

1 Article

2 **Lagrangian Drifter to Identify Ocean Eddy
3 Characteristics**

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10 **Abstract:** Deterministic-stochastic empirical mode decomposition (EMD) is used to obtain low-
11 frequency (non-diffusive, i.e., background velocity) and high-frequency (diffusive, i.e., eddies)
12 components from a Lagrangian drifter's trajectory. Eddy characteristics are determined from the
13 time series of eddy trajectories from individual Lagrangian drifter such as the eddy radial scale, eddy
14 velocity scale, eddy Rossby number, and eddy-background kinetic energy ratio. A long-term dataset
15 of the SOund Fixing And Ranging float time series obtained near the California coast by the Naval
16 Postgraduate School from 1992 to 2004 at depth between 150 and 600 m
17 (<http://www.oc.nps.edu/npsRAFOS/>) is used as an example to demonstrate the capability of the
18 deterministic-stochastic EMD.19 **Keywords:** Lagrangian drifter; RAFOS floats; empirical mode decomposition (EMD); deterministic-
20 stochastic EMD; intrinsic mode function (IMF); eddy radial scale; eddy velocity scale; eddy Rossby
21 number; eddy-current kinetic energy ratio

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23 **1. Introduction**24 A Lagrangian trajectory $\mathbf{x}(t)$ can be separated into deterministic [low frequency mode
25 representing the background trajectory $\mathbf{x}_b(t)$] and stochastic [non-low frequency mode representing
26 eddy trajectory, $\mathbf{x}_{edd}(t)$],

27
$$\mathbf{x}(t) = \mathbf{x}_b(t) + \mathbf{x}_{edd}(t) \quad (1)$$

28 using the empirical mode decomposition (EMD) with the steepest ascending mode ratio, called the
29 *deterministic-stochastic EMD* [1] and wavelet decomposition [2]. Here, $\mathbf{x}(t)$ is the position vector at
30 time t . The Lagrangian velocity is calculated by

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$$\mathbf{u} = \frac{d\mathbf{x}(t)}{dt}, \quad \mathbf{u}_b = \frac{d\mathbf{x}_b(t)}{dt}, \quad \mathbf{u}_{edd} = \frac{d\mathbf{x}_{edd}(t)}{dt}. \quad (2)$$

32 Such Lagrangian-type decomposition changes the traditional approach of using N drifters [$\mathbf{x}^{(n)}(t)$, $n =$
33 $1, 2, \dots, N$] to get background velocity (i.e., mean flow) and eddies from Lagrangian trajectory data,
34 i.e., the background velocity is the Eulerian mean from the N drifters,

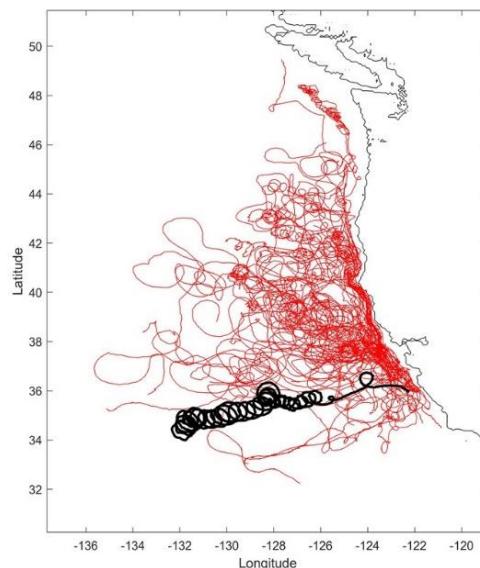
35
$$\mathbf{U}(x, y, t) = \langle [\mathbf{u}^{(n)}(t)] \rangle, \quad (3)$$

36 and subtraction of \mathbf{U} from the observed Lagrangian drifters leads to the “residue” velocities,

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$$\mathbf{u}^{(n)}(x, y, t) = \frac{d\mathbf{x}^{(n)}(t)}{dt} - \mathbf{U}(x, y, t), \quad (4)$$

38 is taken as the eddy velocity. Here, the bracket represents an ensemble average in the defined
 39 geographic region. The velocities are calculated from Lagrangian trajectories using binned velocities
 40 with cubic splines [3], routine ocean data assimilation systems [4] and data analysis methods such as
 41 optimal interpolation [5], and optimal spectral decomposition (OSD) [6, 7]. A formula was derived
 42 to link the Eulerian velocity at grid points to the Lagrangian trajectory [8]. Obviously, $\mathbf{u}^{(n)}(x, y, t)$ is
 43 not the same as $\mathbf{u}_{\text{eddy}}^{(n)}(t)$.

44 The trajectories of 54 SOund Fixing And Ranging (RAFOS) floats deployed near California coast
 45 by C.A. Collins of the Naval Postgraduate School from 1992 to 2004 at depth between 150 and 600 m
 46 (<http://www.oc.nps.edu/npsRAFOS/DATAS/>) (Figure 1) show combination of deterministic (low
 47 frequency) and stochastic (high frequency) components. The first SOFAR floats sent out pings that
 48 were picked up by fixed stations; RAFOS floats revered that, and listened to pings sent out from the
 49 fixed stations. The traditional approach needs large number (N) of drifters to get statistically
 50 meaningful $\mathbf{U}(x, y, t)$. What should we do if there is no sufficient number of drifters co-deployed?
 51 For example, there were usually less than three RAFOS floats were available at same time-periods by
 52 the Naval Postgraduate School (NPS) (see website: <http://www.oc.nps.edu/npsRAFOS/DATAS/>).



53
 54 **Figure 1.** Trajectories of 54 RAFOS floats in the California coast by the Naval Postgraduate School
 55 between 1992 and 2004 (<http://www.oc.nps.edu/npsRAFOS/>). The thick black trajectory refers to the
 56 Float N073.

57 An alternative approach is to use the deterministic-stochastic EMD (1) to separate background
 58 velocity and eddies from a single Lagrangian drift. After the separation, the background velocity
 59 scale as well as eddy characteristic parameters such as the eddy radial scale, eddy velocity scale, eddy
 60 Rossby number, and eddy-background kinetic energy ratio can be identified. The rest of the paper is
 61 organized as follows. Section 2 describes the procedure of the deterministic-stochastic EMD. Section
 62 3 and 4 present the identified background velocity and eddy characteristic parameters for each RAFOS

63 float and their statistics for 54 RAFOS floats. Section 5 describes the temporal variability of the
64 background and eddy characteristic parameters. Section 6 presents the results.

65 **2. Deterministic-stochastic EMD**

66 The EMD decomposes a Lagrangian trajectory into intrinsic mode functions (IMFs) regardless of
67 their linearity, stationarity, and stochasticity [9-11]. It was also used to separate the oceanic wave
68 motion from turbulence [12]. The key point to perform this decomposition is the sifting process with
69 four steps, which decompose a Lagrangian drift trajectory $\mathbf{x}(t)$ into

70
$$\mathbf{x}(t) = \sum_{p=1}^P \mathbf{x}_p(t) + \mathbf{r}(t) \quad (5)$$

71 where $\mathbf{x}_p(t) = [x_p(t), y_p(t)]$, is the p -th IMF and $\mathbf{r}(t) = [r_x(t), r_y(t)]$ is the trend (not oscillated). The first
72 IMF has highest frequency, and frequency reduces as the subscript p increases. The trajectory data
73 of RAFOS float #N073 from <http://www.oc.nps.edu/npsRAFOS/DATAS/NPS035/DATAS.html> is used
74 as an illustration and represented as a thick curve in Figure 1. The data are time series of horizontal
75 positon vector, $\mathbf{x}(t_i) = [x(t_i), y(t_i)]$, with x in the zonal direction, and y in the latitudinal direction [13,
76 14].

77 The RAFOS subsurface data downloaded from <http://www.oc.nps.edu/npsRAFOS/DATAS/>
78 contains 61 RAFOS floats. Among them 7 floats (N001, N009, N012, N042, N046, N049, N068) have
79 too many missing data inside the time series. They are not included in the computation. The date rate
80 varies from general 3/day to around 22/day (N030 18 May – 10 June 1994). For each float, the time
81 series $[x(t_i), y(t_i)]$ are decomposed into IMFs and trend using the EMD method [9]. Seven IFMs and a
82 trend are identified in the (x, y) directions (Figure 2). It clearly shows that the high frequency motion
83 dominates the low IFM modes. Frequency reduces as the IFM mode from the lowest (IMF-1) to the
84 highest (IMF-7). The trend (no oscillation) is of course the part of the deterministic motion, but not
85 all.

86 The IMFs are separated into two additive components, deterministic and stochastic, using the
87 steepest ascent low/non-low frequency ratio [1]. For RAFOS N073, combination of IMF-1 to IMF-4 is
88 the high-frequency component (eddy) and combination of IMF-5 to IMF-7 and the trend is the low-
89 frequency component (i.e., mean flow). After the separation of Lagrangian drifter's trajectory into
90 deterministic and stochastic components with the total number of position points of J ,

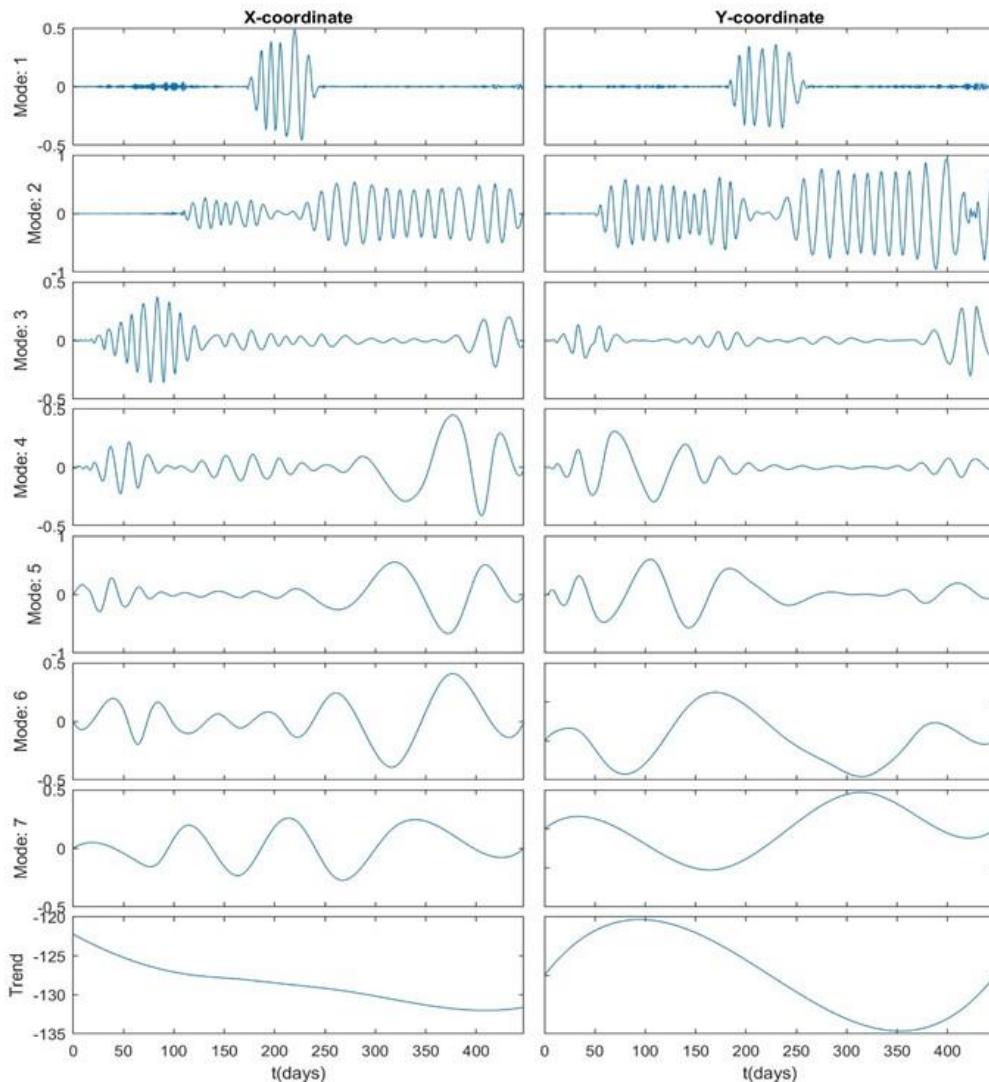
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$$x(t_j) = x_{det}(t_j) + x_{sto}(t_j), \quad y(t_j) = y_{det}(t_j) + y_{sto}(t_j), \quad j = 1, 2, \dots, J \quad (6)$$

92 the deterministic and stochastic velocities can be calculated from position vector (only showing x -
93 direction) with the first-order difference for the two end points,

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$$u_{sto}(t_1) = \frac{x_{sto}(t_2) - x_{sto}(t_1)}{t_2 - t_1}, \quad u_{sto}(t_J) = \frac{x_{sto}(t_J) - x_{sto}(t_{J-1})}{t_J - t_{J-1}} \quad (7)$$

95 and the central difference for the internal points,

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$$u_{sto}(t_j) = \frac{x_{sto}(t_{j+1}) - x_{sto}(t_{j-1})}{t_{j+1} - t_{j-1}}, \quad j = 2, 3, \dots, J-1 \quad (8)$$



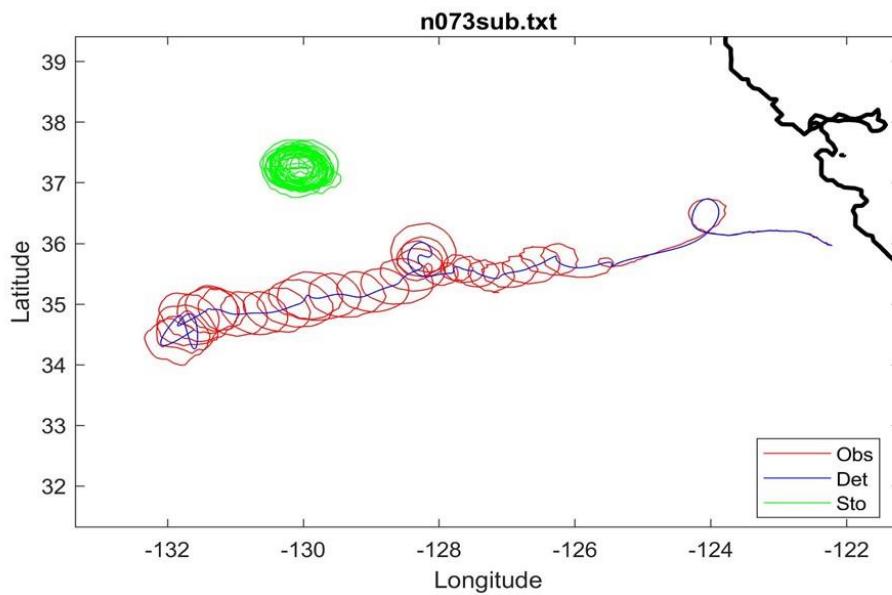
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Figure 2. The IMFs and trend of (a) $x(t)$ and (b) $y(t)$ of the RAFOS float N073 from 21 November 1999 to 12 February 2001. Combination of IMF-1 to IMF-4 is the high-frequency component (eddy) and combination of IMF-5 to IMF-7 and the trend is the low-frequency component (i.e., mean flow).

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Figure 3 shows the observed (red), deterministic (blue), and stochastic (green) trajectories of RAFOS N073. The deterministic trajectory represents the background velocity. The stochastic trajectory indicates the eddy. The eddy is transported along the deterministic trajectory. The eddy in Figure 3 does not represent its real position and is separated from the deterministic trajectory arbitrarily just for illustration of eddy-like motion.



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107 **Figure 3.** Observed (red), deterministic (blue), and stochastic (green) trajectories of RAFOS N073. The
 108 deterministic trajectory represents the mean flow. The stochastic trajectory indicates the eddy. The
 109 center of the eddy is transported by the mean flow (i.e., along the deterministic trajectory), and is put
 110 away from the deterministic trajectory arbitrarily just for illustration of eddy-like motion.

111 **3. Eddy characteristics identified from an individual RAFOS float**

112 After the deterministic and stochastic trajectories and velocities are obtained from an individual
 113 RAFOS float, the eddy characteristics can be easily identified during the float's drifting period. The
 114 eddy radial scale is defined by the root mean square of the stochastic trajectory

$$115 \quad L_{eddy} = \sqrt{\frac{1}{J} \left[\sum_{j=1}^J \left\{ \left[x_{sto}^2(t_j) + y_{sto}^2(t_j) \right] \right\} \right]} \quad (9)$$

116 The eddy velocity scale (V_{eddy}) is defined by

$$117 \quad V_{eddy} = \sqrt{\left[\frac{1}{J} \sum_{j=1}^J \left\{ \left[\left(\frac{dx_{sto}(t_j)}{dt} \right)^2 + \left(\frac{dy_{sto}(t_j)}{dt} \right)^2 \right] \right\} \right]} \quad (10)$$

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119 The eddy kinetic energy per unit mass (E), eddy angular velocity scale (Ω_{eddy}), and eddy Rossby
 120 number (R_{eddy}) are defined by

$$121 \quad E_{eddy} = \frac{V_{eddy}^2}{2}, \quad \Omega_{eddy} = \frac{V_{eddy}}{L_{eddy}}, \quad R_{eddy} \equiv \frac{V_{eddy}}{fL_{eddy}} = \frac{\Omega_{eddy}}{f}, \quad (11)$$

122 where f is the Coriolis parameter, which is evaluated at 40°N here. The background velocity
 123 (treated as current velocity) scale (V_b) is defined by

$$124 \quad V_b = \sqrt{\left[\frac{1}{J} \sum_{j=1}^J \left\{ \left[\left(\frac{dx_{det}(t_j)}{dt} \right)^2 + \left(\frac{dy_{det}(t_j)}{dt} \right)^2 \right] \right\} \right]} \quad (12)$$

125 The background kinetic energy per unit mass (E_b) and the eddy/background kinetic energy ratio (r)
 126 are defined by

$$127 \quad E_b = \frac{V_b^2}{2}, \quad r = \frac{E_{eddy}}{E_b} = \frac{V_{eddy}^2}{V_b^2} \quad (13)$$

128 Due to eddy's circular motion (green trajectory in Figure 3), the time series of $[x_{sto}(t_j), v_{sto}(t_j)]$ or $[y_{sto}(t_j),$
 129 $u_{sto}(t_j)]$ determine the types of eddy (cyclonic or anticyclonic),

$$130 \quad x_{sto}(t_j) > 0 \rightarrow \begin{cases} v_{sto}(t_j) > 0 \rightarrow \text{cyclonic} \\ v_{sto}(t_j) < 0 \rightarrow \text{anticyclonic} \end{cases} \quad (14)$$

$$x_{sto}(t_j) < 0 \rightarrow \begin{cases} v_{sto}(t_j) > 0 \rightarrow \text{anticyclonic} \\ v_{sto}(t_j) < 0 \rightarrow \text{cyclonic} \end{cases}$$

131 Table 1 shows the eddy-current kinetic energy ratio (r) as well as the number of cyclonic/anticyclonic
 132 spirals. For the RAFOS float N073 float, we have

$$133 \quad 27 \text{ anticyclonic spirals, } r = 5.45 \quad (15)$$

134 Altogether, we identified 36 cyclonic (denoted by 'C') eddies and 203 anticyclonic (denoted by AC)
 135 eddies from 54 RAFOS floats.

136 4. Statistics of eddy characteristic parameters

137 Histograms of the background velocity scale (V_b) and eddy characteristic parameters such as
 138 radial scale (L_{eddy}), velocity scale (V_{eddy}), Rossby number (R_{eddy}), and eddy-background kinetic energy
 139 ratio (r) are constructed from 54 RAFOS floats. All the histograms are non-symmetric, high dispersive,
 140 and positively skewed (Figure 4). The overall eddy kinetic energy is more than the background
 141 kinetic energy with the E_{eddy}/E_b ratio having the mean of 1.78, the standard deviation of 2.00, the
 142 skewness of 2.79, and the kurtosis 12.00 (Table 2). The eddy radial scale (L_{eddy}) has a mean of 18.37
 143 km, minimum of 1.12 km, maximum of 102.21 km, standard deviation of 21.33 km, skewness of 2.31,
 144 and kurtosis of 8.50. The eddy velocity scale has a mean of 11.98 cm/s, minimum of 2.72 cm/s,
 145 maximum of 44.17 cm/s, standard deviation of 8.65 cm/s, skewness of 1.74, and kurtosis of 5.82.

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161**Table 1.** Identified 36 cyclonic (denoted by 'C') spirals and 203 anticyclonic (denoted by AC) spirals
and eddy to current kinetic energy ratio from 54 RAFOS floats.

Float	Buoy Days	dbar	# spirals	<i>r</i>	Float	Buoy Days	dbar	# spirals	<i>r</i>
N002	8/12-9/11/92	350	C: 1	2.57	N050	8/29/96-1/9/98	275	AC: 1	1.08
N003	8/12-9/11/92	350	AC: 1	1.27	N051	2/25/97-7/8/98	275	AC: 3	1.91
N004	7/07-9/05/93	350	AC: 3	1.01	N053	9/11/97-8/22/98	275	C: 5	2.03
N005	9/03/93-1/01/94	350	AC: 2	1.70	N055	9/11/97-8/22/98	275	AC: 1	0.52
N006	11/20/93-5/02/94	350	AC: 2	1.71	N062	4/29/98-6/25/99	275	AC: 9	0.50
N007	7/07-9/05/93	350	AC: 5	0.13	N063	5/17/98-7/12/99	275	AC: 4	0.66
N008	9/3-12/30/93	350	AC: 2	1.09	N064	4/29/98-6/25/99	275	AC: 8	1.67
N010	9/3/93-1/1/04	350	C: 1	0.76	N065	4/29/98-6/24/99	275	C: 2	3.94
N011	11/20/93-3/2/94	350	AC: 3	2.03	N066	10/27/98-12/23/99	275	C: 6	0.66
N013	11/20/93-3/2/94	350	AC: 2	1.94	N067	10/27/98-12/23/99	275	AC: 11	3.01
N014	1/11-4/23/94	350	C: 1	1.39	N069	5/5/99-5/18/00	275	AC: 1	0.63
N019	4/25-11/11/94	275	AC: 5	1.64	N071	5/5/99-5/18/00	275	AC: 5	0.95
N021	5/19-6/10/94	275	AC: 1	1.96	N072	11/21/99-2/12/01	275	AC: 7	1.30
N022	5/19-6/10/94	275	AC: 3	4.20	N073	11/21/99-2/12/01	275	AC: 27	5.45
N024	5/17-6/9/94	275	AC: 5	11.18	N075	11/21/99-2/12/01	275	C: 1	0.20
N026	8/22-12/30/94	290	AC: 3	0.71	N080	7/26/00-9/23/01	275	AC: 10	0.52
N028	8/12-12/19/94	350	AC: 5	2.38	N081	7/26/00-5/22/02	275	AC: 2	0.72
N029	10/25/95-6/28/96	300	AC: 1	1.65	N082	7/26/00-9/24/01	275	C: 4	0.59
N030	5/18-6/10/94	275	AC: 1	5.59	N083	9/11/00-12/29/01	275	AC: 9	3.18
N031	8/22-12/30/94	290	AC: 4	8.13	N084	9/11/00-7/9/02	275	C: 1	0.23
N032	8/7/95-10/6/96	300	C: 4	1.61	N085	9/11/00-7/9/02	275	AC: 5	0.40
N033	8/12/94-5/10/95	350	AC: 5	0.48	N087	5/20/01-11/6/02	275	C: 1	0.56
N035	8/7/95-11/5/96	300	C: 1	0.31	N088	5/20/01-7/28/03	275	AC: 5	1.19
N039	7/29/96-12/10/97	275	AC: 3	0.36	N089	5/20/01-7/28/03	275	AC: 4	1.19
N041	7/29/96-11/17/97	275	C: 1	2.70	N090	12/6/01-3/9/04	275	AC: 6	1.65
N043	2/25-12/13/97	275	AC: 3	1.26	N091	12/5/01-3/9/04	275	AC: 8	0.18
N048	7/29/96-9/19/97	275	C: 1	1.08	N092	12/5/01-3/9/04	275	C: 6	0.43

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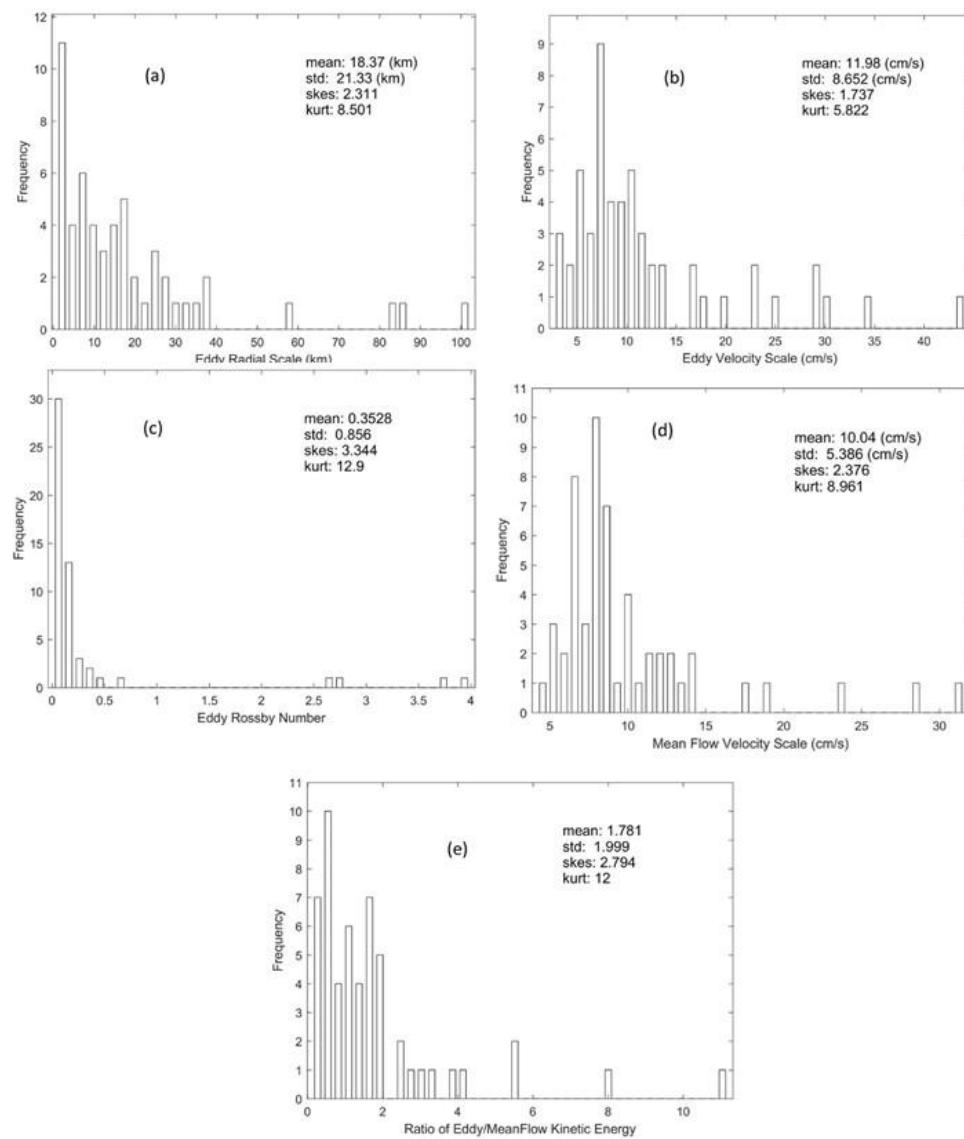
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Table 2. Statistics of the eddy parameters identified from 54 RAFOS floats (1992-2004).

Parameter	Mean	Min	Max	Standard Deviation	Skewness	Kurtosis
L_{eddy} (km)	18.37	1.12	102.21	21.33	2.31	8.50
V_{eddy} (cm/s)	11.98	2.72	44.17	8.65	1.74	5.82
V_b (cm/s)	10.04	4.19	31.59	5.39	2.38	8.96
R_{eddy}	0.35	0.01	3.99	0.86	3.34	12.90
r	1.78	0.13	11.19	2.00	2.79	12.00

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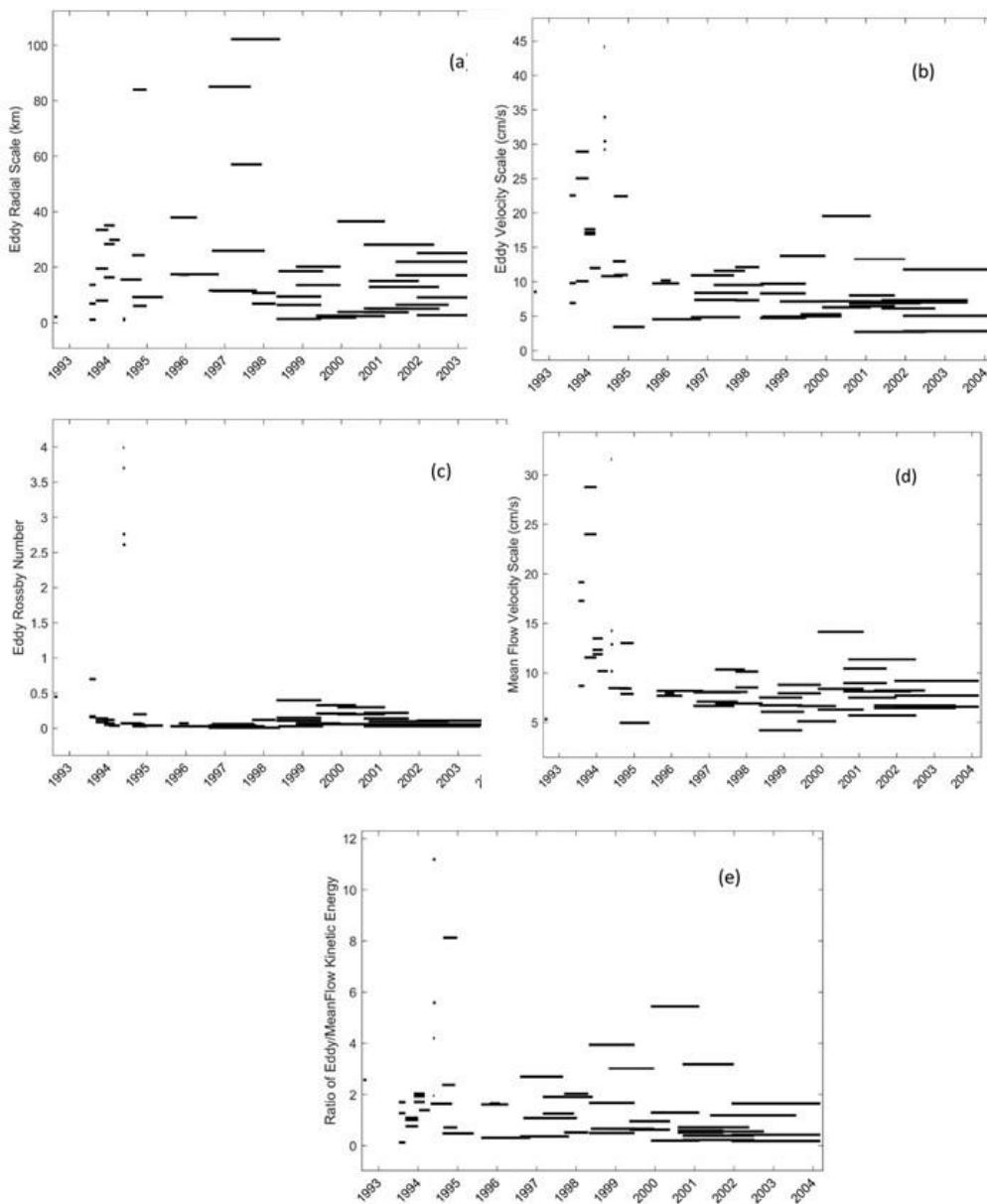
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Figure 4. Histograms of current and eddy characteristic parameters identified from 54 RAFOS floats: (a) eddy radial scale (km), (b) eddy velocity scale (cm/s), and (c) eddy Rossby number, (d) current velocity scale (cm/s), and (e) eddy and current kinetic energy ratio.

170 **5. Temporal variability of eddy characteristic parameters**

171 All the identified eddy and background parameters (L_{eddy} , V_{eddy} , R_{eddy} , V_b , r) have evident temporal
172 variabilities (Figure 5). Large dispersion is found in L_{eddy} before August 1998 – from 1.12 km (7 July
173 – 5 September 1993, N007) to 102.21 km (25 February 1997 – 8 July 1998, N051). Small dispersion in
174 L_{eddy} is found after August 1998 with a maximum of 36.53 km (21 November 1999 – 12 February 2001,
175 N073) (Figure 5a) and a minimum of 1.81 km (5 May 1999 – 18 May 2000, N069). There was a strong
176 El Nino and Southern Oscillation (ENSO) event in the North Pacific in 1997-1998. The effect of ENSO
177 needs to be further investigated.



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Figure 5. Temporal variation of identified current and eddy characteristic parameters from 54 RAFOS floats: (a) eddy radial scale (km), (b) eddy velocity scale (cm/s), and (c) eddy Rossby number, (d) current velocity scale (cm/s), and (e) eddy and current kinetic energy ratio.

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Large dispersion is found in V_{eddy} before 1995 from 6.91 cm/s (7 July – 5 September 1993, N007) to 44.17 cm/s (19 May – 10 June 1994, N021). Small dispersion is found after December 1994 with a maximum of 19.56 cm/s (21 November 1999 – 12 February 2001, N073) and a minimum of 2.72 cm/s (11 September 2000 – 9 July 2002, N084) (Figure 5b). The eddy Rossby number (R_{eddy}) is mostly less than 0.5 (Figure 5c). Large dispersion is found before 1995 with large values of 3.99 (N021), 3.70 (N022), 2.76 (N024), during 17 May – 10 June 1994 and 18 May-10 June 1994 and small value of 0.03 during 7 August – 30 December 1994 (N031). Small dispersion is found after 1995 with a maximum of 0.33 during 5 May 1999 - 18 May 2000 (N069) (Figure 5c). The background velocity scale (V_b) has larger dispersion before 1995. It has a maximum of 31.59 cm/s during 19 May – 10 June 1994 (N021) and a minimum of 5.33 cm/s during 12 August – 11 September 1992 (N002). It has smaller dispersion

192 after 1995 with a maximum of 14.14 cm/s during 21 November 1999 – 12 February 2001 (N075) and
193 a minimum of 4.19 cm/s during 29 April 1998 – 24 June 1999 (N065) (Figure 5d). The E_{edd}/E_b ratio
194 has larger dispersion before 1995 with a maximum of 11.18 during 17 May – 9 June 1994 (N024) and
195 a minimum of 0.13 during 7 July – 5 September 1993 (N007). It has smaller dispersion after 1995 with
196 a maximum of 5.45 during 21 November 1999 – 12 February 2001 (N073) and a minimum of 0.23
197 values during 11 September 2000 – 9 July 2002 (N084) (Figure 5e).

198 6. Conclusions

199 The deterministic-stochastic EMD is used to decompose a Lagrangian trajectory. Time differentiation of the
200 deterministic and stochastic trajectories leads to the Lagrangian background and eddy velocities. Five
201 parameters can be identified from a single Lagrangian drifter data to represent background velocity and eddy
202 characteristics such as the eddy radial scale (L_{edd}), eddy velocity scale (V_{edd}), eddy Rossby number (R_{edd}),
203 background velocity scale (V_b), and eddy-background kinetic energy ratio (r).

204 The NPS RAFOS dataset consisting of 54 floats is used as an example to demonstrate the capability of the
205 deterministic-stochastic EMD. The obtained 54 sets of parameters (L_{edd} , V_{edd} , R_{edd} , V_b , r) show an eddy-rich
206 area near the California coast with the mean eddy-background kinetic energy ratio of 1.78. These eddies are
207 mostly anticyclonic with total 203 anticyclonic and 36 cyclonic spirals. Both submesoscale and mesoscale
208 eddies exist with the mean eddy Rossby number of 0.72 for the submesoscale eddies and 0.06 for the mesoscale
209 eddies. The overall eddy velocity scales are comparable between the submesoscale eddies (mean: 11.35 cm/s)
210 and the mesoscale eddies (mean: 12.49 cm/s). The background-eddy kinetic energy transfer is similar between
211 the two. The identified eddy characteristic parameters have evident temporal variabilities. Large dispersion
212 is found in L_{edd} before August 1998 and small dispersion in L_{edd} is found after August 1998. However, large
213 dispersion of (V_{edd} , R_{edd} , V_b , r) is found before 1995 and small dispersion is found after 1995. Further studies
214 are needed on physical mechanisms to cause such a temporal variability, especially the ENSO effect.

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216 analysis, P.C.; investigation, C.F.; resources, P.C.; data curation, C.F.; writing—original draft preparation, P.C.;
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