

# When green infrastructure turns grey: implications of overdesign for plant water stress

Min-cheng Tu<sup>1</sup>, Ph.D., P.E.

Joshua S. Caplan<sup>2,\*</sup>, Ph.D.

Sasha W. Eisenman<sup>2</sup>, Ph.D.

Bridget Wadzuk<sup>1</sup>, Ph.D., P.E.

<sup>1</sup> Department of Civil and Environmental Engineering, Villanova University, Villanova, PA 19085

<sup>2</sup> Department of Architecture and Environmental Design, Temple University, Philadelphia, PA 19002

\*\*Corresponding author. Email: jcaplan@temple.edu

## ABSTRACT

Overdesign is a common strategy used by green infrastructure (GI) designers to account for unexpected performance loss, but such a strategy can create undesirable plant responses if it decreases water availability. The seasonal and event-based stomatal conductance data of two woody plant species in a green infrastructure (GI) was analyzed. The GI is a tree trench composed of five tree pits (each one was planted with a tree) in an infiltration bed. Runoff collected from the street was supplied to the bottom of the infiltration bed, although the system never filled completely indicating there was capacity for more runoff than what was observed over 3 years and the infiltration bed was overdesigned. Between the two tree species, evidence suggested that the root system of London plane spread beyond the boundary of the GI system and reached a subsurface water source, while that of hybrid maple did not. London plane showed a slower response to water added in the tree pit soil, which can indicate the reduced dependence on GI soil water after plants have reached an alternative water source. Such reduction is not favored

24 because it defeats the purpose of having plants in GI systems. Designs using root barriers, appropriate  
25 plant species selection, etc. are recommended to avoid unwanted root spread. This study also found that  
26 GI design relying on upward water movements should be avoided because such design creates a narrow  
27 capillary zone on top of a saturated zone, which does not encourage transpiration.

28

## 29 KEY WORDS

30 leaf water potential; low impact development; stormwater control measure; tree trench; stomatal  
31 conductance; evapotranspiration; HYDRUS; simulated runoff test

## 32 INTRODUCTION

33           The use of green infrastructure (GI) to control non-point pollution associated with stormwater  
34 has been written into law by municipalities around the world (Botting and Bellette 1998, Roe and Mell  
35 2013, US EPA 2019). Such laws protect surface water bodies in and adjacent to urban areas from  
36 contaminants transported by stormwater as well as those released during combined sewer overflow  
37 events (Tu and Smith 2018). By reducing the volume of stormwater entering combined sewer systems, GI  
38 lowers pollutant loading, runoff velocity, and peak flow rates (Ahiablame et al. 2012). Although GI systems  
39 need not be vegetated, plants and soils can contribute substantially to volume reduction, in particular by  
40 enhancing evapotranspiration (ET), interception, and infiltration (Buccola and Spolek 2011, Lucas and  
41 Greenway 2011, Yang and Li 2013, Tu and Traver 2019a). Evapotranspiration is arguably the most effective  
42 of these processes; studies using weighing lysimeters have found that 50% of precipitation can be  
43 removed by ET (Rejskova et al. 2012, DiGiovanni et al. 2013, Wadzuk, et al. 2013, Wadzuk et al. 2015,  
44 Zaremba et al. 2016, Hess et al. 2017). Moreover, vegetated GI systems provide additional ecosystem  
45 services such as improving air quality and cooling the local environment, thereby promoting human health  
46 (Wang et al. 2014).

47           Many stormwater control systems are deliberately oversized, i.e., built larger than required,  
48 to ensure that not only is the design goal achieved but that there is a margin for performance degradation  
49 through time (e.g., due to sedimentation). Overdesign is generally considered benign to stormwater  
50 control performance and is sometimes even favored (Vrban et al. 2018). However, there is mounting  
51 evidence that overdesign can have negative implications for plant water availability in systems designed  
52 to use stormwater as a primary water source for vegetation (Brown et al. 2015, Caplan et al. 2019). For  
53 plants that are unable to reach alternative water sources, overdesign may increase the frequency,

54 intensity, and duration of plant water stress events, ultimately reducing transpiration rates and  
55 diminishing the associated benefits.

56 Any implications of overdesign for GI hydrology and plant water stress are likely to be moderated  
57 by plants' biological characteristics. For example, woody plants in overdesigned systems could be  
58 expected to experience water shortages more rapidly after storms compared to herbaceous species given  
59 their greater canopy-scale transpiration rates (Baldocchi et al. 2004). However, there may also be  
60 considerable differences among species within a given functional type. For example, variation in the size,  
61 architecture, or morphology of root systems are known to influence access to water and transpiration  
62 responses to varying soil moisture regimes (Reece and Riha 1991, Bouda et al. 2018).

63 A number of physiological measurements can provide insight into plant water relations, including  
64 water stress, though they have infrequently been used to evaluate GI systems. Transpiration (i.e., the  
65 efflux of water from a leaf or canopy) is the most widely used due to its importance in characterizing the  
66 water balance of many systems, but it is often measured in combination with evaporation (as ET).  
67 Moreover, transpiration is influenced by atmospheric conditions and therefore only loosely indicative of  
68 plant water stress. In contrast, stomatal conductance ( $g_s$ ) is a metric that directly reflects the component  
69 of water release influenced by plants;  $g_s$  can be considered transpiration normalized to the evaporative  
70 demand of the atmosphere (specifically the vapor pressure gradient between the leaf and the air; Lambers  
71 et al. 1998). Values below approximately  $200 \text{ mmol m}^{-2} \text{ s}^{-1}$  under well-lit conditions are often indicative of  
72 water stress in temperate species, though this is highly variable (). Perhaps the best measure of water  
73 stress is the potential of water in plant tissue, with measurements made on leaves when they are at their  
74 daily minimum near mid-day ( $\Psi_{md}$ ) being the most commonly-used. Values below  $-1.5 \text{ MPa}$  typically  
75 indicates a stressed state in species that are intolerant of drought or saline soils ().

76 A series of investigations was carried out to probe the dynamics of a tree trench suspected to  
77 have been overbuilt. Tree trenches are a type of GI in which one or more tree pits are embedded in a  
78 gravel-filled catchment. Stormwater is intended to enter the soil pits from below, such that these systems  
79 could be subject to hydrological limitation if overdesigned. This study had four objectives, each of which  
80 was addressed through a distinct investigation:

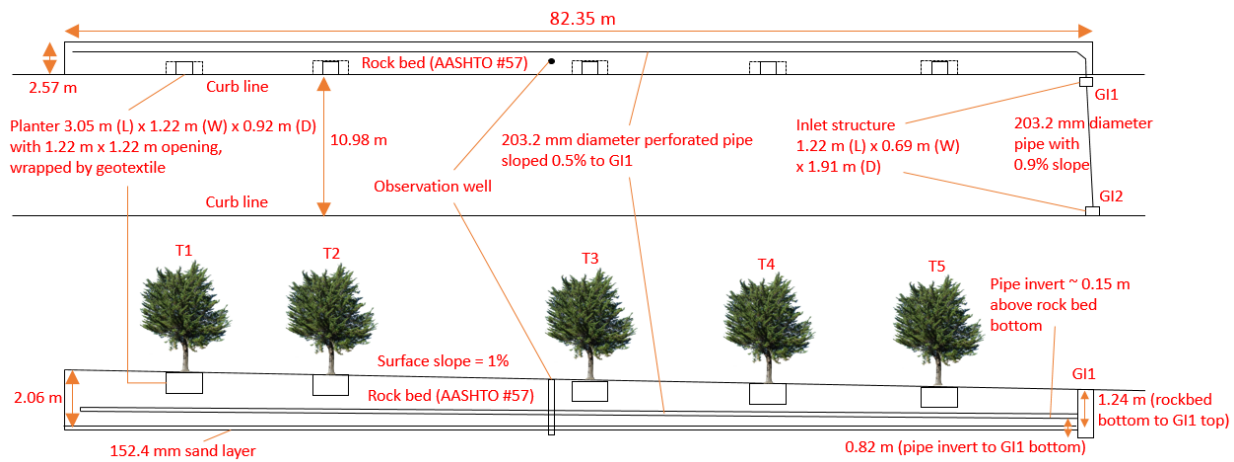
- 81 (1) Confirm that hydrological conditions in the tree trench indicate that it was overbuilt. This was  
82 done by determining the frequency that the stormwater level in the trench intercepted soil  
83 pits.
- 84 (2) Characterize the response of tree water relations to varying soil moisture conditions and  
85 determine how this response varies across species. This was done by evaluating how leaf  
86 water potential and stomatal conductance changed for two species in response to variation  
87 in soil moisture conditions throughout a growing season.
- 88 (3) Determine how soil moisture levels and tree water use respond to an extremely large storm  
89 event, i.e., the best case scenario with respect to stormwater delivery to tree pits' soil if the  
90 system is overdesigned. To achieve this, a simulated runoff test was conducted in the focal  
91 system simultaneous with repeated measurements of stomatal conductance.
- 92 (4) Evaluate how the system's design and soil type influence the soil moisture response to  
93 wetting by the infiltration bed. This was done using a 2D hydrologic model of a soil pit that  
94 was parameterized with empirical measurements of the soil's hydraulic properties and the  
95 time series of soil moisture during the simulated runoff test.

## 96 MATERIALS AND METHODS

### 97 Site description

98           This study focused on a GI tree trench (212 m<sup>2</sup>) located in northeast Philadelphia, USA, at 40.070°  
99 N, 75.175° W. The tree trench was constructed in 2013 and is composed of a gravel-filled infiltration bed  
100 installed beneath a sidewalk. Five trees and their associated soil pits (numbered T1 to T5) are embedded  
101 in the gravel bed (Fig. 1**Error! Reference source not found.**). The soil pits contain an engineered sandy soil  
102 (87.6% sand, 8.2% silt, 4.2% clay) surrounded with geotextile. The trees in T1, T3, and T5 are *Plantanus ×*  
103 *acerifolia* ‘Bloodgood’ (London plane tree) while those in T2 and T4 are *Acer × freemanii* ‘Armstrong’  
104 (Freeman’s maple). The bottom of the infiltration bed is lined with a layer of sand 15 cm thick.  
105 Approximately 2,494 m<sup>2</sup> of road surface area drains to the trench at an 11.8:1 ratio of directly connected  
106 impervious area to treatment bed area. Two inlet structures (GI1 and GI2) collect runoff water from the  
107 street and a perforated distribution pipe delivers water collected by the inlet structures into the  
108 infiltration bed. The system was designed to retain runoff from a storm size of up to 47.5 mm without  
109 overflowing, and runoff that entered the bottom of the infiltration bed was intended to rise to the soil  
110 pits such that it would provide water to trees. Additional information about the site and tree trench  
111 system can be found in Tu and Traver (2018) and Caplan et al. (2019).

112



113

114 **Figure 1.** The green infrastructure system under investigation; plan view (top) and profile view (bottom).

115 Reprinted from Tu and Traver (2018).

## 116 Hydrological characterization

117 A time series dataset of water depth in the trench was used to quantify the frequency that  
 118 stormwater reached the bottom of each tree's soil pit. The dataset spanned March 2015 to April 2018,  
 119 with data collected at 5 min intervals; 119 storms occurred during this period. A HOBO pressure  
 120 transducer (Onset Computer Corporation 2019) recorded water depth in the infiltration bed; it was set  
 121 into an observation well in the center of the trench (Fig. 1). Volumetric soil moisture content was  
 122 measured at 5 min intervals in each soil pit using HydraProbe sensors (Stevens Water Monitoring Systems  
 123 2019) located at 10 cm and 35 cm depth with two additional sensors were installed at 60 cm in T2 and T4.  
 124 The 60-cm sensor in T4 was the deepest by elevation. A weather station installed at the site monitored  
 125 precipitation and other meteorological conditions; details are provided in Tu and Traver (2018).

## 126 Plant water stress

127 The response of tree water relations to varying soil moisture conditions was characterized from a  
 128 dataset on mid-day leaf water potential ( $\Psi_{md}$ ) and stomatal conductance ( $g_s$ ) collected in 2015.

129 Procedures for data collection are described in detail elsewhere (Caplan et al. 2019) but briefly,  $\Psi_{md}$  and  
130  $g_s$  were measured near mid-day (1100-1300 h) 1-2 times per week throughout the growing season (May  
131 to October) in each of the five trees. A leaf porometer (SC-1, Meter Environment, Logan, USA) was used  
132 to measure  $g_s$  and a Scholander-type pressure chamber (PMS Instruments, Corvallis, USA) was used to  
133 measure  $\Psi_{md}$ . Records of the times when each physiological data point was collected were used to extract  
134 soil moisture data from an imputed time series representing levels at the 35 cm depth. Pearson correlation  
135 statistics were used to quantify the strength of the relationship between each water relations variable  
136 and soil moisture levels.

### 137 Simulated runoff test

138 A simulated runoff test (SRT) was performed on 1 August 2017 to investigate tree and trench  
139 dynamics under relatively extreme inflow conditions. Runoff water was provided by a street hydrant  
140 connected to a flow meter such that the release rate could be adjusted so the water depth would increase  
141 gradually and approximately linearly. Water was directed across the street surface so that it would flow  
142 towards the pair of inlets (Fig. 1) and enter the tree trench via the same pathway taken by runoff. The SRT  
143 began after a set of baseline measurements of tree performance was completed (see below) and  
144 proceeded for approximately 3.5 hours. Soil moisture, water depth, and associated hydrological data were  
145 collected throughout.

146 Trees' water use responses to the SRT were determined using measurements of  $g_s$ .  
147 Measurements spanned five time periods: one immediately prior to the SRT, three during the SRT, and  
148 one the morning after the SRT. In addition to the five trees in the focal tree trench, a London plane tree  
149 in an adjacent GSI system of the same design, but that did not experience the SRT, was also measured to  
150 provide a reference for diurnal changes in  $g_s$ . To account for variation in  $g_s$  within each tree's canopy,



151 measurements were taken from at least three randomly selected leaves from both exterior and interior  
152 portions of the canopy (n = 6-14 measurements per tree per period).

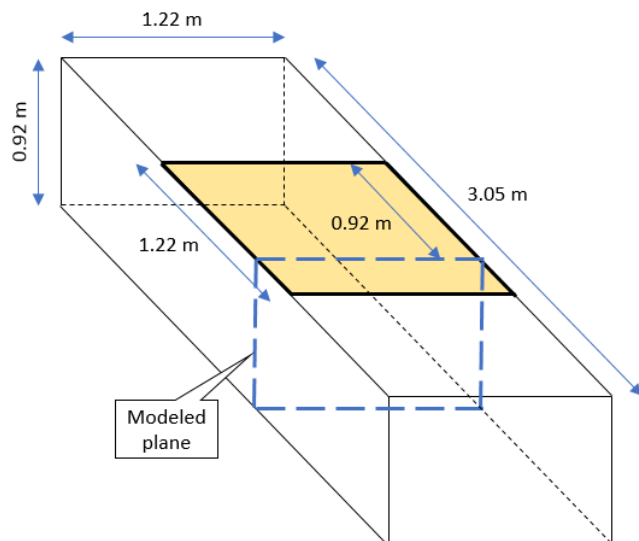
153 A statistical analysis was performed to determine how  $g_s$  changed during the course of the SRT, if  
154 this differed from the tree in the adjacent trench, and if responses differed between species. A mixed-  
155 effects linear regression model was used; fixed effects included species identity, GI identity (i.e., SRT or  
156 non-SRT), canopy position (i.e., measurements taken in either the interior or exterior portion of the  
157 canopy), measurement period (a discrete variable with five levels), as well as all possible two-way  
158 interactions except GI identity  $\times$  species (because only data of a single species were available for the non-  
159 SRT GI). A random effect for tree identity (discrete with 6 levels) was included to account for repeated  
160 measurements of individual trees. All hierarchically complete models were fit using maximum likelihood  
161 estimation via the *lme4* library in R (Bates et al. 2017), with binary variables coded  $\pm 0.5$  and  $g_s$  centered  
162 and divided by two standard deviations (Gelman 2008). Model-averaged coefficients were then  
163 determined for all models with  $\Delta AIC_c < 4$ ; coefficients for terms not present in a model were taken to be  
164 zero for the purpose of averaging. This process yielded robust coefficients (denoted  $\beta$ ) proportional to  
165 each term's effect on  $g_s$ , as well as their unconditional standard errors and 95% confidence intervals  
166 (Grueber et al. 2011). The model term the first period defined the intercept of the model, such that terms  
167 for periods 2-5 represented deviations from it.

## 168 Hydrological modeling

169 A hydrological model was used to evaluate the response of soil moisture to wetting by stormwater  
170 from the infiltration bed. The model used (HYDRUS-2D) simulates water movement in variably saturated  
171 and unsaturated soil media by solving the Richards equation (PC-PROGRESS 2019); it was parameterized  
172 for a vertical plane through the T4 soil pit such that it intersected the position of a 60-cm soil moisture  
173 sensor (dashed line in Fig. 2). This location was selected because it allowed for direct comparison to the

174 empirical soil moisture dataset. In the model, all boundaries below the elevation corresponding to the  
175 highest water level reached (during the SRT) were designated as having “variable head” conditions. The  
176 remaining side walls were designated as “seepage faces” because the pits were surrounded by gravel  
177 subject to atmospheric pressure (Ebrahimian and Noory 2014). No flow was assumed at the top boundary.  
178 Water retention parameters of the soil in the tree pits were derived empirically using a pair of intact cores.  
179 Pressure potentials and their corresponding water content values were measured with a HYPROP and  
180 WP4C (METER Environment 2019b). Parameters were determined by fitting the van Genuchten function  
181 to the combined datasets; parameter values from the two curves were averaged (Table 1). At the outset  
182 of model runs, soil moisture was set to match the value recorded by the soil moisture sensors ( $0.16 \text{ m}^3 \text{ m}^{-3}$ ).  
183  $^3$ ).

184           The geotextile was not depicted in the model. Given its permeability ( $0.274 \text{ cm s}^{-1}$ ) and thickness  
185 (0.15 cm), even if the flow velocity through the geotextile was equal to the soil’s saturated hydraulic  
186 conductivity tree pit ( $0.296 \text{ cm s}^{-1}$ ), the head loss generated by the geotextile would have been negligible  
187 (0.16 cm).



188

189 **Figure 2.** Location and orientation of the plane modeled in HYDRUS 2-D. The yellow square represents  
 190 the opening in the sidewalk in which the tree is located and the blue dashed line represents the  
 191 modeled plane.

192

193 **Table 1.** Parameters of the engineered soil's water retention curve as used in HYDRUS-2D

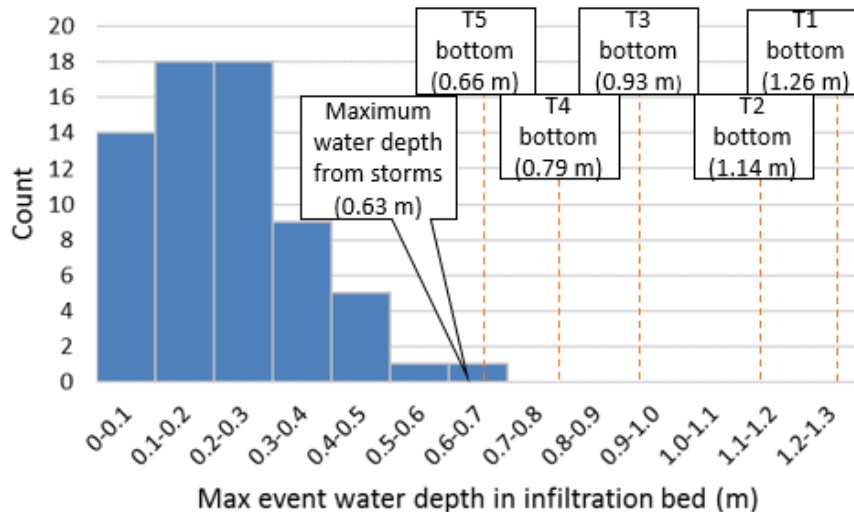
Parameter	Unit	Meaning	Value
$Q_r$	$m^3 m^{-3}$	Residual water content	0.029
$Q_s$	$m^3 m^{-3}$	Saturated water content	0.479
$\alpha$	$cm^{-1}$	Air entry suction parameter	0.040
$n$	dimensionless	Pore size distribution parameter	1.344
$K_s$	$cm min^{-1}$	Saturated hydraulic conductivity	0.296

194

## 195 RESULTS

### 196 Hydrological characterization

197 Of the 119 recorded storms between March 2015 and April 2018, 66 yielded enough water to be  
 198 detectable in the infiltration bed. Excluding the SRT, none of these storms caused water to rise sufficiently  
 199 high in the infiltration bed to reach the bottom of tree pits (Fig. 3). The maximum observed water depth  
 200 was associated with a 69 mm storm and caused water to rise to 0.63 m. This was 0.03 m lower than the  
 201 bottom of the T5 soil pit and 0.63 m lower than the bottom of the T1 soil pit.

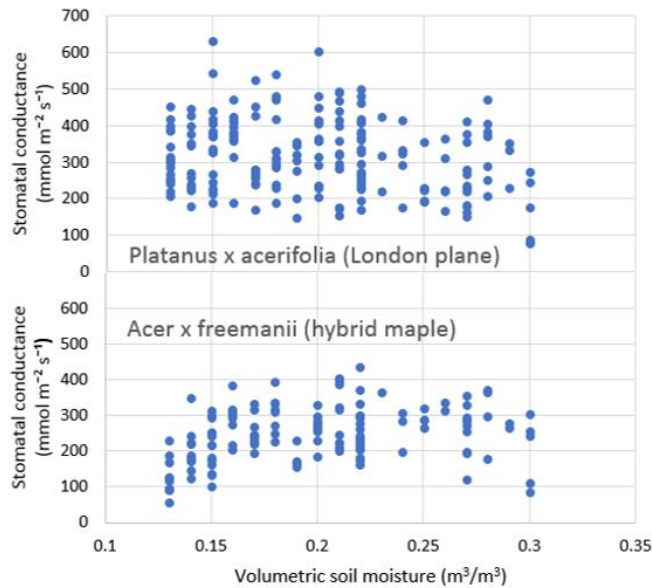


202  
 203 **Figure 3.** Distribution of maximum event water depth (natural storms only) in the tree trench's  
 204 infiltration bed between March 2015 and April 2018.

### 205 Plant water stress

206 The transpiration behavior of woody plants in the oversized GI system was analyzed by  
 207 comparing volumetric soil moisture ( $\theta$ ) and stomatal conductance ( $g_s$ ) for London plane (*Platanus x*  
 208 *acerifolia*, in T1, T3, and T5) and hybrid maple (*Acer x freemanji*, in T2 and T4) throughout the growing  
 209 season of 2015 (Fig. 4). From Fig. 4,  $\theta$  and  $g_s$  of London plane show little relationship with a Pearson

210 pairwise correlation coefficient of -0.24, but such relationship for hybrid maple is stronger with a  
 211 correlation coefficient of 0.34. The correlation coefficient for hybrid maple is even stronger (correlation  
 212 coefficient = 0.54) for the lower  $\theta$  region ( $\theta < 0.2$ ).

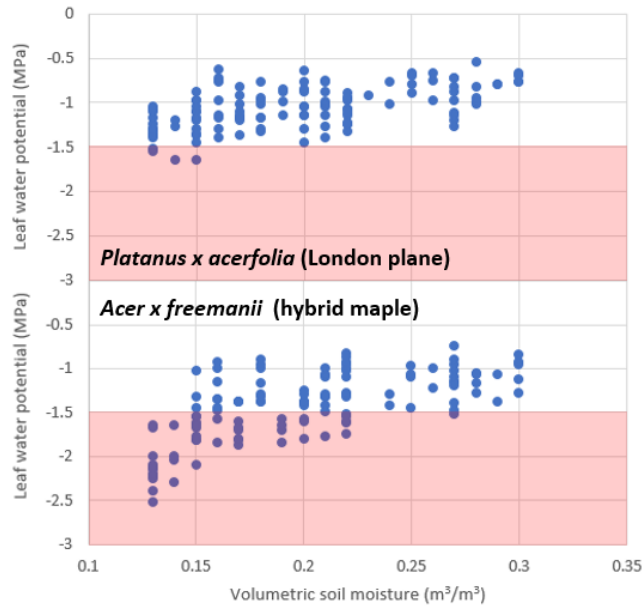


213

214 **Figure 4.** Relationship between soil moisture and stomatal conductance for both tree species.

215

216 Water stress due to dehydration (red shaded area in Fig. 5) was evaluated by leaf water potential  
 217 ( $\Psi$ ). Similar to the response of stomatal conductance,  $\Psi$  of London plane showed a weaker relationship  
 218 (correlation coefficient = 0.50) with tree pit soil  $\theta$  compared to that of hybrid maple (correlation  
 219 coefficient = 0.66). It is also important to highlight that hybrid maple had experienced water stress for a  
 220 substantial portion of the growing season of 2015, while London plane showed much fewer incidents of  
 221 water stress.



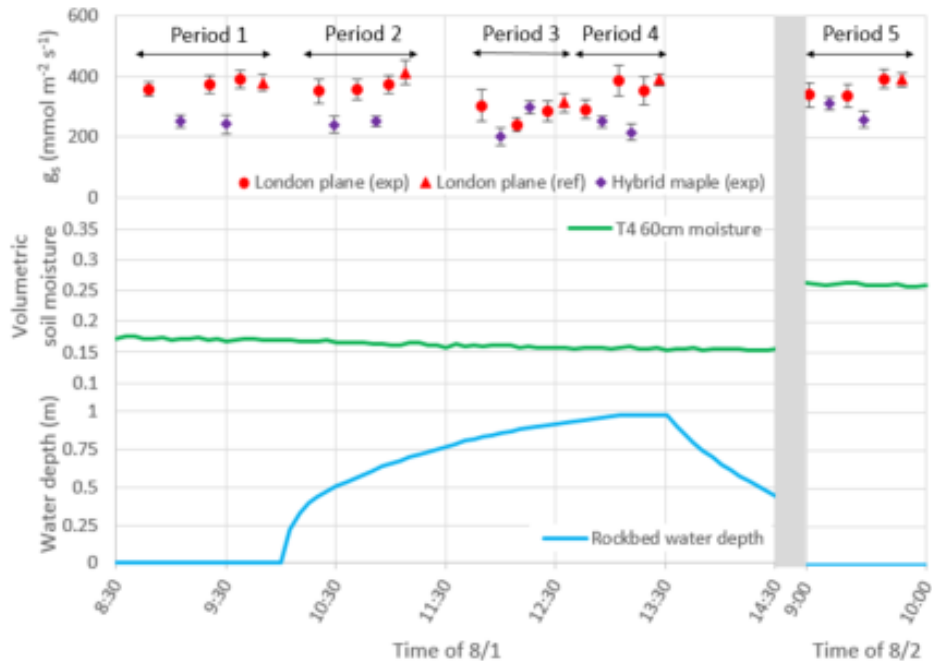
222

223 **Figure 5.** Comparison between soil moisture and stomatal conductance for both tree species. The red  
 224 shaded area indicates the region (pressure magnitude > 1.5 MPa) where water stress is possible  
 225 (Maarouf et al. 1999).

226

## 227 Simulated runoff test

228 Fig. 6 shows sub-hourly  $g_s$ ,  $\theta$  from the deepest soil moisture sensor (i.e., the 60-cm sensor in T4),  
 229 and the water depth in the infiltration bed during the SRT and the following day. The SRT data were broken  
 230 into five time periods, where Period 1 represents pre-SRT data, Periods 2-4 represent the beginning,  
 231 middle, and end of the SRT, respectively, and Period 5 represents data in the following morning (after  
 232 conclusion of the SRT). A storm with rainfall depth of 9.9 mm happened in the evening of August 1, 2017  
 233 (several hours after the conclusion of the SRT), which increased the soil moisture on August 2.



234

235 **Figure 6.** Data collected during the SRT of 8/1/2017 and the following day with the average and standard  
 236 deviation of  $g_s$  for London plane with SRT (red circles), reference London plane without SRT (red  
 237 triangles), and Hybrid maple with SRT (purple diamonds) were divided into five time periods.  
 238 The volumetric soil moisture at 60 cm in T4 (green line) and rockbed water depth (blue line) are  
 239 shown.

240

241 Table 2 provides results of the mixed-effects linear model analysis. Tree-level means of  $g_s$   
 242 remained between approximately 200 to 400  $\text{mmol m}^{-2} \text{s}^{-1}$  during all measurement periods (Fig. 6); this  
 243 was both the case for trees that did and did not experience the SRT. Statistical modeling showed that  $g_s$   
 244 in the non-SRT GI were marginally greater ( $\beta = -0.144$  with 95% CI including zero). This difference  
 245 regarding GI identity was likely because the non-SRT GI only contained London plane.

246 The greatest source of variation in  $g_s$  was species identity (London plane had substantially greater  
 247 rates than the hybrid maple;  $\beta = 0.427$ ). The second-strongest source of variation in  $g_s$  was canopy

248 position. Leaves from the exterior portions of canopies also had greater  $g_s$  than those from canopy  
 249 interiors ( $\beta = 0.375$ ), as expected (Campbell et al. 1992).

250 The data presented no evidence that  $g_s$  differed among measurement periods except for Period  
 251 3. The value of  $g_s$  declined during Period 3 (11:30-12:30 on August 1) with  $\beta = -0.176$  for the main effect;  
 252 this effect was potentially greater for London plane ( $\beta = -0.251$  for the interaction), though the  
 253 coefficient's 95% CI included zero. The decline was likely a result of the trees restricting water loss in  
 254 response to high evaporative demand during the middle part of the day. This phenomenon has been  
 255 observed in other studies previously (e.g., Zhang et al. 2013). Even though Period 5 showed only a very  
 256 minor overall increase in  $g_s$  ( $\beta = 0.098$ ), hybrid maple showed greater influence on the increase of  $g_s$  ( $\beta$   
 257  $= -0.135$ ) in this period.

258 Even though Period 3 showed lower  $g_s$ ,  $g_s$  returned to the same level in Period 4 ( $\beta = -0.038$ )  
 259 while the weather conditions of Period 3 (11:30-12:30) and Period 4 (12:30-13:30) were similar. It can be  
 260 hypothesized that some water did enter tree pits during the last hour of the SRT (period 4), which might  
 261 have compensated for the water restriction experienced by the plant, so its  $g_s$  returned to the level of  
 262 Period 1 and Period 2. Both species showed such recovery in Period 4 ( $\beta = 0.002$ ).

263

264 **Table 2.** Statistical modeling results for a linear mixed-effects model of stomatal conductance with  
 265 coefficients in bold showing model terms have higher influence with  $|\beta| > 0.1$ .

Model term	Coefficient ( $\beta$ )	SE	95% CI
Period 2	0.019	0.069	(-0.116, 0.155)
Period 3	<b>-0.176</b>	0.075	(-0.324, -0.029)
Period 4	-0.038	0.070	(-0.176, 0.099)
Period 5	0.098	0.070	(-0.040, 0.236)
Canopy position	<b>-0.375</b>	0.055	(-0.483, -0.268)
Species	<b>0.427</b>	0.100	(0.232, 0.622)
GI identity	<b>-0.144</b>	0.075	(-0.290, 0.003)
Period 2 x Species	0.022	0.119	(-0.211, 0.255)



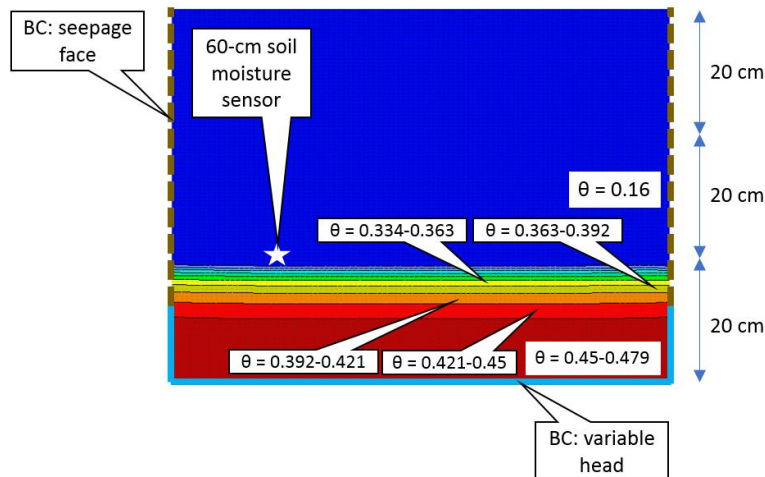
Period 3 x Species	<b>-0.251</b>	0.200	(-0.643, 0.140)
Period 4 x Species	0.002	0.119	(-0.232, 0.236)
Period 5 x Species	<b>-0.135</b>	0.146	(-0.421, 0.151)
Canopy position x Species	<b>-0.205</b>	0.110	(-0.420, 0.010)
Canopy position x GI identity	-0.016	0.068	(-0.149, 0.118)

266

267 **Hydrological modeling**

268 The observed soil moisture data in Fig. 6 showed no change of  $\theta$  throughout the SRT, which was  
 269 simulated in the HYDRUS-2D model. In Fig. 7, blue solid lines at the bottom and side represent the variable  
 270 head boundary condition and the top of the blue line corresponds to the highest water level observed in  
 271 the infiltration bed (Figure 6 at 13:30), and the dashed brown lines on the sides represent the seepage  
 272 face boundary condition. From the simulation, the soil was close to saturation ( $\theta = 0.45\text{-}0.479$ ) below the  
 273 elevation of the highest water level. Above the highest observed water level, the wetting front had a sharp  
 274 vertical soil moisture gradient with  $\theta$  dropping from near saturation to 0.16 in approximately 10 cm, so  
 275 the wetting front did not quite reach the location of the 60-cm soil moisture sensor (white star in Fig. 7).  
 276 This simulation results indicated that there was limited upward water movement and a thin capillary zone  
 277 in the tree pit soil above the highest water level in the infiltration bed. Because the sandy GI soil had  
 278 limited upward capillary lift, no change in soil moisture was measured above the background value of 0.16  
 279  $\text{m}^3 \text{m}^{-3}$  at the 60-cm soil moisture sensor during the SRT (Figs 6 and 7).

280 Note that the SRT was performed four years after completion of the GI in 2013; therefore, clogging  
 281 of the geotextile was possible (Veylon et al. 2016). Because no field data were available regarding the  
 282 current state of the geotextile, this factor was not simulated, but the actual wetting front could be lower  
 283 than modeled because hydraulic properties of the potentially degraded geotextile (i.e., providing more  
 284 head loss).



285

286 **Figure 7.** Simulation result at the end of SRT (13:30 in Fig. 6) before shutting down water supply and  
 287 where the highest water level elevation was achieved

288

## 289 DISCUSSION

290 The SRT data showed stomatal conductance behaviors between the two tree species. For T3-T5,  
 291 water started to enter the tree pit soil in Period 3 (as supported by both water elevation data of the  
 292 infiltration bed and the HYDRUS modeling result), which was the period that both tree species started to  
 293 show the restriction effect from high evaporation demands. As the analysis associated with Table 2 shows,  
 294 London plane showed a more serious decline in  $g_s$ , implying that such additional water in tree pits had  
 295 either smaller or slower influence on London plane. Similarly, tree pit soil received additional water  
 296 between Period 4 and Period 5 because of the storm in the evening of August 1. Hybrid maple showed  
 297 more increase in  $g_s$  in Period 5 compared to that of London plane. Both incidences could indicate that  
 298 London plane has lower or slower response to moisture change of the tree pit soil.

299 One possible explanation to this observation, as Caplan et al. (2019) proposed, is the different  
 300 water use strategies between isohydric and anisohydric plants. Isohydric plants tend to restrict  $g_s$  more

301 strongly under drier conditions, while anisohydric plants maintain open stomata. The literature  
302 categorized London plane as an isohydric plant, which conforms with the observation in Period 3 of the  
303 SRT. However, it does not directly explain the prompt response of hybrid maple for water addition  
304 between Periods 4 and 5. Moreover, the literature did not provide a categorization for hybrid maple yet.  
305 Therefore, the explanation provided by Caplan et al. is plausible but still need more data and study to be  
306 confirmed.

307 An alternative hypothesis to explain this observation regarding the difference between the  
308 London plane and hybrid maple response is that London plane reached an alternative subsurface water  
309 source that decreased its dependence on changes of soil moisture in tree pit soil, while hybrid maple had  
310 not. Several indirect evidences can support such hypothesis, but more study is required. First, the long-  
311 term stomatal conductance ( $g_s$ ) and leaf water potential ( $\Psi$ ) data (Fig. 4) suggested that London plane  
312 has low dependence on water in the tree pit, while hybrid maple has a much higher dependency. Similarly,  
313 London plane showed few incidences of water stress (Fig. 5) for various  $\theta$  (of tree pit soil) while hybrid  
314 maple showed frequent water stress in the same region of  $\theta$ . Second, root-like tissues were frequently  
315 found protruding into the perforated orifices, as illustrated by the red oval in **Error! Reference source not**  
316 **found.**, which is a screenshot from a video clip recorded during subsurface cleaning in June 2016. One  
317 exemplary subsurface maintenance video record has been uploaded to the journal webpage associated  
318 with this paper. Caplan et al. (2019) also had the same finding and hypothesized that the roots have been  
319 able to penetrate beyond the infiltration bed and tap into alternative water source in the native soil.  
320 However, the roots of London plane and hybrid maple cannot be differentiated in Fig. 9 as the appearance  
321 of root tissue was distributed uniformly in the pipe (i.e., not concentrated at locations directly beneath  
322 trees). It might be caused by the fact that the spread of such root tissue is more prolific in the horizontal  
323 direction, particularly in a water-limited environment (Schenk and Jackson 2002).

324



325

326 **Figure 8.** Root penetration in the distribution pipe during subsurface cleaning in June 2016

327

328 A third reason to support London plane found an alternative water source is the above-ground  
329 canopy size. Fig. 10 showed that the canopy size of hybrid maple (T2 and T4) is smaller than that of London  
330 plane (T1, T3, and T5). Schenk and Jackson (2002) discovered that the rooting depth and lateral spread of  
331 woody plants can be related to above-ground volume in water-limited ecosystems. Such a relationship is  
332 particularly strong for woody plants between the lateral spread and above-ground volume. The larger  
333 canopy size of London plane implied that its root system is significantly larger than that of hybrid maple.  
334 Therefore, London plane is much more likely to reach alternative water sources beyond the soil pit.



335

336 **Figure 9.** Comparison of canopy size between hybrid maple (T2) and London plane (T3) on April 27, 2017  
337 (sidewalk concrete panel serving as a reference, which is 1.22 m in width, photo by Min-cheng  
338 Tu)

339

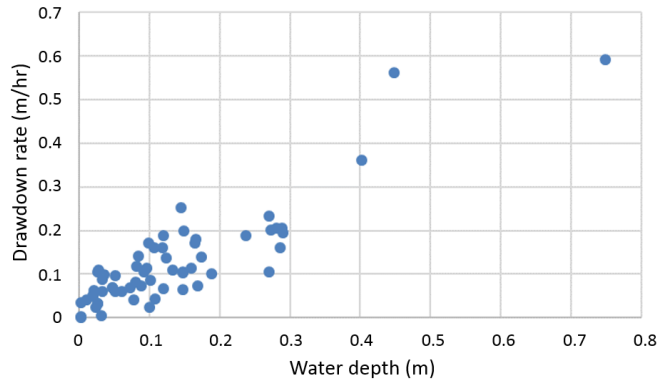
340 Lastly, literature suggests that plants can adjust functionality of individual root parts according to  
341 soil conditions (Reece and Riha 1991, Lai and Katul 2000). Reece and Riha (1991) observed that trees tend  
342 to boost water uptake of the part of root that was not flooded in the first few days so that the whole tree  
343 does not show degradation of water uptake due to flooding (Else et al. 2009). Similarly, Lai and Katul (2000)  
344 found that the part of root that was not at the wilting point contributed primarily to water uptake  
345 compared to the part of root that was near the wilting point. Therefore, for the current study, it is  
346 reasonable to assume that the trees would choose to extract water from a more stable water source if  
347 there was more than one source.

348 In the end, it should be stressed that the tree pits were never in contact with water in the  
349 infiltration bed (except during the SRT) as the long-term water level data shows. Although Philadelphia  
350 has annual precipitation over 1000 mm and is not regularly a water-limited environment, each tree can

351 only get water from the 1.22 m x 1.22 m surface openings due to hydrological disconnection with the  
352 infiltration bed. The hydrologic disconnection is due to an oversized system by volume and a media  
353 type that does not support capillary action. Thus, the trees were situated in a water-limited environment.

354 Both trees showed evidence that their root systems have expanded beyond the boundary of tree  
355 pits. Among these two species, the bigger trees (i.e., London plane) might even have accessed an  
356 alternative subsurface water source, which has become its primary water source because London plane  
357 showed low dependence on water in the tree pit and few incidences of water stress. Based on the  
358 reasoning above, it was hypothesized that under the water-limited condition, trees will rely on alternative  
359 subsurface water sources if they grow big enough to gain access to these alternative water sources.  
360 Moreover, such switch of water source might be semi-permanent, as London plane showed a slower  
361 response to water addition in the tree pits.

362 Such a water-limited environment was created by overdesign of the infiltration bed of the GI  
363 system, which was not necessary because the native soil has good infiltration capacity (Fig. 8). The  
364 infiltration bed draws down quickly (within 1-2 hours, Fig. 3), so overflow caused by statistically infrequent  
365 back-to-back storms (Wadzuk et al. 2017) is improbable. As a comparison to show the degree of  
366 overdesign, the City of Philadelphia Green Streets Design Manual (Philadelphia Water Department 2014)  
367 allows 72 hours for GI systems to fully drain.



## 386 CONCLUSIONS

387 This study showed that when a GI system (in this case, the infiltration bed) is oversized, the  
388 ability of woody plants to transpire and be an agent in runoff storage capacity recharge is impacted.  
389 However, the woody plants did try to adapt to the environment by switching water demand from outside  
390 the GI system, as hypothesized by this study. Analyses on both the long-term and SRT data suggested that  
391 only London plane tapped into an alternative underground water source. Because London plane possibly  
392 tapped into an alternative water source, its transpiration response (in terms of  $g_s$ ) showed slower/lower  
393 water stress response to water supply in the tree pit soil. Therefore, if water quantity reduction by  
394 vegetation in GI is the main goal, it is not advisable to allow root systems expanding beyond the boundary  
395 of GI systems because plants that found alternative water sources can decrease their water demand from  
396 the GI, thus defeating the original purpose to have plants in GI systems. Primarily, a vegetated GI system  
397 should be designed to support the selected plant community along with the stormwater management  
398 goals. Additionally, some possible practices that can be used in GI design are the adoption of root barriers  
399 to curb lateral root expansion, deeper soil depth to contain vertical root growth within the GI boundary,  
400 and the selection of smaller species so its root growth can be contained in the GI system. Schenk and  
401 Jackson (2002) found that some shrub species can have a positive relationship between root system sizes  
402 and rainfall depth, which means their root systems are smaller when water supply becomes scarce.  
403 Selection of these shrub species, instead of trees, might be a solution for oversized GI systems, but  
404 the issue of increased maintenance cost from higher mortality rate still exists (Varone et al. 2012).

405 The infiltration bed of the system under investigation was proven to be oversized by long-  
406 term water depth data in the infiltration bed. Under natural conditions, water in the infiltration bed never  
407 reached the tree pits; therefore, each plant receives water from only the sidewalk opening. Such  
408 prolonged dry conditions influence plant rooting. Schenk and Jackson (2002) showed that lower rainfall



409 depth can influence trees to grow deeper root systems, albeit such a relationship is weak. Therefore, it is  
410 possible that the prolonged dry condition caused by the overdesign might have stimulated London plane  
411 to expand its root system beyond the boundary of the GI, but more research is needed to confirm such  
412 hypothesis.

413 Findings and hypotheses formed from this study can lead to numerous future research directions.  
414 For instance, a comparison study with London plane planted in a controlled environment such as the tree  
415 pit box designed by Asawa et al. (2017) can be performed. In such a controlled environment, plants cannot  
416 get additional water from other water sources; therefore, it is expected to see London plane have  
417 different responses in  $g_s$ . The result can be used to confirm or nullify the hypothesis of the current study  
418 that London plane has reached an alternative water source. Such experimental design can also provide a  
419 more robust temporal resolution of long-term  $g_s$  data to discern impact of environmental factors such as  
420 temperature, relative humidity, and solar radiation. Isotope study at the trees is another possible future  
421 research direction as the isotope signatures in surface water and in groundwater are different. Therefore,  
422 an isotope study can present solid evidence whether the trees are getting water from an alternative  
423 subsurface water source. Further, another future research direction is to identify how plants with  
424 different health conditions can influence their water uptake efficiency. This is important to affirm the  
425 conclusion of the current study. In the current study, the effect of restricting plant roots in the GI system  
426 was overlooked and the focus was the source of water uptake. For trees with roots limited in the GI system,  
427 water stress might cause the trees to grow less healthy. Compared to a healthy tree that has tapped into  
428 a subsurface water source and only a minor part of water uptake is from the GI system, the unhealthy  
429 tree can take less water from the GI system because of its health condition. Due to the limitation of  
430 available data, this is something that cannot be investigated in the current study but is worth investigating  
431 in future research..

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