

1 Article

2 Opacity Corrections for Resonance Silver Lines in 3 Nano-Material Laser-Induced Plasma

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10 **Abstract:** Q-switched laser radiation at wavelengths of 355 nm, 532 nm, and 1064 nm from a Nd:
11 YAG laser was used to generate plasma in laboratory air at the target surface made of compressed
12 nano-silver particles of size 95 ± 10 nm. The emitted resonance spectra from the neutral silver at
13 wavelengths of 327.9 nm and 338.2 nm indicate existence of self-reversal in addition to plasma
14 self-absorption. Both lines were identified in emission spectra at different laser irradiation
15 wavelengths with characteristic dips at the un-shifted central wavelengths. These dips are usually
16 associated with self-reversal. Under similar conditions, plasmas at the corresponding bulk silver
17 target were generated. The recorded emission spectra were compared to those obtained from the
18 nano-material target. The comparisons confirm existence of self-reversal of resonance lines that
19 emerge from plasmas produced at nano-material targets. This work suggests a method for recovery
20 of the spectral line shapes and discusses practical examples. In addition, subsidiary calibration
21 efforts that utilize the Balmer series H α -line reveal that other Ag I lines at 827.35 nm and 768.7 nm
22 are optically thin under variety of experimental conditions and are well-suited as reference lines for
23 measurement of the laser plasma electron density.

24 **Keywords:** laser-induced plasma; atomic spectroscopy; self-reversal; self-absorption;
25 nanoparticles; silver; hydrogen

26 1. Introduction

27 Self-absorption as well as self-reversal of radiation from optically thick plasma occur due to
28 processes of re-absorption in the outer-cooler region or in shockwave-induced density variations.
29 The plasma produced by focusing of pulsed laser light on suitable targets suffers from strong
30 inhomogeneity, even when using a well-defined TEM₀₀ laser mode¹. Plasma inhomogeneities lead to
31 strong gradients of plasma parameters (electron density and temperature) from the hot central core
32 to peripheries that is in contact with surrounding air. This cooler plasma peripheries contain large
33 population of atoms in lower atomic states, especially in the ground state. These peripheral atoms
34 are often causing plasma re-absorption². The plasma opacity manifests itself in form of
35 homogeneous absorption of the spectral line that is labelled self-absorption. Effects of
36 self-absorption include an apparent increase of the emitted line full-width at half-maximum
37 (FWHM) and a decrease in spectral line height³. Line shape recovery is possible, only if one employs
38 a standard, reliable measure of the true plasma electron density, which is offered by the optically
39 thin H α - and H β - lines^{3,4}, yet frequently the H α -line is utilized. For instance, line-of-sight
40 measurements of laser-induced plasma at or near an ice surface^{4,5} show self-reversal tips at the
41 un-shifted resonance wavelength of the hydrogen alpha line of the Balmer series. Typical
42 “fingerprints” due to re-absorption include self-reversal and self-absorption^{4,11}. In this work,
43 self-absorption and self-reversal parameters, SR and SA, respectively, distinguish between these
44 re-absorption effects.

45 There are significant challenges when considering self-reversed lines, especially for resonance
 46 lines, for the evaluation of the electron density typically measured from the
 47 full-width-at-half-maximum, and determination of the temperature that is a function of the spectral
 48 radiance. Moreover, spectral line intensities from nano-based materials show differences from the
 49 corresponding bulk signals¹². The theoretical descriptions of self-absorption and self-reversal⁵⁻¹¹ rely
 50 on the computation of the emitted radiation when modeling the emitters by a specific distribution.

51 However, strong enhanced plasma emission was noticed when focusing laser radiation onto
 52 targets made of pure nanomaterials¹²⁻¹⁴. This enhanced emission was related to the sudden increase
 53 of the population density of the ground state atoms (in the same ratio of amount of enhanced
 54 emission $I_{\lambda_0}^{\text{Nano}}/I_{\lambda_0}^{\text{bulk}} \approx N_{\lambda_0}^{\text{Nano}}/N_{\lambda_0}^{\text{bulk}}$ ¹²⁻¹⁴, i.e., more of cold atoms (N_0^{Nano}) exist at the outer peripheries
 55 of plasma produced from nanomaterials¹⁴, but without further increase in the plasma excitation
 56 temperature². This enhanced emission enables the spectral line intensity $I_{\lambda_0}^{\text{Nano}}$ of the resonance
 57 lines to exceed the corresponding, upper-limit black body radiation intensity $I_{\lambda_0}^{\text{Nano}} \geq B(\lambda_0, T_{\text{ex}})$,

$$58 \quad B(\lambda_0, T_{\text{ex}}) = \frac{2hc^2}{\lambda_0^5} \left(\frac{1}{\exp\{hc/\lambda_0 k_B T_{\text{ex}}\} - 1} \right), \quad (1)$$

59 This is because of the relatively local temperature, T_{ex} , in this plasma region. Therefore, and as
 60 elaborated by Fujimoto², i.e., the measured radiation intensity of the resonance lines are only those
 61 that emerge from the outer plasma regions at which the plasma optical depth is unity, hence
 62 self-reversal starts to act at the central un-shifted wavelengths of resonance lines that terminate at
 63 the ground state. Figure 1 illustrates a homogenized central core, cold periphery, and the emanating
 64 “distorted” line shape.

65

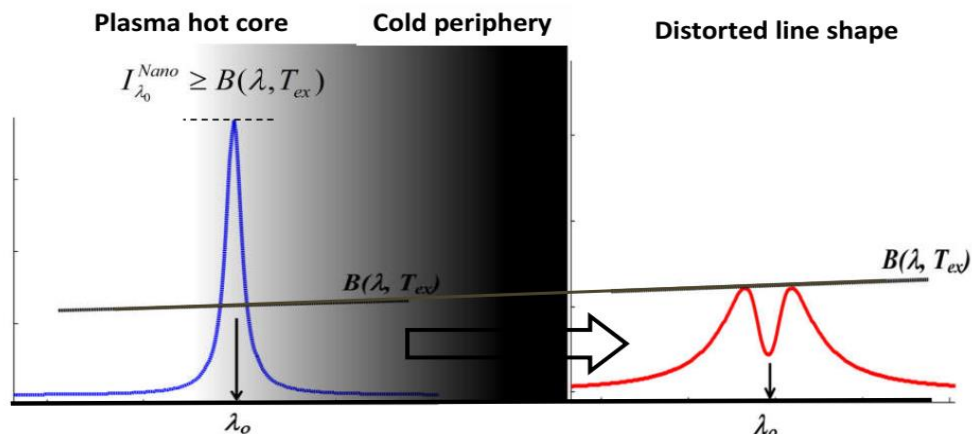


Figure 1. An illustration to the effect of plasma opacity on spectral line shape via self-reversal (red) in comparison to the undistorted shape (blue), together with black body spectral intensity limit (black line) at certain excitation temperature at the plasma outer regions.

66 There are three effects that modify the line shape: First, the excessive enlargement of line FWHM,
 67 second, reduction of spectral radiance imposed by the black body radiation limit and third, the
 68 reversed line-shape of the emission line.

69 This work introduces a method for retrieving the original undistorted shape of self-absorbed lines
 70 that are affected simultaneously by self-reversal and self-absorption. The method is based on the
 71 availability of certain optically thin spectral lines that originate from upper states of atomic
 72 transitions, viz., Ag I lines at 827.35 nm and at 768.7 nm. The method is examined at different laser
 73 irradiation wavelengths and at different laser irradiance levels.

74 2. Materials and Methods

75 In the experiments, a Nd:YAG laser device(Quantel model Brilliant B) operates at the fundamental
 76 wavelength of 1064 nm and the two harmonics at 352 and 355 nm, with output laser energy per
 77 pulses of 370 ± 5 , 100 ± 4 , and 30 ± 3 mJ, respectively. The corresponding spot sizes at the target
 78 surface amount to 0.5 ± 0.05 , 0.44 ± 0.05 , and 0.27 ± 0.03 mm. An optical fiber of 400 μm diameter
 79 collects the radiation from the plasma. An echelle type spectrograph (SE200) with an average
 80 instrumental bandwidth of 0.2 nm, and an attached intensified charge-coupled device (Andor iStar
 81 DH734-18F) acquire the data. The spectral pixel resolution and pixel area amount to 0.02 nm and 196
 82 μm^2 , respectively. A xyz-holder allows one to position the optical fiber at distance of 5 mm from the
 83 laser-induced plasma.

84 The time delay and gate width amount to 2 μs for all experiments reported in this work. ICCD
 85 KestrelSpec® software subtracts the background stray light contributions. The measured electronic
 86 noise level amounts to 20 ± 7 counts across wavelength range of 250 – 850 nm. The measurements of
 87 incident laser energy at each laser shot utilize a quartz beam splitter to direct the reflected part (4 %)
 88 to a calibrated power-meter (Ophier model 1z02165). A 25 ps fast response photodiode in
 89 conjunction with digital storage CRO (type Tektronix model TDS 1012) measures the laser pulse
 90 width of 5 ± 1 ns. A set of calibrated neutral density filters adjusts the energy/pulse. The
 91 DH2000-CAL lamp (Ocean Optics SN037990037) allowed us to correct for the sensitivity of detection
 92 system composed of spectrograph, intensified camera and optical fiber. A 500 kg/cm² press prepared
 93 the silver nanomaterial powder (from MKNANO®) to produce a less brittle tablet without further
 94 purification or heat treatments. The nanoparticle size equals 95 ± 10 nm, as confirmed from
 95 measurements with a transverse electron microscope.

96 4. Results and discussion

97 An example of the self-reversed resonance lines from the neutral silver atoms is presented in
 98 Figure 2 after laser irradiation of different wavelengths, namely, 355 nm, 532 nm and 1064 nm.

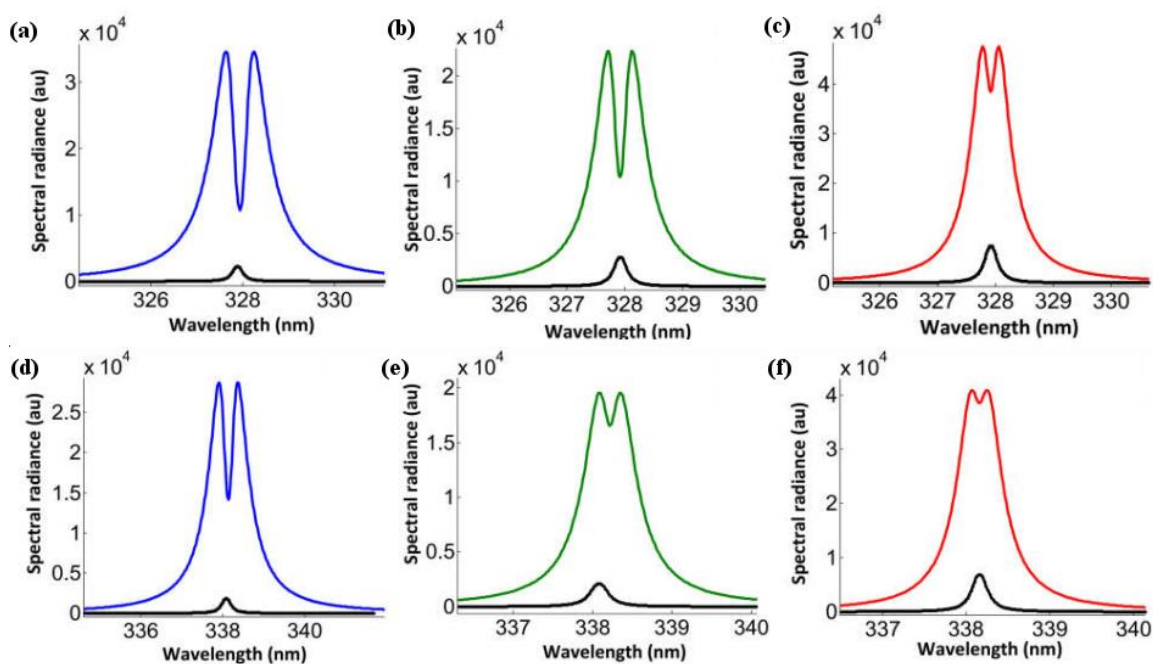


Figure 2. The effect of self-reversal on the resonance Ag I transition from nano-silver plasmas with respect to bulk target (black). Different laser irradiation wavelengths are indicated by different colors: blue for 355 nm, green for 532 nm and red for the 1064 nm.

99 In Figure 2, the upper self-reversed spectral lines emerge from plasma generated at the surface of the
 100 nano-silver target. The lower black spectra are the corresponding lines for the same transition and
 101 under the same experimental conditions but from the bulk-silver target. One can notice significantly
 102 more self-absorption of the plasma from nano-silver than for plasma from bulk-silver.

103 For the resonance transitions of the Ag I lines at 327.9 and 338.2 nm, Figures 3 to 5 illustrate
 104 recorded and fitted nano-material silver lines with central dips at line center. The Stark shift is
 105 smaller than the instrument width.

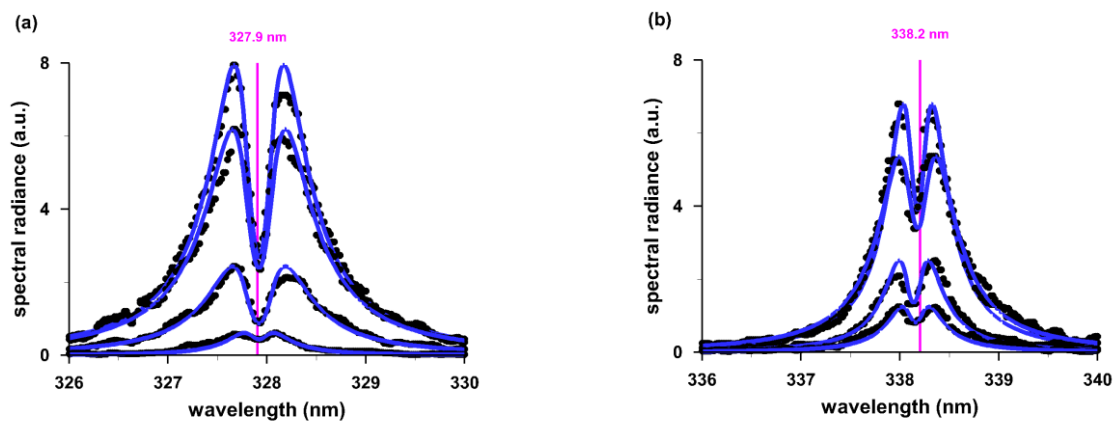


Figure 3. Ag I (a) 327.9 nm and (b) 338.2 nm lines, 355-nm excitation, fluences of 13.5, 9.6, 5 and 2.1 J/cm².

106 The two sets of spectra show the results captured from nano-material silver targets with 355 nm
 107 radiation. The self-reversal of plasma radiation from nano-silver material is typically absent in
 108 investigations of laser-induced plasma with bulk-silver targets for otherwise similar experimental
 109 conditions. Figure 3 shows well-developed spectral dips. Accordingly, Fig. 4 displays recorded

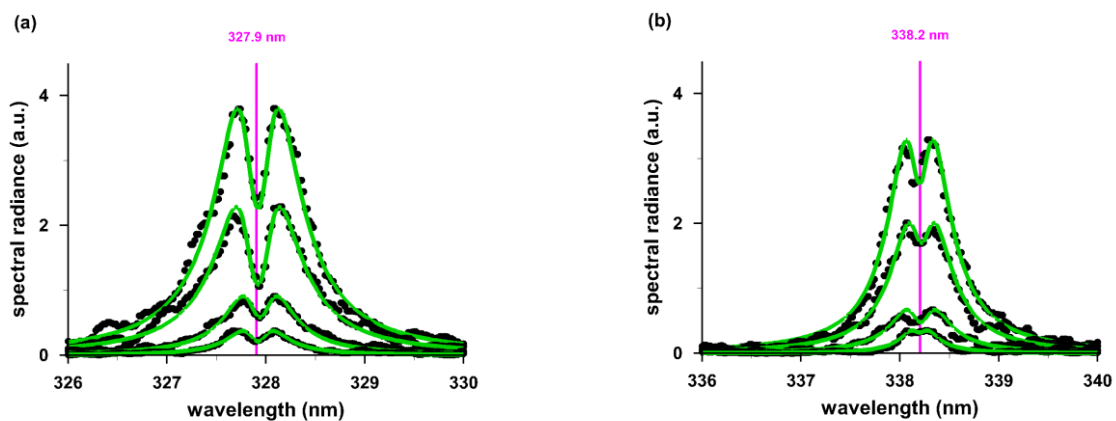


Figure 4. Ag I (a) 327.9 nm and (b) 338.2 nm lines, 532-nm excitation, fluences of 13.5, 11.5, 8, and 6 J/cm².

110 spectra obtained with 532 nm excitation.

111 Figure 4 indicates diminished self-absorption when compared to Fig. 3. For 1064 nm laser excitation,
 112 Fig. 5 displays even smaller self-absorption phenomena for the two silver lines.

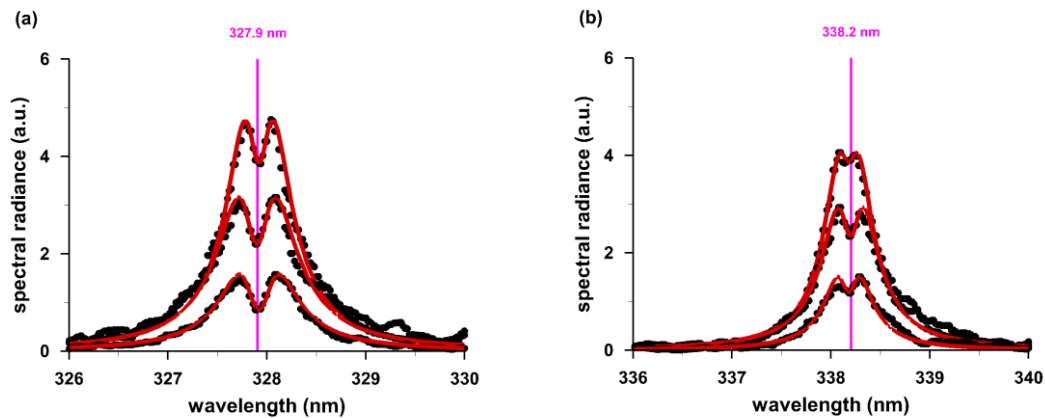


Figure 5. AgI (a) 327.9 nm and (b) 338.2 nm lines, 1064-nm excitation, fluences of 13.5, 11.5, 8, and 6 J/cm².

113 In view of Figs. 3 to 5, one can see that it would be challenging to extract the full-width at
 114 half-maximum for determination of electron density. It would be required to extract the FWHM of
 115 the line after opacity corrections due to self-reversal and self-absorption effects.

116 The introduced correction procedures are based on precise knowledge of “true” electron density
 117 of the nan-material plasma. The reliable H_α line was supposed to provide a measure for electron
 118 density³, but unfortunately, the H_α line is absent when employing green and blue laser beams for
 119 plasma generation with nano-silver. Consequently, one needs to identify other suitable optically thin
 120 lines.

121 In the process of locating suitable lines in place of H_α, an extensive examination of emission
 122 spectral lines from the neutral silver discovers that only two Ag I lines at 827.35 nm or at 768.7 nm
 123 are suitable candidates for reliable measurement of the ‘true’ electron density. The inferred electron
 124 densities compare nicely with the corresponding values obtained from analysis of the hydrogen
 125 alpha line of the Balmer series. Figure 6 illustrates the results, and Table 1 shows the comparisons.

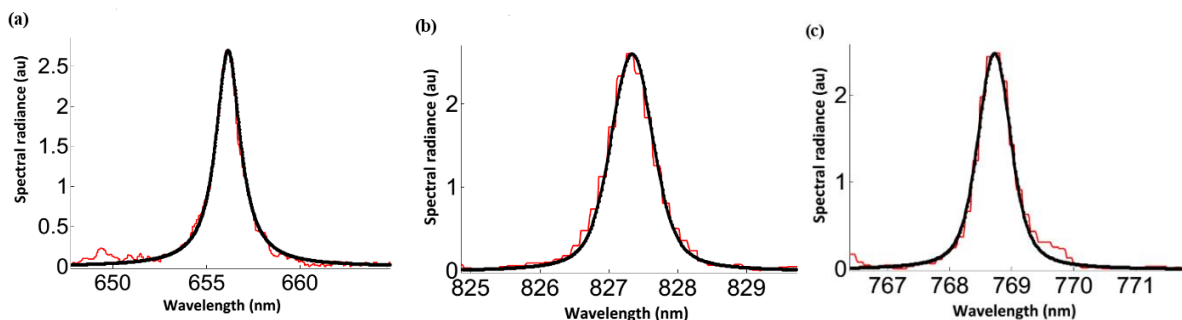


Figure 6. Recorded spectra for (a) H_α at 656.28 nm, (b) Ag I at 827.35 nm, and (c) Ag I at 768.7 nm. Laser fluence 9.6 J/cm², 1064-nm excitation.

126 Table 1. Electron densities, n_e , in units of 10¹⁷cm⁻³ for different fluence, 1064-nm excitation.

laser fluence (J/cm ²)	n_e : H _α 656.28 nm	n_e : Ag I 827.35 nm	n_e : Ag I 768.7 nm
9.94	1.64	1.66	1.76
7.46	0.76	0.77	0.76
5.9	0.63	0.66	0.70
4.47	0.57	0.55	0.58

127 There is excellent agreement of the measured electron density from the H α and the two optically
 128 thin silver lines. The two silver lines Ag I at 827.35 nm and at 768.7 nm are suitable for electron
 129 density determination in nano- and bulk- material for the following reasons: First, the Ag lines
 130 emerge from the upper states $4d^{10}6s-4d^{10}5p$ with almost empty lower and highly excited state $4d^{10}6s$
 131 that minimizes the possibility of plasma re-absorption by highly populated low atomic states.
 132 Second, both lines are observed in emission spectra of neutral silver under nearly all conditions.

133 The experimental evaluation included change of the incident laser fluence in the range from 5 to
 134 10 J/cm² and measurement of the emission spectra during IR laser irradiation. The lines are
 135 Voigt-fitted to recorded spectral radiances as indicated by the solid black lines in Figure 6. The Stark
 136 broadening parameters for both lines are archived in Stark tables¹⁵: At the reference electron density
 137 of $N_{e^{ref}} = 10^{17}$ cm⁻³, the Stark broadening parameter, ω_S^{AgI} , amounts to $\omega_S^{AgI} = 0.18 \pm 0.06$ nm.. The
 138 Lorentzian components of the emitted lines, λ_S^{AgI} , were extracted. The electron densities listed in
 139 Table 1 were evaluated with the help of the expression, $n_e^{AgI} \approx N_{e^{ref}} (\lambda_S^{AgI} / \omega_S^{AgI})$, and then compared
 140 with the corresponding values obtained from H α .

141 For analysis of the self-absorbed spectra in Figure 2, notice line reversal at the center wavelength,
 142 λ_0 , and weaker effects in the wings that lead to distortions. The transmittance^{3,6}, $T(\tau_{\lambda_0})$, is related to
 143 the escape factor^{3,6} and it depends on the optical thickness of the plasma, τ_{λ_0} .

144 The transmittance, $T(\tau_{\lambda_0})$, is modeled with a Lorentzian spectral line shape, $\varphi(\lambda)$,

$$145 \quad T(\tau_{\lambda_0}) = \int \varphi(\lambda) e^{-\tau_{\lambda_0} \varphi(\lambda) / \varphi_0} d\lambda, \quad \varphi(\lambda) = \frac{1}{\pi} \frac{0.5 \Delta\lambda_s}{(\lambda - \lambda_0)^2 + (0.5 \Delta\lambda_s)^2} \quad (2)$$

146 where $\Delta\lambda_s$ denotes the full-width at half-maximum (FWHM) of the normalized spectral line shape
 147 of magnitude φ_0 at line center. The plasma optical thickness at line center, τ_{λ_0} ,

$$148 \quad \tau_{\lambda_0} = \int_{-\ell}^0 \kappa(\lambda) d\ell, \quad (3)$$

149 is defined in terms of integrated absorption coefficient, $\kappa(\lambda)$, of a spectral line measured along the
 150 line-of-sight, ℓ , at the transition wavelength, λ_0 . Figure 7 illustrates results for τ_{λ_0} ranging from
 151 0.25 to 2 at equal steps of 0.25, and for fixed Lorentzian FWHM of $\Delta\lambda_s = 0.5$ nm. The line shape
 152 indicates a flat top for unity optical thickness, i.e., $\tau_{\lambda_0} = 1$. For values higher than unity,

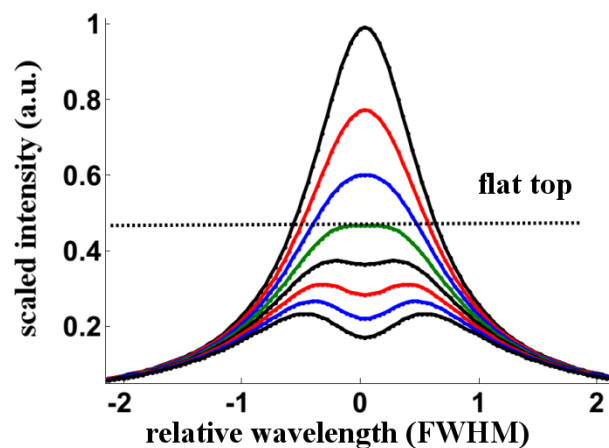


Figure 7. Line shapes $\varphi(\lambda) e^{-\tau_{\lambda_0} \varphi(\lambda) / \varphi_0}$ vs. wavelength, λ , for fixed $\Delta\lambda_s = 0.5$ nm. Values of τ_{λ_0} range from 0.25 to 2.0 in steps of 0.25.

153 self-absorption affects the line shape primarily at the center².

154 The fitting of the argument in Eq. (2) to the experimentally measured line shape can be
155 formulated with two line-shape parameters, namely, the self-absorbed Lorentzian FWHM, $\Delta\lambda_{S1}$,
156 and the optical depth, τ_{λ_0} , at line center.

157 The self-reversal parameter, SR, is introduced for a quantitative description of the measured line
158 shapes. The parameter SR indicates the ratio of transmitted and of weakly ($\kappa(\lambda) \ell \ll 1$) affected
159 intensities at line center,

$$160 \quad SR = \frac{1 - e^{-\tau_{\lambda_0}}}{\tau_{\lambda_0}} \leq 1, \quad (4)$$

161 or in terms of the transmittance, $SR = T(\tau_{\lambda_0})$. Self-reversal diminishes the peak spectral radiance as
162 well. In comparison with self-absorption, self-reversal causes further apparent enlargement of the
163 FWHM, $\Delta\lambda_{S2}$, with $\Delta\lambda_{S2} > \Delta\lambda_{S1}$. In analogy with the derivation of self-absorption³, one can
164 write $\Delta\lambda_{S2} = \Delta\lambda_{S1} SR^\alpha$. The value for the exponent is taken to be $\alpha = -0.54$, in analogy to previously
165 reported self-absorption studies³. The self-reversal factor, SR, is functionally identical to that for the
166 self-absorption factor³, SA, $\Delta\lambda = \Delta\lambda_{S0} SA^\alpha$. Here, $\Delta\lambda$ and $\Delta\lambda_{S0}$ indicate the FWHM of spectral lines
167 with and without self-absorption, respectively.

168 Figure 8 summarizes two typical examples of the discussed spectral line shape analysis for the
169 measured Ag I lines at 327.9 nm and 338.2 nm. Figs. 8 (a) and (d) display self-reversed data, Figs. 8
170 (b) and (e) portray corrected lines that are still self-absorbed, and Figs. 8 (c) and (f) illustrate the
171 retrieved line-shapes when using data from the optically thin line at 827 nm.

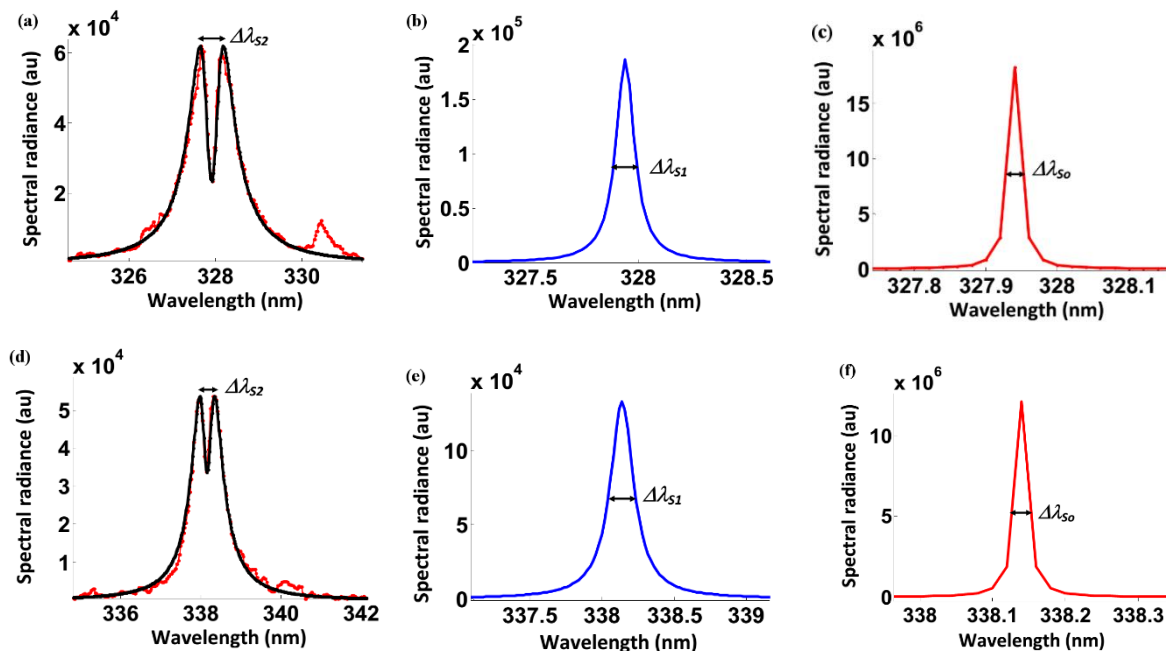


Figure 8. Line shapes (a) self-reversed $\Delta\lambda_{S2} = 0.37$ nm, $SR = 0.3$, $T(\lambda) = 33\%$; (b) Self-absorbed $\Delta\lambda_{S1} = 0.2$ nm, $n_{e1} = 4.2 \times 10^{18} \text{ cm}^{-3}$, $SA = 0.01$; (c) Reconstructed 327.9-nm optically thin line: $\Delta\lambda_{S0} = 0.017$ nm, $n_{e1} = 3.5 \times 10^{17} \text{ cm}^{-3}$, $SA = 1$. (d) $\Delta\lambda_{S2} = 0.32$ nm, $SR = 0.38$, $T(\lambda) = 40\%$, (e) $\Delta\lambda_{S1} = 0.19$ nm, $SA = 0.01$, and (f) 338.2-nm line: $\Delta\lambda_{S0} = 0.017$ nm, $n_{e1} = 3.5 \times 10^{17} \text{ cm}^{-3}$, $SA = 1$. Light pulses for (a) and (d) of fluence 13.5 J/cm^2 at 355 nm generated laser plasma. Reconstruction for (c) and (f) is accomplished with data from the 827-nm line.

172 The measured widths and plasma transmission percentages (typically 33% and 40% for the
173 reported experiments) are included in the figure captions. The theoretical, asymptotic form for the
174 transmittance of a Lorentzian line profile^{2,6} equals

$$175 \quad T_{\text{theory}}(\tau_{\text{SR}}) \sim 1 / \sqrt{\pi \tau_{\text{SR}}} . \quad (5)$$

176 The theoretical transmittances are compatible with SR factors of 0.32 and 0.38. The measured
177 line shapes are well-described by the fitted Lorentzians. However, for sake of simplicity, this
178 discussion omits Gaussian components due to instrumental broadening of $\Delta\lambda_{\text{instrument}} \sim 0.12$ nm.
179 Figs. 8 (a) and (d) show a significant reduction in intensity along with an apparent increase in
180 broadening ($\Delta\lambda_{\text{s2}}$). The self-reversal coefficients are relatively small (SR = 0.32 and SR = 0.38), but
181 due to line center effects^{2,5-10} dips occur. Noteworthy in this work, self-reversal (quantified by the
182 coefficient SR) is almost independent of the laser fluence, but self-absorption (SA) changes
183 monotonically with laser fluence.

184 In this example, the self-reversal peak separation provides values for $\Delta\lambda_{\text{s2}}$, using the FWHM of
185 lines with the dip would cause even larger discrepancies for the electron density, n_e . From
186 Equation (5), computed $\Delta\lambda_{\text{s1}}$ would show electron densities that are \sim ten times higher than
187 obtained from the optically thin line that was retrieved by comparison with 827-nm results. When
188 using lower fluence levels for these two lines, larger variances occur in inferred n_e values. From Eq.
189 (6), a factor of ten higher electron density means that the self-absorption factor is of the order of SA
190 ~ 0.01 . For self-absorption, the magnitude of the peak spectral irradiances can be evaluated³ using
191 $I_0(\lambda_0) \sim I_1(\lambda_0)/\text{SA}$, leading to two orders of magnitude higher irradiances. Such discrepancy indicates
192 significant self-absorption and line reversal for the selected example.

193 5. Conclusions

194 Self-absorption may lead to a decrease in the peak line intensity up to two orders of magnitude,
195 including appearance of self-reversed lines. Even after taking into consideration the line shape effects,
196 occurrence of self-absorption for a measured line contraindicates plasma electron density and
197 temperature measurements from that line. The experimentally measured transmission factors for the
198 327.9-nm and 338.2-nm lines change with incident laser fluence. The theoretical analysis predicts
199 transmittance values consistent with the measured ones within the experimental margins of error.
200 The optically thin, 827-nm silver line allows one to determine the electron density showing decreases
201 as expected from 3.5×10^{17} to 1.1×10^{16} cm⁻³ with decreasing laser fluence. However, as self-absorption
202 of the silver 338.2 nm line decreases with decreasing fluence, the variations of inferred electron
203 densities are larger than anticipated, or the 338.2 nm line shows a larger standard deviation than the
204 827 nm line. The Ag I line at 338.2 nm disappears for a laser fluence of 2.1 J/cm². Finally, plasma
205 opacity manifests itself as a combination of self-absorption and self-reversal effects, and line
206 recovery would require results from an optically thin line, or in other words, self-absorbed or
207 self-reversed lines are ill-suited as electron density diagnostic of laser plasma.

208 **Author Contributions:** Ashraf M. EL Sherbini conceived and performed the experiments. Ashraf M. EL
209 Sherbini the result together with Christian G. Parigger, and all authors contributed to the writing of the article.

210 **Conflicts of Interest:** The authors declare no conflict of interest.

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