

1 *Review*

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3 **Redox regulation and oxidative stress: the particular case of** 4 **the stallion spermatozoa**

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6 ¹Fernando Juan Peña Vega, ²Cristian O'Flaherty, ¹ José M Ortiz Rodríguez, ¹
7 Francisco E. Martín Cano, ¹Gemma L. Gaitskell Phillips, ¹ María C. Gil, ¹Cristina
8 Ortega Ferrusola.

9

10 ¹Laboratory of Equine Reproduction and Equine Spermatology, Veterinary Teaching
11 Hospital, University of Extremadura, Cáceres, Spain. Departments of Surgery (Urology
12 Division) and Pharmacology and Therapeutics. ²Faculty of Medicine Mc Gill University
13 Montreal Canada.

14

15 *Correspondence to Dr. FJ Peña, Veterinary Teaching Hospital, Laboratory of
16 Equine Spermatology and Reproduction, Faculty of Veterinary Medicine University
17 of Extremadura Adv. de la Universidad s/n 10003 Cáceres, Spain.

18 E-mail fjuanpvega@unex.es

19 Phone + 34 927-257167

20

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30

31 **Abstract**

32

33 Redox regulation and oxidative stress have become areas of major interest in
34 spermatology. Alteration of redox homeostasis is recognized as a significant cause
35 of male factor infertility and is behind the damage that spermatozoa experience after
36 freezing and thawing or conservation in a liquid state. While for a long time,
37 oxidative stress was just considered an overproduction of ROS, nowadays it is
38 considered as a consequence of redox deregulation. Many essential aspects of
39 spermatozoa functionality are redox regulated, with reversible oxidation of thiols in
40 cysteine residues of key proteins acting as an “on-off” switch controlling spermatic
41 function. However, if deregulation occurs, these residues may experience
42 irreversible oxidation and oxidative stress leading to spermatic malfunction and
43 ultimately death. Stallion spermatozoa are “professional producers” of ROS due to
44 their intense mitochondrial activity, and thus sophisticated systems to control redox
45 homeostasis are also characteristic of this species. As a result, combined with the fact
46 that embryos can easily be collected in this species, horses are a good model for the
47 study of redox biology in the spermatozoa and its impact on the embryo.

48

49 **Key words:** horses, spermatozoa, ROS, oxidative stress, redox regulation, equine

50

51

52 **Introduction**

53

54 The male gamete, the spermatozoon, is generated in the germinal epithelium of the
55 testes in a process called spermatogenesis. This epithelium consists of germ cells in
56 different stages of development, intermingled with Sertoli cells that provide

57 structural support, nursing, and protecting the germ cells. Spermatogenesis is
58 initiated by the differentiation of spermatogonia from a stem cell pool. These cells
59 initiate a proliferative phase entering a continuous process of mitotic division,
60 dramatically increasing spermatogonial numbers. This process is usually termed
61 spermatocytogenesis. In the next step, cells enter a meiotic phase that includes
62 duplication and exchange of genetic information and two meiotic divisions which
63 reduce the chromosome complement to form round haploid spermatids. During the
64 spermiogenesis phase, round spermatids experience a dramatic transformation that
65 includes compaction and silencing of DNA and elongation of the nucleus,
66 development of specific structures such as the sperm tail and acrosome, relocation
67 of the mitochondria in the midpiece in addition to the loss of other organelles and
68 most of the cytoplasm. Fully developed spermatozoa are released in the lumen of
69 the seminiferous tubules in a process termed spermiation. Recent reviews on this
70 topic can be found elsewhere [1-4]. Chemically, oxidation is the loss of an electron,
71 while reduction is the gain of an electron. This nomenclature reflects the tendency
72 of oxygen, a highly electronegative atom, to partially or fully steal an electron from
73 other molecules. Reactive oxygen species (ROS) [5,6] are atoms or molecules with a
74 single unpaired electron, including, among others, superoxide ($O_2^{\bullet-}$), the hydroxyl
75 radical (HO^{\bullet}) and the lipid peroxide radical (LOO^{\bullet}). Although hydrogen peroxide
76 (H_2O_2) is not a free radical, it is a precursor of HO^{\bullet} . UV radiation and the presence
77 of metal ions (Fe^{2+} , Fe^{3+} or Cu^+) generate HO^{\bullet} . All aerobic organisms depend on the
78 generation of ATP from electrochemical energy generated in the four electron
79 reduction of molecular oxygen into water. During this process the mitochondrial
80 transport chain may lose electrons, leading to the formation of reactive oxygen
81 species.

82 Moreover mitochondrial dysfunction may exacerbate the loss of electrons and thus
83 increase the production of reactive oxygen species to toxic levels disrupting redox
84 homeostasis [6]. This particular effect is especially critical in horses. The stallion

85 spermatozoon is characterized by an unusually intense mitochondrial activity in
86 comparison with other mammals [7-11].

87

88 Spermatozoa were the first cells known to be capable of generating reactive oxygen
89 species (ROS) [12]. This early report demonstrated that bovine spermatozoa produce
90 H_2O_2 as a consequence of cellular respiration. It also showed that the production of
91 H_2O_2 inhibits respiration and concluded that bovine spermatozoa must be equipped
92 with a mechanism for the elimination of H_2O_2 at a low rate, to keep it at physiological
93 levels. For a long time the production of ROS was considered solely as a toxic
94 byproduct of sperm metabolism; however nowadays extensive evidence indicates
95 that crucial functions of the spermatozoa are redox regulated, and redox regulation
96 has become a major area of research in sperm biology [13-20]. Since the discovery of
97 ROS production by the spermatozoa, the concept of oxidative stress has evolved,
98 and enormous research interest in this topic has developed in the last decade. As an
99 example, a recent search in PubMed retrieved 215842 entries using the term
100 oxidative stress, when this term was combined with spermatozoa 2777 entries were
101 obtained (<https://www.ncbi.nlm.nih.gov/pubmed/>, accessed September, 1 2019).
102 Under aerobic conditions, production of ROS is unavoidable. However organisms
103 have evolved to develop complex mechanisms to maintain the production of ROS at
104 physiological levels (oxidative eustress) and the redox signaling dependent on ROS
105 regulated [21-23]. Interestingly the ability to respond to ROS appeared very early in
106 the course of evolution, well before the increase of atmospheric oxygen, probably in
107 response to low ozone levels, since U.V. radiation splits water into ROS [24].

108

109 **Sources of ROS in the spermatozoa**

110

111 In general terms, several pathways lead to the generation of ROS, including the
112 production of $O_2^{\bullet-}$, H_2O_2 , reactive nitrogen species (RNS), and OH^{\bullet} [25]. The
113 superoxide anion is generated from the coupling of O_2 with an electron (e^-). The

114 electron donor is usually NADH or NADPH, and the reaction is catalyzed by
115 various oxidases; NADPH oxidases, xanthine oxidase and complex I/II/III/IV from
116 the mitochondria [25]. The generation of H_2O_2 occurs after the dismutation of O_2^\bullet ,
117 mostly catalyzed by superoxide dismutases (SODs) although a small percentage
118 occurs spontaneously, some oxidases also have dismutase activity, and may
119 contribute to direct production of peroxide from superoxide. The reaction of O_2^\bullet
120 with reduced transition metals may lead to formation of H_2O_2 [25]. Most of the OH^\bullet
121 is generated from H_2O_2 and O_2^\bullet in a reaction catalyzed by a metal ion (iron or
122 copper). This is known as the Habor-Weiss reaction. This reaction occurs in two
123 steps, in the first step, O_2^\bullet reduces Fe^{3+} to Fe^{2+} ($\text{Fe}^{3+} + \text{O}_2^\bullet \rightarrow \text{Fe}^{2+} + \text{O}_2$), and the second
124 step is the Fenton reaction where Fe^{2+} reacts with H_2O_2 to generate OH^\bullet and OH^-
125 ($\text{Fe}^{2+} + \text{H}_2\text{O}_2 \rightarrow \text{Fe}^{3+} + \text{OH}^\bullet + \text{OH}^-$) [25]. Nitric oxide and ONOO^- (form by the
126 combination of NO and O_2^\bullet) are the most important reactive nitrogen species in
127 spermatozoa [25].

128 Several potential sources can be responsible for ROS production in the
129 spermatozoa, including the spermatozoa itself and contaminating cells in the
130 ejaculate. Dead spermatozoa are a major source of ROS, frequently overlooked in
131 reproductive technologies[26]. L-amino oxidase (LAAO) is present in stallion
132 spermatozoa, being able to generate significant amounts of reactive oxygen species;
133 aromatic amino acids are substrates for this enzyme, producing substantial amounts
134 of ROS, especially in the presence of dead spermatozoa [26]. Interestingly
135 cryopreservation media contain sufficient amounts of aromatic amino acids to
136 activate this enzyme. Ongoing proteomic studies in our laboratory have also
137 confirmed the presence of this enzyme in stallion spermatozoa. A NADP
138 oxidoreductase system has been detected in the membrane [27], however nowadays
139 it is considered that the main source of reactive oxygen species are electron leakage
140 in the mitochondrial electron transport chain (ETC) [7,8,10,28-31]. In particular,
141 defective mitochondria may represent a hallmark of male infertility. Evidences of
142 mitophagy in human sperm were described in our laboratory, suggesting that

143 activation of mitophagy is a mechanism that maintains proper sperm function [32].
144 The sources of reactive oxygen species in the electron transport chain of the stallion
145 spermatozoa have also recently been investigated in our laboratory [9,10], confirming
146 the role of the ETC as a main source of ROS in stallion spermatozoa.

147

148 **Redox regulation and signaling**

149

150 Although initially oxidative stress was defined as a disturbance in the pro-oxidant-
151 antioxidant balance in favor of the former, current knowledge has evolved and
152 oxidative stress is better defined in terms of regulation of redox signaling.
153 Numerous processes are redox regulated in biological systems. Redox regulation is
154 similar to pH regulation, the pH varies in different cellular compartments, also the
155 redox state is not an overall redox state and vary in different compartments of the
156 spermatozoa[33]. Redox reactions consist of the transfer of electrons (e^-) from one
157 molecule (oxidation) to another molecule (reduction). Thus, reduction implies a
158 decrease in overall charge (more e^-) of the molecule, while oxidation implies an
159 increase in overall charge (fewer e^-). Reactive oxygen species, such as the
160 superoxide anion $O_2^{\bullet-}$, are low molecular weight compounds that are chemically
161 unstable, particularly in biological systems [21]. The hydroxyl radical is the most
162 reactive and oxidizes virtually any closer molecule. The reactivity of HO^{\bullet} is $7 \times 10^9 \text{ L}$
163 $\text{mol}^{-1} \text{ s}^{-1}$, while the rate constant for $O_2^{\bullet-}$ is <0.3 and is $2 \times 10^{-2} \text{ L mol}^{-1} \text{ s}^{-1}$ for H_2O_2 [33].
164 Another electronically excited state of interest in spermatology is singlet molecular
165 oxygen, generated by photoexcitation mainly by ultraviolet A and B light rays, but
166 even infrared and visible light may also generate photobiological responses. This is
167 the rationale of the customary procedure of avoiding light exposure during semen
168 processing [33]. Other species include alkoxy and peroxy radicals, non-radical
169 species such as hypochlorite, peroxyxynitrite, singlet oxygen and lipid peroxydes,
170 among others [34]. To understand the basis of redox signaling it is important to bear
171 in mind the characteristics of different ROS. As previously mentioned the HO^{\bullet} is the

172 most reactive, and has the shortest half life (10^{-15} s.)[24]. The HO^\bullet , is considered to be
173 the most harmful oxidant, with no signaling functions. Although O_2^\bullet may have
174 difficulty diffusing through membranes due to its anionic charge, it may use specific
175 channels in some tissues [35-37]. Hydrogen peroxide is a stable compound and in
176 addition is a nonpolar molecule that can easily diffuse through membranes, and is
177 also transported through aquaporin channels [24,38-40]; all of which make H_2O_2 a
178 suitable molecule for redox signaling. *The primary target of hydrogen peroxide is*
179 *the thiol group of the amino acid cysteine, which is oxidized in a reversible fashion.*
180 The presence of glutathione and other thiols in spermatozoa is well known [41,42],
181 also the role of oxidative regulation in significant biological processes occurs in very
182 early stages of development. For example, studies in sea urchin, show an oxidative
183 burst that occurs at the time of fertilization preventing polyspermy through the
184 activation of a dual oxidase (Udx1), that induces cross linking of surface proteins on
185 the egg surface [43,44]. Also oxidation reduction processes of sulfhydryl groups of
186 protamines are critical for chromatin condensation during spermatogenesis [45].

187

188 Many cellular processes are redox regulated. In spermatozoa redox regulation has
189 been extensively studied in relation to capacitation [13,15,46-51]. Capacitation is the
190 maturational process that sensitizes spermatozoa to recognize and fertilize the
191 oocyte. Capacitation involves, removal of cholesterol from the plasma membrane,
192 removal of coating materials from the membrane, a rise in intracellular Ca^{2+} , an
193 increase in intracellular cAMP, and a dramatic increase in tyrosine phosphorylation.
194 Also during capacitation the sperm plasma membrane potential ($E(m)$)
195 hyperpolarizes [50,52,53], and spermatozoa experience alkalinization. Interestingly
196 only a subpopulation of spermatozoa is able to experience capacitation. Tyrosine
197 phosphorylation is a redox regulated process [17,20,48,54-59]. Other functions of the
198 spermatozoa, such as activated motility may also be redox regulated [17,60], in
199 relation to tyrosine phosphatases (PTPs), which are intracellular targets for ROS [61].
200 The activity of PTPs depends on a conserved Cys residue, where oxidation results

201 in the inactivation of the enzyme [22,62]. On the other hand, ROS can also activate
202 kinases. In addition to hydrogen peroxide, other species such as GSSG, hydrogen
203 sulphide and lipid peroxides can inactivate PTPs [63]. Reversible oxidation of target
204 cysteine residues in specific proteins modulates its activity [22]. In order to function
205 in a reversible manner oxidized cysteine (Cys) residues need to be reduced. This
206 reversibility depends on adequate availability of reducing molecules including the
207 peroxiredoxin (PRDX) family of antioxidant enzymes [22]. Peroxiredoxins have
208 been described in spermatozoa [13-15,64] and play a major role in sperm function,
209 stressing the importance of redox signaling in these highly specialized cells.
210 Reversing the oxidized Cys residue in this family of pathways involves thioredoxin
211 or GSH. Reduction of the higher oxidation state (sulphinic acid SO₂H) may require
212 sulfiredoxin or sestrins [22,65]. This reversible sequential oxidation of PRDXs allows
213 a tight regulation of the function of these proteins in a regulation described as a
214 “floodgate” model [66,67]. Spermatozoa are rich in thiols [41], with the majority of
215 thiol groups associated with proteins, which may suggest that redox regulation is
216 an important regulatory mechanism in these cells. Spermatozoa are transcriptionally
217 silent cells whose regulation depends on post transcriptional modification of
218 proteins. One interesting example, since mitophagy has been recently described in
219 spermatozoa [32], of proteins regulated by reversible oxidation of Cys residues, is the
220 large family of Cys-dependent proteases [22]. In particular the cysteine protease
221 HsAtg4 is a direct target for oxidation by H₂O₂, specifically a residue located near the
222 protein’s catalytic site [68]. The presence of a similar mechanism in spermatozoa is
223 an intriguing possibility and deserves further research [32]. Other functions in the
224 spermatozoa that are redox regulated, include control of motility [60], and binding
225 to the oviductal epithelium to form the sperm reservoir [69-71].

226

227 **Modern concept of oxidative stress applied to spermatozoa**

228

229 Since redox regulation is being unveiled as a major mechanism regulating sperm
230 function, probably at the same level as tyrosine phosphorylation and other post
231 translational modifications of sperm proteins, sophisticated mechanisms must be
232 present to maintain redox status under physiological control. Both seminal plasma
233 and the spermatozoa itself contain enzymatic and non-enzymatic systems that
234 contribute to maintenance of oxidative eustress. Recent research from our laboratory
235 shows that in stallion spermatozoa seminal plasma plays a major role in regulating
236 redox status. The steady state redox potential (E_h) can be estimated using the Nerst
237 Equation: $E_h = E_o + \frac{RT}{Ln} [\text{oxidized molecule}/\text{reduced molecule}]$, where E_o is the
238 standard reduction potential, R =gas constant, T is the absolute temperature, n =
239 number of electrons transferred and F is the Faraday constant [23]. Recently, a system
240 to easily measure the steady state in semen has become available and is being
241 introduced into reproductive medicine and clinics. Using this system E_h is provided
242 as the static oxidation reduction potential (sORP) and is expressed as millivolts per
243 million spermatozoa. E_h in raw semen (seminal plasma present) was measured and
244 was found to be 1.62 ± 0.06 mV/ 10^6 spermatozoa, when seminal plasma was
245 removed, it was 7.9 ± 0.79 mV/ 10^6 spermatozoa, thus showing a much higher overall
246 oxidation status [72]. This finding suggests that regulation of the extracellular
247 medium may also be of great importance as is the case in other cells [72], from this
248 viewpoint it is well recognized that equine seminal plasma is rich in antioxidants
249 [73-78]. On the other hand is important to consider that once the semen is deposited
250 in the mare's uterus or is processed, the antioxidants in seminal plasma are removed
251 from close contact with the spermatozoa; meaning the importance of intrinsic
252 antioxidant defenses in the spermatozoa become critical [13,15,79,80].

253

254 The spermatozoa itself also has antioxidant defenses, including glutathione, and
255 other enzymatic antioxidant defenses such as the paraoxonase [81-85], thioredoxin
256 [15,86-92] and peroxiredoxin [13,14,64,79,80,93-95] families of proteins. Ongoing
257 proteomic studies in our laboratory have identified peroxiredoxins 5 and 6, and

258 thioredoxin reductase in stallion spermatozoa. Interestingly, the concentration of
259 intracellular GSH in the horse spermatozoa is higher than in most domestic species.
260 A recent study in our laboratory revealed that the mean concentration of GSH in
261 stallions was $8.2 \pm 2.1 \mu\text{M}/10^9$ spermatozoa [96], while values reported in other
262 species are in the nanomolar ranges per billion spermatozoa [41]. These high levels
263 of GSH in stallion spermatozoa, may be linked to the intense mitochondrial activity
264 of the spermatozoa in this species. Intense mitochondrial activity causes increased
265 ROS production, and thus sophisticated mechanisms to maintain redox homeostasis
266 may have evolved differently between species with spermatozoa less dependent on
267 oxidative phosphorylation for ATP production. In relation to this, evidence of the
268 presence and activity of the Cystine antiporter SLC7A11 in stallion spermatozoa has
269 been discovered [72]. This antiporter exchanges extracellular cystine (oxidized form
270 of cysteine) for intracellular glutamate. Once in the cell, cystine is reduced and used
271 for GSH synthesis. Indirect evidence of the presence of a system exporting glutamate
272 in spermatozoa were reported as early as in 1959 [97]. Evidence of GSH synthesis in
273 stallion spermatozoa [96], include the presence of the enzymes glutathione
274 synthetase (GSS) and gamma glutamylcysteine synthetase (GCLC). In addition,
275 functional studies indicate their activity; the use of the specific inhibitor L-
276 Buthioninine sulfoximide (BSO) reduced GSH synthesis from cysteine. In this
277 particular experiment mass spectrometry (MS) was used to specifically identify GSH
278 and avoid interference with other thiols. Overall these results point to a
279 sophisticated redox regulation in stallion spermatozoa. It is considered that most
280 extracellular cysteine is present in the disulfide form (cystine), thus the presence of
281 the xCT /SLCTA11 antiporter may be a major mechanism of cystine incorporation
282 in the spermatozoa. This antiporter is present and active in stallion spermatozoa [72].
283 In addition to its role in the incorporation of cysteine for GSH synthesis, a potential
284 role in an active Cys/Cyss redox node in the spermatozoa must be considered.
285 Overall, these recent findings support the hypothesis of a complex redox regulation
286 in the spermatozoa. Oxidative stress is thus better defined as the fail in the regulation

287 of redox signaling due either to overproduction of ROS, or exhaustion of regulatory
288 mechanisms. This latter point has recently been addressed, and functionality of the
289 stallion spermatozoa is linked to thiol content. When thiols are exhausted stallion
290 spermatozoa rapidly enters senescence, which is characterized by increased
291 production of lipid peroxides, activation of caspase 3, loss of motility and death
292 [98,99]. The stallion spermatozoa is a paradigm of this sophisticated redox regulation;
293 recent research has shown apparently paradoxical results, in this regard more fertile
294 spermatozoa show increased ROS production [8], further underlining the concept
295 that a tightly controlled redox regulation occurs in stallion spermatozoa.

296

297 **The mitochondria in redox signaling**

298

299 Electrons can be prematurely leaked to oxygen in the ETC or associated to
300 catabolism of substrates [100,101]. Depending of the number of electrons being leaked
301 different outcomes are possible. If leaked one by one they generate superoxide
302 radicals, if in pairs they generate hydrogen peroxide. When are properly transferred
303 four at a time, they generate water and drive OXPHOS at complex IV of the ETC. A
304 growing body of scientific evidence is stressing the role of proper mitochondrial
305 function in sperm physiology [7,9-11,28,31,32,102-106]; moreover definition of oxidative
306 stress as the result of mitochondrial malfunction, states that it is the result of "*a*
307 *dysfunction of electron transfer reactions leading to oxidant/antioxidant imbalance and*
308 *oxidative damage to macromolecules*"[107]. This theory states that O_2^\bullet does not
309 accidentally leak from the ECT, but instead is a signaling molecule [107]. Recent
310 research in our laboratory with an aryl hydrocarbon receptor deficient (AhR^{-/-})
311 mouse strain showing males of unusually high fertility (also in terms of number of
312 pups born) showed that this strain was characterized by higher mitochondrial
313 activity [108], other reports also link mitochondrial activity with fertility in humans
314 and equines [7,8,28,31,104,109,110]. Interestingly the mitochondria are the more
315 sensitive structure in the spermatozoa to stress induced by different biotechnologies,

316 and have been proposed as a sensitive marker of spermatic quality and fertilization
317 ability [108]. Mitochondrial roles in the spermatozoa may include Ca_2^+ storage and
318 signaling, production of ATP, control of spermatic lifespan and activation of a
319 specific form of apoptosis for silent, non-inflammatory elimination of redundant
320 spermatozoa after insemination, and potentially control of redox signaling.
321 Numerous evidence points to mitochondria as the hallmark of fertile spermatozoa.
322 However proper evaluation of mitochondrial function in spermatozoa is still
323 elusive, and rarely performed in clinical settings. Fluorescent probes and flow
324 cytometry represent the method of choice to study mitochondrial function in
325 spermatozoa, with the potential for analysis of thousands of spermatozoa and
326 simultaneous functions in every single spermatozoon, together with the recent
327 development of computational methods [29] to study sperm subpopulations makes
328 this the gold standard. However technical difficulties preclude its wider use in
329 reproductive medicine. These difficulties relate to special characteristics of
330 commonly used probes, such as the JC-1. This dye is difficult to compensate using
331 the 488 nm excitation laser due to the spectral characteristics of the fluorochrome,
332 and the dual excitation depending on the formation of monomers (low
333 mitochondrial membrane potential) of aggregates (high mitochondrial membrane
334 potential). This particular issue can be addressed using dual excitation; monomers
335 with the blue 488 nm laser, and aggregates with the 561nm yellow laser. The
336 application of computational methods to the analysis of data, also improves the
337 identification of specific spermatic subpopulations. The production of hydrogen
338 peroxide in stallion mitochondria have been investigated in our laboratory [10],
339 inhibition of complex I of the ETC increased the production of mitochondrial
340 superoxide and hydrogen peroxide, suggesting that mitochondrial malfunction is a
341 potential source of redox deregulation in stallion spermatozoa, inhibition of complex
342 III also caused increased ROS production. In addition, the above-mentioned study
343 underpinned the importance of cautious selection of probes to assess ROS in
344 spermatozoa. However, mitochondrial dysfunction may lead to either reduced or

345 increased production of ROS [100] depending on the cause of the dysfunction and
346 caution interpreting the results of the analysis of ROS production in spermatozoa is
347 always advised. Specific antioxidant defenses in the mitochondria of the stallion
348 spermatozoa include mitochondrial GSH, peroxiredoxin 5 and manganese
349 dependent superoxide dismutase (Mn-SOD). Mitochondrial ROS have been
350 implicated in numerous signaling pathways in somatic cells [100] and is also likely
351 that these species may participate in signaling in spermatozoa. Together with its
352 importance in sperm regulation, the special characteristics of the spermatozoa, a cell
353 devoid of most organelles and a very limited cytoplasm, may also mean this cell is
354 a suitable model for the study of mitochondrial function.

355

356 **Redox regulation and sperm metabolism**

357

358 Together with mitochondria, stallion sperm metabolism have been of increased
359 interest for scientists focused in equine reproduction in recent years. Mitochondria
360 play major roles in cellular metabolism, being the energetic power-house of the cell
361 [111]. Oxidative phosphorylation (OXPHOS) and the tricarboxylic acid cycle (TCA
362 cycle) are well known mitochondrial functions. Recent specific research in horses
363 has underlined the importance of mitochondria as a provider of energy in the form
364 of ATP, and the consequences it has for sperm physiology and the functional
365 evaluation of the spermatozoa. Early studies suggested that spermatozoa were
366 glycolytic cells, however the participation of oxidative phosphorylation in
367 production of energy is now acknowledged. Early studies also suggested that ATPs
368 produced by mitochondrial respiration could not reach distal parts of the flagellum.
369 To solve this problem shuttle systems and/or glycolysis ought to be present. Also,
370 species specific strategies occur in the predominance of one energy source. Recent
371 proteomic studies indicate that the spermatozoa can use different substrates for
372 energy, possessing the ability to oxidize fatty acids. The stallion spermatozoa is
373 considered to predominantly use OXPHOS for the generation of energy [7,8,11,105].

374 The adenine nucleotide translocator (ANT) catalyzes the transmembrane exchange
375 of ATP, generated by oxidative phosphorylation, for cytosolic ADP [112]. Inhibition
376 of this protein leads to reduced sperm motility suggesting that ATP produced by
377 OXPHOS in the mitochondria plays an important role in spermatic motility in
378 horses. Further studies aimed to clarify the role of mitochondrial ATP in stallion
379 sperm motility. Inhibition of OXPHOS reduced spermatic motility and ATP content
380 in stallion but not in human spermatozoa suggesting species specific differences in
381 energetic metabolism [8]. Moreover, this study showed paradoxical relations
382 between fertility and oxidative stress, fertile stallions were characterized by
383 spermatozoa showing increased levels of 8-hydroxiguanidine and the superoxide
384 anion. These increased levels were attributed to increased mitochondrial activity in
385 the spermatozoa of fertile stallions [8]. The relation between increased mitochondrial
386 activity and ROS production has also been confirmed in independent studies [11]. In
387 addition, and in line with these findings a dramatic decrease in sperm ATP content
388 after mitochondrial uncoupling and inhibition of mitochondrial respiration was
389 reported [9]. Reduction of ATP was accompanied by low motilities and velocities,
390 and interestingly inhibition of mitochondrial respiration at the ATP synthase
391 complex collapsed sperm membranes. This may relate to the high ATP consumption
392 necessary to maintain the activity of the Na⁺-K⁺ ATPase pump in the spermatozoa
393 [113]. The relation between ROS production and mitochondrial activity was also
394 confirmed. Despite the predominance of OXPHOS, glycolysis and other sources of
395 energy are also present in the spermatozoa. OXPHOS takes place in the
396 mitochondria located in the sperm midpiece, while glycolysis occurs mainly in the
397 flagellum in which the fibrous sheath is rich in glycolytic enzymes where they are
398 anchored [114-116]. The substrate for glycolysis is glucose, which is incorporated into
399 the spermatozoa through diverse glucose transporters (GLUTs) [117]. Oxidative
400 phosphorylation uses diverse sources of substrates derived from the metabolism of
401 carbohydrates, lipids and amino acids. While for a long time a debate has existed
402 among spermatologists regarding the main source of energy in spermatozoa, the

403 existence of different bioenergetic strategies in different species is now becoming
404 clear [118], and thanks to the introduction of the omics technologies into
405 spermatology, the spermatozoa is being unveiled as a cell with much higher
406 bioenergetic plasticity that previously assumed [119,120]. In this regard, recent
407 proteomic studies in horses and humans reveal that beta oxidation of fatty acids
408 plays an important role in providing energy for the spermatozoa [120,121]. The
409 pentose phosphate cycle pathway (PPP) is also present in spermatozoa [118,122-127].
410 NADPH produced by the PPP is important for the re-activation of 2-CysPRDXS.[79]
411 In human spermatozoa the pentose phosphate pathway can respond dynamically to
412 oxidative stress [128] and the inhibition glutathione reductase impairs the ability of
413 sperm to resist oxidative stress and lipid peroxidation [126]. Also, NADPH may play
414 a role in relation to the activity of an NADPH oxidase which plays a role in
415 capacitation [123]. The glutathione peroxidase-glutathione reductase-pentose
416 phosphate pathway system is functional and provides an effective antioxidant
417 defense in normal human spermatozoa [126,129]. Overall current knowledge on
418 sperm metabolism, suggests species specific differences and a great metabolic
419 plasticity in the spermatozoa, which are able to adapt their metabolism to the
420 changing environments that they are exposed to on their travels to fertilize the
421 oocyte. Recent research using the strategy of intervention on the metabolic flexibility
422 of stallion spermatozoa seem promising [7,11,26,105,130], both in the development of
423 new extenders for long time liquid storage, and as an intervention for the
424 development of thawing extenders. In this particular aspect, current extenders in
425 use for stallion spermatozoa contain high concentrations of glucose, around 270- 300
426 mM, these concentrations are far from being physiological, and may preclude long
427 term preservation of liquid semen. It is well know that supraphysiological
428 concentrations of glucose may lead to cell death [131] due to accumulation of
429 advanced glycation end products (AGEs) [132-135]. The discovery of endocrine
430 features in the spermatozoa, also underlines the complex metabolism of these cells
431 that represent an area of great interest for research in the coming decade [124,136].

432 Finally, amino-acid metabolism ought to be considered, this has been reported in
433 fish spermatozoa and anecdotal reports in mammals using amino-acids as semen
434 additives support this possibility [137,138]. Additionally, indirect evidence of the role
435 of the amino acid glutamine in stallion spermatozoa has been recently reported by
436 our laboratory. Inhibition of the xCT antiporter, and thus increased intracellular
437 glutamate improved sperm function in fresh extended stallion spermatozoa, but not
438 in frozen thawed samples [72]. The amino-acid glutamine may enter the Krebs cycle
439 and improve mitochondrial function under some circumstances [139]. Glutamine
440 metabolism can provide considerable amounts of NADPH, through the pentose
441 phosphate pathway, and can occur in parallel with aerobic glycolysis depending on
442 glucose-6-phosphate availability [140]. The increase in sperm functionality after
443 using de xCT antiporter inhibitor sulfasalazine can be explained through this
444 mechanism.

445

446 **Consequences of redox deregulation**

447

448 In accordance with current biochemical literature, redox regulation is tightly
449 regulated in the spermatozoa, with interactions between spermatid metabolism,
450 mitochondrial production and scavenging of Reactive Oxygen Species. A summary
451 of current knowledge on redox regulation in spermatozoa is presented in figure 1.
452 Many factors can deregulate this complex network in humans and other animals,
453 including aging, exposure to toxins, particularly alcohol and tobacco in humans,
454 poor diet, lack of physical activity and systemic diseases including obesity and
455 diabetes [30,141-144]. Also, current sperm biotechnologies such as cryopreservation
456 cause redox deregulation of spermatozoa, mainly through a severe mitochondrial
457 osmotic stress [99,106,113,145,146]. Deregulation of redox homeostasis has a profound
458 impact on sperm physiology and fertility, all spermatid compartments and function
459 may be affected, moreover impacts on the embryo and the offspring may also occur.

460

461 **Effects on lipids**

462

463 Lipid peroxidation is well recognized as a consequence of redox deregulation and
464 loss of redox homeostasis in spermatozoa. In the stallion model, lipid peroxidation
465 occurs as a consequence of aging (figure 2) and sperm biotechnologies such as
466 cryopreservation and chromosomal sex sorting [78,98,99,147-150]. Deregulation of
467 redox regulation and aging and cell senescence is well documented, and aged
468 stallions show increased peroxidation of the lipids in spermatoc membranes.
469 Cryopreservation leads to a paradoxical situation, while osmotic induced damage
470 in the mitochondria may lead to reduced production of ROS, lipid peroxidation
471 increases after freezing and thawing. On the other hand spermatozoa that
472 withstands cryopreservation better is also characterized by increased production of
473 ROS [31]. Lipid peroxidation (LPO) occurs after the oxidative attack of lipids, mainly
474 the phospholipids and cholesterol of membranes. Interestingly LPO induces
475 changes in the permeability and fluidity of the membranes that can be easily
476 monitored using probes like YoPro-1 [151,152]. LPO results in the production of lipid
477 hydroperoxides, that are unstable and decompose to more stable and less reactive
478 secondary compounds [153-155]. Lipid peroxidation occurs in three phases, in the
479 *initiation* phase abstraction of H• from a lipid chain (LH) gives a lipid radical (L•).
480 Formation of L• is favored in the membrane of the horse spermatozoa due to their
481 abundance in PUFAs [156,157], in this type of lipid the resulting radical is resonance
482 stabilized [153]. Following *initiation* the *propagation* phase continues and the lipid
483 radical reacts with oxygen to generate a lipoperoxyl radical (LOO•), that reacts with
484 a lipid to yield a L• and a lipid hydroperoxyde (LOOH), these are unstable
485 molecules that generate new peroxy and alkoxy radicals and decompose to form
486 secondary products [154]. (Figure 3) Finally the reaction ends when it gives a non-
487 radical, or non-propagating species [155]. Among the secondary products formed
488 upon lipid peroxidation of the polyunsaturated fatty acids (PUFAs) of the sperm
489 membranes, aldehydes have received special attention due to their toxicity to

490 spermatozoa [98,99,158-165]. Depending on the oxidation of different PUFAs, distinct
491 compounds can originate, malondialdehyde originates from the oxidation of PUFAs
492 containing at least three double bonds, like arachidonic acid, 4-hydroxy-2(E)-
493 nonenal (4-HNE) originates from the oxidation of ω 6 fatty acids. The composition of
494 the sperm membrane, suggests that 4-HNE should be the prevalent compound upon
495 LPO, since docosapentaenoic acid (C22: 5 ω 6) is the predominant PUFA in the
496 phospholipids of stallion spermatozoa [156]. Interestingly recently seasonal variation
497 in the lipid composition of the sperm membranes has been reported [166]. It should
498 also be noted that 4-HNE, while triggered by an initial oxidative step, can later
499 continue independent of oxidative stress and continues providing a source of ω -6
500 fatty acids is available [167]. 4-hydroxynonenal reacts with GSH by Michael addition
501 to form GSH conjugates, and although this reaction can happen spontaneously it
502 occurs much faster in the presence of glutathione-S-transferases. Also the aldehyde
503 function of 4-HNE can be reduced into alcohol or oxidized into acid, with the
504 participation of alcohol dehydrogenase and aldehyde dehydrogenase, forming 1, 4,
505 dihydroxynonene and 4-hydroxynonenoic acid, that can undergo beta oxidation
506 [153]. The role of GSH and aldehyde dehydrogenase has recently been investigated
507 in stallion spermatozoa in relation to oxidative stress [96,98,99,161] suggesting that
508 these mechanisms for 4-HNE detoxification are of pivotal importance for spermatid
509 function. The relation between GSH and 4-HNE in cryopreserved stallion
510 spermatozoa suggest that GSH is effectively a major mechanism for detoxifying 4-
511 HNE [99]. Also, aldehyde dehydrogenase has proven to be a major detoxifying
512 mechanism for 4-HNE in stallion spermatozoa [161]. Lipid peroxidation has been
513 traditionally detected using BODIPY dyes [78,168], however its dual fluorescence and
514 its lipid binding can make this dye difficult to interpret upon flow cytometry
515 analysis. More recently, lipid peroxidation is being detected using antibodies against
516 4-hydroxynonenal (4-HNE) [99,161,169]. The availability of secondary antibodies
517 marked with different probes makes this technique suitable for multicolor panels,
518 and to study the relation between increased levels of 4-HNE and sperm functionality

519 using multiparametric analysis. Mass spectrometry is also a suitable tool for the
520 study of lipid peroxidation induced changes in the spermatozoa and has recently
521 been used in our laboratory to monitor GSH [96].

522

523 **Effects on proteins**

524

525 Oxidative modifications of structural and functional proteins are one of the major
526 factors involved in protein dysfunction. Protein carbonyl content is a commonly
527 used biomarker of oxidative damage of proteins. Toxic adducts derived from LPO
528 can diffuse through membranes allowing the reactive aldehydes to covalently
529 modify proteins [159,160,170,171]. In addition to advanced lipid peroxidation end
530 products (ALEs), products derived from the glycooxidation of carbohydrates, that
531 will form advanced glycation end products (AGEs) can also induce protein
532 carbonylation [155]. There is an excellent recent review of this particular topic
533 focused on the spermatozoa [93] and the reader is referred to it for complete details.

534

535 **Oxidative DNA damage**

536

537 Spermatozoa harbor the haploid paternal genome and also important epigenetic
538 information with regulatory roles of early embryo development [172]. Recently, it has
539 been reported that biotechnologies such as cryopreservation damage sperm genes
540 with important roles in fertilization and early embryo development, even in the
541 absence of detectable DNA fragmentation [173,174]. Cryopreservation can also
542 damage the sperm epigenome [175]. Many assays have been developed to investigate
543 DNA integrity in the spermatozoa [176,177]. It is considered that most of the DNA
544 damage is caused by an oxidative mechanism. Oxidation of nucleotides can cause
545 abasic pairs in DNA, increasing the risk of replication errors. Loss of a base in DNA,
546 i.e., creation of an abasic site leaving a deoxyribose residue in the strand, is a
547 frequent lesion that may occur spontaneously, or under the action of radiation or

548 alkylating agents, or enzymatically as an intermediate in the repair of modified or
549 abnormal bases. The abasic site lesion is mutagenic or lethal if not repaired. From a
550 chemical view point, the abasic site is an alkali-labile residue that leads to strand
551 breakage through beta- and delta- elimination [178,179]. More recently, multiple
552 consequences of the electrophilic nature of abasic lesions have been revealed [180],
553 and oxidized abasic sites are nowadays considered irreparable, leading to the most
554 deleterious form of DNA damage, inter-strand cross links and double strand breaks
555 [181,182]. Detection of oxidized nucleotides in sperm with flow cytometry has been
556 reported using a specific antibody against the oxidative derivative of guanosine, 8-
557 hydroxyguanosine [98,183], and threshold values for fertility have recently been
558 reported in humans [184]. Another newly developed flow cytometry based assay,
559 for evaluation of oxidative stress in sperm DNA, is the γ HA2AX assay [185].
560 Although most histones are replaced by protamines, a small fraction remain in the
561 nucleosome (5-15% in humans). This fraction contains the H2AH histone that is
562 phosphorylated in Ser139 when under oxidative stress. The detection of γ HA2AX
563 (the phosphorylated form of the histone) has proven to be more sensitive than the
564 TUNEL assay to detect DNA fragmentation, and also to be better correlated with
565 pregnancy outcome in humans [186].

566

567 **Impact of Early Embryo Development (EED)**

568

569 Fecundation of the egg by spermatozoa with compromised redox regulation or
570 experiencing non-lethal oxidative stress has important consequences with regard to
571 embryo viability and the health and well-being of the offspring [187]. Assisted
572 reproductive technologies such as in vitro fertilization and ICSI are associated with
573 an increased incidence of birth defects in offspring [188]. Animal studies indicate that
574 fecundation with spermatozoa experiencing oxidative stress may cause embryonic
575 death [189], an effect that has been linked to oxidative damage in the spermatozoa
576 [190]. Recent research from our laboratory has compared the effect of

577 cryopreservation on the transcriptome of early equine embryos [191]. Using the
578 same ejaculate, half processed as fresh sperm and the other half frozen and thawed
579 we obtained embryos from the same mare and stallion after artificial insemination
580 with the aliquot of fresh sperm and in the mare's next cycle using the frozen thawed
581 semen aliquot. The transcriptional profile of embryos obtained with frozen thawed
582 spermatozoa differed significantly from that of embryos obtained with the fresh
583 sperm aliquot of the same ejaculate. Significant downregulation of genes involved
584 in biological pathways related to *oxidative phosphorylation, DNA binding, DNA*
585 *replication, and immune response*. Interestingly many genes with reduced expression
586 were orthologs of genes in which knockouts are embryonic lethal in mice [191]. While
587 the exact mechanism behind these changes remains to be elucidated, redox
588 deregulation and oxidative stress in the spermatozoa seem to be an important factor.
589 The spermatozoa is known to carry proteins [187], and numerous ncRNAs [192] to the
590 oocyte, with important functions in early embryogenesis. However, it has recently
591 been reported that caput epididymal mouse sperm, which has not yet incorporated
592 RNAs, can support full development [193]. The impact of redox deregulation on
593 sperm proteins is well recognised and has recently been reviewed [93,194], so it is not
594 unlikely that oxidized proteins can be incorporated by the embryo impacting its
595 development. Recently, preimplantation proteins in the human embryo with
596 potential sperm origin have been identified [187]. In particular, 93 different proteins
597 have been proposed as related to zygote and early embryo development before
598 implantation in humans, moreover up to 560 sperm proteins with known roles in
599 the regulation of gene expression in other cells or tissues have also been identified
600 [187]. Even though further investigation is needed in this field, oxidative damage to
601 sperm proteins with important functions during early embryo development may
602 occur. Further supporting this hypothesis is the fact that biological processes such
603 as *DNA binding and replication*, and *Histone Acetylation* were downregulated in
604 embryos obtained with cryopreserved spermatozoa [191], and many of the proteins
605 mentioned above have roles in these processes [187].

606

607 **Concluding remarks**

608

609 Redox regulation plays a major role in controlling spermatic functionality, recent
610 research is unveiling the existence of sophisticated redox regulation systems that
611 may constitute targets for the treatment of the male factor subfertility. In addition,
612 the interaction between metabolism and redox regulation may offer alternatives to
613 traditional methods of sperm conservation. The increasing use of proteomic
614 techniques in research in spermatology will provide significant advances in the
615 understanding of redox regulation in the spermatozoa in coming years

616

617

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619

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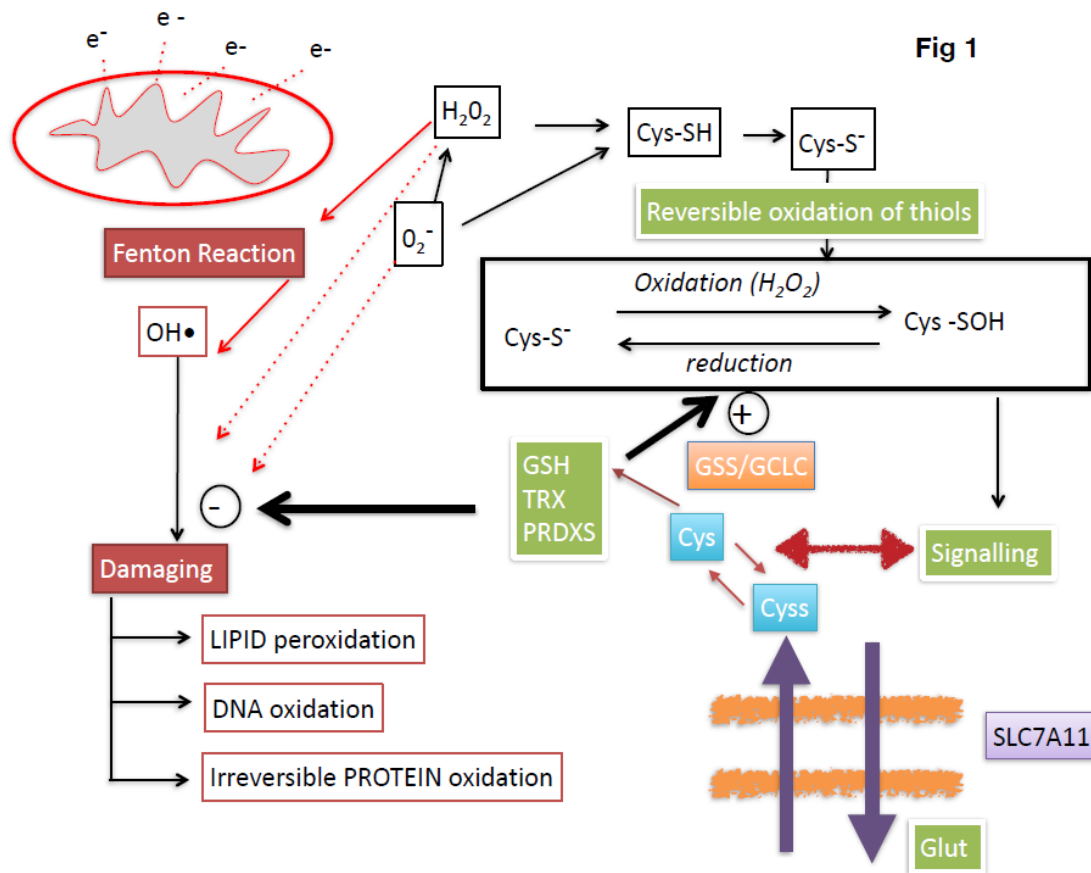
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1347 Figure legends

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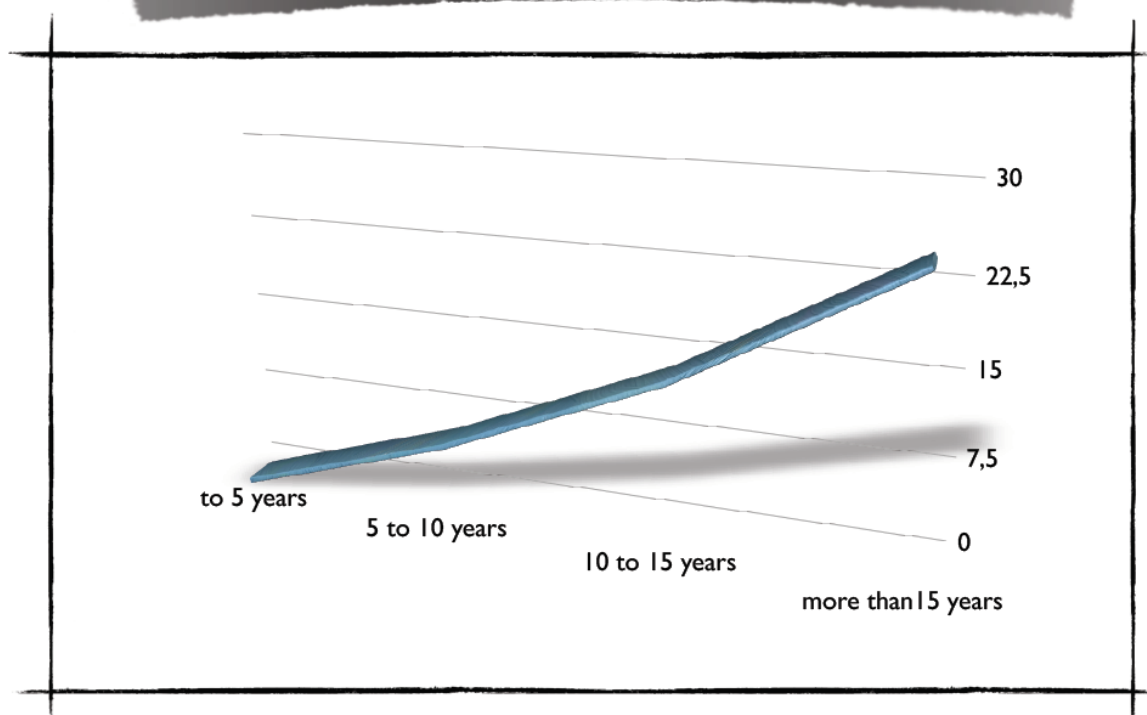
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1350 Fig 1.- Redox regulation in stallion spermatozoa. Electron leakage at the
 1351 mitochondria is one of the main sources of ROS. Mechanisms to maintain redox
 1352 homeostasis include thioredoxin and peroxiredoxin systems and GSH. The stallion
 1353 spermatozoa can incorporate cyss to contribute to the intracellular GSH pool.
 1354 Controlled levels of ROS regulate sperm functionality through reversible oxidation
 1355 of thiols in cysteine containing proteins. If redox regulation is lost, irreversible
 1356 oxidation of thiols and oxidative attack to lipids DNA and proteins occurs leading
 1357 to sperm malfunction and finally death.

1358

Lipid Peroxidation

Fig 2



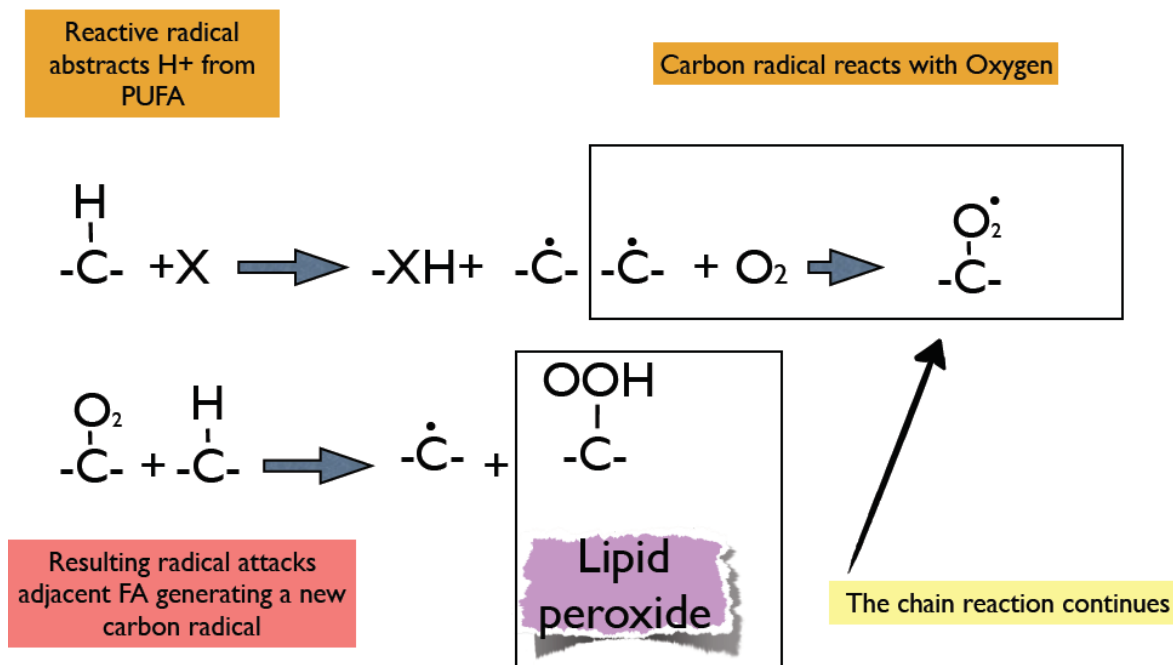
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1360 Fig 2.- Effect of stallion age in the peroxidation of sperm membranes, semen was
1361 collected from stallions of different ages and lipid peroxidation was assessed flow
1362 cytometrically after BODIPY 581/591 C11, as seen in the figure, lipid peroxidation
1363 increases with age

1364

Lipid Peroxidation

Fig 3



1365

1366 Fig 3.- Schematic overview of lipid peroxidation of the membranes; a lipid radical
 1367 abstracts and hydrogen from a PUFA, generating a carbon centered radical reacts
 1368 with and oxygen forming an oxygen centered radical, that abstracts another
 1369 hydrogen from an adjacent PUFA, forming a lipid peroxide and propagating the
 1370 cycle

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