

1 Evaluation of Native Wine Yeast as Biocontrol Agents

2 Against Fungal Pathogens Related to Postharvest Diseases

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13 Abstract

14 Changes in consumer expectations have led to increasing demand for novel plant protection
15 strategies, in order to reduce the application of chemical products, reduce the occurrence of new
16 pests and the impact that all these actions generate in the environment. In recent years there have
17 been numerous investigations related to biological control and the use of microorganisms as new
18 control strategies. As part of integrated disease management, antagonistic microorganisms have
19 been investigated lately and presented great interest. Such microorganisms can be applied in
20 conventional and in organic farming as biological control agents (BCA). Many of these
21 microorganisms are present in the microbial ecology generating interactive associations between
22 surrounding microorganisms. For these reasons, it has become necessary to search new natural
23 antimicrobial agents as alternatives to synthetic and chemical products. It has been discovered that
24 there are microorganisms, particularly yeasts, that have antagonistic activity and different
25 mechanisms of action, indicating that they could be interesting candidates for the development of
26 BCA. Here, we evaluate the antagonist effect of four endophytic yeast, *Cryptococcus antarcticus*,
27 *Aureobasidium pullulans*, *Cryptococcus terrestris* and *Cryptococcus oeirensis* over the growth of *Botrytis*
28 *cinerea*, *Monilinia laxa*, *Penicillium expansum* and *Geotrichum candidum* in *in vitro* assays (inhibition
29 zone diameter assay and confrontation assay). The results revealed that the four yeast strains
30 evaluated showed antagonistic activity against the phytopathogens tested, suggesting that these
31 yeasts produce compounds capable of inhibiting the growth of fungi and, depending on the assay,
32 the evaluated antagonist-yeasts have differential biocontrolling-effect against the postharvest
33 pathogens tested.

34 **Keywords:** native yeast; biocontrol; fungal pathogens; VOCs

35 1. Introduction

36 In the postharvest process, there are many losses in the productive chain, up to 25 % of total
37 production in industrialized countries and more than 50 % in developing countries. This
38 phenomenon is attributed to decay fungi, such as *Botrytis* spp., *Penicillium* spp., *Aspergillus* spp.,
39 *Cholletotrichum* spp., among others [1-4].

40 The control of fungal diseases is mainly based on the use of synthetic fungicides [5,6]. In 2015,
41 Spain, France, Italy, and Germany together made up 70.5 % of the European Union pesticide sales,
42 increasing the level of hazardous residues in the environment; also, fungicides are becoming less
43 effective due to the presence of resistant fungal strains [7,8].

44 Yeasts are unicellular fungi that are present in different ecosystems and sources, both natural
45 and, in connection with human activities. They can be found on/in fruits, plants, insects, animal
46 intestinal tracts, soils, and marine environments [9]. There has been extensive research to explore and
47 develop the potential of yeasts as antagonists to biologically control harvest pathogens and as an
48 alternative to chemical pesticides [10-12], representing an eco-friendly alternative to synthetic
49 pesticides [13,16]. However, yeasts often show lower and non-comparable effectiveness against
50 pathogenic fungi in comparison to chemical fungicides [10]. This reduces their practical applications
51 and leaving the problem of plant-fungal disease still unsolved. On the other hand, the effects of
52 environmental factors on biocontrol systems, especially the viability and efficacy of antagonistic yeast
53 species, still need to be thoroughly investigated [11].

54 In general, interactions between the microorganism and the host also involve environmental
55 factors (i.e., variation of climatic conditions and other abiotic factors) and, to successfully inhibit the
56 pathogen infection and development, several possible mechanisms operate in a tritrophic host-
57 pathogen-antagonist interaction system, where more than one mechanism is involved. The modes of
58 action of yeast strains against pathogenic fungi have been reported, and these mechanisms include
59 antibiosis, mycoparasitism, induced resistance [16-20], nutrient or space competition [16-19,21], iron
60 depletion [17,22], extracellular lytic enzymes production [23], volatile organic compounds [24,25],
61 reactive oxygen species (ROS) tolerance [19,26], and biofilm formation [13,27].

62 We evaluated the inhibitory activity of four native yeast isolates *Cryptococcus antarcticus*,
63 *Aureobasidium pullulans*, *Cryptococcus terrestris* and *Cryptococcus ozeirensis* over the growth of four
64 phytopathogenic fungi involved in postharvest diseases *Botrytis cinerea*, *Monilinia laxa*, *Penicillium*
65 *expansum* and *Geotrichum candidum* *in vitro*, as potential biocontrol agents.

66 2. Materials and Methods

67 2.1 Microorganisms

68 Native wine yeast strains were obtained from the yeast collection (YCPUC) of the Microbiology
69 and Yeast Genetics Laboratory of Pontificia Universidad Católica de Chile (Table 1). The fungus
70 evaluated were obtained from the cepary of the Molecular Phytopathology Laboratory. The analysed
71 yeasts were grown in yeast extract–peptone–dextrose (YPD) medium (0.5 % peptone, 0.5 % yeast
72 extract, and 2 % glucose) at 28 ± 1 °C with agitation (200 rpm) for 1–3 days, according to the strain.
73 Then, they were maintained in YPD agar (0.5 % peptone, 0.5 % yeast extract, 2 % glucose, and 2 %
74 agar) at 4 ± 1 °C until use. The analysed fungi were grown in potato-dextrose-agar (PDA) (2%
75 dehydrated potato, 2% dextrose, and 2% agar) acidulated with 250 μ L of 1N lactic acid (APDA) and
76 incubated for 7 days at 20 to 22 °C. Then, they were maintained at 4 ± 1 °C until use.

77 2.2 Detection of antimicrobial activity

78 2.2.1 Inhibition Zone Diameter Assay

79 Using a classic qualitative method, the ability of each yeast strain to inhibit growth of the four
80 fungi from the collection was tested.

81 The yeasts were grown for 48 h at 28 ± 1 °C with agitation (200 rpm) in YPD liquid medium until
82 a concentration of 1×10^8 cells/mL was obtained. Then, an aliquot of the concentrated culture (100 μ L)
83 was taken and transferred to a new tube with 900 μ L of sterile water. This solution was used as
84 inoculum, and then, 100 μ L were spread over an APDA plate. When the lawn was dry, the disc of the
85 fungus was placed.

86 The fungi were grown individually in APDA plates for 7 days. Then a disc of the fungus was
87 taken using sterile toothpick/forceps and put upside down at the center of the plate, in direct contact
88 with the yeast lawn previously prepared (Figure 1a). Every fungi and yeast tested was done in
89 triplicate, considering every treatment to evaluate.

90 The diameter of the inhibition zone around the disc was used as a measure of inhibition activity;
91 this measurement was recorded in centimeters (cm). To determine the percentage of inhibition of the
92 assays, the calculation was performed according to the following formula (Equation 1):

93 2.2.2 Confrontation Assay

94 Confrontational assay was tested to assess the production of volatile compounds. One plate
95 contained a lawn of the yeast, and other plate contained a disc of the fungus previously grown. The
96 yeast plate was inverted and placed on top of the other plate. The plate containing the fungus was
97 the basal plate and the plate with the yeast, the cover. Control treatments were prepared using the
98 same experimental setup, but the upper plates only contained APDA medium without the presence
99 of the yeast. The plates were sealed with parafilm and incubated for 7 -10 days at 22 °C (Figure 1b).
100 The experiments were made in triplicate. The inhibition rate of each yeast against the pathogenic
101 fungi was calculated with the formula mentioned in the Inhibition Zone Diameter Assay

102 2.3. Statistical analysis

103 The data were analysed using the Statgraphics Centurion XVI.I program (Statpoint
104 Technologies, Warrenton, USA) by means of Student's t-test or analysis of variance as indicated.

105 3. Results and Discussion

106 The proper control of postharvest decay involves the integration of preharvest factors (soil
107 preparation, spray programs, orchard hygiene, etc.) with postharvest crop management. To date, the
108 principal means to control postharvest fungal diseases remains as the application of synthetic
109 fungicides and, the chemicals that can be used to control decay, only a few are registered for
110 postharvest use [28, 29].

111 As a first experimental approach to evaluate the biocontroller effect of yeasts, it was used
112 Inhibition Zone Diameter Assay that measure the ability of a microorganism to inhibit the growth of
113 another through the production of antifungal compounds or through competition for nutrients.

114 The results (Figure 2A) showed that *C. antarcticus* YCPUC12 was able to reduce the mycelial
115 growth of *B. cinerea*, *G. candidum* and *P. expansum* in 67%, 70% and 65% compared to the positive
116 control, respectively. For *M.laxa*, the effect was nearly to 40% (Figure 2A). *A. pullulans* YCPUC14
117 reduced mycelial growth of *B. cinerea*, *M. laxa* and *G. candidum* in 67%, 68% and 65% respectively, and
118 a lowest effect was observed for *P. expansum* (16%). *C. terrestris* YCPUC16 was able to reduce mycelial
119 growth of *B. cinerea*, *M. laxa*, *G. candidum* and *P. expansum* in 75%, 70%, 53% and 77%. On the contrary,
120 *C. oeirensis* YCPUC41 presented the lowest effect inhibitory, with percentages below 20% for all
121 pathogens evaluated (Figure 2B).

122 Using inhibition zone diameter assay, in general all yeasts evaluated were capable to inhibit
123 growth of fungus over 50 %, with exception of *C. oeirensis* YCPUC41. Perez et al. [30], using the same
124 method, evaluated the biocontrol activity of 13 yeasts belonging to the species *Saccharomyces*
125 *cerevisiae*, *Pichia fermentans*, *Kazachstania exigua* and *Candida catenulata* against *Penicillium digitatum*, *P.*
126 *italicum* and *P. citri*. They observed an inhibition equal to or greater than 40% over the three pathogens
127 evaluated.

128 The yeasts that presented the highest inhibition percentages were *C. antarcticus* YCPUC12 and *C.*
129 *terrestris* YCPUC16, with percentages above 60%, followed by *A. pullulans* YCPUC14. In this regard,
130 it has been reported that yeasts belonging to *Cryptococcus* genera have antifungal properties [31–36].
131 Also, the biocontroller effect of *A. pullulans* has been described by several authors. Schena et al. [37]
132 reported its effect on the growth of *P. digitatum*, *B. cinerea*, *Rhizopus stolonifer* and *Aspergillus niger* in
133 grapes and *R. stolonifer* in cherry tomatoes. On the other hand, Bencheqroun et al. [8] identified that
134 *A. pullulans* was able to inhibit the development of *P. expansum* on apples. Ippolito et al. [38] reported
135 similar results for *B. cinerea* in apples.

136 Our results indicate that the inhibitory effect of yeasts on fungi is differential, suggesting that
137 there could be more than one mechanism-antagonist on the part of yeast. Likewise, we evaluate fungi
138 of different genera, which may explain the observed differential inhibitory effect.

139 Have been describe that antagonism phenomenon of yeasts occurs due to competition for
140 nutrients, pH changes, and the production of organic acids [39,40] in addition to mechanisms based
141 on the secretion of antimicrobial compounds such as killer toxins. Several mechanisms are involved
142 in biological control processes based on the ability of biocontrol agents to adhere to specific sites,
143 including both yeasts and pathogenic cells [13]; colonize wounds and compete for nutrients; secrete
144 specific enzymes [41]; induce resistance [42]; regulate the population density at specific sites [43];
145 secrete antimicrobial substances (soluble or volatile) [2,30,31] and form a biofilm on the inner surface
146 of wounds [27].

147 The confrontation assay was made in order to determine the ability of the yeast to produce
148 volatile compounds. The results showed that *A. pullulans* YCPUC14 reduced mycelial growth of *B.*
149 *cinerea* and *M. laxa* with 72 % and 64 % respectively, and *C. terrestris* YCPUC16 with 52% and 51%. In
150 the case of *P. expansum*, *C. antarcticus* YCPUC12 and *C. oeirensis* YCPUC41 reduced in 31% the
151 mycelial growth of the pathogen (Figure 3).

152 Our results suggest that yeasts evaluated can inhibit the mycelial growth through production of
153 volatile compounds. Parafati et al. [22] evaluated biocontrol activity of *S. cerevisiae*, *Wickerhamomyces*
154 *anomalus*, *Metschnikowia pulcherrima* and *A. pullulans* against the postharvest pathogenic mold *B.*
155 *cinerea*. The results showed that *W. anomalus* and *S. cerevisiae* strains presented the highest values of
156 growth inhibition (99.67 and 71%, respectively). Seven strains of *M. pulcherrima* showed an average
157 efficacy of 47%, where the strain MPR3 present the highest inhibition activity, with 67% of fungal
158 growth inhibition. Mari et al. [44] reported the biocontrol effect of two *A. pullulans* strains over brown
159 rot diseases on peaches and nectarines. The yeasts were selected for their activity (*in vitro* and *in vivo*)
160 against three species of *Monilinia* (*M. laxa*, *M. fructicola* and *M. fructigena*). *In vitro* antagonistic activity
161 assays showed that two *A. pullulans* strains selected (L1 and L8) presented the highest levels of
162 activity in the control of *M. laxa* growth in peaches and nectarines with 93% and 60%, respectively.

163 The volatile organic compounds (VOCs) production has been described recently as a mechanism
164 biocontrol yeast. *W. anomalus*, *M. pulcherrima*, *A. pullulans*, *P. anomala* and *S. cerevisiae* species have
165 been identified as capable to produce volatile compounds as ethyl alcohol, 3-methyl-1-butanol and
166 phenylethyl alcohol and acetate esters [25]. Di Francesco et al. [3] reported that the compounds
167 emitted by these two *A. pullulans* strains (L1 and L8) were identified as 2-phenyl, 1-butanol-3-methyl,
168 1-butanol-2-methyl belonging to the group of alcohols. The production of VOCs is species-specific

169 and acts as a chemical communication signal among cells, as a carbon release mechanism and, as a
170 promoter or inhibitor of microbial growth [45].

171 The results indicate that with both methodologies (inhibition zone diameter assay and
172 confrontational assay) it is possible to observe fungal growth inhibition, suggesting that yeasts
173 analysed have at least two inhibitory mechanisms for the control of the phytopathogenic fungi
174 studied (Figure 2B and 3). *C. antarcticus* YCPUC12 is the exception due inhibits the growth of *B. cinerea*
175 by 10% using the confrontational assay methodology, and by 70% in the inhibition zone diameter
176 Assay. This suggests the existence of only one inhibitory mechanism in this yeast.

177 In our study, *C. antarcticus* YCPUC12, *A. pullulans* YCPUC14, *C. terrestris* YCPUC16 and *C.*
178 *oeirensis* YCPUC41 yeasts, were capable of inhibiting the growth of phytopathogenic fungi. Results
179 suggest that these compounds could be volatile. Depending on the assay, the evaluated yeasts have
180 differential biocontrolling-effect on the phytopathogenic fungi tested. To our knowledge, this is one
181 of the first reports on the biocontrol potential of *C. oeirensis*. These exploratory results are not enough
182 to attribute the biocontrol activity to a specific compound or mechanism. Is necessary to clarify how
183 these yeasts can inhibit the growth of the fungi, to strengthen and enhance their effect.

184 The use of yeasts may constitute an important alternative to use of synthetic fungicides. Their
185 potential as biocontrol agents for postharvest diseases are interesting, and further investigation is
186 needed to verify the effectiveness of these antagonists.

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188 experiment samples. LG and PRB wrote the paper. AAF and IMR supervised the work. All authors
189 reviewed the manuscript.

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309 **Equation 1.**310 Average per cent inhibition of each treatment = $\frac{C-T}{C} \times 100$

311

312 Where:

313 C = average of 3 replicates of the mycelial growth diameter of the control treatment

314 T= average of 3 replicates of the mycelial growth diameter in the presence of the selected
315 treatment

316

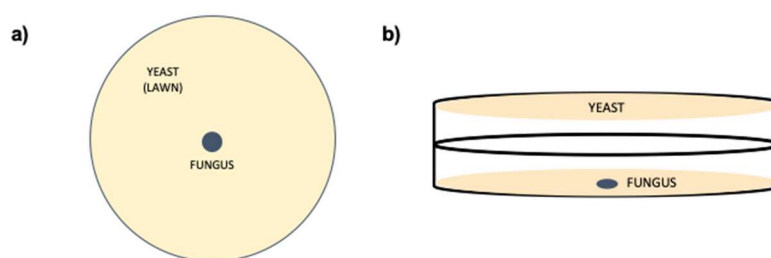
317 **Table 1.** Isolates of native wine yeasts and fungi used in this study

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Code	Name	Code	Name
YCPUC12	<i>Cryptococcus antarcticus</i>	BC	<i>Botrytis cinerea</i>
YCPUC14	<i>Aureobasidium pullulans</i>	GT	<i>Geotrichum candidum</i>
YCPUC16	<i>Cryptococcus terrestris</i>	PE	<i>Penicillium expansum</i>
YCPUC41	<i>Cryptococcus ozeirensis</i>	ML	<i>Monilinia laxa</i>

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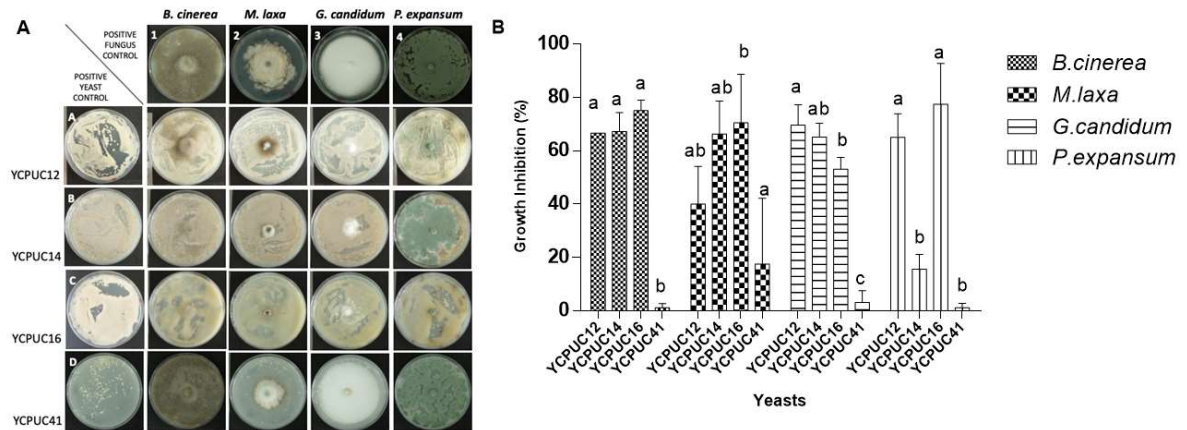
322 **Figure 1.** Schematic representation of the different strategies to evaluate the antimicrobial

323 activity of the microorganisms. In a) Inhibition Zone Diameter Assay; b) Confrontation

324 Assay.

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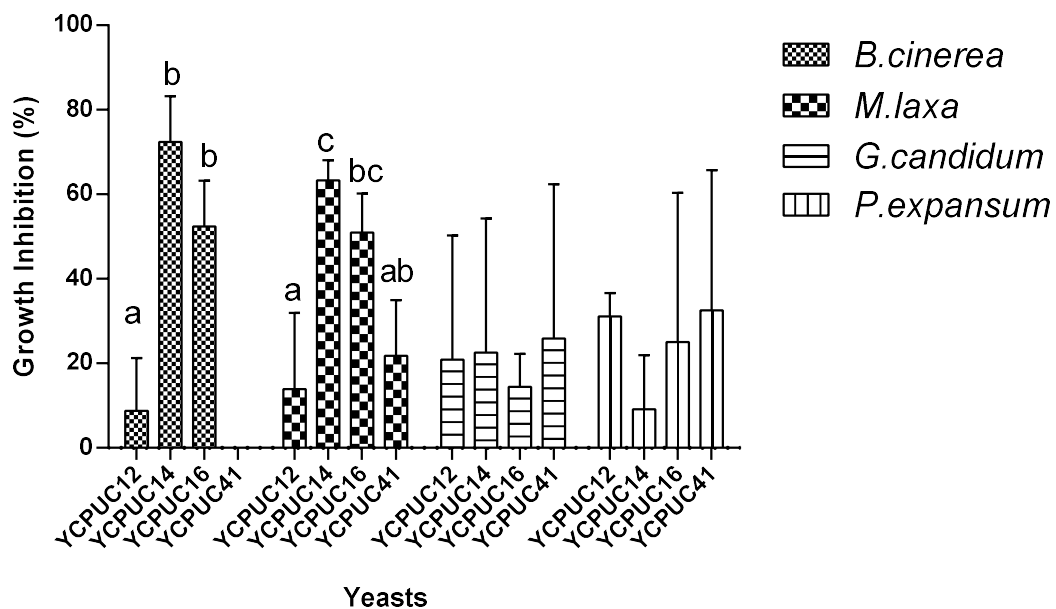
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328 **Figure 2.** Evaluation of the biocontrol activity of the yeast isolates selected. On the left side
 329 of the figure (A) Inhibition Zone Diameter Assay. On the top of the figure are the names of
 330 the fungi tested and in the first row are their positive controls (1-4). The first column
 331 corresponds to the positive control of the yeast isolates (A-D). (B) Percentage of growth
 332 inhibition growth. The experiments were performed in triplicate and results are the
 333 average. Different letters indicate significance difference at 95% confidence level.

334



335

336 **Figure 3.** Percentage of growth inhibition obtained by the confrontation assay after seven
 337 days incubation at 22 °C. The experiments were performed in triplicate and results are the
 338 average. Different letters indicate significance difference at 95% confidence level.

339