

1 Article

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Life Cycle and Energy Assessment of Automotive 3 Components Manufacturing: the Dilemma Between 4 Aluminium and Cast Iron

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9 **Abstract:** Considering the manufacturing of automotive components, there exists a dilemma around
10 the substitution of traditional Cast Iron (CI) with lighter metals. Nowadays, aluminium alloys, being
11 lighter compared to traditional materials, are considered as a more environmentally friendly
12 solution. However, the energy required for the extraction of the primary materials and
13 manufacturing of components is usually not taken into account in this debate. In this study, an
14 extensive literature review has been performed to estimate the overall energy required for the
15 manufacturing of an engine cylinder block using (a) cast iron and (b) aluminium alloys. Moreover,
16 data from over 100 automotive companies, ranging from mining companies to consultancy firms,
17 have been collected in order to support the soundness of this investigation. The environmental
18 impact of the manufacturing of engine blocks made of these materials is presented with respect to
19 the energy burden; the “cradle-to-grave approach” has been implemented to take into account the
20 energy input of each stage of the component lifecycle starting from the resource extraction and
21 reaching to the end-of-life processing stage. Our results indicate that although aluminium
22 components contribute towards reduced fuel consumption during their use phase, the vehicle
23 distance needed to be covered in order to compensate for the up-front energy consumption related
24 to the primary material production and manufacturing phases is very high. Thus, the substitution
25 of traditional materials with lightweight ones in the automotive industry should be very
26 thoughtfully evaluated.27 **Keywords:** manufacturing; energy efficiency; life cycle assessment; aluminium; cast-iron
2829

1. Introduction

30 Over the years, the material selection for modern car components has changed a lot. As a
31 reference, in the 1970s a design engineer would have to select from four to five sheet forming grades,
32 whereas todays there are more than 50 options [1]. A number of material selection criteria needs to
33 be considered including corrosion and wear resistance, crashworthiness and manufacturability. At
34 the same time, legislation pushes for lighter vehicles, on the basis that lighter cars result in lower fuel
35 consumption. Since 1995 in Europe, the average car CO₂ emissions requirement has dropped from
36 186 g/km to 161 g/km in 2005 and it is expected to further reduce to 95 g/km in 2021 [2]. For achieving
37 these requirements, automotive manufacturers opt to use aluminium alloys in vehicles for being a
38 “lightweight” material. The average usage of aluminium (Al) in a passenger car varies from 12% to
39 60% depending on the vehicle. With regards cast Al alloys, these are mostly used for engine blocks,
40 cylinder heads and wheels although they are increasingly used for nodes in the chassis structure and
41 can potentially reduce weight by 40%.42 Substituting with lower density materials leads to lower tailpipe emissions; however, this does
43 not consider the CO₂ footprint of the materials used in the manufacturing of vehicles. The CO₂
44 footprint of any material is related to its embodied energy, which is a synonym of the “track record”
45 of a material and the way it has been produced. In every production phase, energy is needed for
46 changing the phase, geometry and properties of the material. This energy is thus virtually embodied

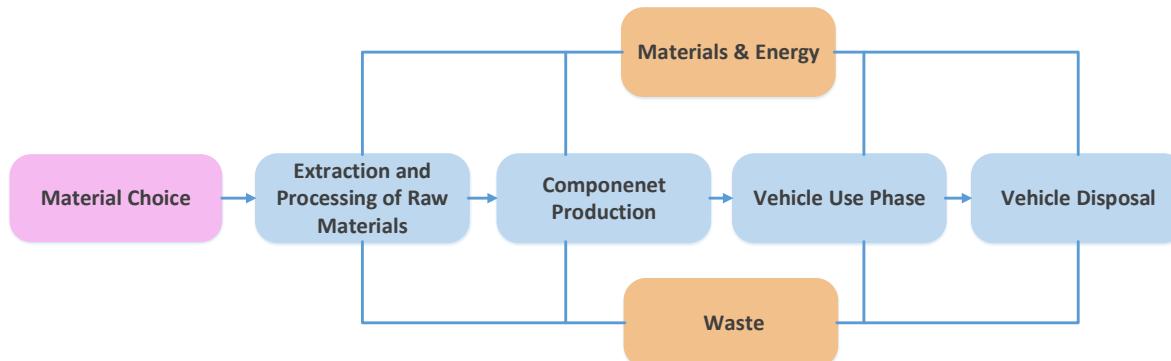
47 in the material. Ashby et al. [3] presented the embodied energy of producing components for the
 48 automotive industry and discussed the contribution of each life cycle phase. According to their
 49 investigation, the energy involved during the use phase of a vehicle is much larger than that during
 50 the material extraction and manufacturing phase. Similar conclusions have been reached by Sorger
 51 et al. [4] who investigated the effects of substituting an aluminium cylinder block by a newly
 52 developed one made of CI. Their results showed that the CI engine block presents some significant
 53 advantages with respect to cost, energy savings and CO₂ emissions.

54 Manufacturing processes efficiency obviously can have a great impact on the energy
 55 consumption during that life cycle phase of the vehicle. Salonitis and Ball [5] highlighted the
 56 importance of energy efficiency for both manufacturing processes and systems. One of the most
 57 energy consuming manufacturing processes is casting (when considering all sub-processes such as
 58 melting, holding, finishing), and a lot of research is undertaken on how to improve its energy
 59 efficiency [6–10]. The casting process is used in the automotive sector for the manufacturing of a
 60 number of components both in the powertrain and in the body in white. A couple of attempts have
 61 been also reported on the use of different materials for the casting of automotive components ([11],
 62 [12]).

63 The objective of the present investigation is to establish a methodology for the environmental
 64 impact assessment of substitution of materials in the automotive sector and improve the current
 65 decision making practices in the automotive sector. The discussion will be on whether Al alloys are
 66 a better option than cast iron (CI), when the total energy burden is considered (and not only the
 67 tailpipe emissions). For assessing the energy required, an extensive literature review was undertaken
 68 and over 100 experts from the automotive supply chain, such as OEMs, engine design consultancy
 69 firms, foundries, mining companies, primary alloy producers and recycling companies, machining,
 70 heat treatment and impregnation companies, were contacted. The case study selected is the engine
 71 block, as it is the single heaviest component in most passenger cars.

72 2. Methodology: Assessment Approach

73 Focusing only on the use phase, or only on the manufacturing phase for the assessment of the
 74 overall environmental impact of a product does not allow for a full understanding of the whole
 75 picture. The “cradle to grave” approach aims to include the energy consumption that occurs due to
 76 resource extraction and processing, component and product assembly, use, and end-of-life
 77 processing of a vehicle (Figure 1). The evaluation of the overall impacts that a product has on the
 78 environment through all of these lifecycle stages would give a complete picture of the light-weighting
 79 shift validity.



80
 81 **Figure 1:** “Cradle to grave” approach

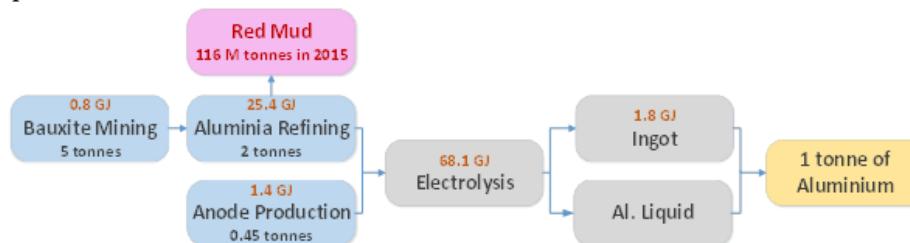
82 For assessing the energy required and the CO₂ emissions in each stage of the life cycle, an
 83 extensive literature review was undertaken. The present study was focused on all the processes, from
 84 cradle-to-grave, in the production of passenger vehicle engine blocks, such as mining, smelting and
 85 electrolysis, melting, holding, casting, fettling, heat treatment, machining, impregnation and
 86 recycling.

87 **3. Embodied Energy in Materials Due to Primary Production**

88 The starting point is the calculation of the primary production energy for each type of material.
 89 For the calculation of embodied energy, the methodology proposed by Brimacombe et al. [13] is used.

90 *3.1. Primary aluminium production*

91 The production of primary aluminium requires a number of steps. Allwood and Cullen [14]
 92 suggested that for primary aluminium the energy required is of the order of 170 GJ/tonne. The
 93 literature review indicated that energy ranges from 50 to 100 GJ/tonne. Due to the ambiguity in these
 94 figures, the energy requirements were calculated theoretically; Figure 2 shows that for 1 tonne of
 95 primary aluminium, 98 GJ of energy are required. In the following paragraphs the calculation of these
 96 figures is explained.



97

98 **Figure 2:** Primary aluminium production steps with associated energy content for producing 1 tonne
 99 of material

100 Primary production of aluminium starts with the mining of dry bauxite, which requires
 101 $0.17 \pm 0.08 \text{ GJ/t}$. This figure has been calculated after reviewing a number of reported energy figures in
 102 the literature review as listed in Table 1.

103 **Table 1:** Bauxite mining Energy per tonne of bauxite

Source	Energy [GJ/t]
[15]	0.145
[16]	0.150
[17]	0.150
[18]	0.153
[19]	0.188
[20]	0.210

104 Alumina is refined from bauxite through the Bayer process, where the main steps are digestion,
 105 clarification, precipitation and calcination [21]. First, dry bauxite is crushed in large mills and blended
 106 with liquor to form slurry. Then lime and caustic soda are added, mixed and poured into the digester,
 107 where a solution of hot caustic soda dissolves the alumina. During the digestion, impurities drop to
 108 the bottom and form a solid waste residue called red mud. In order to separate the alumina from the
 109 red mud, the mix is moved to clarification. By cooling, aluminium hydroxide is precipitated from the
 110 caustic soda and then washed. The last step is calcination, where the water content in hydroxide is
 111 removed and the alumina white powder is produced [22]. The energy consumption in this process
 112 varies in a range where the calculated average is $13.2 \pm 6.4 \text{ GJ/t}$ of alumina (Table 2).

113 **Table 2:** Alumina Refining Energy per tonne of alumina

Source	Energy [GJ/t]
[19]	13.17
[17]	12.52
[23]	10.65
[15]	12.77
[16]	14.20

[18]	17.90
[24]	15.00
[25]	13.80
[23]	10.95
[26]	10.65
[20]	13.82

114 Red mud is highly alkaline (pH=13) having great environmental impact, thus being very difficult
 115 to dispose of. It represents a major problem in the primary aluminium production. Red mud disposal
 116 covers vast areas which consequently cannot be built or farmed on, even after red mud is dried after
 117 several years. The most common ways to dispose of it is by land storage in form of lagoons, dry
 118 stacking, or dry cake [23]. Two or more tonnes of red mud are produced for every tonne of
 119 aluminium.

120 The key process for producing Al is electrolysis. Alumina is dissolved in a molten cryolite to
 121 decrease the melting point of alumina. The process, known as the Hall-Heroult process after the
 122 inventors, passes an electric current through the molten alumina to dissociate it into aluminium and
 123 oxygen. The oxygen reacts with the carbon anode to produce CO₂ whilst molten aluminium remains
 124 and is tapped off periodically into teapot ladles [22]. In terms of process consumables, carbon anodes
 125 are used. A mix of calcined petroleum coke, recycled anodes butts and coal are baked at 1150°C to
 126 produce anodes, consuming 3.1 GJ per tonne of anode. Depending on the anode use, the produced
 127 Al can be differentiated. The two main technologies are prebake (anodes are baked in ovens and then
 128 consumed in the electrolysis cells) and Soderberg (anodes are baked directly in the electrolysis cell)
 129 [23]. Furthermore, the carbon anode is totally consumed in Soderberg technologies, while in prebake
 130 technologies, 80% is consumed and the other 20 % is used again in the anode production process. In
 131 Europe, most of the electrolysis facilities use prebake technology with the only exception of two
 132 Soderberg smelters placed in Spain. By calculating the average from the range of energy
 133 consumptions required for electrolysis, the process consumes approximately 54.4±4.5 GJ/t of
 134 produced Al (Table 3). Also, if 80% of the total amount of carbon anode is converted into carbon
 135 dioxide, an extra energy of 14 GJ/t aluminium is added to the process [26] ending up with a total
 136 energy consumption of 68 GJ/t aluminium in the electrolysis process.

137 **Table 3:** Electrolysis Energy per tonne of aluminium

Sources	Energy [GJ/t]
[27]	56
[24]	52
[28]	66
[21]	54
[29]	53
[16]	55
[20]	47
[23] (95% prebaked and 5% Soderberg)	53.6
[23] (89% prebaked and 11% Soderberg)	55.0
[24]	50
[18]	55
[26]	56

138 Afterwards, the molten aluminium is poured into moulds to solidify in different shapes, which
 139 are shipped as ingots. In some cases, liquid aluminium is transported in insulated ladles by road
 140 depending on the proximity of the foundry [18]. The average energy consumption for ingot casting
 141 from the range collected from literature review is 1.81±0.17 GJ per tonne of aluminium (Table 4).
 142 Finally, by adding up all the energy consumed in all the different processes, the production of one
 143 tonne of primary aluminium requires 98 GJ.

144

Table 4: Cast Ingot. Energy per tonne of aluminium

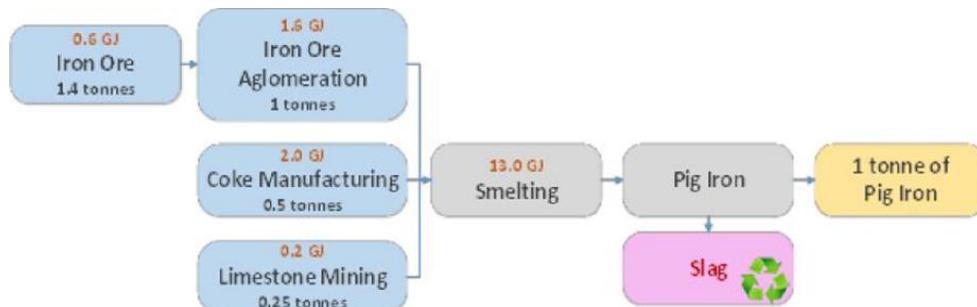
Sources	Energy [GJ/t]
[26]	2.00
[18]	1.77
[20]	1.67

145

3.2. Pig Iron production

146

Similarly, for primary iron/steel the energy required for the production of pig iron, according to the literature review, ranges from 20 to 40 GJ/tonne. Revisiting the process and calculating the energy per phases theoretically indicated that the energy content of 1 tonne of primary iron is 17 GJ (Figure 3). In the following paragraphs the calculation of these figures is explained.



150

Figure 3: Primary iron production steps with associated energy content for producing 1 tonne of material

151

According to Moll et al. [30], the main raw material in pig iron production is iron ore, consuming an average energy of 0.44 ± 0.2 GJ/t of iron ore mined (Table 5). Fine iron ores are converted into lump ores before charging into the blast furnace, in a process known as iron ore agglomeration. There are two different processes of agglomeration which are used in industry: sintering and pelletizing. Sintering plants are usually located near the blast furnace site while pelletizing plants are situated near the mines [31]. From the range of data collected, the average energy required for this process is 1.59 ± 0.36 GJ/t of iron agglomerate (Table 6).

160

Table 5: Iron Ore Mining and concentration energy per tonne of iron ore

Sources	Energy [GJ/t]
[32]	0.153
[33]	0.142
[30]	0.177
[27]	0.956
[34]	0.750

161

Table 6: Iron Ore agglomeration per tonne of iron ore agglomerated

Sources	Energy [GJ/t]
[35]	1.70
[33]	1.50
[27]	1.37

[36]	1.60
[30] - Pelletizing	1.33
[30]- Sintering	1.55
[37] - Pelletizing	0.82
[37] - Sintering	1.54
[38] - Sintering	2.25
[34] - Sintering	1.75
[31] - Pelletizing	2.10
[31] - Sintering	1.60

162 Coal is converted at high temperatures to produce coke, which will provide permeability, heat
 163 and gases which are required to reduce and melt the iron ore, pellets and sinter [39]. The energy
 164 consumed to produce one tonne of coke is approximately 3.98 ± 1.1 GJ (Table 7). In some countries like
 165 Brazil, charcoal is commonly used in the production of pig iron instead of coke.

166 **Table 7:** Coke manufacturing energy per tonne of coke

Sources	Specific country	Energy [GJ/t]
[27]		2.19
[40]		3.70
	Germany 2003	3.70
[34]	Japan 2002	3.50
	China 2004	4.20
[35]		4.30
[37]		4.45
[22]		3.59
[36]		5.80
[38]		2.40
[31]		6.00

167 Finally, limestone is added in order to remove the impurities [33]. Similar to iron ore, limestone
 168 also has to be extracted from the earth, in a process that consumes close to 0.9 ± 0.5 GJ per tonne (Table
 169 8).

170 **Table 8:** Energy consumption per tonne of limestone

Sources	Energy [GJ/t]
[41]	0.964
[27]	0.848

171 The iron ore (lump, sinter and/or pellets), along with additives such as limestone and reducing
 172 agents (coke) are put into the blast furnace in order to smelt. Then a hot air blast is injected into the
 173 blast furnace. The limestone is melted to remove the sulfur and other impurities, originating a residue
 174 known as slag. This process, known as smelting, is the most energy consuming in the production of
 175 pig iron, accounting for 13 GJ (Table 9) of the total 17.4 GJ per tonne of pig iron.

176 **Table 9:** Energy consumption per tonne of limestone

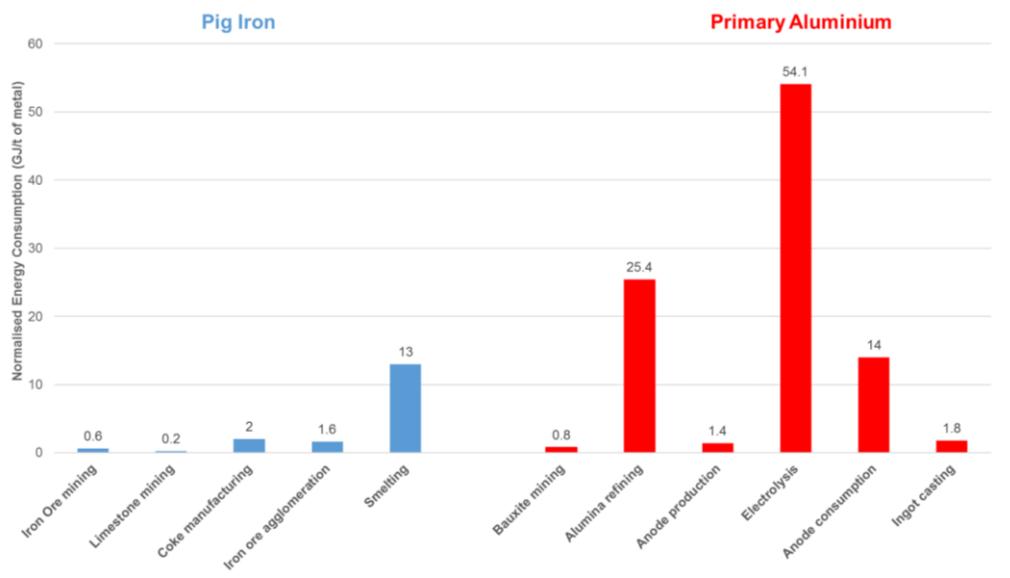
Sources	Specifics	Energy [GJ/t]
[22]		16.90
[38]		13.6-16.2
[42]	Blast furnace	12.3

[40]	Blast furnace	10.4
[27]	Raw iron manufacturing	12.8
[31]	Blast furnace	13-14.1
[43]	Blast furnace	12.7-18.6
[44]		12.0
[34]	blast furnace	10.4
[45]		12.2
[36]	blast furnace	10.4
[37]		13.63

177 *3.3. Outcome*

178 In Figure 4, the various stages and their energy consumption for the production of 1 tonne of
 179 pig iron and primary aluminium are shown. The difference in the total energy consumed to produce
 180 one tonne of primary aluminium when compared to the production of the same amount of pig iron
 181 sums up to roughly 80 GJ.

182 Furthermore, red mud is a by-product of the primary aluminium production at a rate of 2 tonnes
 183 per tonne of aluminium (120 million tonnes per year) and, at this moment, there are no solutions for
 184 it. On the other hand, the slag from the smelting process is easily recycled into road and cement
 185 making. Finally, electrolysis of alumina consumes 4 times more energy than the whole production of
 186 pig iron.

187 **Figure 4: Energy consumption for the production of Pig Iron and Primary aluminium**188 **4. Case study: engine block**

189 The heaviest single component in a passenger vehicle is the cylinder block. Over the last 10 years,
 190 the most significant transformation in engines was the capacity to provide more power with a lower
 191 displacement. This is a result of one of the most significant engine trends: downsizing. Comparing
 192 2001 with 2013, engine power increased 20% while engine displacement decreased by 10% [46].
 193 Besides that, the top-selling vehicle models worldwide follow this trend. According to [46], the engine
 194 displacement of the most sold vehicles is between 0.8 and 2.0L, except for USA and Canada, where
 195 engines with more power and displacement are highly valued.

196 The 4-cylinder blocks were selected as a case study in the present study, as they are
 197 approximately 71% of the total blocks manufactured worldwide [47]. For the reasons mentioned in
 198 the previous paragraph, the present investigation focuses on in-Line 4 Cylinder 1.6L Engine Blocks.
 199 These can be found in both diesel and petrol versions and in both CI and Al alloy materials. Al alloy

201 engine blocks are lighter than CI engine blocks as illustrated in Figure 5. However, due to the fact
 202 that CI is stronger than Al alloys, Al alloy engine blocks need thicker walls between cylinder bores
 203 making them longer. As a result, the volume of CI required is considerably less, being in the region
 204 of 55% of that of the equivalent Al alloy block and CI engines are considerably more compact. As
 205 illustrated in Figure 5, the weight differentials between the petrol and diesel engines made of Al alloy
 206 and CI are 9 and 11 kg respectively. However, more compact engines lead to an even smaller weight
 207 difference in the fully assembled engine, as a result of smaller ancillary components. Thus, our
 208 calculations are based on an on-the-road weight differential for the engine of 7 kg and 9 kg for petrol
 209 and diesel respectively which was substantiated by a number of design consultancy firms and OEMs.



Material	Grey Cast Iron		Aluminium Alloy	
Density (kg/m³)	7850		2700	
Volume (cm³)	3500		7700	
Weight (kg)	Petrol	Diesel	Petrol	Diesel
	27	38	18	27

210
 211 **Figure 5:** Weight difference between cast iron and aluminium alloy engine blocks according to the
 212 fuel consumed by the vehicle for 1.6L engines

213 In Figure 6 and Figure 7 the process flow for manufacturing the engine blocks from CI and Al
 214 alloys respectively is presented. The key difference between the two process flows is the need for heat
 215 treatment in the case of Al alloy engine blocks and the use of liners.



216
 217 **Figure 6:** Process flow for CI engine blocks manufacturing



218
 219 **Figure 7:** Process flow for Al alloy engine blocks manufacturing

220 4.1. CI engine blocks

221 Producing engine blocks from cast iron requires casting to a near net shape and machining to
 222 the exact dimensions. For collecting the required data (material use and energy consumption), three
 223 casting foundries were visited. These three foundries are responsible for the production of more than
 224 60% of the world's cast iron engine blocks.

225 4.1.1. Melting stage

226 The casting temperature for CI and Al vary around 1500°C and 730°C, respectively. This
 227 normally occurs in a melting furnace which can differ from foundry to foundry and/or for different
 228 metals. Normally, two types of furnaces are used: cupola and induction. By a number of foundries,

229 it was verified that they only use cupola furnaces to produce CI engine blocks. Cupola furnaces use
 230 as energy source Coke, and their thermal efficiency ranges between 20 and 30%. The main inputs in
 231 these furnaces are pig iron (4.8%), ferrosilicon 75% Si (4%), and steel and/or CI scrap (91.2%).
 232 Unrecoverable metal losses, mainly due to oxidation, are reported by foundries, to an average of 2%.
 233 In total three CI foundries were audited and the energy per tonne of liquid metal was measured to
 234 be 3.9 ± 0.1 GJ (Figure 8).

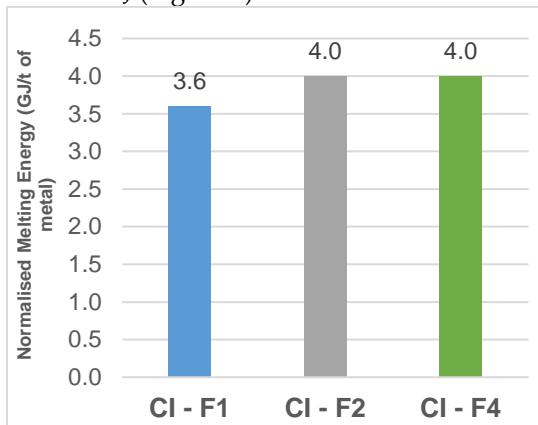


Figure 8: Melting energy per tonne of liquid metal in three different cast iron foundries

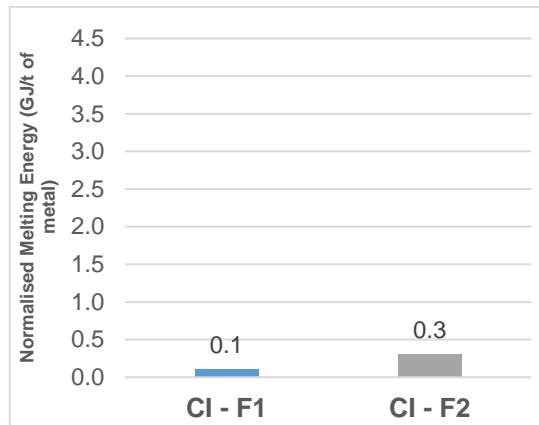


Figure 9: Holding energy per tonne of liquid metal in two different cast iron foundries

235 4.1.2. Holding Stage

236 After melting, to keep the metal at casting temperature and with a consistent composition, it is
 237 transferred and kept in the holding furnace as a buffer due to different production rates. The energy
 238 per tonne of liquid metal was measured to be 0.2 ± 0.1 GJ in two foundries (Figure 9). The holding
 239 furnaces in both foundries were electricity powered. One of the biggest factors in the energy
 240 consumption during the holding process is the holding time. This changes from foundry to foundry
 241 according to the production rate, casting method and of course the type of metal. In the holding
 242 process the foundries reported an unrecoverable metal loss of 2%.

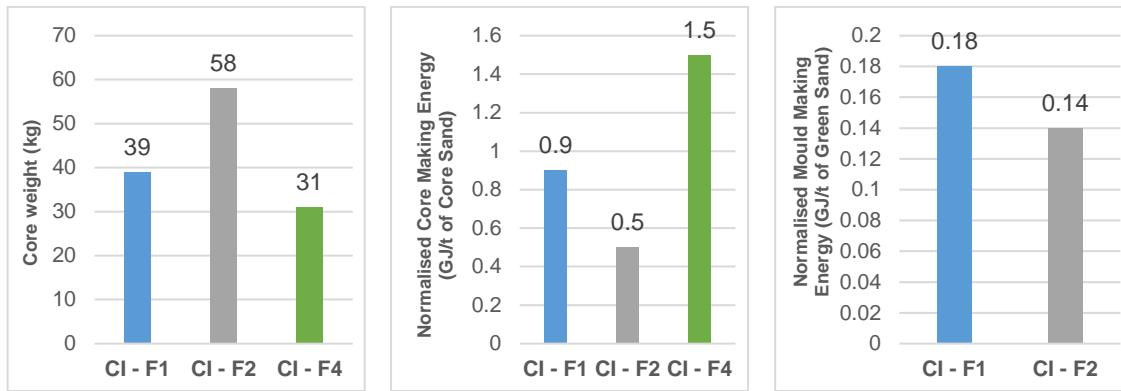
243 4.1.3. Core and mould making stage

244 In engine block castings, cores are used to form the complex internal geometry of the block.
 245 Cores are made from silica sand using the cold box method, where a binder system is used to cure
 246 the sand and resin to form the core. The design of the core varies depending on the material to be
 247 casted, and for CI engine blocks the reported core weight is 42.6 ± 4 Kg (Figure 10(a)). The process of
 248 core making also consumes a significant amount of energy, as the cores are normally coated and
 249 baked before use. Three foundries reported average energy needed for core making to be 0.97 ± 0.3 GJ
 250 per tonne of core sand (Figure 10 (b)). Further to the cores, a sand mould is used to form the outer
 251 limits of the casting. It is also used to support the core package, which together form the core package
 252 system. The weight of the sand mould, according to one of the foundries, is approximately 180kg.
 253 For the formation of the mould, machining is used that is reported to consume 0.16 ± 0.2 GJ (Figure 10
 254 (c)) per tonne of green sand.

(a)

(b)

(c)



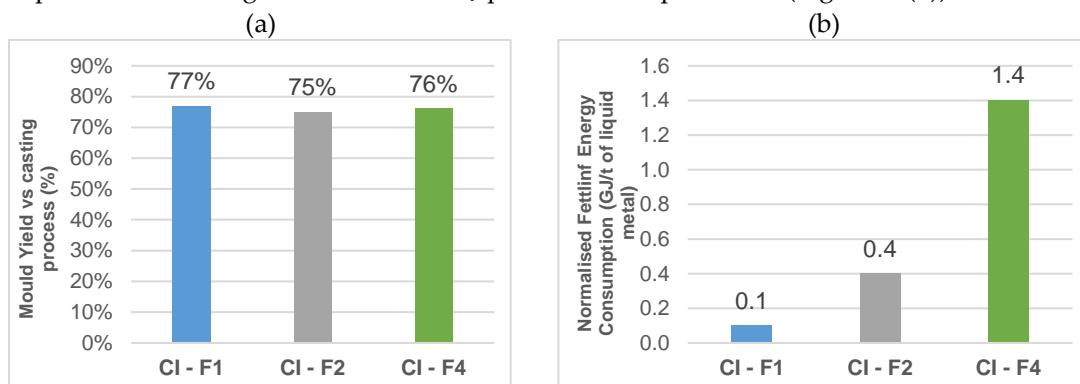
255 **Figure 10:** Mould and core making: (a) Core weight, (b) Core making energy and, (c) mould making
 256 energy

257 4.1.4. Casting stage

258 For the casting of CI into engine blocks, all visited foundries reported that only gravity sand
 259 casting is used, using green sand moulds and a core package. In gravity sand casting, liquid metal is
 260 poured into a cavity that is formed by a monolithic sand mould, as explained previously. The pouring
 261 of the metal can be fully automated, semi-automated or completely manual. Flow rates of the metal
 262 may vary from the beginning to the end of a casting campaign as the pouring ladle empties. Metal
 263 flow velocities should be adequate to avoid turbulence and achieve a good quality casting. Sand
 264 castings have a low cooling rate because of the sand insulating mass surrounding the casting.

265 4.1.5. Fettling stage

266 Following the casting process and the removal of the solid block from the sand mould, it has to
 267 be roughly machined to remove secondary cavities, risers, runners and gates (also known as fettling).
 268 This excess material is usually re-melted. The mould yield reported from all three foundries was
 269 $75 \pm 1\%$ (Figure 11(a)). The energy consumed during the process varies significantly per foundry,
 270 and the reported values range from 0.1 to 1.4 GJ per tonne of liquid metal (Figure 11(b)).



271 **Figure 11:** Fettling process: (a) Mould yield in different casting processes (b) Fettling energy
 272 consumption

273 4.1.6. Machining stage

274 Castings are produced volumetrically larger than required. Surfaces such as cylinder bores, deck
 275 face, crankshaft bore etc. are casted with an excess material of 2-3mm that allows later dimensional
 276 corrections. A large number of holes must be drilled for oil circulation, bolts etc. The main machining
 277 operations in an engine block are cubing, boring, drilling and threading. Machining performance and
 278 consequently machining energy consumption may vary according to the machining parameters used.
 279 The energy can be significantly reduced by arranging for casting feeders to be located on areas which

280 are to be machined. The approach used to quantify the energy requirements during machining is
 281 based on an analytical model provided by MAG Manufacturing Technology [48]. The model used is
 282 based on real machining energy measurements and has the capability to aggregate all the ancillary
 283 energy requirements (air, coolant supply etc.) into each operation. Cycle times for each operation
 284 were obtained from machining outsourcing houses for two in-line 4 cylinder blocks. The total energy
 285 consumption calculated for machining one cast-iron block is 61 MJ, i.e. 1.6 GJ/tonne of cast-iron block.
 286 Usually 10 kg of material is removed, which represents 20% of the block.

287 4.1.7. Ancillary processes

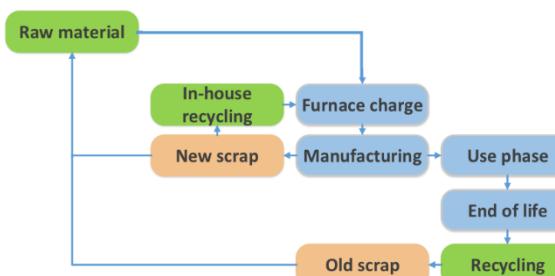
288 Miscellaneous energy is related to the facility operation and other ancillary processes like
 289 heating, lighting etc. The energies included in each foundry for the miscellaneous processes vary
 290 widely. In the case of the three CI foundries, the reported energy ranged from 0.1 to 3.8 GJ per tonne
 291 of good casting.

292 4.1.8. Inspection stage

293 Quality inspection is undertaken throughout the casting process. Foundries aim to minimise
 294 their internal rejection rate to increase their efficiency by applying strict internal inspection standards
 295 in order to not ship and transport bad product. CI foundries reported an average of 3% internal scrap
 296 and 0.5% external scrap. Internal scraped CI blocks are re-melted directly.

297 4.1.9. Materials recycling

298 In all foundries, material is recycled. The furnace charge that foundries are using for engine
 299 block manufacturing comes from two different sources – external recycling (new scrap, old scrap,
 300 turnings and dross) and in-house recycling (Figure 12). According to foundry practices, the ratio
 301 between the two differs. The dominant production route for steel made from scrap is electric arc
 302 furnace while the energy needed equals on average 7 GJ/tonne (Table 10). The most common route
 303 for primary steel production is basic oxygen furnace that converts pig iron into steel. The energy for
 304 this step on average equals 0.8 GJ/tonne. Together with pig iron production energy, a full steelmaking
 305 process equals 18.2 GJ per tonne.



306

307 **Figure 12:** Material flow diagram of the recycling

308

Table 10: Energy for steel recycling with Electric Arc Furnace

Source	Energy [GJ/t]
World Steel Association, (2015)	5.3 – 8.7
[49]	6 - 15
[50]	8.1 – 9.0
[13]	10
[22]	5.5
[43]	5.3
[44]	5.5

309
 310

Because the history of the scrap that is used as a furnace charge is not known [13], it is necessary
 to consider all the stages that the material might go through, from initial manufacture to final

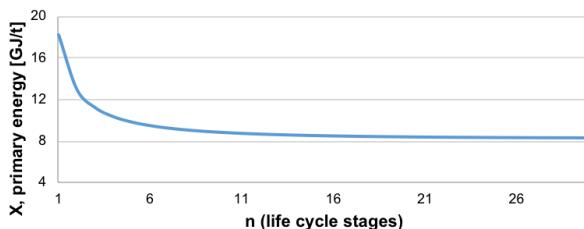
311 disposal. Based on the number of product cycles, the embodied energy in the material can be
 312 estimated by calculation. The total energy content for the chosen number of cycles can be calculated
 313 as follows [13]:

$$X = (X_{pr} - X_{re}) \left[\frac{(1-r)}{(1-r^n)} \right] + X_{re} \quad (1)$$

314 According to equation (2), the energy burden for multiple recycling, where the material is
 315 recycled indefinitely, can be obtained by calculating [13]:

$$X = X_{pr} - r(X_{pr} - X_{re}) \quad (2)$$

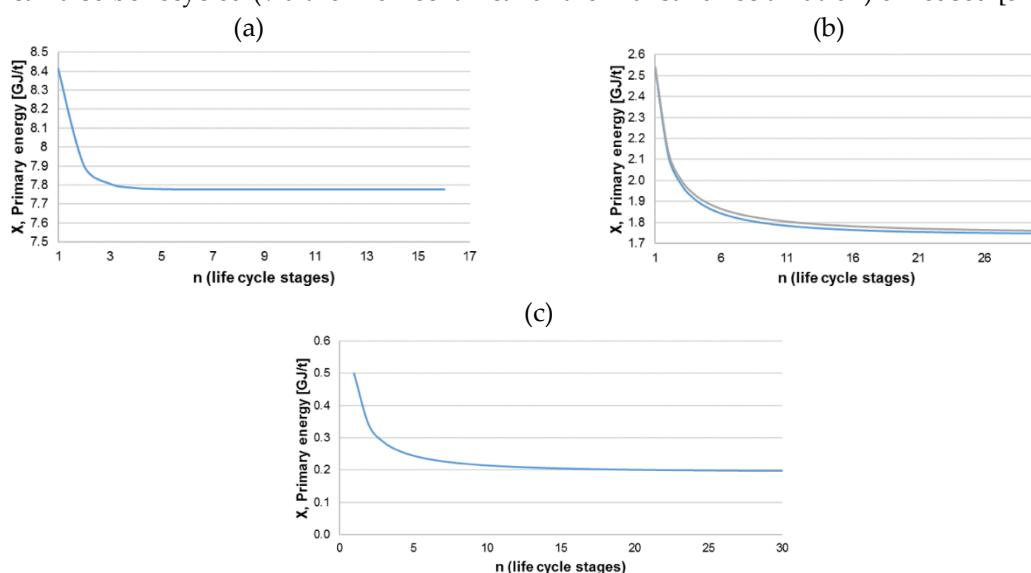
316 where X_{pr} stands for energy for manufacturing 1 tonne of material via primary route, X_{re} for the
 317 energy for manufacturing 1 tonne of material via recycling route, r is the overall recycling efficiency
 318 over one life cycle ($r = RR \cdot Y$), RR is the scrap recovery rate [%] and Y stands for the efficiency of
 319 the recycling process [%]. Figure 13 represents embodied energy for steel scrap after recycling. For
 320 the Electric Arc Furnace (EAF) route and steel scrap processing, the average overall recycling
 321 efficiency (r) includes the furnace yield and the efficiency of recovering the steel at the end-of-life
 322 ($r = 0.89$) [13].



323

324 **Figure 13:** Steel scrap embodied energy ($X=8.2$) for EAF recycling route

325 The above analysis though considers only the once-through product system. To undergo a full
 326 energy analysis, the influence of recycling and reusing material in the casting process should be also
 327 considered [14]. As a result, the multiple life cycle method needs to be adopted. The residue metal
 328 that can be again remelted comes from fettling (in a form of runners and feeders), rarely machining
 329 (swarf) and internal inspection. Apart from metal, other process materials like core sand and green
 330 sand can also be recycled (via thermomechanical or thermal sand reclamation) or reused [51].

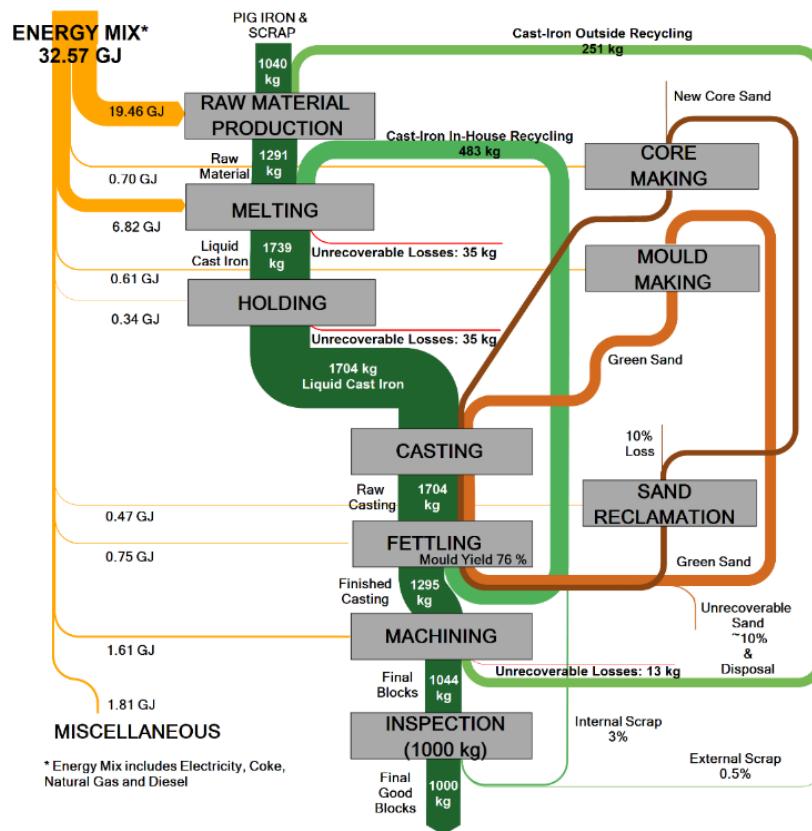


331
 332
 333
 334

331 **Figure 14:** (a) Energy embodied in a metal collected from the production stage and remelted in-house
 332 into the cast iron foundries (assumed 2% of the embodied energy for pig iron addition), (b) Energy
 333 embodied in a core sand after reclamation process and (c) Energy embodied in a green sand for its
 334 multiple reuse

335 The alloying and treatment materials need to be considered as well. For CI, ferrosilicon is added
 336 to enhance the grain structure and metallurgy of the finished component. The energy content to
 337 produce 1 tonne of ferrosilicon master alloy is just over 30 GJ. However, the addition rate into the
 338 iron is such that this contributes 1.6 GJ/tonne of CI engine blocks.

339 Figure 15 shows the Sankey diagram representation of the energy and materials flows. Using
 340 this, the largest areas of energy input, recycling loops and material losses are shown.



341

342 **Figure 15:** Energy and material flow in CI sand casting, showing that 1000 kg of good castings require
 343 the melting of 1739 kg of CI and 32.57 GJ

344 4.2. Al alloy engine blocks

345 Figure 7 has shown the process flow for Al alloy engine blocks manufacturing. Compared to CI
 346 engine blocks, the process is slightly more complicated, as there is need for use of liners as will be
 347 explained later on, as well as heat treatment of the cast components. Furthermore, the casting
 348 processes to be used vary from company to company. Three different casting processes can be
 349 identified that are widely used for the manufacturing, namely high pressure die casting (HPDC), low
 350 pressure die casting (LPDC) and low-pressure sand casting (LPSC - also known as Cosworth process).
 351 70% of aluminium alloy engine blocks are casted by HPDC while the other 30% are casted through
 352 the other methods together [23].

353 The LPDC process consists of a dosing furnace which is pressurized forcing liquid aluminium
 354 to enter the mould from the bottom. The mould consists of steel dies combined with internal sand
 355 cores. The repeatable raising and falling of the metal through the delivery tube may introduce oxide
 356 layers which eventually are delivered to the casting. LPDC is used for medium to long series casting
 357 runs, where better mechanical properties are required when comparing to HPDC. In HPDC, the alloy
 358 is inserted into a cold chamber and a hydraulic piston squeezes the metal into a steel die mould at
 359 extremely high speed (up to 80 m/s) and pressure (3500 tonnes). No sand cores could withstand the
 360 high pressure so the HPDC block designs are limited to open-deck blocks.

361 Similar to cast iron green sand casting, aluminium gravity sand casting also uses core packages.
 362 In the LPSC (Cosworth process), the metal is usually pumped into the sand mould from the bottom

363 by an electrical pump. The difference from LPDC is that the metal in the pump never drops back to
 364 the level of the metal and consequently the level of oxide generated is potentially lower than in a
 365 gravity system [52]. Data were collected from a number of foundries that employ such processes.

366 4.2.1. Melting and holding

367 In Al alloy engine block foundries, tower furnaces are most commonly used [6]. The
 368 unrecoverable metal losses are in the same order of magnitude as CI. The foundries contacted
 369 reported average energy consumption of 6.5 ± 3 GJ per tonne of liquid metal (Figure 16). With regards
 370 to the holding of the liquid metal, the holding time varies between foundries. In HPDC and LPDC
 371 the holding time is around 4 hours while for LPSC it is 13 hours because of the additional time
 372 required for refining of the metal. The foundry using the Cosworth process, used holding as a refining
 373 step to allow unwanted trace element to settle out of the liquid Al alloy and oxides to float to the
 374 surface. Figure 17 shows the holding energy in GJ per tonne of liquid metal.

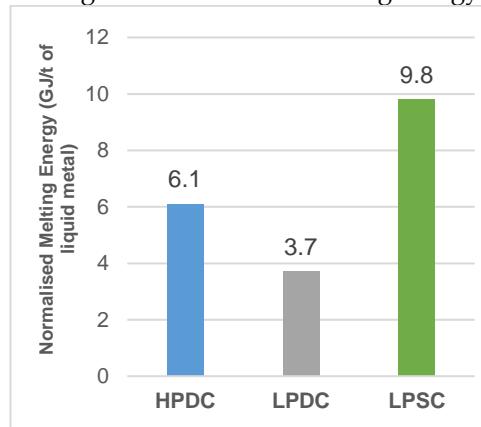


Figure 16: Melting energy per tonne of liquid metal in three different Al foundries

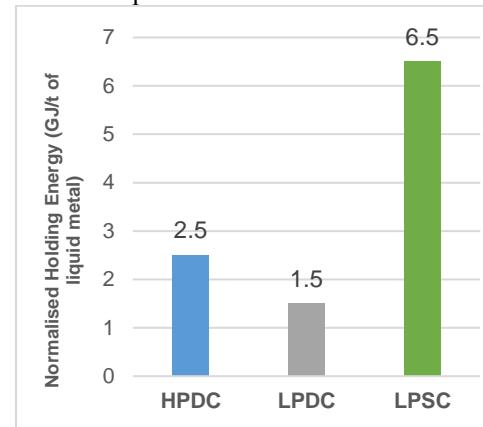


Figure 17: Holding energy per tonne of liquid metal in three different Al foundries

375 4.2.2. Core and mold making

376 The material and the process used for the core and mold making depends on the type of the
 377 casting process to be used. In LPSC foundries, cores are made from silica sand using the cold box
 378 method, where a binder system is used to cure the sand and resin to form the core. In HPDC sand
 379 cores are not used due to the high-pressure injection of the metal which would destroy the cores. The
 380 core weight also varies for the different metals. The cores in cast iron sand casting are much heavier
 381 than aluminium LPDC. This is because it includes the whole core package (cores + core shells). The
 382 energy required for making cores and the mould is quite similar with cast iron sand casting (CISC),
 383 with the exception when dies are used.

384 4.2.3. Casting

385 The four different casting processes have been presented already. As per CI, the energy
 386 consumed during the casting process is negligible with the exception of HPDC. In HPDC, automatic
 387 spray up for lubrication and robotic casting removal after solidification also consume a lot of energy.
 388 The dies are usually monolithic and contain cooling and heating channels. Due to these extra energies
 389 in HPDC, a casting energy is accounted only for this casting method (1.2 GJ per tonne of casting).
 390 HPDC parts are near net shape and less fettling and machining operations are required. Due to the
 391 nature of metal filling, HPDC castings are often non-heat treatable but might go through a stress
 392 relieving thermal cycle.

393 4.2.4. Fettling

394 Once the cast engine block is removed from the sand mould or the die, fettling is required as per
395 CI process as well. In the case of Al-alloy engine blocks, the reported mould yield is lower compared
396 to CI and is approximately $65\pm2\%$. The material removed from fettling aluminium alloy engine blocks
397 can be remelted directly in the foundry or sold to an external recycling company to be transformed
398 again in aluminium alloys. The second case relates to aluminium LPDC and therefore, the
399 calculations in this study, for LPSC, are based on outside recycling. The energy consumed during the
400 fettling process was reported in all three foundries to be 0.6 GJ per tonne of liquid metal.

401 4.2.5. Heat treatment

402 A key difference in the CI process flow is the need for heat treatment. Al-Si alloys used to
403 produce Al alloy engine blocks usually require T6 and T7 heat treatments which are used to improve
404 both mechanical and wear properties [53]. Foundries also reported that T5 is the most common heat
405 treatment process used in HPDC. The average energy consumption per casting can be calculated
406 when temperature and holding times are known.

407 Considering a treatment efficiency of 100%, the average energy consumption for heat treatments
408 T6 and T7 can be calculated to be 3.2 GJ/tonne of finished casting. For T5, the average energy
409 consumption is calculated to be 1.0 GJ/t. For the case of engine blocks casting, 20% heat treatment
410 efficiency is required, thus the values considered were scaled accordingly.

411 4.2.6. Impregnation

412 Casting introduces porosities during the solidification of the liquid metal. Turbulent metal flow,
413 gas entrapment and metal shrinkage are the main factors that introduce voids in the casting. Porosity
414 is more pronounced in aluminium alloy castings because of its higher volumetric shrinkage and
415 hydrogen content. The three main forms of porosity are full enclosed, blind and through porosity.
416 Such porosity could result in leaking under pressure, and would thus require the block to be
417 scrapped. Impregnation process that introduces a polymer sealant in the pores and cracks of castings
418 is used for this reason. The most commonly used impregnation process is the vacuum dry process.
419 The castings are stashed into a basket and inserted in a series of chambers until a full impregnation
420 cycle is achieved.

421 Around 90% of the energy in an impregnation cycle is consumed heating up the water at around
422 90°C and the rest 10% for circulation pumps, vacuum pumps, rotational mechanisms and other
423 ancillary systems. The energy involved in the process was ascertained to be around 7.2MJ/engine
424 block.

425 4.2.7. Machining

426 Using the MAG analytical model [48], the total energy consumption for machining is 51 MJ, of
427 which 13 MJ is for the initial machining of the cylinder liners.

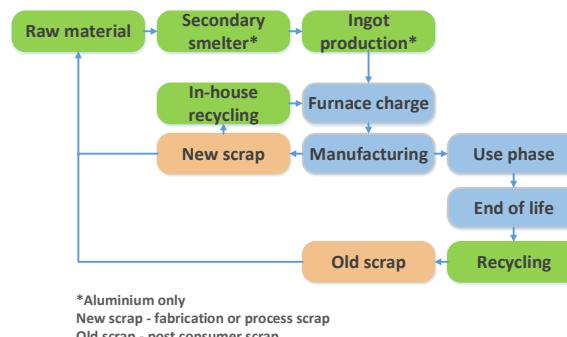
428 4.2.8. Liners casting

429 For the aluminium alloy in-line 4 cylinder blocks, for all casting processes, cast-iron cylinder
430 liners are cast in the block. The wear and mechanical properties of hypoeutectic alloy sliding surfaces
431 are not adequate to withstand the friction of the moving piston in the cylinder bore. Cast-in CI liners
432 are used for the tribological system “cylinder-piston-piston ring”. The liners are centrifugally cast
433 and the induction pre-heated prior to casting at around 375°C to achieve better bonding with the
434 liquid Al ending up with a total energy of 188 MJ/engine block. For the fettling of the solid casting
435 system, the yield ratio is approximately 67% with a total energy consumption of 0.6 GJ per tonne of
436 liquid metal.

437 4.2.9. Materials recycling

438 As with the CI foundries, Al foundries are charging their furnaces with recycled material as well.
439 The process is quite similar, however Figure 12 needs to be updated in order to include secondary

440 smelter and production of ingots. Figure 18 illustrates the common processes for the material flow of
 441 the recycling model for the case of Al-alloys.

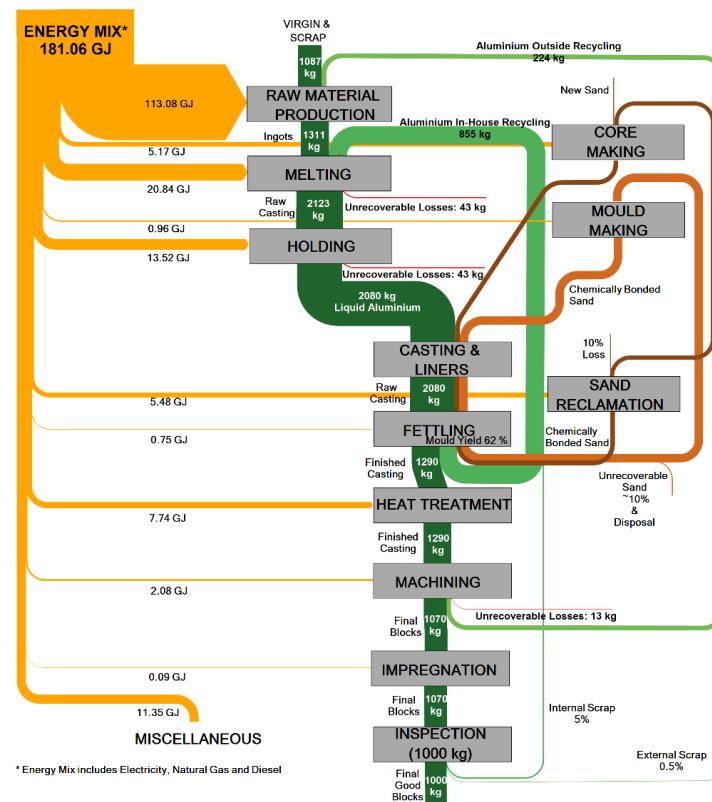


442

443 **Figure 18:** Material flow diagram of the recycling

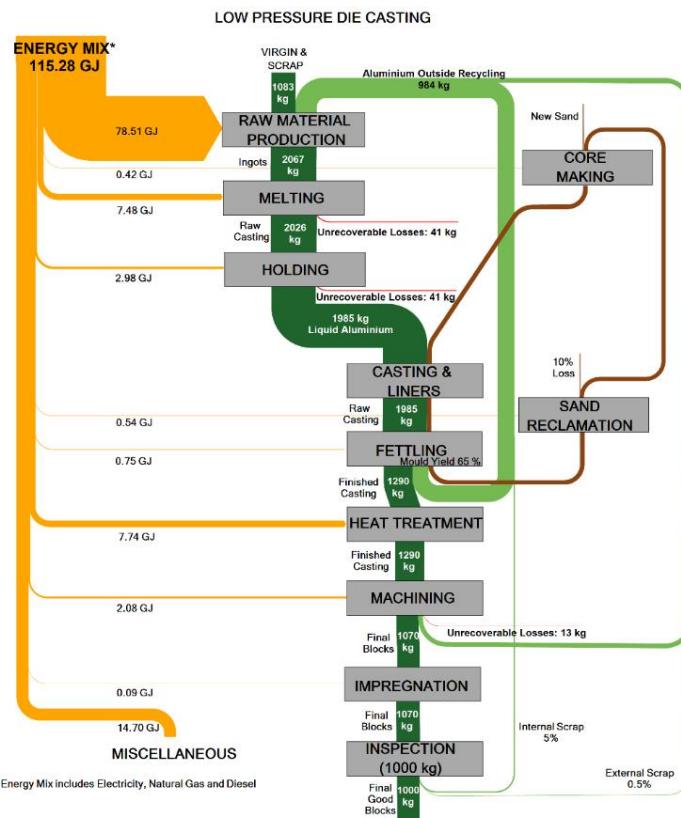
444 Al alloy engine blocks are usually made from secondary ingot. The alloy used is A383 or A380
 445 for LPDC and HPDC and A319 for LPSC. The process of recycling Al scrap to form the alloys is by
 446 refining, a process that uses a combination of rotary and reverberatory furnaces [54]. The recycled Al
 447 can have similar properties to primary Al. However, in a course of multiple recycling, more and more
 448 alloying elements are introduced into the metal cycle. Secondary alloys have relatively high levels of
 449 impurities, especially iron, that is detrimental to many properties. The multiple life cycle method is
 450 thus used (as in the CI recycling) for calculating an average energy consumption.

451 Figure 19, Figure 20 and Figure 21 present the Sankey diagrams for the LPSC, LPDC and HPDC
 452 cases respectively.



453

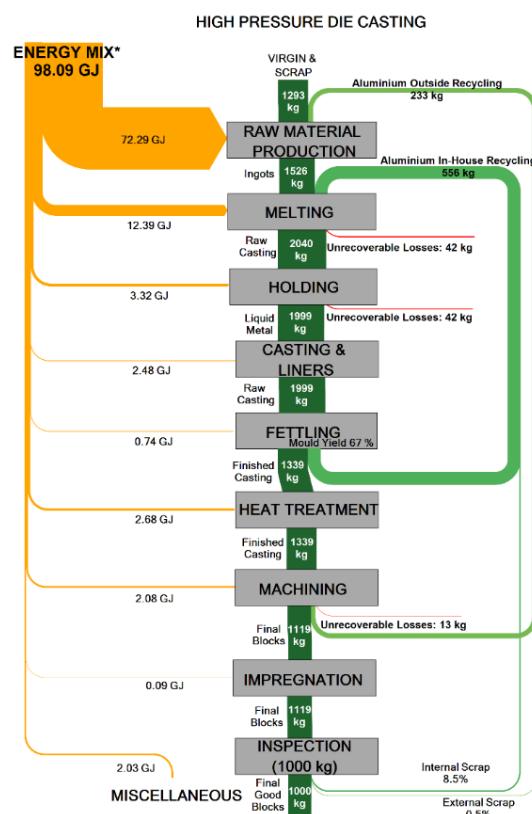
454 **Figure 19:** Energy and material flow in LPSC, showing that 1000kg of good castings requires the
 455 melting of 2123 kg of Al and 181.06 GJ



456

457
458

Figure 20: Energy and material flow in LPDC, showing that 1000kg of good castings requires the melting of 2067 kg of Al and 115.28 GJ



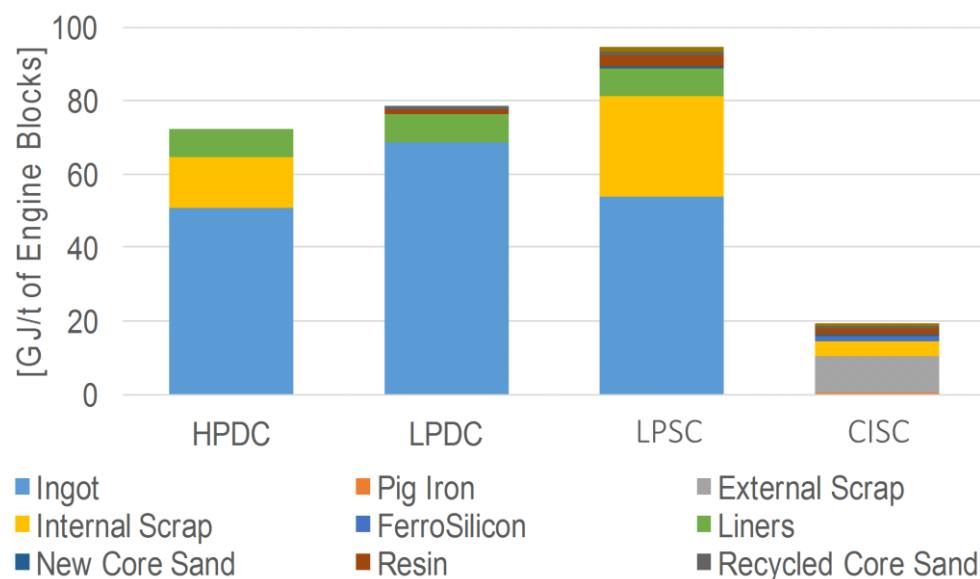
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Figure 21: Energy and material flow in HPDC, showing that 1000kg of good castings requires the melting of 2040 kg of Al and 98.09 GJ

462 5. The Answer to the Dilemma Between Al Alloys and CI

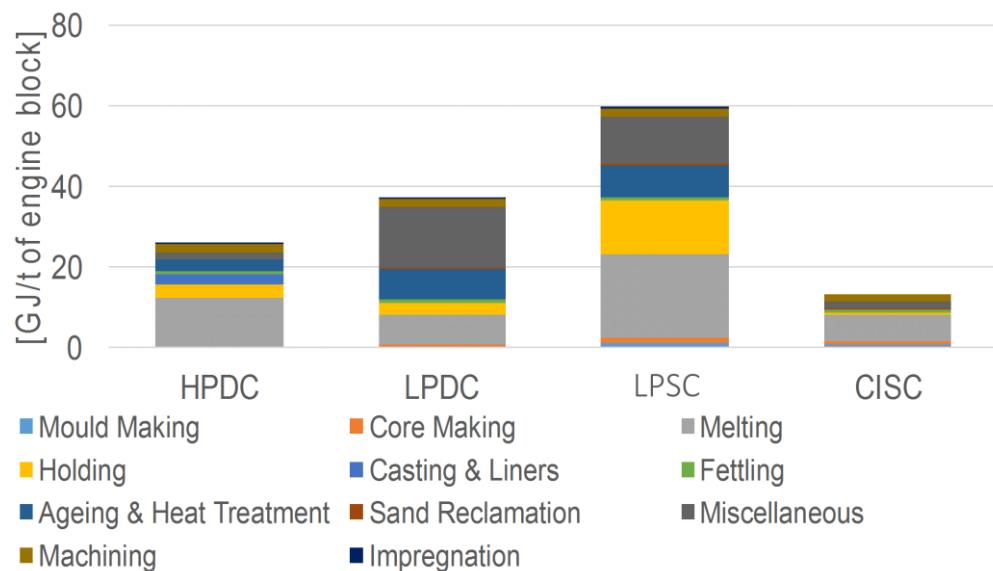
463 Figure 22 shows the energy breakdown in each material source and indicates that ingot and
 464 external scrap represent the highest embodied energy of the charge and feedstock for Al alloy and CI
 465 engine blocks. Figure 23 demonstrates the process energy breakdown for each casting. It is obvious
 466 that the CI engine block requires considerably less energy. The excess energy spent for the
 467 manufacturing of Al alloy engine blocks, should be compensated by the fact that the vehicle is lighter
 468 and thus consumes less energy during its use.



469

470

Figure 22: Embodied material energy per tonne of engine blocks



471

472

Figure 23: Process energy per tonne of engine blocks

473 Figure 22 and Figure 23 provide information about the embodied and process energy per tonne
 474 of engine block. However, it is equally significant to represent the data above using a single block as
 475 a functional unit. The process, embodied and total energy, which is equal to the sum of the embodied
 476 and process energy, required for the production of each single engine block via the 4 manufacturing
 477 processes, are listed in Table 11.

478

479

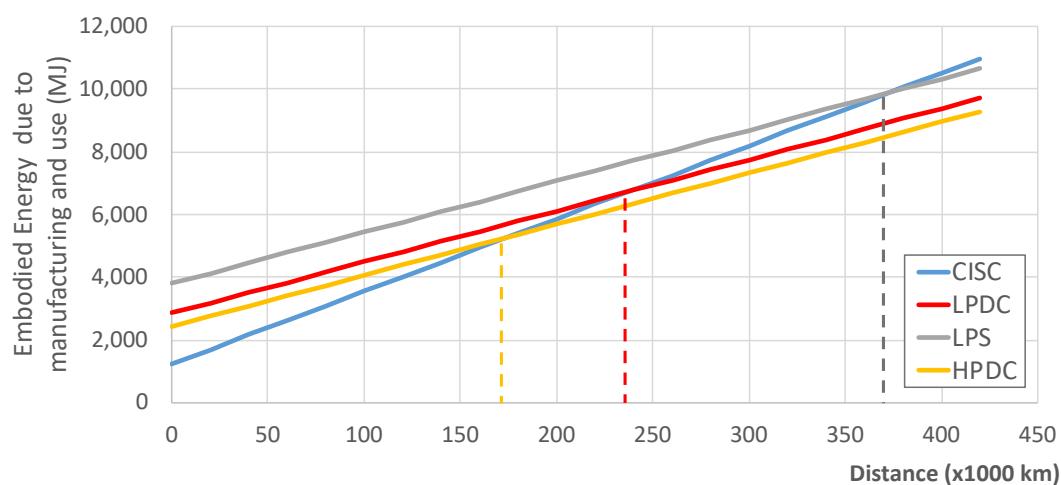
480

Table 11: Total energy per engine block

	HPDC		LPDC		LPSC		CISC	
	Diesel	Petrol	Diesel	Petrol	Diesel	Petrol	Diesel	Petrol
Process Energy (GJ/t)	25.8	25.8	36.78	36.78	59.12	59.12	13.11	13.11
Embodied Energy (GJ/t)	72.37	72.37	78.63	78.63	114	114	19.46	19.46
Weight of single block (kg)	27	18	27	18	27	18	38	27
Process Energy (GJ/block)	0.64	0.41	0.91	0.58	1.46	0.93	0.5	0.35
Embodied Energy (GJ/block)	1.79	1.14	1.94	1.24	2.81	1.79	0.74	0.53
Total Energy (GJ/block)	2.43	1.54	2.85	1.81	4.28	2.72	1.24	0.88

481

482 The embodied energy due to manufacturing and use is illustrated in Figure 24 (shown for the
 483 case of diesel engines, similar results were attained for petrol engines). The starting values of the
 484 embodied energy correspond to the total energy of the manufacturing process (Table 11). It is evident
 485 that the vehicle would have to be driven more in order for the light-weighting benefit. This is due to
 486 the much higher embodied energy of Al alloys compared with CI as a result of the huge energy
 487 content during both the electrolysis and bauxite conversion stages of the production of aluminium.
 488



489

Figure 24: Breakeven distance for paying back the lightweight material (for a diesel automotive
 490 vehicle of 1200kg with average consumption of 7l/100km)
 491

492

Table 12: Parameters for the BED calculation

	Diesel	Petrol
$\delta F_s \left(\frac{L}{100 \text{ km} \times 100 \text{ kg}} \right)$	0.2	0.25
$E_f \left(\frac{\text{MJ}}{L} \right)$	38.6	34.2
$\Delta M (\text{kg})$	9	7

493

494 The distance needed to be covered by a vehicle in order to compensate for the additional energy
 495 due the manufacturing and primary production of their engine block is estimated using the
 496 breakeven distance (BED) according to:

$$BED = \frac{\Delta PEB}{(\delta F_s \cdot E_f \cdot \Delta M)} \cdot 10^4 \quad (3)$$

497
498
499

where ΔPEB (MJ) is the difference in the process energy burden between the manufacturing process with the lowest total Energy (CISC) and the rest of the processes, δF_s are the fuel savings, E_f the energy content of the fuel and ΔM the engine block weight differential (Table 12). The values of the

500 breakeven distance for the two types of engine blocks (diesel and petrol) and the various
501 manufacturing processes under examination are summarised in Table 13.

502 **Table 13:** BED (km) vs CISC for various types of engine blocks and manufacturing processes

503

	Diesel	Petrol
HPDC	170,889	110,611
LPDC	232,141	155,809
LPSC	369,221	256,960

504 **6. Conclusions**

505 Nowadays, the legislation around the automotive industry is focused on the reduction of the
506 tailpipe emissions of the vehicles and does not consider the production phase of automotive
507 components. Automotive companies are compelled to pursue a light-weighting and engine
508 downsizing design strategy to comply with the steadily more stringent targets in emission standards.
509 The objective of this investigation is to perform a thorough lifecycle analysis of an automotive
510 component (engine block) made of two different materials, CI and alloy respectively, in order to
511 review the potential energy savings of light-weighting.

512 The “cradle-to-grave” approach was adopted to calculate the overall energy requirements,
513 including the energies for the production of the raw materials, while acknowledging the embodied
514 energy from the initial manufacture up to the final disposal. Our results indicate that the energy
515 required for the primary production and manufacture of CI engine blocks is much lower compared
516 to the Al alloy engine case. On the other hand, Al alloy blocks are more lightweight and contribute
517 to the increase of the fuel savings during the use phase of the particular component.

518 In order to evaluate the effects of light-weighting on the overall energy consumption during
519 the component’s lifecycle, the weighted average breakeven distance (required to compensate the
520 extra energy consumption in Al alloy engine blocks) was estimated and found to be around 175,000
521 km. The breakeven distance fluctuated between 175,000 to 370,000 km for a diesel and 115,000 km to
522 260,000 km for a petrol engine block respectively. The conclusion drawn is that, comparing to an
523 average passenger vehicle life of 200,000 km, for the LPDC and LPSC processes the vehicle will never
524 recover the extra energy in the Al alloy engine blocks while being on-the-road. Therefore, the
525 substitution of materials, traditionally used in the automotive industry, with lighter ones should be
526 very carefully considered.

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528 formal analysis, all; investigation, all; writing—original draft preparation, K.S.; writing—review and editing,
529 M.P.; visualization, E.P.; supervision, M.J. and K.S.

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533 International Vienna Motor Symposium, Vienna, Austria in 2017 [11] and the TMS Annual Meeting & Exhibition
534 in 2018 [12].

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536

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