

1 Article

2 Randomized controlled trial on effects of a brief 3 clinical-based intervention involving planning 4 strategy on self-care behaviors in periodontal patients 5 in dental practice

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22 **Abstract:** Background: Strengthening adherence to self-care behaviors in patients with periodontal
23 disease (PD) and reducing plaque index is crucial for improving PD treatment. We evaluated the
24 effectiveness of a theory of planned behavior (TPB)-based health education intervention involving
25 planning strategy on self-care behaviors in patients with PD. Methods: A randomized controlled
26 trial was conducted; 158 and 139 patients comprised the experimental group (EG) and control group
27 (CG), respectively. Both groups received a leaflet, and the EG also received a planning intervention,
28 which was a brief one-on-one counseling session with a planning sheet. Data were collected using
29 a self-administered questionnaire. Results: Between-group comparisons of TPB measures revealed
30 significant differences in all domains when controlling for baseline covariates. The EG exhibited
31 significantly higher levels of action and coping planning than the CG at 2-week follow-up (effect
32 size (ES) = 5.54 and 5.57, respectively) and 6-week follow-up (ES = 5.66 and 5.66, respectively).
33 Between-group differences in changes of brushing behaviors increased significantly. More frequent
34 use of dental floss was observed in the EG than in the CG at 2-week and 6-week follow-ups (24.7%
35 and 22.8%, respectively). Conclusions: The intervention involving planning strategy effectively
36 promoted adherence to self-care behaviors in patients with PD.

37 **Keywords:** Action Planning; Coping Planning; Health Education; Oral Care Behavior; Periodontal
38 Disease; Theory of Planned Behavior

39

40 1. Introduction

41 Periodontal disease (PD) is a common oral disease in adults. Severe chronic PD is observed in
42 11.2% of the world's population, and it is the main cause of tooth loss in adults aged >35 years[1].
43 Periodontal diseases are grouped into gingivitis and periodontitis, primarily caused by dental
44 plaque[2]. Plaque develops continuously on the tooth surface, and the bacteria in the plaque release
45 toxins that harm the gums and alveolar bones. Symptoms of PD include edema, redness, bad breath,

46 and tooth mobility. When PD is finally diagnosed, it is usually at an advanced stage in which the
47 periodontal tissue has been irreversibly damaged by long-term inflammation, and the teeth can no
48 longer be preserved [3]. In the United States, 46% (i.e., approximately 64.7 million) of adults aged >30
49 years have PD, and 8.9% of them have severe periodontitis; furthermore, as many as 70.1% of adults
50 aged >65 years have PD [4]. In Taiwan, according to a 2007–2008 report released by the Health
51 Promotion Administration of Taiwan, 99% of adults aged >18 years have some symptoms of PD and
52 54.2% have a periodontal pocket depth (PPD) of >3 mm. The tendency to develop PD has been
53 increasing among young people, and PD prevalence has been found to increase with age; thus, PD
54 prevalence is 22%, 53%, and 73% in the age groups 18–24, 35–44, and 65–74 years, respectively[5].

55 Systemic diseases closely related to PD include type 2 diabetes, thrombosis, arteriosclerosis,
56 bacterial endocarditis, aspiration pneumonia, cancer, arthritis, and osteoporosis [6,7]. Disease control
57 in patients with systemic diseases is more challenging when they also have PD, which adversely
58 affects quality of life. The American Academy of Periodontology states that long-term inflammation
59 of the gums is associated with systemic health and may lead to atherosclerosis, stroke, or myocardial
60 infarction [8]. A review suggested that patients with rheumatoid arthritis disease progression are
61 more likely to have severe periodontal problems than are other patients [9]. Nonsurgical periodontal
62 treatment is associated with a significant reduction in rheumatoid arthritis disease activity index [10].

63 PD is mainly caused by plaque accumulation, which occurs because of poor oral hygiene. Other
64 factors, such as hormonal changes, diabetes, malnutrition, smoking, and stress, may affect the
65 occurrence and progression of gingivitis and periodontitis [6]. A study reported that low levels of
66 plaque and dental calculus are associated with shallow PPD and a less periodontal attachment loss
67 [11]. Plaque control can be categorized into oral self-care, which is practiced daily at home, and
68 professional dental care (calculus scaling), which is performed in dental clinics. Thorough brushing,
69 flossing, and frequent dental visits are predictors of low plaque index and low severity of gingivitis
70 and calculus. Apart from brushing, regular cleaning of adjacent tooth surfaces (by flossing and using
71 an interdental brush) is related to reduction in the occurrence of plaque, calculus, and gingivitis[12].
72 Despite both the British (2007) and American Dental Associations (2005) recommend daily flossing,
73 flossing is infrequent. A Taiwanese study reported that although 60% of people know about the
74 importance of cleaning the interproximal surface, only 16% floss regularly [5]. The Health Promotion
75 Administration of Taiwan reported that 44.9% of patients with gingivitis can improve their
76 periodontal condition by adopting proper oral self-care and regular scaling; however, only 23.1% of
77 patients with gingivitis regularly visit their dentists for scaling, indicating a serious inadequacy in
78 oral self-care and regular professional care among Taiwanese people.

79 Psychosocial variables and healthy behavioral intentions can be used directly or indirectly to
80 predict changes in health behaviors. In 1967, Fishbein introduced Theory of Reasoned Action (TRA)
81 for predicting the intention to perform a behavior (INT) rather the behavior itself [13]. TRA measures
82 the attitude toward the behavior (ATT) and the subjective norm (SN). ATT depends on the expected
83 outcomes or attributes of behaviors (i.e. the behavior of belief), which leads to weighted evaluation
84 of outcomes. Similarly, SN is dependent on individuals' sense of whether significant references agree
85 or disagree with their actions (normative belief), leading to weighted evaluation of whether to comply
86 with the wishes of significant references (motivation to comply). TRA assumes that the behaviors of
87 individuals are under volitional control, but this assumption that most human behaviors are based
88 on volitional control cannot be verified. In 1985, Ajzen [14] proposed the theory of planned behavior
89 (TPB), which is an expansion of TRA that adds the concept of perceived behavioral control (PBC) to
90 describe the level of control of individuals while they are performing actions. In the TPB model, PBC
91 is decided by control beliefs, which are affected by whether an individual finds any factors that may
92 facilitate or hinder an action, leading to an individual's weighted perceived power, which defines
93 how much these factors facilitate or hinder outcomes. Consequently, people with strong control
94 beliefs of facilitating factors have higher PBC. Relevant studies have shown that the variables of the
95 TPB model, namely ATT, SN, and PBC, and oral health knowledge can explain 32.3% of the variance
96 in oral health behavior [15]. PBC is the strongest predictor of adjacent tooth surface cleaning behavior
97 in adults [16,17].

98 The application of planning is highly valuable in the process of changing health behaviors[17].
99 Many studies on behavioral changes have shown that people can successfully develop INT but
100 cannot perform the actual behavior or cannot continuously perform the behavior (intention–behavior
101 gaps)[18]. The application of planning can build a bridge between INT and healthy behaviors through
102 simple techniques. If the place and time of actions are planned, then people are more likely to adhere
103 to regular behaviors and facilitate the transformation of INT to actual behaviors. Planning allows the
104 participants to imagine a situation and link it to actual behavior. Thus, planning increases the
105 probability of performing behaviors and reduces the probability of forgetting to perform them.
106 Planning can be divided into two parts, namely the action plan and coping plan. A precise action
107 plan (intention of implementation), which details when, where, and how to act, is a simple technique
108 to facilitate intention. A coping plan is a psychological simulation of overcoming expected obstacles
109 in action. The action plan describes the time, place, and manner of behaviors for achieving the
110 objectives in the following week. The coping plan is the plan for determining how to overcome
111 obstacles for achieving the objectives. By simulating, in advance, a few scenarios of possible obstacles
112 and approaches to overcoming those obstacles during action, the continuous performance of
113 behavior is promoted. The action planning and coping planning (APCP) has been shown to be a
114 significant predictor of persistent flossing[16]. Few studies [16,19], focused only on undergraduate
115 students, evaluating the effect of planning interventions have been able to achieve long-term benefits
116 of oral self-care behaviors change. A Taiwanese study on medical students used a TPB model to
117 develop short-term oral health education courses with an APCP planning sheet intervention to
118 promote PD-preventive behaviors among college students [20]. The study, which had a quasi-
119 experimental design, selected 63 and 90 students who comprised the intervention and control groups,
120 respectively. The intervention group completed an “if-then” planning sheet, which included an
121 action plan (with plans for when, where, and how to floss) and a coping plan (with strategies for
122 overcoming obstacles in flossing behavior). Brief APCP planning intervention was found to
123 positively affect periodontal preventive behaviors among the college students. In addition, the
124 participants in the intervention group were persistently using dental floss daily at the 6-week follow-
125 up. The results showed that the planning sheet enhanced PBC and resulted in persistent daily
126 flossing.

127 A patient's non-compliance with oral self-care recommendations attenuates potential effects of
128 preventive dentistry, considering one of the most important factors affecting long-term periodontal
129 status[21]. Forming concrete if-then implementation intentions (if-then plans) has been successful to
130 facilitate behavior change and support adult patient self-management in other areas of preventive
131 medicine[18]. Using APCP intervention strategies, volitional control in patients with PD can be
132 enhanced, thus enabling them to follow advice regarding their oral care behaviors to achieve lifestyle
133 changes. In dental clinical practice, the enhancement of PD patients' compliance with proper oral care
134 behavior and reduction of their plaque index are both crucial for PD treatment. Therefore, in the
135 present study, we test the effectiveness of the TPB model and APCP strategy on oral self-care
136 behaviors in patients with PD in a dental clinic.

137 2. Materials and Methods

138 2.1. Design and Participants

139 The study participants were patients aged 20–45 years with PD at a dental clinic in Kaohsiung
140 City in Southern Taiwan. Patients who registered in Comprehensive Periodontal Treatment Project
141 (CPTP) over the past three months were recruited. The CPTP is supported by the Taiwan National
142 Health Insurance for fully supporting the additional 20% expense of treatment fees when patients
143 have moderate to severe periodontitis and require comprehensive treatment. According to an a priori
144 sample size estimation, 150 participants per group could provide 80% power (two-sided type 1 error
145 of 5%) for detecting a 0.5 effect size (ES). We recruited a total of 165 patients each in the experimental
146 group (EG) and control group (CG). In total, 158 (95.8%) and 139 (84.2%) participants in the EG and
147 CG, respectively, completed the study at all time points.

148 2.2. Instrument

149 A structured questionnaire was developed to collect baseline and follow-up data. All
150 instruments were adapted from those reported in the literature. The questionnaire comprised three
151 parts. The first part was related to demographic characteristics, including age, sex, education level,
152 marital status, and perceived oral health status. The second part obtained information regarding oral
153 self-care behaviors. The third part included components of TBP theory, action planning, and coping
154 planning. The components of TBP theory were adapted from those outlined in a study conducted by
155 Lee et al. (2019)[20]. Each measure was checked for scale reliability and internal consistency. An
156 expert panel reviewed the questionnaire to assess its content validity. To ensure adequate
157 comprehension of the scales, the questionnaire was pilot tested among 30 patients. The TPB variables
158 were measured using three scales, namely attitude toward oral hygiene behavior, SN, and PBC. Each
159 of the three scales was further divided into two dimensions, namely behavioral beliefs and
160 evaluation, normative beliefs and motivation to comply, and control beliefs and perceived power,
161 respectively. Furthermore, the action and coping planning variables were measured using planning
162 scales.

163 2.2.1. Oral self-care behaviors

164 Flossing (past behavior) at Time 1 was assessed using the question "Have you ever used floss in
165 the past?" At Time 2, flossing behavior was assessed using the question "How often did you floss
166 during the last 2 weeks?" The response to this item was coded as 0 (*never*) or 1 (*at least once daily*).
167 Frequency of brushing was assessed using the question "How often do you brush your teeth?" The
168 response to this item was coded as 1 (*once daily*), 2 (*twice daily*), or 3 (*three or more times daily*). Brushing
169 method was coded as 0 (*others*) or 1 (*modified Bass brushing technique*). Brushing duration was coded
170 as 0 (*3 minutes or less*) or 1 (*more than 3 minutes*). Toothbrush choice was coded as 0 (*non-ultracompact*
171 *head and hard bristles*) or 1 (*ultracompact head and soft bristles*). Toothbrush replacement time was coded
172 as 0 (*more than 3 months or when broken*) or 1 (*within 3 months*).

173 2.2.2. Attitude toward oral hygiene behaviors

174 To assess attitude toward oral hygiene behaviors, behavioral beliefs (Cronbach's $\alpha = 0.87$) were
175 measured using 9 items, including "I think that by brushing my teeth every day, I can prevent tooth
176 decay." Each item was rated on a 5-point Likert scale ranging from 1 (*completely disagree*) to 5
177 (*completely agree*). The possible score range was 9–45 points. The dimension of evaluation (Cronbach's
178 $\alpha = 0.86$) was measured using 10 items, including "Brushing my teeth every day to prevent decay is
179 important," and each item was rated on a 5-point Likert scale ranging from 1 (*unimportant*) to 5
180 (*important*). The possible score range was 10–50 points.

181 2.2.3. SN

182 To assess SN, normative beliefs (Cronbach's $\alpha = 0.88$) were measured using 15 items, including
183 "My family thinks I should use dental floss every day." Moreover, motivation to comply (Cronbach's
184 $\alpha = 0.88$) was measured using 15 items, including "I want to floss every day if my family thinks I
185 should." Responses to the normative beliefs and motivation to comply items were rated using 5-point
186 Likert scales ranging from 1 (*completely agree*) to 5 (*completely disagree*) and from 1 (*very likely*) to 5 (*very*
187 *unlikely*), respectively. The possible score range was 15–75 points for normative beliefs and
188 motivation to comply.

189 2.2.4. PBC

190 To assess PBC, control beliefs (Cronbach's $\alpha = 0.79$) were measured using 10 items, including
191 "The extent to which flossing habits were influenced by the provision of free floss." Each item was
192 rated on a 5-point Likert scale ranging from 1 (*very easy*) to 5 (*very difficult*). Cumulative scores were
193 summed; high scores reflected strong perception of the benefits of flossing and weak perception of
194 the barriers to flossing. The possible score range was 10–50 points. Perceived power (Cronbach's $\alpha =$

195 0.69) was measured using four items, including “Learning how to use floss is easy for me.” Items
196 concerning perceived power were evaluated using 5-point Likert scales ranging from 1 (*very unlikely*)
197 to 5 (*very likely*). Cumulative scores were summed, and high scores reflected strong perceived power
198 of flossing. The possible score range was 4–20 points.

199 2.2.5. Action and coping planning for interdental cleaning

200 The EG received an additional part of the questionnaire, which contained scales regarding
201 planning for flossing and measured action and coping planning variables from Times 1 to 3. The
202 APCP scales were adapted from those used in studies by Åstrøm (2008) and Pakpour et al. (2012)
203 [22,23] and revised according to the study by Lee et al. (2019) [20]. Five items were used to measure
204 action planning: the stem “I have made a detailed plan regarding...” was followed by (1) “...when to
205 floss my teeth,” (2) “...where to floss my teeth,” (3) “...how to floss my teeth,” (4) “...how often to
206 floss my teeth,” and (5) “...how much time to spend flossing.” Coping planning was measured using
207 eight items: the stem “I have made a detailed plan regarding...” was followed by (1) “...what to do
208 if something interferes with my plan,” (2) “...how to cope with possible setbacks,” (3) “...what to do
209 in difficult situations to act according to my intentions,” (4) “...which good opportunities for action
210 to take,” (5) “...what to do if I forget to floss,” (6) “...how to motivate myself if I do not wish to floss,”
211 (7) “...how to cope with bleeding gums,” and (8) “...how to cope with eventual pain.” The internal
212 consistency and reliability of the action and coping planning scales were 0.91 and 0.83, respectively.

213 2.3. Covariates

214 Age, sex, educational level, and perceived oral health of each participant were assessed at
215 baseline in this study.

216 2.4. Intervention

217 The clinical-based counseling intervention plan was conducted from January to June 2017. A
218 researcher approached and recruited patients in a dental clinic. The EG received brief clinical-based
219 one-on-one oral health counseling and a leaflet on oral self-care and floss use; the EG also completed
220 an if-then action planning form. By contrast, the CG received only a leaflet on floss use. The oral
221 health-related leaflet contained information on tooth and periodontal structures, descriptions of
222 caries and PD, and instructions for periodontal disease prevention. A one-time instruction session
223 consisting of a health education course was arranged for the entire EG. This 30-minute course was
224 delivered by a well-trained health educator in a room in the dental clinic.

225 The EG was required to complete an if-then planning form, which was divided into two sections.
226 In the first section, the participants were required to plan where, when, and how to use floss and
227 record their floss use at home. In the second part, the participants were required to formulate plans
228 to overcome the barriers they might encounter during the process. The entire if-then planning
229 process lasted 15 minutes.

230 The free floss boxes provided to the EG contained 5 m of floss. Five boxes were provided to each
231 participant (two at baseline and three boxes at 2 weeks after the intervention, respectively). All boxes
232 were encoded with participant identification numbers.

233 2.5. Data collection

234 Data were collected at three assessment time points, namely pretest (Time 1), 2-week follow-up
235 (Time 2), and 6-week follow-up (Time 3). At Time 1, the participants completed a self-administered
236 structured questionnaire comprising items concerning demographic information (such as age and
237 sex), TPB variables, and oral self-care behaviors. The EG was also required to complete the action and
238 coping planning scales. At Time 2 and Time 3, the participants completed an identical posttest
239 questionnaire.

240 2.6. Statistical analysis

241 Stata 13.1 (Stata Corp LP, College Station, TX, USA) was used for statistical analysis. Descriptive
242 statistics were calculated for each variable. The chi-square test was used to compare the
243 demographics of the EG and CG. A paired t test was used to compare mean within-group differences
244 in TPB measures and planning variables from the baseline to follow-ups. Linear regression models
245 with a generalized estimating equation were used to assess the adjusted effects of the intervention on
246 TPB measures and planning variables from the baseline to follow-ups. All intervention effects were
247 adjusted for age, sex, educational level, and perceived oral health. The ES (Cohen's *d*) of continuous
248 variables was calculated as the mean difference between the baseline and follow-up, and the mean
249 difference between the EG and CG baseline and follow-up measurements was divided by the
250 standard deviation of the sample. ESs of 0.20, 0.50, and 0.80 were considered small, moderate, and
251 large, respectively[24]. Fisher's exact test was used to determine the influence of the intervention on
252 stage changes in oral self-care behaviors between baseline and follow-ups in the two groups.
253 Significance was set at $p < 0.05$ for all statistical tests.

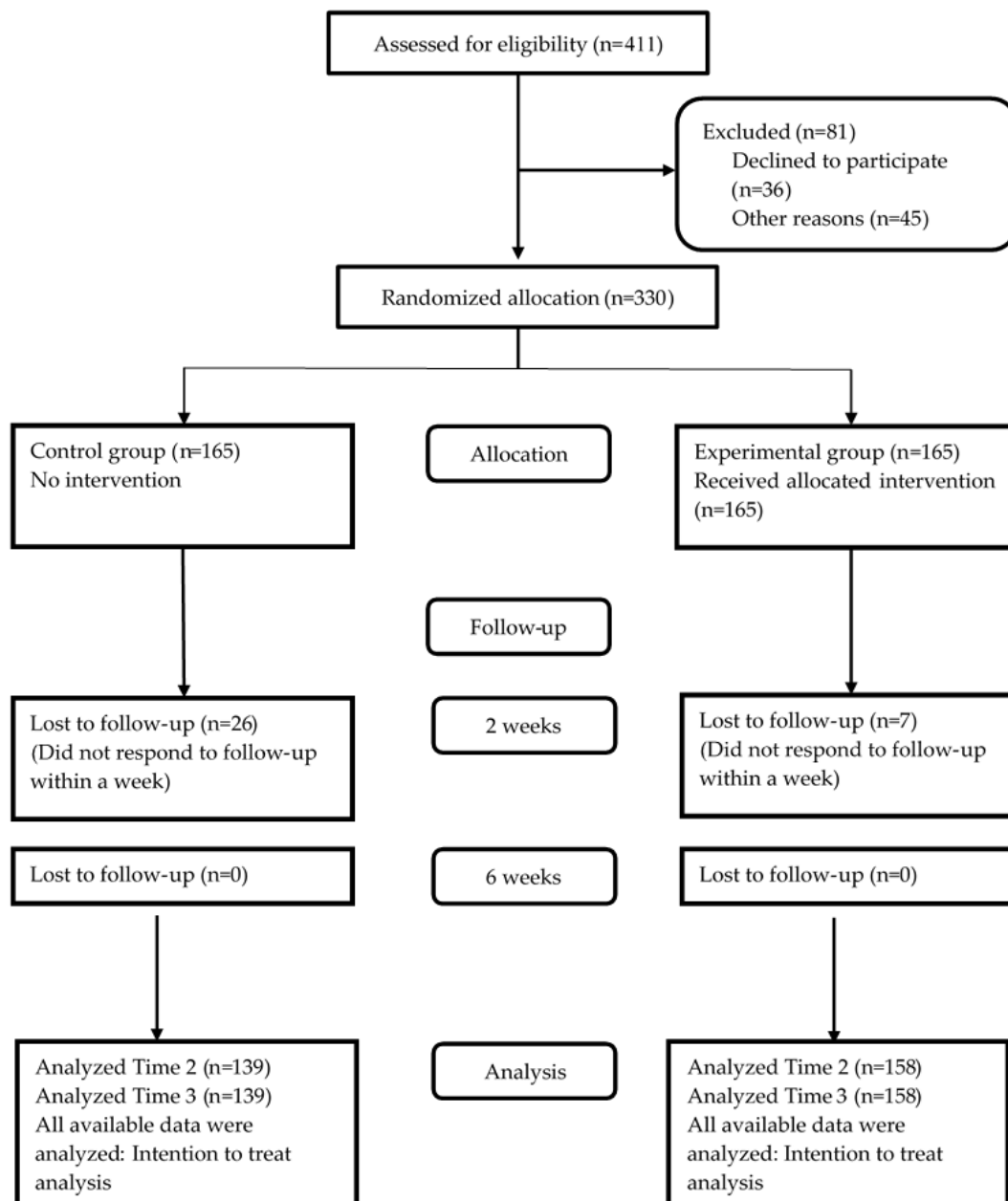
254 2.7. Human ethics

255 The Institutional Review Board of Kaohsiung Medical University Hospital reviewed and
256 approved our protocol (KMUHIRB-E(II)-20160166). All participants provided informed consent
257 before participation.

258 3. Results

259 3.1. Recruitment

260 Figure 1 presented CONSORT flow chart illustrating the recruitment of patients for the present
261 randomized controlled trial.



262
263 Fig. 1 CONSORT flow chart of participants recruitment

264 3.2. Drop-out analyses

265 Independent-sample tests indicated that participants who discontinued to Time 2 did not differ from
266 those who continued participation with regard to age ($t = -0.528$; $p = 0.60$), sex ($\chi^2 = 0.198$; $p = 0.66$),
267 educational level ($\chi^2 = 0.023$; $p = 0.88$), perceived oral health status ($\chi^2 = 1.526$; $p = 0.47$), and previous
268 preventive behaviors (all $p > 0.11$). However, differential loss to follow-up occurred regarding
269 perceived power ($t = -2.044$; $p = 0.04$), action planning ($t = -2.066$; $p = 0.04$), and coping planning ($t = -$
270 2.128 ; $p = 0.03$).

271 3.3. Baseline data

272 Table 1 shows baseline data of patients with PD in the EG and CG. Regarding sex distribution,
273 53.2% and 32.4% of the patients in the EG and CG were male patients ($p < 0.001$). The percentages of
274 patients with an education level of college and above were 88% and 79.1% in the EG and CG ($p =$
275 0.039), respectively.

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Table 1. Baseline data of patients with PD in the two groups.

Factor/category	EG (N=158)		CG (N=139)		χ^2	p
	N	(%)	N	(%)		
Sex					13.0	<0.001
Male	84	(53.2)	45	(32.4)		
Female	74	(46.8)	94	(67.6)		
Age(M \pm SD)	31.5	\pm 6.5	31.6	\pm 7.0		0.853
Educational level					4.26	0.039
Junior college and below	19	(12.0)	29	(20.9)		
College and above	139	(88.0)	110	(79.1)		
Marital status					0.35	0.552
Single	104	(65.8)	96	(69.1)		
Married	54	(34.2)	43	(30.9)		
Perceived oral health					0.47	0.793
Good	11	(67.0)	8	(5.8)		
Average	81	(51.3)	68	(48.9)		
Poor	66	(41.8)	63	(45.3)		

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EG: Experimental group. CG: Control group.

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3.4. Intervention effects on TPB variables, action planning, and coping planning between the EG and CG

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Table 2 shows the mean differences in TPB variables, action planning, and coping planning between the two groups. The levels of TPB variables, namely behavior belief (31.8 ± 3.2 vs. 43.1 ± 2.7), evaluation (33.8 ± 4.6 vs. 47.4 ± 3.0), normative belief (46.9 ± 9.8 vs. 72.6 ± 3.8), motivation to comply (50.6 ± 8.1 vs. 73.8 ± 3.7), control belief (29.6 ± 2.7 vs. 47.1 ± 3.0), and perceived power (9.0 ± 3.3 vs. 18.7 ± 2.1), were significantly higher after the intervention than before the intervention in the EG. The levels of action planning in the EG were significantly higher at the 2-week (23.0 ± 2.4) and 6-week (23.2 ± 2.4) follow-ups than at baseline (10.2 ± 3.9). The levels of coping planning in the EG were significantly higher at the 2-week (36.6 ± 3.9) and 6-week (37.1 ± 3.9) follow-ups than before the intervention (14.9 ± 6.4).

Compared with those in the CG, as shown in Table 2, behavior belief, evaluation, normative belief, motivation to comply, control belief, and perceived power among TPB variables were significantly higher in the EG (all $p < 0.001$). The ESs of all variables in the EG were larger than those in the CG. The mean differences estimated in behavior belief and evaluation were significantly greater in the EG than in the CG (mean difference of 13.4 and 9.1, 95% confidence intervals (CIs): 12.72–14.03 and 8.55–9.64; ES: 4.66 and 3.80, respectively). The mean difference estimated for normative belief and motivation to comply were 27.1 and 27.9, respectively, which were significantly different between the EG and CG (95% CIs: 25.33–28.83 and 25.92–29.85; ES: 3.54 and 3.24, respectively). The mean differences estimated in control belief and perceived power were also significantly different between the two groups (mean difference of 20.7 and 11.7, 95% CIs: 19.94 – 21.51 and 11.00–12.45; ES: 6.04 and 3.72, respectively) (Table 2).

Among the planning variables listed in Table 2, the mean differences estimated for action planning were 15.27 and 17.32 at the 2-week and 6-week follow-ups, respectively, which were significantly different between the EG and CG (95% CIs: 14.70–15.83 and 16.58–18.05; ES: 5.54 and 5.66, respectively). The mean differences estimated for coping planning were 24.65 and 27.91, which were also significantly different between the two groups at the 2-week and 6-week follow-ups (95% CIs: 23.73–25.56 and 26.72–29.01; ES: 5.57 and 5.66, respectively).

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Table 2. Regression-estimated mean differences of TPB measures and planning variables among PD patients between the groups.

EG	CG	Regression	p-value	Effect size ^b
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	mean(SD)	Effect size ^a	mean(SD)	Effect size ^a	coefficient [†] (95% CI)	(95% CI)
TPB variables						
Attitude toward the behavior						
Behavior belief (9-45)						
Baseline	31.8(3.2)		31.9(3.0)			
Two-week	43.1(2.7)‡	4.15	31.3(3.3)‡	-0.37	11.82 (11.32,12.32)	<0.001 5.36(4.86,5.85)
Evaluation (10-50)						
Baseline	33.8(4.6)		34.1(4.6)			
Two-week	47.4(3.0)‡	3.56	33.8(4.4)	-0.12	13.89 (13.19,14.61)	<0.001 4.45(4.03,4.88)
Subjective norm						
Normative belief (15-75)						
Baseline	46.9(9.8)		46.6(9.4)			
Two-week	72.6(3.8)‡	2.99	46.6(9.2)	-0.01	25.75 (24.27,27.23)	<0.001 3.96(3.56,4.35)
Motivation to comply (15-75)						
Baseline	50.6(8.1)		51.1(7.9)			
Two-week	73.8(3.7)‡	2.61	46.4(9.6) ‡	-0.57	27.86 (25.91,29.80)	<0.001 3.26(2.91,3.61)
Perceived behavioral control						
Control belief (10-50)						
Baseline	29.6(2.7)		29.4(3.0)			
Two-week	47.1(3.0)‡	6.15	28.1(3.3) ‡	-0.48	18.80 (18.17,19.43)	<0.001 6.75(6.16,7.34)
Perceived power (4-20)						
Baseline	9.0 (3.3)		9.3(3.4)			
Two-week	18.7 (2.1)‡	3.86	8.2(3.4) ‡	-0.41	10.74 (10.16,11.33)	<0.001 4.19(3.78,4.59)
Action planning (5-25)						
Baseline	10.2(3.9)		10.6(3.9)			
Two-week	23.0(2.4)‡	4.58	8.1(3.5) ‡	-0.92	15.27 (14.70,15.83)	<0.001 5.54(5.04,6.04)
Six-week	23.2(2.4)‡	5.43	6.3(2.8) ‡	-1.17	17.32 (16.58,18.05)	<0.001 5.66(5.15,6.17)
Coping planning (8-40)						
Baseline	14.9(6.4)		15.6(6.4)			
Two-week	36.6(3.9)‡	4.62	12.7(5.6) ‡	-0.71	24.65 (23.73,25.56)	<0.001 5.57(5.06,6.07)
Six-week	37.1(3.9)‡	5.41	9.9(4.5) ‡	-0.99	27.91 (26.72,29.01)	<0.001 5.66(5.15,6.17)

‡Paired t test, $p < 0.01$ for the comparison of the baseline with 2-week and 6-week follow-ups in the same group.
†Regression coefficient is the mean difference between the EG and CG patients after adjusting for age, sex, educational level, and perceived oral health status. ^aEffect size (ES) was calculated as the mean difference between baseline and follow-up measurements. ^bES was calculated as the mean difference of change between baseline and follow-up measurements between the EG and CG. ES is Cohen's d ; ESs of 0.20, 0.50, and 0.80 were considered small, moderate, and large, respectively.

3.4. Comparison of changes in oral self-care behaviors between the EG and CG

Table 3 shows a comparison of the changes in oral self-care behaviors at each stage, from the baseline to 2-week and 6-week follow-ups, according to group. The percentage of participants who changed to brushing at least twice per day (36.7% vs. 0.7%), brushing for 3+ minutes (84.8% vs. 0.0%), using the modified Bass method (99.4% vs. 0.0%), increasing the frequency of toothbrush replacement (75.3% vs. 0.0%), and ultracompact head and soft bristles toothbrush (34.7% vs. 0.0%) after the intervention was higher in the EG than in the CG. The percentages of participants who changed to interdental cleaning at 2-week (24.7% vs. 0.7%) and 6-week (22.8% vs. 0.0%) follow-ups after the intervention were higher in the EG than in the CG. The difference in oral self-care behaviors between the two groups was significant (all $p < 0.001$).

Table 3. Comparison of changes in oral self-care behaviors at different stages (baseline, 2-week follow-up, and 6-week follow-up) by group.

Variables	EG	CG	P*
	(N=158)	(N=139)	
	N(%)	N(%)	
Stage changes for 2+ times of brushing (per day)			<0.001
-1 (went back 1 stage)	1(0.6)	1(0.7)	
0 (stayed the same)	99(62.7)	137(98.6)	
1 (moved forward 1 stage)	58(36.7)	1(0.7)	
Stage changes for brushing teeth 3+ min			<0.001
-1 (went back 1 stage)	0(0.0)	6(4.3)	
0 (stayed the same)	24(15.2)	133(95.7)	
1 (moved forward 1 stage)	134(84.8)	0(0.0)	
Stage changes for modified bass method use			<0.001
-1 (went back 1 stage)	0(0.0)	0(0.0)	
0 (stayed the same)	1(0.6)	139(100.0)	
1 (moved forward 1 stage)	157(99.4)	0(0.0)	
Stage changes for toothbrush replacement			<0.001
-1 (went back 1 stage)	0(0.0)	0(0.0)	
0 (stayed the same)	39(24.7)	139(100.0)	
1 (moved forward 1 stage)	119(75.3)	0(0.0)	
Stage changes for ultra-compact head and soft bristles toothbrush			<0.001
-1 (went back 1 stage)	7(4.4)	0(0.0)	
0 (stayed the same)	101(63.9)	139(100.0)	
1 (moved forward 1 stage)	50(34.7)	0(0.0)	
Stage changes for interdental cleaning at 2-week's follow-up			<0.001
-1 (went back 1 stage)	1(0.6)	0(0.0)	
0 (stayed the same)	118(74.7)	138(99.3)	
1 (moved forward 1 stage)	39(24.7)	1(0.7)	
Stage changes for interdental cleaning at 6-week's follow-up			<0.001
-1 (went back 1 stage)	1(0.6)	0(0.0)	
0 (stayed the same)	121(76.6)	139(100.0)	
1 (moved forward 1 stage)	36(22.8)	0(0.0)	

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*Fisher's exact test.

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4. Discussion

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Our study demonstrated that the TPB-based one-on-one counseling approach that incorporated a planning intervention effectively enhanced preventive self-care behaviors, including brushing time, brushing technique, brush replacement frequency, and floss use, in patients with PD. Our findings indicated an increase in floss use over 6 weeks when PD patients planned when, where, and how to floss. Health education activities implemented in the intervention contributed by teaching patients correct periodontal preventive concepts and skills. These results revealed that teaching appropriate brushing and flossing techniques can increase patients' self-efficacy for floss use and ensure their use of appropriate brushing techniques; consequently, a reduction in plaque formation and improvement in outcomes of periodontal treatment are expected. In agreement with a clinical-based and TPB-based intervention study [25], brief counseling using the educational booklet resulted in a significantly higher proportion of participants adopting preventive behavior than reading a booklet only.

Planning was found to be the significant predictor of adherence to flossing recommendations, especially in younger participants [16]. Our participants were young adults (mean age, 31 [range, 20–40] years), who are a focal group for interventions because it is the behaviors adopted at this stage of life that determine the risk of developing PD in middle age. Consistent with another study [20] that involved an oral health education lecture with a brief APCP intervention for young adults, this simple and brief planning form of intervention affected the flossing behavior of young adults over 6 weeks.

344 In this study, more frequent dental floss use was found in the EG at 2-week and 6-week follow-ups
345 (24.7% and 22.8%, respectively) after intervention. Mental representations formed during planning
346 are easily accessible; thus, participants who had formed an active image, such as an image of
347 themselves flossing in the bathroom before going to bed, could remember this image more easily
348 when entering the target situation and thus remembered to floss. Planning might have also ensured
349 that flossing took priority over competing goals, both in terms of beginning to floss and maintaining
350 flossing behavior over time.

351 In our study, the highest ES was observed in control beliefs, followed by APCP. Action and
352 coping planning can prompt oral hygiene behaviors when people have high conscious control over
353 their behavior[22,26]. One study [20] determined the effects of action and coping planning with
354 perceived power of PBC for predicting long-term floss use. The findings indicated that coping or
355 action planning alone cannot affect flossing behavior over 6 weeks; rather, long-term behavioral
356 change requires an intervention based on action or coping planning with high perceived power.

357 All ESs between baseline and follow-ups were higher in the EG than in the CG. Large differences
358 in ESs for the TPB measures were observed in the present study between the EG and CG group. Large
359 ESs were also observed in all TPB variables after intervention in the EG, whereas the ESs of the TPB
360 variables were small in the CG. In our results, the EG had significantly higher values for the effects
361 of the TPB variables (i.e., behavioral beliefs, evaluation, normative beliefs, motivation to comply,
362 control beliefs, and perceived power) at the 2-week follow-up than the CG. Thus, the health
363 educational intervention enhanced the effects of these TPB variables. In agreement with some TPB-
364 based intervention studies[20,27], combining teaching and a leaflet resulted in significantly higher
365 TPB measurement scores than only providing a leaflet. Regarding belief-based measures, the most
366 significant mean difference between the EG and CG and the largest ES were obtained for control
367 beliefs, followed by behavioral beliefs and normative beliefs. Our intervention aimed to build self-
368 confidence in participants by increasing their perceived power to overcome obstacles in performing
369 oral self-care behaviors. One study reported PBC as the most critical factor predicting oral hygiene
370 behavior; simultaneous control over barriers to performing target behavior markedly affected
371 decisions regarding behavior execution[15].

372 The patients with PD in the CG in this study received only an educational leaflet, and the results
373 showed that the preventive self-care behaviors (i.e., brushing and flossing) did not change among the
374 patients in the CG who did not receive oral health counseling intervention. Dental professionals
375 played a role in promoting patients' self-confidence in practicing preventive behaviors at
376 recommended levels and discussing strategies for overcoming barriers to successful performance.
377 Because Taiwan has not passed the Dental Hygienist Act, most clinics employ dental assistants who
378 do not have professional training in oral health to assist with clinical dental care. The majority of
379 patients do not receive appropriate oral hygiene education after receiving periodontal treatment in
380 dental clinics, which increases patient risk of poor treatment outcomes.

381 This study had some limitations. First, the differential loss to follow-up occurred regarding
382 perceived power and APCP at baseline. The drop-outs might systematically bias the longitudinal
383 dataset. Moreover, the difference in sex distribution at baseline between the two groups may have
384 adversely affected the internal validity of the findings. However, the variable of sex was accounted
385 for in our multiple regression models. Second, because of social desirability concerns, the EG might
386 have provided answers perceived to be preferable rather than those reflecting their actual conditions.
387 Third, the current recommendations for periodontal health maintenance emphasize teeth brushing,
388 daily flossing, and periodic dental check-ups. However, in the present study, which had a short-term
389 follow-up period, we could not monitor the regularity of the participants' dental visits; this variable
390 must be addressed in subsequent studies. Moreover, clinical data on the severity of periodontal
391 disease, dental history and pattern of attendance for dental care were not collected, which might
392 potentially influence the outcome of health education intervention in the present study. Fourth,
393 maturation bias may have occurred as the health educator's teaching skills improved; the participants
394 who received lessons later may have received better teaching. Finally, the participants were patients
395 with PD at a dental clinic; thus, the findings cannot be generalized to other settings and populations.

396 Future studies can target at multiple location clinics, and that studies should be evaluated on their
397 long-term effects.

398 5. Conclusions

399 Our findings revealed that a brief clinical-based counseling and ACP strategy intervention
400 significantly improved the periodontal self-care behaviors of patients with PD. The results suggest
401 that the simple and economic intervention of the ACP program can be used to improve the
402 adherence and persistence of dental abutment cleansing in clinical dentistry. Furthermore, our study
403 suggested that interventions to promote planning should be provided in a face-to-face-setting, such
404 as in a dental clinic, or in written form. Patients should specify when, where, and how they plan to
405 use dental floss. Additionally, they should plan behavioral alternatives for personal risk situations
406 that may prevent them from flossing.

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