1 Article

Real-Scale Integral Valorization of Waste Orange Peel via Hydrodynamic Cavitation

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37 Abstract: Waste orange peel represents a heavy burden for the orange juice industry, estimated in 38 several million tons per year worldwide; nevertheless, this by-product is endowed with valuable 39 bioactive compounds, such as pectin, polyphenols and terpenes. The potential value of the waste 40 orange peel has stimulated the search for extraction processes, alternative or complementary to 41 landfilling or to the integral energy conversion. This study introduces controlled hydrodynamic 42 cavitation processes, as a new route to the integral valorization of this by-product, based on simple 43 equipment, speed, effectiveness and efficiency, scalability, and compliance with green extraction 44 principles. Waste orange peel, in batches of several kg, was processed in more than 100 L of water, 45 absent any other raw materials, in a device comprising a Venturi-shaped cavitation reactor. The 46 extractions of pectin, endowed with a very low degree of esterification, polyphenols (flavanones 47 and hydroxycinnamic acid derivatives), and terpenes (mainly d-limonene) were effective and fast 48 (high yield, few min of process time), as well as the biomethane generation potential of the process 49 residues was effectively exploited. The achieved results proved the viability of the proposed route

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50 to the integral valorization of waste orange peel, though wide margins exist for further 51 improvements.

52 **Keywords:** biomethane; *d*-limonene; flavanones; food waste; green extraction; hydrodynamic 53 cavitation; orange waste; pectin; polyphenols.

55 1. Introduction

54

56 Accounting for 61% of the world's citrus fruit production [1], the global production of sweet 57 orange (Citrus sinensis (L.) Osbeck) in 2017-2018 exceeded 47 million tons, 36% of which (17 million 58 tons) were used for orange juice production [2]. Production for 2018-19 was predicted to grow by 59 another 4.2 million metric tons. A huge amount of by-products, estimated at a level between 50 and 60 60% of the harvest is comprised of discarded fruits, peels and seeds. Effective technologies to 61 upgrade the value of these said by-products, which have been so far mostly dealt with as waste, are 62 of direct and significant relevance to all orange-growing countries and regions, including Brazil, 63 Florida, India, South Africa, Spain, Turkey and Italy [3]. Waste orange peel (WOP), in particular, 64 contains highly valuable bioproducts such as carbohydrate polymers (cellulose, hemicellulose, and 65 pectin), polyphenols (including naringin and hesperidin), and essential oils (mostly *d*-limonene) [1].

The affordable, large-scale extraction and valorization of these compounds would also result in the size reduction of the relevant waste stream, thus relieving the environmental burden related to the still frequent disposal of the WOP in landfills or saving valuable biocompounds before the energy conversion of the residues. About the energetic valorization of WOP, anaerobic co-digestion – after extraction and removal of *d*-limonene, an inhibitory compound – was assessed as the most environmentally performing [3]; indeed, the latter practice has been increasingly applied in some orange intensive production areas, such as Sicily.

73 In the last fifteen years, numerous green chemistry processes have been applied to extract the 74 valued components of WOP resulting from the orange juice industry. WOP is a potential source of 75 fat (oleic, linoleic, linolenic, palmitic, and stearic acids, and phytosterols), mono- and disaccharides 76 (glucose, fructose, sucrose), organic acids (especially citric and malic acid, tartaric but also benzoic, 77 oxalic and succinic acids), polysaccharides (cellulose, hemicellulose, and pectin), enzymes 78 (pectinesterase, phosphatase, peroxidase), flavonoids (hesperidin, naringin, narirutin), terpenes 79 (d-limonene, linalool, myrcene), and pigments (carotenoids, xanthophylls). Few years ago, 80 solvent-free extraction processes using microwave and ultrasound techniques were successfully 81 applied to obtain essential oils, polyphenols and pectin, through microwave hydrothermal 82 processing [4]. Promising results were achieved by means of solar-driven vapor steam distillation, to 83 obtain valued pectin, terpenes and biophenols [5], as well as by means of a solvent-free process 84 based on microwave distillation, hydrodiffusion and gravity [6].

85 Generally extracted from the orange peel prior to squeezing via a mechanical process (a jet of 86 water breaking the oil-containing glands), orange essential oil (EO) mostly contains d-limonene [7], a 87 monoterpene whose average content in Citrus sinensis fruit peels is 3.8 wt% on a dry weight basis 88 [8,9]. This molecule was first used in the 1950s as a bio-solvent, and today d-limonene is the main 89 ingredient of numerous bio-based functional products whose demand is rapidly growing [9]. In the 90 early 1990s, its plant anti-fungal and antibacterial properties were first identified [10], leading to the 91 development and utilization of biopesticide formulations in which orange oil, and thus d-limonene, 92 was the active ingredient [11]. After the discovery of its natural ozone scavenging properties, in 2005 93 d-limonene was proposed as an effective adjuvant in preventive therapies against asthma [12]. Due 94 to its wide-spectrum of antimicrobial, antioxidant and anti-inflammatory properties, d-limonene is 95 now used in many cosmetic and nutraceutical applications, as well as an anti-spoilage additive in 96 food [13].

97 Currently mostly produced from citrus peels (56% from lemons, 30% from limes, and 13% from
98 oranges), and to a lesser extent (14%) from apple pomace [14], pectin is the most valued natural
99 hydrocolloid [15]. Since the early 2000s, it was established that pectin has various beneficial effects

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100 on health and nutrition as a dietary and prebiotic fiber, with numerous applications in the food, 101 feed, cosmetic, medical and pharmaceutical industries [6,15]. Effectively reducing the interfacial 102 surface tension between the oil and the water phases, pectin is also an excellent emulsifier and 103 emulsion stabilizer [16,17]. Orange-extracted pectin powder was added to an oil-in-water 104 sub-micron size emulsion (20% w/w), the latter prepared with a standard homogenizer and using 105 orange oil), showing substantial stability up to at least 30 days from preparation [16].

106 To the best of our knowledge, no studies have been reported so far, dealing with the 107 application of the hydrodynamic cavitation (HC) processes to extract the valued components of 108 waste orange peel. This study therefore reports the first results concerning a novel route to valorize 109 WOP based on criteria of effectiveness, reliability, efficiency, and affordability. The starting idea was 110 that waste orange peel contains EOs, water-soluble pectin and polyphenols, which could be 111 transferred to the water phase, where a stable oil-in-water emulsion could be created due to the 112 simultaneous presence of EOs and pectin acting as an emulsifier. All this, by means of HC processes 113 and without additives except water, as elucidated in Section 2.2. After the HC-based extraction 114 process, the liquid phase could be used as such to functionalize foods and beverages, affecting both 115 the nutraceutical properties and the shelf life. The residual WOP solid fraction, mostly comprised of 116 cellulose and hemicellulose, could be effectively used to produce biogas in an anaerobic digester, 117 and the resulting digestate used as a soil amendant or easily converted into biochar or hydrochar 118 [18,19].

119 Generally achieved via pumping a liquid through one of more constrictions of suitable 120 geometry, such as Venturi tubes and orifice plates, controlled hydrodynamic cavitation results in the 121 generation, growth and collapse of microbubbles due to pressure variations in the liquid flow [20]. 122 The increase in kinetic energy at the constriction occurs at the expense of pressure, leading to the 123 generation of microbubbles and nanobubbles, which subsequently collapse under pressure recovery 124 downstream of the constriction [21]. The violent collapse of the cavitation bubbles results in the 125 generation of localized hot spots endowed with extremely high-energy density [22,23], highly 126 reactive free radicals and turbulence, which can result in the intensification of various 127 physical/chemical phenomena, including wastewater remediation [24-26], preparation of 128 nanoemulsions, biodiesel synthesis, water disinfection, and nanoparticle synthesis [27], and many 129 others.

In recent past, cavitation has emerged as a green extraction technology for natural products, reducing process time and energy consumption, while achieving higher extraction yields, as well as a useful tool for the intensification of food and pharmaceuticals processes [27,28]. The growing variety of applications has also stimulated the development of other promising arrangements, such as based on rotating parts [29], and variants of fixed constrictions, for example based on vortex dynamics [30], which are in the process of proving the respective affordability and straightforward scalability.

137 Real-scale applications of cavitation are quickly spreading in the food and beverage industry, 138 including the processing of food waste [31]. Again, the HC processing of vegetable raw material, 139 such as grains and hops for beer-brewing [32,33], plant leaves [34], and applied to the extraction of 140 bioactive compounds [29], offers distinctive advantages such as shorter process times, higher energy 141 efficiency, higher yields, and enhanced extraction rates. Quantitatively compared with both 142 conventional techniques and newer ones, including acoustic cavitation sustained by ultrasound 143 irradiation, the performance of HC-based processes was found to be clearly superior due to 144 enhanced process yields and straightforward scalability [20,35].

145 2. Materials and Methods

146 2.1. *HC device and processes*

Figure 1 shows the experimental device implementing the HC-based process, including a closed hydraulic loop (total volume capacity around 230 L) and a centrifugal pump (7.5 kW nominal

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mechanical power, rotation speed 2900 rpm). The processes were carried out at atmosphericpressure (open plant).

Such device was used in past studies to carry out innovative beer-brewing [32,33,36,37], for which application an industrial-level plant (2,000 L) was developed [38], the enhancement of biochar properties [39], and the solvent-free extraction of bioactive compounds, namely polyphenols and flavonoids, from the leaves of silver fir plants [34]. The geometry of the Venturi-shaped cavitation reactor was defined in a previous study [40].

- 156 Venturi-shaped cavitation reactors were shown to outperform other reactors based on fixed
- 157 constrictions, such as orifice plates, in the treatment of viscous food liquids [35]. This superiority
- 158 holds especially with liquids containing solid particles, as well as for the inactivation of spoilage
- microorganisms [40], and for the creation of oil-in-water stable nanoemulsions [41], all these features
- 160 being relevant to the processes under study.



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- Figure 1. Experimental HC-based installation. 1 centrifugal pump, 2 HC reactor, 3 main vessel, 4
 cover, 5 discharge.
- 164 In case of a fixed mechanical constriction, such as the Venturi-shaped HC reactor shown in 165 Figure 1, the liquid velocity and static pressure are regulated by the Bernoulli's equation [22], *i.e.*, the 166 conservation of the mechanical energy for a moving fluid, represented in Equation (1):

$$P_1 + \rho v_1^2 / 2 + \rho g h_1 = P_2 + \rho v_2^2 / 2 + \rho g h_2$$
(1)

167 where P_1 and P_2 (Nm⁻²) are the upstream pressure, and the pressure at the nozzle, respectively, ρ 168 (kgm⁻³) is the liquid density, v_1 and v_2 (ms⁻¹) are the fluid speed upstream and through the nozzle, 169 respectively, h1 and h2 (m) are the heights of the fluid, and g (ms⁻²) is gravity. The third term at each 170 side of Equation (1) represents the specific potential energy, while the second term represents the 171 specific kinetic energy. Assuming equal heights, the pressure drop $(P_2 < P_1)$ at the reactor's nozzle 172 arises because of the fluid acceleration due to mass conservation ($v_2 > v_1$). Whenever P₂ drops below 173 the vapor pressure, at a certain temperature level, local evaporation occurs, and vapor bubbles are 174 generated. 175 Theoretical and experimental evidence has grown about the unique physical (mechanical and 176 thermal) phenomena occurring at the scale of the collapsing cavitation bubbles [22,23], and the

177 chemical phenomena such as water splitting and generation of powerful oxidants (*e.g.*, OH-178 hydroxyl radicals) [23,26]. However, the concentration of oxidizing compounds, which could be 179 harmful in food processes, was found to be quite limited in the absence of specific oxidizing 180 additives [42,43].

181 Despite the inherent complexity of the physico-chemical processes associated to cavitation, for 182 fixed constrictions, a widely used dimensionless quantity, named cavitation number (σ) and derived

183 from the Bernoulli's equation, can be used to characterize the cavitation intensity in a flow system, in 184 terms of easily measurable physical quantities. Its representativeness holds in most of relatively 185 simple HC reactors, such as Venturi tubes and orifice plates [22], and relate it with the cavitational 186 intensity, with cavitation generally arising for σ < 1. The main metric of HC processes, i.e., the 187 cavitation number (σ), was defined long ago [44]. It is a dimensionless parameter, derived from 188 Bernoulli's equation, and representing the ratio between the pressure drop needed to achieve 189 vaporization, and the specific kinetic energy at the cavitation inception section, as per Equation (2):

$$\sigma = (P_0 - P_v) / (0.5 \cdot \rho \cdot v_2^2)$$
⁽²⁾

190 where P_0 (Nm⁻²) is the average recovered pressure downstream of a cavitation reactor, such as a 191 Venturi tube or an orifice plate, where cavitation bubbles collapse. Since the fluid was not 192 pressurized, Po was assumed equal to the atmospheric pressure. Pv (Nm⁻²) is the liquid vapor 193 pressure, as a function of the average temperature for any given liquid. As in Equation (1), v_2 (ms⁻¹) 194 is the flow velocity through the nozzle of the cavitation reactor, depending on the pump's inlet 195 pressure. In this study, the values of the cavitation number during the processes were computed 196 according to the available data, such as temperature and pump discharge; the latter were retrieved 197 based on the consumed power, as explained in a previous study [32].

198 Under conditions which are easily achievable with Venturi-shaped reactors, it was found that 199 developed cavitation, with frequent and violent bubble collapses, occurs within the range $0.1 < \sigma < 1$, 200 and even at greater values in the presence of solid particles or dissolved gases [45,46]. In general, the 201 lower the cavitation number, the more efficient are the cavitation processes, at least down to the 202 onset of chocked cavitation conditions (supercavitation), even though that regime has been shown to 203 be very efficient for disinfection purposes [47].

204 2.2. Orange waste samples and tests

205 Two HC-based extraction tests were performed with WOP, both based on organic fruits of 206 Citrus sinensis (L.) Osbeck variety 'Washington navel orange', originating from Sicily, Italy. The first 207 test (WOP1) was carried out in March 2017, with WOP from red oranges kindly provided by Ortogel 208 S.p.A. (Caltagirone, Sicily, Italy) representing the wastes from the orange juice production line. The 209 test WOP1 was aimed at the extraction and analysis of pectin, as well as at the analysis of the 210 biochemical methane potential of the solid residues resulting from the process.

211 The second test (WOP2) was carried out in April 2019, with raw material consisting of peels 212 manually discarded from oranges collected at a local organic farm in Ribera, Sicily, Italy. The latter 213 test was aimed at analyzing the extraction rate of bioactive compounds such as polyphenols and EOs 214 (terpenes).

215 In both tests, the WOP was immediately frozen after collection, ground in ice (maximum linear 216 size of 10 mm), in order to avoid the degradation of bioactive compounds, then pitched into the HC 217 device and processed in tap water only. Table 1 shows the basic features of both tests.

- 218 Table 1. Basic features of the WOP extraction tests. The WOP mass is expressed in kg of fresh weight.

Test (ID)	Water volume (L)	WOP mass (kg)	Test duration (min)	Temperature (°C)
WOP1	120	42	270	14.5 – 96
WOP2	147	6.38	127	18.5 - 80

219 In both tests, the HC device was not airtight, allowing volatile compounds to escape, thereby 220 hindering the retaining of terpenes in the aqueous solutions and affecting the EO yield extraction 221 results. Among monoterpenes, *d*-limonene is particularly volatile; for example, its fraction, extracted 222 from hops during high temperatures steps of the brewing process, could not be retained in finished 223 beer [48,49].

224 The evolution of the temperature and the cavitation number are shown in Figure 2a for the test 225 WOP1 and in Figure 2b for the test WOP2, along with the respective sampling points. No

temperature control (*i.e.*, no cooling step) was performed, thus the overall heating was the result of the balance between the mechanical energy supplied by the pump's impeller and the heat loss from the uninsulated device [36].





231 In the earlier phase of the test WOP1 (more than 30 min), the cavitation number was rather high 232 (0.46 to 0.57), pointing to relatively poor cavitation performance. This behavior derived from the 233 centrifugal pump running in a suboptimal regime (low consumed power), and was likely due to the 234 high concentration of the raw material (28.6% w/v). Later on, as the cavitation process caused the 235 reduction of WOP particle size, as well as promoted the extraction and solubilization of bioproducts, 236 the cavitation number slowly decreased, down to 0.1 at 91°C (235 min). The final increase of σ up to 237 0.19 was instead due to the strong friction induced by the high temperature, reducing the pump 238 discharge and counteracting the effect of the increased vapor pressure.

Due to the suboptimal performance during the earlier phase of the test WOP1, a substantially lower concentration of WOP was used for the test WOP2 (4.3% w/v), where the sampling was much more frequent in time. Indeed, in the test WOP2, the cavitation number was as low as 0.2 from the beginning, slowly decreasing in the first 20 min, then stabilizing around 0.15, and finally decreasing again, down to 0.12, during heating from 70°C to 80°C as a result of the increasing vapor pressure. These levels of the cavitation number fell within the recommended range, found for brewing applications using the same device as in this study [32].

246 The specific energy consumed (electricity per kg of fresh WOP), limited to the range 18 to 80°C, 247 was on average 0.065 kWh/kg for a heating of 10°C in WOP1, and 0.36 kWh/kg for a heating of 10°C 248 in WOP2. This outcome is the result of the greater water volume by 1.225 times, and the lower 249 content of raw material by 6.6 times in WOP2. However, the ratio of the specific energies (about 5.5) 250 was lower than expected based on the above-mentioned data, because the pump in WOP2 was more 251 efficient (higher consumed power, by 1.2 times on average), thus the heating rate was higher and the 252 heat loss from the uninsulated device was lower. The overall consumed specific energy at the end of 253 the WOP1 and WOP2 tests was around 0.62 kWh/kg and 2.20 kWh/kg, respectively.

254 2.3. Experimental and analytical procedures

255 2.3.1. Biochemical methane generation potential

The biochemical methane potential (BMP) of the solid residues obtained in both tests was evaluated by assays performed according to a standard method [50]. In detail, vessel-shape, static reactors of 100 mL volume were filled with a mixture consisting of a portion of the solid residues from the process of WOP1 test, and a substrate drawn from an existing biogas generation plant. The latter included mesophilic bacteria, and biomass having the following characteristics: moisture 94.2%, ash 25.1%, volatile substance (VS) 69.1%, carbon content 41.7%, hydrogen content 5.1%,

nitrogen content 2.3%, sulfur content 0.5%. One vessel contained only such substrate ("blank test").
The mass of both WOP1 process residues and the above-mentioned substrate was 0.6 g.

The vessels were kept in a thermal bath at the temperature of 38°C, and the biogas volume produced every day was measured, for 36 days, starting within 15 days after the WOP1 test. Each measurement was performed in triplicate. The contribution of the WOP to the biogas production, normalized to the content of the volatile substance, was estimated subtracting the average production of the blank test from the average production of the WOP-containing vessels.

Based on the composition of each sample, the theoretical biomethane generation potential (Th_BMP), and the theoretical relative content of methane in the biogas, were computed according to the Buswell's formulas [51]. By means of the simple multiplication of the biogas generation by the methane content, the cumulated BMP attributed to the solid residues of the test WOP1 could be assessed on a daily basis.

274 2.3.2. Pectin

275 Pectin extracted from citrus fruits is generally a high molecular weight (80-400 kDa) block 276 copolymer alternating linear homopolymeric (poly- α (1–4)-D-galacturonic acid) and branched 277 $(\text{poly}-\alpha(1-2)-\text{L-rhamnosyl}-\alpha(1-4)-\text{D-galacturonosyl}$ with side either branches of 278 α -L-arabinofuranose and α -D-galactopyranose) repeating units [52]. These repeating domains, 279 are known as schematically illustrated in Figure 3, homogalacturonan (HG) and 280 rhamnogalacturonan-I (RG-I) regions and their relative proportions determine the flexibility and 281 rheological properties of the polymer in aqueous solution: HG regions promote molecular 282 interactions, allowing the formation of hydrogels, while RG regions promote the formation of 283 entangled structures, enhancing the gels' stability [53].



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Figure 3. Schematic model of citrus fruits' pectin block copolymer structure, illustrating its two major
 components: homogalacturonan and rhamnogalacturonan I.

287 Some of the homopolymeric galacturonic acid backbone C-2, C-3 and C-5 carboxyl groups may 288 be partially esterified with methoxyl and/or acetyl groups, or exist as uronic acid salt, affecting the 289 polymer charge in solution [54]. The degree of esterification of pectin (proportion of methoxyl 290 content, DE) determines the gelling mechanism, since it influences the availability of COO⁻ groups in 291 solution [55]. Typically, pectin with low DE (<50%) tends to promote the presence of charged groups 292 and form gels electrostatically stabilized by metal cations [54], making it particularly appropriate for 293 food and beverage, pharmaceutical and nutraceutical applications, because it does not require sugar 294 or acidic conditions to gel [56].

295 Only the aqueous sample labeled as T14 in Figure 2(a) displaying the WOP1 test, extracted at 296 the end of the process (temperature of 96°C), was analyzed in quadruplicate. The analysis of the 297 respective extracted pectin content was carried out 18 months after the test. During this period, the

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samples of lyophilized pectin, consisting of a pale orange powder with a delicate fragrance, was keptat room temperature in sealed plastic vessels.

The structure of the respective subsamples, labeled as P2, P3, P4, and P5, was characterized by
 means of diffuse reflectance infrared Fourier transform (DRIFT) spectroscopy, using a Bruker Vertex
 FTIR spectrometer equipped with a wide band MCT detector and a Specac selector, in the range
 4000 to 500 cm⁻¹, at 4 cm⁻¹ resolution.

The spectra were the result of ratioing 500 co-added single beam scans for each sample, *i.e.*, grinded pectin powder (Figure 4) diluted in grinded FTIR grade KBr, in the appropriate proportion to assure the validity of the Kubelka-Munk assumptions [57], against the same number of scans for the background (grinded KBr). The spectra were transformed to Kubelka-Munk units using OPUSTM software (Bruker Optics, Germany) and further processed using ORIGIN[™] software (OriginLab Corporation, USA).



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- Figure 4. Sample of lyophilized pectin powder from the WOP1 test (right), which was ground in aquartz mortar (left) prior to the DRIFT-IR experiments.
- 313 2.3.3. Polyphenols analysis by HPLC-DAD

314 After the HC process, the samples collected during the test WOP2 (from T21 to T214) were 315 centrifuged (5 min, 9000 rpm, at 5°C). The supernatants (5 mL) were then partitioned with n-hexane 316 (5 mL x 3) to completely remove lipophilic compounds in order to obtain the aqueous phases. The 317 pellets (process residues) were dried in oven (40°C, for 48h), extracted (5% w/v) with ethanol 75% in 318 a ultrasonic bath (30°C) for 30 min, similarly to the method described in [58], and partitioned with 319 n-hexane (1:1). The same extraction method was also applied to dried peels (dry WOP). The extracts 320 were evaporated to dryness, re-suspended in methanol and acid water (pH 2.5 by HCOOH) 50:50 321 (v/v) and then injected (15 μ L) in a Perkin® Elmer Flexar liquid chromatograph equipped with a 322 quaternary 200Q/410 pump and an LC 200 diode array detector (DAD) (all from Perkin Elmer®, 323 Bradford[®], CT, USA).

The stationary phase consisted in an Agilent® Zorbax® SB-18 column ($250 \times 4.6 \text{ mm}$, 5 µm), kept at 30 °C. The eluents were (A) acidified water (at pH 2.5 adjusted with HCOOH) and (B) acetonitrile/ water (90/10, at pH 2.5 adjusted with HCOOH) and the following gradient was applied: 0–20 min (5 – 20% B), 20–22 min (20% B), 22–32 min (20 – 25% B), 32–42 min (25 – 100% B), 42-43 min (100 – 5% B), with an elution flow of 0.6 mL/min.

The quantification of different polyphenols was performed through an external standard method, using stock solutions of the following compounds: caffeic acid, naringin and hesperidin (all from Sigma-Aldrich, Milan, Italy). The identification of single compounds was done on the basis of their UV-VIS spectra and the comparison with literature [58]. All solvents used for the analyses were purchased from Sigma-Aldrich (Milan, Italy). All measurements were performed in triplicate.

334 2.3.4. Analysis of terpenes

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After the WOP2 test, the terpenes analyses were performed on all the aqueous phase samples (from T21 to T214) and on five selected solid residue samples (T21, T22, T26, T210 and T214). Moreover, the analyses were also carried out on raw orange peel samples stored at -20° C.

Liquid extraction was done by mixing 1 mL of aqueous phase samples with the same volume of
 heptane containing 20 ppm tridecane as an internal standard [59], in 2 ml glass vials with a
 Teflon-coated screw cap (Perkin-Elmer, Norwalk, CT, USA).

The solid residue samples were dehydrated on filter paper with a vacuum pump for 5 min and 0.5 mg of FW for each sample were closed in glass vial and suspended in 2 mL of heptane with 20 ppm tridecane and small amount of sodium chloride, stirred for 5 min at room temperature. This procedure was also applied to raw orange peel samples previously grounded in liquid nitrogen in a mortar to a fine powder (0.5 mg FW).

346 All samples were incubated in an ultrasonic bath for 30 min at 0°C and then slowly stirred for 347 24 h at room temperature. The supernatant (100 μ L) was used for analysis after centrifugation at 348 4000 rpm for 10 min at room temperature in an Eppendorf centrifuge mod. 5810R (Westbury, NY, 349 USA). The heptane extracts (1 µL) were analyzed using an Agilent 7820A gas chromatograph (GC) 350 interfaced to an Agilent 5977E mass spectrometer (MS) with EI ionization and single quadrupole 351 mass analyzer (Agilent Tech., Palo Alto, CA, USA). A chromatographic column Agilent 352 HP-INNOWax capillary 50 m, 0.20 mm, ID 0.4 µm DF was used. The GC injection temperature was 353 250°C, splitless mode, and the oven was programmed at 40°C for 1 min, followed by a ramp of 354 5°C/min to 200°C, and of 10°C/min to 260°C. This high temperature was held for 5 min.

The identification of terpene compounds was based on both peak matching with library spectral database (NIST 11), and Kovats retention indices (KRI) retrieved in the literature for the identified compounds. All the measurements were performed in triplicate and the amount of each terpene was expressed as percentage of total terpenes.

359 **3. Results**

360 3.1. Biochemical methane generation potential

Table 2 shows the composition of the solid residues from the samples collected during the test WOP1, in terms of the relative contents of moisture, ash, volatile substance, carbon, hydrogen, nitrogen, and sulfur. As well, the Th_BMP, and the theoretical methane (CH₄) relative content in the biogas, are shown.

365 Table 2. Composition of solid residues from the samples of the WOP1 test. Unless specified366 otherwise, units are % w/w on dry basis.

Sample	Moisture 1	Ash	VS	С	Н	Ν	S	Th_BMP ²	CH ₄ ³
T11	95.6	3.8	96.2	42.7	6.2	0.7	0.1	421.3	50.0
T12	96.6	3.5	96.5	42.2	6.3	0.7	0.1	415.6	49.6
T13	97.0	3.2	96.8	42.6	6.2	0.9	0.1	408.9	48.9
T14	96.6	2.8	97.2	41.1	6.4	0.7	0.1	392.5	49.3

³⁶⁷

¹ Unit: % w/w as determined. ² Unit: mL/g VS. ³ Unit: % in biogas.

Figure 5 shows the cumulated biogas generation, in unit of mL, from all the samples on a daily basis, including the blank sample, as resulting from the average of the triplicate measurements. At the end of the 36-days period, the biogas generation achieved the levels of 185, 554, 564, 637, and 763 mL, for the blank, T11, T12, T13, and T14 samples, respectively. The standard deviations of the measurements did not exceed 3% of the respective average value at the 8th day and afterwards (for example, 497 ± 14 mL for the sample T14 at the 8th day), thus visible differences were also statistically significant.







Figure 5. Cumulated biogas generation from all the WOP1 test samples, including the blank sample.

Most of the biogas generation from the sample T11 to T14 occurred within the first 7-8 days (57 to 68% of the overall generation), while it was delayed, and evolving much more linearly with time, from the blank sample. In particular, it arises that the substantial part of the biogas generation from the samples T11 to T14, after the first week, was due to the emissions from the substrate constituting the blank sample.

After the subtraction of the biogas generation from the blank sample, and the conversion to methane, based on the relative content of CH₄ in the biogas (as shown in Table 2), the BMP attributed to the solid residues of the samples, extracted during the WOP1 test, could be calculated. Figure 6 shows the assessed cumulated methane generation, in unit of mL per gram of volatile substance, from the sample T11 to T14, on a daily basis. At the end of the 36-days period, the methane generation rates achieved the levels of 256, 261, 318, and 763 mL/g VS, for the samples T11, T12, T13, and T14, respectively.



389

Figure 6. Cumulated methane generation from all the WOP1 test samples, after subtraction of thegeneration from the blank sample.

Almost all the methane was generated within the first 7-8 days, from 88% for sample T14, to 100% for sample T12. After 36 days, the actual BMP was -39%, -37%, -22%, and +8% of the Th_BMP shown in Table 2, for the samples T11, T12, T13, and T14, respectively. Thus, the HC process was able to increase effectively the methane generation from the solid residues of the WOP material, with a clearly increasing trend during the hydrocavitation process, up to the full exploitation of the respective BMP.

Considering the chemical energy density of the methane at the level of 10.5 kWh/m³, the data shown in Table 2, and the above-mentioned methane generation rates at the end of the 36-days period, Table 3 shows the energy balance of the process for the four analyzed samples. However, the electricity and the methane chemical energy cannot be directly compared. In particular, the

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402 consumed electricity should be converted into the chemical energy of methane used for power 403 generation, with conversion factors depending on the specific generation technology.

404Table 3. Energy balance of the process: consumed specific energy (electricity, during the HC process)405and specific energy available in the generated methane (chemical energy). Units are kWh/kg fresh406weight.

Sample	Consumed specific energy	Specific Energy in the generated methane
T11	0.01	0.28
T12	0.09	0.28
T13	0.27	0.34
T14	0.62	0.45

407 3.2. Pectin

408 Pectin isolated from four subsamples (P2, P3, P4 and P5) by lyophilization of sample T14,

409 collected at the end of the WOP1 test (Figure 2(a)) was analyzed via DRIFT spectroscopy. Figure 7

410 shows the corresponding DRIFT spectra (2000-500 cm⁻¹ region), which exhibit the typical features of

411 pectin.



412

Figure 7. DRIFT spectra of the pectin samples in the 2000-500 cm⁻¹ region, normalized to the vasCOO⁻
band carboxylate groups, at 1610 cm⁻¹.

The strong bands in the 1800-1550 cm-1 region, with maxima at 1740, 1647 and 1610 cm-1, are assigned to the stretching modes of carbonyl groups from esterified galacturonic acid (vC=O_{ester}) and non-esterified hydrogenated acidic carbonyl groups (vC=O_{acid}), and of carboxylate groups (v_{as}COO⁻), respectively [6]. The 1550-1200 cm⁻¹ region is dominated by CH_x and C-O-H deformation modes, partially overlapped with ester related stretching modes [60,61], and include:

- 420 The δ_{as}CH₃ and δ_sCH₃ (from ester methyl groups in the galacturonic rings and rhamnose rings 421 of the pectin backbone) at 1520 and 1365 cm⁻¹;
- The v_sCOO⁻ at ~1425 cm⁻¹;
- 423 The vC-O-C_{ester} at 1277cm⁻¹;
- 424 The δ_{ip} C-O-H (from alcohol hydroxyl groups in the pyranose rings of the pectin chain) at 1242 425 cm⁻¹.

426 The 1200-950 cm⁻¹ region contains a set of very intense bands partially overlapped typical of 427 pectin, assigned to skeletal (vC-C) and C-O-C stretching (vC-O-C) modes of the pyranose rings and 428 of the glycosidic bonds, and to a combination of the vC-OH and vC-C modes from the pyranose 429 rings [62,63]. Finally, the 950-500 cm-1 region contains the bands related to the external deformation 430 vibrations of methyl, methylene and methyne groups (ρ CH_x and δ C-H) [61].

431 The degree of esterification of pectin (percent of esterified carboxyl groups) was obtained by 432 spectral analysis of the 1800-1550 cm⁻¹ region, as the ratio of ester carboxyl to total carboxyl peak

433 areas, as shown in Equation (3) [64]:

$$DE = \sum A_{vC=Oester} / \left(\sum A_{vC=Oester} + A_{vC=Oacid} + A_{vasCOO^{-}} \right)$$
(3)

The vC=O and v_{as}COO⁻ band areas of the samples were estimated by decomposing the 1900-850 cm⁻¹
 region (two consecutive absorption zeros) into a sum of Gaussian components, using a nonlinear
 least-squares fitting [6].

437 The components' centers, full width at half maxima and integrated areas are summarized in 438 Table 4 for the four samples. Based on these results, it was possible to determine a very low degree of 439 esterification for this pectin, namely $17.05 \pm 0.60\%$.

440 **Table 4.** Decomposition results of the 1800-1550 cm⁻¹ region of the DRIFT spectra: Centers (C), full width at half maxima (FWHM) and integrated areas (A) of the vC=O and vasCOO⁻ band areas

Sample	Band areas	С	FWHM	Α	DE
(ID)		(cm ⁻¹)	(cm ⁻¹)	(a.u.)	
	$\nu C=O_{ester}$	1741	47	28.03	
P2	vC=Oacid	1648	18	3.37	0.1786
	vasCOO-	1608	137	125.50	
	$\nu C=O_{ester}$	1740	50	28.67	
P3	vC=O _{acid}	1649	19	3.04	0.1715
	vasCOO-	1609	143	135.42	
	$\nu C=O_{ester}$	1741	48	28.66	
P4	vC=O _{acid}	1648	18	3.05	0.1664
	vasCOO-	1610	148	140.55	
	$\nu C=O_{ester}$	1741	47	28.55	
P5	vC=O _{acid}	1648	19	3.09	0.1655
	vasCOO-	1610	149	140.87	

442 3.3. Polyphenols

As an example, Figure 8 shows the chromatograms of the sample T28 (39 min, 40.5°C), its pellet (process residues), and the dry WOP. As expected, the flavanones naringin and hesperidin dominated the chromatogram of the dry WOP, along with another peak, labeled as F5 and classified as an unidentified flavanone derivative, according to its UV spectra. The same peaks dominated the chromatogram of the pellet, although the relative contribution of naringin was lower.





Figure 8. Chromatograms of polyphenols for the sample T28 of the test WOP2: (a) aqueous phase; (b)
process residues; (c) dry WOP.

In the aqueous phase, along with the same peaks attributed to naringin and hesperidin, the peaks labeled as F1 to F4 were detected and identified as flavanones derivatives based on their UV spectra. The unlabeled peaks were putatively identified as hydroxycinnamic acid derivatives (HAD), based on their UV spectra similar to those of caffeic acid, with peak absorbance around 330 nm, instead of 280 nm as for flavanones [65].

Figure 9 shows the total polyphenolic content (flavanones and HAD) present in the aqueous phase of the whole system (total volume = 147 L). The sample T27 (30 min, 37°C) showed significantly lower total polyphenols than all the samples from T22 to T214 (p < 0.05). Moreover, the total polyphenolic content of the sample T23 was significantly higher than sample T28 (p < 0.05).





Figure 9. Content of Flavanones and Hydroxycinnamic acid derivatives in the aqueous phase.

461 Quite surprisingly, the higher content of polyphenols, mostly due to the increase of naringin 462 and other flavanones (F1-F5), was reached after 10 min of the beginning of the process time (sample 463 T23, temperature of 24°C), corresponding to about 30 passes of the entire volume of the processed 464 mixture through the cavitation reactor. Moreover, the apparent stability of the total content up to the 465 sample T26 (20 min, 30°C), and the following rather abrupt decrease at T27 (30 min, 35°C), in turn 466 followed by the return to the levels typical of T23-T26, could suggest a possible kinetics involving 467 thermal degradation and further extraction from the circulating WOP.

The total contents of naringin, hesperidin, and other flavanones (F1-F5) in the raw fresh WOP (6.379 kg) were 16.39, 36.26, and 2.95 g, respectively. Based on these data, and the total contents (including HAD) observed in the aqueous phase (Figure 9), the extraction yields peaked in correspondence of the samples T23 (59.5%) and T24 (59.6%). However, the extraction yield was already as high as 53.5% at T21, *i.e.*, after just 2 min of process time and about 6 passes of the entire volume of the processed mixture through the cavitation reactor.

474 *3.4. Terpenes*

Figure 10 shows the concentration of the detected monoterpenes in the aqueous phase and in the solid residues, derived from the observed concentration in each of the samples collected during the test WOP2. In the aqueous phase (Figure 10(a), unit ng/mL), *d*-limonene represented more than 73% of all monoterpenes in any of the first seven samples and, in particular, more than 93% in sample T22. In the solid residues (Figure 10(b), unit ng/g fresh weight, except for *d*-limonene, expressed in unit μ g/g fresh weight), *d*-limonene represents more than 96% of all monoterpenes in any sample.





Figure 10. Concentration of monoterpenes: (a) aqueous phase; (b) solid residues.

483 The concentration of *d*-limonene in the aqueous solution more than doubled from the sample 484 T21 (2 min, 18.5°C) to T22 (6 min, 22°C); such pattern was shared by the other detected 485 monoterpenes, although with milder changes. As mentioned in Section 2.2, volatile compounds 486 were free to escape from the processing device, which explains why the limonene concentration 487 decreased abruptly by almost 80% from the sample T22 to T23 (10 min, 24°C). Since then on, the 488 concentration of *d*-limonene stabilized around similar levels, eventually further decreasing from 489 sample T28 (39 min, 40.5°C) onwards, reaching zero in the last sample T214 (127 min, 80°C), along 490 with all the other terpenes. Beyond cavitation, temperature looks like to play an important role in the 491 volatilization of the terpenes.

The fast and effective extraction of *d*-limonene from the WOP was confirmed by the abrupt decrease of its concentration (by about 45%) in the solid residues, from the sample T21 to sample T22, again stabilizing around similar levels onwards. It should also be noted that the mass of solid residues decreased substantially during the HC-based process (as noted visually). Hence, the respective actual content of *d*-limonene probably decreased much more than represented in Figure 10(b).

498 In the raw WOP, limonene accounted for over 96% of all monoterpenes, with a concentration of 499 $5.9 \pm 0.9 \,\mu$ g/g FW. Based on the original WOP mass (fresh weight) of 6.379 kg, the total content of 500 *d*-limonene in the raw material can be estimated at the level of 38 ± 6 mg. The peak concentration in 501 the aqueous phase (sample T22) was 18.7 ± 0.5 ng/mL, which, multiplied by the volume of the water 502 (147 L), translates into a total content of 2.75 ± 0.07 mg, *i.e.*, a yield just over 7%. However, it is 503 unknown how much terpene escaped the hydrocavitation open reactor during the first 6 min of the 504 process, as well as data concerning the solid residues suggest that the extraction yield was actually 505 much higher, at least 45% and likely substantially higher.

506 Finally, it is interesting to notice that, among the other detected monoterpenes, myrcene was the 507 most relatively abundant in the solid residues, while linalool prevailed in the aqueous solution, in 508 full agreement with the alcohol nature of the latter.

509 4. Discussion

510 The device, used to process the orange peel waste, making no use of proprietary components, is 511 easy to construct and maintain, and its operation at the pre-industrial scale was proven by the 512 experiments carried out at the real scale (more than 100 L of water, processed WOP raw material of 513 about 6.4 and 42 kg). On the other hand, the scalability of the proposed device, up to the industrial 514 scale (1,700 L), was recently demonstrated in the brewing sector [66].

515 The hydrodynamic cavitation processes, sustained by means of a circular Venturi-shaped 516 reactor, were able to effectively and fully separate and extract the most valued components of waste 517 orange peel. It is remarkable that no solvents or any additives, other than tap water, were used in the

518 extraction processes.

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519 As shown in Section 3.1, the biomethane generation potential was boosted, in terms of both 520 total cumulated production, and generation rate. Within only 3 min of process time, corresponding 521 to less than 10 passes of the entire volume of the processed mixture through the cavitation reactor, at 522 the temperature of 14.5°C, the BMP was already at the level of 61% of its theoretical value. As well, 523 the specific energy content of the generated methane (chemical energy) was about 30 times higher 524 than the specific consumed energy (electricity). Since then, the BMP increased up to the Th BMP at 525 the end of the process WOP1 (273 min, temperature of 96°C), but the energy balance became 526 negative.

From the point of view of the energy balance, it would be imperative to limit the process time as much as possible, *i.e.*, to few min. However, the process time should be optimized based on the assessment of the overall value of all the extractable materials, such as pectin, polyphenols and terpenes, as well as on the use of the substrate resulting after the anaerobic digestion (*e.g.*, disposal, composting, etc.). Such topics are recommended for further research.

532 Due to the apparent suboptimal cavitation regime during most of the WOP1 process, especially 533 during the first 60-90 min, it is likely that simple technical adjustments, such as a different 534 centrifugal pump, could produce even better results. However, with a lower concentration of WOP 535 in the aqueous mixture, as in the test WOP2, the HC process was carried out in the optimal regime, 536 as proven by the low levels of the cavitation number. Thus, it is expected that an optimized HC 537 process will lead to higher methane generation in a shorter process time also for higher WOP 538 concentrations.

539 According to the results presented in Section 3.2, the pectin isolated in the sample collected at 540 the end of the process WOP1 showed a very low degree of esterification, namely $17.05 \pm 0.60\%$, 541 meaning that it would be particularly appropriate for food and beverage, pharmaceutical and 542 nutraceutical applications, because it does not require sugar or acidic conditions to form stabilized 543 gels. It should be noted that this result nicely agrees with previous studies in which pectin from 544 WOP originating from red oranges from the same area of Sicily, extracted via microwave 545 hydrodistillation and gravity, was shown to have a DE of 25%, suggesting that the pectin from the 546 red orange pulp is likely to have a very low DE [67].

547 We remind that WOP (exo-, meso-, and endocarp) contains not only the outer skin (exocarp), 548 and the peel (exo- and mesocarp), but also endocarp residues. It is remarkable that, as mentioned in 549 Section 2.3.2., pectin, analyzed 18 months after extraction and lyophilization, remained stable during 550 prolonged storage at room temperature in direct contact with air's oxygen. Actually, after another 551 three months in the same plastic vessel, the same pectin continues to show no sign of degradation, 552 pointing to the stabilization effect of powerful antioxidant orange biophenols including flavanones 553 (Section 3.3) clearly found in the WOP2 aqueous solutions, and likely available in even greater 554 concentration in the sample T14 from the test WOP1.

555 Overall, the test WOP1 proved that the HC process allowed the effective extraction of 556 high-quality pectin from the waste orange peel, and a very efficient exploitation of the biomethane 557 generation potential from the solid residues of the process. As well, no microbiological degradation 558 or spoilage was detected in the liquid sample T14, even though it is unlikely that any relevant 559 concentration of antimicrobial *d*-limonene was retained in the aqueous solution, due to the very high 560 working temperature (as shown for sample T214 from the test WOP2). We hypothesize that the 561 reason for the apparent microbiological stability, lies in the well-known effective disinfection carried 562 out by the HC-thermal process [40].

As shown in Section 3.3, water-soluble flavanones naringin and hesperidin constituted by far the greatest part of polyphenols in the WOP. Both compounds were extracted in the aqueous solution quite effectively and efficiently by means of the HC process, and partially transformed into other compounds, mostly other flavanones, and likely in hydroxycinnamic acid derivatives. Overall, the extraction process yield was assessed at the level of nearly 60%, with regard to the sum of the detected compounds. Such yield was achieved within 10 min of process time, and after just 2 min the yield was at the level of about 53%, thus proving the effectiveness of the extraction.

We hypothesize that the other flavanones (peaks F1 to F4 in Figure 8) might have derived from hesperidin and/or naringin, following the loss of at least one hexose unit. In their turn, since these peaks were practically undetectable in the chromatogram of the process residues, this decomposition could have been due to cavitation processes occurring in the liquid phase. In addition, the peaks shown just on the left of the peak F1 region in the chromatogram for the aqueous phase (Figure 8, unlabeled peaks), attributed to HAD, were not observed in dry WOP or process residues, and could be considered as a distinct effect of the cavitation process.

577 From the decrease of the *d*-limonene concentration in the solid residues (Section 3.4), a lower 578 limit of 45% for the respective extraction yield in the aqueous phase was inferred, such compound 579 being by far the most abundant monoterpene in the WOP. However, the actual extraction yield is 580 expected to be actually much higher, as suggested by two evidences. First, the abrupt drop of its 581 concentration in the aqueous phase shortly after its highest value (6 min of process time), pointing to 582 its fast volatilization. Second, the mass loss from the solid residues due to the continuous extraction, 583 leading to the overestimation of the respective total content of *d*-limonene based on its concentration. 584 In forthcoming practical applications, airtight HC extractors will be used in order to retain liquid 585 limonene, both floating and emulsified in the aqueous solution due to the emulsifying action of 586 pectin [15].

587 The high volatility of orange peel EOs under environmental conditions (in particular, of 588 *d*-limonene, that is chemically unstable) hinders their effectivity as flavorings in the food industry 589 (affecting the shelf-life), and as biopesticides in agronomic applications [68]. Moreover, the 590 antimicrobial action of *d*-limonene was found to markedly increase when applied as an oil-in-water 591 nanoemulsion, for example reducing the thermal resistance of *Listeria monocytogenes* by one hundred 592 times, against only two to five times when added directly [69].

Therefore, methods have been proposed to reduce the volatility, to increase the stability, and to control the release of such compounds. Two recent studies proposed the nanoencapsulation of orange peel EOs [70], and *d*-limonene [71], respectively, in oil-in-water nanoemulsions created by means of ultrasonic irradiation (acoustic cavitation), and stabilized with a mixture of pectin and whey proteins. Thus, the combination of cavitation processes and pectin appears very promising for the retention and effectivity of *d*-limonene, provided that its volatilization is prevented.

599 Indeed, the residual retention of *d*-limonene in the aqueous solution, up to the sample T27 600 (30 min, 35°C) in the WOP2 test (Figure 10(a)), could have been favored by two factors. First, the 601 likely micronization and at least partial emulsification of the terpenes in water, based on the 602 well-established effectivity of HC processes in the creation of stable sub-micron oil-in-water 603 emulsions [41,72]. Second, the effectivity of pectin as an emulsifying compound, as well as a 604 stabilizer for emulsions [17]. Due to the effective extraction of high-quality pectin in the aqueous 605 phase (Section 3.2), the micronized Limonene drops could have been partly emulsified and 606 stabilized, concurring to the limitation of its volatilization. Further research will investigate these 607 relevant emulsion chemistry aspects.

Finally, further research using optimized devices and processes, will allow the rigorous, quantitative comparison of the proposed process with either conventional or newer extraction techniques. As an example, the effective retaining and recovery of orange peel oil during the HC process will allow the determination of comprehensive performance indices, such as those recently

612 advanced, based on the extraction yield, the energy efficiency and the quality of the product [73].

613 5. Conclusions

This study reports remarkable results concerning the valorization of waste orange peel via controlled hydrodynamic cavitation. One of the strengths is the presentation of outcomes on the semi-industrial scale, such as the extraction from 42 kg of WOP with 120 L of tap water (test WOP1). This allowed proving the scalability of the process, which often remains an open issue with laboratory reports dealing with the extraction of valued bioproducts from (at most) a few hundred grams of a biological matrix.

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620 Although the extraction conditions were far from being optimal under various aspects, both 621 water-soluble flavanones and *d*-limonene, by far the most abundant monoterpene in red orange and 622 Washington Navel orange EO, were extracted within 10 min of process time and at room 623 temperature. High-quality (low degree of esterification and high molecular weight) pectin was 624 easily isolated from the aqueous extract via straightforward lyophilization. The cellulose- and 625 hemicellulose-rich solid residue showed excellent methane generation potential under anaerobic 626 digestion, with few min of process time enough to result in a very high ratio of the energy contained 627 in the generated methane to the consumed energy.

The results shown in this study open the route to the integral valorization of WOP via a simple, low cost and highly effective technology and the related method, requiring water as the unique additional raw material. The relevance of the presented findings also arises from the abundance of the WOP (around 25 MT/year as a by-product of the agrifood industry), the likely applicability to the by-products of the processing of other citrus fruits, and the rapid spreading of the controlled HC processes in several food-related productions [27,28,34].

634 The process applied in this study adheres to the six principles of green extraction [74], even 635 though wide margins for further improvement, based on thorough optimization, clearly exist.

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