

1 *Review*

2 **Structure and function of multimeric G-quadruplexes**

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10 **Abstract:** G-quadruplexes are noncanonical nucleic acid structures formed from stacked guanine
11 tetrads. They are frequently used as building blocks and functional elements in fields such as
12 synthetic biology and also thought to play widespread biological roles. G-quadruplexes are often
13 studied as monomers but can also form a variety of higher-order structures. This increases the
14 structural and functional diversity of G-quadruplexes, and recent evidence suggests that it could
15 also be biologically important. In this review we describe the types of multimeric topologies
16 adopted by G-quadruplexes and highlight what is known about their sequence requirements. We
17 also summarize the limited information available about potential biological roles of multimeric
18 G-quadruplexes and suggest new approaches that could facilitate future studies of these structures.

19 **Keywords:** G-quadruplex; dimer; tetramer; multimer; oligomer; telomere; promoter; R-loop;
20 DNA:RNA hybrid

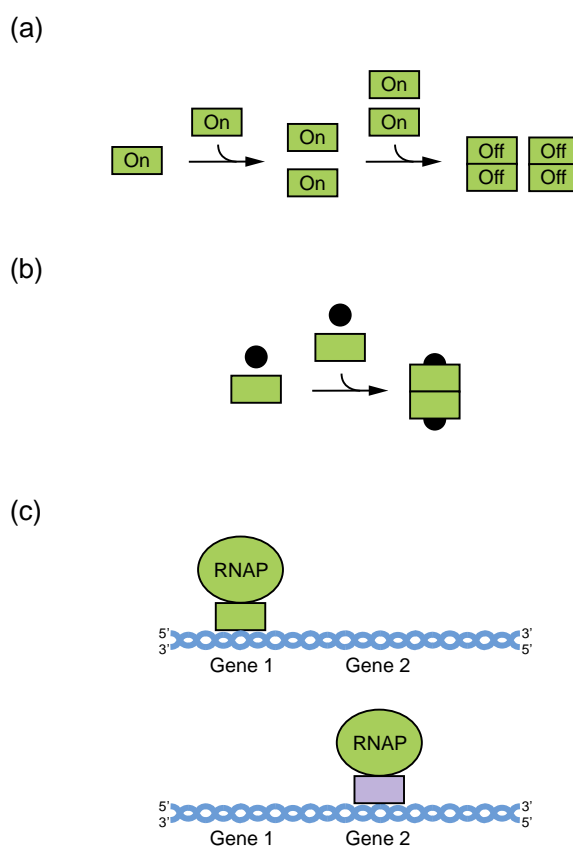
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22 **1. Introduction**

23 The B-form double helix is the most well known nucleic acid structure but it is not the only one.
24 Other examples include double helices with geometries that differ from that of classical B-form
25 DNA, such as Z-DNA [1], triple helices [2], and even four-stranded structures in which canonical
26 A-T and C-G base pairs are absent [3-4]. Among these noncanonical folds, the G-quadruplex (GQ)
27 has received the most attention. This is a four-stranded structure typically stabilized by stacked
28 guanine tetrads connected by short loops [3, 5-6]. Because of their high stability, structural
29 versatility, and functional diversity, GQs have been widely used as building blocks and functional
30 elements in fields such as synthetic biology [7-8]. Sequences with the potential to form GQs are also
31 abundant in the genomes of higher eukaryotes [9-10], and recent studies using GQ-specific
32 antibodies indicate that these structures can form in the context of cells [11-12]. GQs are thought to
33 play a variety of biological roles. These including regulation of transcription, translation, DNA
34 replication, and RNA localization [13-15].

35 Most biological studies to date have focused on monomeric GQs. However, GQs can also
36 adopt a variety of multimeric forms. These include relatively small structures such as dimers.
37 They also include larger ones like G-wires, which can contain hundreds of GQ monomers.
38 Although the ability of GQs to multimerize has long been recognized, the possibility that such
39 high-order structures form in the context of cells has received less attention. From our perspective,
40 however, two observations suggest that this possibility should be considered. First, the cellular
41 concentrations of GQs are surprisingly high, especially in higher eukaryotes. For example, current
42 estimates suggest that human cells contain at least 716,000 DNA GQs [16] in a volume (for a HeLa
43 cell nucleus) of 0.22 pL [17]. This corresponds to a cellular GQ concentration of 6 μ M. Not all of
44 these GQs will be present at the same time in the cell cycle, and not all will be capable of forming
45 dimers. On the other hand, this concentration is orders of magnitude higher than that required for

46 efficient GQ multimerization. For example, in a recent study we identified GQs with dissociation
 47 constants of dimer formation as low as 35 nM [18]. The hypothesis that GQs form multimeric
 48 structures in cells is also compelling when the myriad evolutionary and functional advantages of
 49 this mechanism are considered [19-22]. These include the ability to regulate biochemical function
 50 based on concentration, to detect ligands with enhanced sensitivity by cooperative binding, and to
 51 modulate activity in a rapid and reversible manner by exchanging dimerization partners (Figure 1).
 52 Inspired by these considerations, in this review we first describe the types of multimeric topologies
 53 adopted by GQs and review what is known about their sequence requirements. We then
 54 summarize the limited information currently available about the potential biological roles of
 55 multimeric GQs in cells and suggest new approaches that could facilitate future studies of these
 56 structures, especially in the context of cells.



57 **Figure 1.** Regulation of biochemical function by multimerization. (a) Concentration-based control of
 58 biochemical function. In this scheme, monomers are biochemically active and dimers are not. At
 59 concentrations below the dissociation constant for dimer formation, most of the population is
 60 monomeric and in the active state, while at concentrations above the dissociation constant most of
 61 the population is dimeric and in the inactive state. (b) Enhanced sensitivity to ligand concentration
 62 by cooperative binding. In this scheme, ligand binding is independent when binding sites are
 63 monomeric but cooperative when they are linked by multimerization. This leads to all-or-none
 64 binding and enhanced sensitivity to ligand concentration. (c) Modulation of biochemical activity by
 65 the exchange of dimerization partners. In this scheme, the gene transcribed by RNA polymerase is
 66 determined by the DNA-binding specificity of its dimerization partner.

67 2. Definition of multimeric G-quadruplexes and modes of multimerization

68 A multimer is an aggregate of molecules consisting of multiple monomers. Nucleic acids
 69 typically multimerize (hybridize) through duplex formation via the Watson-Crick base pairing of
 70 two complementary strands. A double helix provides DNA with structural stability, determined
 71 by hydrogen bonding and base-stacking interactions, and facilitates replication [23-24], while

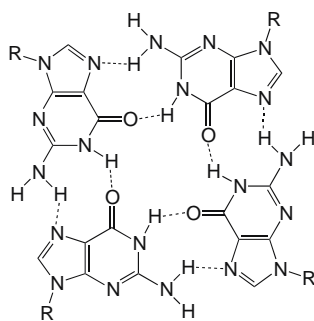
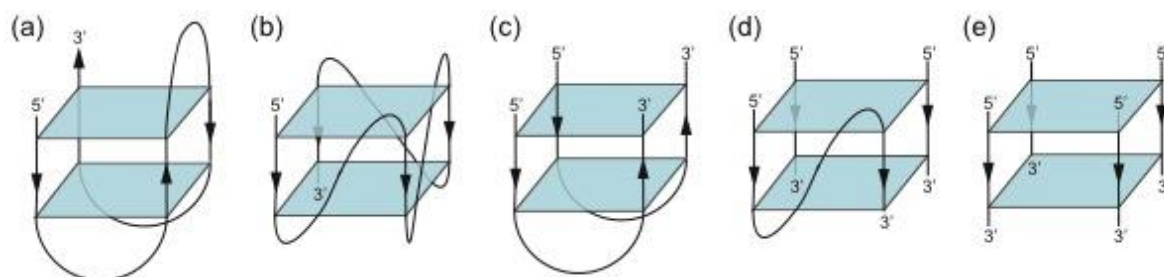


Figure 2. Chemical structure of a GQ tetrad.

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73 double-stranded RNA facilitates genetic interference [25]. Duplex formation is the most common
 74 mechanism of multimerization, but not the only one. Multimerization can also occur using a distinct
 75 hydrogen-bonding pattern called Hoogsteen base pairing. This enables formation of a G-tetrad, the
 76 building block of a unique type of nucleic acid structure called a G-quadruplex (GQ). To form a
 77 G-tetrad, four guanines associate via eight hydrogen bonds from both the Watson-Crick and
 78 Hoogsteen faces of the base (Figure 2). G-tetrads stack on top of one another giving rise to a GQ
 79 (Figure 3). To form a GQ, a sequence typically needs to contain segments of at least two guanines
 80 separated by mixed-sequence loop nucleotides. The most widely used models allow loops of 1 to 7
 81 nucleotides [9-10] but in some cases loops can be longer [26-28]. In addition, it is becoming
 82 increasingly clear that GQs can accommodate bulges [29], and noncanonical tetrads have also been
 83 observed in high-resolution structures [30-36]. Even more complicated topologies are seen in the
 84 Spinach, Mango, and Class V GTP aptamers, in which the four clusters of guanines that form the
 85 tetrads in the GQ are far apart in the primary sequence [37-39]. Guanines in the G-tetrad can in
 86 principle come from one, two, three, or four guanine-rich (G-rich) strands. GQs formed from only
 87 one strand are typically defined as intramolecular (unimolecular) (Figure 3A-B), although, as
 88 discussed below, multiple GQs on a single strand can also interact to form higher-order structures.
 89 GQs that contain more than one strand are termed intermolecular (multimolecular or multimeric)
 90 and can be classified according to the number of strands as bimolecular (dimeric) (Figure 3C),
 91 trimolecular (trimeric) (Figure 3D), or tetramolecular (tetrameric) (Figure 3E). Multimeric GQs
 92 formed from identical strands are called homomultimeric, whereas those composed from
 93 non-identical strands are called heteromultimeric.

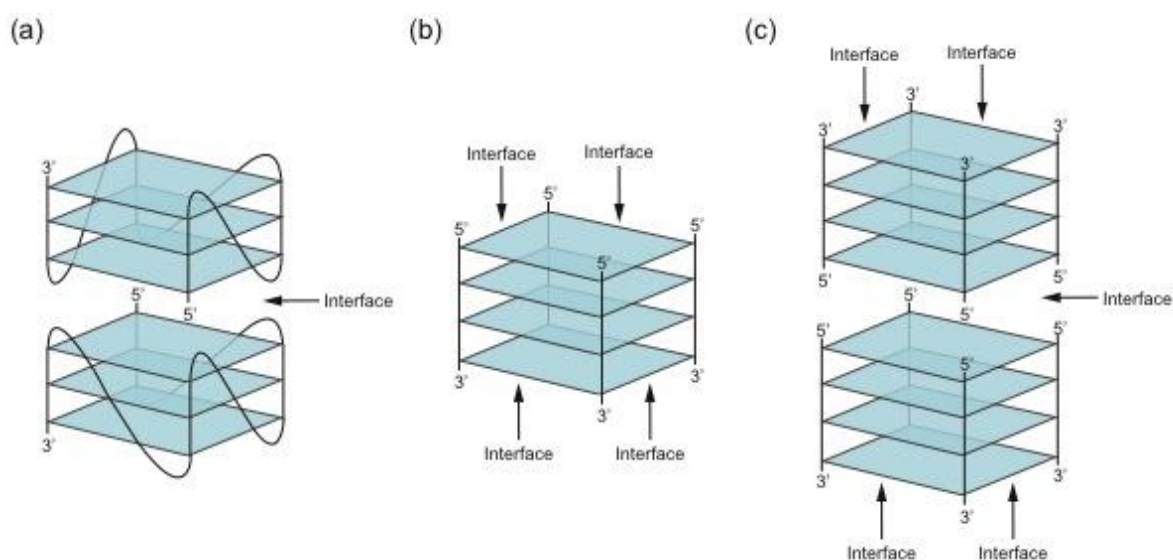
94 While the number of molecules in a GQ is the standard way to classify multimers, another
 95 approach is to consider the structure of the multimerization interface. GQs utilize two primary
 96 modes of multimerization. In the first mode, interfaces are formed by tetrads from two different



97 **Figure 3.** Formation of GQs from different numbers of strands. (a) Intramolecular (unimolecular) GQ
 98 with antiparallel strands. (b) Intramolecular (unimolecular) GQ with parallel strands. (c) Bimolecular
 99 (dimeric) GQ. (d) Trimolecular (trimeric) GQ. (e) Tetramolecular (tetrameric) GQ. Note that each of
 100 these structures can in principle contain all parallel strands, all antiparallel strands, or a mix of
 101 parallel and antiparallel strands.

102 GQs stacked on top of one another. When intramolecular (unimolecular) GQs multimerize
 103 using this mechanism, individual nucleic acid strands first assemble into monomeric GQs such that
 104 all four guanines in each G-tetrad come from the same nucleic acid strand. Monomeric GQ
 105 subunits then stack on top of one another via π - π stacking interactions of terminal interfaces to form
 106 higher-order GQ structures (Figure 4A). Intermolecular (multimeric) GQs can also multimerize in
 107 this way if all of the guanines in one of the tetrads at the interface come from one GQ and all of the
 108 guanines in the other come from a different GQ (Figure 4A). GQ subunits can stack in three
 109 different orientations: 5' to 3' (head-to-tail), 5' to 5' (head-to-head), and 3' to 3' (tail-to-tail).
 110 Examples of these orientations can be found in [40] (5' to 3'), [41] (5' to 5'), and [42] (3' to 3').
 111 Experimental and molecular dynamics data suggest that 5' to 5' stacking is the most common
 112 orientation for GQ structures due to a favorable stacking geometry [41, 43]. The propensity of
 113 subunits to stack is also influenced by the topology of intermolecular GQ subunits. Stacking is
 114 favorable for parallel GQs (i.e. GQs with all strands oriented in the same direction and with
 115 propeller loops on the sides of the tetrads). In antiparallel GQ structures, which have strands in
 116 opposite orientations, lateral and diagonal loops are positioned above and below the GQ axis which
 117 can impede stacking interactions of terminal G-tetrads [44]. Stacking interactions can also facilitate
 118 formation of higher-order structures from tandem GQ subunits folded on a single strand. Such a
 119 structure was proposed as one of the models of the human telomere overhang [45-46]. In addition
 120 to playing important roles in multimerization, stacking interactions are the most important mode of
 121 ligand binding to GQs. End-stacking GQ ligands either bind to terminal GQs tetrads or intercalate
 122 between tandem GQs to stabilize the multimeric structure [47-52].

123 In the second mode of multimerization, guanines from two or more nucleic acid strands
 124 hydrogen bond to form tetrads, so that interfaces occur within rather than between tetrads. These
 125 intermolecular G-tetrads then stack to form GQs of various lengths (Figure 4B), sometimes using
 126 slipped strands [53]. Various G-rich oligonucleotides multimerize via this mode, and a large body
 127 of literature has investigated their formation [5-6]. As early as 1988, Sen and Gilbert demonstrated
 128 that oligonucleotides containing motifs of four, five or six contiguous guanines fold into tetrameric
 129 structures (Sen and Gilbert, 1988) [54]. One year later, two different groups proposed the formation
 130 of dimeric GQs from two telomere-derived G-rich sequences [55-56]. Sen and Gilbert [57] observed
 131 that short oligonucleotides with three consecutive guanines at the 3' end assembled



132 **Figure 4.** Types of interfaces in multimeric GQs. (a) First mode of multimerization. Interfaces are
 133 formed between tetrads which stack on top of one another in a 5' to 5', 3' to 3', or 5' to 3'
 134 arrangement. (b) Second mode of multimerization. Interfaces are formed within tetrads made up of
 135 guanines from multiple DNA strands. (c) Structure combining these two modes of multimerization.

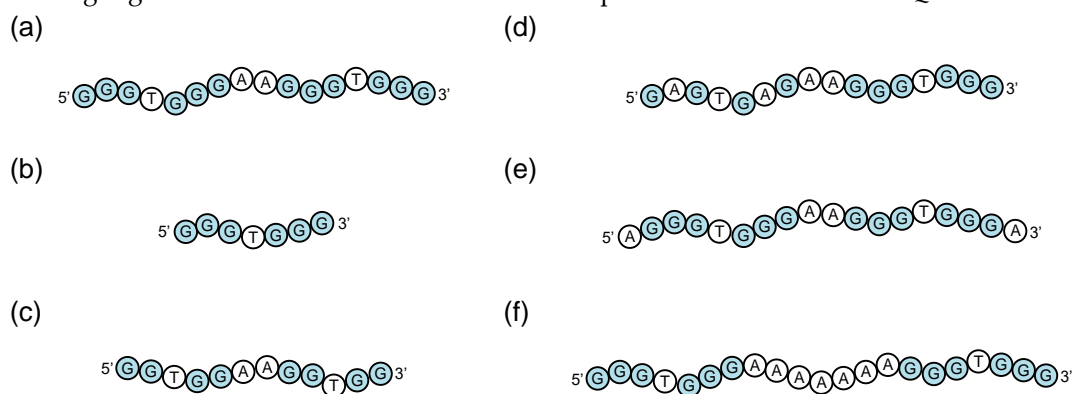
136 into four, eight and twelve-stranded GQ structures. Formation of these structures starts with the
 137 self-assembly of slipped tetramers in which strands are not perfectly aligned but contain two
 138 overhanging guanines at the 3' end. Tetrameric subunits associate with one another via hydrogen
 139 bonding between these extra guanines.

140 Higher-order GQs which combine these two modes of multimerization have also been
 141 described [18, 42, 58-61]. An illustrative example is a GQ structure assembled from eight
 142 d(TGGGGT) strands (Figure 4C). The structural subunit is a tetramolecular GQ consisting of four
 143 perfectly aligned strands, each in an identical 5'-3' orientation (second mode of multimerization).
 144 Two structural subunits then stack at their 5' interfaces forming an octamer (first mode of
 145 multimerization) [58-59].

146 Higher-order GQ structures are often initially characterized using low resolution techniques
 147 such as circular dichroism, dimethylsulfate footprinting, native PAGE, mass spectrometry, and
 148 analytical ultracentrifugation. These methods can be used to establish that the sequence forms a
 149 GQ, identify the guanines in tetrads, and determine the number of strands in the structure.
 150 Higher-order GQs can be visualized in greater detail using NMR and X-ray crystallography.
 151 Examples of high-resolution structures which utilize the first mode of multimerization include 5'-5'
 152 stacked dimers with canonical [41, 62-63] or extended [64] tetrads at the interface. Structures which
 153 use the second mode of multimerization include interlocked dimers which occur in the promoters
 154 and introns of oncogenes [65-67]. A structure which combines these two modes of multimerization
 155 is that of a parallel-stranded tetrameric GQ formed from d(TGGGGT) strands [58-59]. Additional
 156 examples are discussed in [5, 50, 68] and elsewhere.

157 3. Sequence requirements of multimeric G-quadruplexes

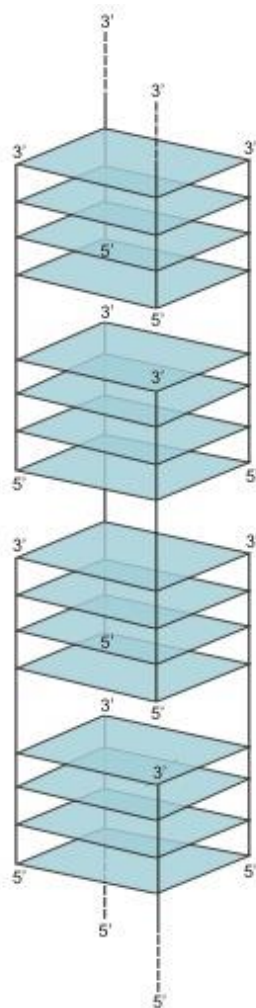
158 The propensity of a GQ sequence to fold into a particular multimeric structure depends on a
 159 number of factors including the type and concentration of cation in the buffer, the presence of
 160 molecular crowding agents, the nucleic acid concentration, the sequence length and the sequence
 161 composition [5, 68-70]. Under conditions approximating the intracellular environment, the main
 162 factor controlling higher-order structure formation is the sequence. In the context of GQ



163 **Figure 5.** Sequence requirements of multimeric GQs. (a) Example of a canonical GQ. (b) Variant
 164 containing two rather than four G-runs. Such a sequence can form a multimeric GQ but not a
 165 monomeric one. (c) Variant containing G-runs of two rather than three nucleotides. In some cases
 166 such sequences form multimeric rather than monomeric structures. (d) Variant containing mutations
 167 in tetrads. Such mutations can induce formation of both dimeric and tetrameric structures. (e)
 168 Variant containing overhanging nucleotides. Such variants typically cannot stack via the first mode
 169 of multimerization, but the ability to interact via the second mode of multimerization is unaffected.
 170 (f) Variant containing an extended central loop. Longer loops favor formation of antiparallel rather
 171 than parallel GQs, and such loops can interfere with the ability of GQs to stack via the first mode of
 172 multimerization.

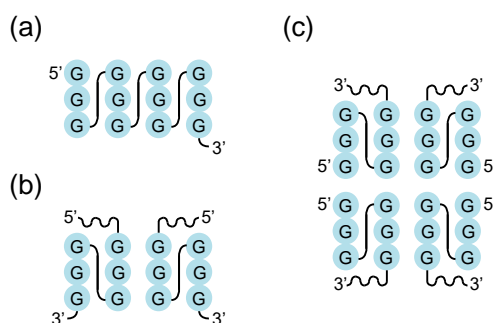
173 formation, a large number of sequences, ranging from short strands with only one G-run to up to
174 20000 nucleotide long sequences with roughly 3300 G-runs, have been investigated (58-59, 71). The
175 key sequence features affecting multimerization are discussed below and summarized in Figure 5.

176 The minimum requirement for a sequence to fold into an intramolecular GQ is typically four
177 runs of at least two guanine bases separated by loops ranging from one to seven nucleotides (Figure
178 5A). Sequences falling into this category often either fold into monomeric GQs that do not interact
179 with one another or form multimeric structures by the first mode of multimerization (stacking of
180 monomeric subunits). Structure prediction is nevertheless complicated by reports of sequences
181 containing four stretches of guanines which form intertwined dimers using the second mode of
182 multimerization [65-67, 72]. Sequences with fewer than four G-runs can typically only adopt GQ
183 structures by interacting to form multimeric structures using the second mode of multimerization
184 (Figure 5B). Sequences with two or three G-runs separated by short loops usually combine both
185 types of multimerization and readily assemble into structures with eight, twelve, or even more
186 strands [57]. At the extreme end of this continuum lie the G-wires [73], long linear ladder-like
187 structures formed from numerous slipped GQ tetrameric subunits (Figure 6). G-wires are longer
188 than any other higher-order GQs with maximum lengths depending on the method of preparation
189 as well as the sequence. For example, a DNA sample of d[G₄T₂G₄] formed linear G-wires ranging
190 from 7 to 100 nm in length. A 100 nm G-wire was calculated to contain 75 GQ blocks composed of
191 140 full and 20 half strands [74]. Positioning of the GQ subunits within the structure can be
192 controlled by adding GC bases to the terminal ends, which enables the formation of G:C:G:C tetrads
193 linking the subunits [75].



195 In addition to the number of G-runs in the sequence, multimerization also depends on the
 196 length of the G-run (Figure 5C). An instructive example is a study of structural transitions caused
 197 by truncations of guanine tracts in the telomere-derived DNA strand d(G₄T₄G₄) [76]. The original
 198 sequence and its 5' truncated analog d(G₃T₄G₄) formed stable dimeric structures that did not
 199 undergo conversion into higher-order structures. In contrast, the 3' truncated sequences d(G₃T₄G₃)
 200 and d(G₄T₄G₃) formed a mixture of dimeric, trimeric and tetrameric GQs in a
 201 concentration-dependent manner. This structural polymorphism can be explained by varying
 202 stabilities of the dimeric structures formed by the reference and the various truncated sequences.
 203 The reference d(G₄T₄G₄)₂ dimer contains sixteen guanines which form four G-tetrads, and is
 204 therefore more stable than the three-tetrad dimers formed by truncated sequences. A bimolecular
 205 structure formed from two d(G₃T₄G₄) strands is special in that, in contrast to the antiparallel dimers
 206 formed by d(G₃T₄G₃) and d(G₄T₄G₃), it contains three parallel strands. This specific strand
 207 orientation stabilizes the d(G₃T₄G₄)₂ dimer and thereby prevents it from rearranging into
 208 higher-order structures.

209 A third feature of G-runs that can affect multimerization is the presence of bulges (interruptions
 210 of G-runs by non-G nucleotides) (Figure 5D). Despite bulges being tolerated by various GQs, they
 211 can greatly influence structural stability. The extent to which GQ stability is reduced depends on a
 212 number of factors such as the location of the bulges within the GQ sequence, the context of the
 213 sequence and the overall GQ topology [29]. The introduction of bulges does not necessarily result
 214 in structural changes, and in some cases they do not prevent monomeric GQs from forming. On the
 215 other hand, GQs with reduced stability are prone to structural reorganizations, including
 216 higher-order structure formation. Bulged nucleotides as drivers of multimerization were
 217 systematically investigated in a recent study that analyzed dimerization and tetramerization as a
 218 function of guanine substitutions in G-runs [18]. The reference sequence used in this study,
 219 d(G₃TG₃AAG₃TG₃A), folds into a parallel three-tetrad GQ with nucleotides 2, 6, 11 and 15 forming
 220 the central tetrad (Figure 7A). Despite containing an exposed 5' tetrad, only monomers were
 221 observed when this sequence was analyzed on native gels. Dimerization and tetramerization was
 222 induced by introducing substitutions of guanines for other nucleotides at certain positions in the
 223 central tetrad. Bulged G-runs prevented these sequences from forming stable intramolecular GQs
 224 by themselves and increased their propensity to form multimeric structures. Sequences containing
 225 substitutions at position 2, 6 or both were proposed to form



226 **Figure 7.** Mutations in tetrads can induce GQ multimerization. (a) Secondary structure of a GQ we
 227 are studying in our group with the sequence GGGTGGGAAGGGTGGGA. We previously generated
 228 a library containing all possible mutations in the central tetrad in this structure (at positions 2, 6, 11
 229 and 15) and tested these variants for a series of biochemical activities associated with GQs [18, 82-83
 230 127]. (b) Proposed secondary structure of dimers formed by variants containing mutations at
 231 positions 2, 6, or both in the central tetrad of the reference GQ. The 5' part of this structure, which
 232 contains the mutated nucleotides, is represented by a wavy black line. (c) Proposed secondary
 233 structure of tetramers formed by variants containing mutations at positions 11, 15, or both in the
 234 central tetrad of the reference GQ. The 3' part of this structure, which contains the mutated
 235 nucleotides, is represented by a wavy black line.

236 intertwined dimers with a core of three canonical G-tetrads at the 3' end (Figure 7B). Sequences
237 with substitutions at positions 11, 15 or both were instead proposed to form intertwined dimers
238 containing a core of three canonical G-tetrads at the 5' end (Figure 7C). Such sequences also formed
239 tetramers which, in accord with the most favorable mode of stacking, were proposed to consist of
240 two dimers stacked in a 5' to 5' orientation (Figure 7C). Intertwined dimers formed from sequences
241 with other possible combinations of substitutions (2 and 11, 2 and 15, 6 and 11, 6 and 15) would only
242 contain two stacked G-tetrads [18]. This structural arrangement cannot effectively stabilize the
243 structures, which probably explains why these sequences did not also multimerize.

244 GQ sequences can be designed to begin and/or end with G-runs or they can contain
245 overhanging non-G nucleotides at the 5' and/or 3' ends (Figure 5E). Flanking nucleotides often
246 inhibit stacking interactions by sterically hindering formation of interfaces by terminal tetrads [57,
247 77]. In some cases even the introduction of a 5' phosphate group is sufficient to inhibit 5'-5' stacking
248 [73]. This knowledge can be used when probing multimerization mechanisms: for multimers
249 stacked in a 5' to 5' orientation, addition of flanking nucleotides at the 5' end should interfere with
250 the stacking interaction, while addition of flanking nucleotides to the 3' end should not [18].
251 Nevertheless, some studies report the stacking of GQ subunits which contain overhanging
252 nucleotides. In these structures, flanking nucleotides either radiate out from the GQ axis or become
253 a part of various unusual structures such as thymine triads [78], guanine:uridine octads [79] or
254 guanine:cytidine octads [60].

255 The role of loop nucleotides in multimeric GQ assembly has received relatively little attention
256 from researchers, and most studies investigating multimeric GQs have not analyzed the effects of
257 loop length and sequence composition. Despite this, examples in which loop nucleotides affect GQ
258 multimerization have been described. Loop length can indirectly influence multimerization by
259 affecting GQ topology (Figure 5F). Sequences bearing at least one single-nucleotide loop tend to
260 adopt a parallel-stranded orientation, whereas longer loops increase the likelihood that sequences
261 will fold into mixed-strand or antiparallel GQs [80]. As discussed above, this can affect the ability
262 of GQs to interact by the first mode of multimerization: the propeller loops of parallel strand GQs do
263 not generally affect their ability to form multimers through stacking on their terminal tetrads,
264 whereas the lateral and diagonal loops of antiparallel GQs sometimes do. Loop sequence can also
265 play an important role in GQ structure formation. One mechanism by which this can impact the
266 global structure of the GQ is by formation of non-canonical extended G-tetrads. For example,
267 d(GGA)₄ strands assemble into dimers by stacking on a guanine:adenine heptad interface formed by
268 two monomeric subunits. Three loop adenines provide hydrogen bonds donors and acceptors in the
269 heptad plane and hence play an indispensable role in dimerization [64]. Moreover, several studies
270 have shown that mutating nucleotides not thought to directly interact with tetrads can lead to major
271 changes in the GQ structure. For example, changing the loops in a human telomere-derived
272 sequence from TTA to AAA abolishes GQ formation [81]. Recent findings in our lab also show that
273 mutations in loops can affect the propensity of sequences to form multimeric GQs [82-83].

274 In summary, these studies indicate that the main sequence features affecting multimerization
275 are the number and length of the G-runs, the sequence composition of the G-runs, the presence of
276 flanking nucleotides at the 5' and 3' ends of the GQ, and the length and sequence of loop nucleotides
277 (Figure 5). Despite much being understood about the sequence requirements of multimeric GQs, it
278 is generally not possible to predict higher-order GQ topology from sequence. Instead, experimental
279 data from low-resolution methods such as circular dichroism, dimethylsulfate footprinting, native
280 PAGE, mass spectrometry, and analytical ultracentrifugation is used in combination with
281 high-resolution techniques such as NMR and X-ray crystallography.

282 4. Potential biological roles of multimeric GQs at the tips of telomeres

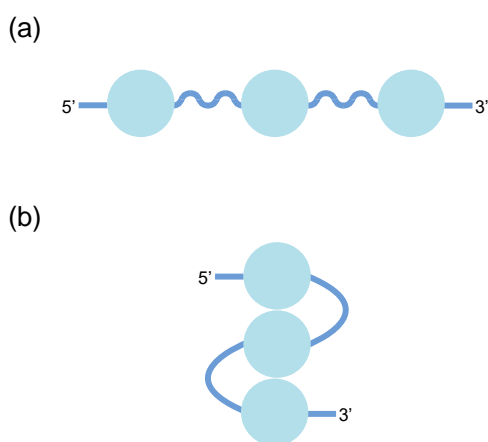
283 The first discussions of biologically relevant higher-order GQ structures emerged as the length
284 and sequence composition of telomeres was being characterized [84]. In the majority of eukaryotes,
285 the nucleotide sequence of telomeres consists of a G-rich strand running 5' to 3' toward the end of
286 the chromosome and a complementary C-rich strand. For most of their length, telomeres are

287 double-stranded, except for a single-stranded G-rich 3' overhang. While the telomeric sequence is
288 similar in diverse species, the length of the overhang is not. For example, in *Tetrahymena* the
289 overhang typically consists of tandem repeats of the sequence d(TTGGGG) and is 14 to 21
290 nucleotides long [85]. On the other hand, in humans the sequence of the overhang is d(TTAGGG)
291 and it ranges in length from 125 to 275 nucleotides [86-87].

292 While the composition and length of the telomeric overhang has been characterized in many
293 species, its secondary structure is still a matter of debate. The first studies of short telomere-derived
294 synthetic oligonucleotides reported that these sequences folded into several topologically different
295 guanine-rich structures [54-56, 88]. Subsequent studies showed that these correspond to GQ
296 structures, although several different topologies have been reported [6, 89-90]. Examples include
297 parallel-stranded tetrameric and antiparallel dimeric GQs assembled from short telomeric sequences
298 bearing one and two G-runs, respectively as well as intramolecular monomeric GQs formed by
299 sequences containing four G-runs.

300 Because the human telomeric overhang contains multiple repeats of segments with four G-runs,
301 it can potentially fold into a higher-order structure containing a series of monomeric GQs [91]. A
302 single-stranded DNA with several monomeric GQs can adopt at least two different structural
303 arrangements (Figure 8). The first one is termed "beads-on-a-string", and assumes that monomeric
304 GQ units do not interact with one another [92]. A second model proposes that GQ subunits
305 associate with one another through stacking interactions between the terminal tetrads of consecutive
306 GQs to form high-order structures [45-46]. Despite evidence for both models, a structure in which
307 GQs stack on one another appears to be most likely [91]. Structures consistent with this model have
308 been observed by AFM and EM [71, 93-94], and methods such as FRET have provided additional
309 evidence for interactions between neighboring GQ subunits [94]. Molecular dynamics simulations
310 also support the idea that telomeric GQ monomers can stack to form higher order structures [45-46].
311 Based on comparisons between calculated and experimentally measured sedimentation coefficients
312 it has been proposed that a structure consisting of hybrid rather than all-parallel GQs is most likely
313 [95]. Despite these advances, a high-resolution structure of the telomeric overhang has not yet been
314 reported.

315 *In vivo* evidence for the presence of GQs at telomeres came from studies reporting the binding
316 of GQ-specific antibodies to the tips of telomeres [11-12, 96]. These experiments also showed that
317 telomerase co-localizes with GQ antibodies at chromosomal ends. Telomerase catalyzes telomere
318 elongation, and is directly involved in GQ unfolding [97]. Although these studies indicate that
319 telomeric DNA adopts a GQ structure *in vivo*, they do not distinguish between monomeric and
320 multimeric GQs since the antibody has similar affinities for both types of structures. While



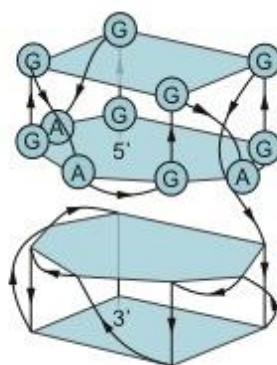
321 **Figure 8.** Possible structures of telomeric GQs. (a) Beads-on-a-string model in which telomeric GQs
322 do not interact. (b) Model in which telomeric GQs stack on one another to form higher-order
323 structures.

324 antibodies specific for multimeric GQs have not yet been developed, several small molecules specific
325 for multimeric GQs have recently been reported [51, 98-100]. One of these compounds, IZNP-1
326 (triaryl-substituted imidazole derivative), binds and stabilizes multimeric GQs by intercalating into
327 the cavity between two stacked GQ monomers. IZNP-1 treated cells contain a greater number of
328 BG4 foci (human GQ structure-specific antibody foci) at telomeres than untreated cells. They also
329 exhibit signs of DNA damage and telomere shortening, which can lead to cell cycle arrest, apoptosis
330 and senescence. Taken together, these findings suggest that multimeric GQs can form at telomeres
331 under certain conditions and contribute to telomere dysfunction [51].

332 5. Potential biological roles of multimeric G-quadruplexes in promoters

333 Visualization of IZNP-1 induced BG4 foci confirmed that telomeric ends have the ability to
334 form multimeric GQs. Surprisingly, however, the percentage of fluorescent foci at telomeres was
335 relatively low (38%) and the majority of antibodies localized to other genomic regions. One
336 implication of this observation is the possibility that multimeric GQs exist outside telomeric DNA.
337 If so, multimeric GQs might have roles beyond chromosomal capping.

338 A role for monomeric GQ structures in transcriptional regulation was proposed following the
339 discovery that putative GQ sequences are highly enriched in nuclease hypersensitive regions within
340 promoters [13]. In addition, more than 40% of human genes contain at least one GQ motif in their
341 promoter. While formation of monomeric intramolecular GQs has been investigated for a number
342 of these genes including c-MYC, KRAS, and BCL2 [101-104], only a few examples of genes likely to
343 be regulated by multimeric GQs in their promoters have been identified so far. The most
344 well-characterized example is an unusual tetrad:heptad:heptad:tetrad (T:H:H:T) dimer generated
345 from a d(GGA) repeat sequence. An oligonucleotide containing four such repeats folds into an
346 intramolecular structure in which a guanine tetrad and a guanine-adenine heptad are stacked on top
347 of one another. The NMR structure indicates that two monomeric subunits stack 5' to 5' on the
348 heptad plane, resulting in a dimeric T:H:H:T structure [64] (Figure 9). A similar structure was
349 adopted by an oligonucleotide containing eight copies of the repeat [105]. The d(GGA) repeat
350 sequence occurs in twelve nearly perfect tandem repeats in the c-MYB promoter. In theory, this
351 sequence can give rise to three independent T:H building subunits (with four tandem repeats per
352 building block), which could then stack in different combinations to form dimers. A study
353 investigating this possibility proposed that a T:H:H:T motif formed by stacking interactions between
354 the first and the third building blocks functions as a negative regulator of c-MYB promoter activity.
355 The authors also identified a transcription factor, MAZ, which binds to the T:H:H:T structure and
356 could play a role in the regulation of c-MYB expression [106-107]. The c-MYB promoter is not the
357 only genomic region rich in GGA repeats, and similar motifs have been identified in the regulatory
358 regions of other genes such as NCAM [108], SPARC [109], KRAS [110],



359 **Figure 9.** A dimeric GQ formed by GGA repeats. Structure of a dimeric GQ formed by the sequence
360 (GGA)_s. See [64] and [105] for more information about this structure.

361 and CCNB1IP1 [111] (Islam *et al.*, 2019). Although it is tempting to assume that the transcription of
362 genes with multiple d(GGA) repeats in the promoter is regulated by T:H:H:T structures, the results
363 presented in [106] and [107] do not completely rule out the possibility that inhibition of transcription
364 is mediated by monomeric forms of these GQs. Testing the effects of antibodies or small molecules
365 specific for the T:H:H:T structure on the extent of transcriptional inhibition could provide further
366 insight into the structural organization of the d(GGA) repeat region in this promoter.

367 Another multimeric GQ structure that could potentially regulate gene expression occurs in the
368 promoter of hTERT, the gene encoding the reverse transcriptase of telomerase. The core promoter
369 of hTERT contains twelve consecutive G-runs and can therefore form multiple GQs. One proposed
370 structural model for this region consists of two stacked GQs separated by a 26 nucleotide loop which
371 contains another four G-runs and adopts a hairpin structure [112]. An alternative model suggests
372 that all of the G-runs in the sequence participate in GQ folding and that the final structure consists of
373 three parallel GQs tightly stacked on top of one another [40, 113]. Although the available evidence
374 does not indicate which model is correct, it does support the idea that a G-rich structure in this
375 promoter regulates hTERT expression [112-115].

376 The hypothesis that multimeric GQs in promoters regulate gene expression is also supported by
377 a study investigating the regulatory sequences of muscle-specific genes [116]. The promoter
378 regions of these genes contain a high frequency of G-runs that form both homodimeric and
379 heterodimeric structures *in vitro*. One example is the ITGA7 promoter region, which contains two
380 G-rich sequences, each capable of forming an intramolecular monomeric GQ, separated from one
381 another by 85 nucleotides. These two G-rich sequences formed a heterodimeric structure when
382 analyzed on native gels. This structure is hypothesized to play a role in the regulation of mouse
383 myogenic gene expression. Consistent with this hypothesis, the myogenic determination protein
384 MyoD binds the heterodimeric structure but not a monomeric GQ formed by one of the
385 heterodimer-forming sequences. MyoD exhibited a similar binding preference for a homodimeric
386 GQ formed by a G-rich region in the promoter of the sMtCK gene. Although this G-rich sequence
387 occurs only once in the sMtCK promoter region and therefore cannot assemble into a homodimer *in*
388 *vivo*, several G-rich clusters are present in adjacent genomic regions. It would therefore be of
389 interest to determine whether a heterodimeric GQ structure can form in this region and is bound by
390 MyoD [116].

391 Taken together, these data indicate that multimeric GQ structures can form in the promoter
392 regions of several genes and are consistent with the idea that such structures can regulate gene
393 expression. Many additional promoters contain multiple G-runs. For example, genes containing
394 more than eight G-tracts include TRIM13 [67] and c-MYC [102-103]. Although these are candidates
395 for genes regulated by multimeric GQs, our current understanding of GQ folding precludes reliable
396 prediction of higher-order GQ structure from sequence. As discussed above, the development of
397 antibodies or small molecules that selectively stabilize or destabilize specific multimeric GQ
398 structures should greatly facilitate analysis of their potential biological roles in promoters.

399 6. Potential biological roles of RNA-DNA hybrid G-quadruplexes

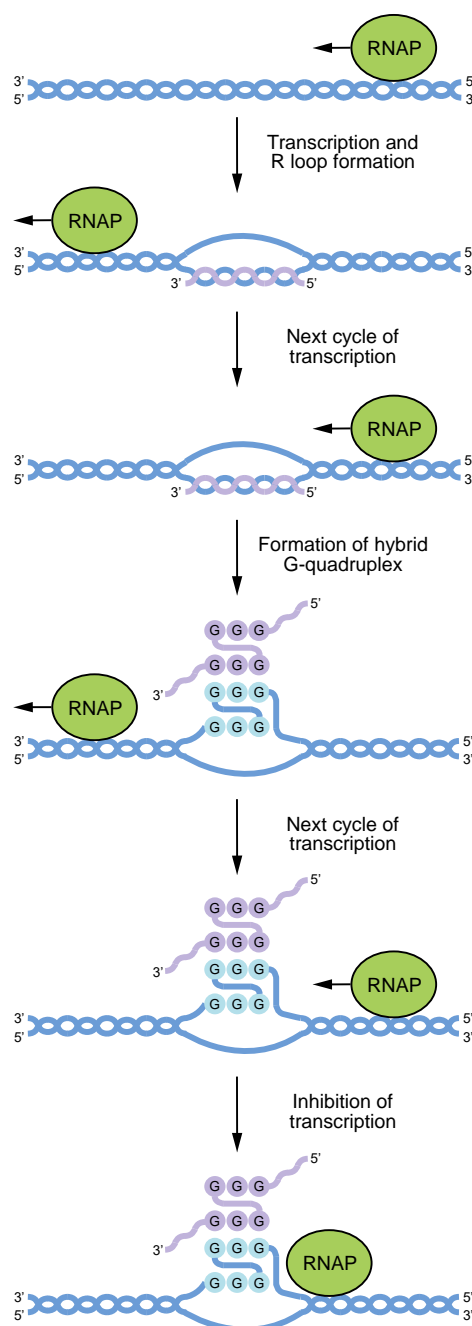
400 In addition to the multimeric DNA GQs discussed so far, multimeric GQs can also form from
401 combinations of DNA and RNA strands [117]. These structures are called DNA:RNA hybrid GQs.
402 They can be readily assembled *in vitro*, and also form during transcription when guanines in the
403 nontemplate DNA strands of genes interact with guanines in RNA molecules encoded by these
404 genes using the second mode of multimerization (Figure 10). DNA:RNA hybrid GQs inhibit
405 transcription, presumably by impeding the progress of RNA polymerase along the DNA template.
406 From the perspective of gene regulation, this is a compelling mechanism because it can act as a
407 negative feedback loop to turn transcription off when mRNA levels are sufficiently high (Figure 1A).
408 The first hint that DNA:RNA hybrid GQs act as regulatory elements came from analysis of a region
409 in the human mitochondrial genome called conserved sequence block II (CSB II) [118]. A G-rich
410 element in CSB II induces transcriptional termination and promotes formation of an unusually stable
411 structure in the template DNA. The stability of this structure suggested that it was not a

412 conventional R-loop, in which an RNA transcript and the DNA template encoding it are held
413 together by Watson-Crick base pairing. Instead, it was proposed that this structure was a GQ
414 containing both DNA and RNA strands. Consistent with this hypothesis, a structure was detected
415 by native PAGE that required for its formation the presence of both a DNA oligonucleotide
416 corresponding to the G-rich strand of the template and an RNA oligonucleotide corresponding to
417 the G-rich portion of the RNA transcript. Formation of this structure was inhibited when guanine
418 bases in the DNA strand were replaced by 7-deazaguanine or adenosine, both of which normally
419 prevent GQ formation. The circular dichroism spectrum of this structure was consistent with that
420 of a GQ, and it was resistant to ribonucleases A and H, both of which degrade RNA strands in
421 canonical DNA:RNA duplexes. Additional characterization using DMS fingerprinting, which can
422 distinguish guanines in tetrads from those in single or double-stranded DNA due to their reduced
423 sensitivity to DMS modification, and Zn-TTAPc-mediated photocleavage, which preferentially
424 cleaves GQs, provided additional evidence that the structure is a DNA:RNA hybrid GQ [119].
425 Similar methods were used to show that a DNA:RNA hybrid GQ also forms in the human NRAS
426 gene [120]. As was the case for the CSB II motif, the structure in the NRAS gene impeded T7 RNA
427 polymerase during *in vitro* transcription reactions. It also inhibited expression of luciferase from
428 plasmids transfected into cultured human cells. Only two stretches of guanines in the non-template
429 strand of the gene are needed to form the DNA:RNA hybrid GQ, with the other two provided by the
430 RNA transcript encoded by the template strand. DNA:RNA hybrid GQs containing two rather
431 than three tetrads can also form, although they are less stable than those containing three tetrads
432 [121]. Bioinformatic studies indicate that sequences with the potential to form DNA:RNA hybrid
433 GQs occur in 97% of human genes [122]. These motifs are enriched in and near promoters,
434 especially downstream of the transcription start site on the nontemplate strand, and this pattern of
435 enrichment is evolutionarily conserved in mammals [122]. Taken together, these studies support
436 the idea that DNA:RNA hybrid GQs represent an important regulatory element in higher
437 eukaryotes.

438 Additional studies have explored the mechanism of formation of DNA:RNA hybrid GQs
439 during transcription. Zhang and colleagues developed a method to distinguish R-loops from
440 DNA:RNA hybrid GQs based on differences in the sensitivities of these structures to ribonucleases
441 such as RNase A [123]. This was used to show that conventional R-loops form prior to DNA:RNA
442 hybrid GQs during *in vitro* transcription of a template containing the CSB II motif [123]. Formation
443 of DNA:RNA hybrid GQs was also inhibited by the presence of a C-rich oligonucleotide
444 complementary to the G-rich region of both the nontemplate strand and the nascent RNA transcript.
445 Based on these experiments it was proposed that a canonical R-loop is formed in the first round of
446 transcription of the CSB II motif. After the RNA strand in the R-loop is displaced by RNA
447 polymerase in the next round of transcription, it forms a DNA:RNA hybrid GQ with the
448 nontemplate strand and prevents further transcription (Figure 11). Real-time FRET studies indicate
449 that R-loops also stabilize DNA:RNA hybrid GQs [124], probably because they prevent the
450 nontemplate strand from forming a duplex with the template strand. The mechanical strength of
451 DNA:RNA hybrid GQs has also been investigated using optical tweezers [125]. These experiments
452 used a template containing the sequence (GGGGA)₄, which occurs downstream of the transcription
453 start site on the nontemplate strand in several hundred human genes [125]. Transcription reactions
454 were performed in the absence of CTP, which caused the polymerase to stall 15 nucleotides
455 downstream of the GQ at the position of the first G in the template. Stalled complexes were
456 tethered between beads and stretched to determine their mechanical stabilities. This revealed that
457 DNA:RNA hybrid GQs are more mechanically stable and form more readily in the nontemplate
458 strand than DNA GQs.

459 Recent results from our laboratory raise the possibility that formation of DNA:RNA hybrid GQs
460 can be regulated by biologically important small molecules [83]. These experiments used a mutant
461 GQ previously shown by our group to bind GTP [126-127] and to form multimers [18]. When
462 folded in the absence of GTP, this sequence forms multimeric GQs as well as monomers that are
463 probably unfolded. When folded in the presence of physiological concentrations of GTP, however,

464 the monomeric form of the GQ is stabilized and formation of multimers is suppressed [83]. NMR
 465 studies indicate that the GTP ligand is incorporated into a tetrad, and together with other data
 466 support a model in which GTP is incorporated into a cavity in the central tetrad of the monomer
 467 created by a G to A mutation. Hundreds of examples of sequences with the potential to form
 468 GTP-dependent structures were identified in the human genome, some of which were evolutionarily
 469 conserved in primates. Ongoing experiments in our group are investigating possible links between
 470 GTP-dependent formation of DNA:RNA hybrid GQs and transcriptional regulation.



471 **Figure 10.** Model for the regulation of transcription by DNA:RNA hybrid GQs formed between the
 472 noncoding strands of G-rich genes and RNA molecules transcribed from these genes. The newly
 473 synthesized RNA transcript is shown in purple. See [123] for more information about this model.

474 **7. Conclusions**

475 GQs can form a variety of multimeric structures ranging in size from dimers to G-wires. Most
476 contain interfaces formed by either stacked tetrads in adjacent GQ monomers (first mode of
477 multimerization) or guanines from two or more nucleic acid strands that hydrogen bond to form a
478 G-tetrad (second mode of multimerization). Some progress has been made in understanding the
479 sequence requirements of multimeric GQs. For example, overhanging nucleotides often inhibit
480 multimerization by interfering with the stacking of tetrads, while mutations in tetrads can promote
481 multimerization by destabilizing the monomeric form of the structure. Despite this, the existence of
482 unusual folds such as intertwined dimers formed from sequences containing four stretches of
483 guanines highlight our inability to predict the structures of multimeric GQs from primary sequence.
484 The propensity of GQs to multimerize *in vitro*, the high concentrations of GQs in eukaryotic cells,
485 and the advantages of multimerization as a regulatory mechanism raise the possibility that GQ
486 multimerization could be biologically important. Although this hypothesis is only starting to be
487 explored, several lines of evidence suggest that higher-order GQ structures form in both telomeres
488 and promoters. Furthermore, recent studies demonstrate that DNA:RNA hybrid GQs formed
489 between the nontemplate strands of genes and the RNA transcripts they encode inhibit
490 transcription, and suggest that this could be an important regulatory mechanism in higher
491 eukaryotes. We anticipate that future progress in defining the biological roles of multimeric GQs
492 will require the development of tools analogous to those used to characterize monomeric GQs.
493 Examples include bioinformatic models that can identify sequences with the potential to form
494 multimeric GQs in sequenced genomes, antibodies and small molecules specific for different types
495 of multimeric GQs, techniques to visualize multimeric GQs in cells, and high-throughput methods
496 to map multimeric GQs in genomic DNA (including those containing both DNA and RNA strands).
497 The development and application of such tools has the potential to provide a wealth of new
498 information about multimeric GQs, especially with respect to their potential biological roles.

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