

1 Article

## 2 Experimental Study of the Performance of A Novel 3 Vertical Axis Wind Turbine

4

5 J. Agbormbai<sup>1</sup> and W.D. Zhu<sup>2</sup>

6

7 <sup>1</sup>University of Maryland, Baltimore County, 1000 Hilltop Circle, Baltimore, MD 21250; USA;  
8 jagborm1@umbc.edu

9 <sup>2</sup>University of Maryland, Baltimore County, 1000 Hilltop Circle, Baltimore, MD 21250; USA; wzhu@umbc.edu

10 Correspondence: jagborm1@umbc.edu; wzhu@umbc.edu

11

12 **Abstract:** *The basic equation for estimating the aerodynamic power captured by an Anderson*  
13 *Vertical Axis Wind Turbine (AVAWT) is a solution of the Navier-Stokes(N-S) equations*  
14 *for a baroclinic, inviscid flow. In a nutshell, the pressure difference across the AVAWT is*  
15 *derived from Bernoulli's equation; an upshot of the integration of the N-S momentum*  
16 *equation for a baroclinic inviscid flow, Euler's momentum equation. The resulting*  
17 *expression for the pressure difference across the AVAWT rotor is plotted as a function of*  
18 *freestream speed. Experimentally determined airstream speeds at the AVAWT inlet and*  
19 *outlet, coupled with corresponding freestream speeds are used in estimating the*  
20 *aerodynamic power captured. The aerodynamic power is subsequently used in calculating*  
21 *the aerodynamic power coefficient of the AVAWT. The actual power coefficient is calculated*  
22 *from the power generated by the AVAWT at various free stream speeds and plotted as a*  
23 *function of the latter. Experimental results show that, at all free stream speeds and tip speed*  
24 *ratios, the aerodynamic power coefficient is higher than the actual power coefficient of the*  
25 *AVAWT. Consequently, the power generated by the AVAWT prototype is lower than the*  
26 *aerodynamic power captured, given the same inflow wind condition.*

27

28 **Keywords:** Anderson-Vertical-Axis-Wind-Turbine, Actual-Power, Aerodynamic-Power,  
29 Blockage-Factor, Power-Coefficient, Tip-speed-Ratio

30

31

## 32 1. Introduction

33 Albeit vertical axis wind turbines are not new to subject matter experts, it is worthwhile shedding some light on  
34 them so that, readers who are not subject matter experts can acquire some knowledge about these contrivances.  
35 Besides the VAWT under investigation is a new contraption, something which makes an overview of existing  
36 VAWTs relevant. In their bid to demonstrate the aerodynamic feasibility of a curved bladed Darrieus vertical  
37 axis wind turbine (VAWT) rotor, engineers at the Sandia Laboratory of the US department of energy (USDOE)  
38 developed a 5m diameter two bladed prototype which was mounted on the roof of their laboratory and observed  
39 to rotate on windy days. Following the afore-mentioned rotor was the development of a 17m diameter curved,  
40 two bladed rotor which was observed to perform nearly as efficiently as a horizontal axis wind turbine (HAWT)  
41 rotor of equal capacity. A 34m diameter VAWT of same type, called the test rig by Sandia, was developed  
42 thereafter and incorporated with instruments for condition monitoring and instruments to record weather  
43 conditions that affect its performance. Sandia laboratory also uses this VAWT to; validate various computer  
44 models, test airfoil designs and develop various control strategies [1]. Unlike this study that performs a wind  
45 tunnel experiment to investigate the performance of a novel VAWT, the Sandia laboratory performs on-site  
46 investigations on a working VAWT.

47 Typically, VAWTs may have either drag-driven or lift-driven rotors. The Savonius rotor is the most common  
48 drag driven rotor. It has been used on water pumps and is cheap to manufacture. Savonius machines typically  
49 have low power coefficients because of their being drag driven. Power coefficients of about 0.30 are typical of  
50 Savonius rotors. Additionally, they have solidity close to unity, so-much-so that, they are very heavy relative to  
51 their power production capacity and it is also difficult to protect them from high winds [2].

52 The Darrieus VAWT is a lift-driven machine. Lift-Driven VAWTs have almost always been used for electrical  
53 power generation. Lift-driven VAWTs typically have rotors with straight blades or curved blades. Some  
54 VAWTs with straight blade rotors have a pitching mechanism, even though most lift driven VAWTs have fixed  
55 blades. Yawing mechanisms are not needed on VAWTs, since they see the wind in any direction. The rotor  
56 blades of lift driven VAWTs are generally untwisted and are of constant chord, thus, they are easy to  
57 mass-produce. VAWTs are prone to high fatigue damage because, the load on each blade varies during each  
58 rotation of the rotor. They are difficult to support on separate tall towers, since a large portion of the rotor tends  
59 to be close to the ground in a region of low wind speed. This results in less productivity, compared to a HAWT  
60 of the same capacity [2,3].

61 In 1988, a 100m high, 60m diameter Darrieus VAWT was installed in Canada. The 60m diameter VAWT ran  
62 for six years with 94% availability [2]. As stated earlier on, Darrieus VAWTs work on the principle of  
63 aerodynamic lift (i.e. the wind pulls the rotor blades along). On the contrary the traditional Holland type  
64 windmill operates on the principle of drag (i.e. the wind pushes a manmade barrier such as a rotor blade) [1].  
65 Typically, Darrieus VAWTs have power coefficients between 0.4 and 0.42 [4]. They are not self-starting; some  
66 drag ought to be imposed on them for them to be able to be self-starting. The installation of cups or vanes on  
67 Darrieus VAWTs, makes them capable of trapping the wind, thus causing them to self-start [1,3]. Using the  
68 foregoing methods to self-start the Darrieus VAWT, results in larger blades, such that, these methods were  
69 abandoned.

70 To encourage the development of wind energy technology in the United States, the Federal Government gave  
71 incentives such as a tax credit of 1.80cents/KWh of wind energy produced. The USDOE set a goal in 2008, to  
72 achieve a 20% contribution to grid power by wind energy sources by the year 2030 [1]. This is clear evidence of  
73 the fact that, there is a niche for wind energy in the US energy market.

74 VAWTs can effectively be used in urban areas where turbulent and unsteady wind is typical [5,6]. They have  
75 inherent superiority over HAWTs in severe wind conditions, because, the wind enters their rotors from about  
76 any direction without yawing. A discrepancy factor of 2 typically exists between computational fluid dynamics  
77 (CFD) and wind tunnel experiment results; since, the effect of finite blade length and spoke drag are not usually  
78 considered in CFD analysis. The performance of a VAWT with a steady inflow condition is not a reflection of  
79 the actual performance of a VAWT operating in an urban environment, an upshot of the fact that, the wind  
80 fluctuates in an urban environment. The wind turbine's performance depends on the cube of the speed of the  
81 inflow wind, thus, moderate fluctuations in wind speed would result in very large fluctuations in power [5]. The  
82 seeming stagnation in improvements on the aerodynamics of HAWTs has spurred up interest in the  
83 development of large scale VAWTs. Another factor in favor of VAWTs is the future demand for decentralized  
84 and sustainable energy supply in Cities and rural communities [7]. They are suitable where HAWTs do not  
85 operate efficiently, usually in locations with high wind speeds and turbulent wind flow. VAWTs are quieter  
86 than HAWTs, something which makes them suitable for use in urban areas [8,9].

87 Savonius VAWTs can withstand gusts because of their superior stalling behavior and are suitable for use in  
88 gusty environments. At a tip speed ratio of unity, the power coefficient of a Savonius rotor is maximum.  
89 Modifications on the blade geometry of Savonius rotors improve the power coefficient. The power coefficient  
90 of a Savonius rotor with a 45° angle of twist is 0.3385 compared to the 0.30 for rotors with untwisted blades.  
91 Two stage Savonius rotors perform better than their three stage counterparts. Both three bladed and two bladed  
92 Savonius rotors exhibit high power coefficients at low tip speed ratios [8].

93 Curved Darrieus VAWTs with troposkein shapes are prone to nearly tensile loads and minimal bending  
94 moments on the rotor blades. They operate at distinct angles of attack at different azimuth angles and are  
95 subjected to cyclic aerodynamic loads which can result in fatigue [9]. Cognizant of the afore-mentioned setback  
96 on the curved Darrieus VAWTs and other VAWTs, the conduction of experiments and simulations in order to  
97 ascertain their suitability for use is imperative. The International Electro-Technical Commission (IEC)  
98 guidelines include well established procedures for wind turbine testing. Based on IEC guidelines, the service  
99 life of Wind Turbines (WT) is 20 years. Breakdown times are frequent with WTs and such breakdown stem  
100 from manufacturing errors and design errors due to underestimated fatigue load or extreme loads. Consideration  
101 of turbulent inflow conditions during aerodynamic modelling is of paramount importance. The turbulent  
102 characteristics of the inflow air may have an impact on the fatigue loads experienced by the WT [10].

103 Blade pitching is more difficult for VAWTs than for HAWTs because of the dependence of the angle of attack  
104 of the former on the rotor azimuth angle; resulting in the existence of very few practical pitch control schemes  
105 for VAWTs [11]. Unlike some of the existing VAWTs which may require pitch control to maximize wind  
106 energy capture, the VAWT in this study does not require pitch control for wind energy capture optimization.

107 The extraction of the wind's momentum by the VAWT occurs more during the upwind pass. Most of the  
108 VAWT's power output is produced on the upwind pass whereas flow momentum is considerably reduced on the  
109 downwind pass, hence resulting in a reduced power output [12]. Darrieus type VAWTs with straight blades are  
110 less efficient than those with helically twisted blades [13].

111 Various numerical and analytical schemes have been implemented in a bid to investigating the performance  
112 characteristics of VAWTs. Zanon et al [14] solved potential flow equations in conjunction with integral  
113 boundary layer equations formulated for the VAWT rotor, using a semi-inverse iterative algorithm. From their  
114 simulations they inferred that, VAWTs can be designed to avoid the occurrence of dynamic stall resulting from  
115 blade-vortex interaction in the downward part of rotor rotation during gusts, normal operation and even at low  
116 tip speed ratios [14].Scheurich and others [13] implemented a Computational Fluid Dynamics (CFD) scheme

117 based on the vortex transport model (VTM). The VTM is based on solving the Navier-Stokes(N-S) equations in  
 118 terms of vorticity and velocity. The governing momentum equation is expressed in terms of vorticity and  
 119 velocity and is the result of finding the curl of the velocity and pressure-based N-S momentum equation. In  
 120 their work on the steady state and dynamic simulations of Savonius rotors, Jaohindy et al [15], found out that,  
 121 the best approximations of the static torque coefficient, the dynamic torque coefficient and the power  
 122 coefficient were obtained using the shear stress transport (SST)-k- $\omega$  rather than k- $\epsilon$  turbulence models. At  
 123 startup, the dynamic torque coefficient curves of a Savonius rotor oscillate around fixed values in polar  
 124 coordinates [15]. There is a significant difference between the simulated and experimental values of the power  
 125 coefficient of a Darrieus VAWT at high tip speed ratios even though simulation and experimental power  
 126 coefficient values follow the same trend as the tip speed ratio varies [16]. The power coefficient of a Darrieus  
 127 type VAWT peaks at tip speed ratios between 3 and 4 and drops for tip speed ratios greater than 4 for both CFD  
 128 simulation results and experimental results with values of the former being slightly higher than those of the  
 129 latter (See figure 18 of reference [17]).

130 This study uses an experimental approach to investigate the performance of a novel VAWT. Unlike the CFD  
 131 simulations performed by some of the cited authors, which were based on two or three tip speed ratios, this  
 132 study investigates the performance characteristics of the novel VAWT over a broad range of tip speed ratios.  
 133 The VAWT under investigation is a novel VAWT invented and patented by Bruce E. Anderson, under patent  
 134 number US8790069.

## 135 2.0 Basic Equations of Fluid Dynamics

136 Stating without proof; for baroclinic flows the integral of the Navier-Stokes equation [18,19,20] results in the  
 137 Bernoulli's equation given by;

$$138 \quad \frac{1}{2} |\vec{V}|^2 + \int \frac{\partial p}{\rho} + gz = \mathfrak{M}, \quad (1)$$

139 For one dimensional (1-D) baroclinic flows,  $\vec{V} = U$  and  $\int \frac{\partial p}{\rho} = \frac{P}{\rho}$ , yielding;

$$140 \quad \frac{1}{2} U^2 + \frac{P}{\rho} + gz = \mathfrak{M}, \quad (2)$$

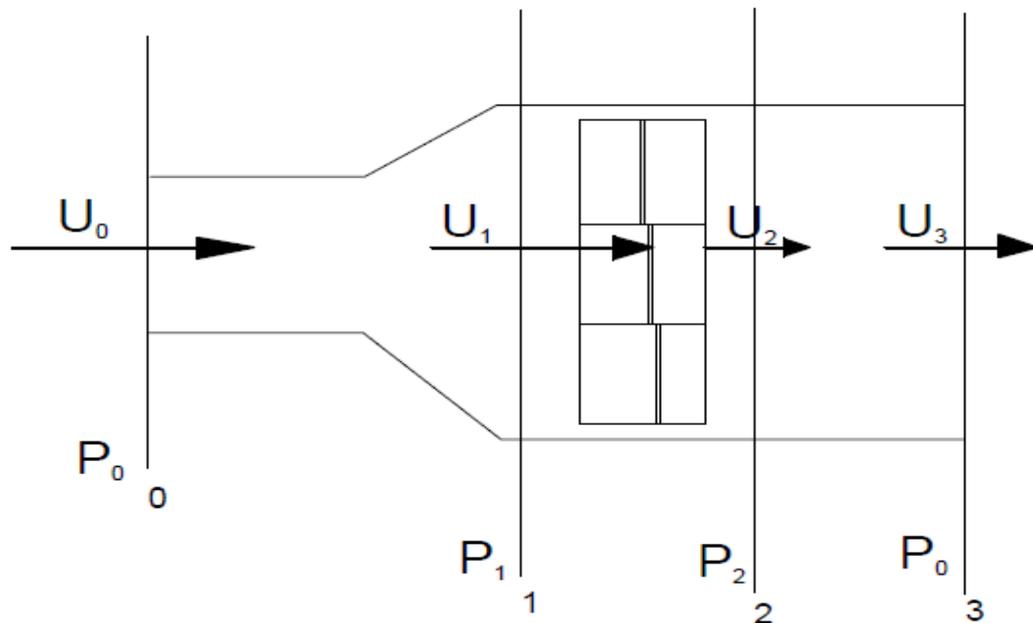
141 Applying eqn. (2) to stations 0-1 in Fig. 1, we have;

$$142 \quad \frac{1}{2} U_0^2 + \frac{P_0}{\rho} + gz = \frac{1}{2} U_1^2 + \frac{P_1}{\rho} + gz \quad \text{which on further simplifying, yields, } \frac{1}{2} U_0^2 + \frac{P_0}{\rho} = \frac{1}{2} U_1^2 + \frac{P_1}{\rho} \quad \text{and}$$

$$143 \quad \frac{P_1}{\rho} - \frac{P_0}{\rho} = \frac{1}{2} U_0^2 - \frac{1}{2} U_1^2, \quad (3)$$

144 Applying eqn. (2) to stations 2-3 in fig. 1 below we have;  $\frac{1}{2} U_2^2 + \frac{P_2}{\rho} + gz = \frac{1}{2} U_3^2 + \frac{P_0}{\rho} + gz$ , which on

145 simplifying further, yields,  $\frac{1}{2} U_2^2 + \frac{P_2}{\rho} = \frac{1}{2} U_3^2 + \frac{P_0}{\rho}$  and



146

147 Figure.1 Control Volume of the Anderson VAWT

$$148 \quad \frac{P_0}{\rho} - \frac{P_2}{\rho} = \frac{1}{2}U_2^2 - \frac{1}{2}U_3^2, \quad (4)$$

149 Adding eqn. (3) to eqn. (4) yields;

$$150 \quad \frac{P_1}{\rho} - \frac{P_2}{\rho} = \frac{1}{2}U_0^2 - \frac{1}{2}U_1^2 + \frac{1}{2}U_2^2 - \frac{1}{2}U_3^2. \quad (5)$$

151 Applying eqn. (2) to stations 1-2 in fig. 1 below we have;  $\frac{1}{2}U_1^2 + \frac{P_1}{\rho} + gz = \frac{1}{2}U_2^2 + \frac{P_2}{\rho} + gz$ , which on

152 simplifying further, yields,  $\frac{1}{2}U_1^2 + \frac{P_1}{\rho} = \frac{1}{2}U_2^2 + \frac{P_2}{\rho}$  and

153

$$154 \quad \frac{P_1}{\rho} - \frac{P_2}{\rho} = \frac{1}{2}U_2^2 - \frac{1}{2}U_1^2, \quad (6)$$

155 Adding eqns. (5) and (6) yields;

$$156 \quad 2\left(\frac{P_1}{\rho} - \frac{P_2}{\rho}\right) = \frac{1}{2}U_0^2 - U_1^2 + U_2^2 - \frac{1}{2}U_3^2, \quad (7)$$

157 Momentum is recovered far downwind the VAWT, because of the atmospheric boundary layer, thus,

158  $U_3 = U_0$  and equation (7) becomes;  $\frac{P_1}{\rho} - \frac{P_2}{\rho} = \frac{1}{2}U_2^2 - \frac{1}{2}U_1^2$ , which on simplifying further yields the

159 pressure drop across the VAWT below;

$$160 \quad p_2 - p_1 = \frac{1}{2} \rho (U_1^2 - U_2^2), \quad (8)$$

161 The wind power captured could be calculated from the rate of change of kinetic energy as follows:

$$162 \quad Power = \frac{1}{2} \dot{m} (U_0^2 - U_2^2), \quad (9)$$

163 But  $\dot{m} = \rho A U_1$  and equation (9) becomes,

$$164 \quad Power = \frac{1}{2} \rho A U_1 (U_0^2 - U_2^2), \quad (10)$$

165 The total energy borne by the wind seen by the VAWT is given by,

$$166 \quad P_{\max} = \frac{1}{2} \rho A U_0^3, \quad (11)$$

167 The power coefficient,  $C_p$ , is given by;

$$168 \quad C_p = P / P_{\max}, \quad (12)$$

169

#### 170 **4.0 Aim of the Experiment**

171 The purpose of this experiment is multipronged, namely, to prove that the Anderson Vertical Axis Wind  
172 Turbine (AVAT) can generate electricity, to determine the power coefficient of an AVAWT, to investigate its  
173 dependence on free stream speed and the tip speed ratio and to investigate the dependence on free stream speed  
174 and tip speed ratio of the torque and the power generated.

#### 175 **5.0 Experimental Procedures**

176 The International Electro-Technical Committee's (IEC) standard number: IEC61400-12-1, requires that, on-site  
177 measurements of electric power generated by wind turbines be made at respective wind speeds. Based on the  
178 afore mentioned, the power generated by the AVAWT model is measured at respective free stream speeds. It is  
179 worthwhile noting that, the apparatus used in this experiment consists of a 55.88cm x 60.96cm x 182.88cm  
180 custom made plywood wind tunnel, a 17.78cm diameter x 41.91cm high AVAWT model, a Benetech hotwire  
181 anemometer (Model: GM 8903 with a resolution of  $\pm 0.1m/s$  and a memory of 350 records), a Hylec MS  
182 6252 digital anemometer( with a resolution of  $\pm 0.01m/s$ ), a Torquesense model RWT421-DD-KG torque  
183 transducer with a maximum torque range of 17.5Nm, a steel rule, a try square, a Lenovo Ideapad P400 Touch  
184 laptop computer and a Canon PowerShot A570IS digital camera. The torque transducer measures torque, power  
185 output and rotational frequency in RPM.

186 Prior to carrying out the experiment, the setting of the fan used on the wind turbine was calibrated by measuring  
187 the speed of air (free stream speed) at the center of the wind tunnel with the AVAWT model not in place, using  
188 a hot wire anemometer as shown in plate 1 below. The free stream speed was measured for each of the twelve  
189 settings on the fan motor's variable speed drive. All observations were recorded. Experiments were carried out  
190 after the necessary safety precautions were followed. All view windows were firmly short, the fan end of the

191 wind tunnel was provided with a protective wire gauze screen to prevent users from being injured by rotating  
192 equipment. Plate 2 and Figure 2 below depict the setup of the experiment.  
193



194  
195 Plate 1- Setup for the Calibration of the fan setting terms speed (m/s)  
196  
197



198  
199 Plate 2- Setup for measuring VAWT performance parameters  
200 The AVAWT model was installed in the wind tunnel as shown in plate 2 and Figure 2 and coupled to the torque  
201 transducer. The hotwire anemometer was placed at a distance one rotor diameter upstream the AVAWT  
202 strategically located to measure the wind speed at the center of the wind tunnel while the digital anemometer  
203 was placed two rotor diameters downstream the AVAWT. All leads from measuring instruments to the laptop  
204 were connected and the setup was put on by selecting the fan speed and turning on the fan motor. All readings  
205 were taken and tabulated.

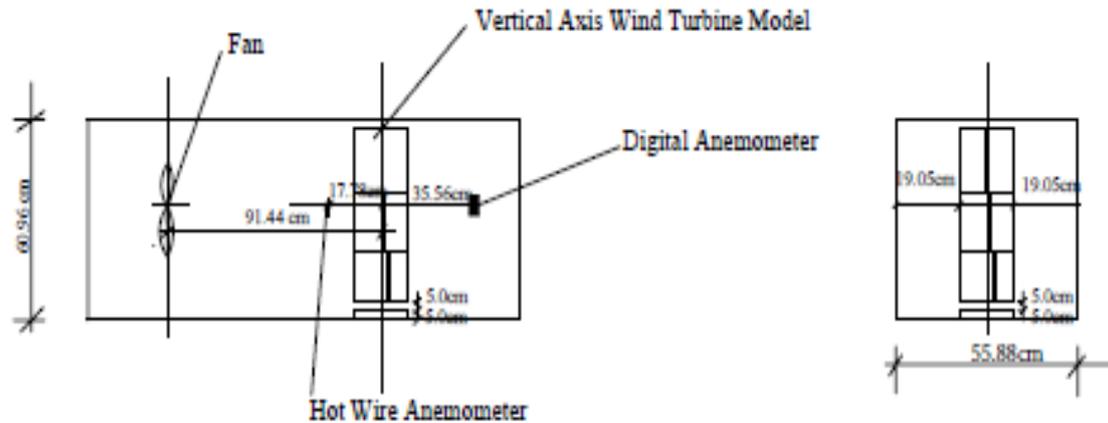


Figure 2- Experimental Setup showing Measurements.

### 5.1 Observations

It is worth noting that, the fan used in this experiment has 12 speed settings; seven of the speed setting lie between 1.77m/s and 3.3m/s. These speed settings imparted no rotation on the AVAWT, so their results were discarded. The recorded measurements of respective parameters at respective free stream speeds are shown in table 1 below. Table 4 contains the estimated induction factors at the AVAWT inlet and outlet respectively.

### 5.2 Precision

Torque transducer measurements are within a margin of accuracy of  $\pm 0.25\%$ . The hotwire anemometer measurements are within a margin of accuracy of  $\pm 3.0\%$ , while the digital anemometer readings are within a margin of accuracy of  $\pm 2.0\%$ .

### 5.3 Calculations

The angular speed of the AVAWT is calculated from the measured RPM using eqn. (13) below:

$$\omega = \frac{2\pi RPM}{60}, \quad (13)$$

The rotational power is calculated from the angular speed and the measured torque, T, as follows;

$$P_{rot} = T\omega, \quad (14)$$

$P_{actual}$ , the average power is reckoned from the measured electrical power and the rotational power using eqn.

(15) below;

$$P_{actual} = \frac{P_{rot} + P}{2}, \quad (15)$$

$P_{aero}$ , the aerodynamic power is given by eqn. (10) above as follows;

$$P_{aero} = \frac{1}{2} \sigma A U_1 (U_0^2 - U_2^2), \quad (16)$$

230 The respective power coefficients are estimated from eqn. (17) as follows:

$$231 \quad C_{p,actual} = P_{actual} / P_{max}, \quad (17a)$$

$$232 \quad C_{p,aero} = P_{aero} / P_{max}, \quad (17b)$$

233 The tip speed ratio is given by eqn. (18) below;

$$234 \quad \lambda = \frac{\omega R}{U_0}, \quad (18)$$

235 Where  $R$  is the radius of the AVAWT rotor. The induction factor at the AVAWT inlet,  $a$  is given by;

$$236 \quad a = \frac{U_0 - U_1}{U_0}, \quad (19a)$$

237 The average induction factor  $\bar{a}$  is given by;

$$238 \quad \bar{a} = (1/5) \sum a, \quad (19b)$$

239 The multiplication factor on the induction factor at the AVAWT exit,  $m$  is given by;

$$240 \quad m = \frac{U_0 - U_2}{aU_0}, \quad (20a)$$

$$241 \quad \bar{m} = (1/5) \sum m, \quad (20b)$$

242

## 243 6.0 Results and Discussion

244 Experimental data are used to estimate the parameters expressed in eqns. (8) through (20b) and tabulated as  
 245 shown in tables 2, 3 and 4 below. Figures 3 to 10 below depict graphs plotted from experimental results. The  
 246 average induction factor,  $\bar{a}$ , calculated from experimental measurements of the upwind and downwind free  
 247 stream speeds using Eqns. (19a and b) is  $0.642 \pm 0.876$  and the downwind speed could be expressed as

248  $U_2 = U_0(1 - \bar{m}a)$ . Where  $\bar{m}$  is an average value estimated from measured upwind and downwind speeds

249 using Eqns. (20a and b) and is  $1.34 \pm 0.1122$ . Thus, the AVAWT rotor inlet and outlet air speeds are given  
 250 as follows;

251

U <sub>0</sub> (m/s)	Power Output(W)	Torque (Nm)	RPM
4.22±0.1300	0.60±0.0020	0.046±0.0001	105±0.2620
7.65±0.2300	1.42±0.0040	0.052±0.0001	270±0.6750
9.39±0.2820	8.63±0.220	0.161±0.0004	578±1.4500
9.65±0.2890	9.94±0.0250	0.172±0.0004	626±1.5650
10.35±0.3110	9.68±0.0240	0.170±0.004	640±1.6000

252

253 Table 1-Experimental Data

254

255

$U_0$ (m/s)	Power Output (W)	Torque (Nm)	RPM	$\omega$ (rads/s)	$U_1$	$U_2$	$\lambda$	Rotational Power (W)
4.22±0.1300	0.60±0.020	0.046±0.001	105±0.2620	11.00±0.0270	1.80±0.0540	0.50±0.100	0.23±0.0290	0.51±0.0020
7.65±0.2300	1.42±0.040	0.052±0.001	270±0.6750	28.27±0.0730	1.92±0.0580	0.67±0.130	0.33±0.0660	1.47±0.0770
9.39±0.2820	8.63±0.0220	0.161±0.004	578±1.4500	60.53±0.1510	3.57±0.1070	1.64±0.330	0.57±0.590	9.72±0.0490
9.65±0.2890	9.94±0.0250	0.172±0.004	626±1.5650	65.55±0.1640	3.74±0.200	1.71±0.340	0.60±0.720	11.27±0.0540
10.35±0.3110	9.68±0.0240	0.170±0.004	640±1.6000	67.02±0.1680	3.58±0.1070	1.62±0.320	0.58±0.790	11.39±0.0550

256

257 Table 2- Calculated Angular speed, Rotational Power and Tip speed ratio

258

259

$U_0$ (m/s)	$\lambda$	Torque (Nm)	$P_{actual}$ (W)	$P_{aero}$ (W)	$C_{p,actual}$	$C_{p,aero}$ (%)
4.22±0.1300	0.23±0.0540	0.046±0.0001	0.56±0.0020	1.45±0.1244	0.162±0.0150	0.420±0.0938
7.65±0.2300	0.33±0.0580	0.052±0.0001	1.45±0.0055	5.12±0.3860	0.071±0.0064	0.249±0.1314
9.39±0.2820	0.57±0.1070	0.161±0.0004	9.17±0.0355	14.02±1.6900	0.241±0.0210	0.369±0.0200
9.65±0.2890	0.60±0.1720	0.172±0.0004	10.60±0.0395	15.50±1.9400	0.257±0.0231	0.375±0.0203
10.35±0.3110	0.58±0.1790	0.170±0.0004	10.53±0.0395	17.19±2.0527	0.207±0.0187	0.338±0.0186

260

261 Table 3- Calculated, Actual Power, Aerodynamic Power and corresponding power coefficients

262

263

264

265

266

267

268

$U_0$ (m/s)	$a$	$m$
4.22±0.1300	0.573±0.0540	1.54±0.0640
7.65±0.2300	0.749±0.0580	1.22±0.0710
9.39±0.2820	0.620±0.1070	1.33±0.1410
9.65±0.2890	0.612±0.1120	1.34±0.1460
10.35±0.3110	0.654±0.01070	1.29±0.1390

269

270 Table 4- Estimates of Induction Factor

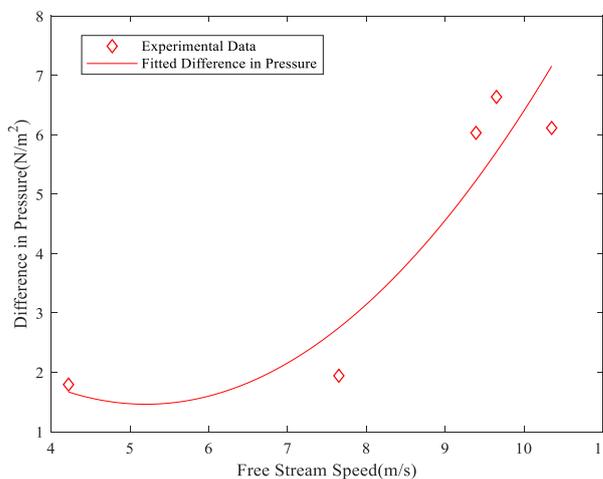
$$271 \quad U_1 = U_0(1 - \bar{a}), \quad (21)$$

$$272 \quad U_2 = U_0(1 - (1.34 \pm 0.1122)\bar{a}), \quad (22)$$

273 The plot of the difference in the pressure between the AVAWT inlet and outlet as a function of freestream speed  
 274 is shown in Fig.3. The rhombic points are data points calculated from experimental measurements while the  
 275 best fit for the data is the quadratic curve generated using the MATLAB curve fitting tool. A coefficient of  
 276 determination of 86.1% is determined for this fit, resulting in a correlation coefficient of 92.8%. The  
 277 coefficients of Eqns. (23) through (32) have been estimated within a 95% confidence interval (CI). Equation  
 278 (23) below is the expression for the difference in pressure as a function of free stream speed;

$$279 \quad P_2 - P_1 = 0.2147U_0^2 - 2.33U_0 + 7.269, \quad (23)$$

280 It is discernible from the curve that, the difference in pressure across the AVAWT increases parabolically with  
 281 increasing freestream speed.



282

283 Figure 3- Difference in Pressure as a function of freestream speed

284

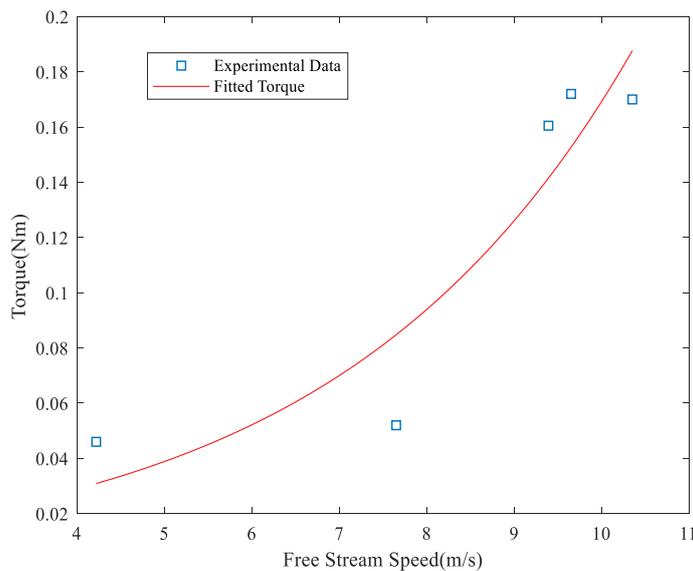
285 Figure 4 below depicts the plot of measured torque as a function of freestream speed. The square points are  
 286 experimental data points while the best fit curve has been generated using the MATLAB curve fitting tool. It is  
 287 seen from the curve that, the torque of the AVAWT increases exponentially with increasing freestream speed. A  
 288 coefficient of determination of 86.14 is determined for this fit, resulting in a correlation coefficient of 92.81%.  
 289 Equation (24) below is the expression for the torque as a function of freestream;

$$290 \quad T(U_0) = 0.008922e^{0.2943U_0}, \quad (24)$$

291 The plot of measured torque as a function of tip speed ratio is depicted by Fig. 5. The square points are  
 292 experimental data points while the best fit curve has been generated using the MATLAB curve fitting tool. A  
 293 coefficient of determination of 99.48% was determined for the fit, resulting in a 99.90% correlation coefficient.  
 294 The curve shows that there is a quadratic relationship between the tip speed ratio and the torque developed by  
 295 the AVAWT model rotor. Equation (25) below is the expression for the torque as a function of tip speed ratio;

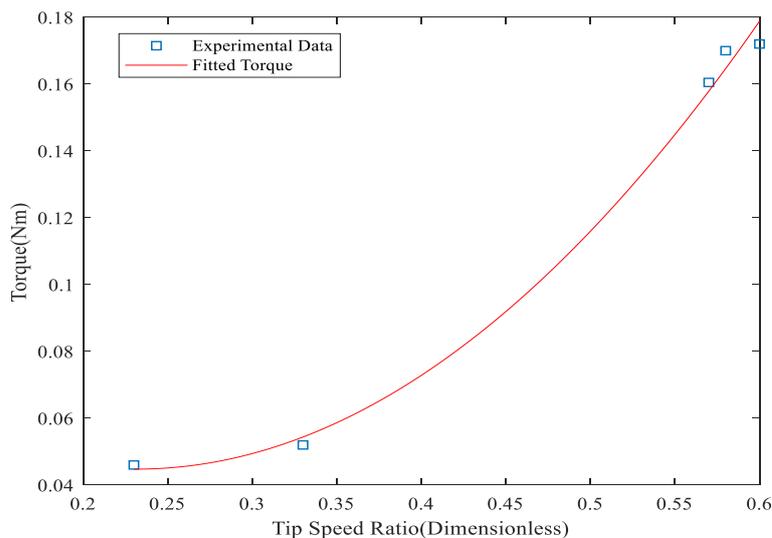
$$296 \quad T(\lambda) = 0.9861\lambda^2 + 0.4562\lambda + 0.09753 \quad , \quad (25)$$

$$297 \quad P_{actual}(U_0) = 0.118e^{0.477U_0} - 1.209 \times 10^{11} e^{-6.408U_0} \quad , \quad (26)$$



298

299 Figure 4- Plot of Torque as a Function of Free Stream Speed.

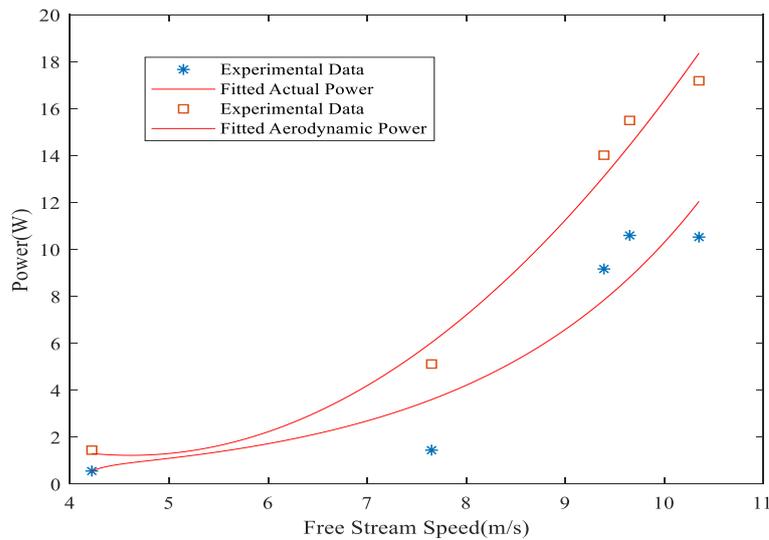


300

301 Figure 5- Plot of Torque as a Function of Tip Speed Ratio

302 The plot of measured power output as a function of freestream speed is shown in Fig. 6. The asterisks are  
 303 experimental data points while the best fit curve has been generated using the MATLAB curve fitting tool. The  
 304 fitted actual power curve is an exponential curve whose equation is Eqn. (26). It is discernible from the plot that,

305 the actual power generated by the AVAWT increases exponentially with increasing free stream speed. A  
 306 coefficient of determination of 88.21% was determined for this fit, resulting in a correlation coefficient of  
 307 93.92%. Also shown in Fig.6 is the plot of the calculated aerodynamic power as a function of freestream  
 308 speed. The square points are the data calculated from experimental measurements while the best fit curve has  
 309 been obtained using the MATLAB plotting tool. There is a quadratic relationship between the aerodynamic  
 310 power and the freestream speed. The equation relating the freestream speed to the aerodynamic power is  
 311 Equation (27).

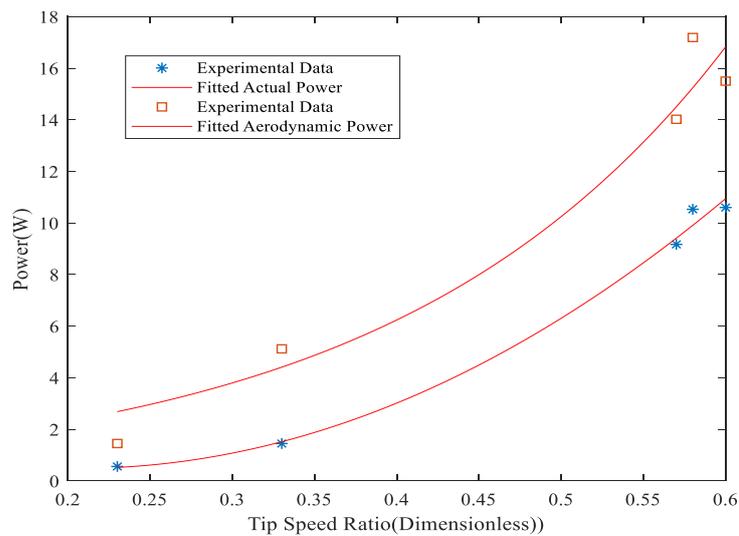


312  
 313 Figure 6-Plot of Power Output as a Function of Free Steam Speed

314  
 315 A coefficient of determination of 97.83 was determined for this fit, resulting in a 99.91% correlation coefficient.  
 316 It is discernible from Fig.6 that, the aerodynamic curve follows the same trend as the measured power curve, but  
 317 for the fact that, the aerodynamic power values are higher than the latter. The aerodynamic power increases  
 318 from  $1.45 \pm 0.1244$ W at  $4.22 \pm 0.1300$ m/s to a maximum of about 18.4 W at  $10.35 \pm 0.3110$ m/s while the actual  
 319 power rises from  $0.56 \pm 0.1244$ W to about 12.1W within the same freestream speed range. It is also discernible  
 320 from Fig. 6 that for freestream speeds between about 4.6m/s and 5.4m/s the aerodynamic power curve and the  
 321 actual power curve nearly merge. This propensity for the curve to merge is the result of the fact that the power  
 322 values are nearly equal within this range of freestream speed.

$$323 \quad P_{aero}(\lambda) = 0.8579e^{4.961\lambda}, \quad (29)$$

324 In Fig.7 below, the plots of actual power generated and the aerodynamic power respectively as functions of the  
 325 tip speed ratio are shown. The aerodynamic power curve runs above the actual power curve because the  
 326 aerodynamic power is higher than the actual power generated at all tip speed ratios. This difference is evident  
 327 because, of friction on the bearings of the torque transducer and loss of power due to the conversion from kinetic  
 328 energy to resistance heating in the windings of the torque transducer. Both curves rise from minima at a tip  
 329 speed ratio of  $0.23 \pm 0.0290$  with the aerodynamic power at this point being about 2.5W while the actual power is  
 330  $0.56 \pm 0.0020$ W; to maxima at a tip speed ratio of about  $0.6 \pm 0.1720$ .



331

332

Figure 7- Plot of Power Output as a function of Tip speed ratio

333

334

335

336

337

338

339

340

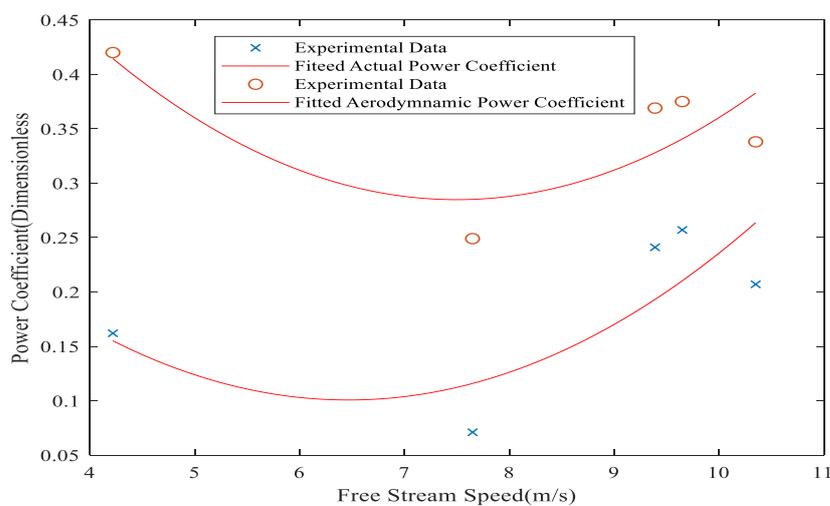
341

342

343

344

At a tip speed ratio of  $0.6 \pm 0.1720$ , the actual power read from the graph is about 10.9W while the aerodynamic power is about 16.8W. The best fit for the actual power as a function of tip speed ratio determined with the MATLAB plotting tool is a quadratic polynomial as expressed in Eqn. (28). A coefficient of determination of 99.44% was determined for this fit, resulting in a correlation coefficient of 99.71%. An exponential curve is the best fit for plot of aerodynamic power as a function of tip speed ratio,  $\lambda$ . The relationship between the tip speed ratio and the aerodynamic power is expressed in Eqn. (29). A coefficient of determination of 95.94% was determined for this fit using the MATLAB plotting tool, resulting in a correlation coefficient of 97.95%. It is discernible from the plot that the aerodynamic power values are higher than the actual power generated. This difference in power is the result of the fact that, the aerodynamic power is derived from the rate of loss of kinetic energy by the airstream; something which does not account for the friction losses on the torque transducer bearings.



345

346

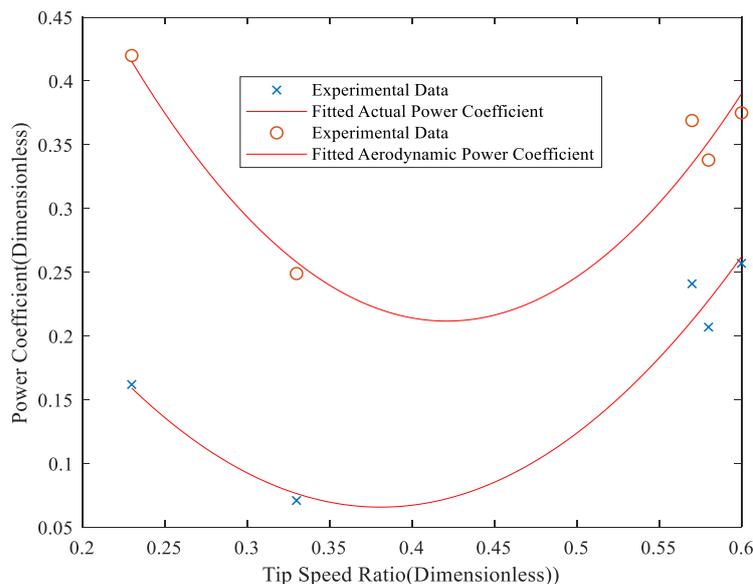
Figure 8- Power Coefficient as a Function of Freestream speed

347

348 Figure 8 depicts the plot of power coefficient as a function of free stream speed. The curve of actual power  
 349 coefficient is below the curve of the aerodynamic power coefficient. There is a scatter between the experimental  
 350 data and the fitted curve of actual power coefficient; same is true for the aerodynamic power coefficient. Both  
 351 curves are parabolas with upward concavity, thus implying that both the aerodynamic and actual power  
 352 coefficients vary quadratically with varying freestream speed. The curve of the actual power coefficient has a  
 353 minimum value of about 0.1 at a freestream speed of about 6.5m/s while the curve of the aerodynamic power  
 354 coefficient has a minimum value of about 0.28 at a freestream speed of about 7.3m/s. It is discernible from the  
 355 graphs that, the aerodynamic power coefficient is higher than the actual power coefficient at all free stream  
 356 speeds. This difference in power coefficient results from power losses due to drag, friction on bearings and  
 357 losses in the generator windings. Equations (30) and (31) below express the relationship between the actual  
 358 power coefficient, the aerodynamic power coefficient respectively and the free stream speed. A coefficient of  
 359 determination of 56.25% was determined for Eqn. (30), using the MATLAB plotting tool; resulting  
 360 in a correlation coefficient of 75. The coefficient of determination determined for Eqn. (31) is 61.73%,  
 361 yielding a correlation coefficient of 78.57%.

$$362 \quad C_{p,actual}(U_0) = 0.0198U_0^2 - 0.1396U_0 + 0.552, \quad (30)$$

$$363 \quad C_{p,aero}(U_0) = 0.01206U_0^2 - 0.1808U_0 + 0.9629, \quad (31)$$



364  
 365 Figure 9- Plot of Power Coefficient as a Function of Tip Speed Ratio

366  
 367 Plots of respective Power coefficients as functions of the tip speed ratio are shown in Fig.9. It is discernible  
 368 from the graphs that, the curve of the actual power coefficient has a minimum value of about 0.07 at a tip speed  
 369 ratio of 0.38. The relationship between the actual power coefficient and the tip speed ratio is a quadratic  
 370 polynomial as shown in Eqn. (32) below. A 93.99% coefficient of determination is determined using the  
 371 MATLAB plotting tool for this fit, resulting in a correlation coefficient of 97.97%. The curve of the  
 372 aerodynamic power coefficient has a minimum value of about 0.21 at a tip speed ratio of about 0.42. The  
 373 relationship between the aerodynamic power coefficient and the tip speed ratio is also a quadratic polynomial

374 given by Eqn. (33). A coefficient of determination of 89.67% is determined using the MATLAB plotting tool  
 375 for this fit, resulting in a correlation coefficient of 94.67%.

$$376 \quad C_{p,actual}(\lambda) = 4.09\lambda^2 - 3.12\lambda + 0.6, \quad (32)$$

$$377 \quad C_{p,aero}(\lambda) = 5.57\lambda^2 - 4.62\lambda, \quad (33)$$

378 It is discernible from Fig. 9 that, the aerodynamic power coefficient curve runs above the actual power  
 379 coefficient curve. This is because, the aerodynamic power coefficient is higher than the actual power coefficient  
 380 within the entire range of the tip speed ratios. The aerodynamic power coefficient is an indicator of the rate of  
 381 change of kinetic energy as the air passes through the AVAWT while the actual power coefficient indicates the  
 382 rate of energy conversion to electricity as the air flows past the AVAWT. It is worth noting that the best way to  
 383 determine the power coefficient of a wind turbine (WT) is by measuring the actual power generated by the WT.  
 384 The use of aerodynamic parameters to determine the power coefficient, results in higher values even when no  
 385 power is generated.

386 Based on the results of the experiment, the average power coefficient due to the actual power generated by  
 387 the AVAWT is  $0.188 \pm 0.0168$  while the average aerodynamic power coefficient of the AVAWT model rotor is  
 388 about  $0.350 \pm 0.0332$ , the power coefficient of a Savonius rotor is 0.30[3], the power coefficient of the Darrieus  
 389 VAWT is between 0.4 and 0.42[4] and the power coefficient of a modified Savonius rotor with a  $45^\circ$  angle of  
 390 twist is 0.3385[8]. It is worth noting that, unlike Darrieus type VAWTs that are not self-starting, the AVAWT is  
 391 self-starting.

392

### 393 7.0 Wall Effects of the Wind Tunnel

394 Wind tunnel wall effects have an impact on the drag coefficient ( $C_D$ ) and hence the drag on a model being  
 395 tested in it. Damljanovic and others [21] discovered that for blockage ratios ranging from 0.5% to 0.6% and  
 396 angles of attack within  $\pm 10^\circ$ , wind tunnel wall effects are negligible within measurement uncertainties. Other  
 397 workers [22] performed numerical simulations on models with blockage ratios of 1.875% and 15% respectively  
 398 and arrived at the conclusion that, the drag coefficient increased with increasing blockage ratio. Per these  
 399 workers, it is reasonable to use the method of images in studying the wall effects of a wind tunnel on the drag  
 400 experienced by a model being tested. In this study, the method of images is used to study the effects of the wind  
 401 tunnel walls on the drag on the AVAWT model.

402 Awbi et al [23] working with a spherical model, discovered that, the drag coefficient did not vary with Reynolds  
 403 number (Re) for Re ranging from  $6.5 \times 10^4$  to  $2.2 \times 10^5$ , but it increased with increasing blockage ratio. This  
 404 increase in drag coefficient is lower for critical values of Re than for less than critical Re. The increase in drag  
 405 coefficient is lower for critical Re because the wake is narrower, resulting in a lower wake blockage effect. The

406 method of images for blockage correction, splits wind tunnel wall effects into solid blockage ( $\delta_s$ ) due to the

407 physical dimensions of the model and wake blockage ( $\delta_w$ ) due to the wake. Summing the two blockage factors

408 yields the overall blockage factor ( $\delta$ ), where;

$$409 \quad \delta = \delta_s + \delta_w, \quad (34)$$

410 The net effect of the wind tunnel wall is the sum of the induced speed and the wind tunnel free stream speed as  
 411 expressed in eqn. (35) below [23]:

$$412 \quad U = U_0(1 + \delta), \quad (35)$$

413 For the AVAWT model, the effect of blockage is to reduce the wind tunnel velocity, since the rotor rotates with  
414 energy extracted from the air that flows past it. Thus, eqn. (35) becomes;

$$415 \quad U = U_0(1 - \delta), \quad (36)$$

416 After some algebraic manipulations on A. Thom's formula [23], the drag coefficient is given in terms of  $\delta_w$

417 and the blockage ratio,  $r_b$ , as follows:

$$418 \quad C_D = \frac{4\delta_w}{r_b}, \quad (37)$$

419 Implementing the results of this experiment, based on average conditions as determined in the foregoing  
420 sections Eqn. (36) can be expressed as;

$$421 \quad 1 - \delta = 1 - (1.34 \pm 0.1122)\delta_s, \quad (39a)$$

$$422 \quad \delta = (1.34 \pm 0.1122)\delta_s, \quad (39b)$$

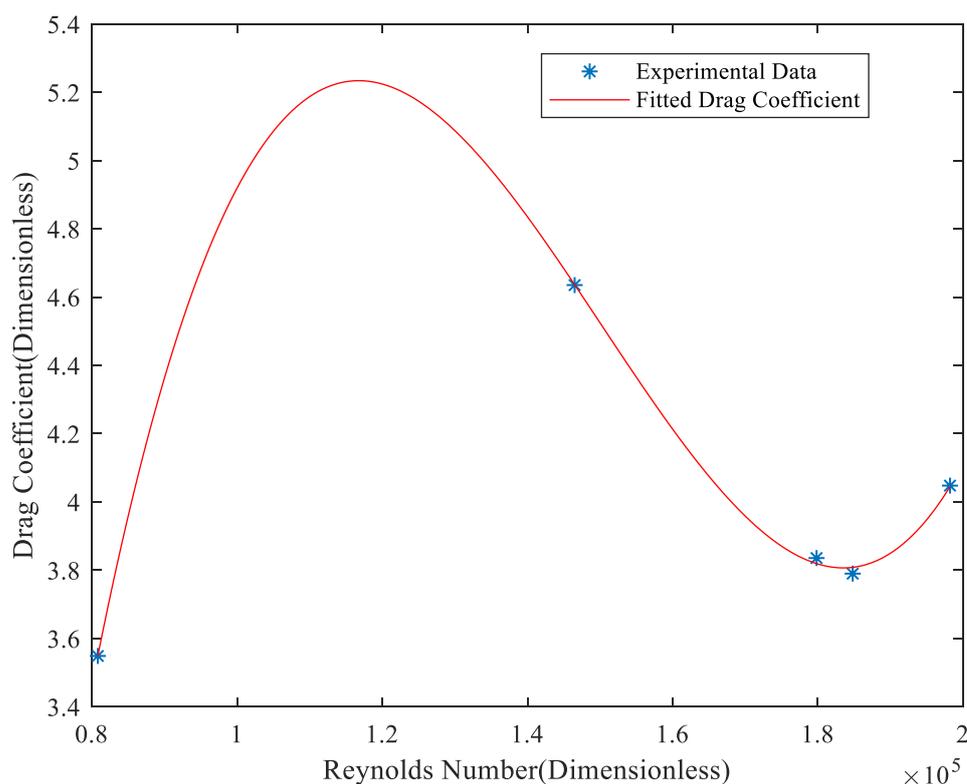
423 The blockage ratio  $r_b$  for the AVAWT model is 0.22 or 22% and from Eqns. (34) and (39b),

424  $\delta_w = (0.34 \pm 0.1122)\delta_s$ . Substituting these parameters in Eqn. (37a), yields;

$$425 \quad C_D = 6.182\delta_s, \quad (40)$$

$$426 \quad \delta_s = 1 - \left(\frac{U_1}{U_0}\right), \quad (41)$$

427 Equation 40 and the expression for  $Re$  are coded in MATLAB and the resulting plot is shown in fig. 10 below.



428

429

Figure10- Plot of Drag Coefficient as a Function of Reynolds Number

430

$$C_D = 9.591 \times 10^{-15} \text{Re}^3 - 4.32 \times 10^{-9} \text{Re}^2 + 6.164 \times 10^{-4} \text{Re} - 23.12, \quad (42)$$

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

### 8.0 Conclusion

448

449

450

Within the limits of experimental errors, the average power coefficient of the AVAWT model based on the actual and aerodynamic power captured is  $0.269 \pm 0.0250$ . Hence, the AVAWT model is less efficient than any of the afore-mentioned VAWTs. It is clear from this experiment that, the AVAWT can generate electricity if it

451 is coupled with a generator. While it is difficult to make a good comparison between the AVAWT model used in  
452 this experiment to existing VAWTs because, of the fact that, the AVAWT model is many times smaller than  
453 existing VAWTs-something which rendered it incapable of generating enough torque; a better power  
454 coefficient can be achieved using a larger size AVAWT and an open jet technique instead of testing in a wind  
455 tunnel. The walls of the wind tunnel test section also increased the drag on the AVAWT, which subsequently  
456 reduced its power output. A reduction in the drag on the AVAWT, could be achieved by using suitable airfoil  
457 blades with rectangular slots at the trailing edges instead of the rolled sheet metal blades currently used. The slot  
458 at the trailing edge of each airfoil blade will facilitate the flow of air through the blade from the positive pressure  
459 side to the low-pressure side, thus, reducing the drag and increasing the lift on the blade. This phenomenon  
460 which enhances flow from the high-pressure side to the low-pressure side through a slot at the trailing edge of  
461 an airfoil is known as boundary layer suction.

462 Much work remains to be done in studying the performance characteristics of the AVAWT. This includes  
463 numerical simulations and the quantification of the drag on the AVAWT using the wake speed distribution at  
464 selected free stream speeds.

465 **Funding:** This research received no external funding.

466 **Acknowledgments:** The permission to use his prototype rotor in the experiment, the construction of supports for  
467 instruments, the construction of the wind tunnel and the participation in the setup of the experiment by Bruce E. Anderson of  
468 No Fossil Fuel LLC; 348 Baldwin Road, Odenton, Maryland is highly appreciated.

469

470 **Conflicts of Interest:** The authors declare no conflict of interest.

## 471 References

- 472 1. Zayas, J; 2011," Scope of Wind Energy Generation Technologies", Energy and Power Generation  
473 Handbook by Rao K., R., ASME Press, Chap. 7. ISBN; 978-0-7918-5955-1
- 474 2. Manwell, J., F; McGowan, J, G; Rogers, A, L; 2011," Wind Energy Explained (Theory, Design and  
475 Applications)", 2e, Wiley, Chaps. 1-3. ISBN:978-0-470-01500-1
- 476 3. Taylor, D; 2012," Wind Energy"; Renewable Energy (Power for a Sustainable Future) 3e, by Boyle  
477 G., Oxford Press, Chap.7. ISBN:978-0-19-954533-9
- 478 4. D'Ambrosio, M; Medaglia, M; 2010," Vertical Axis Wind Turbines: History, Technology and  
479 Applications", Master's Degree Thesis in Energy Engineering; Hogskolan Halmstadt
- 480 5. Wekesa, D; Wang, C; Wei, Y; Kamao, J; Damao, L; 2014" A Numerical Analysis of Unsteady Inflow  
481 Wind for Site Specific Vertical Axis Wind Turbine: A Case Study for Marsabit and Garissa in Kenya",  
482 Renewable Energy, vol 76, Elsevier Ltd, pp 648-662.
- 483 6. Wekesa, D; Wang, C; Wei, Y; Damao, L; 2014," Influence of Operating Conditions on Unsteady  
484 Wind performance of Vertical Axis Wind Turbines Operating within a Fluctuating Free Stream: A  
485 Numerical Study", Journ. Engng. Ind. Aerodyn; vol135, Elsevier Ltd, pp76-89
- 486 7. Scheirich, F; Fletcher, T, M; Brown, R, E; 2010" Simulating the Aerodynamic Performance and Wake  
487 Dynamics of a Vertical Axis Wind Turbine," vol.14, Wind Energ., John Wiley, pp159-177,
- 488 8. Khan, J; Rhaman, M; 2014" Stress Analysis of Various Shaped Blade of Savonius Wind Turbine,"  
489 ASME Paper No. IMECE2014-36307
- 490 9. Xisto C, M.; Pascoa J, C; Leger J, A; Transconi, M; 2014" Wind Energy Production Using an  
491 Optimized Variable Pitch Vertical Axis Rotor. ASME Paper No. IMECE2014-38966
- 492 10. Mucke, T; Kleinhans, D; Peinke, J; 2010, "Atmospheric Turbulence and its Influence on the  
493 Alternating Loads on Wind Turbines," vol. 14, Wind Energ, Wiley, pp301-316

- 494 11. McPhee, D; Beyene, A; 2016, "The Straight-Bladed Morphing Vertical Axis Wind Turbine," ASME  
495 Paper No. Power2016-59192
- 496 12. McLaren, K; Tullis, S; Ziada, S; 2011," Computational Fluid Dynamics of the Aerodynamics of a  
497 High Solidity, Small Scale Vertical Axis Wind Turbine," vol. 15, Wind Energ, Wiley, pp349-361
- 498 13. Scheurich, F; Brown, R., E; 2012," Modelling the Aerodynamics of Vertical Axis Wind Turbines in  
499 Unsteady Wind Conditions", vol.16, Wind Energ., Wiley, pp91-107
- 500 14. Zanon, A; Giannattasio , P; Ferreira, C., J., S; 2012, " A Vortex Panel Model for the Simulation of the  
501 Wake Flow Past a Vertical Axis Wind Turbine in Dynamic Stall", vol.16, Wind Energ2013, Wiley,  
502 pp661-680
- 503 15. Jaohindy, P; Ennamiri, H; Garde, F; Bastide, A; 2013, "Numerical Investigation of Airflow Through a  
504 Savonius Rotor," Vol 17, Wind Energ.2014, Wiley, PP853-869
- 505 16. Edwards, J; M., Danao, L; A., Howell, R., J; 2013, "PIV Measurements and CFD Simulation of the  
506 performance and Flow Physics and a Small-Scale Vertical Axis Wind Turbine" vol 18, WIND  
507 Energ2015, Wiley, pp201-217
- 508 17. Ragni, D; Ferreira, C., S; Correali, G., 2014," Experimental Investigation of an Optimized Airfoil for  
509 Vertical Axis Wind Turbines," vol.18, Wind Energ., Wiley, pp1629-1643,
- 510 18. Kundu, P; K; Cohen, I., M; 2004, "Fluid Mechanics", 3e, Elsevier Academic Press, Chap.4.  
511 ISBN:978-0-12-178253-5
- 512 19. Aris, R.; 1989, "Vectors, Tensors and the Basic Equations of Fluid Mechanics" Dover edition, Chaps.  
513 4 through 6. ISBN: 0-486-66110-5.
- 514 20. Graebel, W; P., 2007, "Advanced Fluid Mechanics", Elsevier Academic Press, Chaps. 1 to 3.  
515 ISBN:978-0-12-370885-4.
- 516 21. Damljanić, D; Vuković, D; Oćokoljić, G; Rasuo, B; 2016, "A Study of Wall interference effects in  
517 Wind Tunnel Testing of a Standard Model at Transonic speeds", Proc. ICAS 2016.
- 518 22. Mokhtar, W; Hasan, M., R; 2016, "A CFD Study of Wind Tunnel Wall Interference", Proc. ASEE, N.  
519 Central Section Conference.
- 520 23. Awbi, H, B; Tan, S, H; 1983, "Effects of Wind-Tunnel Walls on the Drag of a Sphere", ASME Journ.  
521 Of Fluids Engineering,**103**, pp. 461-465. DOI: 10.1115/ 1.3240816.  
522