

1 Article

2 Safety and Activity of Metronomic Temozolomide in 3 Second-Line Treatment of Advanced Neuroendocrine 4 Neoplasms

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22 **Abstract: Background.** The front-line treatment of advanced NeuroEndocrine Neoplasms (NENs)
23 depends on clinical and pathological factors but there are no standard second-line therapies.

24 **Methods.** Metastatic NENs patients were treated at the ENETS (European NeuroEndocrine Tumor
25 Society) center of excellence of Naples (Italy), from 2014 to 2017 with second-line metronomic
26 temozolomide, 75 mg/m² *per os* "one week on/one week off". Toxicity was graded with NCI-CTC
27 criteria v4.0; objective responses with RECIST v1.1 and performance status (PS) according to ECOG.

28 **Results.** Twenty-six consecutive patients were treated. Median age was 65.5 years. The predominant
29 primary organs were pancreas and lung. Grading was G2 in 11 patients, G3 in 15. Eleven patients
30 presented with PS 1 and 15 with PS 2. The median time-on-temozolomide therapy was 12.2 months
31 (95% CI: 11.4-19.6). No G3/G4 toxicities were registered. Complete response was obtained in 1 patient,
32 partial response in 4, stable disease in 19 (disease control rate: 92.3%), and progressive disease in 2.
33 The median overall survival from temozolomide start was 28.3 months. PS improved in 73% of
34 patients. **Conclusions.** Metronomic temozolomide is a safe and active treatment for G2 and G3 NENs.
35 Prospective and larger trials are needed to confirm these results.

36 **Keywords:** Neuroendocrine neoplasms, chemotherapy, temozolomide, metronomic treatment,
37 second-line.

38

39 1. Introduction

40 Neuroendocrine neoplasms (NEN) are a group of tumors arising from the neuroendocrine cell
41 compartment present in different tissues. Their incidence is increasing probably because of
42 improvements in diagnosis and pathological identification [1]. The therapy depends on origin,
43 grading, and presence and localization of metastases. When the tumor is localized, surgery is the gold
44 standard treatment [2]; unfortunately, metastases particularly to the liver may occur at clinical
45 presentation (20%) or after (38%) the initial diagnosis [3]. Hepatic surgery in resectable metastases
46 leads to 4-year survival rate of about 70%. However, first-line systemic treatments are recommended
47 in metastatic unresectable NENs by the most important guidelines (European Neuroendocrine

48 Tumor Society, North American Neuroendocrine Tumor Society) in order to control hormone-
49 dependent symptoms when present and/or to improve survival [4, 5]. However, still to date, there is
50 a lack of evidence for standard second line treatments in progressive NENs, this phase of disease
51 typically requires multidisciplinary approaches that may reside on external radiotherapy, organ-
52 directed treatments (chemoembolization, embolization, etc.), biologics and peptide receptor
53 radionuclide therapy [4, 5]. A detailed description of these therapeutic options is beyond the scopes
54 of the present study.

55 Temozolomide (TMZ) is an orally active alkylating agent analogue of the dacarbazine. In
56 monotherapy and at the standard doses of 150-200 mg/m² once daily for 5 every 28 days, TMZ
57 showed to be active in pre-treated patients affected by NENs with response rates (RR) of 14% in
58 patient with G1/G2 NENs [6] and a disease control rate (DCR) of 38% in G3 NEC [7]. In association
59 with other drugs, namely capecitabine, everolimus, bevacizumab and octreotide, and thalidomide
60 the RR ranges between 17-70 % [8-16]. The large part of these studies are small (<25 patients) and/or
61 retrospective because of the low incidence of the disease. The most frequent reported all-grade
62 toxicities of TMZ single-agent or combined with other drugs are anemia, leucopenia,
63 thrombocytopenia, hand-foot syndrome and gastrointestinal. However, a discontinuation rate of
64 TMZ up to 55% is reported [16], and in association with everolimus, the treatment with TMZ has
65 been precautionary administrated for a maximum of 6 months in order to reduce toxicity [13].

66 The use a metronomic schedule of TMZ represents a possible way to reduce toxicity.
67 Metronomic TMZ (mTMZ) consists on lower daily doses with greater frequency of administration.
68 The main biological effects reside on anti-angiogenic activity [17-19] and immune-modulation
69 leading to improvement of dendritic cells function [20] and selective depletion of
70 CD4⁺CD25⁺Foxp3⁺ regulatory T cells (Tregs), which are potent immunosuppressive cells within the
71 tumor microenvironment [21-24].

72 There are no studies in literature evaluating the activity and safety of mTMZ in advanced pre-
73 treated NENs. In this study, we evaluated the efficacy of mTMZ in a consecutive series of 26 NENs
74 patients treated at the ENETS (European NeuroEndocrine Tumor Society) center of Naples.
75

76 2. Experimental Section

77 2.1. Patients and treatment

78 This was a retrospective study approved by the Scientific Directorate (among criteria: reliable
79 and verifiable source of data, consecutiveness of the cases to reduce biases, adequate follow-up,
80 monocentric radiologic evaluations) of the National Cancer Institute of Naples and conducted at the
81 ENETS Center of Excellence in Naples (Italy). The ENETS center of Naples internal database collects
82 continuously data about NENs' patients from three different institutions; it was utilized to identify
83 consecutive cases of patients with advanced G2-G3 NENs, progressed after a first-line systemic
84 therapy and treated with second-line mTMZ therapy between 2014 and 2017. Following the
85 procedures of our Institute, retrospective studies are submitted only to the approval of Scientific
86 Directorate and do not require ethical approval.

87 Information about patients and disease characteristics (age, gender, PS, comorbidities, stage),
88 histology (primary tumor site and size, Ki 67 status), previous treatments (surgery and/or systemic
89 treatments) were collected and used as descriptive outcomes. The treatment schedule consisted on
90 oral administration of "one week on/one week off" TMZ at 75 mg/m² until unacceptable toxicity or
91 progression. The drug was taken on an empty stomach (1 hour before or 2 hours after eating), with a
92 full glass of water. Written informed consent was obtained before prescribing and starting therapy.
93

94 2.2. Efficacy and safety evaluation

95 For efficacy evaluation, tumor response was defined according to RECIST 1.1 (Response
96 Evaluation Criteria in Solid Tumors). Tumor assessment was performed every three months through

97 Computed Tomography (CT) scans. The objective response rate (ORR) was the sum of complete (CR)
 98 + partial responses (PR). The disease control rate (DCR) was the sum of CRs + PRs + stable diseases
 99 (SD). The clinical benefit was documented by the treating physicians in the patient records and it was
 100 evaluated as improvement of ECOG (Eastern Cooperative Oncology Group) PS (Performance Status).
 101 Adverse events were graded according to the National Cancer Institute Common Terminology
 102 Criteria for Adverse Events (NCI-CTCAE version 4).

103 2.3. Descriptive analyses and statistical methods

104 Data are predominantly descriptive. Progression free survival (PFS) was calculated as the time
 105 elapsed from the date of mTMZ initiation to the date of disease progression or death for any cause
 106 (whichever occurred first). Patients who were alive with no disease progression were censored at the
 107 date of last visit. Overall Survival (OS) was defined as the time from the start of mTMZ administration
 108 to the date of death for any cause. Patients who were alive were censored at the date of data analysis.
 109 The median PFS (mPFS) and the median OS (mOS) curves were depicted using the Kaplan-Meier
 110 method. Exploratory subgroup analyses were done by Log-rank test.

111 3. Results

112 3.1. Patients' and disease characteristics

113 From 2014 to 2017, twenty-six consecutive patients with advanced G2-G3 NENs in progression
 114 after a first line chemotherapy were treated with second-line mTMZ. Characteristics of patients and
 115 their disease are reported in **Table 1**.
 116

117 **Table 1.** Characteristics of patients and disease.

Characteristics	No.
Age, years	
Median	65
Range	32-88
Gender	
Male	13
Female	13
Grading	
G1	0
G2	11
G3	15
KI-67 level	
3 - 20	11
20 - 55	10
>55	5
Performance Status	
0	0
1	11
2	15
Site of primary tumor	
Pancreas	5
Lung	5
Stomach	3

Miscellanea	
Head and Neck	2
Small bowel	3
Rectum	1
Gallbladder	1
Cutaneous	1
Unknown Primary Origin	5
No. of involved metastatic sites	
1	13
2	8
≥ 3	5
Previous treatments	
Platinum-based treatments	12
Chemotherapy non-platinum based	2
Somatostatin analogues	8
Clinical trials drugs	4

118 The median age was 65.5 years (range: 32-88 years) and the genders were equally represented
 119 (13 patients were male and 13 patients were female). Fifteen patients (58%) had an ECOG PS of 2
 120 before starting the second line treatment, while 11 patients (42%) presented with a PS equal to 1. No
 121 patient had a PS of 0. Grading is a fundamental characteristic to drive therapeutic choices, G2 NENs
 122 were 42% and G3 58%. Among the G3 NENs, 10 out of 15 (67%) had a Ki67 between 20% and 55%.
 123 The predominant primary sites were pancreas and lung, whereas the predominant site of metastasis
 124 was the liver followed by loco-regional nodes and bone. In half of the patients, metastases were
 125 present in a single site, and the liver was the only involved site in the 81% of patients. In contrast, 8
 126 patients (31%) had two different sites of metastasis, and in 5 patients (19%) the sites of metastasis
 127 were equal or more than three.

128 The majority of patients (54%) was previously treated with chemotherapy, whereas 31%
 129 received SomatoStatin Analogues (SSAs) as first line treatment, and 15% received other treatments
 130 including immunotherapy or targeted/biologic therapies. Of the 14 patients who received first line
 131 chemotherapy, 12 received platinum-based treatments and two non-platinum chemotherapy
 132 regimens. In addition, among the chemo-treated patients, 10 (71%) had a G3 NEN but 4 (29%) had a
 133 G2 NEN. In the latter patients, the choice to administer chemotherapy was based on the primary site
 134 of the NENs and/or on the Ki67: two atypical carcinoids with a Ki67 ≥15%, one intracranial
 135 neuroendocrine tumor and one NEN of unknown primary origin with a Ki67 of 18%. Of the 8 patients
 136 who received SSAs, half received octreotide and half lanreotide.

137 3.2. Efficacy and Safety

138 At last follow-up (median follow-up from mTMZ start: 29 months), 16 (62%) patients were alive,
 139 and 8 (31%) were still on treatment with mTMZ. All the twenty-six patients were evaluable for
 140 response. The objective response rate (ORR) to second line mTMZ was 19%, with one complete
 141 response (CR) and four partial responses (PR). An additional 73% of patients achieved stable disease
 142 (SD) as best response (**Table 2; Figure 1a**).

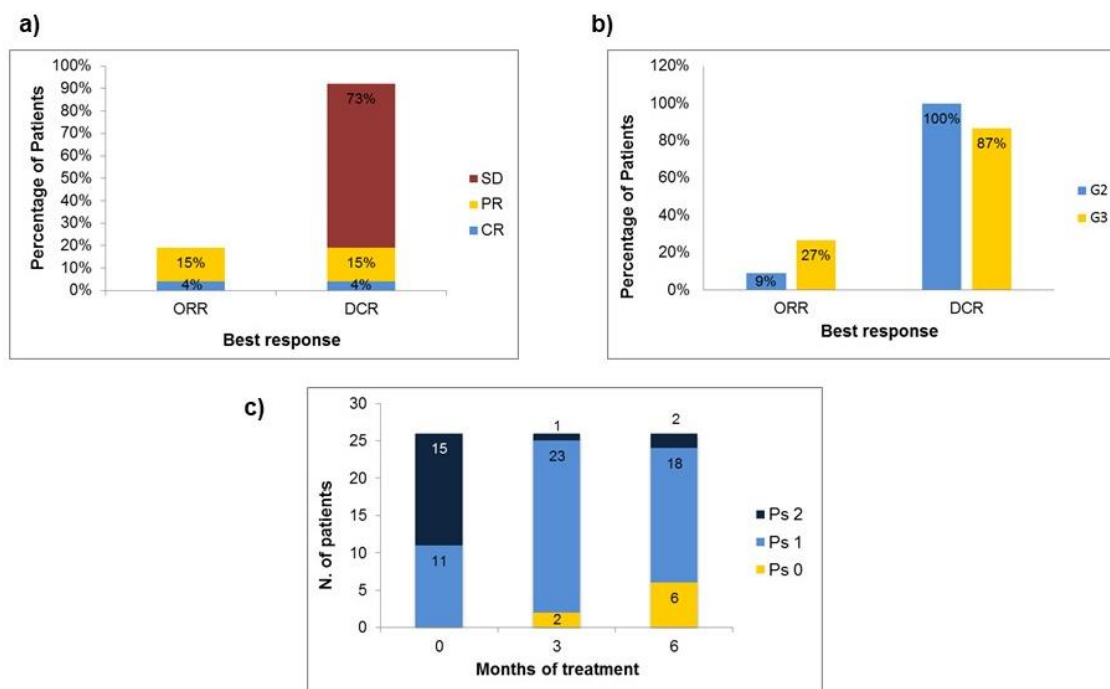
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Table 2. Efficacy estimates of second-line metronomic TMZ.

	No. (%)
Response to therapy	
Complete Response	1 (3.8)
Partial Response	4 (15.4)
Stable Disease	19 (73.1)

Progressive Disease 2 (7.6)
Median PFS (18 events) 9 months
Median OS (10 events) 28.3 months

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Figure 1. Response rates with metronomic temozolomide in all patients (a) and according to grading (G2 vs G3) of the tumor (b). Improvement of Performance Status over 3 and 6 months of treatment (c). ORR=Overall Response Rate; DCR= Disease Control Rate; SD=Stable Disease; PR=Partial Response; CR=Complete Response; PS= Performance Status.

The overall DCR was 92%. The ORR and DCR in patients with G2 NENs were 9% and 100%, respectively, while for those with G3 NENs the ORR was 27% and the DCR was 87% (**Figure 1b**). A clinical improvement of the basal PS was reported in 73% of patients (**Figure 1c**). The mPFS was 9 months and longer for patients with G2 NENs (mPFS: 23.6 months) compared to patients with G3 NENs (mPFS: 8.9 months), although not significant ($P=0.16$) (**Figure 2**).

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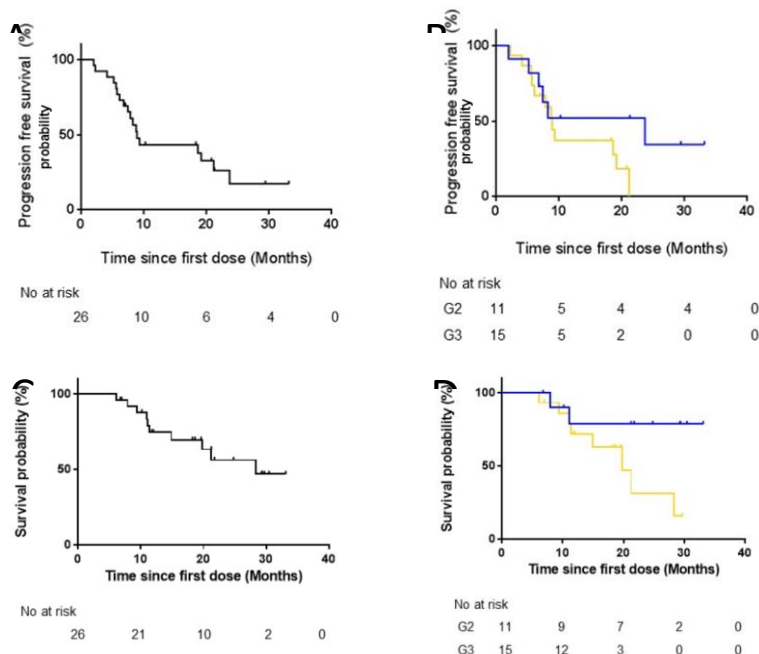
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173 **Figure 2.** Kaplan-Meier curves of progression-free survival and overall survival in all patients (A and
174 C) and according to grading (B and D).

175 The mOS was 28.3 months in the entire population. The mOS was 19.8 months in patients with
176 G3 NENs and not reached in patient with G2 NENs ($p=0.60$) (**Figure 2**). No G3/G4 toxicities were
177 registered (**Table 3**); no dose reductions were reported. The two most common adverse events were
178 anemia and asthenia (Table 3). The median time-on-TMZ therapy was 12.2 months (95% CI: 11.4-
179 19.6). No patient discontinued treatment for the occurrence of severe adverse events.
180

181 **Table 3.** Summary of adverse events.

Toxicity	G1		G2		G3/G4	
	No	%	No	%	No	%
Anaemia	11	42.3	13	50.0	0	0.0
Asthenia	9	34.6	12	46.1	0	0.0
Neuropathy	8	30.7	10	38.4	0	0.0
Neutropenia	8	30.7	8	30.7	0	0.0
Nausea	7	26.9	8	30.7	0	0.0
Hyperbilirubinemia	7	26.9	6	23.1	0	0.0
Alkaline phosphatase	3	11.5	0	0.0	0	0.0
Hyperglycaemia	4	15.3	6	23.1	0	0.0
Thrombocytopenia	0	0.0	6	23.1	0	0.0

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183 4. Discussion

184 Currently, the optimal schedule for TMZ have still not been established. Different schedules
185 have been used in recent trials both in monotherapy and in association with other drugs [6-16].
186 Although a significant activity has been constantly revealed with these schedules, the median time
187 on TMZ was negatively influenced by G3/G4 toxicity [6, 13, 16] with a reported discontinuation rate
188 up to 55%. This highlights the need to minimize toxicity.

189 Albeit retrospective and exploratory, we report the first “hypothesis generating” study with
190 mTMZ 75mg/m² “one week on-one week off” scheme in NENs. We suggest that this schedule might
191 represent a feasible second-line treatment in patients with advanced G2 and G3 NENs. In fact, the
192 treatment was associated with an ORR of 19% and an overall DCR of 92%; no G3/G4 adverse events
193 and no interruption of treatment for toxicity were registered. In addition, treatment with mTMZ
194 determined a clinical benefit through improvement of PS.

195 The clinical advantages of a low-dose administration of TMZ have been explored over the last
196 20 years and are mainly based on i) a lower toxicity profile eventually associated ii) to a better quality
197 of life [25-28]. Furthermore, beyond these clinical advantages, mTMZ, but not the conventional
198 scheme, is able to trigger anti-angiogenetic and immune-mediated pathways [17-23]. NENs are
199 hypervascularised tumors and overexpress a plethora of proangiogenic molecules and related
200 receptors [29-31]. Therefore, given their high dependence from angiogenic pathways, the metronomic
201 schedule, through its predominant anti-angiogenic action, could represent a stronger candidate for
202 NENs treatment. In fact, it has been shown that the metronomic regimen, unlike the conventional
203 full-dose treatment, is associated to a better negative control of the angiogenic pathways preventing
204 endothelial cells survival and re-growth [31-33], and reducing tumor microvessel density [19].
205 Additionally, metronomic therapy exerts its anti-angiogenetic activity through the increase of the
206 inhibitor thrombospondin-1 (THBS-1) and the inhibition of the hypoxia-inducible factor 1 (HIF-1) [34].
207 This latter effect could be particularly interesting for combination with mTOR inhibitors (i.e.

208 everolimus). Inhibition of mTORC1 (mTOR Complex 1) causes the loss of a negative feedback loop
209 that activates HIF-1 [35]; therefore, the association of an mTOR inhibitor with mTMZ might preserve
210 the activity of this loop. In addition to these anti-angiogenic effects, mTMZ has immune-
211 stimulatory effects through reduction of circulating regulatory T cells (Tregs) and modulation of
212 dendritic cells. Thus, mTMZ deserves to be considered for future trials in combination with
213 immunotherapy or other biologics. For instance, cabozantinib, a multi-tyrosin-kinase inhibitor, is
214 currently emerging for the treatment of carcinoid and pancreatic NENs [36] and it has been shown to
215 be effective with TMZ in glioblastoma [37]. This could be one of the promising future therapeutic
216 associations in NENs.

217 Notably, the evaluation of O6-methylguanine DNA methyltransferase (MGMT), which repairs
218 the methylation at the O6-position of guanine induced by alkylating agents thus reducing their
219 cytotoxic effects, could have a predictive role in patients treated with mTMZ [38-41]. However, it did
220 not show to be significant in our series (data not shown). Our group is going to accumulate more data
221 about this issue. In fact, expression and activity of MGMT could be useful biomarkers to further
222 optimize mTMZ-based therapy.

223 In conclusion, our study is a proof of concept that an intermittent schedule of mTMZ at 75 mg/m²
224 can be a suitable treatment in advanced, G2-3 NENs. Despite its exploratory and retrospective nature,
225 the efficacy of mTMZ in monotherapy here reported is similar to that shown in other retrospective
226 trials with conventional schedules of TMZ monotherapy; conversely, the toxicity profile is clearly
227 better. These results make the metronomic schedule a strong candidate also for combination
228 treatments.

229 On the basis of these results and to further investigate the role of mTMZ in second-line treatment
230 of G3 NENs, a study is currently ongoing at the ENETS center of Naples. This larger and prospective
231 clinical trial named TENEC trial (TEmozolomide in NeuroEndocrine Carcinoma), is supported by
232 ITANET (ITalian Association for NEuroendocrine Tumors) and aims to confirm the efficacy and
233 toxicity results of mTMZ as well as its modulating effects on host' immune system.

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236 methodology, A.O. and M.C.; validation, C.V.A. M.C., M.M., F.T., S.T.; formal analysis, A.O., M.C. and C.V.A.;
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