

1 Article

## 2 Effects of Tunnel-Soil-Structure Interaction and 3 Tunnel Location on the Seismic Response of Steel 4 Structures

5 Arash Rostami

6 Department of Civil Engineering Islamic Azad University, Central Tehran Branch, Tehran, Iran,  
7 [Dr.arash.rostami@gmail.com](mailto:Dr.arash.rostami@gmail.com)

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9 **Abstract:** Research shows that in earthquakes the ground response changes in areas  
10 where there are underground cavities. Due to the fact that subways and underground  
11 tunnels pass from beneath buildings in urban areas, these changes in ground response have a  
12 direct impact on the seismic behavior of structures. In this study, first by model validity and  
13 reliability of the results, steel structures modeling was conducted and steel structure  
14 behavior was evaluated due to Tunnel-Soil-Structure seismic interaction. Parameters studied  
15 are number of stories, soil type, tunnel depth, horizontal tunnel-structural distance and  
16 dynamic loading. Considering that one of the most important parameters of structural  
17 control is drift and story displacement, so this important factor will be considered. The  
18 results show that tunneling has a direct effect on the rate of structural displacement and  
19 increases the structural response. Also, the behavior of the structure is affected by the  
20 position of the structure at the ground level and the position of the tunnel and this should be  
21 considered during the design phase of the structures.

22 **Keywords:** Seismic Interaction, Tunnel-Soil-Structure, Steel Structures, Drift, Seismic Response

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### 24 1- Introduction

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26 Nowadays it can be seen that in megacities, traffic and transportation problems could not be  
27 solved on the ground surface. Past experience has revealed that the best and fastest way to solve  
28 urban transportation in populated areas is to use underground structures. The main phenomena of  
29 underground excavations are ground surface displacement, tunnel surrounding displacement and  
30 earthquake acceleration changes. Nowadays underground structures such as tunnels, Metro  
31 stations and underground parkings are vital infrastructures in most megacities. For many years it  
32 was thought that underground tunnels were safe structures and showed an appropriate  
33 performance in earthquakes, but in recent earthquakes a lot of tunnel failures have been reported  
34 from these underground structures [1-3]. This was more tangible in shallow underground  
35 structures; in between what has mainly attracted the attention of researchers is the destruction and  
36 damage to the surface structure due to the magnification of surface response which is affected by  
37 the presence of these underground spaces [4-12]. In this regard Tabatabaei fard et al. studied on the  
38 simplified structure and soil interaction method and presented an equation for evaluating the  
39 research results [13]. On the other hand Abat and et al concentrated on modeling and numerical

40 analysis of the structures' seismic response in the tunnel and said that the settled tunnel in soil  
41 causes shrinkage throughout the tunnel [14-15]. Ptilakis et al. assessed the circle tunnel effects on  
42 the ground surface response and behavior and acceleration changes, and presented that the  
43 presence of circle tunnels causes acceleration changes on the ground surface.

44 In this regard, several scientists such as Osmarini, Wang, Mitra, and Sagar [16-19] focused on  
45 changes in Earth's acceleration. On the other hand, Rostami et al. looked at the amount of force  
46 applied to the wall of underground tunnels, and concluded that most internal force was applied on  
47 rectangular tunnels [20-21]. Tsinidis et al. Investigated the effect of rectangular cavities in soft soils  
48 by numerical and laboratory methods. The study suggested that numerical models may produce  
49 more accurate results by considering all the uncertainties involved in the problem that rectangular  
50 tunnel responses have recorded in centrifuges [22].

51 Baziar et al. focused on the ground surface seismic response using physical modeling with  
52 shaking table and centrifuges [23]. Fatahi and Tabatabaeifar studied on the seismic behavior of  
53 structures on soft soil and did not study further and mainly concentrated on soft soil behavior. The  
54 results of this research indicated that the differences between the calculated base shear by  
55 equivalent linear method and fully non-linear method were not remarkable [24]. Regarding  
56 asymmetry at ground level, Rostami et al. studied the response of ground surface in sloped ground  
57 and stated that the amount of response in upstream and downstream slopes was quite different  
58 [25]. Numerous efforts have been made in this field, such as the studies of Sika, Rostami, Tsouar,  
59 Liu, and Luen [26-34]. Various studies have been carried out to address this issue.

60 One of the most important points in the study of structures and in the design of structures is  
61 the displacement of structure floors or floor drift. In the previous studies, many efforts have been  
62 made on the effect of the tunnel on changes in ground acceleration and soil-structure interaction.  
63 But a detailed study of Tunnel-Soil-Structure interaction has been undertaken. On the other hand,  
64 Tunnel-Soil-Structure interactions focusing on ground-level structures located over buried tunnels  
65 have not been studied. Therefore, in this study, a state-of-the-art Tunnel-Soil-Structure model was  
66 developed by direct design using the very powerful Abacus software to accurately and precisely  
67 achieve the dynamic Tunnel-Soil-Structure interaction. The modeling sample can easily calculate  
68 the nonlinear behavior and nonlinear geometry of the structure in dynamic analysis. Due to the  
69 application of a completely nonlinear behavior in modeling, nonlinear soil behavior in analyzing  
70 the dynamic system of Tunnel-Soil-Structure interaction and any nonlinear structural relationships  
71 that may arise for the analysis can be calculated with this type of model.

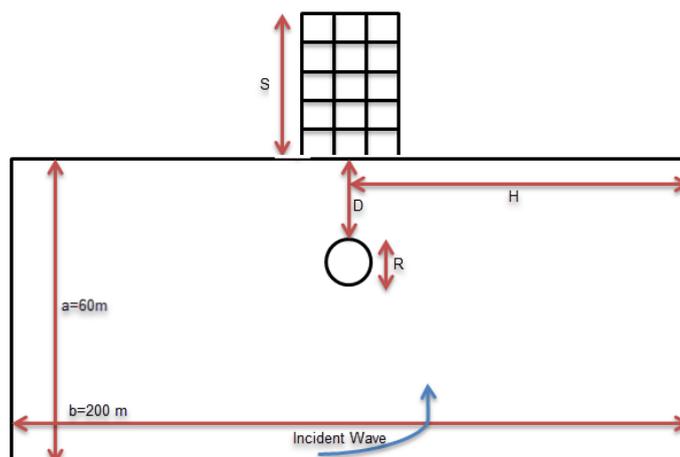
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## 73 2- Introducing Parametric Studies

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75 In this study, the effects of parameters such as tunnel depth, frequency content, number of  
76 floors, type of structure, distance of structure from tunnel on the seismic response of ground surface  
77 structure will be investigated. Figure (1) shows a schematic form of system modeling. As can be  
78 seen from the figure, the structure is located on the soil bed in the depth of the tunnel. For detailed  
79 review, as mentioned above, various parameters have been changed and the changes of the  
80 parameters will be examined for the behavior and response of the structure. In this figure R is the  
81 tunnel diameter, D the depth of the tunnel, H the horizontal distance of the structure from the  
82 tunnel, S the height of the structure, a the depth of the soil mass, and b the mass of the soil mass,

83 and the input wave is applied to the model bed. Also for a more accurate analysis, parameters were  
 84 made dimensionless so more accurate results were conducted.



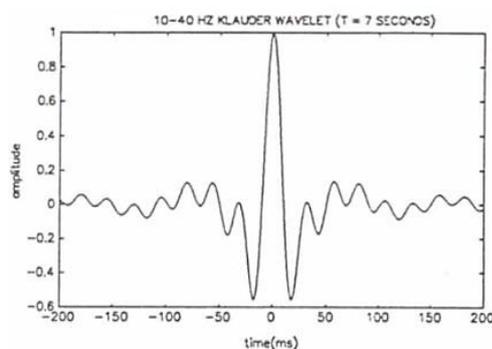
85  
 86 **Figure1:** Schematic shape of modeling and the soil, structure and tunnel location  
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88 In this figure,  $20R = b$  and  $6R = a$ , and in this analysis, dimensional parameters have been  
 89 used for detailed analysis. These dimensionless parameters include  $D / R$  depth to diameter ratio,  $H$   
 90 /  $D$  depth to horizontal distance ratio and  $S / D$  tunnel depth to structural height ratio. Also in this  
 91 study, the Ormsby wavelet was applied to the soil bed and then seven real acceleration mappings  
 92 were used for applying the earthquake force, which will be describe below.  
 93

## 94 2-1- Input WAVE

### 95 2-1-1-Ormsby Wave

96 Ormsby waves are one of the zero phase waves that aerospace engineers call it the modified  
 97 Ormsby wave by applying a wave filter. The modified Ormsby trapezoidal shape in the frequency  
 98 spectrum can be seen in Fig. (2). An Ormsby wavelet will have many side lobes. Unlike Raker's  
 99 simple wavelet which always has only two side lobes. The Ormsby wavelet will have a steeper  
 100 slope than the slope of the trapezoidal filter sides. Four frequencies are required to specify the  
 101 shape of an Ormsby filter and are also used to identify an Ormsby wavelet (ie, 5-10-40-45 Hz  
 102 Ormsby wavelet) (fig 2). These frequencies are "f1", low frequency " f2 ", low pass frequency;" f3 ",  
 103 high pass frequency" f4 ", and high shear frequency are all used in the following formula to produce  
 104 an Ormsby wavelet. The wave formulation is also presented in Formula 1.



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 106 **Figure2:** Ormsby wavelet shape  
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$$\text{Ormsby}(t) = \left[ \frac{(\pi f_4)^2}{((\pi f_4) - (\pi f_3))} \sin^2(\pi f_4 t) - \frac{(\pi f_3)^2}{((\pi f_4) - (\pi f_3))} \sin^2(\pi f_3 t) \right] - \left[ \frac{(\pi f_2)^2}{((\pi f_2) - (\pi f_1))} \sin^2(\pi f_2 t) - \frac{(\pi f_1)^2}{((\pi f_2) - (\pi f_1))} \sin^2(\pi f_1 t) \right] \quad (1)$$

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After applying this wavelet to the structure, since the study process is based on the reference article and at first the Riker wave is radiated, in this study because of a larger range of Ormsby wave that covered more wavelengths this wave was used. We used and applied the wave to the model first and then applied the actual mapping acceleration to the model. Since the study is general and aims to determine the structural response to different earthquakes, therefore, based on the soil type, the modeling and its conformity was conducted according to Euro Code earthquake characteristics of these seven real earthquakes based on the Euro Code recommended by this Code, so these records are used in the analysis. For modeling process based on the 2800 Code [35] earthquakes are applied to the soil mass floor (Table 1).

**Table1:** the characteristics of the seven Euro code earthquakes

Earthquake Name	Station Name	Year	Distance of Fault (Km)	Magnitude (Richter)
KOBE	TOT	1995	119	6.9
LOMAPRIETA	Station Gilory	1989	9.64	6.93
NORTHPALM	Silent Station Valley	1986	19.5	6.06
NORTHRIDGE	Station Vasquez	1994	27.7	6.69
PARKFIELD	Temblorpre	1996	11.7	6.19
SANFRANCISCO	Golden gate Park	1957	11.13	5.28
WHITTIERNARROWS	Station Mt Wilson	1987	22.4	5.99

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### 3- Verification and Modeling

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This section examines how structures are modeled and the validation for modeling in the Abacus software. Regarding modeling and validation in different softwares, they are described in the following.

### 128 3-1- Verification

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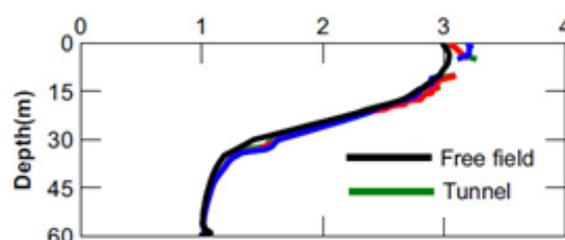
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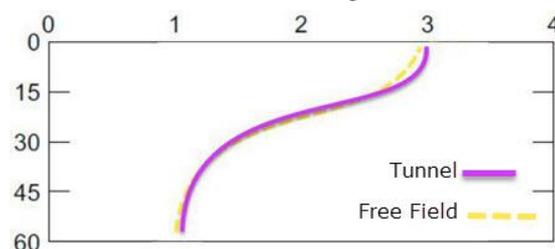
In this section, we review the model validation based on the reference article [16]. In the validation based on the data of the reference paper [16] carried out with LSDYNA software, the soil behavioral model was Mohr-Columbian and for two-dimensional analysis the plate strain element was used for soil mass. The tunnel is drilled by TBM method and its lining is made of concrete. The information entered is based on the reference article. The model mesh is based on the STRUCTURE command and the mesh size for the tunnel surrounding is selected smaller to calculate more accurate stress values. As can be seen from Figures (3 and 4), the results are very close to the reference article values and the error rate is below 3%, which can be for the differences in software type. It is clear that by getting closer to the surface, the acceleration has increased. Also presented in Figure 5 is an analytical result of the software showing the changes in the ground surface and its magnification.



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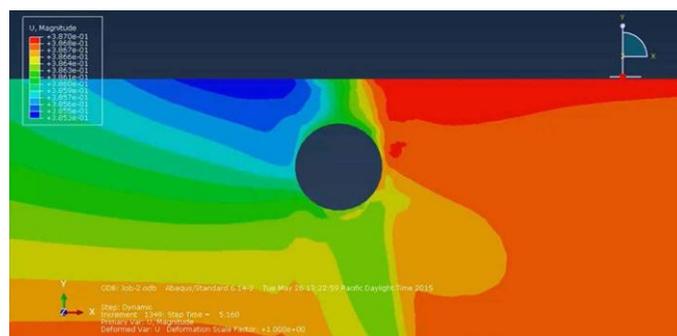
**Figure3:** the ground surface acceleration diagrams of the reference article [15].



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**Figure4:** the ground surface acceleration diagrams of the validation model



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**Figure5:** A figure of ground surface acceleration changes due to Ormsby wave application

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### 3-2- Steel Structure Modeling

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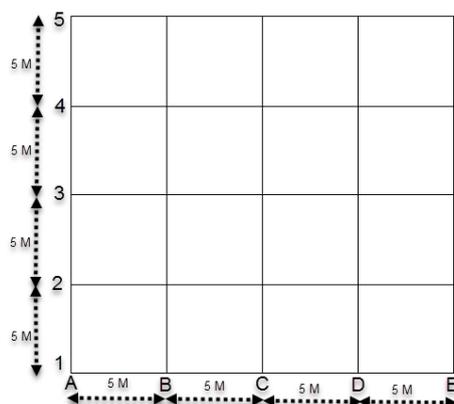
The lateral bearing system of the steel structures used in this article which are located on the soil (Fig. 7) are bending frame and are for (5-10-15) floors. The length and width of the plan is (20) meters and the height of each floor is 3.2m (Figures 6-7) and Table (2) provides information on steel structures. These structures were designed according to ASCE7-05 standard [36] using ETABS

154 software. The analysis for these structures is linear-time-history type so that they are analyzed by  
 155 earthquake records in a non-tunnel state. The roof structures are block joists weighing (600) kg dead  
 156 load and (200) kg live load in each square meter. The surrounding walls load are also applied on the  
 157 side beams for each floor (600) kg/m and for the roof (160) kg/m. for gravity loading the 6th  
 158 Standard code[37] and for seismic loading the 2800 standard [35] was used. The beam and column  
 159 sections were chosen from the software by (EURO.PRO) . The sections used in each class are in  
 160 accordance with Table (3). in Linear and nonlinear time history analysis scaled earthquake records  
 161 should be used. To scale the records, acceleration mapping coordination is used in accordance with  
 162 the 2800 standard. In the linear time-history analysis, the obtained base shear is coordinated with  
 163 the base shear of linear static analysis due to structural standards.

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**Table2:** Size and Dimensions of the Used Frames

Reference Name	Number of Stories	Number of Bays	Story Height (m)	Bay Width (m)	Total Height (m)	Total Width (m)
S 4	4	3	3	4	12	12
S 8	8	3	3	4	24	12
S12	12	3	3	4	36	12



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**Figure6:** 5, 10 and 15 floor steel structure plans

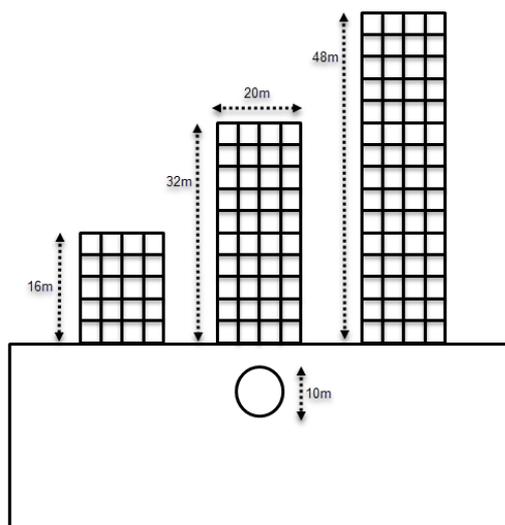


Figure7: 5, 10 and 15 floor steel structures

Table3: the sections used in the steel structure

Num	Structure	Storey	Column	Beam
1	5 Storey	1 & 2	HE280B	IPE270
2		3 & 4	HE260B	IPE270
3		5	HE260B	IPE240
1	10 Storey	1 & 2	H400*237	IPE360
2		3 & 4	H400*237	IPE360
3		5 & 6	HE400B	IPE360
4		7 & 8	HE360B	IPE270
5		9 & 10	HE360B	IPE240
1	15 Storey	1 & 2	H400*744	IPE600
2		3 & 4	H400*744	IPE600
3		5 & 6	H400*634	IPE600
4		7 & 8	H400*634	IPE600
5		9 & 10	H400*340	IPE600
6		11 & 12	H400*340	IPE500
7		13 & 14	H400*237	IPE360
8		15	HE400B	IPE270

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### 173 3-3- Modeling the soil and tunnel

174 In this section the tunnel and soil are modeled. In this study the tunnel is excavated using a  
 175 TBM and the lining of the tunnel is made from high strength concrete which its characteristics is  
 176 mentioned in table4. The tunnel is assumed to be buried in the ground so that the displacements are  
 177 applied directly from soil. Also in the tunnel lining modeling Beam elements are used and the tunnel  
 178 is connected to soil by the Tie command. On the other hand, for modeling the soil, the elastic-plastic  
 179 or Mohr-Columbian behavioral model is used which are presented in Table 5. The size of the  
 180 structure is selected according to the reference article, which is 200 meters long and 60 meters wide.

181 Of course, certain criteria for determining these dimensions have been stated by the researchers,  
 182 which will be discussed in the following. The mesh size is small enough to allow fine accuracy in the  
 183 results and also to prevent divergence of the analysis so that the mesh size can easily simulate wave  
 184 propagation. For this purpose, the dimensions of the elements are smaller than one tenth of the shear  
 185 wavelength ( $\Delta l > \lambda / 10$ ) propagated in the medium on the basis of the recommendation of Collimer  
 186 and Lyselmer [38]. The damping value is 5% and Rayleigh damping is used. To prevent the waves  
 187 from spreading into the soil, the Lysmar Free Field model was used and springs were used to absorb  
 188 the incoming waves.

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**Table4:** Soil Properties

Depth (m)	soil specific weight (Kn/3 <sup>3</sup> ) $\Upsilon$	Soil pressure factor $K_0$	shear wave velocity (m/s) $V_s$	Daping % D	Poison ratio D	adhesion C Kpa	internal friction angle (degree) $\phi$
0-30	20	0.5	500	5	0.333	20	35
30-40	20	0.5	650	5	0.333	20	45
40-50	20	0.5	700	5	0.333	20	45
50-60	20	0.5	750	5	0.333	20	45

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In this table ( $\phi$ ) is the internal friction angle, (C) is adhesion, (D) is the Poison ratio. Due to the given factors and the soil specific weight ( $\Upsilon$ ), shear modulus (G) and shear wave velocity ( $V_s$ ) can be calculated. For calculating the shear wave velocity equations (2,3) were used.

$$G = \frac{E}{2(1 + D)} \quad 2$$

$$V_s = \sqrt{\frac{G}{\rho}} \quad 3$$

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**Table5:** Tunnel Characteristics

$\beta$	$\alpha$	$\vartheta$	$R_{inter}$	C	$\phi$	$\psi$	E	$\gamma_d$	$\gamma_{sat}$	L	H
				kn/m <sup>2</sup>	Degree	Degree	kn/m <sup>2</sup>	kn/m <sup>2</sup>	kn/m <sup>2</sup>	m	m
0.001	0.01	0.3	0.7	0.4	29	5	50000	17	17	200	50

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In this table by (d) the covering thickness and ( $\Upsilon$ ) the concrete specific weight, the axial rigidity (EA) and bending rigidity (EI) can be calculated by the equations.

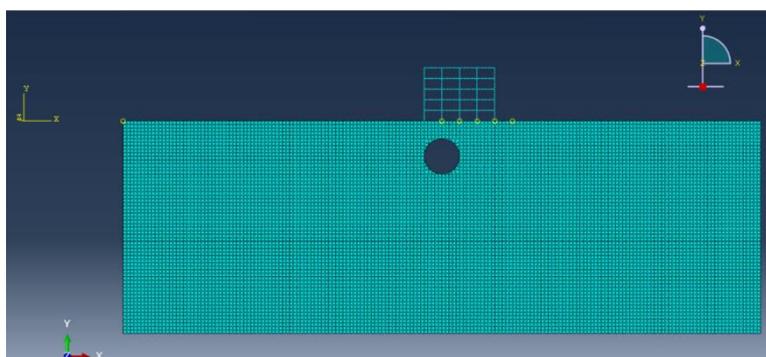
#### 4- Effective Parameters In Direct-Soil-Structural-Tunnel Method

202 In this section, we investigate various factors in modeling and theory of Tunnel-Soil-Structure  
 203 system. The important point here is to integrate the tunnel system into the soil-structure system.  
 204 Considering that the tunnel is buried in the soil, the behavior of the tunnel and its displacements and  
 205 stresses are directly related to the soil mass, so that the Tunnel-Soil-Structure system can be adapted  
 206 from the direct soil-structure model. So, here are the things to come.

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#### 4-1- Introduction

209 The equations governing the interaction movements of the hybrid structures (soil and  
 210 structures) and the method of solving these equations are relatively complex. Therefore, the direct  
 211 method is the method in which the whole soil-structure system is formed in one step, which is used  
 212 in this study. The use of direct method requires a computer program that can simultaneously discuss  
 213 the behavior of soil and structures in equal proportions [39]. Therefore, the Abacus finite element  
 214 software, version 2-14-6, is used to model the structure-soil-tunnel system and to solve complex  
 215 geometric equations and boundary conditions. The program can simulate a variety of soil and  
 216 structural behavior models. The materials are provided by elements that can be adjusted to suit the  
 217 geometry of the model. Each element behaves according to a defined basic model in response to  
 218 applied forces or applied constraints. To model the structure-soil-tunnel system in a straightforward  
 219 way, a new advanced Tunnel-Soil-Structure model is designed in Abacus that simulates and  
 220 analyzes all interactive aspects of the complex dynamical system present in this interaction in a  
 221 realistic and accurate manner (Figure 8).



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**Figure8:** Tunnel-Structure-Soil Sample Modeled In Abacus Software  
 (5-Floor Structure and Tunnel in a 15m Depth)

226 The structure-soil-tunnel model includes elements consisting of beams for modeling  
 227 structural components and tunnels, two-dimensional surface strain quadrilateral elements for  
 228 modeling soil medium, and interface elements for simulating frictional contact between soil and  
 229 structure and tunnel. The rigid boundary conditions depend on the bedrock and the lateral  
 230 boundaries of the soil environment are assumed to be viscous boundaries to prevent the reflection of  
 231 outward propagation waves into the model. The lateral boundaries are attached to the free  
 232 boundaries on both sides of the model to assume responsibility for the free field motion in the  
 233 absence of the structure. The various components of the structure-soil-tunnel model are shown in  
 234 Figure 9. Idealization of structures with composite systems including soil, structures and tunnels as  
 235 well as boundary conditions are described in the following sections.

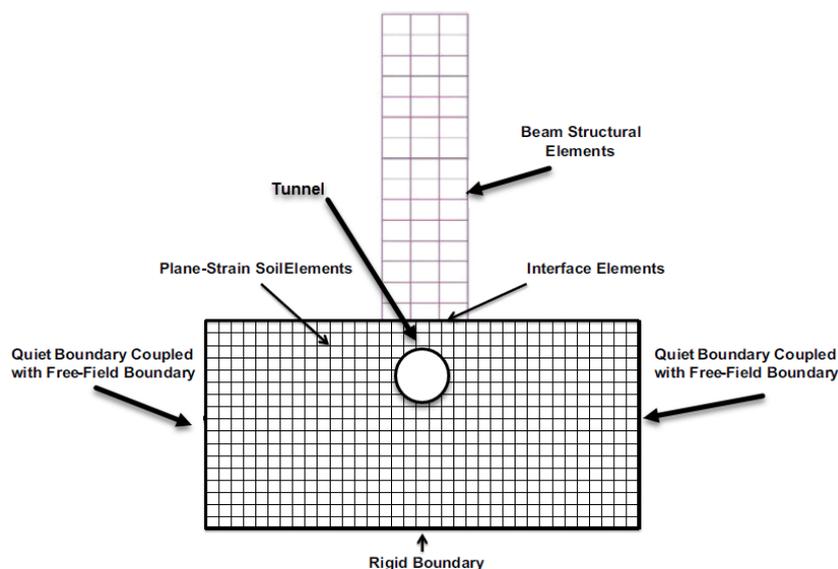


Figure9: Components of the Tunnel-Soil-Structure Model

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#### 4-2- Elastic Dynamic Analysis and Design

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#### 4-3- Structure

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Structural elements such as beams, columns, slabs, foundations and tunnel lining are defined using beam elements in the structure-soil-tunnel model (Figure 2). Structural elements of the two-node beam element, finite elements, with six degrees of freedom per node include three

265 transitional and three rotational components. Structural element logic is applicable by explicit  
 266 solution method. By default, the beam acts as an isotropic, linear, boundless elasticity material;  
 267 however, a restrictive plastic moment can be specified to shape the structure's rigid behavior. Large  
 268 displacements, including nonlinear geometrical displacements, can be replaced by the  
 269 determination of a larger solution; and the complete dynamic response of the system in the time  
 270 domain can be obtained with the dynamic analysis option. As mentioned earlier, non-elastic  
 271 structural analysis has been used in this study. The general process of non-elastic structural analysis  
 272 resembles conventional linear methods in which engineers create a structural model that is then  
 273 subjected to a predicted earthquake-related motion or external excitation. In this study, the  
 274 non-elastic bending is simulated by the determination of a finite plastic moment in the structural  
 275 elements. If a plastic moment is specified, its value can be calculated with respect to a flexible  
 276 bending structural member with a b width and an h height with yield stress of the material  $\sigma_y$   
 277 (Formula 4). If a composed element member has a perfectly elastic behavior, MP plastic resisting  
 278 moments for rectangular sections can be calculated as follows:

$$M^P = \sigma_y \left( \frac{bh^2}{4} \right) \quad 4$$

279

280 Where b is the width, h the cross-section height, and  $\sigma_y$  is the yield stress of the materials. The  
 281 present formulations used in this study assume that the structural elements behave flexibly until  
 282 they reach (or become) the specified plastic moment. In the parts where the plastic moment is  
 283 obtained, they can deform without resistance.

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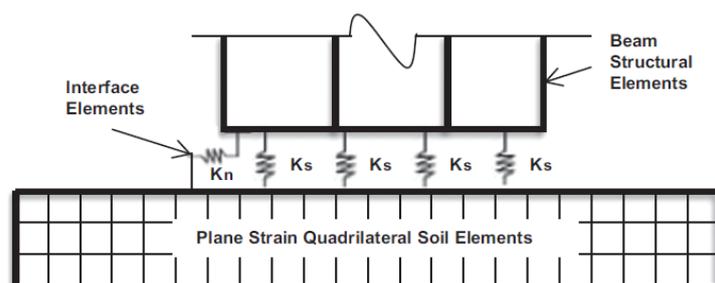
#### 285 4-4- Soil

286 The soil environment of the substructure has been simulated using two-dimensional  
 287 plane-strain networks. In this scheme, the solid soil mass is divided into a finite element network  
 288 consisting of four elements. The Mohr-Coulomb model in the present study has been used as a  
 289 constructive model in the structure-soil model to simulate the nonlinear behavior of soil medium  
 290 and Tunnel-Soil-Structure system. The Mohr-Coulomb model is a complete plastic model developed  
 291 by many researchers (e.g. [23,45-44]) and has been used to model the effect of structure – soil  
 292 dynamic interaction to simulate soil behavior at seismic loads in soil – structure – tunnel systems.

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#### 294 4-5- Interface Elements

295 The foundation location in numerical simulations is separated from the adjacent soil zone by  
 296 interface elements to simulate frictional contact. The relationship between foundation and soil is  
 297 modeled using Ks shear springs and ordinary Kn between two surfaces that are in contact with each  
 298 other using linear system springs. These relationships are defined using the shear failure criterion of  
 299 the Mohr-Columb failure criterion (Figure 10).



300  
301 **Figure 10-** Interface Elements Including the Shear and Normal Spring Stiffness  
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303 The relative motion of the interface is controlled by the interface of hard values in normal and  
304 tangential directions. The stiffness values of ordinary shear springs for the soil-structure model  
305 interface element are set to 10 times the stiffness of the adjacent region. This is based on the  
306 relationship recommended by EL Naggar [45] and the Itasca Consulting Group [46] for soils having  
307 similar isotropic properties (Formula 5):  
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$$K_s = K_n = 10 \left[ \frac{k + (4/3)G}{\Delta z_{min}} \right] \quad 5$$

309 Where  $K$  and  $G$  are the shear and mass coefficients of the neighboring region, and  $\Delta z_{min}$  is  
310 the smallest width of an adjacent region in the normal direction. The current numerical model  
311 employs the contact logic defined by Cundall and Hart [47] for both sides of the interface. This code  
312 maintains a list of grid points  $(i, j)$  located on each side of each specific level. Each point is taken in  
313 turn and examined for contact with the nearest neighbor on the opposite side of the interface. During  
314 each time step, the velocity  $(u_i)$  of each grid point is calculated. Since the displacement velocity  
315 units are in the time step, and the calculation of the time step unifies to accelerate convergence. The  
316 incremental displacement for any given time is equal to the incremental relative displacement vector  
317 at the point of contact is inclined toward the normal and shear components, and the normal shear  
318 forces are generally determined as follows:  
319

$$\Delta u_i = \dot{u}_i \quad 6$$

$$F_n^{(t+\Delta t)} = F_n^{(t)} - k_n \Delta u_n^{(t+0.5\Delta t)} L \quad 7$$

$$F_s^{(t+\Delta t)} = F_s^{(t)} - k_s \Delta u_s^{(t+0.5\Delta t)} L \quad 8$$

320 Where  $k_s$  is the shear spring stiffness,  $k_n$  is the normal spring stiffness,  $L$  is the effective  
321 contact length,  $F_s$  is the total shear force and  $F_n$  is the total normal force,  $u_s$  is the relative  
322 displacement vector in the shear direction and  $u_n$  is the incremental relative displacement vector in  
323 the normal direction and  $\Delta t$  is the time step.  
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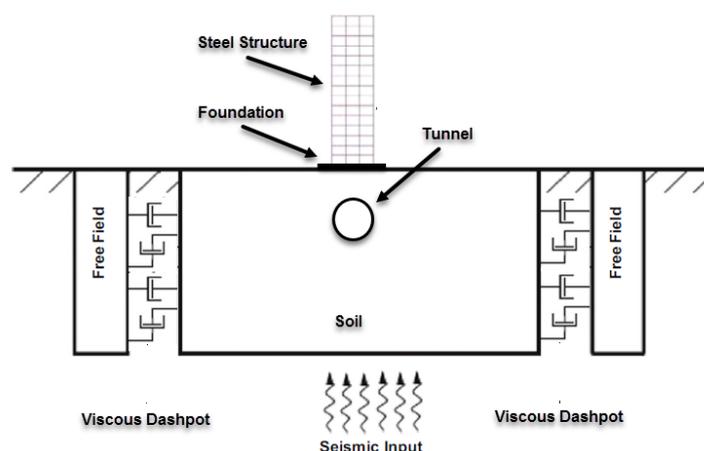
#### 326 4-6- Lateral Boundary Conditions Of The Model

327 Chopra and Gutierrez [48] proposed that stationary conditions can be assumed at numerical  
 328 grid points at the lateral boundaries of the soil in the vertical direction, whereas free conditions can  
 329 be assumed in the horizontal direction. These types of borders are called primitive borders. In the  
 330 vertical state, free boundaries can be realistically assumed horizontally. However, in dynamical  
 331 problems, such boundary conditions can reflect the outward propagation waves into the model and  
 332 do not allow the required energy radiation. In this regard, Roesset and Ettouney [49] proposed an  
 333 alternative as the best solution to this problem and proposed viscous boundaries to avoid the  
 334 reflection waves generated by the lateral boundaries of the soil. They concluded this after a  
 335 comprehensive study of the performance of different types of soil boundary conditions for dynamic  
 336 problems. Therefore, for lateral boundaries of the soil medium, viscous boundaries have been  
 337 proposed and developed by Lysmer and Kuhlemeyer [50] for use in this study. The proposed  
 338 method is based on the use of independent bumpers in the normal and shear directions at the model  
 339 boundaries. This bumper creates a normal viscosity and shear traction provided by the following  
 340 formula:

$$T_n = -\rho C_p v_n \quad 9$$

$$T_s = -\rho C_s v_s \quad 10$$

341  
 342 In these equations  $T_n$  and  $T_z$  are respectively normal and shear reaction at model boundaries;  
 343  $v_n$  and  $v_s$  are normal and shear elements at velocity boundaries;  $\rho$  is the density of matter. And  $C_p$   
 344 and  $C_s$  are the wave forms of  $p$  and  $s$ , respectively. Numerical analysis of the seismic response of  
 345 surface structures requires the division of an area adjacent to the materials near the foundation.  
 346 Seismic input (or applied seismic force) is typically propagated by plane waves propagating upward  
 347 beneath the material. Ground responses that are not affected by the presence of structures are  
 348 considered as free ground movements. In this study, in the extended structure-soil-tunnel model,  
 349 the boundary conditions on both sides of the model are considered for the free-field displacement  
 350 that exists in the absence of the structure. The boundaries of free land have been simulated using an  
 351 advanced technique that involves performing one-dimensional free calculations in parallel with the  
 352 main grid analysis.



353  
 354  
 355

**Figure11:** Tunnel-Structure-Soil Schematic Shape and Lateral Boundaries

356 As shown in Fig. 11, the lateral boundaries of the main grid are connected to the simulated  
 357 free-surface grid by the bumpers, which represent the viscous boundaries on both sides of the  
 358 model, and the unbalanced forces from the free-field grid are applied to the main grid boundary.  
 359 Both conditions applied to the left border are expressed as follows:

360

$$F_x = - [\rho C_p (v_x^m - v_x^{ff}) - \sigma_{xx}^{ff}] \Delta S_y \quad 11$$

$$F_y = - [\rho C_s (v_y^m - v_y^{ff}) - \sigma_{xy}^{ff}] \Delta S_y \quad 12$$

361

362 In these equations,  $F_x$  and  $F_y$  are the unbalanced forces applied to the free land grid  
 363 towards the main boundary grid in the x and y directions.  $\Delta S_y$  is the average vertical zone size at  
 364 the network boundary point.  $v_x^m$  is the velocity of the x point of the network in the main network.  
 365  $v_y^m$  is the speed of the point y in the main grid.  $v_x^{ff}$  the velocity of the point x grid on free ground.  
 366  $v_y^{ff}$  The velocity of the point y of the grid on free ground.  $\sigma_{xx}^{ff}$  is the mean horizontal stress of free  
 367 land at grid point and  $\sigma_{xy}^{ff}$  is the mean free shear stress at grid point. Also, similar expressions may  
 368 be written for the right border.

369 As such, the plane waves traveling upwards do not cause any distortion at the boundary,  
 370 since the free land network provides conditions similar to those in the infinite model. It should be  
 371 noted that if the main grid is uniform and there are no surface structures, lateral bumping will not be  
 372 applied because the free land grid performs the same movement as the main grid.

373

#### 374 4-7- The Model bedrock boundary conditions

375 In terms of bedrock boundary conditions, Kocak and Mengi [51] explained that hard  
 376 boundary conditions are the most suitable conditions for modeling the main bedrock for dynamic  
 377 analysis of soil-structure. Dutta and Roy [52] also reached the same conclusion in their critical  
 378 review of the idealization of the soil-structure system. In addition, in numerical analysis performed  
 379 by other researchers (e.g. [53-54]) the boundary conditions for the hard bedrock are assumed.  
 380 According to previous studies, hard bedrock boundary conditions in the numerical model of  
 381 structure-soil-tunnel have been used in this study. In addition, earthquake acceleration is directly  
 382 applied to grid points along the hard bedrock of the grid in the present study.

383

#### 384 4-8- Soil boundary distances

385 Concerning the distance between the boundaries, Rayhani and Naggar concluded that the  
 386 horizontal distance of the lateral boundaries of the soil should be at least five times the width of the  
 387 structure. In addition, Rayhani and Naggar [45] recommend a 30-meter maximum bedrock depth in  
 388 numerical analysis after conducting comprehensive numerical modeling and centrifuge model  
 389 testing, since the highest magnification occurs at the first 30-meter soil level. The horizontal distance  
 390 of the soil mass is 5 times the width of the building. In addition, modern seismic codes (e.g. [56-41])  
 391 only address the effects of location based on features 30 m above the soil surface. Considering that in  
 392 this study the effects of tunnel depth are also considered, we consider 60 m depth. Therefore, in this  
 393 study, the maximum bedrock depth is 60 meters, while the horizontal distance of soil boundaries is  
 394 100 meters. However, it should be noted that according to Luco and Hadjian [57] when there is deep

395 bedrock, the representation of the three-dimensional soil system interaction with the  
396 two-dimensional models can lead to the underestimation of the maximum response.

397

## 398 5- Discussing the results

399 In this section, the results of modeling are examined. Due to the factors under consideration  
400 including the number of floors, tunnel depth, and horizontal distance of the structure from the  
401 tunnel, we therefore focus on examining these factors individually and the interaction between  
402 Tunnel-Soil-Structure. The studied structures consist of three types of simple bending frame steel  
403 with different heights. Also, to investigate the effect of tunnel depth, the tunnel was set at a depth of  
404 10-20-30-40-50 meters and also to investigate the effect of horizontal distance between tunnel and  
405 structure of buildings they were located in distances of 5-10-15-20 meters from the tunnel and the  
406 effects of this interaction were calculated. In the numerical analysis performed by other researchers  
407 on two-dimensional and three-dimensional modeling in soil-structure systems (e.g. [50, 52, 57-58]),  
408 the difference between the final results of two-dimensional plane strain and three-dimensional  
409 models using artificial rigid bedrock is not remarkable. For example, Seo et al. [57] developed  
410 three-dimensional frequency-dependent elements for soil-structure interaction analysis and  
411 compared the analytical results of their three-dimensional model with the other three simple  
412 two-dimensional models from previous studies. They showed that although good results were  
413 obtained using three-dimensional elements, the results of the three-dimensional and  
414 two-dimensional analysis were negligible with some conditions such as rigid bedrock. A similar  
415 approach has been used in this paper by several other researchers such as Zheng and Takeda [53],  
416 Galal and Naimi [59], Tabatabaiefar et al. [60].

417

### 418 5-1- Five-Floor Building

419

420 In the modeling, a complete nonlinear time history analysis was used to evaluate the response  
421 of the steel structure due to Tunnel-Soil-Structure interaction. In this case, more than 70 analysis  
422 were performed for each structure with respect to the number of acceleration records (7 cases) and  
423 the number of evaluation modes (5 depth modes and 5 horizontal modes) and the average results  
424 have been presented in Fig. 12 (a and b). As shown in Fig. 12a, the horizontal axis is the structural  
425 floors and the vertical axis is the structural displacement in centimeters. This figure shows that the  
426 tunnel has a direct impact on the movement of the structural floors. A closer look at this figure  
427 reveals that the structure at the 5-meter location of the axis tunnel has the highest displacement,  
428 meaning that the magnification occurs not at the tunnel axis but at a distance of 5 meters. It is clear  
429 from this figure that by distancing from the tunnel axis the tunnel's impact on the displacement of  
430 the structure decreases. Fig. 12b shows the amount of drift in the structure as the horizontal axis is  
431 based on meter, and as can be seen, the maximum amount of drift has occurred in the structure  
432 when the structure is within 5 m of the Tunnel axis and as the structure distances from the tunnel  
433 axis this rate decreases. Fig. 13 (a and b) shows the displacement and drift rate of the 5-story  
434 structure with tunnel displacement in depth. It is speculated that the tunnel has a direct impact on  
435 the displacement and drift of the structure, and on the other hand, this displacement decreases as the  
436 depth increases and the maximum displacement is at a depth of 5 m near the surface. The drift  
437 amount is calculated according to the standard from Formula 13:

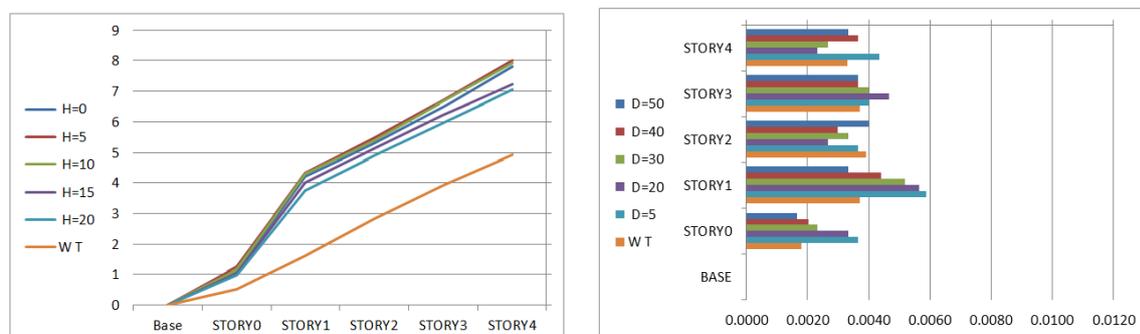
438

$$\text{drift} = (d_{i+1} - d_i)/h$$

13

439 Which  $d_{i+1}$  is the floor displacement in the (i+1) floor and  $d_i$  is the floor displacement in the  
440 (i) floor and h is the structure height.

441

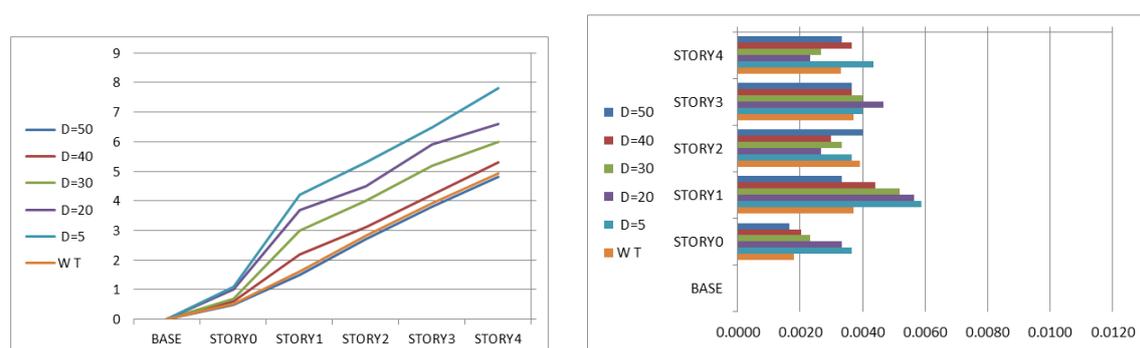


442

443 **Figure12:** Displacement and Drift Diagram of a 5-Story Structure Due To Changes in the Horizontal

444 Distance between the Structure and the Tunnel. The Right-Hand Shape, the Displacement of the

445 Structure; the Left-Hand Shape, Floor Drifts



446

447 **Figure13:** Displacement and Drift Diagram of a 5-Story Structure Due To Changes in the Vertical

448 Distance between the Structure and the Tunnel. The Right-Hand Shape, the Displacement of the

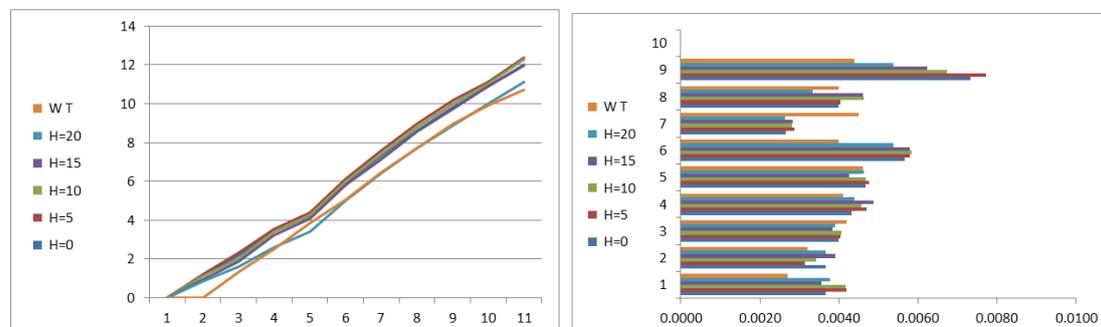
449 Structure; the Left-Hand Shape, Floor Drifts

450

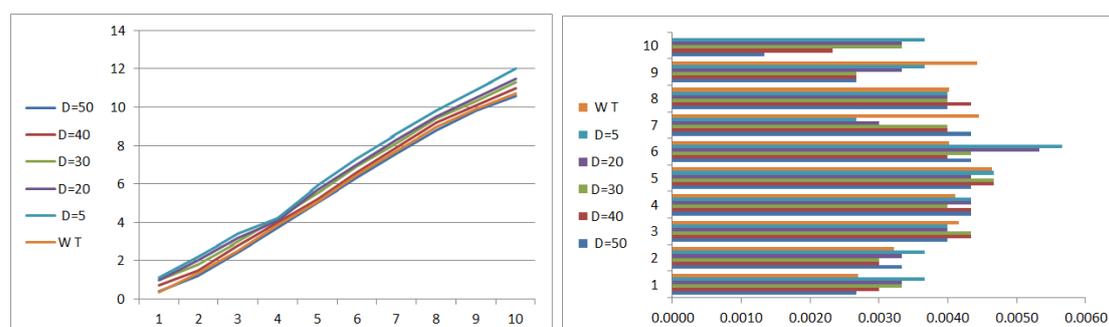
## 451 5-2- Ten-Floor Building

452 The next case is to investigate the tunnel-structure-soil interaction effect of the ten-floor steel  
453 structure. As it can be seen from Fig. 14a, the tunnel has a direct effect on the displacement of the  
454 structure and the presence of the tunnel causes a change in the displacement of the floors. In the  
455 figure where the horizontal axis represents the number of floors and the vertical axis shows  
456 displacement in centimeters, it is clear from Fig. 14a and b that the displacement decreases with the  
457 distance between the structure and the tunnel increases, meaning that the tunnel is magnified  
458 around itself and affects the structural response and by distancing from the tunnel this effect  
459 reduces. It is important to note that in the figure the maximum displacement and drift is visible at 5  
460 m from the axis of the tunnel, and that the responses before and after this position are less than this  
461 value.

462 In Fig. 15 the tunnel position changes in depth and the structural response to the tunnel depth  
 463 changes is shown. As the depth increases its influence on the structural response reaches a minimum  
 464 and on the other hand the maximum response is presented at the nearest depth to the ground. Thus,  
 465 a circular tunnel near the surface causes changes in the structural response and affects the behavior  
 466 of the structure, and as the depth of the tunnel increases, the effect decreases.



467  
 468 **Figure14:** Displacement and Drift Diagram of a 5-Story Structure Due To Changes in the Horizontal  
 469 Distance between the Structure and the Tunnel. The Right-Hand Shape, the Displacement of the  
 470 Structure; the Left-Hand Shape, Floor Drifts



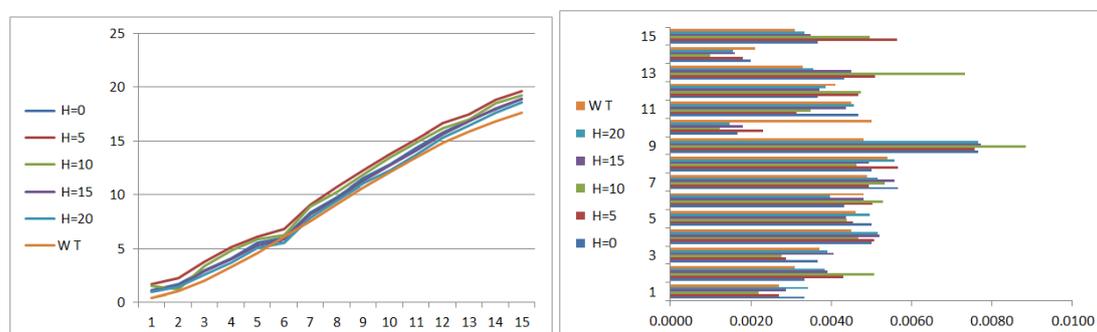
471  
 472 **Figure15:** Displacement and Drift Diagram of a 5-Story Structure Due To Changes in the Vertical  
 473 Distance between the Structure and the Tunnel. The Right-Hand Shape, the Displacement of the  
 474 Structure; the Left-Hand Shape, Floor Drifts

### 475 476 5-3- Fifteen-Floor Building

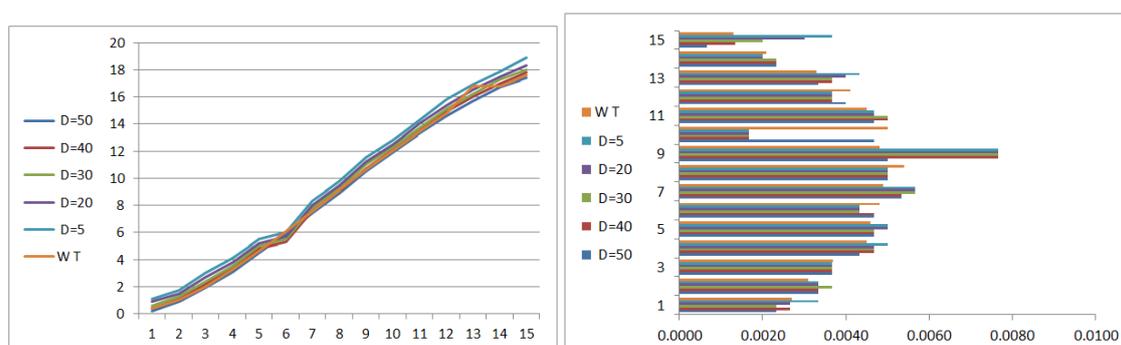
477 In the case of the 15-story building, which the effects of a circular tunnel on its seismic  
 478 response will be evaluated, are shown in Figures 16 and 17. Fig. 16 is related to the displacement of  
 479 the structure along the horizon and the variation of this parameter in the figure is presented. As seen  
 480 in the shape, it is quite obvious that the tunnel has a direct effect on the structural response, and this  
 481 effect is due to the distance between the tunnel and the structure, and the further the tunnel departs  
 482 from the structure, the lower the effect in Fig. 16 (a and b). It can be clearly seen in the figure that this  
 483 response reached its maximum within 5 m of the tunnel axis, and among the displacement and drift  
 484 graph (16b) the highest response was within 5 m between the structure and the tunnel. It is evident  
 485 in this figure that a tunnel-free structure has less response (displacement and drift) than a tunnel-like  
 486 structure.

487 Figure 17 illustrates the structural response to tunnel depth changes. As it can be seen from  
 488 the figure, that most responses are for the 15-story structure, by an accurate look it could be  
 489 concluded that the structural displacement and drift are affected by the tunnel depth, as in the other

490 5 and 10-story structures, and most of the responses are at the nearest tunnel depth. This effect has  
 491 been reported to decrease as the depth increases, and after the 50m depth it has no effect which  
 492 correlates with the results of other researchers mentioned earlier, and in fact the magnification  
 493 happens up to 30 m below ground level.



494 **Figure16:** Displacement and Drift Diagram of a 5-Story Structure Due To Changes in the Horizontal  
 495 Distance between the Structure and the Tunnel. The Right-Hand Shape, the Displacement of the  
 496 Structure; the Left-Hand Shape, Floor Drifts  
 497  
 498



499 **Figure17:** Displacement and Drift Diagram of a 5-Story Structure Due To Changes in the Vertical  
 500 Distance between the Structure and the Tunnel. The Right-Hand Shape, the Displacement of the  
 501 Structure; the Left-Hand Shape, Floor Drifts  
 502

503

## 504 6- Conclusion

505 In this study, an accurate, complete and state-of-the-art model was modeled in Abacus  
 506 software to analyze Tunnel-Soil-Structure interactions and was affected by various earthquakes  
 507 based on Eurocode accelerographs. In this study, parameters such as type of structure, number of  
 508 floors, depth of tunnel and horizontal distance of structure and tunnel were investigated and the  
 509 following results were obtained:

- 510 • The existence of a circular tunnel changes the surface response and magnification.
- 511 • The variation of the ground response due to the circular tunnel is such that the ground  
 512 surface response at the sides of the tunnel gives greater magnification than the axis and the  
 513 vertical axis of the tunnel.
- 514 • As the depth of the circular tunnel increases, the effect on the surface response decreases.
- 515 • The highest ground surface response is observed at the position closest to the ground.
- 516 • The further the distance from the tunnel along the horizon, the lower the impact.

517 Circular tunneling affects the response of structures located on the ground.  
 518 • The highest response of structures with different floors of structures along the displacement  
 519 horizon is reported within 5 m of the structure and tunnel.  
 520 • Maximum drift of structures with different floors of structures observed along the  
 521 displacement horizon of 5 m distance between the structure and tunnel.  
 522 • All drift and displacement values of the structures have decreased with the tunnel and  
 523 structure spacing along the horizon.  
 524 • The depth of the tunnel has an adverse effect on the response of structures. As the tunnel  
 525 depth increases, the response of the structures decreases.  
 526 • The maximum response of structures is at a depth of 5 m below the surface of the tunnel.  
 527 • The highest structural and drift response and tunnel impact is on high-rise structures, and  
 528 looking at the displacement and drift of the 15-story structure the impact of the tunnel and  
 529 consequently, the ground surface magnification can be observed.  
 530 According to the above mentioned, it can be stated that Tunnel-Soil-Structure interaction is a  
 531 new issue and challenge in civil engineering and urban construction. Because due to the  
 532 construction of old structures as well as designing and constructing structures that ignore  
 533 Tunnel-Soil-Structure interactions in design and construction considerations, according to the  
 534 results of this study, they will be damaged in case of earthquake. This is important because  
 535 tunnels, especially subways and subway stations, are located in cities and towns with old  
 536 textures or high-rise structures. And because in this study the 15-floor structure is in its  
 537 threshold performance, concerns rise in this issue.

538

539

540

541

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