

8 **Abstract**

9 Phylogenies depict shared evolutionary patterns and structures on a tree topology, enabling the
10 identification of hierarchical and historical relationships. Recent analyses indicate that phylogenetic
11 signals extend beyond the primary structure of protein or DNA, and various aspects of codon usage
12 biases are phylogenetically conserved. Several functional biases exist within genes, including the number
13 of codons that are used, the position of the codons, and the overall nucleotide composition of the
14 genome. Codon usage biases can significantly affect transcription and translational efficiencies, leading
15 to differential gene expression. Although systematic codon usage biases originate from the overall GC
16 content of a species, ramp sequences, codon aversion, codon pairing, and tRNA competition also
17 significantly affect gene expression and are phylogenetically conserved. We review recent advances in
18 analyzing codon usage biases and their implications in phylogenomics. We first outline common
19 phylogenomic techniques. Next, we identify several codon usage biases and their effects on secondary
20 structure, gene expression, and implications in phylogenetics. Finally, we suggest how codon usage
21 biases can be included in phylogenomics. By incorporating various codon usage biases in common
22 phylogenomic algorithms, we propose that we can significantly improve tree inference. Since codon
23 usage biases have significant biological implications, they should be considered in conjunction with
24 other phylogenetic algorithms.

25

26 **The Continued Importance of Phylogenetic Systematics**

27 Phylogenetic systematics explores the historical and hierarchical relationships among genes, individuals,
28 populations, and taxa. Phylogenies allow biologists to infer similar characteristics in closely related
29 species and provide an evolutionary framework for analyzing biological patterns (Soltis and Soltis 2003).
30 Furthermore, phylogenies are statements of homology and are used to organize shared structures or
31 patterns between species (Haszprunar 1992). Originally, phylogenies were recovered using only
32 morphological data. However, with the increased availability of molecular data, a combined approach
33 using morphology and genetic markers is typically used in phylogenetic analyses (Bertolani, et al. 2014).
34 Although genetic data provide researchers with access to more species, it typically requires large
35 amounts of data cleaning (e.g., alignment and annotation) before it becomes useful. Some of the
36 greatest difficulties in recovering phylogenetic trees from molecular data (e.g., multiple substitutions at
37 the same position between ancient terminal branches or no substitutions in a gene in short internal tree
38 branches) are explored by Philippe, et al. (2011). These issues have recently become more pertinent as
39 sequencing costs have dropped and genomic data now spans the Tree of Life.

40

41 **Codon Usage Biases Span the Tree of Life**

42 Codon usage bias is present throughout molecular datasets. There are 61 canonical codons plus three
43 stop codons that form and regulate the creation of 20 amino acids and the stop signal (Crick, et al.
44 1961). Since there are more codons than amino acids, the term synonymous codon is used to describe
45 how multiple codons encode the same amino acid and were presumably identical in function. However,
46 an unequal distribution of synonymous codons occurs within species, especially within highly expressed
47 genes, suggesting that synonymous codons might play different roles in species fitness (Sharp and Li
48 1986). Furthermore, an unequal distribution of tRNA anticodons directly coupling codons also varies
49 between species, leading to the wobble hypothesis: tRNA anticodons do not need to latch onto all three

50 codon nucleotides during translation (Crick 1966). Codon usage is highly associated with the most
51 abundant tRNA present in the cell (Post, et al. 1979) and codon usage patterns affect gene expression
52 (Gutman and Hatfield 1989). Non-random mutations or selection for phenotypic differences caused by
53 differential gene expression could explain some of the phylogenetic differences in synonymous codon
54 usages. Although codon usages directly affect phenotypes, common phylogenomic approaches typically
55 ignore the influence of codon bias in tree inference.

56

57 **Overview of Common Phylogenomic Techniques**

58 Homologous sequence comparisons are commonly used to identify species relationships. Homologous
59 characters are identified by aligning orthologous genes and detecting character state changes of amino
60 acid residues or nucleotides across a tree topology. This multi-step process is time-consuming and
61 requires orthologous gene annotations. Non-homologous sequence comparisons have also been
62 explored in alignment-free methods and will subsequently be discussed.

63

64 **1. Ortholog Identification**

65 Orthologs are genes within two or more species that usually share the same function because they are
66 derived from the same ancestral gene in the most recent common ancestor (Koonin 2005). In contrast,
67 paralogs may share the same function, but can arise from gene duplication or horizontal gene transfer.
68 Paralogs may not be under the same evolutionary pressures and should not be compared in a direct
69 positional alignment because these comparisons are a poor indicator of phylogenetic relationships
70 (Koonin 2005). An in-depth evaluation of ortholog identification techniques is presented by Tekaiia
71 (2016). Once an ortholog is identified, phylogenetic studies typically require a multiple sequence
72 alignment to align homologous characters. Reviews of some common multiple sequence aligners such as
73 T-coffee (Magis, et al. 2014), MUSCLE (Edgar 2004), Clustal (Sievers and Higgins 2014), Clustal Omega

74 (Sievers and Higgins 2018), and MAFFT (Kato and Standley 2014) can be examined elsewhere

75 (Daugelaite, et al. 2013; Pais, et al. 2014).

76

77 **2. Recovering the Phylogenetic Tree**

78 **i. Maximum Parsimony**

79 Maximum parsimony assumes that each character is equally important and minimizes the number of

80 character state changes to recover the relatedness of species. Proponents of parsimony point to its

81 explanatory power and ability to minimize *ad hoc* hypotheses (Farris 2008). However, parsimony can be

82 misleading if unequal evolutionary rates between lineages exist because longer evolutionary branches

83 have a tendency to form monophyletic groups even if the species have different phylogenetic histories

84 (Felsenstein 1978). PAUP (Wilgenbusch and Swofford 2003) and TNT (Goloboff, et al. 2005) are two

85 popular software packages to identify phylogenies based on parsimony.

86

87 **ii. Maximum Likelihood**

88 Maximum likelihood requires specific models of evolution that show the probability of character state

89 changes and can be used in the likelihood function. Maximum likelihood calculates the probability of

90 obtaining the data given the model and tree topology. One of the main reasons that maximum

91 likelihood estimates have gained traction is the mathematical property of consistency, which states that

92 as more data (phylogenetically informative characters) are added, the likelihood function will converge

93 to the correct tree (Wald 1949; Rogers 1997). Furthermore, maximum likelihood takes into account

94 more complex modelling of datasets, and the modelling has become more computationally tractable

95 through faster algorithmic design and faster computer processors (Paninski, et al. 2004). However, in

96 exact opposition to maximum parsimony, maximum likelihood is more likely to separate highly

97 divergent species, leading to long branch repulsion (Siddall 1998). MEGA X (Kumar, et al. 2018), RAxML

98 (Stamatakis 2014), IQ-TREE (Nguyen, et al. 2015) and PHYLIP (Retief 2000) are commonly used to
99 recover phylogenies using maximum likelihood.

100

101 **iii. Bayesian Inference**

102 Bayesian phylogenetic estimates use posterior probabilities of a distribution of trees calculated with
103 Markov Chain Monte Carlo (MCMC) techniques to evaluate tree probabilities. Bayesian inference adds
104 statistical support to phylogenies and empirically produces more accurate trees in simulations.
105 However, Bayesian inference is highly sensitive to prior probabilities (Huelsenbeck, et al. 2002). How
106 Bayesian techniques compare to other phylogenetic methods is addressed by Yang and Rannala (2012)
107 and popular Bayesian techniques are implemented in MrBayes (Ronquist, et al. 2012; Ling, et al. 2016)
108 and BEAST2 (Bouckaert, et al. 2014).

109

110 **iv. Distance-based and Alignment-free**

111 Distance-based phylogenies use techniques such as neighbor-joining to quickly produce relatively good
112 trees and are often used as a starting point for phylogenetic analyses using other methods. Neighbor-
113 joining decomposes a star tree by taking the two closest taxa based on the number of character changes
114 between them, pairing them together, recalculating weights based on the shortest distance between
115 the paired species and all other species, and repeating this process until all taxa are paired. Although
116 this technique is computationally fast, compressing the sequences into distances loses information and
117 phylogenetic reliability is difficult to ascertain from highly divergent sequences (Holder and Lewis 2003).
118 However, distance-based methods are frequently used when sequence alignments are not available or
119 in whole genome comparisons. Since genome assembly and multiple sequence alignment affect
120 phylogenies more than the technique used to recover the phylogeny, alignment-free methods attempt
121 to recover shared phylogenetic history without an alignment by comparing basic characteristics of

122 genomes (i.e., GC content, k-mer counts, codon usages, etc.) (Chan, et al. 2014). Broadly, alignment-free
123 approaches can be classified into three main groups. The first group analyzes the frequency of words
124 with a certain length (e.g., FFP (Sims, et al. 2009; Jun, et al. 2010) and CVTree (Zuo and Hao 2015)). The
125 second group matches lengths of overlapping sequences (e.g., ACS (Ulitsky, et al. 2006), KMACS
126 (Leimeister and Morgenstern 2014), and Kr (Haubold, et al. 2009)). The last group calculates
127 informational content between sequences (e.g., Co-phylog (Yi and Jin 2013), FSWM (Leimeister, et al.
128 2017), andi (Haubold, et al. 2015), CAM (Miller, McKinnon, et al. 2019a), and codon pairing (Miller,
129 McKinnon, et al. 2019b)). These techniques are still being developed, and new software packages are
130 constantly updated to recover more robust trees.

131

132 **3. Assessing the Phylogenetic Tree**

133 Bootstrapping is a common technique to assess the robustness of a phylogeny by randomly sampling
134 characters with replacement and determining if the recovered phylogenetic tree changes. Proponents of
135 bootstrapping point to its ability to uncover the phylogenetic signal under the noise of phylogenetically
136 uninformative characters. Bootstrapping also has statistical properties that allow a confidence value to
137 be placed on clades (Sanderson 1995). On the other hand, critics of bootstrapping point to the statistical
138 assumptions that are violated in DNA characters because DNA characters cannot be considered
139 independently and identically distributed (Sanderson 1995). Furthermore, a bootstrap proportion is
140 generally unbiased but highly imprecise, meaning the bootstrap number can give high confidence that
141 the data support a clade even if the clade is not real (Hillis and Bull 1993).

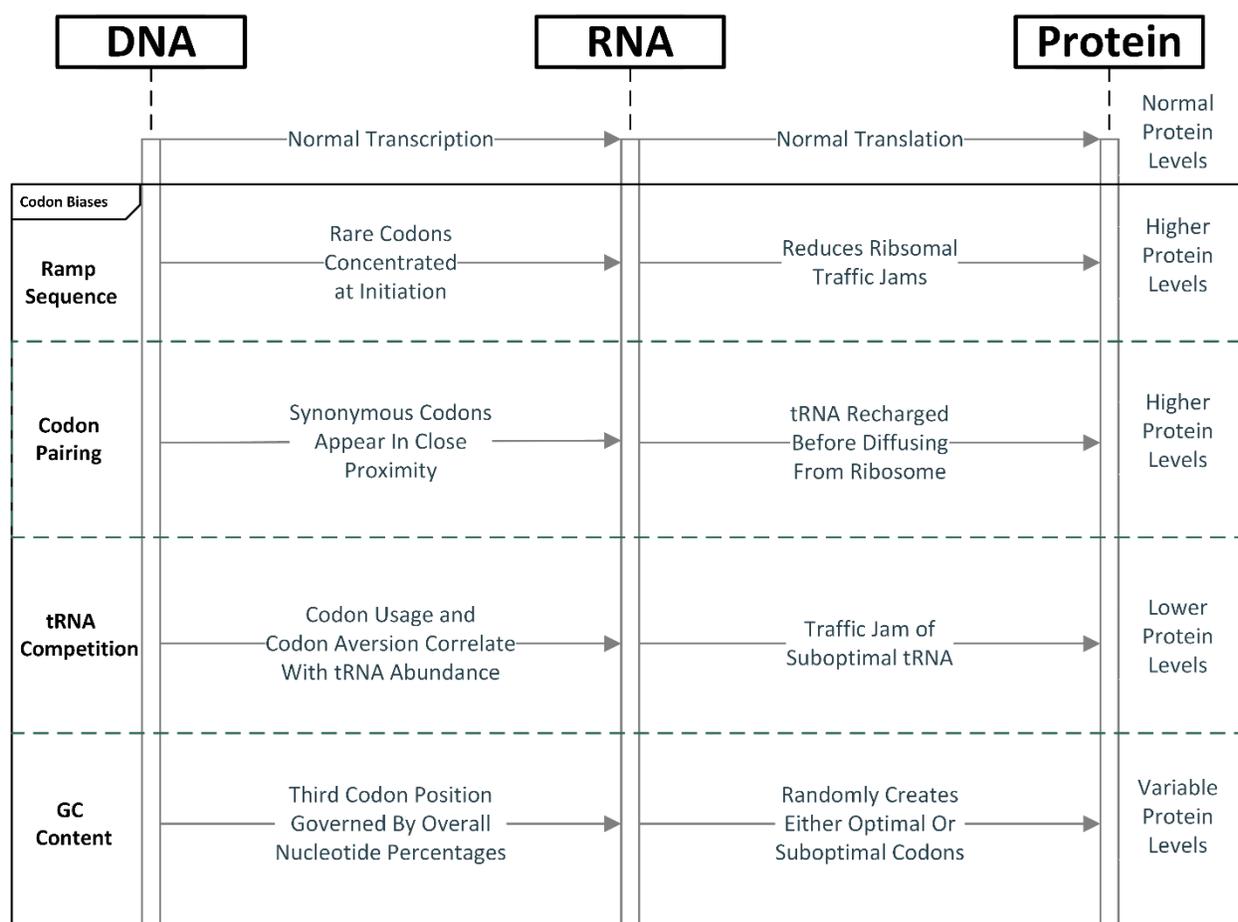
142

143 **Biological Construct of Codon Usage Bias**

144 Phylogenomic studies have recently used codon usage bias to recover species relationships with or
145 without ortholog annotations. Various codon usage biases appear to track speciation events and can

146 cause gene expression to either increase or decrease (Quax, et al. 2015). Furthermore, codon usage
 147 biases affect protein and RNA folding, which affects transcription and translational efficiency, as well as
 148 gene expression. Although genetic drift drives global codon usages, the majority of codon usage bias
 149 within individual genes is influenced by translational selection (Labella, et al. 2019). Figure 1 outlines
 150 how codon biases affect protein levels.

151



152

153 **Figure 1: How Codon Usage Biases Affect Protein Levels.** Many types of codon usage biases directly
 154 affect DNA, RNA, and protein secondary structure. They also affect transcription and translational
 155 efficiency. The mechanisms by which ramp sequences, codon pairing, tRNA competition, and the GC
 156 nucleotide composition affect protein levels are depicted.

157

158

159 **1. Codon Usage Metrics**

160 Several measurements of codon usage preferences facilitate comparing codons. Originally, the Codon
161 Adaptation Index compared the relative codon usage of the most commonly used codons within highly
162 expressed genes (Sharp and Li 1986). Soon thereafter, the effective number of codons quantified the
163 difference in codon usage versus the expected usage if all synonymous codons were used equally
164 (Wright 1990). Because of their simplicity, the effective number of codons and codon adaptation index
165 are still widely used techniques. However, those methods oversimplify the dynamics of codon usage.
166 The tRNA adaptation index (tAI) takes into account the complex relationship between tRNA and codons
167 by using tRNA copy number, gene length, number of codons, and the preponderance of tRNA wobble to
168 determine codon optimality (dos Reis, et al. 2003; dos Reis, et al. 2004). Building on tAI, the normalized
169 translational efficiency (nTE) measurement balances tRNA supply and demand on codon usage and
170 considers cellular tRNA dynamics. A codon is considered “optimal” if the relative supply of its cognate
171 tRNAs exceeds the codon’s usage (Pechmann and Frydman 2013). Unfortunately, tAI and nTE require
172 data that are not always available in a species or gene, thus limiting their use across the Tree of Life.

173

174 **2. Biological Implications of Codon Usage Bias**

175 **a. Selection toward decreased translational efficiency**

176 Occasionally, suboptimal codons are more beneficial to cells because they slow translation and allow for
177 more precise, deliberate gene translation. Codon usage bias affects mRNA secondary structure so
178 strongly that local mRNA secondary structure can be used to predict codon usage in highly expressed
179 genes (Trotta 2013). Highly expressed genes also have a ramp of 30-50 slowly-translated, rare codons at
180 the 5’ end of most protein coding sequences (Tuller, et al. 2010) that serves to evenly space ribosomes
181 (Shah, et al. 2013) and reduce mRNA secondary structure (Goodman, et al. 2013) at translation

182 initiation. A comprehensive analysis of ramp sequences from all domains of life, as well as a method to
183 extract ramp sequences from individual genes is presented in Miller, Brase, et al. (2019).

184

185 Suboptimal codons are also used in genes that are regulated by the cell cycle. Since tRNA expression
186 levels are highest during the G2 phase, suboptimal codon usage for genes expressed during this phase is
187 also highest. The G1 phase has the lowest tRNA expression, and genes expressed during G1 have a
188 tendency toward optimal codon usage (Frenkel-Morgenstern, et al. 2012).

189

190 Codon usage bias in various bacteria is also associated with species lifestyle (Carbone, et al. 2005;
191 Botzman and Margalit 2011). For cyanobacteria (photosynthetic bacteria), selection toward sub-optimal
192 codon usage produces the circadian clock conditionality, where the circadian clock is expressed only
193 under certain environmental conditions where cyanobacteria are not intrinsically robust (Xu, et al.
194 2013). Similarly, the pathogenicity and habitat of *Actinobacteria* (High GC gram positive bacteria
195 important for soil systems) also influence codon usage, with aerobic species varying significantly from
196 anaerobic species, and pathogenic species varying significantly from non-pathogenic species (Lal, et al.
197 2016). In each case, codon usage explains bacterial adaptation to their environment.

198

199 **b. Selection toward increased translational efficiency**

200 Highly expressed genes tend to use more optimal codons after the ramp sequence to increase gene
201 translation because optimal codons are translated faster (Quax, et al. 2015). Faster translation is due to
202 decreased wobble interactions, increased optimal tRNA composition, and decreased competition from
203 synonymous codons within a gene. (Brule and Grayhack 2017) Selective pressures for protein expression
204 also act on mRNA sequences to optimize co-translational folding within polypeptides in over 90% of high
205 expression genes and about 80% of low expression genes (Pechmann and Frydman 2013). Furthermore,

206 gene body methylation is strongly correlated with codon bias, and appears to systematically replace CpG
207 bearing codons, potentially influencing optimal codon establishment (Dixon, et al. 2016).

208

209 Recharging a tRNA while the ribosome is still attached to the mRNA strand is another strategy used to
210 increase translational efficiency and decrease overall resource utilization. Co-tRNA codon pairing occurs
211 when two non-identical codons that encode the same amino acid are located in close proximity to each
212 other in a gene. Identical codon pairing occurs when identical codons are located in close proximity in a
213 gene. Co-tRNA and identical codon pairing are mechanisms that a cell uses to reuse a tRNA by
214 recharging the tRNA with an amino acid before the tRNA diffuses, and increases translational speed by
215 approximately 30% (Cannarozzi, et al. 2010). Although co-tRNA codon pairing occurs more prominently
216 in eukaryotes and identical codon pairing occurs prominently in bacteria (Shao, et al. 2012) and archaea
217 (Zhang, et al. 2013), both co-tRNA and identical codon pairing are phylogenetically conserved in all
218 domains of life (Miller, McKinnon, et al. 2019b).

219

220 Other systematic biases also influence codon choice. Background dinucleotide substitution biases from
221 GC to AT and AT to GC often coincide with shifts in optimal codons (Sun, et al. 2017). Even under
222 sustained selective pressure, GC content at the third codon position is highly correlated with overall GC
223 content in a gene, suggesting that optimal codons are affected by overall GC content (Sun, et al. 2017).
224 In an analysis of 65 eukaryotes and prokaryotes, GC content accounted for 76.7% of amino acid variation
225 (Li, et al. 2015). A summary of mechanisms that affect codon usage bias are shown in Table 1.

226

227

228

229

230 **Table 1: Mechanisms Affecting Codon Usage Bias**

Name	Location/ Domain	Description
Ramp Sequence	30-50 nucleotides downstream of start codon	The ramp sequence consists of rare, slowly translated codons that increase ribosomal spacing, reduce mRNA secondary structure, and slow initial translation.
Co-tRNA pairing	More prominent in eukaryotes. Phylogenetically conserved in all domains of life	tRNA are recharged with amino acids for synonymous codon translation when synonymous codons are in close proximity to each other. Recharging allows the tRNA to stay attached to the ribosome and significantly increases translation efficiency.
Identical Codon Pairing	All domains of life	tRNA are recharged with amino acids for identical codon translation when identical codons are in close proximity to each other. Recharging allows the tRNA to stay attached to the ribosome and significantly increases translation efficiency.
tRNA competition	Eukarya, bacteria, and archaea	Cognate, near-cognate, and non-cognate tRNA may attempt to bind to an mRNA codon. If relatively few cognate tRNA are available, translation will slow because other tRNA attempt to bind to the same codon. This process is essential for translation elongation, efficiency, and accuracy (Zur and Tuller 2016).
GC Content	All domains of life	Overall GC content in a gene is highly correlated with GC content at the third codon position. GC content influences over two-thirds of codon variation.

231

232 **Codon Usage Bias in Phylogenetic Systematics**

233 As expected, random mutations are less likely to occur in conserved genomic regions because they can
 234 adversely affect fitness, and codon usage bias is less likely to be affected by random mutations than
 235 expected based on genomic mutation rates (Castle 2011). Many phylogenetic studies attempt to
 236 account for codon usage biases by determining its importance in species relatedness.

237 1. Codon Usage in Maximum Likelihood

238 Limited codon substitution models have been used for decades in maximum likelihood estimates.
239 However, until recently, a full 61 x 61 codon matrix was too computational intensive to apply to more
240 than a few species and genes (Anisimova and Kosiol 2009). Somewhat surprisingly, after a 61 x 61 codon
241 matrix became computationally viable, it was determined that the full matrix is not always optimal
242 because models that use a fixed codon mutation rate for phylogenetic tree reconstruction fit the data
243 better than a variable codon substitution rate. The apparent variation in codon substitution is actually
244 caused by variable selection against amino acid substitutions in the regions used to develop the model,
245 specifically mitochondria, chloroplast, and hemagglutinin proteins (Miyazawa 2013). Maximum
246 likelihood estimates that use codon models outperform a parsimony analysis only when codon usage is
247 highly skewed and is not affected by asymmetry in substitution rates (approach validated using
248 *Drosophila*) (Akashi, et al. 2007).

249
250 Because full codon models are computationally intensive and do not always elucidate more information
251 than simpler models, common likelihood approaches use nonsynonymous to synonymous mutation
252 rates per site (d_N/d_S) instead of the complete codon model. If the codon usage bias is strongly
253 conserved, then d_S will decrease and d_N/d_S will increase within a population. The d_N/d_S ratio was used in
254 *Drosophila* lineages, and helped determine that the *Notch* locus had evolved to include suboptimal
255 codons (Nielsen, et al. 2007). Using 158 orthologous genes, maximum likelihood also detected a strong
256 shift from suboptimal to optimal codons in two lineages of *Populus* (Ingvarsson 2008). Detecting the
257 cause of such shifts in codon usage is important for determining the biological significance of mutations.
258 SCUMBLE (Synonymous Codon Usage Bias Maximum Likelihood Estimation) uses a model inspired by
259 statistical physics to identify different sources of codon bias including selection and mutation (Kloster
260 and Tang 2008). SCUMBLE is also used as a filter to identify regions with insufficient information for

261 analysis. This technique helped determine that natural selection shaped codon biases in
262 *Strongylocentrotus purpuratus* (purple sea urchin) by limiting the analysis to only regions with sufficient
263 support (Kober and Pogson 2013). Shifts in mutation and selection rates allow the evolutionary history
264 of species to be recovered using this method.

265

266 **2. Violations of Maximum Likelihood Statistical Properties in a Codon Model**

267 Many of the assumptions of the statistical properties in maximum likelihood are violated by a codon
268 model. For instance, species are constrained to taxon-specific pools of tRNA, and triplets in coding
269 sequences are not independent. Algorithms with statistical properties that require character
270 independence, such as maximum likelihood, violate that rule for genetic data (Christianson 2005).
271 Furthermore, the codon model assumption of homogeneity of codon composition leads to seriously
272 biased phylogenetic estimations when that assumption is violated (Inagaki and Roger 2006).

273

274 Horizontal gene transfer is another important mechanism in evolution and complicates phylogenetic
275 analyses in bacteria because $81 \pm 15\%$ of genes have been laterally transferred among bacteria at some
276 point in their evolutionary history (Dagan, et al. 2008). Common transposable elements in eukaryotes
277 also arose from horizontal gene transfer, with over 50% of some mammalian genomes originally arising
278 from horizontal gene transfer (Ivancevic, et al. 2018). Detecting horizontal gene transfer has been
279 challenging, and codon bias is a poor indicator of horizontal transmission, normally underestimating the
280 effects of lateral transfer (Koski, et al. 2001; Tuller 2011; Friedman and Ely 2012). However, codon
281 composition is an excellent indicator of whether a gene will become fixed in a species after a lateral
282 transfer event (Tuller 2011). The concept of horizontal gene transfer not only complicates a general
283 phylogenetic analysis, but suggests that a standard bifurcating tree might not be the best choice in
284 analyses of bacteria or archaea (Koonin and Wolf 2008). Although it is known that codons (and DNA in

285 general) do not strictly follow many of the assumptions of phylogenetic analyses, the bifurcating tree is
286 still the most widely used phylogenetic representation, and generally depicts statements of homology
287 even when some assumptions are violated.

288

289 **3. Codon Usage in Viruses**

290 Another purpose of phylogenies is to predict the pathogenicity of viruses and viral interactions with
291 their hosts. Bee-infecting viruses have strong correlations in their codon usages with their hosts, and the
292 infected insects' codon usage similarity follows the insect phylogeny (Chantawannakul and Cutler 2008).
293 Furthermore, human-host viruses tend to share the same codon usages as proteins expressed in tissues
294 that the viruses infect (Miller, Hippen, Wright, et al. 2017). More specifically, the key determinant in
295 codon patterns within herpesviruses were the overall GC content, GC content at the 3rd codon position,
296 and gene length (Roychoudhury and Mukherjee 2010). In contrast, mutation played a larger role in Zika
297 viruses, with higher frequencies of A-ending codons (Cristina, et al. 2016). However, evidence of natural
298 selection in Zika viruses also suggest that they evolved host- and vector-specific codon usage patterns to
299 successfully replicate in various hosts and vectors (Butt, et al. 2016). In hepatitis C, preferred codon
300 usages did not always match the phylogenetic histories of the viruses as determined by sequence
301 similarity, indicating that codon usage might provide additional information not identified in common
302 phylogenomic approaches (Mortazavi, et al. 2016).

303

304 **4. Successful Implementations of Codon Usage Bias in Phylogenetics**

305 Beyond analyzing pathogenicity, phylogenetic inferences using codon usage bias from all domains of life
306 have successfully uncovered several interesting biological principles. One study found compositional
307 differences in codon usage between monocots (flowering plants whose seeds contain one embryonic
308 leaf) and dicots (flowering plants whose seeds contains two embryonic leaves), where monocots had

309 lower DNA background compositional bias, but higher codon usage bias than dicots (Camiolo, et al.
310 2015). Another technique used a distance-based clustering method of codon usage weighted by
311 nucleotide base bias per position (i.e., the frequency of a codon over the product of the frequency of the
312 nucleotide at the first, second, and third positions) to recover the phylogeny of closely related
313 *Ectocarpales* (brown algae) (Das, et al. 2005). The phylogenetic signal of codon usage was not limited to
314 nuclear DNA, and mitochondrial synonymous codon usage in plants was associated with intron number
315 that mirrored species evolution (Xu, et al. 2015).

316

317 Creative attempts at analyzing codon usage have also proven fruitful. A binary representation of codon
318 aversion (i.e., creating a character matrix based on codons which are not used in an ortholog)
319 successfully recover the phylogeny of various tetrapods, showing that complete codon aversion is also
320 conserved (Miller, Hippen, Belyeu, et al. 2017). That study also found that stop codon usage had the
321 highest phylogenetic signal (Miller, Hippen, Belyeu, et al. 2017), meaning a codon matrix of 64 x 64 (the
322 probability of all codons including the stop codons transitioning to all other codons) might be better
323 than the traditional 61 x 61 codon matrix in a likelihood framework. Codon aversion has also been used
324 in an alignment-free context by comparing sets of codon tuples found in a genome, where each tuple is
325 a list of codons not used in a gene (Miller, McKinnon, et al. 2019a). A similar technique used codon
326 pairing and codon pairs (i.e., the same codon being used within a ribosomal window) and was
327 phylogenetically informative in both alignment-free and parsimony frameworks (Miller, McKinnon, et al.
328 2019b).

329

330 Other studies map codon usage in a particular gene across a reference phylogeny. This technique can
331 produce meaningful representations of codon transitions across genes. Mapping the codon usage bias of
332 a gene tree to a species tree revealed purifying selection among the actin-depolymerizing factor/cofilin

333 (ADF/CFL) gene family (Roy-Zokan, et al. 2015). This technique also showed that codon usage is
334 significantly correlated with gene age within metazoan genomes (Prat, et al. 2009). Codon aversion in all
335 domains of life was also mapped to the Open Tree of Life (OTL) (Hinchliff, et al. 2015) and showed that
336 codon aversion follows established species relationships more closely than expected by random chance
337 (Miller, McKinnon, et al. 2019c).

338

339 **Concluding Remarks**

340 Codon usage bias continues to be widely studied in a phylogenetic construct. However, its application in
341 phylogenomics remains limited by its applicability in current phylogenomic techniques. While some
342 applications attempt to incorporate codon usage bias as a novel character state in phylogenetics or in a
343 maximum likelihood framework, many of the key attributes of codon bias remain unexplored. For
344 instance, although the ramp of slowly translated codons has been identified, it is unknown if the ramp
345 sequence is more or less phylogenetically conserved than the rest of the gene sequence.

346

347 In addition, although it is known that tRNA supply and demand is correlated to codon usage, a model
348 does not currently exist to assess tRNA supply and demand in a maximum likelihood framework. Future
349 codon analyses will necessitate more complete datasets with accurate tRNA expression values in
350 different tissues and species. A more robust dataset of tRNA expression values would also facilitate
351 codon model analyses. Furthermore, since codons are used to regulate gene translational efficiency,
352 codon models might require gene expression data in addition to the full (or reduced) codon matrix.

353

354 Codon usage bias is an exciting biological principle that has not been fully utilized in phylogenetic
355 systematics. Few likelihood methods use codon bias, and many aspects of the ramp sequence, co-tRNA
356 codon pairing, gene expression, and tRNA expression have yet to be explored. Although codon usage

357 bias has been shown to be phylogenetically conserved, many of the biological principles surrounding
358 codon usage bias have yet to be fully utilized in phylogenomics. We propose that more research into
359 codon usage bias and its phylogenetic conservation will be beneficial to future phylogenomic studies by
360 providing researchers with more robust phylogenetic trees.

361

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364

365 **Authors' Contributions**

366 JM and PR conceived the idea. JM led the writing of the manuscript. All authors contributed critically to
367 the drafts, edited the drafts, and gave final approval for publication.

368

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