


Article

# Monin-Obukhov similarity theory for modeling of wind turbine wakes under atmospheric stable conditions: breakdown and modifications

Xing Xing Han <sup>1</sup> , De You Liu <sup>1</sup>, Chang Xu <sup>2,\*</sup>, Wen Zhong Shen<sup>3</sup>, Lin Min Li <sup>2</sup> and Fei Fei Xue <sup>1</sup>

<sup>1</sup> College of Water Conservancy and Hydropower Engineering, Hohai University, China; hantone@hhu.edu.cn (Xing Xing Han), Liudyhhuc@163.com (De You Liu), xuefeifeihhu@163.com (Fei Fei Xue)

<sup>2</sup> College of Energy and Electrical Engineering, Hohai University, China; llinmin@hhu.edu.cn (Lin Min Li)

<sup>3</sup> Department of Wind Energy, Technical University of Denmark, Denmark; wzsh@dtu.dk

\* Correspondence: zhuifengxu@hhu.edu.cn;

**Abstract:** Monin-Obukhov similarity theory (MOST) overestimates wind shear in some atmospheric stable conditions, i.e. Richardson number  $R_f < 0.25$ . The overestimated wind shear that leads to an under-predicted friction wind speed and a lower ambient turbulence intensity for a given hub-height reference wind speed and a given roughness length, could influence wake modeling of a wind turbine. This work investigates the side effects of the breakdown of MOST on wake modeling under stable conditions and makes some modifications to the flow similarity functions to eliminate these side effects. Based on a field measurement in a wind farm, we firstly show that MOST predicts a larger wind shear for the atmospheric stability parameter  $\zeta > 0.1$  and proposes new flow similarity functions without constraining  $R_f$  to limit the overestimated wind shear by MOST. Next, different turbulence models based on MOST and a modified one based on the new similarity functions are investigated through numerical simulations. These turbulence models are combined with the actuator disk model (AD) and Reynolds-averaged Navier–Stokes equations (RANS) to model wind turbine wakes under stable conditions. As compared to measurements, numerical results show that turbulence models based on MOST result in larger wake deficits and slower wake recovery rate with a square root of the mean-squared-error (RSME) of wake deficit in the range of 0.07-0.18. This overestimated wake effect is improved by applying the new similarity functions and the RSME of wake deficit is averagely reduced by 0.05. Finally, we check the role of the under-predicted turbulence intensity playing in the larger wake deficit predicted by models based MOST. Additional numerical simulations using the modified turbulence model are carried out, in which the roughness length is reduced to impose a hub-height ambient turbulence intensity equivalent to the MOST case. Simulation results show that reducing turbulence intensity enhances wake effects, however, it cannot reproduce the large wake deficit predicted by models based on MOST, which suggests that the overestimated wake effect by MOST could be also related to the overestimated wind shear.

**Keywords:** wind turbine; wake; atmospheric stability; MOST; turbulence models

## 1. Introduction

As a measure of turbulence exchanges in the atmospheric surface layer, atmospheric stability can significantly affect the wind turbine wake deficit and its recovery rate. In general, turbulence exchanges between the wake and the atmosphere are depressed under stable conditions. High wake deficits and slow wake recovery thus are usually observed in the stable stratification boundary layer [1,2]. Since wakes play critical roles in wind farm energy production and the fatigue loads of wind

31 turbines, there is an increasing interest in studying the effects of atmospheric stability on wakes and  
32 developing wake models for non-neutral conditions.

33 The impact of atmospheric stability on wakes are widely observed in wind tunnel measurements  
34 of small-scale models of wind turbines [3–5] and full-scale field experiments [1,2,6–8]. According to  
35 the wind tunnel measurements in Chamorro et al. [3], the stronger inlet wind shear in the stable  
36 case leads to a slightly stronger turbulence intensity and extends the region of enhanced turbulence  
37 intensity from a distance of about 4–5.5 rotor diameters to 3 and 6 rotor diameters downwind of the  
38 turbine location. In Zhang et al. [4], a 15% smaller velocity deficit at the wake center, a more rapid  
39 momentum recovery due to an enhanced radial momentum transport, a 20% higher peak turbulence  
40 intensity were observed in the unstable case, as compared to the wake of the same wind turbine under  
41 neutral conditions. According to the field experiments of wakes using LiDARs or masts, Magnusson  
42 et al. [6], Iungo et al. [7] and Han et al. [2] also found that the velocity deficit decreases more slowly  
43 under stable conditions and more quickly under unstable conditions. Those observations suggest that  
44 atmospheric stability should be considered for improved wake models and predictions of wind power  
45 harvesting.

46 Besides field measurements and wind tunnel experiments of wind turbine wakes under  
47 non-neutral conditions, numerical models based on the computational fluid dynamics (CFD) have  
48 also been used to investigate the effect of atmospheric stability on the wind turbine wakes [1,9–14].  
49 High-fidelity large eddy simulations with the actuator line model (AL-LES) [9,10] or the actuator disk  
50 model (AD-LES) [1,11] are commonly used to study the structure and dynamics of wind turbine wakes  
51 in varying stability cases. This is mainly because that the LES approaches allow to capture the near  
52 wake structure, resolve the interactions of tip vortices with large-scale eddies of the ambient flow and  
53 wake meandering. However, the high-fidelity approaches are computationally expensive for wind  
54 energy engineering applications. There are some efforts towards developing turbulence models for  
55 wind turbine wakes under non-neutral conditions in RANS to reduce computational costs.

56 A widely used turbulence model for the thermal stratified boundary layer was developed by  
57 Alinot and Masson [15] where a coefficient of the buoyant terms in the  $\epsilon$  transport equation is calibrated  
58 with atmospheric stability. However, van der Laan et al. [16] showed that this model cannot keep the  
59 flow homogeneity in a large domain under unstable conditions and thus developed a turbulence model  
60 consistent with MOST. In Prospathopoulos et al. [13], an additional buoyancy production based on  
61 the Richardson number  $Ri$  was added to the turbulent kinetic energy equation to model wind turbine  
62 wakes under stable conditions. El-Askary et al. [14] further used this model and additionally applied  
63 a dissipation source term to investigate the wake behavior at different atmospheric stability conditions.  
64 These turbulence models are based on MOST and could fail in modeling wind turbine wakes when  
65 MOST breaks down under some stable conditions. As the most widely accepted way to describe the  
66 structure of the turbulent flow in the horizontally homogeneous and stationary atmospheric surface  
67 layer, MOST provides some classical similarity functions to determine the wind profile. These classical  
68 similarity functions are however only valid for the flux Richardson number  $R_f$  below 0.25 [17]. For  
69 situations where  $R_f > 0.25$ , MOST overestimates the wind shear. In general, the height of the surface  
70 boundary layer decreases with atmospheric stability down to 10 m in very stable cases. The decreased  
71 boundary layer height limits the wind shear and results in a lower wind shear than the one based on  
72 classical similarity functions [18].

73 To the best of our knowledge, there are a few simulations of the wind turbine wakes under  
74 thermally-stratified atmosphere using RANS technology in literature, which are all based on MOST.  
75 And the side effects of the breakdown of MOST on wake modeling under stable conditions have not  
76 been well investigated. The present paper aims to investigate these side effects through numerical  
77 simulations and to make a modification on MOST to eliminate these side effects. This modification is to  
78 propose a new set of similarity functions based on field measurements to limit the wind shear in very  
79 stable conditions and to introduce the new similarity functions into the turbulence model proposed by  
80 van der Laan et al. [16].

81 The remainder of this paper is organized as follows. MOST is briefly described in Section 2.  
 82 Models for wind turbine wakes under stable conditions are introduced in Section 3, which cover  
 83 two actuator disk model based on the thrust coefficient and based on BEM calculations, and three  
 84 turbulence models for stable conditions based on MOST. Section 3 also proposes a modified turbulence  
 85 model that can be consistent with arbitrary similarity functions, e.g. the new similarity functions.  
 86 Breakdown of MOST under stable conditions is experimentally investigated and new similarity  
 87 functions are proposed in Section 4. All the test models are studied through numerical simulations:  
 88 the simulation details are described in Section 5; results of the simulations are discussed in Section 6  
 89 and then concluded in Section 7.

## 90 2. Monin-Obukhov Similarity Theory

91 According to MOST [19], any dimensionless characteristics of the turbulence depends only on the  
 92 dimensionless stability parameter  $\zeta = z/L$  where  $z$  is the height above the surface and the Obukhov  
 93 length  $L$  that is defined by

$$L \equiv -\frac{u_*^3}{\kappa \frac{g}{\Theta} \overline{w'\theta'}} \quad (1)$$

94 in which  $\kappa = 0.4$ ,  $g$  is the gravity acceleration,  $\Theta$  is the time-averaged potential temperature,  $\theta'$  is the  
 95 fluctuation of the potential temperature,  $u_* = \sqrt{-\overline{u'w'}}$  is the friction speed where  $u'$  and  $w'$  are the  
 96 fluctuations of the longitudinal and vertical velocity components.

97 The flow similarity functions of the gradient of velocity, the gradient of potential temperature, the  
 98 turbulence kinetic energy, and its dissipation can thus be defined as:

$$\phi_m(\zeta) \equiv \frac{\kappa z}{u_*} \frac{\partial U}{\partial z} \quad (2)$$

$$\phi_h(\zeta) \equiv \frac{\kappa z}{\theta_*} \frac{\partial \Theta}{\partial z} \quad (3)$$

$$\phi_k(\zeta) \equiv \frac{\sqrt{C_\mu}}{u_*^2} k \quad (4)$$

$$\phi_\varepsilon(\zeta) \equiv \frac{\kappa z}{u_*^3} \varepsilon \quad (5)$$

99 where  $C_\mu = 0.033$ ,  $U$  is the mean streamwise speed,  $k$  is the turbulence kinetic energy (TKE),  $\varepsilon$  is  
 100 the TKE dissipation and  $\theta_* = -\overline{w'\theta'}/u_*$  is the scaling temperature. According to the eddy viscosity  
 101 hypothesis by Boussinesq [20], we have:

$$-\rho \overline{u'w'} = \mu_t \frac{\partial U}{\partial z} \quad (6)$$

102 where  $\rho$  is the air density and the eddy viscosity is modeled in the  $k - \varepsilon$  model as [21]:

$$\mu_t = \rho \frac{k^2}{\varepsilon} \quad (7)$$

Combining the definitions of  $\phi_m$ ,  $\phi_k$  and  $\phi_\varepsilon$  with Equations (6) and (7) leads to

$$\phi_k(\zeta) = \sqrt{(\phi_\varepsilon(\zeta)/\phi_m(\zeta))} \quad (8)$$

103 Based on measurements of flows over flat terrain in the atmospheric surface layer, the classical  
 104 similarity functions commonly used in literature are given as [22,23]:

$$\phi_{m,cls}(\zeta) = \begin{cases} (1 - \gamma_m \zeta)^{-1/4} & -2 < \zeta < 0 \\ 1 + \beta_m \zeta & 0 < \zeta < 1 \end{cases} \quad (9)$$

$$\phi_{h,cls}(\zeta) = \begin{cases} \chi(1 - \gamma_h \zeta)^{-1/2} & -2 < \zeta < 0 \\ \chi + \beta_h \zeta & 0 < \zeta < 1 \end{cases} \quad (10)$$

$$\phi_{\varepsilon,cls}(\zeta) = \begin{cases} 1 - \zeta & \zeta < 0 \\ \phi_{m,cls} - \zeta & \zeta > 0 \end{cases} \quad (11)$$

105 where  $\gamma_m = \gamma_h = 16$ ,  $\beta_m = \beta_h = 5$  [23] and  $\chi$  will be determined based on field measurements in  
 106 Section 4. The classical similarity functions, especially  $\phi_m$  and  $\phi_h$  are only valid for the flux Richardson  
 107 number  $R_f$  below 0.25 [17]. We also proposed new functions, noted as  $\phi_{m,exp}$  and  $\phi_{h,exp}$ , in the full  
 108 range of  $R_f$  based on a field experiment in a wind farm.

### 109 3. Models for Wind Turbine Wakes under Stable Conditions

110 In the present work, the numerical simulation of wind turbine wakes under atmospheric  
 111 stable conditions is based on RANS equations and the buoyancy effect due to atmospheric thermal  
 112 stratifications is also taken into account. The following continuity, momentum, and energy equations  
 113 are solved in wake simulations [15,24]:

$$\frac{\partial}{\partial x_i} (\rho U_i) = 0 \quad (12)$$

$$\frac{\partial}{\partial t} (\rho U_i) + \frac{\partial}{\partial x_j} (\rho U_i U_j) = -\frac{\partial p}{\partial x_i} + \frac{\partial}{\partial x_j} \left[ (\mu + \mu_t) \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \right] + S_{u,i} \quad (13)$$

$$\frac{\partial}{\partial t} (\rho \Theta) + \frac{\partial}{\partial x_i} (\rho U_i \Theta) = \frac{\partial}{\partial x_i} \left[ \left( \mu + \frac{\mu_t}{\sigma_\theta} \right) \frac{\partial \Theta}{\partial x_i} \right] \quad (14)$$

114 where  $\rho$  is the air density,  $U_i$  is the velocity component in the  $x_i$  direction,  $p$  is the air pressure,  $\mu$  is the  
 115 laminar viscosity,  $\mu_t$  is the turbulent viscosity,  $S_{u,i}$  is the momentum term source imposed by the wind  
 116 turbine rotor in the  $x_i$  direction,  $\Theta$  is the potential temperature and  $\sigma_\theta$  is the turbulent Prandtl number.

#### 117 3.1. Modeling of Wind Turbine

118 In this work, we introduce two kinds of actuator disk models: one is based on the thrust coefficient  
 119 and another is based on BEM calculations to distribute forces through the disk. The second one which  
 120 provides more detailed information of the distributed forces caused by the rotor, is expected to capture  
 121 near wake structure better than the first one. All simulations carried out in this work use the AD model  
 122 based on BEM calculations in advance if the geometry information of the blades is available.

##### 123 3.1.1. Actuator Disk Model Based on Thrust Coefficient (-CT)

124 In the origin actuator disk model, the momentum source term in the  $x_i$  direction due to the thrust  
 125 is uniformly distributed through the rotor:

$$S_{u,i} = -\frac{T}{V_{\text{disk}}} \frac{U_{\text{ref},i}}{U_{\text{ref}}} = -\frac{\rho C_T U_{\text{ref}} U_{\text{ref},i}}{2\Delta l} \quad (15)$$

126 where  $T$  is the thrust,  $V_{\text{disk}}$  is the disk volume,  $C_T$  is the thrust coefficient,  $U_{\text{ref}}$  is the upstream reference  
 127 velocity at hub height,  $U_{\text{ref},i}$  is the component velocity  $U_{\text{ref}}$  of in  $x_i$  direction,  $\Delta l$  is the disk depth and  
 128 the negative sign represents the drag effects of thrust on the flow.

129 For the upstream flow disturbed by a complex terrain or wind turbine wakes,  $U_{\text{ref}}$  is unknown  
 130 and difficult to be evaluated from the local flow directly. According to the one-dimensional momentum

theory and ignoring the wind shear, the reference velocity is a function of the rotor-averaged velocity  $U_{\text{disk}}$ :

$$U_{\text{disk}} = (1 - a_B)U_{\text{ref}} \quad (16)$$

in which the induced factor  $a_B$  [25] is related to the thrust coefficient  $C_T$  by

$$a_B = \begin{cases} \frac{1}{2}(1 - \sqrt{1 - C_T}), & C_T \leq \frac{8}{9} \\ \frac{C_T - 4a_c^2}{4(1 - 2a_c)}, & C_T > \frac{8}{9} \end{cases} \quad (17)$$

where  $a_c = 1/3$ .

In simulations, the disk-averaged velocity  $U_{\text{disk}}$  is calculated by averaging the local velocity in the disk region, then is applied to estimate the upstream reference velocity  $U_{\text{ref}}$  based on Equations (16) and (17) and the momentum source  $S_{u,i}$  based on Equation (15), respectively.

### 3.1.2. Actuator Disk Model Based on Blade Element Method (-BEM)

In the BEM-based actuator disk model, the rotor plane consists of  $N$  actuator lines and each of the actuator lines is split into  $M$  element sections (Fig. 1). The element section collects the local velocity and the rotor speed  $\Omega$  to calculate the element force and applies this force in the neighbor cells of the element section. The reference velocity is firstly assessed from the disk-averaged velocity and then is applied to evaluate the rotor speed.

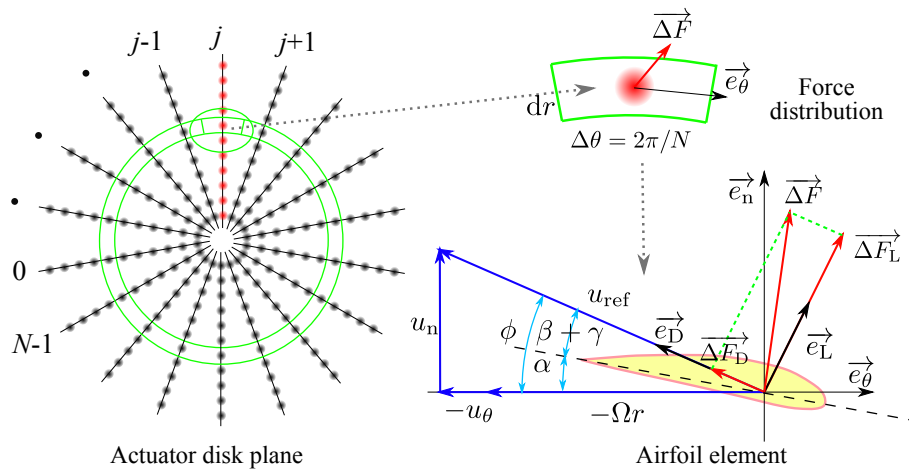


Figure 1. Schematics of the BEM-based actuator disk model.

By transforming the local velocity at the blade element into polar velocity components ( $u_r$ ,  $u_\theta$ ,  $u_n$ ), the force of the blade element is:

$$\vec{\Delta F} = \frac{B\Delta\theta}{4\pi} \rho u_{\text{rel}}^2 c (C_L \vec{e}_L + C_D \vec{e}_D) \Delta r \quad (18)$$

where  $B$  is the number of the blades and  $c$  is the chord length,  $\Delta r$  is the length of the element section. The drag coefficient of the element section,  $C_D$  and its lift coefficient  $C_L$  which are functions of the attack angle  $\alpha$ , are estimated from XFOIL [26] and then corrected by three-dimensional rotational effects of the blades based on Du et al. [27]. According to Fig. 1,  $\alpha = \phi - (\beta + \gamma)$  where  $\phi = \arctan[u_n / (\Omega r + u_\theta)]$  is the flow angle,  $\beta$  is the blade installation angle and  $\gamma$  is the pitch angle.

The element force is distributed across neighbor cells. The force added to a cell is calculated by:

$$\vec{\Delta F}_{\text{cell}} = \sum_i^{N \cdot M} \frac{1}{s^3 \pi^{3/2}} \exp\left(-\frac{s_i^2}{s^2}\right) \vec{\Delta F}_i F_{\text{tip}} F_{\text{hub}} \Delta V_{\text{cell}} \quad (19)$$

152 where  $s_i$  the distance of the  $i$ -th element to the cell and  $s$  is the cut-off length scale that takes a value  
153 between 2 and 3 cell sizes [28].  $F_{\text{tip}}$  and  $F_{\text{hub}}$  are the Prandtl tip loss and hub loss functions [29]:

$$F_{\text{tip}} = \frac{2}{\pi} \arccos \left[ \exp \left( \frac{B(R-r)}{2r \sin \phi} \right) \right] \quad (20)$$

$$F_{\text{hub}} = \frac{2}{\pi} \arccos \left[ \exp \left( \frac{B(r-R_{\text{hub}})}{2r \sin \phi} \right) \right] \quad (21)$$

154 where  $R$  is the rotor radius,  $R_{\text{hub}}$  is the hub radius,  $r$  is the radial distance of the element to the rotor  
155 center.

### 156 3.2. Turbulence modeling

157 In this paper, we apply the  $k - \varepsilon$  turbulence model to close Equations (12) to (14):

$$\frac{\partial}{\partial t}(\rho k) + \frac{\partial}{\partial x_i}(\rho U_i k) = \frac{\partial}{\partial x_j} \left[ \left( \mu + \frac{\mu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_j} \right] + \mathcal{P} + \mathcal{B} - \rho \varepsilon - S_{k,\text{SBL}} \quad (22)$$

$$\frac{\partial}{\partial t}(\rho \varepsilon) + \frac{\partial}{\partial x_i}(\rho U_i \varepsilon) = \frac{\partial}{\partial x_j} \left[ \left( \mu + \frac{\mu_t}{\sigma_\varepsilon} \right) \frac{\partial \varepsilon}{\partial x_j} \right] + (C_{\varepsilon 1} \mathcal{P} - \rho C_{\varepsilon 2} \varepsilon + C_{\varepsilon 3} \mathcal{B}) \frac{\varepsilon}{k} + S_{\varepsilon,\text{wake}} \quad (23)$$

$$\mu_t = \rho C_\mu \frac{k^2}{\varepsilon} \quad (24)$$

where  $k$  is the turbulence kinetic energy (TKE),  $\varepsilon$  is the TKE dissipation,  $C_\mu = 0.033$ ,  $\sigma_k = 1.0$ ,  $\sigma_\varepsilon = 1.3$ ,  $C_{\varepsilon 2} = 1.92$  and  $C_{\varepsilon 3}$  is a coefficient to be calibrated with atmospheric stability.  $\mathcal{P}$  and  $\mathcal{B}$  are the TKE source production due to shear and buoyancy:

$$\mathcal{P} \equiv -\overline{\rho u'_i u'_j} \frac{\partial U_j}{\partial x_i} = \mu_t \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \frac{\partial U_j}{\partial x_i} \quad (25)$$

$$\mathcal{B} \equiv \frac{g_i}{\Theta_0} \overline{u'_i \theta'} = -\frac{g_i}{\Theta_0} \frac{\mu_t}{\sigma_\theta} \frac{\partial \Theta}{\partial x_i} \quad (26)$$

158 where  $u'_i$  is the fluctuation of the velocity component in  $x_i$  direction.

159 The source term  $S_{\varepsilon,\text{wake}}$  is applied in the neighbour region of the turbine to correct the fast wake  
160 recovery of the standard  $k - \varepsilon$  model [30]:

$$S_{\varepsilon,\text{wake}} = \rho C_{4\varepsilon} \frac{\mathcal{P}^2}{\rho k} \quad (27)$$

161 where  $C_{4\varepsilon} = 0.37$ .

162 In this work the methods of Alinot and Masson [15], the recently proposed model from M.P.  
163 van der Laan et al. [16] and the indirect model that not solves the energy equation applied in W.A.  
164 El-Askary et al. [14] are investigated, and the models are hereafter referred to as the AM, Laan and  
165 El-Askary models, respectively. The TKE source term  $S_{\varepsilon,\text{ABL}}$  is added in the Laan model and its  
166 modified model (the proposed model) to keep flow homogeneity under stable conditions. In the AM  
167 model and the El-Askary model,  $S_{k,\text{ABL}} = 0$ . For stable cases,  $C_{\varepsilon 3}$  is set to -2.9 in the AM model [15]  
168 and set to 1 in the El-Askary model [14].

169 In the El-Askary model, the energy equation (14) is not solved and the TKE production due to  
170 buoyancy  $\mathcal{B}$  is then modeled as:

$$\mathcal{B} = -\mu_t \left( \frac{\partial u}{\partial z} \right)^2 \frac{Ri}{\phi_m} \quad (28)$$

171 in which



$$Ri = \zeta \frac{0.74 + 4.7\zeta}{(1 + 4.7\zeta)^2}, \quad \zeta > 0 \quad (29)$$

172 The Laan model is derived from homogeneous steady flows over flat terrain where the kinematic  
173 viscosity  $\mu$  can be ignored and the  $k - \varepsilon$  model can be rewritten into normalized form by  $\kappa z / u_*^3$ :

$$\phi_{t,k} + \phi_m - \phi_\varepsilon - \frac{\phi_h}{\sigma_\theta \phi_m} \zeta - \phi_{S_k} = 0 \quad (30)$$

$$\phi_{t,\varepsilon} + \left( C_{\varepsilon 1} \phi_m - C_{\varepsilon 2} \phi_\varepsilon - C_{\varepsilon 3} \frac{\phi_h}{\sigma_\theta \phi_m} \zeta \right) \frac{\varepsilon}{k} = 0 \quad (31)$$

174 in which  $\phi_{t,k} \equiv \frac{\kappa z}{\rho u_*^3} \frac{\partial}{\partial z} \left( \frac{\mu_t}{\sigma_k} \frac{\partial k}{\partial z} \right)$  and  $\phi_{t,\varepsilon} \equiv \frac{\kappa z}{\rho u_*^3} \frac{\partial}{\partial z} \left( \frac{\mu_t}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial z} \right)$  are the normalized turbulent transport of  $k$  and  
175  $\varepsilon$ ,  $\phi_{S_k} \equiv \frac{\kappa z}{u_*^3} S_{k,ABL}$ .

176 Under neutral conditions where  $\zeta \rightarrow 0$  and  $\phi_m, \phi_k, \phi_\varepsilon \rightarrow 1$ , Eq. (31) reduces to

$$C_\mu^{1/2} \sigma_\varepsilon (C_{\varepsilon 2} - C_{\varepsilon 1}) = \kappa^2 \quad (32)$$

177 which results in  $C_{\varepsilon 1} = 1.24$ .

Under stable conditions, we have

$$S_{k,ABL} = \left\{ \phi_m - \phi_\varepsilon - \frac{\phi_h}{\sigma_\theta \phi_m} \zeta + \frac{C_\mu^{-1/2} \kappa^2}{\sigma_k} \frac{\zeta^2}{\phi_m} \left[ \phi_k'' - \frac{\phi_k' \phi_m'}{\phi_m} + \frac{\phi_k'}{\zeta} \right] \right\} \frac{u_*^3}{\kappa z} \quad (33)$$

$$\frac{\phi_h}{\sigma_\theta \phi_m} C_{\varepsilon 3} = \frac{C_{\varepsilon 1} \phi_m - C_{\varepsilon 2} \phi_\varepsilon}{\zeta} + \frac{C_\mu^{-1/2} \kappa^2}{\sigma_\varepsilon} \frac{\phi_k}{\phi_m} \left[ \frac{\zeta \phi_\varepsilon''}{\phi_\varepsilon} - \frac{\zeta \phi_\varepsilon' \phi_m'}{\phi_\varepsilon \phi_m} - \frac{\phi_\varepsilon'}{\phi_\varepsilon} + \frac{\phi_m'}{\phi_m} + \frac{1}{\zeta} \right] \quad (34)$$

178 where  $\phi' = \partial \phi / \partial \zeta$ ,  $\phi'' = \partial^2 \phi / \partial \zeta^2$  for  $\phi \in \{\phi_k, \phi_m, \phi_\varepsilon\}$ . Equations (33) and (34) allow turbulence  
179 closure consistent either with the classical similarity functions in MOST (the Laan model) or any other  
180 similarity functions based on field measurements (the proposed model in this paper). One can prove  
181 that the above equations equal to those proposed by van der Laan et al. [16] if the classical similarity  
182 functions are applied. It should be noted that the turbulent Prandtl number is set to 1 in the origin  
183 AM model and the Laan model, and the energy equation (14) is also not solved in the Laan model.  
184 This work however apply  $\sigma_\theta \equiv \phi_h / \phi_m$  according to the definition of the turbulent Prandtl number [31]  
185 and solves the energy equation (14) in the Laan model. There are only negligible differences in wake  
186 modeling when considering these differences in implementations of the models. Table 1 summarizes  
187 the equations to be solved as well as the applied source terms, used for each method.

**Table 1.** Summary of turbulence models investigated for stable conditions.

Turbulence Model	Energy Equation (14)	$\mathcal{B}$	$S_{k,ABL}$	$C_{3\varepsilon}$	Similarity functions
AM	✓	Eq. (26)	—	-2.9	classical
El-Askary	—	Eq. (28)	—	1	classical
Laan	✓	Eq. (26)	Eq. (33)	Eq. (34)	classical
Proposed	✓	Eq. (26)	Eq. (33)	Eq. (34)	$\phi_{m,exp}, \phi_{h,exp}, \phi_{\varepsilon,cls}$

## 188 4. Breakdown and Modifications of MOST under Stable Conditions

### 189 4.1. Experimental Data

190 The field experiment that is used to investigate the similarity functions was carried out in a  
191 wind farm in the Jingbian wind farm in northwest of China [2]. In the experimental campaign, two  
192 masts numbered M1 and M3 are installed near a wind turbine (No. 14) to capture the wake profiles  
193 (Fig. 2). For wind direction in  $[-17^\circ, 8^\circ]$ , the ratio of the nacelle wind speed of wind turbine 14 to the

194 hub-height wind speed at mast M1 keeps constant which indicates mast M1 is not located in wakes of  
 195 turbines NO. 12 and No. 15, we thus use the data of mast M1 in this wind direction interval to study  
 196 similarity functions. On the mast M1, cup anemometers were installed at 30 m, 50 m and 70 m (Fig.  
 197 3). Measurements of the sonic anemometer installed on M1 at 30 m are applied to estimate the heat  
 198 flux  $\overline{w'\theta'}$ , the Obukhov length  $L$  and the friction speed  $u_*$  [2]. Effective data after considering data  
 199 synchronization and sensor errors are about 190 days.

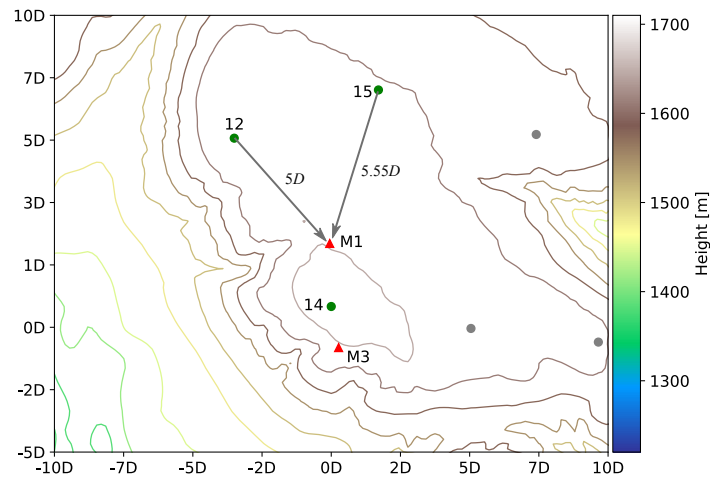


Figure 2. Complex terrain around M1.

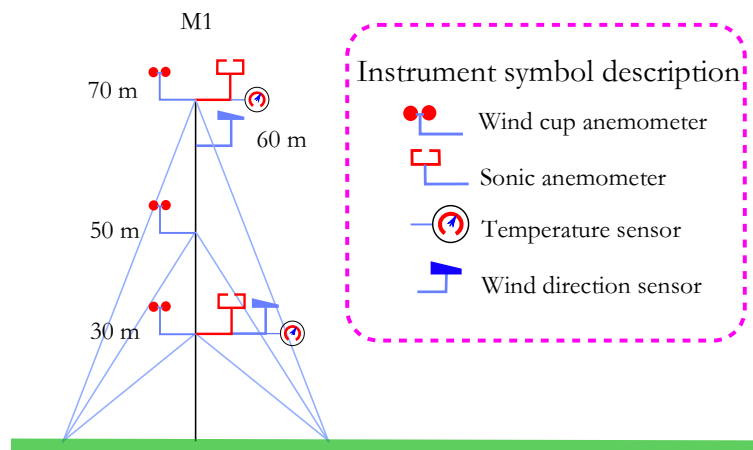


Figure 3. Measurement setup for M1.

200 According to Eq. (2), the vertical gradient of velocity is also required to estimate  $\phi_m$  besides the  
 201 friction speed  $u_*$ . In this work, we approximate the vertical gradient of velocity by:

$$\frac{dU}{dz}|_{30m} = \frac{-3U_{30} + 4U_{50} - U_{70}}{40m} \quad (35)$$

202 where  $U_{30}$ ,  $U_{50}$  and  $U_{70}$  is the speed at 30 m, 50 m and 70 m above the ground level (a.g.l.). Due to the  
 203 absence of a temperature sensor at 50 m a.g.l., we can only estimate  $\phi_h(\zeta)$  with second-order accuracy  
 204 at 50 m. In order to estimate  $\phi_h$  at 30 m with second-order accuracy, the turbulent Prandtl number  
 205  $\sigma_\theta = \phi_h/\phi_m$  is considered to be independent of heights. Then the turbulent Prandtl number assessed  
 206 at 50 m a.g.l. is also available at 30 m a.g.l. The vertical gradient of speed and potential temperature in  
 207 estimating  $\sigma_\theta$  at 50 m are given by Wallace et al. [32]:



$$\frac{dU}{dz}|_{50m} = \frac{U_{70} - U_{30}}{40m} \quad (36)$$

$$\frac{d\Theta}{dz}|_{50m} = \frac{T_{70} - T_{30}}{40m} + g/C_p \quad (37)$$

#### 208 4.2. Limitations and Breakdown of MOST

209 The flux Richardson number is defined as:

$$R_f \equiv -\frac{\frac{g}{\Theta} \overline{w'\theta'}}{u_*^2 \frac{\partial U}{\partial z}} \quad (38)$$

210 Combining the definitions of  $L$  and  $R_f$ , we have

$$\phi_m(\zeta) = \zeta/R_f \quad (39)$$

211 which indicates that  $R_f = 0.25$  is a straight line in the plane  $\zeta - \phi_m$ . Fig. 4 shows that the line  $R_f = 0.25$   
 212 split the measured data under stable conditions into two groups: for  $R_f < 0.25$ , the measured data  
 213 have good agreements with the data predicted by MOST and are rarely observed above  $\zeta = 2$ ; for  
 214  $R_f > 0.25$ , the measured data have smaller  $\phi_m$  than those predicted by MOST. For applications in wind  
 215 energy, measured data are usually mixed and engineers commonly focus on wind turbine wakes under  
 216 stable conditions without considering the limitation of  $R_f$ . In this situation, MOST could overestimate  
 217 the wind shear and potentially influence wake modeling of a wind turbine.

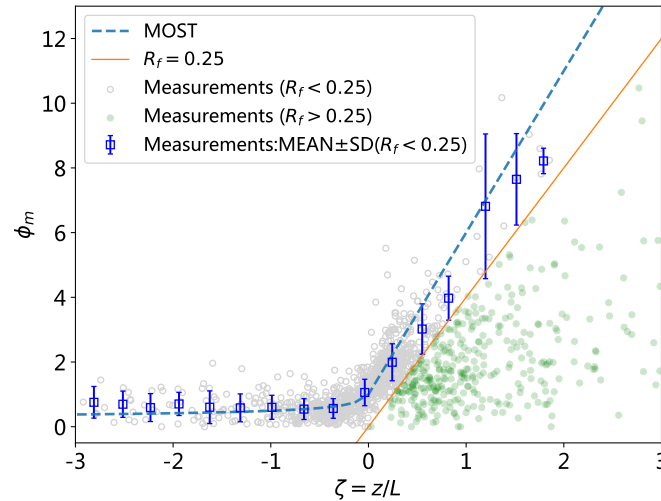


Figure 4. Plot of  $\phi_m$  as function of  $\zeta$ .

#### 218 4.3. The Proposed Similarity Functions

219 Fig. 5 and Fig. 6 show comparisons of  $\phi_m(\zeta)$  and  $\sigma_\theta(\zeta)$  of different models with classical  
 220 similarity functions of MOST. Measurements show that  $\phi_m$  of MOST only be valid for  $\zeta < 0.1$  and  
 221 is overestimated for  $\zeta > 0.1$ . Fig. 6 show that the turbulent Prandtl number is decreased with the  
 222 stability parameter  $\zeta$ . A similar phenomenon was also observed in Grachev et al. [31]. In the full range  
 223 of  $R_f$ , the proposed similarity functions based on a field measurement under stable conditions read:

$$\phi_{m,\text{exp}}(\zeta) = (1 + 6\zeta)^{\frac{1+16\zeta^2}{1+40\zeta^2}} \quad (40)$$

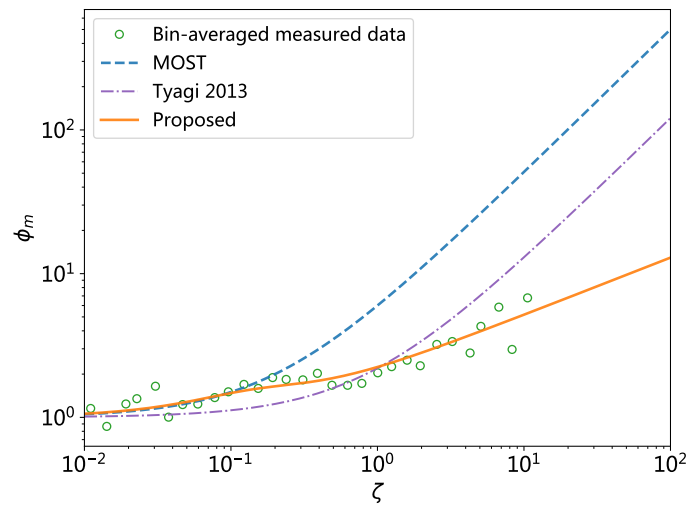


Figure 5. Plots of  $\phi_m$  of different models as functions of  $\zeta$ .

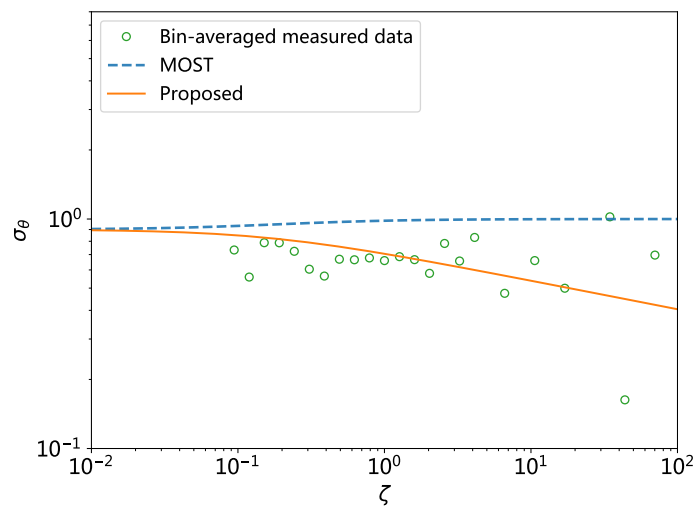


Figure 6. Plots of  $\sigma_\theta$  of different models as functions of  $\zeta$ .

$$\sigma_{\theta,\text{exp}}(\zeta) = 0.9 (1 + 6\zeta)^{-1/8} \quad (41)$$

224 where  $\sigma_{\theta,\text{exp}}(\zeta) = \phi_{h,\text{exp}}(\zeta) / \phi_{m,\text{exp}}(\zeta)$  and  $\chi = 0.9$ . To note, Eq. (40) approximates  $1 + 6\zeta$  for  $\zeta < 0.1$   
 225 and approximates  $(1 + 6\zeta)^{0.25}$  for  $\zeta \rightarrow \infty$ , which allows to limit wind shear under strongly stable  
 226 conditions.

## 227 5. Simulation Details

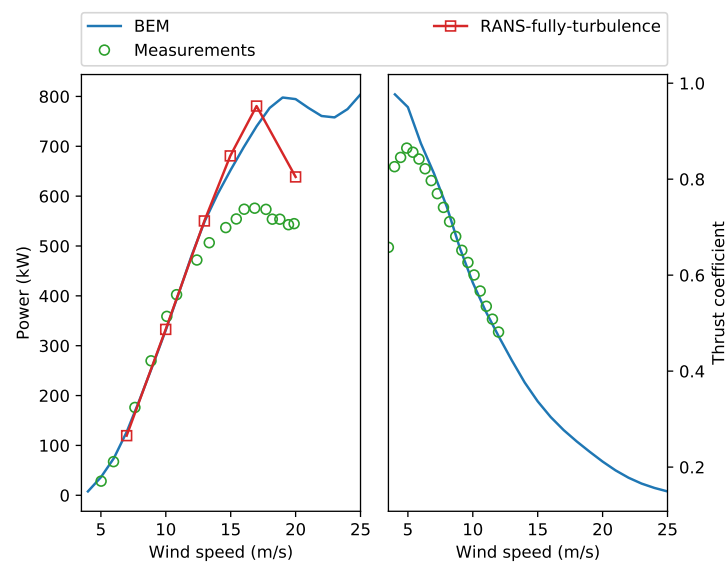
### 228 5.1. Test Cases

229 In this work, we investigate wakes under stable conditions of a 500 kW NTK500/41 wind turbine  
 230 at the Risø Campus test site of DTU in Denmark [1] and three 180 kW Danwin turbines on the island  
 231 of Gotland in the Baltic Sea [6]. The two test cases are based on wake measurements that cover wake  
 232 data 1  $D$  to 9  $D$  downstream of the turbines where  $D$  is the rotor diameter. Details of test cases are  
 233 listed in Tab. 2.

**Table 2.** Details of measurements in the two test cases.

Wind turbine	$D$ (m)	$H$ (m)	Measurements	Wake range
NTK500/41	41	36	LiDAR scanning	$1 D$ to $5 D$
Danwin	23	35	Mast measurements	$4.2 D$ , $6.1 D$ and $9.6 D$

234 The first test case is based on LiDAR measurements of the wakes of a NTK500/41 turbine. A  
 235 pulsed LiDAR which was mounted on a platform at the rear of the nacelle, pointed its laser downstream  
 236 the turbine up to  $5 D$  downstream the turbine. A constant downhill slope of about 0.3% was observed  
 237 downstream the turbine. Inlet meteorological properties such as wind speed, wind direction, air  
 238 temperature and atmospheric pressure were measured from a 57 m tall meteorological mast located  
 239 upstream the turbine. The NTK500/41 turbine is a stall-regulated 500 kW wind turbine equipped  
 240 with LM 19.1 m blades and its rotor speed is fixed at 27.1 rpm. The blades consist of two kinds of  
 241 airfoils: FFA-W3-XX1 (from 16% to 50% span) and NACA 63-XXX (from 60% to 100% span) [33]. Chord  
 242 length and twist angle over the blades were presented in Johansen et al. [34]. The power curve and the  
 243 thrust coefficient curve based on BEM calculations are shown in Fig. 7. The power curve from BEM  
 244 calculations is shown to have good agreements with the RANS computations in fully turbulence model  
 245 [34] below 15 m/s and measurements [34] below 10 m/s (Fig. 7). The measured thrust coefficient from  
 246 strain gauges measurements [35] is shown to have good agreements with the BEM computations above  
 247 6 m/s. In this case, we set  $z_0 = 0.095$  m,  $L = 29$  m, the hub-height reference wind speed  $U_{\text{hub}} = 6.76$   
 248 m/s as presented in Machefaux et al. [1] and apply  $C_T = 0.83$  based on the BEM computations.

**Figure 7.** Performance curves of the NTK500/41 turbine.

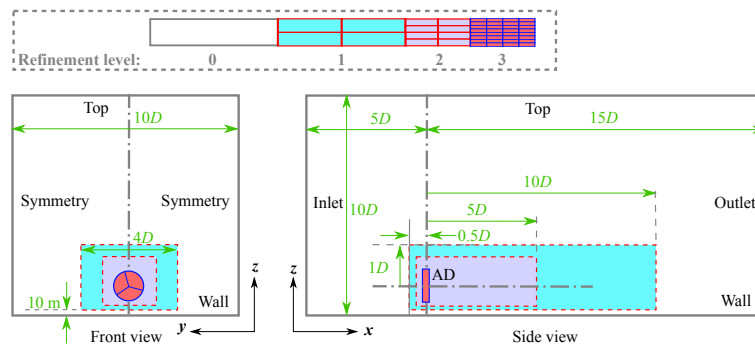
249 The second test case is based on wake measurements of three Danwin wind turbines using two 54  
 250 m meteorological masts, M1 and M2. In the campaign, the masts were equipped with wind sensors  
 251 at 8 levels from 10 m to 53.3 m. Inlet conditions including temperature profiles were measured on  
 252 mast M1 while wake profiles of the three turbines were measured on mast M2. The distances from  
 253 the three turbines to mast M2 are  $4.2D$ ,  $6.1D$  and  $9.6D$ , respectively. In this case, we set the roughness  
 254 length, the Obukhov length, the reference wind speed and the thrust coefficient to be 0.0005 m, 35 m, 8  
 255 m/s and 0.82, respectively [6,36]. The detail information of test cases is shown in Tab. 3 where the  
 256 model "Proposed- $z_0$ " is actually the proposed model that reduces  $z_0$  to impose the turbulent intensity  
 257 at hub height equivalent to the value imposed by MOST. The model "Proposed- $z_0$ " is used to assess  
 258 the influence of turbulence intensity on wake modeling.

**Table 3.** Test cases and corresponding parameters under stable conditions with  $L = 29$  m for Case 1 and  $L = 35$  m for Case 2 where TI stands for turbulence intensity at hub height.

Model	Case 1: $U_{\text{hub}} = 6.76\text{m/s}$ , $C_T = 0.83$			Case 2: $U_{\text{hub}} = 8\text{m/s}$ , $C_T = 0.82$		
	$u_*$ (m/s)	$z_0$ (m)	TI	$u_*$ (m/s)	$z_0$ (m)	TI
AM, Laan, El-Askary	0.223	0.095	6.3%	0.198	0.0005	4.7%
Proposed	0.316	0.095	9%	0.237	0.0005	5.7%
Proposed-z0	0.223	0.0028	6.3%	-	-	-

## 259 5.2. Computational Domain and Meshing

260 The computational domain has a length of  $20D$ , a width of  $10D$  and a height of  $10D$  (Fig. 8). The  
 261 background mesh whose refinement level is 0, consists of  $100 \times 60 \times 60$  in length, width and height.  
 262 The vertical grids are clustered near the ground and the first cell height above the ground is set to  $7.38$   
 263  $z_0$  to produce appropriate kinetic energy [37]. The mesh around the wind turbine and in the wake  
 264 region is refined as shown in Fig. 8. The mesh is refined around the disk region to ensure about 80  
 265 cells through the rotor diameter [38]. The complete mesh is comprised of about 1.6 million cells.



**Figure 8.** Computational domain and meshing settings.

## 266 5.3. Boundary Conditions and Solver Settings

267 The boundary conditions consistent with similarity functions are applied to modeling the  
 268 atmospheric boundary stratification. We apply the following inlet conditions in wake simulations:

$$U(z) = \int_{z_0}^z \frac{u_*}{\kappa z} \phi_m \left( \frac{z}{L} \right) dz \quad (42)$$

$$\Theta(z) = \Theta_0 + \int_{z_0}^z \frac{\theta_*}{\kappa z} \phi_h \left( \frac{z}{L} \right) dz \quad (43)$$

$$\varepsilon = \frac{u_*^3}{\kappa z} \phi_\varepsilon \left( \frac{z}{L} \right) \quad (44)$$

$$k = \frac{u_*^2}{\sqrt{C_\mu}} \phi_k \left( \frac{z}{L} \right) \quad (45)$$

269 The vertical profiles of wind speed and potential temperature are estimated in numerical integration.  
 270 Zero gradients of  $U$ ,  $\Theta$ ,  $\varepsilon$ ,  $k$  are applied at the outlet. For the top boundary, the upstream flow properties  
 271 are maintained constant. And the turbulent law of wall presented in Chang et al. [39] is applied to the  
 272 first layer of cells above the wall. The left and right sides of the computational domain are set to be  
 273 symmetry.

274 All the AD models are implemented in OpenFOAM (Open-source Field Operation And  
 275 Manipulation) [40], which is a C++ toolbox for the development of customized numerical

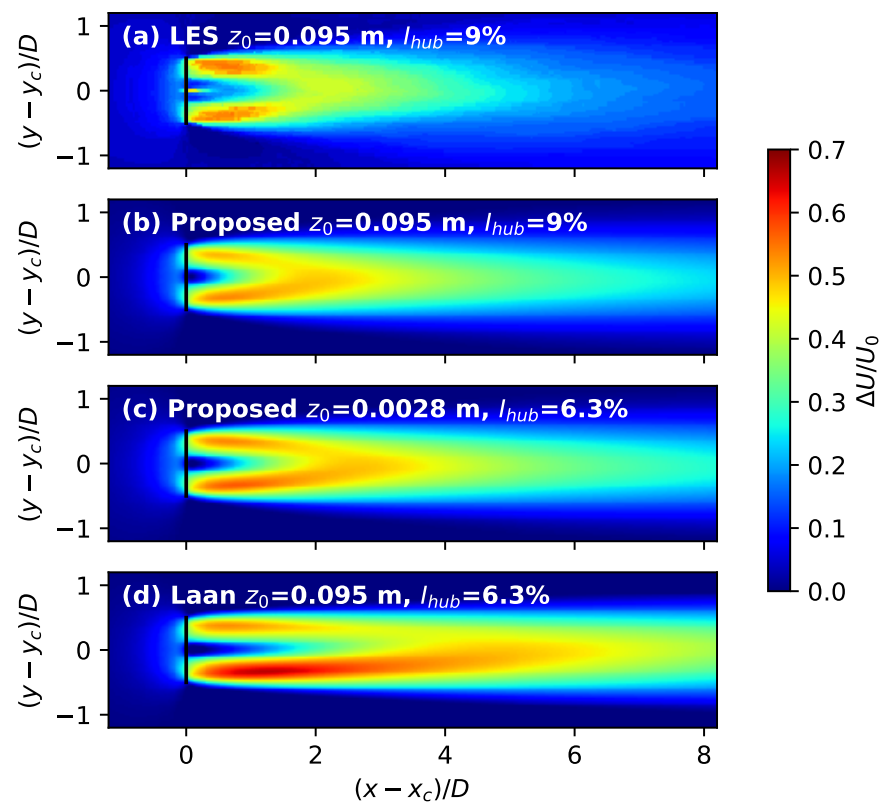
276 solvers, and pre-/post-processing utilities for allowing for solutions to fluid flow problems using  
277 the finite-volume method. To carry out the simulations, we develop a new solver based on  
278 buoyantBoussinesqPimpleFoam which is a large time-step transient solver using the PIMPLE (merged  
279 PISO-SIMPLE) algorithm for buoyant, turbulent flow of incompressible fluids provided in OpenFOAM.  
280 A first-order upwind scheme is used for all dependent variables in all the simulations. Momentum  
281 source  $S_u$  is added to the momentum equations via user-specified finite volume options (fvOptions).

## 282 6. Results of Wake Simulations

### 283 6.1. Case 1: Wakes of a NTK500/41 Wind Turbine

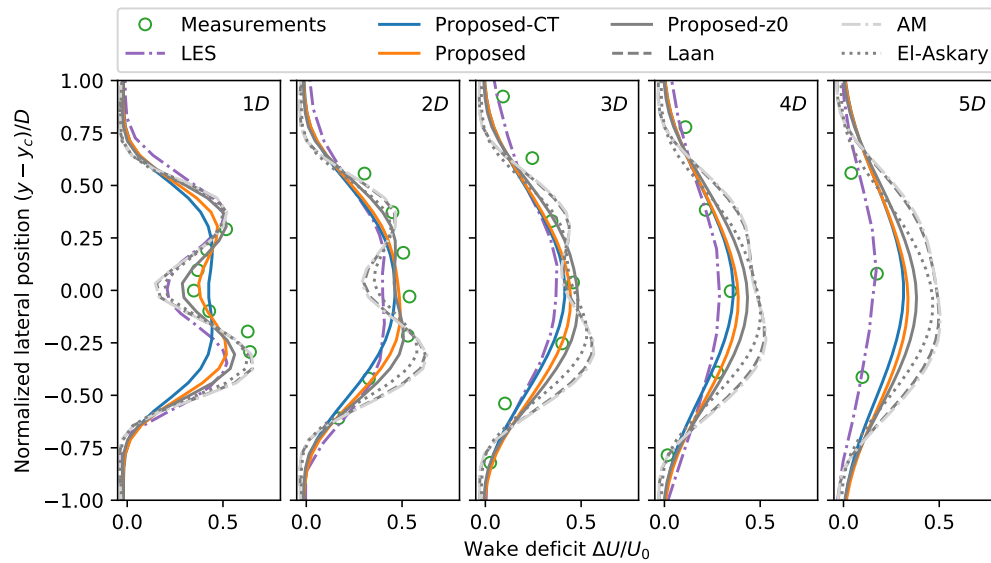
284 In Machefaux et al. [1], the wakes of a NTK500/41 wind turbine was studied experimentally and  
285 numerically by using two LES methods: the classical one based on the ELLIPSYS3D flow solver [41]  
286 and the extended approach that explicitly introduces thermal and Coriolis effects as external force  
287 terms into the solver. The modeled Monin-Obukhov inlet was observed to be much more severely  
288 sheared as compared with measurement such that the classical approach applied a power law for  
289 the inlet velocity profile. The extended LES approach additionally carried out a transient precursor  
290 computation to simulate the time-varying vertical structure of the whole ABL and used the results  
291 of the precursor simulations to impose the mean potential temperature and velocity profiles at the  
292 inlet for wake modeling. The precursor simulations which are shown to have effects on limiting  
293 the overestimated wind shear predicted by MOST [1], are not carried out for the various turbulence  
294 models combined with RANS in this work. Besides, numerical simulations have shown that there  
295 are no major differences in wake deficit predictions between the classical approach and the extended  
296 model for stable and unstable cases. Therefore, we only compare the wake deficit predicted by the  
297 extended approach with the test models in RANS in this work.

298 Fig. 9 shows contours of wake deficits  $\Delta U/U_0$  predicted by different models at hub height  
299 under stable conditions where  $\Delta U$  is the velocity deficit in the wake and  $U_0$  is the inlet velocity. The  
300 simulations using LES technology show larger deficits in near wake and a slightly faster recovery wake  
301 than that in the proposed model, while the Laan model predicts a slower recovery wake with a much  
302 larger deficit. One possible explanation for the stronger wake effects predicted by the Laan model is  
303 due to the underpredicted turbulence intensity. For a given reference wind speed  $U_{\text{hub}}$  and a fixed  
304 roughness length  $z_0$ , higher wind shear in the Laan model results in a smaller friction speed  $u_*$  and a  
305 lower turbulence intensity  $I_{\text{hub}}$  than the proposed model and thus weakens the wake recovery. In this  
306 case, the ambient turbulence intensity 9% in the LES approach and the proposed model, is reduced  
307 to 6.3% in the Laan model. Fig. 9(c) shows the effects of the reduced turbulence intensity on wake  
308 modeling. Compared to the significant difference in the wake prediction between the proposed model  
309 and the Laan model, reducing the turbulence intensity slightly increases the wake deficit and weakens  
310 the wake recovery. This suggests that the overestimated wake effects by MOST are related to both the  
311 underpredicted turbulence intensity and the overestimated wind shear.



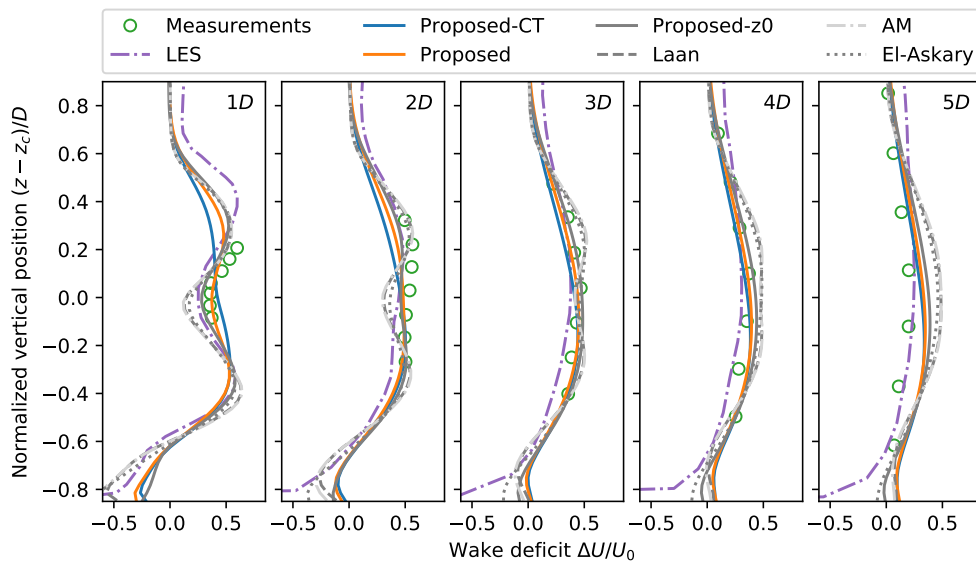
**Figure 9.** Normalized velocity distribution at hub height in the stable case where  $(x_c, y_c, z_c)$  is the center point of the disk,  $\Delta U$  is the velocity deficit in the wake and  $U_0$  is the inlet velocity.

312 As compared with measurements, the proposed model shows better performance than other  
 313 approaches based on MOST combined with RANS and is comparable to the LES approach (Fig. 10-11).  
 314 For the longitudinal distance above  $2D$ , AM, El-Askary and Laan model overestimate the wake deficits  
 315 in both vertical and lateral directions. All the test models in RANS fail to predict wake deficits at  
 316  $5D$  downstream of the rotor. This disagreement is probably due to a combination of terrain effects  
 317 and experimental uncertainties using LiDAR. According to the Space Shuttle Topography Mission  
 318 (STRM)-based terrain data, the test site terrain has a downhill slope characterized by a height difference  
 319 of 5.5 m from the rotor location to the most downstream cross section. As the wake has been observed  
 320 to follow terrain under stable conditions [1,42], the wind speed for the longitudinal distance above  
 321  $5D$  at the hub height is actually the value of 5.5 m above the wake center, which results in the  
 322 overestimation of wake by various turbulence models in RANS.



**Figure 10.** Lateral wake deficit of a NTK500/41 wind turbine at hub height:  $L = 29$  m,  $\Delta U$  is the velocity deficit in the wake and  $U_0$  is the inlet velocity.

323 Fig. 11 also shows that the proposed model underestimates wake deficits above the hub height at  
 324 1D and 2D while overestimating wake deficits below the hub height at 5D. Double-bell near-wake  
 325 shape due to a lower energy extraction around the blade root [1], is observed under stable conditions at  
 326 1D and is captured by the actuator models based on BEM calculations. There are no major differences  
 327 between the vertical wake profiles predicted by the proposed models based on either the thrust  
 328 coefficient (Proposed-CT) or BEM calculations (Proposed) above 2D. As the LES model applies slip  
 329 wall conditions at the bottom of the domain and does not consider the roughness effect of the ground,  
 330 large negative wake deficits are observed near the ground [1].



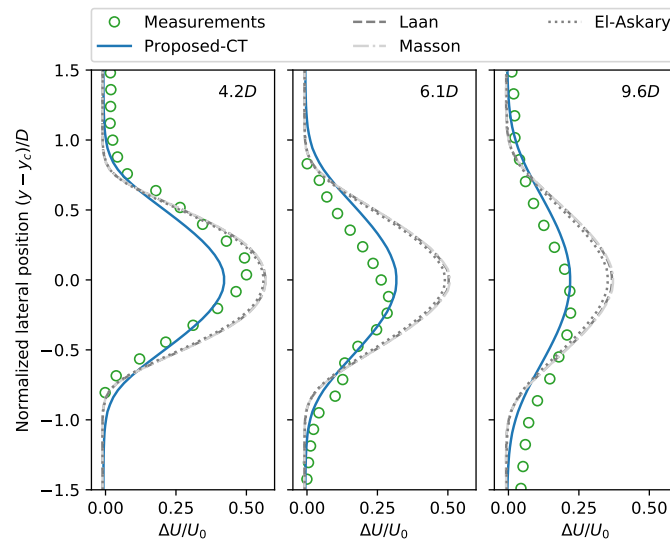
**Figure 11.** Vertical wake deficit profile of a NTK500/41 wind turbine:  $L = 29$  m,  $\Delta U$  is the velocity deficit in the wake and  $U_0$  is the inlet velocity.

### 331 6.2. Case 2: Wakes of Danwin 180 kW Wind Turbines

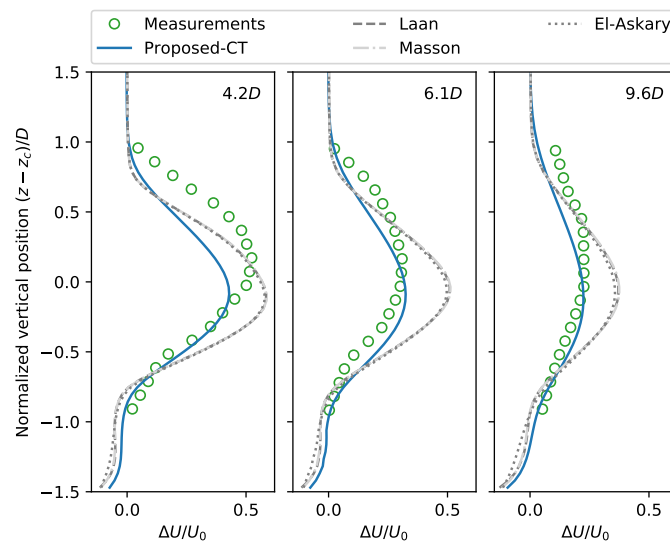
332 The numerical results of the turbulence models based on MOST and the proposed model based  
 333 on the new similarity functions are compared with the experimental data reported by Magnusson et  
 334 al. [6] in Figs. 12-14. Comparison is made at distances 4.2, 6.1 and 9.6  $D$  downstream of the turbine.



335 Since the detailed rotor geometry of the Danwin 180 kW wind turbine is not available, the actuator  
 336 disk model based on the thrust coefficient is applied.



**Figure 12.** Lateral wake deficit of Danwin 180 kW wind turbines at hub height:  $L = 35$  m,  $\Delta U$  is the velocity deficit in wake and  $U_0$  is the inlet velocity and all turbulence models are combined with AD based on thrust coefficient.

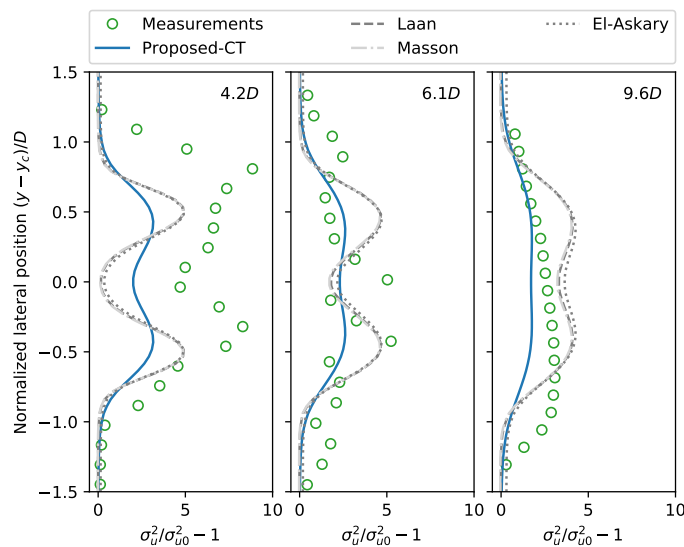


**Figure 13.** Vertical wake deficit of Danwin 180 kW wind turbines:  $L = 35$  m,  $\Delta U$  is the velocity deficit in the wake and  $U_0$  is the inlet velocity and all turbulence models are combined with AD based on thrust coefficient.

337 Fig. 12-13 demonstrates the change of the wake deficit with the distance downstream of the  
 338 turbine in both lateral and vertical directions. From this figure, it can be depicted that there is no  
 339 significant difference between AM, El-Askary and Laan models at all downstream positions. As  
 340 compared to measurements, these models that are based on MOST, predict larger wake deficits and  
 341 the wake deficit can be overestimated by 0.25 at 6.1  $D$  downstream of the rotor. Introducing the new  
 342 similarity functions into the Laan model, improves the wake prediction at 6.1  $D$  and 9.6  $D$  downstream,  
 343 as compared with the models based on MOST. It should be noted that the measured wake center or the  
 344 position of the maximum wake deficit, shifts about 0.2  $D$  in both vertical and lateral directions at 6.1  $D$

and  $9.6 D$  downstream of the rotor. This shift of the wake center was also observed in full-scale-turbine wakes with scanning-LiDAR measurements from the Crop Wind Energy eXperiment (CWEX) in Iowa [43]. The stretching of the wake structures can be attributed to the strong wind veer associated with stable conditions [6,43]. As wind veer is a direct result of Coriolis effects caused by the Earth's rotation and the Coriolis force is not modeled in this work, all the test models thus fail to capture this stretching of the wake structures.

Fig. 14 shows the distribution of the normalized added turbulence  $\sigma_u^2/\sigma_{u0}^2 - 1$  in the lateral direction at hub height where  $\sigma_u$  and  $\sigma_{u0}$  is the standard derivations of wind speed in wakes and in the atmosphere, respectively. A dual-peak pattern (lateral distance  $y - y_c = \pm 0.5D$ ) is detected experimentally at  $4 D$  downstream of the rotor as a result of rotor tip vortices and high shear production of turbulent kinetic energy caused by strong velocity gradients at wake boundary [3,4]. All test models capture this dual-peak pattern with lower values: the measured peaks reduce by 3 in the simulations using models based on MOST and reduce by 5 in the simulations using the proposed model. This underestimation of the added turbulence may be due to representing the turbine rotor with actuator disk instead of the actual rotor geometry. To note, the dual-peak pattern predicted by the models based on MOST can be observed at distance downstream of the rotor up to  $9.6 D$ , which becomes indistinctly at  $6.1 D$  and  $9.6 D$  downstream of the rotor for both measurements and the simulations using the proposed model. As compared to measurements, the models based on MOST also overestimate the normalized added turbulence which is improved by the proposed model at  $6.1 D$  and  $9.6 D$  downstream of the rotor.



**Figure 14.** Lateral added turbulence in wakes of Danwin 180 kW wind turbines at hub height:  $L = 35$  m,  $\sigma_u$  and  $\sigma_{u0}$  is the standard derivations of wind speed in wakes and in the atmosphere. All turbulence models are combined with AD based on thrust coefficient.

### 6.3. Model Assessment

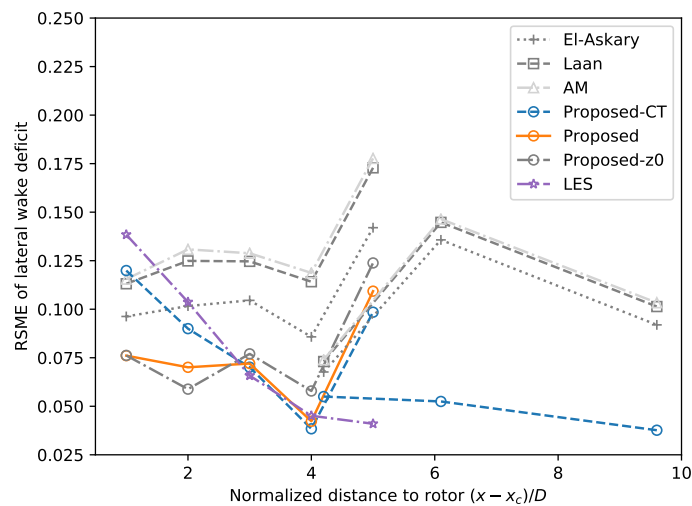
In this section, we adopt the root-mean-square error (RSME) to analyze the accuracy of the CFD predictions using the various turbulence models [44]:

$$RSME = \sqrt{\frac{\sum_1^n (y_{CFD} - y_{EXP})^2}{n}} \quad (46)$$

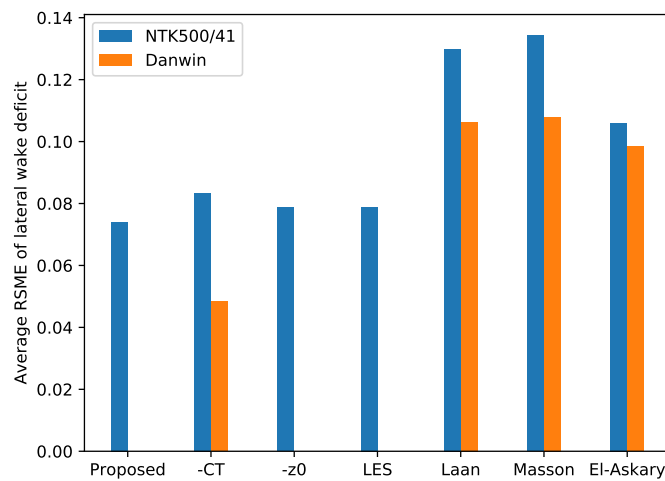
where  $n$  is the number of measurement points in the evaluation;  $y_{EXP}$  is the experimental data and  $y_{CFD}$  is the simulated values from CFD.

The RMSE analysis of the lateral wake deficit is presented in Figs. 15-16. Over a range of 0.025-0.2, the lower the RSME value, the better the behavior of the numerical model. According to Figs. 15, it

372 can be depicted that the proposed model either based on BEM in Case 1 or either based on the thrust  
 373 coefficient in Case 2, has the best performance with the lowest RMSE values (except for  $5D$  in Case 1),  
 374 especially for the downstream distance to rotor  $x - x_c > 2D$ . AM, El-Askary and Laan model have  
 375 similar performance in the wake prediction, but El-Askary model has lower RMSE values among the  
 376 various turbulence models based on MOST. As compared to AM and Laan model, the El-Askary model  
 377 could reduce RSME of the lateral wake deficit by 0.025 in Case 1 and by 0.015 in Case 2. However, this  
 378 improvement is smaller than that of the proposed model: introducing the new similarity functions into  
 379 the Laan model could reduce the RSME of the lateral wake deficit by 0.05 averagely and even by 0.1  
 380 for the maximum at  $6D$  downstream of the rotor (Fig. 15). The LES approach presented in Machefaux  
 381 et al. [1], predicts a decreased RSME of the lateral wake deficit with the distance downstream of the  
 382 rotor. To note, all the test models except for the LES approach, have poor performance in predicting  
 383 wake structure at  $5D$  downstream of the rotor. This could be a result of the downslope terrain or  
 384 uncertainties due to LiDAR measurements [1].



**Figure 15.** RSME of the wake deficit at hub height under stable conditions: Proposed-CT stands for proposed model using AD based on the thrust coefficient and Proposed-z0 stands for the proposed model imposing a reduced hub-height turbulence intensity.

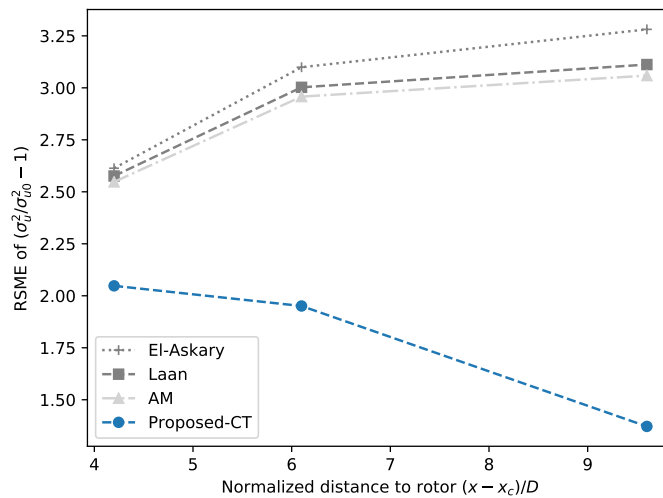


**Figure 16.** Average of RSME of the wake deficit at hub height under stable conditions: "-CT" stands for proposed model using AD based on the thrust coefficient and "-z0" stands for the proposed model imposing a reduced hub-height turbulence intensity.

385 Using BEM to distribute force through the rotor significantly improves near wake prediction  
 386 at  $1D - 2D$  downstream of the rotor in Case 1: the RSME of lateral wake deficit predicted by the  
 387 proposed model using BEM approach is lower 0.025–0.05 than the proposed model using  $C_T$  approach.  
 388 However, it should be noted that the proposed model using  $C_T$  approach has a similar performance in  
 389 the wake prediction with that using BEM approach at  $3D - 5D$  downstream of the rotor. This suggests  
 390 that the distribution of the momentum source through the rotor only affects near wake structures up  
 391 to  $2D$  and that one can expect similar accuracy of wake prediction for far wake regardless of which  
 392 method to distribute the force through the rotor.

393 Figs. 15-16 also show that imposing the equivalent ambient turbulence intensity in the proposed  
 394 model enhance wake effects and cannot predict the large wake deficit predicted by the models based on  
 395 MOST. This indicates that the side effects of the breakdown of MOST on wake modeling under stable  
 396 conditions could be caused by both the underpredicted turbulence intensity and the overestimated  
 397 wind shear.

398 The RMSE analysis of the added turbulence is presented in Figs. 17. The RMSE of the added  
 399 turbulence is observed to increase with the distance downstream the rotor for the models based on  
 400 MOST and to decrease with the distance downstream the rotor for the proposed model. The increase  
 401 of the RMSE of the added turbulence with the distance to the rotor for the models based on MOST,  
 402 suggests a slower wake recovery predicted by the models based on MOST. In all distances, the RMSE  
 403 of the added turbulence predicted by the proposed model is lower than that of models based on MOST  
 404 and the difference in RMSE increases from 0.5 at  $4D$  to about 2 at  $9.6D$ . This indicates that introducing  
 405 the new similarity functions into the Laan model can eliminate the side effects of the breakdown of  
 406 MOST on wake modeling.



**Figure 17.** RSME of the lateral added turbulence in wakes of Danwin 180 kW wind turbines at hub height:  $L = 35$  m,  $\sigma_u$  and  $\sigma_{u0}$  is the standard derivations of wind speed in wakes and in the atmosphere. All turbulence models are combined with AD based on thrust coefficient.

## 407 7. Conclusions

408 In the present paper, the breakdown of MOST is investigated experimentally and its side effects  
 409 on wake modeling through numerical simulations are also investigated. New similarity functions  
 410 based on a field measurement in a wind farm are proposed and applied in a turbulence model to  
 411 eliminate the side effects of the breakdown of MOST on wake modeling. Wake simulations of two  
 412 types of turbines under different stability conditions are carried out and compared with measurements  
 413 from a LiDAR and cup anemometers. The main findings are: (1) Field measurements show that  
 414 MOST is only valid for  $R_f < 0.25$  and overestimates wind shear for  $\zeta > 0.1$ . The proposed similarity  
 415 functions can limit the wind shear as the stability increases. (2) Due to the breakdown of MOST for  
 416  $\zeta > 0.1$ , the test models based on MOST overestimates wake effects in both wake deficits and added  
 417 turbulence under stable conditions. (3) The new similarity functions constrain wind shear for  $\zeta > 0.1$   
 418 as compared with MOST. And introducing the new similarity functions into the Laan model improves  
 419 the wake prediction under stable conditions. (4) By distributing the blade force through the rotor,  
 420 momentum effects of the rotor to the atmospheric boundary layer are simulated in a more detail way  
 421 than the uniformly distributed blade force applied based on the thrust coefficient. This enables the  
 422 proposed model to capture the double-bell near-wake shape. (5) Imposing the equivalent ambient  
 423 turbulence intensity in the proposed model enhance wake effects and cannot predict the large wake  
 424 deficit predicted by the models based on MOST. This indicates that the side effects of the breakdown  
 425 of MOST on wake modeling under stable conditions could be related to the underpredicted turbulence  
 426 intensity and the overestimated wind shear.

427 **Author Contributions:** Data curation, Xing Xing Han; Formal analysis, Xing Xing Han; Methodology, Xing Xing  
 428 Han; Project administration, De You Liu; Software, Xing Xing Han and Lin Min Li; Supervision, De You Liu;  
 429 Validation, Xing Xing Han and Fei Fei Xue; Writing – original draft, Xing Xing Han; Writing – review & editing,  
 430 Chang Xu and Wen Zhong Shen.

431 **Funding:** This research was funded by the Joint Funds of the National Natural Science Foundation of China  
 432 grant number U1865101, Jiangsu provincial science and Technology Department grant number BZ2018007, the  
 433 Ministry of Science and Technology of China grant number 2014DFG62530, the Danish Energy Agency grant  
 434 number 64013-0405.

435 **Conflicts of Interest:** The authors declare no conflict of interest.

## 436 Abbreviations

437 The following abbreviations are used in this paper:

438	MOST	Moin-Obukhov Similarity Theory
	BEM	Blade Element Theory
	RANS	Reynolds-averaged Navier–Stokes Equations
	LES	Large Eddy Simulation
	AD	Actuator Disk
439	AL	Actuator Line
	TKE	Turbulence Kinetic Energy
	LiDAR	Light Detection and Ranging
	CFD	Computational Fluid Dynamics
	OpenFOAM	Open-source Field Operation And Manipulation

## 440 References

- 441 1. Macheaux, E.; Larsen, G.C.; Koblitz, T.; Troldborg, N.; Kelly, M.C.; Chougule, A.; Hansen, K.S.; Rodrigo,  
442 J.S. An experimental and numerical study of the atmospheric stability impact on wind turbine wakes.  
443 *Wind Energy* **2016**, *19*, 1785–1805.
- 444 2. Han, X.; Liu, D.; Xu, C.; Shen, W.Z. Atmospheric stability and topography effects on wind turbine  
445 performance and wake properties in complex terrain. *Renewable energy* **2018**, *126*, 640–651.
- 446 3. Chamorro, L.P.; Porté-Agel, F. Effects of Thermal Stability and Incoming Boundary-Layer Flow  
447 Characteristics on Wind-Turbine Wakes: A Wind-Tunnel Study. *Boundary-Layer Meteorology* **2010**,  
448 *136*, 515–533. doi:10.1007/s10546-010-9512-1.
- 449 4. Zhang, W.; Markfort, C.D.; Porté-Agel, F. Wind-turbine wakes in a convective boundary layer: A  
450 wind-tunnel study. *Boundary-layer meteorology* **2013**, *146*, 161–179.
- 451 5. Hancock, P.; Zhang, S.; Pascheke, F.; Hayden, P. Wind tunnel simulation of a wind turbine wake in neutral,  
452 stable and unstable wind flow. *Journal of Physics: Conference Series*. IOP Publishing, 2014, Vol. 555, p.  
453 012047.
- 454 6. Magnusson, M.; Smedman, A.S. Influence of atmospheric stability on wind turbine wakes. *Wind*  
455 *Engineering* **1994**, pp. 139–152.
- 456 7. Iungo, G.V.; Porté-Agel, F. Volumetric lidar scanning of wind turbine wakes under convective and neutral  
457 atmospheric stability regimes. *Journal of Atmospheric and Oceanic Technology* **2014**, *31*, 2035–2048.
- 458 8. Menke, R.; Vasiljević, N.; Hansen, K.S.; Hahmann, A.N.; Mann, J. Does the wind turbine wake follow the  
459 topography? A multi-lidar study in complex terrain. *Wind Energy Science* **2018**, *3*, 681–691.
- 460 9. Wu, Y.T.; Porté-Agel, F. Atmospheric turbulence effects on wind-turbine wakes: An LES study. *energies*  
461 **2012**, *5*, 5340–5362.
- 462 10. Xie, S.; Archer, C.L. A numerical study of wind-turbine wakes for three atmospheric stability conditions.  
463 *Boundary-Layer Meteorology* **2017**, *165*, 87–112.
- 464 11. Wu, Y.T.; Porté-Agel, F. Large-eddy simulation of wind-turbine wakes: evaluation of turbine  
465 parametrisations. *Boundary-layer meteorology* **2011**, *138*, 345–366.
- 466 12. A Numerical Investigation of Nacelle Anemometry for a HAWT Using Actuator Disc and Line Models in  
467 CFX. *Renewable Energy* **2012**, *48*, 72–84. doi:10.1016/j.renene.2012.04.004.
- 468 13. Prospathopoulos, J.M.; Politis, E.S.; Rados, K.G.; Chaviaropoulos, P.K. Evaluation of the Effects of  
469 Turbulence Model Enhancements on Wind Turbine Wake Predictions. *Wind Energy* **2011**, *14*, 285–300.  
470 doi:10.1002/we.419.
- 471 14. El-Askary, W.; Sakr, I.; AbdelSalam, A.M.; Abuhegazy, M. Modeling of Wind Turbine Wakes under  
472 Thermally-Stratified Atmospheric Boundary Layer. *Journal of Wind Engineering and Industrial Aerodynamics*  
473 **2017**, *160*, 1–15. 00000, doi:10.1016/j.jweia.2016.11.001.
- 474 15. Alinot, C.; Masson, C.  $k - \nu$  Model for the Atmospheric Boundary Layer Under Various Thermal  
475 Stratifications. *Journal of Solar Energy Engineering* **2005**, *127*, 438–443.
- 476 16. van der Laan, M.P.; Kelly, M.C.; Sørensen, N.N. A new k-epsilon model consistent with Monin–Obukhov  
477 similarity theory. *Wind Energy* **2017**, *20*, 479–489.



- 478 17. Grachev, A.A.; Andreas, E.L.; Fairall, C.W.; Guest, P.S.; Persson, P.O.G. The critical Richardson number and  
479 limits of applicability of local similarity theory in the stable boundary layer. *Boundary-layer meteorology*  
480 **2013**, *147*, 51–82.
- 481 18. Van Der Avoird, E.; Duynkerke, P.G. Turbulence in a katabatic flow. *Boundary-Layer Meteorology* **1999**,  
482 *92*, 37–63.
- 483 19. Foken, T. 50 years of the Monin–Obukhov similarity theory. *Boundary-Layer Meteorology* **2006**, *119*, 431–447.
- 484 20. Schlichting, H.; Gersten, K. *Boundary-layer theory*; Springer, 2016.
- 485 21. Launder, B.E.; Spalding, D.B. The numerical computation of turbulent flows. *Computer Methods in Applied*  
486 *Mechanics and Engineering* **1990**, *3*, 269–289.
- 487 22. Businger, J.A.; Wyngaard, J.C.; Izumi, Y.; Bradley, E.F. Flux-profile relationships in the atmospheric surface  
488 layer. *Journal of the atmospheric Sciences* **1971**, *28*, 181–189.
- 489 23. Dyer, A. A review of flux-profile relationships. *Boundary-Layer Meteorology* **1974**, *7*, 363–372.
- 490 24. Koblitz, T.; Sørensen, N.N.; Bechmann, A.; Sogachev, A. *CFD Modeling of Non-Neutral Atmospheric Boundary*  
491 *Layer Conditions*; DTU Wind Energy, 2013.
- 492 25. Shen, W.Z.; Mikkelsen, R.; Sørensen, J.N.; Bak, C. Tip Loss Corrections for Wind Turbine Computations.  
493 *Wind Energy* **2005**, *8*, 457–475. doi:10.1002/we.153.
- 494 26. Drela, M. XFOIL: An analysis and design system for low Reynolds number airfoils. In *Low Reynolds number*  
495 *aerodynamics*; Springer, 1989; pp. 1–12.
- 496 27. Du, Z.; Selig, M. A 3-D stall-delay model for horizontal axis wind turbine performance prediction. 1998  
497 ASME Wind Energy Symposium, 1998, p. 21.
- 498 28. Shen, W.Z.; Zhu, W.J.; Sørensen, J.N. Actuator Line/Navier–Stokes Computations for the MEXICO Rotor:  
499 Comparison with Detailed Measurements. *Wind Energy* **2012**, *15*, 811–825. doi:10.1002/we.510.
- 500 29. Glauert, H. Airplane propellers. In *Aerodynamic theory*; Springer, 1935; pp. 169–360.
- 501 30. El Kasmi, A.; Masson, C. An extended  $k-\epsilon$  model for turbulent flow through horizontal-axis wind turbines.  
502 *Journal of Wind Engineering and Industrial Aerodynamics* **2008**, *96*, 103–122.
- 503 31. Grachev, A.A.; Andreas, E.L.; Fairall, C.W.; Guest, P.S.; Persson, P.O.G. On the turbulent Prandtl number in  
504 the stable atmospheric boundary layer. *Boundary-Layer Meteorology* **2007**, *125*, 329–341.
- 505 32. Wallace, J.M.; Hobbs, P.V. *Atmospheric science: an introductory survey*; Vol. 92, Elsevier, 2006.
- 506 33. Bak, C.; Fuglsang, P.; Sørensen, N.N.; Madsen, H.A.; Shen, W.Z.; Sørensen, J.N. Airfoil characteristics for  
507 wind turbines **1999**.
- 508 34. Johansen, J.; Sørensen, N.N. Aerofoil characteristics from 3D CFD rotor computations. *Wind Energy: An*  
509 *International Journal for Progress and Applications in Wind Power Conversion Technology* **2004**, *7*, 283–294.
- 510 35. Vignaroli, A. UniTTe–MC1–Nordtank Measurement Campaign (Turbine and Met Masts) **2016**.
- 511 36. Magnusson, M. Near-wake behaviour of wind turbines. *Journal of Wind Engineering and Industrial*  
512 *Aerodynamics* **1999**, *80*, 147–167.
- 513 37. Zhang, X. CFD simulation of neutral ABL flows **2009**.
- 514 38. Sørensen, J.N.; Mikkelsen, R.F.; Henningson, D.S.; Ivanell, S.; Sarmast, S.; Andersen, S.J. Simulation of  
515 wind turbine wakes using the actuator line technique. *Philosophical Transactions of the Royal Society A:*  
516 *Mathematical, Physical and Engineering Sciences* **2015**, *373*, 20140071.
- 517 39. Chang, C.Y.; Schmidt, J.; Dörenkämper, M.; Stoevesandt, B. A consistent steady state CFD simulation  
518 method for stratified atmospheric boundary layer flows. *Journal of Wind Engineering and Industrial*  
519 *Aerodynamics* **2018**, *172*, 55–67.
- 520 40. Weller, H.G.; Tabor, G.; Jasak, H.; Fureby, C. A tensorial approach to computational continuum mechanics  
521 using object-oriented techniques. *Computers in physics* **1998**, *12*, 620–631.
- 522 41. Sørensen, N.N. General purpose flow solver applied to flow over hills **1995**.
- 523 42. Menke, R.; Vasiljević, N.; Hansen, K.S.; Hahmann, A.N.; Mann, J. Does the Wind Turbine Wake Follow  
524 the Topography? A Multi-Lidar Study in Complex Terrain. *Wind Energy Science* **2018**, *3*, 681–691.  
525 doi:10.5194/wes-3-681-2018.
- 526 43. Bodini, N.; Zardi, D.; Lundquist, J.K. Three-Dimensional Structure of Wind Turbine Wakes as Measured by  
527 Scanning Lidar. *Atmos. Meas. Tech.* **2017**, p. 16.
- 528 44. Ntinias, G.K.; Shen, X.; Wang, Y.; Zhang, G. Evaluation of CFD turbulence models for simulating external  
529 airflow around varied building roof with wind tunnel experiment. *Building Simulation*. Springer, 2018,  
530 Vol. 11, pp. 115–123.



