatunji, 2019). To the best of our
5 knowledge, the role of circadian rhythm disruptions in all anxiety disorders, including OCD,
6 has yet to go beyond showing sleep disturbance; comprehensive research is warranted in the
7 context of chronobiologic mechanisms of anxiety disorder pathology.
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13 5. Conclusion 14 15

16 The circadian system is responsible for the temporal organization of physiologic functions, and
17 disruptions can have marked functional influences on the living organism. As the role of
18 chronobiologic systems in both physical and mental health have become better understood,
19 research into neurobiologic mechanisms of circadian rhythms has been expanded. Mood,
20 cognition, and behavior have complex relationships with biologic rhythms, and the vast
21 majority of mental disorders are reciprocally associated with impaired circadian biology.
22 Extensive research has shown that impaired circadian mechanisms could lead to psychiatric
23 entities, whereas they may be an outcome of mental disturbances. Impaired HPA axis function
24 and melatonin homeostasis are the most consistent findings in mental disorders. Independent
25 from sleep disorders, the circadian system has a distinct role in homeostatic processes, whose
26 impairment has an impact in emotion regulation, cognition, behavior, and, most importantly,
27 neural plasticity, all of which are often disrupted in psychiatric phenotypes. There is some
28 evidence suggesting that circadian rhythm genes are associated with psychiatric disorders;
29 however, the specificity and causality of these associations have yet to be made clear. In our
30 opinion, we are a long way from establishing a robust causative link between circadian rhythm
31 disruption and phenotypic complexity of psychiatric disorders. A decent translational
32 approach to the findings of animal models would likely result in a clearer understanding of
33 pathophysiologic implications of the circadian system. Further support from continued and
34 integrated investigations of these issues may promote a deeper appreciation of the
35 contribution of circadian disturbances to the pathophysiology of psychiatric illnesses, and will
36 hopefully yield improved therapeutic strategies for their treatment.
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3 publication of this article. Both authors contributed equally to this work.
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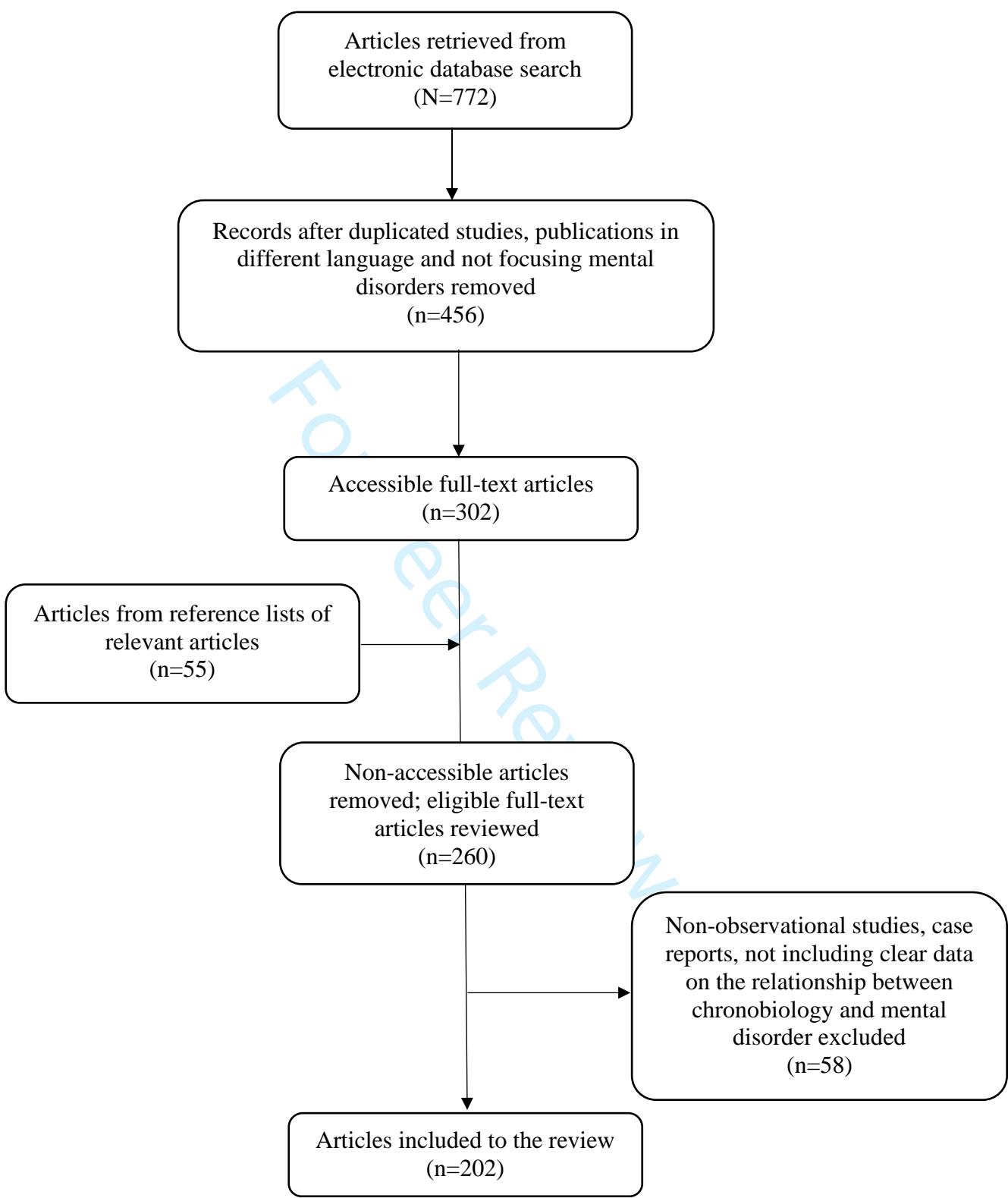
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For Peer Review

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3 **Figure 1.** Flowchart of articles selected for the review.
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Table 1. Non-exhaustive list of studied human clock genes, expressed proteins, their main function and associated psychiatric disorders

Gene	Nomenclature and Protein	Protein function	Associated disorder
<i>Clock</i>	Circadian Locomotor Output Cycles Kaput (CLOCK)	Positive regulation of <i>period</i> and <i>timeless</i> genes through interaction with BMAL-1	MDD(Kishi <i>et al.</i> , 2009; Soria <i>et al.</i> , 2010; Shi <i>et al.</i> , 2016) BD(SHI <i>et al.</i> , 2008; Kripke <i>et al.</i> , 2009; Lee <i>et al.</i> , 2010; Soria <i>et al.</i> , 2010; Benedetti <i>et al.</i> , 2015; Suzuki <i>et al.</i> , 2017) SCH(Takao <i>et al.</i> , 2007; Zhang <i>et al.</i> , 2011)*
<i>Timeless</i>	Timeless homolog (TIM)	Negative regulation of CLOCK-BMAL-1 activity through interaction with PER and close the circadian feedback loop	MDD(Utge <i>et al.</i> , 2010; Dmitrzak-Weglacz <i>et al.</i> , 2015) BD(Mansour <i>et al.</i> , 2006; Utge <i>et al.</i> , 2010; Etain <i>et al.</i> , 2014)
<i>Cry-1</i>	Cryptochrome-1 (CRY-1)	Inhibition of CLOCK-BMAL-1	MDD(Soria <i>et al.</i> , 2010; Hua <i>et al.</i> , 2014) BD(Soria <i>et al.</i> , 2010) SCH(Johansson <i>et al.</i> , 2016) ANX(De Bundel <i>et al.</i> , 2013)
<i>Cry-2</i>	Cryptochrome-2 (CRY-2)	Inhibition of CLOCK-BMAL-1	ANX(De Bundel <i>et al.</i> , 2013; Griesauer <i>et al.</i> , 2014)
<i>Per-1</i>	Period homolog 1 (PER-1)	Negative regulation of CLOCK-BMAL-1 activity through interaction with CRY and close the circadian feedback loop	BD(Kripke <i>et al.</i> , 2009) SCH(Aston, Jiang and Sokolov, 2004; Sun <i>et al.</i> , 2016) ANX(Akiyama <i>et al.</i> , 1999)
<i>Per-2</i>	Period homolog 2 (PER-2)	Negative regulation of CLOCK-BMAL-1 activity through interaction with CRY and close the circadian feedback loop	MDD(Partonen <i>et al.</i> , 2007; Lavebratt <i>et al.</i> , 2010; Soria <i>et al.</i> , 2010) BD(Kripke <i>et al.</i> , 2009) SCH(Liu <i>et al.</i> , 2015; Johansson <i>et al.</i> , 2016; Sun <i>et al.</i> , 2016)
<i>Per-3</i>	Period homolog 3 (PER-3)	Seems not to have a critical role circadian rhythm. Contribute to determination of diurnal preference	MDD(Artioli <i>et al.</i> , 2007; Soria <i>et al.</i> , 2010; Maglione <i>et al.</i> , 2015; Shi <i>et al.</i> , 2016) BD(Mansour <i>et al.</i> , 2006; Nievergelt <i>et al.</i> , 2006; Benedetti <i>et al.</i> , 2008; Dallaspezia <i>et al.</i> , 2011; Karthikeyan <i>et al.</i> , 2014; Brasil Rocha <i>et al.</i> , 2017) SCH(Sun <i>et al.</i> , 2016)
<i>Bmal-1 (or ARNTL-1)</i>	Brain muscle ARNT like protein-1 (Aryl Hydrocarbon Receptor Nuclear Translocator like 1) (BMAL-1/ARNTL-1)	Positive regulation of <i>period</i> and <i>timeless</i> genes through interaction with CLOCK	MDD(Partonen <i>et al.</i> , 2007; Soria <i>et al.</i> , 2010; Utge <i>et al.</i> , 2010) BD(Nievergelt <i>et al.</i> , 2006; Soria <i>et al.</i> , 2010; Bengesser <i>et al.</i> , 2018)
<i>Bmal-2</i>	Brain muscle ARNT like protein-2	Probably has a role in activation of CLOCK and CLOCK-controlled genes	ANX(Sipilä <i>et al.</i> , 2010)
<i>Npas-2</i>	Neuronal PAS domain protein- 2 (NPAS-2)	Intrinsic enhancer for pre-mRNA splicing	MDD(Partonen <i>et al.</i> , 2007; Soria <i>et al.</i> , 2010; Shi <i>et al.</i> , 2016) BD

1 (Kripke *et al.*, 2009; Soria *et al.*,
2 2010) SCH(Sun *et al.*, 2016)

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46
<i>Nr1d-1</i> (or <i>Rev-erb-α</i>)	Nuclear receptor subfamily-1, group d, member 1 (or orphan nuclear receptor REV-ERB- α) (NR1D1/REV-ERB- α)	Works as nuclear hormone receptors. Compete with RORA for binding to the BMAL-1 promoter and repress the BMAL-1	MDD(Soria <i>et al.</i> , 2010; Utge <i>et al.</i> , 2010; Byrne <i>et al.</i> , 2014) BD(Kishi <i>et al.</i> , 2008; Kripke <i>et al.</i> , 2009; Severino <i>et al.</i> , 2009)																																										
<i>Rora</i>	Retinoid-related orphan receptor a (RORA)	Works as nuclear hormone receptors. Compete with NR1D1 for binding to the BMAL-1 promoter and activate the BMAL-1	MDD(Lavebratt <i>et al.</i> , 2010; Utge <i>et al.</i> , 2010; Maglione <i>et al.</i> , 2015) BD(Etain <i>et al.</i> , 2014; Lai <i>et al.</i> , 2015; Geoffroy <i>et al.</i> , 2016)																																										
<i>Rorb</i>	Retinoid-related orphan receptor b (RORB)	Works as nuclear hormone receptors. Compete with NR1D1 for binding to the BMAL-1 promoter and activate the BMAL-1	BD(McGrath <i>et al.</i> , 2009; Lai <i>et al.</i> , 2015)																																										
<i>Dbp</i>	D site of albumin promoter binding protein	Being regulated by CLOCK-BMAL-1 and CRY-1. Supports the rhythmic transcription of downstream genes	MDD(Soria <i>et al.</i> , 2010) BD(Shi <i>et al.</i> , 2008)																																										
<i>Asmt</i>	Acetylserotonin methyltransferase	The last enzyme of the melatonin synthesis pathway	MDD(Gałecka <i>et al.</i> , 2010; Talarowska <i>et al.</i> , 2014) BD(Etain <i>et al.</i> , 2012; Geoffroy <i>et al.</i> , 2014)																																										
<i>Mtnr1-B</i>	Melatonin receptor 1b	G protein coupled melatonin reseptor	MDD(Gałecka <i>et al.</i> , 2011)																																										
<i>Aanat</i>	Arylalkylamine N-acetyltransferase	The first enzyme of the melatonin synthesis pathway	MDD (Soria <i>et al.</i> , 2010)																																										
<i>Csnk-1ϵ</i>	Casein kinase 1 epsilon (CSNK1 ϵ)	Phosphorylates of PER, CRY and BMAL, increases their degradation	MDD(Utge <i>et al.</i> , 2010) BD(Shi <i>et al.</i> , 2008; Matsunaga <i>et al.</i> , 2012; Lee <i>et al.</i> , 2018) SCH (Matsunaga <i>et al.</i> , 2012; Pinacho <i>et al.</i> , 2016)																																										
<i>Csnk-1δ</i>	Casein kinase 1 delta (CSNK1 δ)	Phosphorylates of PER, CRY and BMAL, increases their degradation Regulation circadian period length	BD(Kripke <i>et al.</i> , 2009; Matsunaga <i>et al.</i> , 2012) SCH(Matsunaga <i>et al.</i> , 2012)																																										
<i>GSK-3β</i>	Glycogen synthase kinase-3 β (GSK-3 β)	Regulation circadian period length	BD(Szczepankiewicz <i>et al.</i> , 2006; Kaladchibachi <i>et al.</i> , 2007)																																										

Note: MDD: Major depressive disorder, BD: Bipolar disorder, SCH: Schizophrenia, ANX: Anxiety disorders *CLOCK T3111C polymorphism,

1
2 **Table 2.** Main alterations of sleep architecture in psychiatric disorders
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5 Disorder	6 Major alterations
7 MDD	8 Shortened latency of the initial REM sleep, prolonged first REM period, increased total REM time, increased REM density, and proportion of 9 REM sleep, decreased non-REM sleep (Kupfer and Foster, 1972; Kupfer, 1976; Rush <i>et al.</i> , 1986; Giles <i>et al.</i> , 1987; Pillai, Kalmbach and Ciesla, 2011)
10 BD	11 <i>Euthymia</i> ; Increased REM density and proportion of REM sleep, longer sleep onset latency and sleep duration, lower sleep efficiency (Sitaram 12 <i>et al.</i> , 1982; Millar, Espie and Scott, 2004; Rocha, Neves and Corrêa, 2013; Geoffroy <i>et al.</i> , 2015) 13 <i>Mania</i> ; Shortened REM sleep latency, increased REM activity and REM density, reduced total sleep time (Hudson <i>et al.</i> , 1988, 1992; Linkowski 14 and Mendlewicz, 1993) 15 <i>Depression</i> ; More fragmented REM sleep periods, shortened REM sleep latency (Gillin <i>et al.</i> , 1979; Lauer, Wiegand and Krieg, 1992) 16 longer sleep onset latency, increased proportion of REM sleep, trend toward higher percentage of awakenings in bipolar depression than in 17 unipolar depression (Giles, Rush and Roffwarg, 1986; Jernajczyk, 1986; Fosson <i>et al.</i> , 1998)
18 SCH	19 <i>Comparison to healthy control</i> ; Reduced total sleep time, longer sleep onset latency, lower sleep efficiency and REM latency, increased REM 20 density, decreased total REM time, decreased non-REM stage-3 and stage-4 (Chan <i>et al.</i> , 2017) 21 <i>Medication naive patients</i> ; reduced total sleep time, lower sleep efficiency, increased REM latency, decreased stage-4 of non-REM sleep, 22 increased stage-1 of non-REM (Bian <i>et al.</i> , 2017) 23 Duration of illness has no effect on polysomnography parameters (Chan <i>et al.</i> , 2017)
24 ANX	25 <i>Generalized anxiety disorder</i> ; reduced total sleep time, longer sleep onset latency, alterations in non-REM sleep architecture, inconsistent 26 findings for REM sleep architecture and sleep efficiency (Cox and Olatunji, 2016) 27 <i>Panic disorder</i> ; decreased sleep efficiency and total sleep time, longer sleep onset latency, REM and non-REM sleep architecture findings are 28 less clear (Cox and Olatunji, 2016) 29 <i>Post-traumatic stress disorder</i> ; reduced total sleep time, longer sleep onset latency, variations in REM sleep
30 OCD	31 Reduced total sleep time, increased wake after sleep onset, inconsistent findings for REM and non-REM sleep architectures (Cox and Olatunji, 32 2016)

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38 **Note:** MDD: Major depressive disorder, BD: Bipolar disorder, SCH: Schizophrenia, ANX: Anxiety disorders, OCD: Obsessive-compulsive disorder
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3 **Tab 3.** Summary of consistent findings on the alterations of two major neurohumoral systems regulating circadian ryhthm in psychiatric disorders
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9 DIAGNOSIS	10 NEUROHUMORAL SYSTEM	
	11 HPA Axis	12 Melatonergic System
13 MDD	14 Elevated baseline cortisol levels, disruption in dexamethasone suppression test 15 results (Carroll, Martin and Davies, 1968; Nelson and Davis, 1997; Belanoff <i>et al.</i> , 16 2001; Keller <i>et al.</i> , 2006, 2017; Gold, 2014) 17 increased cortisol/ DHEA ratio (Goodyer, Herbert and Altham, 1998; Gallagher 18 and Young, 2002; Markopoulou <i>et al.</i> , 2009)	19 Lower nocturnal melatonin levels, delayed melatonin 20 secretion onset and offset (Wetterberg, 1979; Beck-Friis <i>et al.</i> , 21 1984; Nair, Hariharasubramanian and Pilapil, 1984; Claustrat 22 <i>et al.</i> , 1984; Beck-Friis <i>et al.</i> , 1985; Wehr <i>et al.</i> , 1985; Brown <i>et</i> 23 <i>al.</i> , 1985; Frazer <i>et al.</i> , 1986; Parry and Newton, 2001; 24 Fountoulakis <i>et al.</i> , 2001; Paparrigopoulos, 2002; Tuunainen 25 <i>et al.</i> , 2002; Crasson <i>et al.</i> , 2004; Emens <i>et al.</i> , 2009; Rahman 26 <i>et al.</i> , 2010; Buckley and Schatzberg, 2010; Khaleghipour <i>et</i> 27 <i>al.</i> , 2012)
28 BD	29 Increased cortisol and ACTH levels in manic phase 30 Findings about HPA axis abnormalities are seen both depressive and euthymic 31 phase, it is preferred to evaluate them as state and trait markers due to clinical 32 variations (Belvederi Murri <i>et al.</i> , 2016)	33 Higher melatonin levels in manic phase in the daytime (Nováková <i>et al.</i> , 2015) Findings about nocturnal melatonin levels among BD phases are inconsistent (Lewy <i>et al.</i> , 1979, 1981; Souêtre <i>et al.</i> , 1989; Kennedy <i>et al.</i> , 1996)
34 SCH	35 Baseline cortisol levels are inconsistent 36 Blunted cortisol stress response (Zorn <i>et al.</i> , 2017)	37 Lower nocturnal melatonin levels, (Monteleone <i>et al.</i> , 1992, 1997) phase advance in melatonin rhythm,(Rao <i>et al.</i> , 1994) the absence of melatonin rhythmicity (Bersani <i>et al.</i> , 2003)

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Note: MDD: Major depressive disorder, BD: Bipolar disorder, SCH: Schizophrenia