

# Perception of Environmental Spillovers across Scale in Climate Change Adaptation Planning: The Case of Small-Scale Farmers' Irrigation Strategies, Kenya

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## ABSTRACT

The failure to acknowledge and account for environmental externalities or spillovers in climate change adaptation policy, advocacy and programming spaces exacerbates the risk of ecological degradation, more so, degradation of land. In particular use of unsuitable water sources for irrigation may increase salinisation risks. However, little if any policy assessments and research effort has been directed at investigating how farmer perceptions mediate spillovers from the ubiquitous irrigation adaptation strategy. In this study cognitive failure and/or bias construct is examined and proposed as an analytical lens in research, policy and learning and the convergence of disaster risk reduction and climate change adaptation discourses. The findings from small-scale farmers, Machakos and Kakamega counties, Kenya, suggest multifaceted biases and failures about the existence and importance of externalities in adaptation planning discourses. Among other dimensions, cognitive failure which encompasses fragmented approaches among institutions for use and management of resources, inadequate policy and information support, as well as, poor integration of actors in adaptation planning accounts for adaptation failure. The failures in such Human-Environment system interactions have the potential to exacerbate existing vulnerability of farmer production systems in the long run. The findings further suggest that in absence of risk message information dissemination, education level, farming experience and information accumulation, as integral elements to human capital, do not seem to have significant effect on behaviour about mitigation of environmental spillovers. Implicitly, reversing the inherent adaptation failures calls for system approaches that enhance coordinated adaptation planning, prioritises proactive mitigation of slow onset disaster risks and broadens decision support systems, such as, risk information dissemination integration into the existing adaptation policy discourses and practice.

**Key words :** Adaptation failure, Adaptation planning, Economic interests, Climate Change, Ecosystem spillovers, Policy, Risk perception, Transformation

## Introduction

Though climate change is used as justification for environmental and livelihood interventions [1], there is risk of adaptation failure or inability of adaptation action meeting set objectives and/or generating hybrid risks, such as, environmental degradation [2,3]. Accordingly, disaster risk drivers, such as, poor land management, unsustainable use of natural resources and declining ecosystems, have emerged as focal points in climate change action and pursuit of Sustainable Development Goals[4,5]. The growing evidence of links between climate change adaptation (CCA) and disaster risks has also seen concomitant efforts at integrating Disaster Risk Reduction (DRR) and CCA[6], with a focus on the dialectical and /or trialectic tension between resilience, adaptation and risk management within the broader social ecological system approach, particularly the human-environment nexus [3,7]. Analytical lenses that link climate change adaptation to other drivers of change has thus emerged as essential for effective adjustment to changing climate stimuli [8].

Comprehensive adaptation planning frameworks addresses policy and implementation processes interlinkages or scales at local and national levels[3,9, 10]. Implicitly it encompasses the integration of sustainable development and disaster risk management lenses [11,12,13], policy engagement or framing [2,3,9,14], as well as, changes in policies and institutional arrangements that mediate successful scaling up of CCA [1]. Risk management and robust decision making are core features that address underlying risks[15], moreso responses to adaptation needs that span long time horizon[16].

47 Innovative lenses on deliberations about risk appraisal [17], the role of values, interests and institutions that  
48 constrain societal response to change and unpacking of underlying causes are some of the factors of interest  
49 in the emerging approaches to climate risk management [11,14]. However, in spite of the recognition of the  
50 need to integrate DRR, climate change and sustainable development and their successes at conceptual level,  
51 insufficient interrogation of the underlying risks tend to bias disparate adaptation planning discourses towards  
52 Business as Usual (BAU) implementation trajectories that undermine effectiveness of adaptation action  
53 [18,19]. Most importantly, BAU or routine adjustment to adverse impacts from climate change tend to ignore  
54 social costs which is at cross purpose with some of tenets of sustainable development. There is urgent need  
55 therefore to reorient adaptation planning frameworks so as to minimise the risk of adaptation failure.

56 Social structures mediate exchange of knowledge and behaviour, such as the development and diffusion of  
57 adaptation technology to climate change [19]. In essence cognition or knowledge about risks and shared  
58 understanding could build coherence and vision into integrative frameworks, such as those that concurrently  
59 address sustainability and disaster risk reduction [11,20]. Accordingly values, beliefs, interests, knowledge  
60 and expectations are considered integral to holistic approaches and effective adaptation [3]. However, many  
61 of the existing integrative models are constrained as they fail to recognise the centrality of individuals [11].  
62 Additionally, current integrative models pay low attention to time related concerns that may amplify the risk  
63 of slow onset disasters [21].

64 The individual agency and wider pathways of change which portend challenges in adaptation discourses [22],  
65 are related to the complex social networks and relations in which people are embedded, commitments and  
66 understanding of social and ecological risks [7,14]. Accordingly, complementary efforts that address questions  
67 of scale, fit and interplay in policy and governance could partly resolve such dilemmas [23,24]. In this article,  
68 we explore how multifaceted biases and failures about the existence and importance of negative externalities  
69 constrain system integration in adaptation planning discourses.

70 Though integration of CCA and mitigation of associated disaster risks or ecosystem spillovers, such as  
71 salinisation risks, can be advanced through theoretical and/or conceptual multiplicity [25] convergence of  
72 CCA and DRR is constrained in agricultural production systems [7]. The constraints are related to difficulties  
73 in the integration of learning, reflectivity and change management, as well as, lack of institutionalization of  
74 CCA-DRR into the planning process [11,14]. More specifically, there is paucity of knowledge in diagnostic  
75 procedures and empirical evidence that illustrate conceptual and theoretical convergence, as well as, urgency  
76 for action [2]. In particular, there are gaps in adaptation policy framing especially on potential mechanisms  
77 for integration of CCA- DRR models [6]. We posit that environmental externalities, as an analytical lens, has  
78 great potential to facilitate holistic vision on the convergence and operationalisation of the often disparate  
79 CCA-DRR approaches.

80 Though system integration at local and global scales has emerged as critical in sustainability discourses [7],  
81 there has been low attention on environmental spillover effects [26,27]. The low attention to environmental  
82 spillover effects is more widespread in climate change action. In risk analysis, fast and frugal heuristics is  
83 adopted if ignoring some information does not compromise accuracy of the findings [28]. We adopt the logic  
84 and concur with Reed, Fraser, & Dougill, [29], and Reid & Coleen, [30], that thresholds and sustainability  
85 indicators on a limited number of parameters, such as soil health (including qualitative aspects, such as  
86 salinity levels), can be used as empirical indicators to assess the effectiveness and/ or failure of adaptation  
87 strategies, such as, irrigation. In particular, we adapt [31], to the view that temporal variation in soil salinity,  
88 is an appropriate indicator in the monitoring of degradation risks and proxy for sustainability trends.

89 To illustrate our proposition, we assess various dimensions of cognitive failures and/ or biases in autonomous  
90 adaptation pathways among small-scale farmers and how this constrain transformative adaptation discourses.  
91 Building upon the above assumptions, we employ survey study and assessment of salinity dynamics to unpack  
92 the interplay between cognitive failure, environmental externalities and adaptation failure. The quantified  
93 changes and significance whose interpretation is based on FAO [32], classification of salinity risks from  
94 irrigation water, and metacoupling and/or telecoupling) principle [33,34], is assumed to provide time scale  
95 scenario of salinity and/or sodium hazard risks.

By unpacking the poorly understood environmental spillover effects, we provide insights that complement and enhances the utility of existing transformative adaptation planning frameworks. The nested adaptation assessment model provides holistic lenses that addresses multifaceted biases at policy, research and implementation levels, with potential to address complex interplay between the climate system, the human system, as well as, sustainability concerns, related policy analyses and ultimately system integration in adaptation planning. In so doing, the study contributes to the development of a robust and innovative diagnostic approach that integrates empirical data, cognitive and scale dynamics ( such as, institutional polices , farmer management practices) in projecting adaptation failure.

The article is organised into several sections. Section 2.1 contextualises the limitations of policy and decision pathways in adaptation planning discourses across scale; section 2.2 discusses the environmental externalities/and or social costs and possible reasons for cognitive failure across scale. Section 2.3 attempts at extending the concept of transformative adaptation in the context of underlying risks while section 2.4 discusses salinity footprints as one of the slow onset disaster and an environmental externality that is given low attention in adaptation planning while section 2.5 gives an overview of climate change adaptation policy in Kenya. Section 3 discusses the methodology including the instruments used, data collection and analysis. Section 4 presents results and discussion while section 5 gives the conclusion and recommendations.

## **2.0 The multifaceted dimensions to cognitive construct in adaptation policy**

The following section discusses the multifaceted dimensions to cognitive failure and/or bias construct in adaptation planning discourses. Section 2.1 to 2.4 thus explores how provides the construct mediates transformative intent. Perception and quantification of salinisation risks, as an example of less acknowledged environmental externalities among small-scale farmers practicing irrigation, an adaptation technology, is presented.

### **2.1 The policy- practice divide as cognitive failure**

The development paths and the choices that define adaptation choices have greater bearing on the severity of future climate impacts [35], local-scale Disaster Risk Reduction (DRR) and resource management, as well as, broader social dimensions, such as risk perception [36]. Though planned adaptation presents new opportunities in the mitigation of climate change related risks [37], reactive or autonomous adjustments to adverse climate stimuli and the associated investments may increase the risk of maladaptation, hence an increased exposure of ecosystems, sectors or social groups to hybrid or secondary risk [19,38,39]. For example, adoption of technologies in water management, such as in flood control, has potential for new downstream hazards, in itself an example of negative interactive impacts between adaptation, governance failures and disasters [40]. The environmental damage and lack of fit for purpose associated with such interactions has been termed as adaptation failure [2, 3,9].

Optimising the benefits and concomitant minimisation of maladaptation risks through robust adaptation-mitigation-sustainability frameworks has emerged and suggested as a triple win strategy in adaptation policy framing [3,9,41,42]. Accordingly, effective formulation of adaptation strategies, as well as, the success of CCA policy and programming in climate risk management, to a large extent, is predicated on local knowledge of adaptation [43], local context of adaptation strategies [44,45], as well as, agent perception [9,46,47]. In addition, effective adaptation depends on policy support that facilitates environmental sustainability, as well as, enhance financial returns, knowledge stocks as some of the livelihood capitals [44]. Identification of causes, agents and flows behind the externalities or spillovers is thus critical to the understanding mitigation of externalities [7, 23].

Decision making is unpacked through adaptation activity and solution spaces that include the individual, technology, livelihoods, behaviour, the environment, institutions, as well as, popular and policy discourses [1]. Enhancing better understanding and managing effects across multiple systems and scales is thus critical in sustainability policy and management. In particular, the use of human perception lenses has immense potential in promoting system resilience [7, 48]. However, individual adaptation hinges on whether an impact, anticipated or experienced, is perceived as a risk and whether it should and/or is acted upon through adaptation

144 policies, or is constrained by inertia and cultures of risk denial [20]. This necessitates the use of holistic  
145 approaches that consider feedback loops to shape outcomes from the complex interplay between the climate  
146 system, the human system and ecosystems, as well as, assessment of sustainability[2,9,7, 49].

147 The multiple interactions between governance and resource users' systems are consequential on provision of  
148 ecosystem goods and services, as well as, externalities [23]. Accordingly, under the sustainable development  
149 paradigm, ecological considerations are prioritised over short-term economic pay-offs [50]. In situations of  
150 inadequate information, and where alternatives and consequences are not well understood, the polluter pay  
151 and the precautionary principle [51], are widely accepted to compliment legislative and enforcement  
152 mechanisms in the mitigation of negative spillovers or externalities [51, 52]. However, for most developing  
153 nations, the precautionary and polluter pay principle, have been adjudged to be ineffective in the mitigation  
154 of environmental externalities [2, 53]. Pursuit of sustainability has thus been re-oriented to encompass  
155 coordination mechanisms and integrative use of social ecological lenses that unpack the complex interplays  
156 between agent cognition, governance, social and policy discourses with regard to outcomes, such as,  
157 environmental externalities[7,23]. Accordingly, synergies and trade-offs between broader development goals  
158 and climate-risk management have are the focus in adaptation planning [2,54]. However, environmental  
159 spillovers or downstream costs, such as, salinisation have received low attention in such discourses.

160 Though agent behaviour across scale, the processes in behaviour development, as well as, behaviour patterns  
161 can be exploited in scenario building of likely spillover impacts [55], lack of understanding and concern for  
162 important linkages between natural resource management, development, DRR, and climate change mitigation  
163 and adaptation constrain systemised planning [19,56]. For instance, policy makers, depending upon their  
164 institutional biases, may view a single hazard, such as, waterborne diseases and flooding separately, instead  
165 of multiple, interrelated hazards at a time[9,40], focus on immediate adaptation needs during policy framing  
166 and decision making [14].

167 Reducing the risk of adaptation failure depends on the extent to which multiple actors across scale and the  
168 broader social contexts are integrated into decision making [2,14, 19, 57, 58], as well as responsive legislative  
169 frameworks[57]. Information and policy coherence [9], coordinated framing of the problem among actors with  
170 influence on adaptation planning and policy tend to substantially reduce such risks [19,58]. Policy and  
171 information support frameworks have great potential to guide informed decision making and a paradigm shift  
172 towards effective adaptation action in general, and learning and mitigation of negative social and  
173 environmental externalities in particular [9].

174 In spite of adaptation-mitigation-sustainability frameworks, accounting for environmental spillovers in  
175 planning processes remains as a challenge [7]. Such a challenge is routinely encountered in search of solutions  
176 to environmental change problems with intractable feedback loops [59]. Furthermore, the preferred biased  
177 end state solutions and technology approaches in routine adaptation discourses by default fail to acknowledge  
178 and account for environmental footprints [60, 61]. Such challenges may require use of metacoupling and/or  
179 telecoupling (which we consider as a subset of Metacoupling) approaches and their adaptation to local scale  
180 [7, 33,34]. This is in addition to use of innovative social and technical lenses (2,15,54,62), more so at  
181 individual level, where autonomous adaptation, local knowledge and perception of climate change tend to  
182 dominate over planned adaptation [63,45].

183 Telecoupling frameworks links actors, causes, flows and effects between and within sending(source) and  
184 receiving(sink) systems i.e. the entire socioeconomic and environmental chain interactions across time space  
185 and organisational levels [7]. The metacoupling framework differentiates between human-nature interactions  
186 within a system (intra coupling),between distant systems (telecoupling), and between adjacent systems or  
187 pericoupling [34]. Implicitly, it connotes a focus on underlying risks and /or incentives that influence agent  
188 behaviour, as well as, frameworks that link the upstream decision making phase and concerns for downstream  
189 impacts across scales which is of practical, policy and research concern.

190 As adaptation and mitigation in agriculture are country and farmer specific and by farmer characteristics, such  
191 as, farm size and education level[64], risk reduction planning process involves a diverse solution space, such  
192 as, knowledge of situations (cognition), processes and systems [3,5,11,14]. The low institutional awareness

193 and institutional coordination between agencies responsible for disaster management and climate change  
194 adaptation, as well as, overall development planning thus tend to entrench the reactive and/or fragmented  
195 adaptation solutions[6,12].The divergence is reflective of cultural cognitive institutions that affect system  
196 understanding, boundary setting and participatory search for solutions [2, 11]. This may result into biased  
197 planning frameworks and adaptation failure [40,65]. Implicitly holistic approaches that pay attention to  
198 feedback loops between the climate system and the human system are invaluable in adaptation planning [49].  
199 In particular, multi-hazard and multisectoral frameworks that foster people centred, collaborative partnerships,  
200 mechanisms and institutions for implementation of instruments relevant to building resilient socio-ecological  
201 systems are critical.

## 202 **2.2 Cognitive failure and mitigation of Ecosystem risks**

203 Though the three domains of adaptation, mitigation and productivity are dialectically related to the other two  
204 and thus intricately intertwined [66], operationalising system convergence is undermined by absence of over-  
205 arching national policies that integrate CCA and DRR into various aspects of land-use planning and typified  
206 by lack of capacity to assess, interpret and apply data on climate change risks and vulnerabilities, as well as,  
207 bottlenecks in the integration of plans among and within agencies [12]. The dissonance between individual  
208 values and formalised institutions and organisations as entry points for alternative adaptation pathways[22],  
209 and convergence between CCA and DRR is thus likely to demand substantial institutional changes [6].

210 Knowledge about consequences, their causes and implications play a role in peoples risk belief and mitigation  
211 actions [67]. Cognition or perception aid in mobilising peoples' commitment to action over environmental  
212 problems [68]. Perception of risk, habit, social status, and age as individual attributes are thus critical in  
213 collective action decision-making [20]. At community level analytical and conceptual lenses that unbundle  
214 cognitive biases and failures, as well as, integrate and transform individual and collective agency are critical  
215 in risk reduction and resilience building[69]. Theoretical and empirical multiplicity lenses improve analytical  
216 rigour, address conceptual and knowledge gaps, as well as, solve complex problems and contextual dilemmas  
217 while encouraging synergies[25,59]. The utility of communication in CCA-DRR convergence discourses at  
218 different institutional scales[6,70], as well as, development and dissemination of adaptation technology options  
219 [71], is thus critical.

220 The increase in risk and vulnerability from climate extremes calls for increased attention to an array of  
221 underlying drivers and lenses, such as, ecosystem services, governance and information needs [23]. However  
222 the dilemma arises due to divergence in priorities at different times and scales hence the need for analytical  
223 and policy innovations that advance and/ or broker complementarity in CCA policy, advocacy and  
224 programming spaces [1,70]. However, the complex Human-Environment system feedbacks are potential  
225 dilemmas that may constrain planning. For example, though awareness plays acritical role in disaster  
226 mitigation [67], increased information may be ineffective as a tool for better decision making where profit  
227 motive (proxy for risk disposition) prevails [72]. Intuitively there is need for innovative lenses that resolve  
228 inherent value conflicts around immediate private gain and concern for long term social gains.

229 Though changes in external stimuli, such as, temperature and moisture are sources of risks that trigger  
230 development of robust adaptation strategies at micro i.e. individual farm level [73], the farmer as a primary  
231 actor in adaptation planning, is motivated by short-term reactive incremental adaptation that are biased  
232 towards immediate economic interests and/or survival objectives other than long-term sustainable risk  
233 reduction initiatives [9,47, 74]. Prioritisation of narrow economic interests and immediate payoffs as opposed  
234 to long term social good, discounts the importance of future risks and undermine sustainability of ecosystems  
235 [1, 75].

236 Though collective action and public support is a necessary condition for the effectiveness of mitigatory action  
237 (i.e. internalisation of environmental effects, such as, methane emissions and salinity spillovers), the accruing  
238 benefits from such action, are felt after long time lags and spread or diffused to the wider social system,  
239 qualifying them as public goods, hence of low worth to an individual actor[47,76]. This seems to explain the  
240 popularity of adaptation pathways whose benefits largely accrue to individuals, over those that address  
241 underlying risks such as the negative ecosystem externalities or spillovers. In essence, effective adaptation

242 planning need to consider and integrate short term and long term social interests in the mitigation of slow  
243 onset disaster risks.

244 In climate change adaptation, sustainability is often framed as one way driver of change in the system of  
245 interest with little attention to feedbacks between the system of interest and other systems [7, 19, 77,78], as  
246 well as, poor cognition of spillover systems [33]. The cognitive barriers are linked to poor quality and/ or lack  
247 of specific information, poor coordination across scale [9], fragmented understanding among the actors[2, 63],  
248 as well as, operational challenges among constrained agents [3]. Cognitive failure and/ barriers thus inhibit  
249 informed and sustained action [79]. The failure is exacerbated by ineffective implementation and/ or poor  
250 enforcement mechanisms (Pahl-wostl, 2009; Park et al., 2012), especially the mismatch between expert and  
251 lay perceptions of risk [80]. More importantly, most policy framings in CCA fail to consider externalities for  
252 various reasons, such as, political incentives that tend to favour short term policy support over long term  
253 system concerns[14, 40,81].

254 The bias towards immediate payoffs across scale increases the need for integration and use of perception at  
255 community level in the design, analysis and policy reframing on adaptation planning[1, 11,14]. Dissemination  
256 of information on such risks or risk communication, has been found to play a critical role in the abatement of  
257 externalities[82]. Framing of communication regarding mitigation of future risks is thus critical as it affects  
258 cognition and disaster risk reduction responses[68,83]. In particular, variation in perception is an important  
259 consideration because differences between lay and expert perceptions of risk impact the success of risk  
260 communication [80]. Investigating farmer perceptions could provide novel insights and advances in the  
261 concomitant integration of sustainability, disaster risk reduction, resilience building and development planning  
262 lenses into transformative adaptation discourses, as well as, identify governance gaps for the betterment of  
263 system integration frameworks.

### 264 **2.3 Underlying risks and transformative adaptation**

265 Several pathways such as transformation, vulnerability reduction, disaster prevention, preparedness, response  
266 and recovery and building resilience provide solution spaces for risk management and adaptation to extreme  
267 climate changes [84]. The extent to which underlying risks are addressed defines whether the adaptation  
268 pathway is transformative or incremental. While incremental adaptation relies on BAU trajectories,  
269 transformative adaptation considers alternative development priorities, preferences and pathways that address  
270 the social drivers and processes, as well as, incorporate early warning systems as disaster risk reduction tools  
271 and lens into planning processes[1,2,9,14]. Implicitly,transformative adaptation includes monitoring,  
272 evaluation and learning for improvement and policy support [9]. However, operationalising transformative  
273 adaptation has received less attention in practice[14,85].

274 Incremental adaptation discourses primarily focusses on technical approaches to improve predictive  
275 capabilities in adaptation planning cycle [2, 9,14]. Incremental adaptation frameworks are thus short of social  
276 lenses that can unpack underlying risks. In contrast, transformative adaptation frameworks address deep rooted  
277 causes of risk and vulnerability with the primary objective being to enhance co-benefits and minimise the risk  
278 of the adaptation deficit or failure [14,86]. Enabling drivers towards transformative discourses include the  
279 upstream dialogue and exploration of values and visions about future decision making processes [87].  
280 Increased awareness on the less acknowledged salinisation risks could aid such forward looking planning.

281 Scaling up of adaptation could provide multiple co-benefits where public participation, awareness raising  
282 campaigns, law enforcement, as well as, strong political will exist [88]. Improved access to information about  
283 appropriate adaptation strategies appear to support adaptation processes and resilience building at local level  
284 [11,45], as well as, raise procedural questions for decision-makers [1], engagement with individuals might be  
285 a useful lens through which communities and practitioners are sensitised about risks with higher uncertainty  
286 that fall outside their more mandate, with a positive impact on the construction of a more dialectical approach  
287 to DRM/CCA and sustainable development in general [14]. We argue that transformation pathways should  
288 revolve around the multifaceted cognitive failure construct and environmental externalities.

289 Though media can be exploited to enhance the understanding of disasters, especially where, vicarious  
290 experience is concerned [89], some authors [e.g. 90], have found no relationship between exposure to sources  
291 of information or self-rated knowledge about climate change and support for climate change policy. Such  
292 dilemma could be resolved partly through participatory communication [91] and concomitant use of seamless  
293 support systems, such as, risk communication which have great potential to address cognitive biases and/ or  
294 failures [82]. In the next section, we examine salinisation, a slow onset disaster and demonstrate how  
295 environmental externalities could mediate adaptation failure.

## 296 **2.4 Salinity footprints and adaptation failure**

297 Water quality and its suitability for use in irrigation is judged on potential severity of problems that can be  
298 expected to develop during its long term use [32,92]. Total concentration of soluble salts (salinity hazard) in  
299 terms of electro-conductivity (EC); relative proportion of sodium to other principal cations (sodium hazard)  
300 expressed as sodium adsorption ratio (SAR); bicarbonate concentration relative to the concentration of  
301 calcium plus magnesium and boron hazards or concentration of boron or other toxic elements are the most  
302 important determinants of quality and suitability of water for irrigation [92].

303 Salinity is recognised as one of the greatest land degradation process and ultimate decline in soil productivity  
304 especially in arid and semi-arid regions[93, 94]. High levels of salts in water used for irrigation has been  
305 implicated to affect soil fertility and crop yield [95]. Salinity hazards or EC exceeding certain threshold levels  
306 reduce water availability in the root zone and cause 8- 86% drop in crop yields [32]. Such risks increase with  
307 use of ground water (e.g. from boreholes) of high salt content for irrigation [96]. In particular, salinity  
308 negatively alters soil microbial and biochemical properties, metabolic efficiency and growth of soil microbes  
309 [97]. Though salinity in soils tend to significantly vary, it indirectly impact climate change through oxide  
310 (N<sub>2</sub>O) emissions, hence an effect on global warming [98].

311 Though primary salinisation is associated with parent material mineralogy, secondary salinisation is dependent  
312 on agronomic practices, such as, fertilization, poor drainage and use of inappropriate water sources [31,99].  
313 In a study of groundwater quality in the soutpansberg fractured aquifers, South Africa, agricultural activities  
314 produced localised impacts in terms of elevated concentrations of calcium, chloride, magnesium and nitrates  
315 in groundwater[100]. Where small scale production systems dominate, underestimation of cumulative impacts  
316 of the seemingly minor individual footprints may result to an ecological disaster in the long run.

317 Land degradation is one of the slow onset disasters with adverse social and ecological impacts [101]. For  
318 example, in India, one of the countries where land degradation is widespread, 6 Million hectares of the 147  
319 million hectares of land classified as degraded, is attributed to salinisation [102]. Though slow-onset disasters,  
320 such as, land degradation generally do not result in sudden fatalities or casualties and acute property damage,  
321 they are more extensive in their impact and more destructive in the long term than rapid-onset disasters such  
322 as floods, hurricanes, and earthquakes[103]. Since individuals may not recognize land degradation as an  
323 underlying cause of vulnerability, awareness on such type of a disaster is critical [104]. Lack and /or poor  
324 knowledge of the consequences of the effect of such slow-onset disasters, such as those associated with  
325 spillovers from salinisation, fits the narrative of adaptation failure and demonstrates the intractable challenges  
326 between adaptation action and vulnerability to induced risks or spillover effects.

## 327 **2.5 The Agricultural sector, climate change risk and adaptation policy context in Kenya**

328 Kenya is predominantly an agrobased economy where small scale farmers dominate with about 75% of the  
329 populations' livelihoods directly linked to agriculture [105]. Agriculture is thus key to overall national  
330 development, equity objectives and sustainable growth. Intuitively, weather-related disasters, particularly  
331 droughts, present a major challenge to the predominant rainfed agricultural production system with profound  
332 adverse impact on the economy. The adverse effects negatively affect foreign exchange earnings, food security  
333 and nutrition, employment and rural livelihoods. Adaptation to extreme weather impacts is thus a priority  
334 under National Adaptation Policy Action plans (NAPAs). Among other objectives, NAPAs envisages  
335 improved crop productivity through irrigation [106].

336 Adaptation to climate related risks is expected to be achieved within a number of institutional and governance  
 337 frameworks, such as, the climate change Act and the Environmental Management Coordination Act (EMCA)  
 338 which directly or indirectly impinge on agricultural sector planning. EMCA is a framework legislation under  
 339 the stewardship of the National Environment Management Authority (NEMA), the government agency for  
 340 coordination, enforcement and compliance on all matters on environment. As the principle instrument that  
 341 establishes the legal and institutional framework for all matters that touches on environmental management in  
 342 Kenya [107], EMCA adopts the “precautionary principle” as a sustainability safeguard in decision making.  
 343 The 1<sup>st</sup> Schedule of the EMCA act, Part (vi) and (vii) provides for the process and projects that should  
 344 undertake Environmental impact Assessments(EIA), Audit (EA) and monitoring respectively. Irrigation is  
 345 among projects that should undertake EIA/EA. However, the Act only refers to effluents and not the processes  
 346 nor the slow onset disaster risks, such as, salinisation.

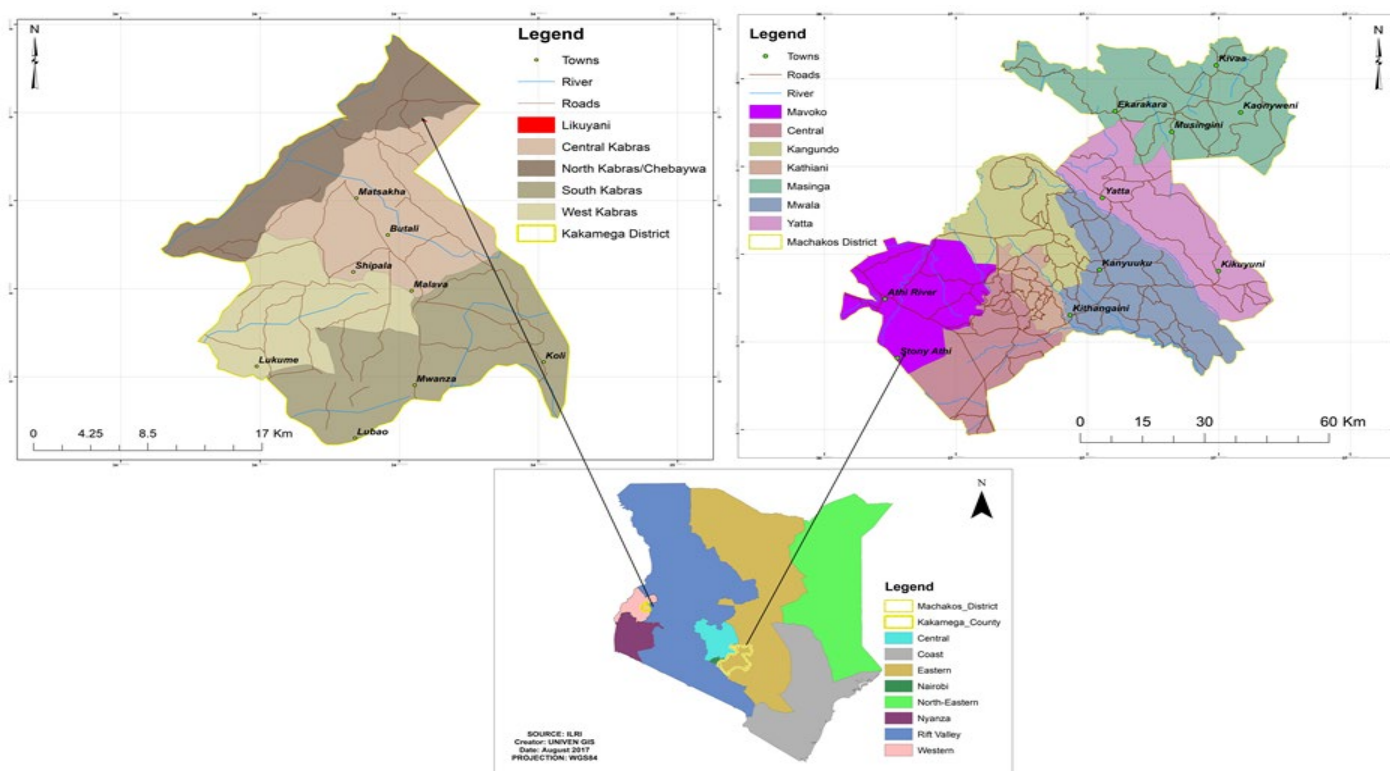
347 Building farmer resilience to climate change risks is the main objective under the Agricultural Sector  
 348 Transformation and Growth Strategy [105], which in agriculture operationalises the climate change Act.  
 349 Though the Climate Change Act [108], broadly addresses mechanisms and measures towards low carbon  
 350 climate development, it fails to address environmental externalities, such as, salinity footprints that are  
 351 embedded irrigation, an ubiquitous adaptation pathway in the country. The Agricultural Sector Transformation  
 352 and Growth Strategy envisages an increase in access to irrigation by small scale farmers from the current level  
 353 of 5% to 11%.

### 354 3.0 Methodology

#### 355 3.1 Study area

356 Fig (1) gives the map showing the location of Likuyani subcounty in Kakamega County and Mavoko  
 357 subcounty in Machakos county respectively as the study sites. Though the study sites are located in contrasting  
 358 ecological zones, they are highly populated and characterised by high poverty levels. High population and  
 359 poverty levels are drivers of increased livelihood vulnerability to climate change related risks. Kakamega  
 360 covers an area of 3,051 km<sup>2</sup> with a population of 1,660,651(approximated growth rate of 2%), that translates  
 361 to population density of 544.3/Km<sup>2</sup>. Machakos covers an area of 6,208 km<sup>2</sup> with a population of 1,098, 584  
 362 persons (projected growth rate approximated at 1%), and a density of 177.0/Km<sup>2</sup> [109].

363



364



365 Fig 1: Gis Generated map of study sites in Kakamega and Machakos Counties, Kenya

366 Kakamega county is located in Western Kenya between longitude  $34^{\circ} 35^{\prime} E$  and latitude  $0^{\circ}$  and  $0^{\circ} 15^{\prime} N$  [110].  
367 The county is characterized by commercial sugarcane farming as well as maize production at subsistence and  
368 commercial level as major economic activities [109]. Agriculture employs 80% of the population and is critical  
369 to poverty (currently at about 50%) reduction in the county [111]. The Agro ecological zones (AEZs) range  
370 from UM1 (upper middle-1) to LM-3 (Lower middle-3) hence variation in rainfall, agricultural potential and  
371 productivity in terms of livestock type, crop varieties and actual/potential yield levels [110]. Most of the soils  
372 in the county are thus heavily leached due to high rainfall and relay cropping.

373 The county receives 1200-2200 mm of rainfall per annum with the first rains of 500-1100 mm and second  
374 rains of 450-850 mm. However, farmers in the area, notably the northern part (the study site), is affected by  
375 extreme climate change extremes in form of droughts. The extreme weather episodes are exacerbated by high  
376 evapo-transpiration that averages 1600 to 1800mm. Generally, the county has experienced warming trends,  
377 interannual variability in the amounts of rainfall as evidenced by increasing number of consecutive dry days,  
378 as well as, intense and downpours that occasion flooding [111].

379 Machakos county is located in Eastern Kenya, between latitudes  $0^{\circ} 45^{\prime}$  and  $1^{\circ} 31^{\prime} S$  and longitudes  $36^{\circ} 45^{\prime}$  and  
380  $37^{\circ} 45^{\prime} E$  and an altitude of 790 to 1594 M above sea level. The agriculture economy in the county contributes  
381 70% of household income and is characterized by livestock farming, as well as, small-scale crop production  
382 at subsistence and commercial levels [109]. The AEZ range from LM2 (Lower middle-1) to LM-3 (Lower  
383 middle-3). The county is characterised by a semi-arid type of climate (except in highland areas) and cool to  
384 hot temperatures that averages  $18^{\circ} C$  and  $29^{\circ} C$ . It receives bimodal but unevenly distributed and unreliable  
385 rainfall that averages 500 mm to 1300 mm annually. The agricultural potential and productivity in terms of  
386 livestock type, crop varieties and actual/potential yield levels is thus highly limited by the low moisture  
387 potentials which increases vulnerability of farmers to production failures. The absolute poverty in the county  
388 averages about 61% [112].

### 389 3.2 Data collection

390 For this study, a cross sectional survey design was used at farm level to collect information from two  
391 contrasting agroecological zones through a multistage sampling technique. The agroecological zones in terms  
392 of counties and sub counties respectively, were selected on the basis of population pressure per square  
393 kilometre (High density-  $>600$ , 400-599- Medium density and  $< 400$  - Low density), rainfall amount and  
394 variability as factors that influence climate change and livelihood vulnerability severity impacts. The sampling  
395 frame consisted of a list of farmers from target villages provided by the department of agricultural extension  
396 in Likuyani and Mavoko sub counties of Kakamega and Machakos counties respectively. Proportionate  
397 stratified random sampling was employed with AEZ used as proxy for water availability, use strategies and  
398 salinisation risks in the first stage, hence Machakos and Kakamega counties. During the second stage,  
399 population density as proxy for land subdivision (land size) and therefore the extent of land resource  
400 marginalization was used to select villages where the questionnaires and soil sampling was to take place. The  
401 third and final stage employed irrigation typology and water source for irrigation. Households for the  
402 administration of the questionnaires were then picked through lottery system from a box of cards with numbers  
403 generated from a table of random numbers. The semi structured questionnaire were administered between  
404 December 2018 and February 2019. The information from household surveys were triangulated through Key  
405 informant interviews (KI) and Focus Group discussions (FDGs).

406 Desk reviews on climate change adaptation policies and environmental governance was also undertaken.  
407 Before data collection commenced, the survey questionnaire was tested among 10 respondents to ensure the  
408 adequacy of the information obtained and to avoid any ambiguity in the questions. The questionnaire sought  
409 information on farmer risk reduction measures concerning soil and water soil testing and associated factors  
410 around dissemination of information on salinisation risks (Appendix I). Systematic sampling was employed  
411 in the collection of soil and water samples (i.e. on basis of whether ground water (e.g. shallow well, borehole)  
412 or surface water (e.g. rivers, roof harvesting) was the main source of irrigation water. Both top soil (0-20cm)

413 and subsoils ( 20-40 cm) from irrigated and non-irrigated sections of farmers' fields were collect using a soil  
 414 auger, packed and analysed using AAS (atomic absorption spectrophotometer) and flame photometer at the  
 415 Kenya Agricultural and Livestock Research Institute KARLO, Kabete an ISO/IEC 17025 accredited laboratory.  
 416 This involved composite sampling where top and subsoil subsamples(four) from each farm(zigzag transect)  
 417 were combined to make up a single composite sample. Composite sampling control for spatial and horizontal  
 418 variations and improves the accuracy in estimation of population parameters thus reducing cost and analytical  
 419 time [113]. It was assumed that each sample contributes equal amount to the composite and the interaction  
 420 between the sample units would not significantly affect the eventual composite sample.

### 421 3.3 Data analysis

422 Statistical analysis was performed using generalised linear logistic Weight Estimation procedure in IBM<sup>R</sup>  
 423 SPSS<sup>R</sup> statistics version 26.0 (SPSS Inc., Chicago, IL, USA). Weight Estimation procedure computes the  
 424 coefficients of a linear regression model using weighted least squares (WLS), such that the more precise  
 425 observations (that is, those with less variability) are given greater weight in determining the regression  
 426 coefficients [114]. WLS thus tests a range of weight transformations and indicates which will give the best fit  
 427 to the data i.e., the coefficients selected are those that make the observed results most likely. The weights can  
 428 be interpreted as a change in the logarithm of the odds ratio  $E(\beta)$ , associated with a one-unit change in any  
 429 predictor. The odds equation [114] is given in equation (1). Negative  $E(\beta)$  suggest decreasing likelihood of  
 430 falling into target group as you increase predictor variable while positive  $E(\beta)$  indicate increasing likelihood  
 431 of falling into target group as you increase predictor variable.

$$432 \quad \Omega = ez/(1 + ez) \dots \dots \dots (1)$$

433  $\Omega$  is the probability of the event,  
 434  $e$  is the base of the natural logarithms (about 2.718),  
 435  $z$  is the linear combination and calculated as;  
 436  $z = a + \beta_1x_1 + \beta_2x_2 + \beta_3x_3 \dots + \beta_ix_i$   
 437  $a$  is a constant (intercept)  
 438  $\beta$ s are coefficients (the log odds) estimated from the data  
 439  $x_i$  are the values of the predictors

440 The log of the odds ratio  $E(\beta)$  or logit expression is given as;

$$441 \quad z = \log (p/(1-p))$$

442 Where  $P$  = Probability of falling into target group which is soil / water testing

443  $1-p$  = lack of soil/ water testing

444 Heteroscedasticity which renders estimated  $\beta$ 's inefficient and thus invalid for use in making predictions about  
 445 dependent variable was tested for through Pearson correlations(Fig.4). Though a hypothetical dimension of  
 446 any social phenomenon can be investigated, the responses may be biased especially where farmers are not  
 447 familiar with the variable being investigated [115]. Since occurrence of extreme weather and availability of  
 448 communication media in extension is common in the two study sites, such biases were controlled for in the  
 449 study. A hypothetical effect of risk dissemination was thus elicited to visualise if it could bias risk perception  
 450 in terms of practices that impact salinisation risks. Soil (irrigation water) testing was assumed as the  
 451 appropriate risk mitigation practice against salinisation. A paired t-test of significance was conducted to  
 452 evaluate the difference in salinity risks associated with irrigation in the topsoil and subsoil ( $n = 19$ ) for the  
 453 two counties. The quantified changes and significance were assumed to provide time scale scenario of salinity  
 454 and sodium hazard risks.

### 455 4.0 Results and discussion

456 The statistical analysis for changes in sodium in the top soil (ESP), an indicator of soil salinity hazards is given  
 457 in Fig.2. There is significance difference in salinity hazards in the top soil with irrigation especially for soils  
 458 in Kakamega county study site. The mean ESP in top and subsoil in Kakamega was  $5.65 \pm 3.73$  and  $5.91 \pm$   
 459  $0.70$  Me% respectively. The mean change in ESP was significant in both sites. The ESP for Kakamega  
 460 changed by  $0.66 \pm 0.73$  and  $-.08 \pm 0.40$  Me% in top and subsoil respectively. The mean change in ESP for

461 Machakos study site was  $0.033 \pm 0.47$  and  $2.22 \pm 28.21$  Me% in top and subsoil respectively. The overall  
 462 change for the two sites with irrigation in the top soil and subsoil was  $0.45 \pm 0.70$  and  $.69 \pm 9.8$  Me respectively.  
 463 The overall negative changes in ESP values for top soil imply displacement of calcium ( $Ca^{++}$ ), Potassium ( $K^+$ )  
 464 and Magnesium ( $Mg^{++}$ ), the bases that jointly determine cation exchange capacity (CEC) or indicator of  
 465 fertility, as more of  $Na^+$  is being adsorbed on the soil surface. The increase in  $Na^+$  is indicative of soil  
 466 degradation. The net negative change in top soil is indicative of soil degradation risks while the positive  
 467 changes in subsoil soils is attributed to leaching of salts and potentially the degradation of underground water  
 468 resources with time.

469 Fig 2. Paired Two Sample test for Means on ESP (Me%) and changes with irrigation (N=19)

	Treatment	Mean	Variance	T	Critical t-value	Significance
Kakamega(N=13)	Topsoil Non irrigated	5.6	3.73	-7.57	1.8	**
	Change with Irrigation	0.66	0.73			**
	Subsoil Non irrigated	5.91	0.70	-24.65	1.8	**
	Change with Irrigation	-0.08	0.40			
Machakos(N=6)	Topsoil Non irrigated	1.4	0.428	-3.69	2.01	**
	Change with Irrigation	0.033	0.47			
	Subsoil Non irrigated	1.85	0.84	0.201	2.01	**
	Change with Irrigation	2.22	28.21			
Overall(N=19)	Topsoil Non Irrigated	4.2	6.69	-6.21	1.74	**
	Change with Irrigation	0.45	0.70			
	Subsoil Non Irrigated	4.56	4.56	-4.12	1.74	**
	Change with Irrigation	0.69	9.8			

470 Source : Authors Statistical analysis of Soil samples, \*\* Significant at 0.05 and 0.001

471 The increase in subsoil  $Na^+$  levels for both sites could be attributed to leaching of salts under irrigation. The  
 472 overall mean ESP for both sites was 4.2 with a change of 0.45 in top soil and 4.56 Me% in subsoil, a change  
 473 of 0.69 me%. Overall, irrigation increased ESP in both sites, an indicator of soil degradation risks. The two  
 474 sample F test for variance in Sodium concentration is negative, an indication of increased and high sodicity  
 475 risks in Kakamega. Though primary salinisation effects were not determined, the increase in sodium  
 476 concentration with irrigation is indicative of soil quality degradation risks in autonomous adaptation.

477 Fig 3: Two-Sample F-Test for Variance in top soil sodium concentration (SAR) with irrigation

	Kakamega	Machakos
Mean	-0.00769	0.133333
Variance	0.000769	0.062667
Observations	13	6
Df	12	5

F	0.012275
P(F<=f) one-tail	7.76E-09**
F Critical one-tail	0.32197

478 Source: Authors analysis of Soil and water laboratory statistical analysis, 2019; \*\* significant  
479 at .05%

480 Fig. 4 presents pearsons correlation on a number of factors influencing soil testing in the two study counties.  
481 There is positive correlation between education and income, awareness on risks on water ,as well as, the  
482 positive risk reduction inform of soil/ water testing. However age has a negative correlation. This is inspite  
483 the more aged believing that environmental risks can negatively impart them. Age is also negatively correlated  
484 to source of information. Possibly old farmers tend to rely more on informal sources of information such as  
485 their peers, other than the ubiquitous electronic and mass media sources. Age is also negatively correlated  
486 with income suggesting that it may constraint adoption of soil testing advisories.

487 Human capital theory [116], identifies innovative ability as closely related to education level, farming  
488 experience (proxy for age), and information accumulation. The positive effect observed for education on  
489 adoption of soil testing though not significant is consistent with human capital theory in Agriculture. However,  
490 the negative correlation between number of years in use of technology (an indirect proxy for age) and  
491 perception of harm from environmental risks is consistent with risk normalisation theory [89].The choice of  
492 channels of communication and their effectiveness is thus a critical policy consideration in transformative  
493 adaptation and sustainability discourses.

494 The communication perspective is critical in risk dissemination and sustainability discourses in climate change  
495 adaptation [117,118]. Information improves farmer's human capital, reduces risk and uncertainty in  
496 technology adoption process [119]. In this study, the negative correlation between information source and  
497 education in risk reduction behaviour is possibly related to biased access of information as the level of  
498 education increases. Further, the findings suggest a gap in the current research-extension linkages where  
499 access to information sources, such as, scientific journals that are more likely to disseminate information on  
500 environmental externalities as opposed to the conventional sources, such as, the radio are by default biased  
501 towards farmers with high levels of education. Since the effect of risk dissemination is negatively correlated  
502 with source of information, it suggest that the current source of information are ineffective/ and or do not  
503 disseminate information on the existing risks. Implicit in this is the need for transformative lenses in  
504 enhancing the role of media both electronic and print in risk information dissemination especially as relates  
505 to secondary risks in climate change adaptation.

506 Fig 4. Pearsons correlations on factors influencing soil testing in Kakamega and Machakos counties, Kenya

	(Intercept)	Age	NFIHH	FHH	EHH	AWR	WS	AHR	AER	SIH	BS	SIE)	L	SISST	IR
(Intercept)	1.000														
Age	-.416	1.000													
Non-farm income level Household Head(NFIHH)	-.320	-.264	1.000												
Farm income household head(FIHH)	-.536	.194	.377	1.000											
Highest education level - House head(EHH)	.131	.476	-.926	-.310	1.000										
Are you aware of any risks from water source (AWR)	.075	-.781	.393	-.195	-.566	1.000									
Source of water(W)	-.568	.399	-.210	.221	.381	-.234	1.000								
aware of any health risks from water(AHR)	-.348	-.567	.537	.100	-.622	.611	-.141	1.000							
aware of any environmental risks(AER)	-.299	-.557	.065	.054	-.202	.640	.159	.632	1.000						
Source of information- Health believe environmental risk can impart negatively(BS)	-.522	-.269	.667	.124	-.627	.521	.162	.686	.479	1.000					
Source of information- Environmental(SIE)	-.048	-.064	-.213	-.219	.130	.164	.336	.044	.281	.076	1.000				
Whom did you get to learn about irrigation(L)	-.473	-.027	.668	.445	-.647	.222	.013	.427	.153	.575	.302	1.000			
specific messages on potential risks of different water sources on soil and their control (SISST)	-.080	.399	-.469	.044	.534	-.379	.062	-.424	-.185	-.484	-.406	-.550	1.000		
Types of irrigation(IR)	-.004	.643	.095	.062	.127	-.587	.042	-.539	-.860	-.262	-.418	-.055	.244	1.000	
	-.115	-.605	.057	-.089	-.242	.698	-.102	.542	.878	.343	.185	-.004	-.071	-.877	1.000

507 Source : Authors statistical analysis of field data, 2019

508 Fig 5 provides odds ratio  $E(\beta)$ , generalised logistic parameter estimates on soil testing as a risk reduction  
509 measure and control of irrigation related risks, such as, salinity. Odds ratio less than one implies that the  
510 variable decreases the likelihood of adoption whereas odds ratio greater than 1 means that the variable  
511 increases the likelihood of adoption. The likelihood odds on age, farm income (farm and non-farm), number  
512 of years in use of technology, and source of information, education, awareness on health risks, type of  
513 irrigation though not statistically significant had negative odds. Without risk message dissemination, there is  
514 decreasing likelihood in soil testing with increase in value of the mentioned variables. From existing  
515 literature the negative sign of age is expected and could be linked to increase in risk aversion with age.  
516 However, education, income and experience tend to be positively correlated with adoption. The observation  
517 suggest that existing technology diffusion and adoption models and human capital theory in agriculture  
518 cannot be used effectively to address environmental externalities in adaptation planning.

519 Fig 5 : Generalised Linear logistic Parameter Estimates on soil testing without dissemination of risk messages

Parameter	$\beta$	Std. Error	Unstandardized 95% Wald Confidence interval		Wald $\chi^2$	Sig.	Exp( $\beta$ )	Standardized 95% Wald Confidence	
			Lower	Upper				Lower	Upper
(Intercept)	-22.572	1.6028	-25.714	-19.431	198.329	.000	1.574E-10	6.803E-12	3.642E-9
Age	-.052	.2414	-.525	.421	.046	.830	.950	.592	1.524
NFIHH	-.075	.2073	-.481	.332	.130	.719	.928	.618	1.393
FIHH	-.110	.1665	-.436	.217	.433	.510	.896	.647	1.242
EDHH	.186	.3147	-.431	.803	.350	.554	1.205	.650	2.232
AWR	.082	.8013	-1.488	1.653	.011	.918	1.086	.226	5.221
SW	4.855E-5	.0899	-.176	.176	.000	1.000	1.000	.838	1.193
AHR	-.224	1.0522	-2.286	1.838	.045	.832	.799	.102	6.287
AER	-.414	.7711	-1.926	1.097	.289	.591	.661	.146	2.996
SIH	-.033	.0847	-.199	.133	.154	.695	.967	.819	1.142
BS	-.089	.4321	-.936	.758	.042	.837	.915	.392	2.134
SIE	-.003	.0738	-.148	.141	.002	.966	.997	.863	1.152
L	-.027	.0715	-.167	.114	.138	.711	.974	.846	1.120
SSISST	.068	.7380	-1.379	1.514	.008	.927	1.070	.252	4.547
IR	.001	.1675	-.327	.329	.000	.995	1.001	.721	1.390
SI	.082	.2351	-.379	.543	.121	.727	1.085	.685	1.721
TT	.004	.0694	-.132	.140	.003	.957	1.004	.876	1.150

520 Source : Authors statistical analysis of field data, 2019. Likelihood Ratio Chi-Square ( $\chi^2$ ) = 10.858; p = 0 .286, df= 9

521 The positive effect of risk message dissemination on risk behaviour has been observed by several authors  
 522 [e.g. 20,67,89]. Fig (6) provides Generalised Linear logistic Parameter Estimates on soil testing with  
 523 dissemination of risk messages. In this study, dissemination of risk messages could have significant impact  
 524 on likelihood of positive change on risk belief and mitigation action. This is consistent with some findings on  
 525 rapid onset disasters such as earthquakes, where higher education levels, higher income and greater experience  
 526 with previous emergencies has been shown to be significantly associated with higher preparedness [120].  
 527 Risk message dissemination has positive significant effect on farmers disposition about salinisation risks with  
 528 majority of the farmers who would change their behaviour (adopt soil testing as a risk reduction measure)  
 529 falling in the 30-49 years age category.

530 **Fig. 6 : Proportion of Change in action for soil testing if risk message were disseminated**

Age category	No	Yes	Total	% Change
20-29	5	2	7	3.13
30-49	15	18	33	28.13
50-59	8	6	14	9.38
60-69	3	6	9	9.38
70 and above	0	1	1	1.6
Total	31	33	65	51.62

531 Source: Authors analysis of field data

532 Likewise dissemination of risk message has significant positive impact on likelihood in change of choice of  
 533 water sources (WS) for irrigation and type of irrigation ( i.e. bucket, sprinkle, surface and drip), all which  
 534 impact salinity hazards. Additionally risk message dissemination significantly increases the likelihood of soil  
 535 testing for every additional level (higher level) of farmer education and the positively correlated non-farm  
 536 income. However, dissemination of risk messages decreases the likelihood in soil testing when awareness on  
 537 water and environmental risks are taken into account. This could be due to other factors, notably the extra  
 538 costs incurred in soil testing, which is a source of risk with potential to decrease profit levels in the short term.  
 539 The observation is consistent with [72], that gaps between information dissemination and level of  
 540 implementation could be as a result of subjective limits or considerations for factors that impact profit and or/  
 541 cost in adoption of risk reduction behaviour. Factors that lower profits or increase expenses are sources of risk  
 542 (i.e. technical, price, legal, social and human), that adversely impact the economic performance hence farmers'  
 543 decision making [121,122,123]. The finding underscores Howden et al. [124], and Koundouri et al. [119],  
 544 observation that policy makers in adaptation planning need to pay attention to the role of risk attitude in  
 545 technology adoption.

546 The significant decrease in likelihood of soil testing with risk message dissemination when the number of  
 547 years the farmer has used a given irrigation technology is taken into account could be attributed to resource  
 548 fixity in agricultural production (i.e. difficulty in changing irrigation infrastructure to alternative uses) and  
 549 attendant risks and /or low risk belief about salinisation risks among farmers. The observation is also consistent  
 550 with existing literature on determinants of cognitive bias, such as, personal experience, knowledge (level of  
 551 education), extension education, which individually or severally impact cognitive ability and the accuracy of  
 552 climate information processing [83]. The inherent social and environmental costs in maladaptive projects and  
 553 their premature decommissioning at a future date may impose high opportunity costs to society at large when  
 554 adaptation policy and practice ignores the integration of environmental spillover mitigation into planning.  
 555 The observation highlights the need for system approach and innovative use of communication as a tool for  
 556 proactive risk reduction and effective adaptation planning.



557 **Fig.7 : Generalised Linear logistic Parameter Estimates on soil testing with dissemination of risk messages**

Parameter	$\beta$	Std. Error	Unstandardized 95% Wald Confidence Interval			Sig.	standardized 95% Wald Confidence interval		
			Lower	Upper	Wald $\chi^2$		Exp( $\beta$ )	Lower	Upper
Intercept	-84.523	4.1365	-92.631	-76.416	417.521	.000	1.959E-37	5.902E-41	6.502E-34
Age	2.782	1.0189	.785	4.779	7.454	.006	16.148	2.192	118.961
NFIHH	9.137	.7023	7.760	1.513	169.256	.000	9291.669	2345.799	36804.136
FIHH	-1.196	.3775	-1.936	-.457	10.045	.002	.302	.144	.633
EHH	.642	.9184	-1.158	2.442	.488	.485	1.899	.314	11.491
AWR	-9.560	2.4241	-14.311	-4.809	15.553	.000	7.052E-5	6.094E-7	.008
WS	.889	.1521	.591	1.187	34.195	.000	2.434	1.806	3.279
AHR	7.723	2.4725	2.877	12.569	9.755	.002	2258.738	17.752	287391.934
AER	-9.136	1.8365	-12.735	-5.537	24.748	.000	.000	2.945E-6	.004
SIH	.753	.2005	.360	1.146	14.085	.000	2.123	1.433	3.145
BS	7.058	.7838	5.522	8.594	81.096	.000	1162.039	250.086	5399.470
SIE	.228	.1929	-.150	.606	1.400	.237	1.256	.861	1.834
L	-.519	.1158	-.746	-.292	20.089	.000	.595	.474	.747
SISST	4.927	1.3643	2.253	7.601	13.040	.000	137.927	9.513	1999.787
IR	2.477	.4175	1.659	3.295	35.207	.000	11.908	5.254	26.990
SI	.353	.6015	-.825	1.532	.345	.557	1.424	.438	4.629
TT	-.618	.1765	-.964	-.272	12.251	.000	.539	.381	.762

558 Source : Authors statistical analysis of field data, 2019. Likelihood Ratio Chi-Square ( $\chi^2$ )= 1.742E<sup>10</sup>, Df= 7; P= .000<sup>\*\*\*</sup> ; Significant at .001%

559

560 Managing environmental risks in climate change action inadvertently touches on governance in terms of roles,  
561 availing of relevant information, policy and legislative frameworks, risk control guidelines, as well as,  
562 coordination mechanism that are responsive to the present and future needs of society [82]. The role of  
563 governance on soil testing as a risk management strategy was undertaken through KI, FGDs and desk reviews.  
564 The findings revealed key governance gaps, particularly fragmented approaches and coordination among  
565 government agencies, low awareness about salinisation risks among farmers and extension agencies, all of  
566 which constitute cognitive failure on environmental spillovers in climate change adaptation. Though the object  
567 of the Climate Action planning is to integrate climate risk and vulnerability assessment into all forms of  
568 assessment, and for that purpose liaise with relevant lead agencies for their technical advice, it tend to focuses  
569 only on methane emissions and fail to acknowledge the diverse array of environmental spillovers, such as the  
570 salinisation risks in irrigation.

571 In the study area, lack of coordinated approaches among various agencies was noted. Further, interviews with  
572 farmers and analysis of KI interviews revealed that neither the climate change Act nor EMCA identifies  
573 salinisation externalities. The cognitive failure was more apparent in extension agencies from both counties.  
574 According to KI interviews, the extension agents were more focussed on supply and demand needs with  
575 irrigation, a routine adjustment and solution to increasingly risky rain fed systems being recommended to the  
576 exclusion of underlying environmental concerns. This seems to be a popular discourse among policy makers,  
577 farmers and practionneers in the country.

578 “Farmers who are able to afford the technologies, don’t consult on water and soil quality issues other  
579 than on aspects, such as, input sources and markets. Further some of the projects are funded by the  
580 central and county governments against tight timelines for example emergence drought recovery  
581 interventions which tend to be accorded high attention by the political class. We focus on  
582 technological dimensions, that is, the agronomic aspects, such as, fertilizer types, choice of variety and  
583 which are farmer felt needs, but not the environmental spillovers. In any case we have not been notified  
584 of any environmental breaches by NEMA’’.....(Agricultural extension officers in the two counties).

585 The above finding suggest low institutional awareness and fragmented approach, a finding that is consistent  
586 with Seidler et al., [6], and Ayers et al., [12], respectively on determinants of adaptation failure. In addition,  
587 an extension officer, Machakos county, had this to say:

588 “The farmers have not reported any problems with water sources for irrigation except for one borehole  
589 in the neighbourhood that was abandoned after the crops under irrigation started giving extremely low  
590 yields and becoming uneconomical to the extent that the farmer abandoned farming. We suspect  
591 salinity issues but so far we haven’t verified whether the borehole was unsuitable for irrigation or the  
592 abandonment was due to other causes’’.....(An Agricultural extension officer, Machakos County).

593 Analysis of water sample from the above mentioned borehole revealed extremely high salinity and its  
594 unsuitability for irrigation in absence of robust mitigation measures suggested by FAO[32], such as, annual  
595 soil testing, mixing of rain and borehole water sources, adequate drainage as well as deep tillage, drainage  
596 canals, application of manure in large amounts to improve infiltration rate and/or planting crops with good  
597 salt tolerance being instituted. Of great concern among surveyed farmers, was the widespread ignorance about  
598 salinity risks from water sources and their mitigation. The observation is reflective of high level of cognitive  
599 failure on soil testing as a risk reduction measure among small scale farmers and government agencies in the  
600 two counties. Of the surveyed households, majority (about 98%) had not undertaken soil testing though about  
601 10% of the farmers were aware of salinisation risks. In spite of the awareness being low, there was a gap  
602 between awareness and mitigation. Profit maximisation motive and /or risk aversion attitude on adoption of  
603 soil testing as a risk reduction measure among informed farmers seem to be the explanation for the gap. The  
604 farmers had this to say;

605 “The frequent droughts have negatively affected our livelihoods yet our ability to respond to it is  
606 heavily constrained as we have low incomes. We thus have embraced irrigation as a saviour. The initial  
607 capital to start an individual irrigation project is hard to come by. Unlike in the past when we could go

608 hungry whenever droughts occurred, we can now put food on the table with the small irrigation projects  
 609 that we have. We however did not test soil and water before embarking on irrigated farming. We don't  
 610 think there are environmental risks other than the problematic pests and diseases that trouble us. If  
 611 there were environmental risks, we would have heard from some of the extension programmes on radio  
 612 and the extension officers who rarely visit our farms. In any case we think it could be costly testing  
 613 the soil and water unless the relevant government agencies provide such services for  
 614 free''..... (Farmer FGDs in Kakamega and Machakos counties)

615 The cognitive failure across individuals and institutions in adaptation planning in the study area reflect the  
 616 governance gaps about environmental externalities. The pervasiveness of cognition failure, as manifested  
 617 through low awareness among farmers and government agencies alike, as well as, poor coordination among  
 618 formal agencies especially agricultural extension services, is indicative of ineffective adaptation planning  
 619 frameworks in the counties and the country at large.

620 **Fig 8. Farmers undertaking soil testing as a risk reduction measure in Kakamega**  
 621 **and Machakos counties, Kenya**

Age	No	Yes	Total	% testing soil
20-29	7	0(0)	7	0(0)
30-49	33	1(3)	34	1.54(4.6)
50-59	14	0(2)	14	0(3.1)
60-69	9	0(1)	9	0(1.54)
70 and above	1	0(0)	1	0 (0)
Total	64	1(6)	65	1.54 (9.24)

622 Source : Authors statistical analysis of survey data. Figures in parentheses(...) indicate those who are aware  
 623 about risks from water ( salinisation risks)

624 Mu, Kaplan, & Dankers [72], attributes variance between awareness and implementation to risk disposition  
 625 in terms of profit motives. This may account for the observed negative odds likelihood between risk message  
 626 dissemination on choice of water source for irrigation. The negative likelihood has profound policy  
 627 implication and the management of underlying risks, such as, the environmental spillovers. Though the risk  
 628 reduction focussed climate change Act has potential to address some of the demand-supply needs and  
 629 production risks, it fails to recognise the negative environmental spillovers. The cognitive failure is reflected  
 630 in low institutional attention accorded to slow onset disasters in the NAPAs among actors such as the lead and  
 631 regulatory agencies. For example, salinisation risks were not mentioned nor captured as concerns that need  
 632 monitoring. The cognitive failure is aptly reflected in the reviewed Environmental Management Plans(EMPs)  
 633 from a number of the examined Environmental Impact Assessments (EIAs) reports on irrigation in the study  
 634 sites and nationally where they are not mentioned.

## 635 5.0 Conclusions

636 Poor system integration, as well as, low attention to spillover systems across scale, especially the low  
 637 attention, to time related integration needs in adaptation planning has potential to exacerbate less recognised  
 638 slow onset disaster risks, such as, salinisation. In absence of a transformative and system approach, failure to  
 639 identify and internalise the individual and cumulative impacts of the seemingly minor footprints could over  
 640 time substantially increase land degradation risks and impose costs on the society at large. In this study we  
 641 explore farmer perception on slow onset disasters and how it constraints transformative adaptation. Ecosystem  
 642 spillovers which impact society at large (social costs) is explored as a complimentary analytical lens in  
 643 adaptation policy framing. Specifically, the role of cognition or perception in mobilising peoples' commitment  
 644 to action over negative environmental externalities, risk belief and mitigation action has been highlighted. The  
 645 findings suggest that multifaceted biases and failures about the existence and importance of externalities

646 across scale, a critical gap in adaptation planning discourses, is exacerbated through low awareness,  
647 fragmented approaches and technological biases among actors in adaptation planning.

648 Though under diverse social-economic contexts education level, farming experience, and information  
649 accumulation as human capital components significantly account for adoption of technologies in conventional  
650 technology diffusion trajectories, in absence of risk message information, they do not significantly influence  
651 risk reduction behaviour concerning environmental spillovers. Since existing adaptation planning frameworks  
652 are biased towards use of technology at the expense of environmental footprints, they are more likely to  
653 translate to ineffective adaptation planning and contribute to cumulative environmental impacts. The failure  
654 by diverse actors across scale to recognise the externalities, as well as, the low institutional awareness  
655 constitute cognitive failure with potential to undermine, ecosystems, farmer adaptive capacity and livelihoods  
656 in the long run. This could be reversed through system integration which encompasses policy, individual and  
657 institutional dynamics, as well as, concomitant focus on environmental, social and economic dimensions of  
658 sustainability, resilience building and risk reduction.

659 Optimising the benefits and concomitant minimisation of maladaptation risks thus require robust adaptation-  
660 mitigation-sustainability frameworks that prioritise the mitigation of disaster risks based on broader decision  
661 support systems, such as, coordinated adaptation planning across scale and dissemination of risk messages  
662 about underlying risks. Transformative adaptation policy framing and information support frameworks have  
663 great potential to guide informed decision making and a paradigm shift towards effective adaptation action,  
664 learning and mitigation of environmental externalities. This is particularly relevant for slow onset disasters,  
665 such as salinisation related land degradation risks, where lack and /or poor knowledge of the consequences of  
666 the effect resonates with the narrative of wicked environmental problems and adaptation failure. Electronic  
667 and print media could compliment conventional extension strategies in risk information dissemination  
668 especially as relates to secondary risks in climate change adaptation.

669 The main limitation to our study was the hypothetical inclusion of risk message dissemination effect and thus  
670 a likely bias of elicited responses. However, climate change in terms of droughts and various communication  
671 media are widely known in the study sites with weather shocks occurring at least once every 3-5 years. The  
672 respondents were thus highly familiar with climate change issues being investigated. The use of mixed  
673 methods to triangulate gathered information as well as in-depth comparative analysis further corrected for any  
674 bias.

675 **Author Contributions:** V.T.E conceived the basic idea of the study. V.T.E and J.O.O designed the structure  
676 of the study. V.T.E wrote while J.O.O revised the manuscript. Both authors approved the final version of  
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