1 Article

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

34

35

36

37

38

39

40

41

42

43

2 Long Term Survival in Patients Suffering from

3 Glioblastoma Multiforme: A Single-Centre

4 Observational Cohort Study

- 5 Short Title: Predictors of Long Term Survival in Glioblastoma Patients.
- 6 Daniele Armocida¹, Alessandro Pesce², Federico Di Gianmarco¹, Alessandro Frati², Antonio
- 7 Santoro¹, Maurizio Salvati²
- 8 1 Human Neurosciences Department Neurosurgery Division "Sapienza" University
- 9 2 IRCCS "Neuromed" Pozzilli (IS), Italy
- * Correspondence:: Dr. Daniele Armocida <u>danielearmocida@yahoo.it</u>; AOU "Policlinico Umberto I", Roma,
 Italy, Viale del Policlinico 155 –00161, Roma Italy. Phone number: +393932874496.
 - **Abstract:** Background: Glioblastomas (GBM) is generally burdened, to date, by a dismal prognosis, although Long Term Survivors have a relatively significant incidence. Our specific aim was to determine the exact impact of many surgery-, patient- and tumor-related variable on Survival parameters. Methods: The surgical, radiological and clinical outcomes of patients have been retrospectively reviewed for the present study. All the patients have been operated on in our Institution and classified according their Overall Survival in LTS (Long Term Survivors) and STS (Short Term Survivors). A thorough Review of our surgical series was conducted to compare the oncologic results of the patients in regards to 1. Surgical, 2. Molecular, and 3. Treatment related features. Results: A total of 177 patients were included in the final cohort. Extensive statistical analysis by means of univariate, multivariate and survival analyses disclosed a survival advantage for patients presenting a younger age, a smaller lesion and a better functional status at presentation. From the Histochemical point of view, Ki67(%) was the strongest predictor of better oncologic outcomes. A stepwise analysis of variance outlines the existence of 8 prognostic subgroups according to the molecular patterns of Ki67 overexpression and EGFR, p53 and IDH mutations. Conclusions: On the ground of our statistical analyses we can affirm that the following factors were significant predictors of survival advantage: KPS, Age, Volume of the lesion, Motor disorder at presentation, a Ki67 overexpression. A fine molecular profiling is feasible to precisely stratify the prognosis of GBM patients.
 - **Keywords:** long term survival; Glioblastoma; IDH; EGFR; Ki67; p53

32 1. Introduction

33 1.1. Background and Rationale

Glioblastoma (GBM) is the most common primary malignant brain tumor, accounting for approximately 50% of primary brain tumors [1]. Despite the introduction of multimodal treatment protocols, the prognosis remains poor. To date, the median survival is about 16-18 months and only 3-5% of patients survive 5 years [1 - 9]. The definition "Long-term survivors" (LTS) is commonly used for patients who survive more than 24 years from initial diagnosis of glioblastoma [1, 4].

Until 2007 four subtypes of GBM were reported in the literature: 1. the primary GBM, which occurs *de* novo without a preceding history of lower grade glioma, 2. the secondary GBM, which develops from a preexisting low-grade glioma and affects mainly younger people [1], 3. gliosarcoma, which shows both glial areas and mesenchymal differentiation, and 4. glioblastoma with oligodendroglial components, which shows areas of oligodendroglial differentiation in the

context of a malignant astrocytic tumor [1, 24]. Among these, only secondary GBM and GBM with oligodendroglial components seem to have a better prognosis in respect of the others. Nowadays with the innovations introduced by the molecular biology the only three subtypes accepted in new WHO classification are Glioblastoma IDH1-2 mutated (especially referred to secondary GBM) and wild-type IDH 1-2 GBM; gliosarcoma maintains the same characteristics. The effect on Overall Survival (OS) of many molecular parameters has been previously investigated, such as p53, EGFR, IDH and MGMT. Some of these parameters demonstrated an interaction with the adjuvant treatment thus influencing significantly survival [33]. The role surgery, or more precisely of the Extent of Resection (EOR), to date, remains unquestioned [38], although surgery can obtain totally different results, in regards to the EOR depending on the plethora of different techniques developed and introduced to maximize the resection while sparing the function, such as Awake Surgery, Intraoperative Neuromonitoring (IoN) and Intraoperative Neuropsychological Testing (IoNT), Intraoperative Imaging Methods and even Hypnosis aided Awake Surgery (HAS). The resulting panorama is an incredible amount of possible variable that can play a role in determining the incidence of LTS in a cohort.

1.2. Purpose of the present Investigation

The aim of the present Investigation is therefore to analyze our retrospectively acquired database of LTS GBM patients treated in our Institution in the period ranging between 2014 to 2016 and to compare their outcomes to those of our entire surgical GBM population, in order to understand and report the specific weight of a wide amount of variables in influencing the OS. A special focus was paid on the possible existence of correlations between the aforementioned GBM subtypes and the oncologic outcomes of the LTS patients, using the new classification together with other possible associated factors like entity of tumor removal and adjuvant treatments.

2. Patients and methods

2.1. Participants and Eligibility

We performed an Institutional retrospective review of a consecutive series of surgically-treated patients suffering from histologically confirmed GBM, operated in our department. We collected a total of 177 patients. Histological diagnoses were performed according to the updated version of the WHO guidelines [34]. We selected a total of 177 patients affected by newly diagnosed GBM who underwent surgery, radiation, and chemotherapy in our Institution in the period ranging between January 2014 and December 2016 meeting the following inclusion criteria:

- 1. Patients were included in the study if their pre- and post- operative MR imaging was either performed at our institution or available on the picture archiving and communication system (PACS) for review.
- 2. Patients were included if, in the postoperative period, could undergo a standard Stupp protocol starting from the 30th-35th day after surgery as follows: Radiotherapy (60 Gy delivered in 30 fractions of 2 Gy / day, 5 days a week for 6 weeks) and concomitant oral chemotherapy with temozolomide (75mg/m2 of body surface 7 days a week, from first to last day of Radiotherapy, no more than 49 days). After a break of 20-25 days, about 12 cycles of Temozolomide (200 mg/m2 for 5 days every 28 days) were administered [9].
- 3. Patients were included if they received a standard conformational planning with a Linear Accelerator (LINAC), no stereotactic radiosurgical treatment was performed
- 4. Once the progression of the disease was noticed the patient and the relevant imaging were referred again to our attention, to evaluate the feasibility of a second surgery or to address the patient to a second line of adjuvant treatment.—
- 5. The estimated target of the surgical procedure was the *total or subtotal resection of the lesions*: no biopsies were included;

3 of 16

- 6. All the patients included in the study were newly diagnosed GBM at their first surgery. Operating on recurrences makes a complete difference.
- 7. Incomplete or wrong data on clinical, radiological and surgical records and/or lost to follow-up.

All the patients who met the aforementioned inclusion and exclusion criteria, were assigned on the ground of the Survival parameters to the following two subgroups:

- 1. Patients classified as LTS: experiencing an OS of at least 24 months or longer
- 2. Patients classified as Short Term Survivors (STS): experiencing an OS of less than 24 months

For all the included patients we recorded age, sex, location, Tumor volume, clinical onset, IDH, Ki67, p53 and EGFR expression status. In particular, the specimens used in this study were examined for IDH mutation. Immunohistochemistry with ki67, EGFR, ATRX and antibody anti-IDH1 R132H (Dianova, DIA H09; 1:50) was routinely performed in the Department of Neuropathology of our University Hospital. Overall Survival was recorded in months; it was measured from date of diagnosis to date of death or date of last contact if alive. Clinical information were obtained by the digital database of our Institution, whereas OS data, were obtained by telephone-interview. A special focus was on the KPS results: such parameter was considered, as previously observed [39] as associated to Survival. In particular it was recorded in three different moments: 1. Before surgery, 2. At 30 day after surgery and 3. At the end of the adjuvant treatment (the moment of the last outpatient evaluation).

All the patients included underwent a preoperative brain MRI scan included an high field 3 Tesla volumetric study with the following sequences: T2w, FLAIR, isotropic volumetric T1-weighted magnetization-prepared rapid acquisition gradient echo (MPRAGE) before and after intravenous administration of paramagnetic contrast agent; diffusion tensor sequences (DTI) with 3D tractography and functional MRI (fMRI) completed our protocol for what concerns gliomas affecting eloquent locations. Volume of the contrast-enhancing lesion was calculated drawing a region of interest (ROI) in a Volumetric enhancing post-contrast study weighted in T1 (a multi-voxel study), conforming to the margins of the contrast-enhancing lesion with software Osirix [40].

All the procedures were performed with an infrared-based Neuronavigator (Brainlab, Kick® Purely Navigation), in a standard neurosurgical theatre, with a standard operative microscope (Leica, model OH4). In the first postoperative day, as routine, the patients underwent volumetric Brain MRI scan to evaluate the EOR.

For both subgroups (Patients suffering from Type I and Type II tumors), in case of non-eloquently located lesion, a standard total intravenous anesthesia protocol with Propofol and Remifentanil has been used. For lesions involving the motor and language related functional cortices, a standard Full Awake Surgery protocol was routinely performed with the aid of Intraoperative Neuromonitoring realized with use of bi- and monopolar stimulating probes respectively for the cortical and subcortical mapping. If intraoperative neuromonitoring or Awake surgery were performed, no muscle relaxants were administered.

In general, it was intraoperatively judged necessary to stop tumor excision when:

- 1. white matter appeared free of disease in any aspect of the surgical cavity,
- 2. despite a directly visualized or a Navigation proven remnant, neuromonitoring or intraoperative neuropsychological testing outlined a risk for postoperative motor morbidity,

3. Data sources and Quantitative variables

The extent of resection (EOR) was determined through a comparison between the MR images obtained before surgery and the first early MRI after surgery. EOR was calculated as a percentage by comparing the preoperative and early postoperative imaging, with the aforementioned software. Gross Total Resection (GTR), was defined as a confirmed reduction of the preoperative volume of the tumor of at least 95% conversely a Near or Subtotal Resection was the surgical result on radicality (NTR/STR).

In case of GTR, "tumor progression" was defined as the first MRI scan demonstrating the presence of pathologically enhancing tissue characterized by an MRI pattern (relying mostly on

Perfusion Weighted Imaging) inconsistent with a cerebral radiation injury (which is in fact a "pseudoprogression"). In case of incomplete resections (<95% volume reduction) a volumetric increase of the residual disease detected at the first postoperative MRI scan was considered as disease progression.

A close range dedicated neuro-imaging follow-up program was routinely performed in our Institution. This program included:

- 1. A standard early (maximum 24 hours after surgery) postoperative volumetric brain MRI.
- 2. At approximately one month from surgery (25-35 days) a volumetric brain MRI scan was repeated for a first step follow-up control and to provide information for the radiation treatment planning.
- 3. After the end of irradiation, a volumetric brain MRI scan was performed every three months. At every radiological reevaluation we performed a complete outpatient clinical and neurological reevaluation.

Generally the treatment was considered to be stopped when disease showed volumetric progression despite the second line of adjuvant treatment. Both subgroups received a surgical and adjuvant treatment with *The same operative microscope, Similar infrared-based Neuronavigation system, Similar microsurgical instruments, The same microsurgical technique, The same adjuvant treatment and follow-up program.*

3.1. Statistical methods

The sample was analyzed with SPSS version 18. Comparison between nominal variables have been made with Chi² test. EOR, OS and PFS means were compared with One Way and Multivariate ANOVA analysis along with Contrast analysis and Post-Hoc Tests. Kaplan-Meier survival analysis assessed survival. Continuous variables correlations have been investigated with Pearson's Bivariate correlation. Threshold of statistical significance was considered p<.05.

3.2. Potential source of Bias and Study size

We addressed no missing data since incomplete records were an exclusion criteria. A potential source of bias is expected from exiguity of the sample, which nevertheless, in regards to the endpoints selected, presents an excellent post-hoc statistical estimated power (1- β = 0.90 for α 0.05 and effect size 0.59), thus providing extremely reliable conclusions.

The informed consent were approved by the Institutional Review Board of our Institution. Before surgical procedure, all the patients gave informed written explicit consent after appropriate information. Data reported in the study have been completely anonymized. No treatment randomization has been performed. This study is perfectly consistent with Helsinki declaration of Human Rights.

4. Results

In a first group we retrospectively reviewed the clinical, radiological and surgical records of 177 patients operated on for craniotomy and resection of GBM in the period ranging between 2014 and 2016. The total amount of patients belonging to the LTS subgroup were 30 (16,94%): 20 males and 10 females (M: F ratio: 2: 1), the average age was 59.4 years±7.69 (range 29-81 years), the median was 61 years. The presenting symptoms were: seizures in 8 patients (26,6%), motor deficits in 5 patients (16.6%), sensory deficits in 6 patients (4.9%), visual disturbances in 1 patient (2.4%), cephalalgia in 8 patients (26,6%) and incidental diagnosis in 2 patients (6,7%) (Table 1). The average KPS was 89±13.70 (range 70-100), the mean interval between onset of symptoms and diagnosis was 3 months (range 1 week-6 months).

Two patients (6,7%) underwent intraoperative brain mapping procedures in awake surgery for lesions involving the motor, primary sensory or language areas. In this group a IoN realized by means of MEP and SSEP was employed. Macroscopic GTR was achieved for 28 patients (93,3%); NTR for 1 patients (3,3%); and STR removal in 1 patient (3,3%).

The average follow-up was 3 years: 9 patients (30%) are currently still alive. The median survival of the entire LTS subgroups was 32.40 months. Among the 9 currently alive patients, 4 (44,44%) do not demonstrate relapses, whereas 5 (55,55%) experienced a recurrence of the disease: one of the latter underwent to 4 relapses in the 5 years following the initial surgical procedure and is currently managed with individual chemotherapy regimen. Among the 21 patients who died, 19 died of recurrent disease no longer treatable with surgery and no longer responding to alternative chemotherapy regimens or any form of radiation therapy.

4.1. Patient-Related Factors

Among the patient-related factors we considered the effect of the following variables: Age, Sex, KPS trend in the pre-, postoperative period and the KPS score at the last evaluation and the clinical onset.

Younger age demonstrated an overall statistically not significant trend to association with a prolonged survival (61.16 versus 59.4 years p=.708). Nevertheless the subgroup of patients who experienced a OS longer than 30 months presented an average age of 57.35 which compared to the 61.16 of the STS group demonstrated to increase the statistical significance (p=.202, Figure 1). Sex did not show a statistically significant association either (p=.128).

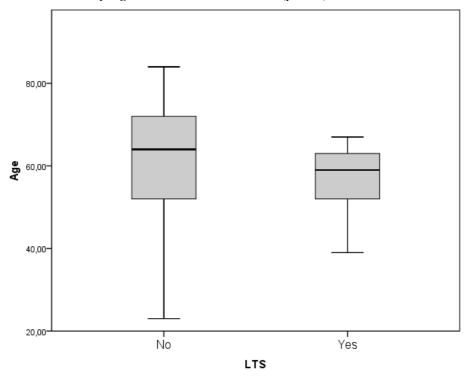


Figure 1. the subgroup of patients who experienced a OS longer than 30 months presented an average age of 57.35 which compared to the 61.16 of the STS group demonstrated to increase the statistical significance (p=.202).

Functional Status proved to be a strong predictor of LTS evolution: in particular the preoperative KPS score is associated to LTS patients, along with the postoperative KPS score. KPS at last evaluation presents no statistically significant difference between STS and LTS subgroups (KPS preoperative, postoperative and at last evaluation significances are p=.010, .163 and .721 respectively, Figure 2). In regards to the clinical onset, a Chi² analyses ruled out direct correlation between Headache, Seizures, Language, Motor, Sensory, Visual and Gait disturbances (p=. between .159 and 544). Nevertheless, when specifically analyzed by means of a Univariate ANOVA analysis, it was possible to retrieve a statistical association between a reduced PFS and language deficit, and reduced OS and motor deficit (p=.061 and p=.032 respectively, Figure 3 A an B).

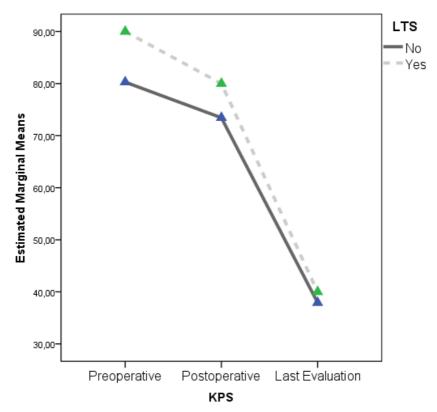


Figure 2. The preoperative KPS score is associated to LTS patients, along with the postoperative KPS score. KPS at last evaluation presents no statistically significant difference between STS and LTS subgroups.

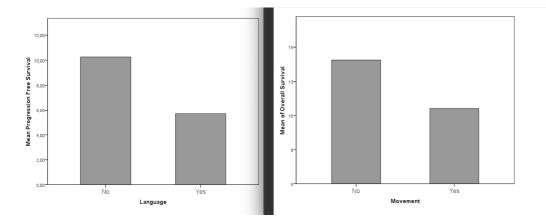


Figure 3. With Univariate ANOVA analysis, it was possible to retrieve a statistical association between a reduced PFS and language deficit, and reduced OS and motor deficit.

4.2. Tumor-Related Factors

From a molecular perspective, IDH mutation was strongly associated to a significant survival advantage, consistently with the ongoing Literature evidences (p=.008); Ki67 expression was associated with a shorter OS and PFS (p= respectively .051 and .008). EGFR and p53 mutations did not show a significant association with the survival parameters, although presented an interesting reciprocal association between their incidence in our cohort (r=.334; p=.001). A multivariate and univariate ANOVA analysis confirmed that this interaction promotes a statistically non-significant survival advantage (Figure 4). To outline the specific weight of the different aforementioned on OS, we completed the analyses with a stepwise decomposition of the Variance obtaining a factorial scaling as follows:

1. p53 mutated EGFR mutated, Ki67 > 20% 2. p53 mutated and EGFR mutated, Ki67 < 20% 3. p53 mutated, EGFR wild type, Ki67 <20% 4. p53 wild type, EGFR mutated, Ki67 <20% 5. p53 wild type, EGFR mutated, Ki67 >20% 6. p53 mutated, EGFR wild type, Ki67 >20% 7. p53 wild type, EGFR wild type, Ki67 >20% 8. p53 wild type, EGFR wild type, Ki67 <20%

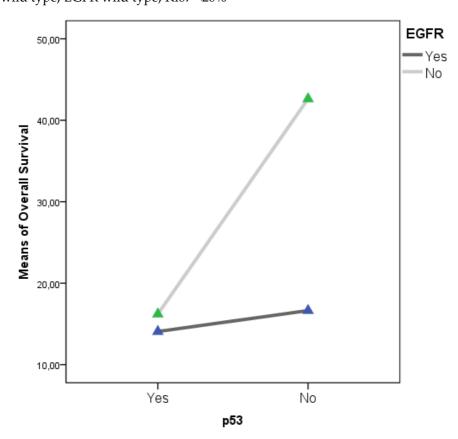
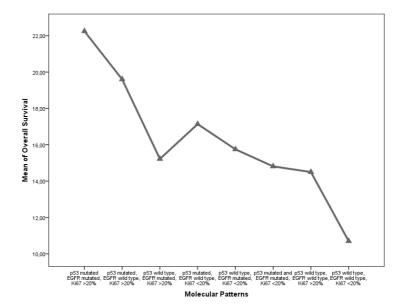


Figure 4. A multivariate and univariate ANOVA analysis confirmed that this interaction promotes a statistically non-significant survival advantage.

We obtained a statistical inference through which was possible to outline the definitive weight of Ki67% in determining OS and PFS (p=.042 and p=.028). Moreover it was possible to outline a Survival Trend, in a "scale" fashion according to the aforementioned molecular patterns (Figure 5). Classes 1 and 2 present a strongly significant survival advantage in comparison to class 8, as retrieved in contrast and post-hoc analyses (p=.011). Furtherly we compared the isolated impact on Survival parameters of the combined EGFR and p53 mutations in respect to the clear impact of a Ki67<20% value in determining the survival advantage (p=.001 – Figure 6). We have also evaluated MGMT datas for 53 patients (17 MGMT methylated for short time survivors and 8 MGMT methylated for long time survivors), but with no significative results (p=.397, table 1).

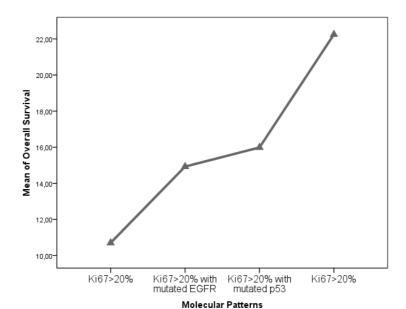


257

258

259

Figure 5. Classes 1 and 2 present a strongly significant survival advantage in comparison to class 8, as retrieved in contrast and post-hoc analyses.



260261

262

Figure 6. We compared the isolated impact on Survival parameters of the combined EGFR and p53 mutations in respect to the clear impact of a Ki67<20% value in determining the survival advantage (p=.001).

263264

Table 1. Patient's demographics.

	N=177 patients		P value
Subgroup	LTS=30	STS=147	
Sex	Male N=20 - 66.7%	Male N=78- 53.06%	0.128
	Female N=10 – 33.3%	Female N=69; 46.93%	
Age	59.4 years±7.69	61.16±11.55	0.409
KPS at admission	89.0±13.70	80.4±12.41	0.010
Volume in cm ³	24.2±19.3	22.22±18.4	0.676
Ki67 (%)	18.7±10.9	26.4±15.4	0.061

Peer-reviewed version available at Diagnostics 2019, 9, 209; doi:10.3390/diagnostics9040209

9 of 16

IDH 166/177 pts	IDH Mutant 2/166 (6.7%)	IDH Mutant 0/166	0.027
1D11 100/177 pts	EGFR Overexpressed		0.027
EGFR 149/177 pts	9/26 (34.6%)	EGFR Overexpressed 35/124 (28.2%)	0.333
MGMT Methylation	9/20 (34.076)	MGMT Methylated 8	
53/177	MGMT Methylated 17 patients	patients	0.397
33/1//	Mutant p52 Normal	Mutant p53	
p53 150/177 pts	Mutant p53 Normal	*	0.144
	18/27 (66.7%)	66/124 (53.22%) CTR 122/147 patients (00.80%)	
EOR	GTR 28/30 patients (93.3%) STR 2/30 patients (6.7%)	GTR 133/147 patients (90.80%)	0.468
VDC often Company	1 /	STR 14/147 patients (9.20%)	0.1(2
KPS after Surgery	81.0±25.11	73.9±19.9	0.163
KPS at last	39.5±15.8	37.9±17.6	0.721
Evaluation	26.60.71	10.0.10	0.001
Overall Survival	26,68±7.1 months	10.8±4.8 months	0.001
Location	Frontal	Frontal	
	14 (46.6%)	47 (31.9%)	
	Temporal	Temporal	
	11 (36.6%)	39 (26.5%)	0.314
	Occipital	Occipital	
	4 (13.3%)	13 (8.8%)	
	Parietal	Parietal	
	4 (13.3%)	34 (23.1%)	
	Insular	Insular	
	2 (6.7%)	8 (5.44%)	
	Rolandic	Rolandic	
	2 (6.7%)	1 (0.7%)	
	Corpus Callosum	Corpus Callosum 5	
	0 (0.0%)	(3.4%)	
	Left	Left	
	18 (60.0%)	69 (46.9%)	
Side	Right	Right	0743
	12 (20.0%)	66 (44.8%)	0743
	Midline	Midline	
	0 (0.0%)	8 (5.44%)	
	• • •		
	Multifocal	Multifocal	
	0 (0.0%)	1 (0.7%)	
Symptoms	Headache	Headache	
	8 (26.6%)	25 (17.1%)	
	Seizures	Seizures	
	8 (26.6%)	41 (27.9%)	0. 115
	1	Speech Disturbance 27	0. 544
	(0.0%)	(18.4%)	0. 531
	Motor Dysfunction 5	3	0. 491
	(16.6%)	(23.8%)	0. 600
	Sensory Disturbance 6	Sensory Disturbance	0. 423
	(20.0%)	21(14.3%)	
	Visual Deficit	Visual Deficit 5	0.384
	1 (3.3%)	(3.4%)	
	Incidental	Incidental	
	2 (6.7%)	4 (2.7%)	

PFS: Progression Free Survival; **OS**: Overall Survival; **SVZ**: Subventricular Zone, **KPS**: Karnofsky performance status, **EOR**: Extent of Resection, **GTR**: Gross Total Resection, **NTR/STR**: Near Total/Subtotal Resection.

267

4.3. Surgery-Related Factors

The role played by the EOR on survival parameters was extremely clear with a strong association between GTR and prolonged PFS (p=.001); conversely the association between GTR and OS did not demonstrate a statistical significance (p=.115 – Figure 7). The side of the lesion did not demonstrate a statistically significant association with OS or PFS, nevertheless, generally midline and one multifocal lesion proved to be associated to a worse oncologic outcome, both in concerns to OS (both p=.001 Figure 8). Although negatively associated with PFS (r= -.345, p=.001), it was not possible to identify a significant statistical difference between the incidence of LTS evolution and preoperative Volume of the lesion; in any case this parameter was associated both to PFS and EOR (both p=.001). The only location associated with a survival reduction was the involvement of Corpus Callosum, which, in respect to the remaining locations was significantly associated with a shorter OS (p=.061 – Figure 9).

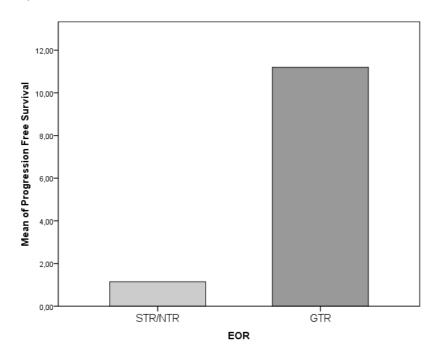
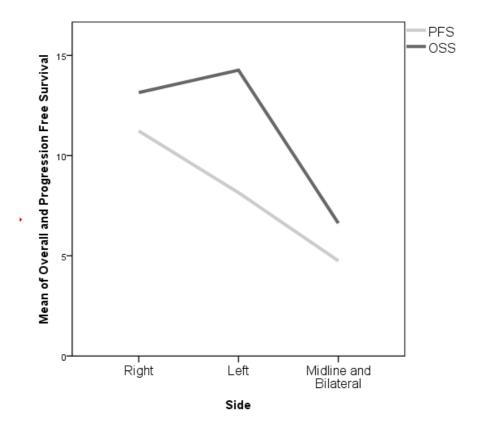


Figure 7. The association between GTR and OS did not demonstrate a statistical significance (p=.115).



282

283

284

285

Figure 8. The side of the lesion did not demonstrate a statistically significant association with OS or PFS. Generally midline and one multifocal lesion proved to be associated to a worse oncologic outcome.

286287

Figure 9. The only location associated with a survival reduction was the involvement of Corpus Callosum, which, in respect to the remaining locations was significantly associated with a shorter OS (p=.061).

Corpus Callosum

Yes

Νo

289290

288

5. Discussion

12 of 16

The long-term survival in patients suffering from GBM is a possible but not common finding. Improved survival of 24 months was found for the 16,9% of patients operated on in our Institution. This percentage, although higher than reported by the most of the authors, however, represents a small proportion of patients affected by this condition [4, 6, 10]. The poor prognosis is related to several factors, such as the aggressive nature of this disease, which often jeopardizes the feasibility of a real radical surgery, the presence of the Brain Blood Barrier (BBB) as a natural obstacle to the intracranial penetration of most of the conventional chemotherapeutic agents and the intrinsic refractoriness of GBM in respect of most cytotoxic agents[35, 36, 37]; Young age, female sex, an high KPS (>70) at diagnosis and prolonged PFS are the main ascertained patient-related factors. As the most of clinical series shows, age seems to play a critical role: LTS- patients have an average age of 45 years while non-LTS-patients of 60. [2, 3, 4, 5, 10, 14]. Our study confirms some difference, with an average age of 57.35 for the group of LTS which compared to the 61.16 of the STS group demonstrated to increase the statistical significance (p=.202, Figure 1). Sex did not show a statistically significant association either (p=.128) and so it's not confirmed, in our experience, a supposed protective effect of female hormones and / or the presence of a tumor suppressor gene located on X chromosomes reported in literature [14, 15, 16]. Finally, the association between LTS, high KPS at diagnosis and prolonged PFS is intuitive and stresses the importance of both clinical and functional status of the cancer patients: in our experience, the average KPS was 80 and 78.6% of currently alive patients has not shown signs of recurrence of the disease at a follow-up period.

Nevertheless, the LTS evolution is mainly observed in cases of GTR, thus confirming the role of surgery as a milestone in the management of GBM. Stummer et al. reported a median survival of 11.8 months in patients with a postoperative residual tumor, as opposed to 16.9 months in patients in which the remnant is not detectable. [17]. In general, the goal of the surgical treatment should be to maximize the EOR, while sparing the function, whereas adjuvant radiotherapy is aimed at reaching a regional control of disease prolonging the PFS [18].

In May 2009, Stupp et al reported the final results of their study, they confirmed the effectiveness of the Temozolomide-Radiotherapy and shed a definite light over the critical role played by the level of methylation of the MGMT gene promoter [19]: Temozolomide plus Radiotherapy improve survival in patients presenting lesions both with or without methylated MGMT promoter, but the benefit on PFS is significant only for patients with promoter methylation [19]. Radiation therapy "alone" seems to increase the cancer cells radioresistance through Akt gene activation, mediated by the concurrent activation of EGFR [20,21];

In our Institution, a modified Stupp protocol has been applied to all patients since 2005: our patients received 12 cycles of Temozolomide after discontinuation of 20-25 days instead of 6 cycles of the original protocol. Glas and colleagues compared the results of the association of radiotherapy, Temozolomide and Lomustina in two groups of patients, one treated with standard doses, the other with higher doses: the survival improvement was present in both groups (limited to patients with MGMT promoter methylation) and the dose intensification provided additional survival benefit, at price of an increased toxicity [22].

We performed a thorough Literature review concerning all the possible positive prognostic factors, not included in our study, finding as possibly critical the role played by Cancer Stem Cells (CSCs, CD33+). These cells constitute a small fraction of the tumor population and present "migration" tendencies (which helps to explain the infiltrative nature of GBM); CSCs are able to give rise to CD33- differentiated clones, demonstrating an higher potential growth rate thus contributing to the genesis of the "tumor-bulk" [11, 12, 13].

A wide amount of studies focused on the analysis of tumor cells genetic expression: more or less extensive deletions of DNA stretches involving different chromosomes (1p, 6q, 9p, 10p, 10q, 13q, 14q, 15q, 17p, 18q, 19q, 22q, Y) [23] have been investigated, but their role remains controversial. Combined 1p-19q Loss of Heterozigosity seems be a positive prognostic factor [4, 5], because of its association with the presence of an oligodendroglial component in the contest of a GBM [24].

Many other genes seem able to modulate the biological behavior of glioblastoma cells. The PTEN gene should play an important role and the non-mutated form expression seems to be

associated to a better response to treatment. It does not occur in case of Akt expression [2, 23, 25]. This gene down-regulates PI3K, dephosphorylating PIP3: in case of its mutation, the high levels of PIP3 activate PI3K, that hyperphosphorylates Akt, with important effects on the cell proliferation and invasion. The EGFR gene amplification was claimed in 40% -60% of primary glioblastomas, rarely in the secondary ones [23]. the most common mutation gives rise to the EGFRvIII variant, present in 20% -50% of the cases of amplification. Both the amplification and mutation appear to be negative prognostic factors [4, 25, 30].

A limited number of investigations payed attention to the possible prognostic value of cytological and histological features. Nafe et al reported that the main cytological difference between STS and LTS is the increase of the nuclear density in necrotic areas of the lesions, resulting in a reduction in the internuclear distance, in STS patients [31] . Finally the role of Ki67, the number of mitosis and extent of necrosis as a negative prognostic value is uncertain [10, 31]. Positive prognostic factors seem to be secondary and giant cells GBM subtypes [4, 5, 14] and the presence of oligodendroglial differentiation areas [24].

In recent years, numerous studies attempted to identify the factors underlying reasons for the prolongation of OS in LTS patients. Each time the genetic profiles and histological characteristics of the lesions, the clinical features of patients and the impact of different clinical treatments were analysed. Although the impact of several treatment-related prognostic factors is well recognized, the precise identification of the subgroups of patients with an expected survival greater than 2 years remains, to date, controversial.

6. Conclusions

Despite the clinical and research the prognosis of GBM patients remains dismal. The LTS evolution is uncommon, and results from the combination of a wide number of concurrent therapy-tumor- and patient-related factors. In our experience, LTS is associated with a GTR of tumor correlated with EGFR and p53 mutations with regardless of localization, and poorly correlated to dimension.

A deeper understanding of the meaning of the different genetic alterations in the DNA of cancer cells, and a study with MGMT data included, will allow a more accurate prognostic stratification of the single patient resulting in a patient specific therapeutic approach.

- **Disclosure of Interest:** In regards to the topics of the present paper, the authors have nothing to disclose.
- 373 Compliance with ethical standards
- 374 Funding: This study was no funded by any association.
- Conflicts of Interest: We wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its
- outcome. We wish to draw the attention of the Editor to the following facts which may be considered as potential conflicts of interest and to significant financial contributions to this work.
- Ethical approval: All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki
- declaration and its later amendments or comparable ethical standards.
- This article does not contain any studies with animals performed by any of the authors.
- **Informed consent:** Informed consent was obtained from all individual participants included in the study.
- 384 The patient has consented to the submission of this review article to the journal.

We confirm that the manuscript has been read and approved by all named authors and that there are no other persons who satisfied the criteria for authorship but are not listed. We further confirm that the order of authors listed in the manuscript has been approved by all of us.

We confirm that we have given due consideration to the protection of intellectual property associated with this work and that there are no impediments to publication, including the timing of

publication, with respect to intellectual property. In so doing we confirm that we have followed the regulations of our institutions concerning intellectual property.

We further confirm that any aspect of the work covered in this manuscript that has involved either experimental animals or human patients has been conducted with the ethical approval of all relevant bodies and that such approvals are acknowledged within the manuscript.

We understand that the Corresponding Author is the sole contact for the Editorial process (including Editorial Manager and direct communications with the office). He/she is responsible for communicating with the other authors about progress, submissions of revisions and final approval of proofs. We confirm that we have provided a current, correct email address which is accessible by the Corresponding Author and which has been configured to accept email.

The authors certify that they have NO affiliations with or involvement in any organization or entity with any financial interest (such as honoraria; educational grants; participation in speakers' bureaus; membership, employment, consultancies, stock ownership, or other equity interest; and expert testimony or patent-licensing arrangements), or non-financial interest (such as personal or professional relationships, affiliations, knowledge or beliefs) in the subject matter or materials discussed in this manuscript. The authors confirm their adherence to ethical standards and have NO financial disclosures that would be a potential conflict of interest with this publication.

Abbreviations

390

391

392

393

394

395

396

397

398

399

400

401

402

403

404

405

406

407

411

408 LTS: Long Term Survivors; STS: Short Term Survivors; DTI: Diffusion Tensor Imaging; DWI: 409 Diffusion Weighted Imaging; EGFR: Epidermal Growth Factor Receptor; EOR: Extent Of 410 Resection; FLAIR: Fluid Attenuated Inversion recovery; fMRI: Functional Magnetic Resonance Imaging; GBM: Glioblastoma; GTR: Gross Total Resection; HGG: High Grade Gliomas, IDH: 412 Dehydrogenase; IoN: Intraoperative Neurophysiological monitoring; 413 Intraoperative Neuropsicological testing; LGG: Low Grade Gliomas, KPS: Karnofsky Performance 414 Status; MPRAGE: Magnetization-Prepared Rapid Gradient-Echo MRI: Magnetic Resonance 415 Imaging; NTR: Near Total Resection; STR: Subtotal Resection; OS: Overall Survival; PFS: 416 Progression Free Survival.

417 References

- 418 Louis DN, Ohgaki H, Wiestler OD, Cavenee WK (Eds) (2007) World Health Organization classification of 419 tumours of the central nervous system. IARC Press, 2007, Lyon
- 420 Sonoda Y, Kumabe T, Watanabe M, Nakazato Y, Inoue T, Kanamori M, Tominaga T (2009) Long-term 421 survivors of glioblastoma: clinical features and molecular analysis. Acta Neurochir (Wien). May 12. [Epub 422 ahead of print]
- 423 Salvati M, Cervoni L, Artico M, Caruso R, Gagliardi FM. (1998) Long-term survival in patients with 424 supratentorial glioblastoma. J Neurooncol. Jan; 36(1):61-4. Review.
- 425 Krex D, Klink B, Hartmann C, von Deimling A, Pietsch T, Simon M, Sabel M, Steinbach JP, Heese O, 426 Reifenberger G, Weller M, Schackert G; German (2007) Glioma Network. Long-term survival with 427 glioblastoma multiforme. Brain. Oct;130(Pt 10):2596-606. Epub 2007 Sep 4. Review.
- 428 Deb P, Sharma MC, Mahapatra AK, Agarwal D, Sarkar C. (2005) Glioblastoma multiforme with long term 429 survival. Neurol India. Sep; 53(3):329-32.
- 430 McLendon RE, Halperin EC. (2003) Is the long-term survival of patients with intracranial glioblastoma 431 multiforme overstated? Cancer. Oct 15;98(8):1745-8.
- 432 Bahr O, Herrlinger U, Weller M, Steinbach JP. (2009) Very late relapses in glioblastoma long-term 433 survivors. J Neurol. May 12. [Epub ahead of print]
- 434 Steinbach JP, Blaicher HP, Herrlinger U, Wick W, Nägele T, Meyermann R, Tatagiba M, Bamberg M, 435 Dichgans J, Karnath HO, Weller M. (2006) Surviving glioblastoma for more than 5 years: the patient's 436 perspective. Neurology. Jan 24;66(2):239-42.
- 437 Stupp R, Mason WP, van den Bent MJ, Weller M, Fisher B, Taphoorn MJ, Belanger K, Brandes AA, Marosi 438 C, Bogdahn U, Curschmann J, Janzer RC, Ludwin SK, Gorlia T, Allgeier A, Lacombe D, Cairncross JG, 439 Eisenhauer E, Mirimanoff RO (2005) European Organisation for Research and Treatment of Cancer Brain 440 Tumor and Radiotherapy Groups; National Cancer Institute of Canada Clinical Trials Group.

- Radiotherapy plus concomitant and adjuvant temozolomide for glioblastoma. N Engl J Med. Mar 10;352(10):987-96.
- 443 10. Scott JN, Rewcastle NB, Brasher PM, Fulton D, MacKinnon JA, Hamilton M, Cairncross JG, Forsyth P. (1999) Which glioblastoma multiforme patient will become a long-term survivor? A population-based study. Ann Neurol. Aug;46(2):183-8
- Liu Q, Nguyen DH, Dong Q, Shitaku P, Chung K, Liu OY, Tso JL, Liu JY, Konkankit V, Cloughesy TF,
 Mischel PS, Lane TF, Liau LM, Nelson SF, Tso CL. (2009) Molecular properties of CD133+ glioblastoma
 stem cells derived from treatment-refractory recurrent brain tumors. J Neurooncol. Aug;94(1):1-19. Epub
 2009 May 26.
- 450 12. Nishide K, Nakatani Y, Kiyonari H, Kondo T. (2009) Glioblastoma formation from cell population depleted of Prominin1-expressing cells. PLoS One. Aug 31;4(8):e6869.
- 452 13. Beier D, Hau P, Proescholdt M, Lohmeier A, Wischhusen J, Oefner PJ, Aigner L, Brawanski A, Bogdahn U,
 453 Beier CP. (2007) CD133(+) and CD133(-) glioblastoma-derived cancer stem cells show differential growth
 454 characteristics and molecular profiles. Cancer Res. May 1;67(9):4010-5.
- 455 14. Shinojima N, Kochi M, Hamada J, Nakamura H, Yano S, Makino K, Tsuiki H, Tada K, Kuratsu J, Ishimaru Y, Ushio Y. (2004) The influence of sex and the presence of giant cells on postoperative long-term survival in adult patients with supratentorial glioblastoma multiforme. J Neurosurg. Aug;101(2):219-26. Review.
- 458 15. Plunkett RJ, Lis A, Barone TA, Fronckowiak MD, Greenberg SJ. (1999) Hormonal effects on glioblastoma multiforme in the nude rat model. J Neurosurg. Jun;90(6):1072-7.
- 460 16. Seki Y, Suico MA, Uto A, Hisatsune A, Shuto T, Isohama Y, Kai H. (2002) The ETS transcription factor MEF is a candidate tumor suppressor gene on the X chromosome Cancer Res. Nov 15; 62(22): 6579-6586
- Stummer W, Reulen HJ, Meinel T, Pichlmeier U, Schumacher W, Tonn JC, Rohde V, Oppel F, Turowski B,
 Woiciechowsky C, Franz K, Pietsch T (2008) ALA-Glioma Study Group. Extent of resection and survival in
 glioblastoma multiforme: identification of and adjustment for bias. Neurosurgery. Mar;62(3):564-76;
 discussion 564-76.
- Hottinger AF, Yoon H, Deangelis LM, Abrey LE. (2009) Neurological outcome of long-term glioblastoma survivors. J Neurooncol. Jun 26. [Epub ahead of print]
- 19. Stupp R, Hegi ME, Mason WP, van den Bent MJ, Taphoorn MJ, Janzer RC, Ludwin SK, Allgeier A, Fisher B, Belanger K, Hau P, Brandes AA, Gijtenbeek J, Marosi C, Vecht CJ, Mokhtari K, Wesseling P, Villa S, Eisenhauer E, Gorlia T, Weller M, Lacombe D, Cairncross JG, Mirimanoff RO (2009) European Organisation for Research and Treatment of Cancer Brain Tumour and Radiation Oncology Groups; National Cancer Institute of Canada Clinical Trials Group. Effects of radiotherapy with concomitant and adjuvant temozolomide versus radiotherapy alone on survival in glioblastoma in a randomised phase III study: 5-years analysis of the EORTC-NCIC trial. Lancet Oncol. May;10(5):459-66. Epub 2009Mar 9.
- 475 20. Li HF, Kim JS, Waldman T. (2009) Radiation-induced Akt activation modulates radioresistance in human glioblastoma cells. Radiat Oncol. Oct 14;4:43.
- 477 21. Stupp R, Hegi ME, Gilbert MR, Chakravarti A. (2007) Chemoradiotherapy in malignant glioma: standard of care and future directions. J Clin Oncol. Sep 10;25(26):4127-36. Review
- 479 22. Glas M, Happold C, Rieger J, Wiewrodt D, Bähr O, Steinbach JP, Wick W, Kortmann RD, Reifenberger G, Weller M, Herrlinger U. (2009) Long-term survival of patients with glioblastoma treated with radiotherapy and lomustine plus temozolomide. J Clin Oncol. Mar 10;27(8):1257-61. Epub 2009 Feb 2.
- 482 23. Kanu OO, Hughes B, Di C, Lin N, Fu J, Bigner DD, Yan H, Adamson C. (2009) Glioblastoma Multiforme Oncogenomics and Signaling Pathways. Clin Med Oncol. Apr 8;3:39-52.
- 484 24. Salvati M., Formichella A I., D'Elia A., Brogna C., Frati A., Giangaspero F., Delfini R., Santoro A. (2009)
 485 Cerebral Glioblastomas with oligodendroglial component: analysis of 36 cases. J Neurooncol 94; 129-134
- 486 25. Sperduto CM, Chakravarti A, Aldape K, Burger P, Papermaster GB, Sperduto P. (2009) Twenty-Year
 487 Survival In Glioblastoma: A Case Report And Molecular Profile. Int J Radiat Oncol Biol Phys. Mar 25.
 488 [Epub ahead of print]
- 489 26. Martinez R, Schackert G, Yaya-Tur R, Rojas-Marcos I, Herman JG, Esteller M. (2007) Frequent 490 hypermethylation of the DNA repair gene MGMT in long-term survivors of glioblastoma multiforme. J 491 Neurooncol. May;83(1):91-3.
- 492 27. Nakamura M, Watanabe T, Yonekawa Y, Kleihues P, Ohgaki H. (2001) Promoter methylation of the DNA repair gene MGMT in astrocytomas is frequently associated with G:C→A:T mutations of the TP53 tumor suppressor gene. Carcinogenesis. Oct;22(10):1715-9.

Peer-reviewed version available at Diagnostics 2019, 9, 209; doi:10.3390/diagnostics9040209

16 of 16

- 495 28. Pelloski CE, Mahajan A, Maor M, Chang EL, Woo S, Gilbert M, Colman H, Yang H, Ledoux A, Blair H, 496 Passe S, Jenkins RB, Aldape KD. (2005) YKL-40 expression is associated with poorer response to radiation and shorter overall survival in glioblastoma. Clin Cancer Res. May 1;11(9):3326-3334
- 498 29. Tanwar MK, Gilbert MR, Holland EC. (2002) Gene expression microarray analysis reveals YKL-40 to be a potential serum marker for malignant character in human glioma. Cancer Res. 62: 4364-8.
- 500 30. Mellinghoff I, Wang M, Vivanco I, et al. (2005)Molecular determinants of the response of glioblastoma to EGFR kinase inhibitors. N Engl J Med 353: 2012-2042.
- 502 31. Nafe R, Franz K, Schlote W, Schneider B. (2006) The morphology of perinecrotic tumor cell nuclei in glioblastomas shows a significant relationship with survival time. Oncol Rep. Sep;16(3):555-62.
- 504 32. Adeberg S, Bostel T, König L, Welzel T, Debus J, Combs SE. A comparison of long-term survivors and short-term survivors with glioblastoma, subventricular zone involvement: a predictive factor for survival? Radiat Oncol. 2014 Apr 23;9:95.
- 507 33. Caruso, R., Pesce, A., & Wierzbicki, V. (2017). A very rare case report of long-term survival: A patient operated on in 1994 of glioblastoma multiforme and currently in perfect health. International journal of surgery case reports, 33, 41-43.
- 510 34. Louis DN. Et al. The 2016 World Health Organization Classification of Tumors of the central Nervous System: a summary. Acta Neurpathol. 2016.
- 512 35. D'Andrea, G., Palombi, L., Minniti, G., Pesce, A., & Marchetti, P. (2017). Brain metastases: surgical treatment and overall survival. World neurosurgery, 97, 169-177.
- 514 36. Frati, A., Pesce, A., Palmieri, M., Celniku, M., Raco, A., & Salvati, M. (2018). Surgical treatment of the septuagenarian patients suffering from brain metastases: a large retrospective observational analytic cohort-comparison study. World neurosurgery, 114, e565-e572.
- 517 37. Frati, A., Pesce, A., Palmieri, M., Iasanzaniro, M., Familiari, P., Angelini, A., ... & Raco, A. (2019).

 518 Hypnosis-aided awake surgery for the management of intrinsic brain tumors versus standard awake-asleep-awake protocol: a preliminary, promising experience. World neurosurgery, 121, e882-e891.
- 520 38. Bloch O, Han SJ, Cha S, Sun MZ, Aghi MK, McDermott MW, Berger MS, Parsa AT. Impact of extent of resection for recurrent glioblastoma on overall survival: clinical article. J Neurosurg. 2012 522 Dec;117(6):1032-8.
- Malakhov N, Lee A, Garay E, Becker DJ, Schreiber 5. Patterns of care and outcomes for glioblastoma in patients with poor performance status. J Clin Neurosci. 2018 Jun;52:66-70.
- 525 40. Yao F, Wang J, Yao J, Hang F, Lei X, Cao Y. Three-dimensional image reconstruction with free open-source 526 OsiriX software in video-assisted thoracoscopic lobectomy and segmentectomy. Int J Surg. 2017 527 Mar;39:16-22.